

Quenching of magnetic excitations in single adsorbates at surfaces: Mn on CuN/Cu(100)Frederico D. Novaes,¹ Nicolás Lorente,² and Jean-Pierre Gauyacq^{3,4}¹*Institut de Ciència de Materials de Barcelona, CSIC, Campus de la UAB, E-08193 Bellaterra, Spain*²*Centre d'Investigació en Nanociència i Nanotecnologia, CSIC-ICN, Campus de la UAB, E-08193 Bellaterra, Spain*³*CNRS, Institut des Sciences Moléculaires d'Orsay (ISMO), Unité de Recherches CNRS-Université Paris-Sud, UMR 8214, Bâtiment 351, Université Paris-Sud, 91405 Orsay Cedex, France*⁴*Université Paris-Sud, Institut des Sciences Moléculaires d'Orsay (ISMO), Unité de Recherches CNRS-Université Paris-Sud UMR 8214, Bâtiment 351, Université Paris-Sud, 91405 Orsay Cedex, France*

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The lifetimes of spin excitations of Mn adsorbates on CuN/Cu(100) are computed from first principles. The theory is based on a strong-coupling approach that evaluates the decay of a spin excitation due to electron-hole pair creation. Using a previously developed theory [*Phys. Rev. Lett.* **103**, 176601 (2009) and *Phys. Rev. B* **81**, 165423 (2010)], we compute the excitation rates by a tunneling current for all the Mn spin states. A rate equation approach permits us to simulate the experimental results by Loth and co-workers [*Nat. Phys.* **6**, 340 (2010)] for large tunneling currents, taking into account the finite population of excited states. Our simulations give us insight into the spin dynamics, in particular, in the way polarized electrons can reveal the existence of an excited-state population. In addition, it reveals that the excitation process occurs in a way very different from the deexcitation one. Indeed, while excitation by tunneling electrons proceeds via the *s* and *p* electrons of the adsorbate, deexcitation mainly involves the *d* electrons.

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I. INTRODUCTION

Recently, a series of experimental studies¹⁻⁶ with low-temperature scanning tunneling microscope (STM) revealed that isolated adsorbates on a surface could exhibit a magnetic structure, i.e., that a local spin could be attributed to the adsorbate. Interaction of this local spin with its environment results in several magnetic energy levels that correspond to different orientations of the local spin relative to the substrate. A magnetic field, *B*, was also applied to the system: increasing the *B* field decouples the local spin from its environment and the system switches to a Zeeman structure, thus helping to characterize the adsorbate magnetic anisotropy. The energy of the various magnetic levels were obtained via a low-temperature inelastic electron tunneling spectroscopy (low-*T* IETS) experiment, in which the tip-adsorbate junction conductivity as a function of the STM bias exhibits steps at the magnetic excitation thresholds. The magnetic excitation energies are small, typically in the few milli-electronvolt range. Besides the spectroscopy properties, the IETS experiments also revealed that the conductance steps at the magnetic inelastic thresholds are very high, i.e., that the efficiency of the tunneling electrons in inducing magnetic transitions in the adsorbate is extremely large. In metal-phthalocyanine adsorbates, for example,^{3,4} the tunneling current is dominated by its inelastic component when the bias is above the magnetic excitation thresholds. This efficiency is at variance with the efficiency of tunneling electrons in exciting vibrational modes in a molecular adsorbate which was observed and shown to only reach the few percent range.⁷⁻⁹ Several theoretical accounts of the magnetic excitation process have been reported.^{2,10-12} A strong-coupling approach^{13,14} of this problem was also introduced that quantitatively accounted for the very large efficiency of tunneling electrons in inducing magnetic transitions.^{13,14} An analysis of

the magnetic excitation processes in these systems in terms of spin-transfer torque has been presented by Delgado *et al.*¹⁵ These systems present rich physics showing nonequilibrium aspects as reported in Ref. 16 and many-body interactions as discussed in Ref. 17

