

1 **VIABILITY OF SOME PROBIOTIC COATINGS IN BREAD AND ITS EFFECT**  
2 **ON THE CRUST MECHANICAL PROPERTIES**

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12 **Running title:** Probiotic coatings for bread

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20 **Abstract**

21 The objective of this study was to obtain functional bread combining the  
22 microencapsulation of *Lactobacillus acidophilus* and starch based coatings. Different  
23 probiotic coatings (dispersed or multilayer) were applied onto the surface of partially  
24 baked breads. In all treatments, microencapsulated *Lactobacillus acidophilus* survived  
25 after baking and storage time, although reduction was higher in the sandwich treatment  
26 (starch solution/sprayed microcapsules/starch solution). Despite coatings significantly  
27 affected the physicochemical properties of the crust, increasing water activity and  
28 reducing the failure force, the sensory evaluation revealed a good acceptability of the  
29 functional breads. Scanning electron microscopy revealed the presence of scattered  
30 microcapsules onto the bread crust, being highly covered in the sandwich coating.  
31 Therefore, *Lactobacillus acidophilus* included in microcapsules can be incorporated to  
32 bread surface through edible coatings, leading functional bread with similar characteristics  
33 to common bread, but with additional healthy benefits.

34

35 **Key words:** starch; probiotic coatings; bread; microstructure; crust.

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## 38 1. Introduction

39 Bread is staple food in many countries, since it constitutes an important source of complex  
40 carbohydrates, proteins, minerals and vitamins (Rosell, 2007). In recent years, consumers'  
41 interest in the role of nutrition for health and wellbeing has increased. Therefore, today, the  
42 priority of the industry is to innovate, meet and satisfy consumer requirements. Concerning  
43 baking industry, that trend has prompted the development of baked goods keeping in mind  
44 the healthy concept. All the whole meal products or the fiber enriched baked goods would  
45 fall in this category (Redgwell and Fischer, 2005). However, functional breads containing  
46 viable microorganisms have not been developed yet due to the high temperature reached  
47 during baking.

48 Guarner and Schaafsma (1998) defined probiotic as a live microorganism, which upon  
49 ingestion in certain numbers, exerts health benefits beyond inherent basic nutrition. Various  
50 species of genera *Lactobacillus* and *Bifidobacterium* have been used as probiotics (Lian et al.,  
51 2002; Lavermicocca et al., 2005). Sometimes survival of many probiotic bacteria during  
52 processing and storage is insufficient and limits its usefulness in food applications. Therefore,  
53 alternative for providing viable microorganisms are the microencapsulation technique. The  
54 encapsulation protects probiotic from environmental and physiological degradation (Lian et  
55 al., 2002; Capela, Hay and Shah, 2006).

56

57 Edible coatings are materials which can be consumed and provides a barrier to moisture,  
58 oxygen and solute movement for the food. Edible coatings are particular forms of films  
59 directly used onto the surface of materials, which become an element of the ending  
60 product (Cuq et al., 1995). This type of coatings is prepared with biological materials such  
61 as proteins, lipids and polysaccharides (Tharanathan, 2003). However, starch is one of the  
62 preferred types of coatings because it is abundant, cheap and biodegradable. Moreover, the

63 incorporation of functional ingredients on the edible coatings would be an alternative for  
64 protecting the microorganisms. In bakery products, microencapsulation has been  
65 extensively used for protecting iron salts and increasing its bioavailability (Cocato et al.,  
66 2007). Partially baked bread is an alternative product that shows an expansion trend,  
67 owing to provide fresh bread available all time of day (Rosell, 2009). This bread only  
68 requires a short baking for obtaining full baked bread. Therefore, it constitutes a potential  
69 food for obtaining functional bread combining the microencapsulation and coating  
70 technologies.

71

72 The objective of this study was to determine the viability of different types of functional  
73 coatings applied onto the surface of partially baked breads before full baking step. The  
74 survival of microorganisms (*Lactobacillus acidophilus*) was assessed after baking and  
75 after a short storage (24 hours). Fresh breads were sensory evaluated and the physical and  
76 chemical properties of bread crust were determined. Special attention has been paid to the  
77 coatings and bread crust microstructures.

78

## 79 **2. Materials and methods**

80 The strain of *Lactobacillus acidophilus* used for microencapsulation was obtained from  
81 Danisco Ingredients México, S.A. (México). Whey protein isolates (WPI) from Davisco  
82 Foods International Inc. (EUA), carboxymethylcellulose (CMC, Aqualon® Cellulose gum,  
83 7LF PH) from Hércules Incorporated (EUA), low methoxyl citric pectin (P) (Grindsted,  
84 Pectin RS 400) from Danisco Mexicana, S. A. (México), inulin (I) from Quantum Natura S.  
85 A. (México), fresh agave sap without fermentation (aguamiel) from San Juan de las  
86 Manzanitas (México) were used for encapsulation. Commercially available corn starch  
87 (Maizena) from Unilever Food solutions (México) was used for coating. Some corn starch

88 characteristics are as follows: maximum viscosity during heating 1847cP, viscosity at 50°C  
89 1531cP, gelatinization peak temperature 66°C, gelatinization enthalpy 10 J/g.

90 A partially baked bread specialty available in the Spanish market was used. Part-baked frozen  
91 breads were provided by Forns Valencians S.A. (Valencia, Spain) and stored at -18° C until  
92 use. The qualitative composition of the breads includes breadmaking wheat flour, water,  
93 yeast, salt and bread improver. Its chemical proximate composition was: 30.1% moisture  
94 content, 60% carbohydrates, 6.41% proteins and 2.74 % fats.

95

## 96 **2.1 Microbial encapsulation**

97 Microcapsules formation was based on previous results (Rodríguez-Huezo et al., 2007;  
98 Villa-García et al., 2010). Briefly, encapsulating agents were prepared by dispersing whey  
99 protein isolates, CMC, pectin, inulin and fresh agave sap in a proportion of 45.67 : 11.72 :  
100 18.72 : 22.83 : 1.06., in order to obtain a suspension concentration of 6.57% (w/w).

101 *Lactobacillus acidophilus* was used as probiotic. The pure culture of *L. acidophilus* were  
102 developed in MRS medium (de Man, Rogosa & Sharp, DIFCO) with low oxygen tension.  
103 After growing, they were transferred to 0.1% peptone water.

104 One litre of watery dispersions of encapsulating agents was combined with the inoculum,  
105 previously adjusted to 5 McFarland standard of turbidity (bioMérieux). The mixture was  
106 homogenized during for 10 minutes at room temperature and then spray dried by a Niro  
107 Atomizer dryer, provided with a rotatory atomizer set on an input/output temperature of  
108 130°C/65°C, with a 2 bar pressure and a feeding of 15 ml/min.

109

## 110 **2.2. Edible coating preparation and characterization**

### 111 2.2.1. Edible coating preparation

112 Three different treatments were prepared (S1, S2, S3), which differed in the number of  
113 coating layers applied onto the bread surface (Table 1). Starch suspension (5%, w/v) was

114 used as coating material. Preliminary tests were carried out to optimize the level of  
115 microcapsules in each treatment in order to have similar microbes' survival after baking.  
116 Treatment S1 consisted in the starch solution (5%, w/v) containing microcapsules (1%,  
117 w/v). Treatment S2 was as described in S1 plus a coating with starch solution (5%, w/v).  
118 In treatments S1 and S2, microcapsules (1%, w/v) were added to the starch suspension and  
119 kept under magnetic stirring for ensuring uniform dispersion. Treatment S3 consisted in a  
120 coating of starch solution (5%, w/v), followed by dispersing microcapsules (2% w/w,  
121 which corresponded to 0.2 g/bread), and a final coating with starch solution (5%, w/v),  
122 like a sandwich. Treatment S3 required double microbes' concentration than treatment S1  
123 and S2 to obtain similar survival after subjected to baking.

124

### 125 2.2.2. Coating Properties

126 Mechanical properties, film thickness and morphology of the coating were determined.  
127 Test filmstrips (6 X 2.0 cm) were cut from preconditioned samples (23°C; 75% RH) and  
128 mounted between the grips of the probe A/TGT of the TA.XT2i texturometer (Stable  
129 Micro Systems, UK). The tests were conducted according to the [ASTM D882-00 \(2001\)](#)  
130 method ([Veiga-Santos et al., 2005](#)). Ten specimens were tested for each formulation.

131 Average film thickness of the preconditioned samples (7% RH, 25°C) was obtained using  
132 a flat parallel surface micrometer with 1 picometer resolution. Five measurements were  
133 taken at three different randomly selected positions.

134

135 The coatings were placed on glass plates and the scanning photography was carried out on  
136 flatbed scanner (HP Scanjet 4400c). One representative sample (of three) from each of the  
137 coatings was chosen for digital documentation.

138 Cross sections of the starch film and microcapsules powder samples were sprinkled onto  
139 double-backed cellophane tape attached to a stub. They were vacuum coated by  
140 evaporation with silver and examined by means of a JEOL JSM-5310LV scanning  
141 electron microscope (SEM) (JEOL Korea Ltd., Korea) at an accelerating voltage of 12 kV.

142

### 143 **2.3 Full baking process and storage**

144 Part-baked breads were removed from the freezer and thawed at room temperature till the  
145 center of the loaf reached 5°C. Breads were baked off in a forced convection oven  
146 (Eurofours, Gommegnies, France) under the following conditions: preheating of the oven  
147 at 220° C, and convection during 16 min at 180° C. Then, 10 ml of probiotic coating  
148 solution were evenly sprayed over the top surface ( $118.3 \pm 1 \text{ cm}^2$ ) of the breads before  
149 baking. When various coatings were applied (S2 and S3) they were sprayed successively  
150 onto the surface of the partially baked bread (Table 1).

151 After bake off, breads were allowed to cool down and stored in a cabinet at 25° C and  
152 relative humidity (RH) of 61%. Three sets of loaves were prepared for each treatment and  
153 they were baked in separate days.

154

#### 155 2.3.1 Microbiological analysis

156 The amount of viable *Lactobacillus acidophilus* in the bread surface was determined after  
157 full baking 0.5 h (fresh bread), and after 24 h storage. A bread crust portion (1g) was  
158 aseptically diluted in 9 ml of sterile peptone water solution (Scharlau Chemie, Barcelona,  
159 Spain) and mixed for 1 min in Lab Blender 400 Stomacher (Seward Medical, London,  
160 UK). Serial dilutions were made in sterile peptone water and plated following the surface  
161 technique onto De Man, Rogosa and Sharpe (MRS, Scharlau Chemie, Barcelona, Spain)  
162 agar supplemented with 10% sterile skim milk. The culture medium contained a second  
163 layer of MRS agar used for generating anaerobic conditions. The agar plates were  
164 incubated at 32° C for 5 days. After the respective incubation times, results were recorded  
165 as colony-forming units (CFU)/g of product.

166

#### 167 2.3.2 Chemical and physical analyses

168 Analysis of the bread samples was performed at 0.5h (fresh bread), 2, 4, 6, 8 and 24 h after  
169 baking. Bread volume was determined by the rapeseed displacement method. Crust colour  
170 parameters were measured at three different locations of the surface by using a Minolta  
171 colorimeter (Chroma Meter CR-400/410, Konica Minolta, Japan) after standardization  
172 with a white calibration plate ( $L^* = 96.9$ ,  $a^* = -0.04$ ,  $b^* = 1.84$ ). The colour was recorded  
173 using CIE- $L^* a^* b^*$  uniform colour space (CIE-Lab), where  $L^*$  indicates lightness,  $a^*$   
174 indicates hue on a green (-) to red (+) axis, and  $b^*$  indicates hue on a blue (-) to yellow (+)  
175 axis.