The existence on a surface of nanomagnets, the orientation of which could be changed at will by tunneling electrons, opens fascinating perspectives for the miniaturization of electronics. However, to lead to easily manageable devices, the excitation of local spins must have, among other properties, a sufficiently long lifetime. It is thus of paramount importance to know the decay rate of the excited levels of the local spin and in particular to decipher the various parameters and effects that govern its magnitude. Experimentally, the local spins were observed in systems in which a coating on the surface was separating the magnetic adsorbate carrying the local spin from the metal substrate. Experiments on adsorbates directly deposited on a metallic substrate did not lead to sharp IETS structures¹⁸ as the others and this was attributed to a too short lifetime of the magnetic excitation on metals, stressing the importance of the decoupling layer between local spin and substrate in stabilizing the magnetic excitation. Deexcitation of a local spin implies an energy transfer from the local spin to the substrate degrees of freedom, i.e., to the substrate electrons or to phonons. Phonons are not directly coupled to spin variables but only via spin-orbit couplings (see, e.g., a discussion in Ref. 19). In contrast, the adsorbate spin variables can be directly coupled to substrate electrons and electrons colliding on a magnetic adsorbate can easily induce magnetic transitions. Actually, this is exactly what happens in the magnetic excitation induced by tunneling electrons in the IETS experiments described above; in the deexcitation process the tunneling electrons are simply replaced by substrate electrons. The decay of excited magnetic states in individual adsorbates thus proceeds via electron-hole pair creation. Substrate electrons col-

liding on the adsorbate can be thought to be as efficient in inducing magnetic transitions as tunneling electrons injected from an STM tip. In this qualitative view, one can expect the magnetic excitation decay rate to be the product of the collision rate of substrate electrons on the adsorbate by a very high-efficiency factor.

Recently, the decay rate of excited magnetic Mn atoms adsorbed on CuN/Cu(100) has been measured by Loth *et al.*²⁰ via the analysis of the dependence of the adsorbate conductivity on the tunneling current. The decay of the magnetic excitations was interpreted in the above scheme as a decay induced by collision with substrate electrons. The lifetimes of magnetic excitations were typically found to be on the order of a fraction of nanosecond. In the present paper, we report on a theoretical *ab initio* study of the lifetime of magnetic excitations in the Mn/CuN/Cu(100) system using both a density-functional-theory (DFT)-based description of the system and the strong-coupling formalism^{13,14} developed to treat magnetic transitions induced by tunneling electrons; the corresponding results are compared with Loth *et al.* data.²⁰

II. METHOD

A. Description of the magnetic deexcitation

The present treatment of the decay of magnetic excitations closely parallels our earlier treatment of magnetic excitations in IETS (see details in Ref. 14). We assume that the magnetic levels of the adsorbate can be described by the following magnetic anisotropy Hamiltonian:²¹

$$H = g\mu_B\vec{B} \cdot \vec{S} + DS_z^2 + E(S_x^2 - S_y^2), \quad (1)$$

where \vec{S} is the local spin of the adsorbate, g the Landé factor, and μ_B the Bohr magneton. \vec{B} is an applied magnetic field. D and E are two energy constants describing the interaction of \vec{S} with the substrate, i.e., the magnetic anisotropy of the system. Diagonalisation of Hamiltonian (1) yields the various states, $|\Phi_i\rangle$, of the local spin of Mn (we have used $S=2.5$, $g=1.98$, $D=-41 \mu\text{eV}$, and $E=7 \mu\text{eV}$ obtained in the experimental work²⁰ by adjustment to the magnetic excitation energy spectrum). For Mn on CuN, the local spin is 2.5 and there are thus six magnetic levels.

Figure 1 presents the energies E_i of the anisotropy states, eigenstates of Hamiltonian (1) as a function of B , the applied magnetic field. Below, the ground state is noted 0 and the excited states with $i=1-5$. The easy axis of the system, the z axis, is normal to the surface in this system. In the present study, coherently with the experimental study of Loth *et al.*,²⁰ the B field has been put parallel to the surface, along the x axis. As B is increased, the magnetic structure of the system changes from a magnetic anisotropy induced by the substrate with three doubly degenerate states at $B=0$ to the six states of a quasi-Zeeman structure at large B , where the $|\Phi_i\rangle$ states are eigenstates of \vec{S}^2 and S_x . The ground state at large finite B corresponds approximately to the $M_x=-2$ state. The energy diagram in Fig. 1 thus corresponds to the decoupling of the magnetic anisotropy by the B field. The structure

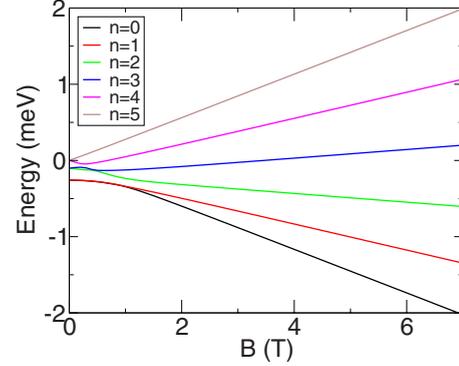


FIG. 1. (Color online) Energies of the six magnetic states in the Mn/CuN/Cu(100) system, eigenvalues of the magnetic anisotropy Hamiltonian (1). They are presented as a function of the applied magnetic field, B , for a field along the \hat{x} direction (see Fig. 2).

appears a little complex at low B with several avoided crossings since the B field is not along the principal magnetic axis of the system.