176 Crust moisture content and water activities were followed during short bread storage. Crust  
177 was separated using a razor blade. Moisture content was determined according to the [ICC](#)  
178 [Method \(110/1, 1994\)](#). Water activities were measured using a water activity unit (Aqua  
179 Lab Series 3, Decagon devices, Pullman, USA) at 25°C.

180 All determinations were carried out in triplicate. The results presented are averages of all  
181 available replicates.

182

### 183 2.3.3 Puncture tests

184 Breads were puncture tested at a deformation speed of 40 mm/s using a 4mm diameter  
185 cylindrical probe. Experiments were performed using a texture analyzer (TA XTplus,  
186 Stable Micro Systems, Surrey, UK). The peak force and the peak deformation point of the  
187 crust were calculated by punching the samples at eight different points of bread surface:  
188 left and right sides, 2 cm distance from the middle point. The average value was calculated  
189 for each sample. The failure force was calculated as the peak force observed according to  
190 studies by [Jackman and Stanley \(1992\)](#). The failure deformation, defined as the  
191 deformation at the peak point, was also calculated. The failure firmness, defined as the  
192 slope of load displacement curve from zero to the point of rupture or failure, was



193 calculated according to studies by [Shafiee et al. \(2008\)](#). Three bread samples were used for  
194 each measurement.

195

#### 196 2.3.4. SEM of bread crust

197 The structure of the treated crusts were analysed by scanning electron microscopy. Freeze-  
198 dried samples of the crust were mounted on metal stubs and the samples were coated with  
199 a gold and palladium layer (100–200 Å) by Ion Sputter (Bio-Rad SC-500). All samples  
200 were examined using an accelerating voltage of 10 kV with a scanning electron  
201 microscope (S-4100, Hitachi, Ibaraki, Japan) equipped with a field emission gun, a back-  
202 secondary electron detector and an EMIP 3.0 image data acquisition system (Rontec,  
203 Normanton, UK) from the SCSIE Department of the University of Valencia.

204

#### 205 2.3.5. Sensory evaluation

206 Sensory evaluation was carried out by a trained panel of eight judges and scored on a scale  
207 of 1 (dislike extremely) to 5 (like extremely). Sensory tests were carried out under normal  
208 lighting conditions and at room temperature. The experience of the judges in this type of  
209 analysis for bread products varied from 3 to 20 years. Preliminary training was performed  
210 to evaluate crust appearance, odour, , crust colour, crispness and crumb hardness. For each  
211 one of these attributes, the average response was reported.

212

### 213 **2.4 Statistical analysis**

214 All data were presented as mean values of at least three replicates. Statistical analysis of  
215 the results was performed using Statgraphics Plus V 7.1 (Statistical Graphics Corporation,  
216 UK). Data were analyzed by nonparametric one-way analysis of variance (ANOVA).

217 When ANOVA indicated significant F values, multiple sample comparison was also  
218 performed by Tukey HSD test in order to detect significant differences.

219

### 220 **3. Results and Discussion**

#### 221 **3.1 Probiotic coatings**

222 *Lactobacillus acidophilus* is a microorganism that requires low oxygen tension, and  
223 essential nutrients as carbohydrates, proteins, vitamins of the B-Complex, nucleic acids  
224 and minerals. Thus, these nutrients must be available in the medium for growth and  
225 establishment of a predominant microflora of lactobacilli (Gomes and Malcata, 1999).  
226 Microcapsules composition was selected based on reported results of activation energy  
227 ( $E_a$ ) that is a good parameter for the selection of spray drying encapsulated materials.  
228 Higher values of this parameter deliver better microcapsules (Rodríguez-Huezo et al.,  
229 2007). Previous findings showed that the mix of protein and agave sap largely increases  
230 the activation energy (35.7 kJ/mol) and that the agave sap, as well as the inulin, provides  
231 major  $E_a$  37.92 kJ/mol when they are combined (De Jonge et al., 2007; Martínez and  
232 Morales, 2007; Villa-García et al., 2010). Moreover, the addition of hydrocolloids like  
233 pectin and CMC to the previous mix further increases the  $E_a$  (40.3 kJ/mol). It seems that  
234 the combination of the inulin and agave sap with the selected hydrocolloids could have  
235 some interaction with the lipids of the cell membrane of the microorganisms and help to  
236 protect the probiotics during drying in the encapsulation process.

237 Figure 1 presents the digital images of probiotic coatings. Probiotic coating S1 showed a  
238 moderately regular surface and cohesive layer (Figure 1a), while a slightly fragmented and  
239 opaque layer was observed in S2 (Figure 1b) resulted from the double layer. When  
240 microcapsules were sprayed, as in coating S3, a non cohesive and an uneven as well as  
241 fragmented surface was obtained (Figure 1c). Mechanical characterization of probiotic

242 films showed that S3 had the highest tensile strength ( $1.86 \pm 0.74$  kg), followed by S1  
243 ( $0.69 \pm 0.52$  kg) and S2 ( $0.41 \pm 0.19$  kg), despite no significant differences were detected  
244 among the thickness values ( $77.67 \pm 15.37 \mu\text{m}$ ,  $56.89 \pm 10.43 \mu\text{m}$ ,  $66.78 \pm 6.53 \mu\text{m}$  for S1, S2  
245 and S3, respectively) (Figure 2). It should remark that films were prepared without  
246 swelling the starch granules, thus no significant change in the film thickness was initially  
247 expected. Likely, the incorporation of microcapsules within the film, which occurred in S1  
248 and S2, interrupts the starch based film structure leading to a decrease in the mechanical  
249 resistance.

250 The microstructure analysis (Figure 2) showed two different populations of microcapsules  
251 (Figure 2a), one with diameters ranging from 2-5 $\mu\text{m}$  and the other with diameter around  
252 20 $\mu\text{m}$ . The small population had smooth and corrugated surface, forming like-tube cages  
253 surrounding cell agglomerations, and the large microcapsules were more spherical with  
254 smooth surface enclosing microcapsules as described before. Apparently *L. acidophilus*  
255 protection is complete because free bacteria were not observed. Previous findings suggested  
256 that the rough surface gives protection to probiotics (De Jonge et al., 2007; Martínez and  
257 Morales, 2007; Villa-García et al., 2010). Probiotic films showed continuous and smooth  
258 surface but microcapsules surrounded by a layer of starch were envisaged (Figure 2b).  
259 Comparing the cross sections of the three films, it was observed that S1 (Figure 2c)  
260 presents a continue network of starch very similar to the one of the film without  
261 microcapsules. S2 had denser cross section exhibiting accumulations of microcapsules at  
262 the surface (Figure 2d). In addition, film S3 (Figure 2e) showed an interior network of  
263 starch, and two layers of higher density at both sides of the film.

264

### 265 **3.2 Viability of *Lactobacillus acidophilus* in bread crust**

266 The microbial count was determined after baking and after 24h storage to detect the  
267 microbial viability after baking and also its stability during bread storage (Table 2). Viable  
268 microorganisms remained after the baking process in all the coatings. Therefore, those  
269 coatings could be used for obtaining viable microorganisms containing breads. However,  
270 the temperature reached during the full baking process of the partially baked bread  
271 affected in different extent depending on the coatings studied. Breads with S2 treatment  
272 kept 63.2% of the counts after baking. Considering that breads with treatment S3 had more  
273 microcapsules concentration, the treatment S3 was the most sensitive to baking  
274 temperature. Quezada-Gallo et al. (2004) showed that when comparing the functional  
275 properties of dextrans, starch and four highly purified biopolymers (xanthan gum, sodium  
276 alginate, carboxymethylcellulose and tragacanthin) dextrans and starch showed better  
277 properties as gas barrier. In addition, when starch-based coating was applied onto white  
278 bread and doughnuts, results showed that starch coatings controlled additives liberation to  
279 the product as a function of its water activity (Quezada-Gallo et al., 2004). It seems that  
280 coatings somewhat protects the microorganisms viability even after the baking process. In  
281 addition, the stability of the microorganism during short storage of the breads was  
282 investigated. The short-term storage caused a reduction in the total colony counts of  
283 microencapsulated *Lactobacillus acidophilus* in all treatments. The reduction in the  
284 microbial counts during the storage period was similar in all the treated breads, independently  
285 of the coating treatment (Table 2). Therefore, it seems that the immediate surroundings of the  
286 microbes are responsible of this result, and only the microcapsules composition, which  
287 provides the essential micronutrients, determines the viability of the microbes during storage.  
288 Despite the reduction, microbes' survival indicates that probiotic coatings can be applied to  
289 the bread crust for obtaining functional breads.  
290

291 **3.3 Effect of probiotic coatings on the physico-chemical properties and sensory**  
292 **evaluation of bread**

293 Loaves used in this study have half-cylindrical geometry of size roughly  $15\pm 0.03$  x  
294  $2.5\pm 0.1$  x  $3.0\pm 0.1$  cm, weight  $70\pm 2$  g and crust thickness was roughly  $2.96\pm 0.45$  mm.  
295 Breads were sensory evaluated and no difference in taste was detected due to the presence  
296 of coatings. All the breads were accepted and no significant differences were observed  
297 regarding the attributes scored (Table 3). Perhaps the most affected attribute was the crust  
298 colour, namely in S3 due to the presence of some brown spots that corresponded to the  
299 microcapsules.

300 Some physical and chemical properties of bread were studied. The values obtained for  
301 crust colour, specific volume, water activity and moisture content are showed in Table 3.  
302 No differences were detected in the moisture content of the crumb, neither in the water  
303 activity or texture properties of the crumb due to the different coating treatments (results  
304 not showed). Unexpectedly, the treatment S2 produced a significant ( $p < 0.05$ ) decrease in  
305 the specific volume of bread; whereas, the control and breads with treatments S1 and S3  
306 showed the same specific volume of the bread. Coatings were applied onto the partially  
307 baked breads surface, thus it seems that treatment S2 impairs any expansion that could  
308 take place during full baking.

309 The colour of the bread crust was also affected by treatments with probiotic coatings. The  
310 loaves with treatment S3 had the lowest lightness ( $L^*$ ), which might be attributed to the  
311 spraying of microcapsules between two coatings of starch solution, and the uneven mixing  
312 with the coatings produced an increase of opaque colour. Craig et al. (1989), when studied  
313 the visual characteristic of aqueous starch paste, observed that during gelatinization the  
314 starch granules swell and more light passes through the granules instead of being reflected.  
315 Therefore, the ability of the granules to reflect light diminishes, whereas, the transmitted

316 light passing through swollen granules is refracted and the degree of refraction decreases  
317 with increasing swelling of the granules.

318 The treatment S1 did not promote any effect on the  $a^*$  parameter of the crust with respect  
319 to control bread; but the double addition of starch solution in treatment S2 and S3  
320 produced a decrease in this parameter. Again, the presence of double starch solution  
321 yielded the lowest  $b^*$  values of the crust.

322 The water activity and the moisture content of the crust ranged from 0.43 to 0.56, and  
323 6.6% to 9.9%, respectively. Coatings significantly ( $p<0.05$ ) increased the crust water  
324 activity. Sample S3 showed the highest water activity, likely due to the hydrophilic  
325 structure of the starch based coatings. The moisture content of the crust significantly  
326 ( $p<0.05$ ) increased with treatments S1 and S3. In opposition, treatment S2 showed lower  
327 moisture content than the control. It seems that in coating S2 the incorporation of  
328 microcapsules on starch solution and the application of a second starch solution over crust  
329 bread become more rigid and difficult to disperse, yielding microcapsules accumulation  
330 (Figure 2d). Likely a more cracking film was obtained which favored the water diffusion  
331 (Müller et al., 2009).

332 The probiotic coatings applied on the bread surface produced significant changes on the  
333 mechanical properties of the crust (Table 3). The failure force or force necessary to induce  
334 the crust fracture significantly ( $p<0.05$ ) decreased with the coatings applied. The treatment  
335 S2 resulted in the lowest force for fracture, despite its lower water content. Considering  
336 that at  $A_w<0.6$  high failure force indicated brittle crust. Goedecken (1993) found that if  
337 microstructure is more porous, it gives brittle behavior and eases the water diffusion  
338 through the crust, strongly affecting the permeability of porous materials. A crispy texture  
339 has been associated with low values of moisture content and water activity, when starch  
340 and gluten matrix are in a glassy state and thus cell walls become more susceptible to

341 fracture (Stokes and Donald, 2000). Therefore, starch based coatings decreased the  
342 mechanical properties associated to crispness, but sensory analysis indicated that those  
343 changes did not induce significant differences in the perceived attributes.

344 The failure deformation increased significantly ( $p<0.05$ ) in the treated breads, with the  
345 exception of breads treatment with S1, which presented lowest deformation value than  
346 control bread. High values of failure deformation have been obtained in breads with thick  
347 crust suggesting stiffer crust structure (Altamirano-Fortoul and Rosell, 2011). Although no  
348 significant differences were found in the coatings thickness, the higher failure deformation  
349 observed in the crust with double starch coating suggested the presence of thicker crust in  
350 those samples, which would be expected after the swelling occurred during full baking.

351

### 352 *3.3 Effect of storage conditions*

353 No crust separation was observed during the bread storage, neither in the control or the  
354 treated bread. The moisture content of the crust increased with the storage time (Figure  
355 3a), observing the most rapid increase during the first four hours after baking, which  
356 agrees with previous results of Altamirano-Fortoul and Rosell (2011). No significant  
357 effect was observed in the presence of the different coatings, the only distinguishable  
358 effect was observed in S2 that had lower initial slope, but higher moisture content when  
359 reaching the plateau. If the initial slope of the plots is taken as a measure of the speed of  
360 water uptake by the crust, the coating S2 reduced that speed, which has been related to  
361 higher porosity of the bread crust (Primo-Martín et al., 2008). This observation agrees  
362 with the coating microstructure of S2 that had accumulation or agglomerates of  
363 microcapsules, which could facilitate water diffusion from the crumb and the atmosphere  
364 surroundings. However, after prolonged storage high water uptake was obtained likely due  
365 to the agglomerates hydration.

366 Crust water activity was also affected by probiotic coatings during storage time (Figure  
367 3b). This parameter also presented a rapid initial increase during the first four hours after  
368 baking. Control crust showed the highest increase of water activity. It has been described  
369 that at  $A_w = 0.6$  water content starts to increase in an exponential fashion producing film  
370 structural changes which allow a facilitated water transport phenomenon (Bertuzzi et al.,  
371 2007). Coatings decreased the slope of the curves, being the highest reduction observed  
372 with treatment S2. Considering together the results obtained of treatment S2 for moisture  
373 content and water activity, it seems that at short storage period microcapsule agglomerates  
374 reduced the ability of the crust to retain water. However, after 4 hours storage  
375 agglomerates hydration might be responsible of higher crust moisture content with tightly  
376 bound water molecules, as suggest the lower water activity observed.

377

378 With respect to the mechanical properties of bread with probiotic coatings, failure force  
379 increased throughout the time of storage (Figure 3c). The crust on control bread presented  
380 an increase in failure force as a result of moisture migration from the crumb to the crust  
381 and from the surrounding atmosphere to the crust. Consequently, the initially crispy crust  
382 becomes soft and leathery within very short period of storage. Samples with coatings  
383 showed initially lower crust failure force than the control, and the same trend was  
384 observed along the storage period. Loaves with treatment S2 presented the lowest value of  
385 failure force after 6 and 24 h of storage, thus coating S2 showed small increase of the  
386 failure force during storage. Coatings modified the crust structure, due to the new layers  
387 addition, which decreased the failure force in the fresh bread, but they did not significantly  
388 modify the trend during bread storage.

389

390 **3.4 Crust structure**



391 Scanning electron microscopy observations of surface and cross-section of probiotic crusts  
392 are showed in Figure 4. Control crust showed a continuous veil-like film that revealed a  
393 dominant presence of the partially gelatinized starch granules (Figure 4a), whereas the  
394 cross section showed a compact structure resulting from starch gelatinization and protein  
395 denaturation (Figure 4b).

396 Probiotic coatings induced significant differences in the crust microstructure. The crust  
397 with coating S1 showed a smooth and homogenous background due to gelatinized starch,  
398 together with some agglomerates of roughly polyhedral microcapsules (Figure 4c). The  
399 micrograph of crust coated with S2 also revealed a homogenous background of starch, but  
400 the microcapsules appeared more concentrated, leading to denser zones, as was observed  
401 in the coating microstructure (Figure 4e). The sample treated with S3 showed higher  
402 density of microcapsules over the surface (Figure 4g) comparing with the other samples,  
403 which might be attributed to the high concentration of microcapsules used in this  
404 treatment. Less difference was observed in the cross section micrographs (Figure 4 b, d, f,  
405 h). A compact cross section with some small void spaces were observed in all the  
406 micrographs, although less void spaces were observed in the samples with double starch  
407 layers (Figure 4 f, h). In the crust cross sections the microcapsules were observed onto the  
408 surface, and in sample with S3 the microcapsules were more embedded in the gelatinized  
409 matrix. Thus it seems that the microcapsules were better covered when they were located  
410 between two starch layers, which agree with the survival results described in section 3.2.

411

#### 412 **4. Conclusion**

413 Overall results show that *Lactobacillus acidophilus* included in microcapsules can be  
414 incorporated to bread surface through edible coatings, leading to bread with similar  
415 characteristics to common bread, but with additional healthy benefits. Edible coatings

416 have been used as a vehicle for microorganism and the physical properties of the resulting  
417 bread confirmed the potential use of this procedure for obtaining healthier baked goods.  
418 The survival of microencapsulated *Lactobacillus acidophilus* demonstrated the ability of  
419 starch solution to protect the microcapsules during baking and storage time, likely due to  
420 the adhesion of the microcapsules to the starch macromolecules. This study also shows  
421 that the functionality of edible coatings depends on their composition (suspension  
422 constituents) and the coating procedure (monolayer, successive layer or multi coating)  
423 onto the product. Considering the microorganism survival, the physico-chemical  
424 properties of the bread crust and the economy of the process, the treatments S1 and S2  
425 would be the best alternative for carrying the microcapsules. Currently, studies are  
426 undertaken to confirm the probiotic effect of these breads by carrying out *in vitro* and *in*  
427 *vivo* studies.

428

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436

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523

524

525 **FIGURE CAPTIONS**

526 **Figure 1.** Digital images of probiotic coatings. The composition of a) S1, b) S2 and c) S3  
527 is detailed in Materials and methods section.

528

529 **Figure 2.** Scanning electron micrograph of starch-based coating film. Legends: (a):  
530 Microcapsules, (b): Surface of a starch coating film containing microcapsules, (c): Cut  
531 side of S1 coating film, (d): Cut side of S2 film, (e): Cut side of S3 film.

532

533 **Figure 3.** Physico-chemical properties of the probiotic bread crusts during a short storage  
534 at 25°C. (a): moisture content vs. time, (b): water activity vs. time, (c): failure force vs.  
535 time. S1, S2, S3 are referred to the different probiotic coatings applied to the bread  
536 surface.

537

538 **Figure 4.** Scanning electron micrographs of bread crust surface (a, c, e, g) and cross  
539 section (b, d, f, h). Images correspond to the following probiotic coatings treatments: (a,  
540 b): Crust without probiotic coating, (c, d): crust with S1 treatment, (e, f): crust with S2  
541 treatment, (g, h): crust with S3 treatment. Scale bars of 30µm.

542 **Table 1.** Probiotic coatings concentrations applied onto the bread surface

Sample	Probiotic Coatings	Dosage
S1	1) Starch-microcapsules solution	5% starch containing 1% microcapsules
S2	1) Starch-microcapsules solution	5% starch containing 1% microcapsules
	2) Starch solution without microcapsules	5% starch
S3	1) Starch solution without microcapsules	5% starch
	2) Microcapsules sprayed	2% microcapsules
	3) Starch solution without microcapsules	5% starch

543

544



545 **Table 2.** Survival of *Lactobacillus acidophilus* after 24 h of short-term storage.  
 546

Samples	Concentration of <i>Lactobacillus</i> <i>acidophilus</i> <sup>a</sup> in each bread	Fresh bread (CFU/bread)	24h stored bread (CFU/bread)
Control	0.00E+00	0.00E+00	0.00E+00
S1	4.83E+07	2.40E+07	1.70E+06
S2	4.83E+07	3.05E+07	1.15E+06
S3	9.66E+07	2.75E+07	1.22E+06

547

548 <sup>a</sup>The initial concentration of *Lactobacillus acidophilus* in the microcapsule was  
 549 4.83E+08 UFC/g.

550 **Table 3.** Characteristics of fresh bread treated with probiotic coatings.

Sample	Control	S1	S2	S3
Specific volume ml/g	2.9 b	2.9 b	2.8 a	2.9 b
<i>L</i> *	61.7 c	61.3 c	57.9 b	56.4 a
<i>a</i> *	11.9 b	12.1 b	10.4 a	10.3 a
<i>b</i> *	37.0 b	37.6 b	25.8 a	24.5 a
Crust <i>A<sub>w</sub></i>	0.43 a	0.54 b	0.54 b	0.56 c
Crust moisture content (%)	7.9 b	9.4 c	6.6 a	9.9 d
Failure force (N)	13.1 d	11.4 c	9.6 a	10.6 b
Failure deformation (mm)	4.3 b	3.4 a	5.1 c	4.9 c
Failure firmness (N/mm)	2.6 c	3.4 d	1.9 a	2.2 b
<i>Sensory analysis</i>				
Crust appearance	4.1 a	3.1 a	3.7 a	3.1 a
Odour	3.7 a	3.7 a	3.1 a	3.9 a
Crust colour	4.0 a	3.3 a	3.6 a	2.7 a
Crispiness	4.3 a	3.9 a	3.1 a	3.9 a
Crumb hardness	4.1 a	3.4 a	4.0 a	3.9 a

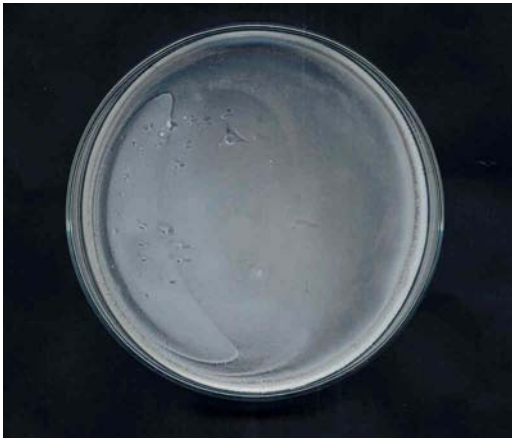
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552 Means sharing the same letter within a row were not significantly different ( $p < 0.05$ ).

553

554 **Figure 1.**

555 **a**



**b**



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**c**



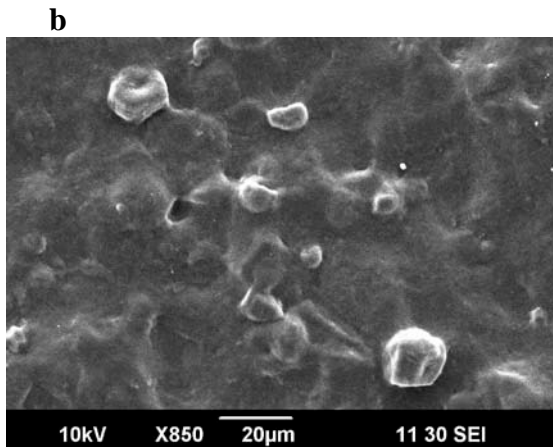
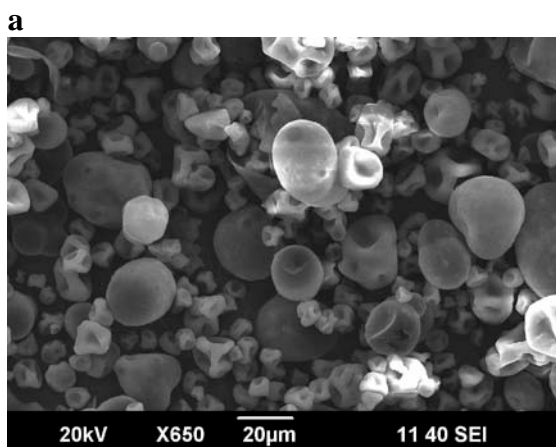
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561 **Figure 2.**

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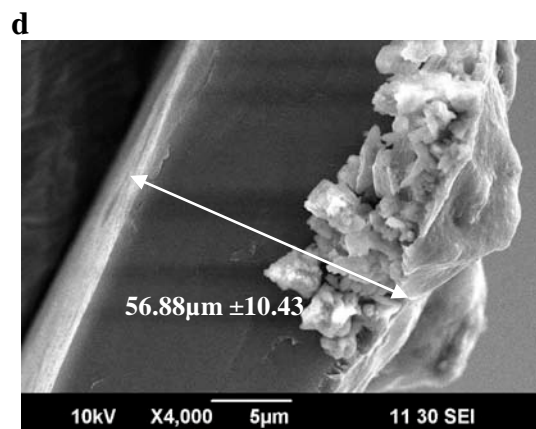
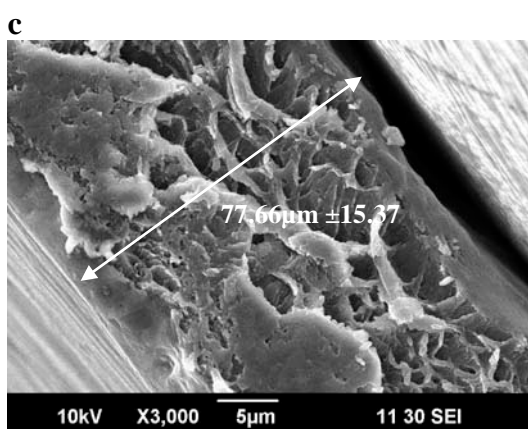
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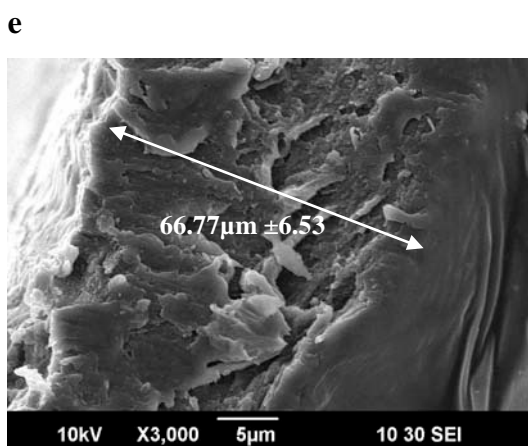
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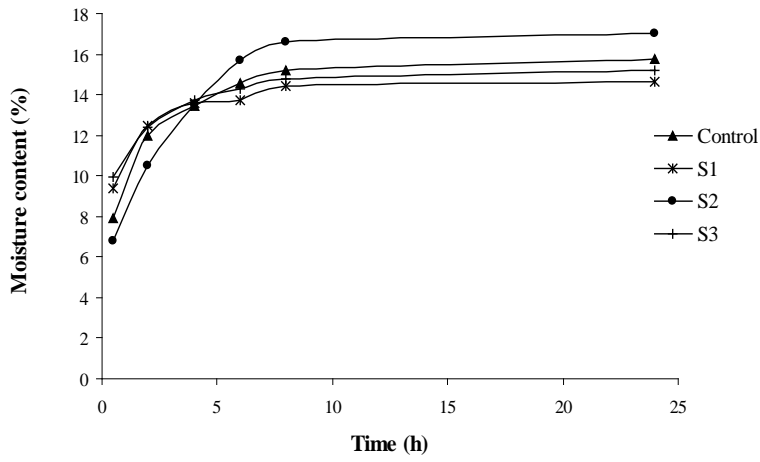
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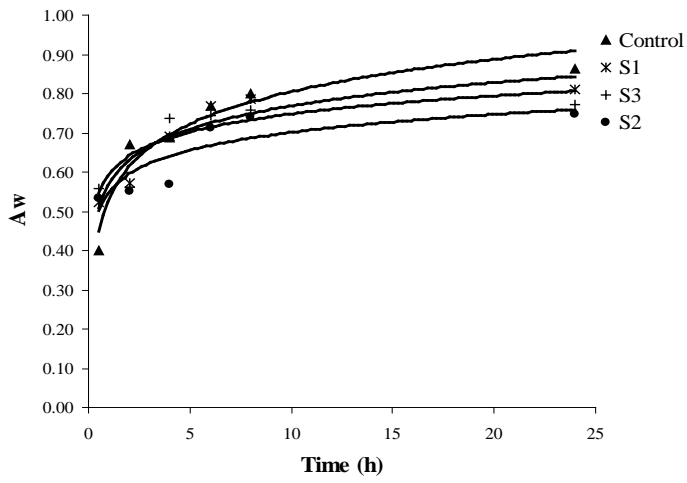
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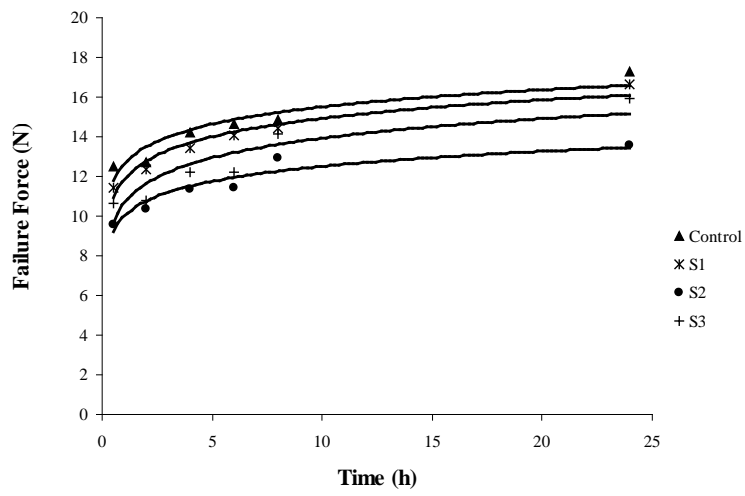
571 **Figure 3.**  
 572 **a.**  
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574 **b.**  
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576 **c.**  
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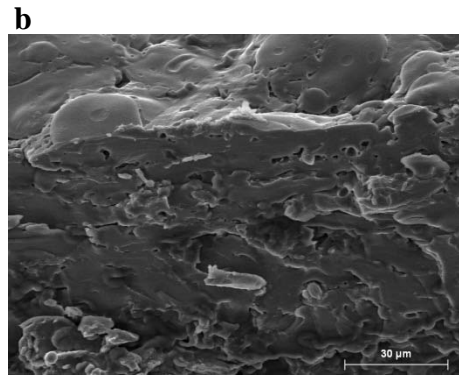
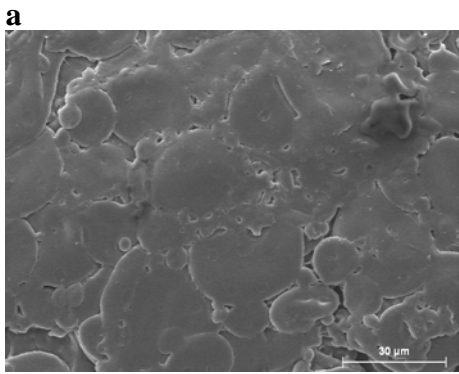


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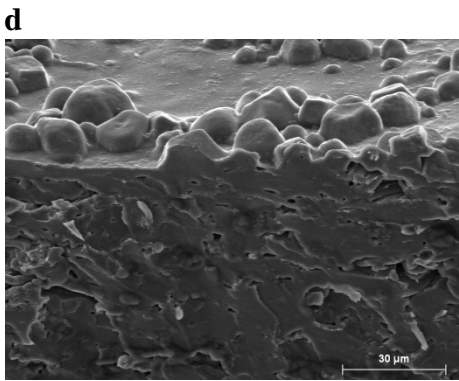
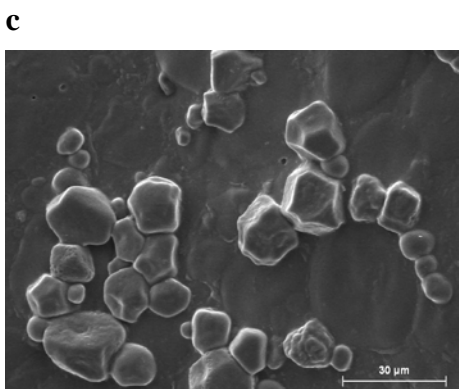
580 **Figure 4**

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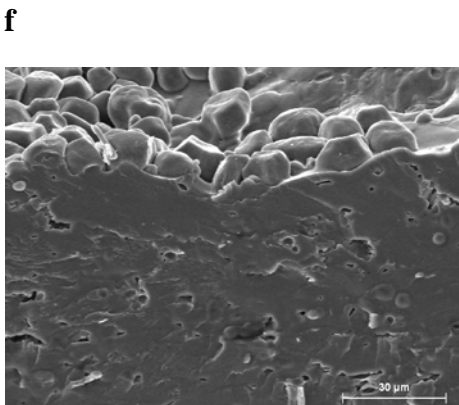
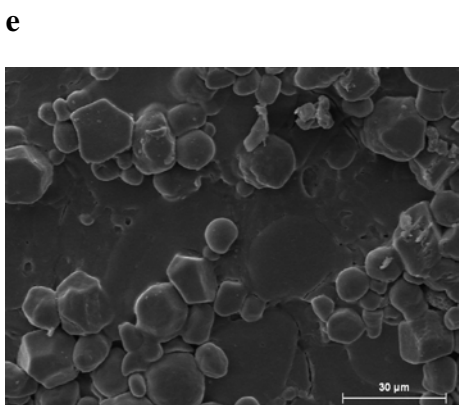
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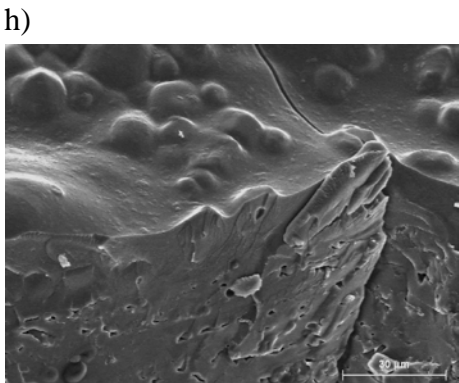
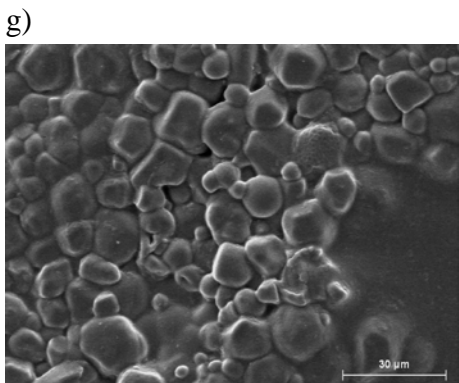
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