The aim of the present work is to compute the decay of the excited states by collision with substrate electrons, i.e., by electron-hole pair creation. The decay rate, $\Gamma_{Tot,i}$, of an excited state, $|\Phi_i\rangle$, eigenstate of the Hamiltonian (1) with energy E_i , is the inverse of its lifetime τ_i and it can then be written using matrix elements of the T transition matrix (we assume the energy variation in the T matrix to be small on the energy scale of the $i \rightarrow f$ transition and we assume a vanishing temperature of the substrate),²²

$$\begin{aligned} \frac{1}{\tau_i} &= \Gamma_{Tot,i} = \sum_f \Gamma_{i,f} \\ &= \sum_f \frac{2\pi\delta\Omega_f}{\hbar} \sum_{\substack{k_i, k_f \\ m_i, m_f}} |\langle k_f, m_f, \Phi_f | \hat{T} | k_i, m_i, \Phi_i \rangle|^2 \\ &\quad \times \delta(\varepsilon_i - \varepsilon_f) \delta(\varepsilon_i - E_f), \end{aligned} \quad (2)$$

where $|\Phi_f\rangle$ are the final states of the decay, associated to an energy transfer of $\delta\Omega_f = E_i - E_f$, and the total energy is $E_T = E_i + \varepsilon_i = E_f + \varepsilon_f$. The initial and final states of the substrate electrons are noted by their wave numbers, k_i and k_f , and by their initial and final spin projections on the quantization axis, m_i and m_f . The substrate is assumed to be nonmagnetic. Each term, $\Gamma_{i,f}$, in the sum over f is the partial decay rate of the initial state to a peculiar final state.

The electron-adsorbate collisions being very fast, we can treat them without the magnetic anisotropy [Hamiltonian (1)] taken into account and later, include it in the sudden approximation. The electron-adsorbate collision is treated in a DFT-based approach (see Sec. II B below) whereas the spin transitions are treated in the sudden approximation in a way similar to our earlier study of magnetic excitation.^{13,14} We thus define a collision amplitude for the substrate electrons independently of the magnetic anisotropy, it is a function of both the initial and final electron momenta, k_i and k_f , and of the spin coupling between electron and adsorbate. The electron-adsorbate spin-coupling scheme is defined via, \vec{S}_T ,

the total spin of the electron-adsorbate system: $\vec{S}_T = \vec{S} + \vec{s}$, where \vec{S} is the adsorbate spin and \vec{s} is the tunneling electron spin. The projection of \vec{S}_T on the quantization axis is noted M_T . If the adsorbate spin is S , there are two collision channels corresponding to $S_T = S + \frac{1}{2}$ and $S_T = S - \frac{1}{2}$. The decay rate is then expressed as a function of the two channel amplitudes, T^{S_T}

$$\frac{1}{\tau_i} = \sum_f \frac{2\pi\delta\Omega_f}{\hbar} \times \sum_{\substack{k_i, k_f \\ m_i, m_f}} \left| \sum_{S_T, M_T} \langle k_f, m_f, \Phi_f | S_T, M_T \rangle T^{S_T} \langle S_T, M_T | k_i, m_i, \Phi_i \rangle \right|^2 \times \delta(\varepsilon_i - \varepsilon_f) \delta(\varepsilon_i - E_F), \quad (3)$$

that can be reexpressed as

$$\frac{1}{\tau_i} = \sum_f \frac{2\pi\delta\Omega_f}{\hbar} \sum_{\substack{k_i, k_f \\ m_i, m_f}} \delta(\varepsilon_i - \varepsilon_f) \delta(\varepsilon_i - E_F) \times \left| \sum_{S_T} \langle k_f | T^{S_T} | k_i \rangle \sum_{M_T} \langle m_f, \Phi_f | S_T, M_T \rangle \langle S_T, M_T | m_i, \Phi_i \rangle \right|^2. \quad (4)$$

One can see that the contributions from the two S_T terms are interfering. This corresponds to the *a priori* general case where no selection rule applies to the tunneling process. In that case, the above expression can be simplified by making an extra statistical approximation that neglects the interferences between the two S_T channels. However, in our earlier studies on excitation processes^{13,14} it was found that one of the two S_T channels was dominating the tunneling process between tip and adsorbate. Here for deexcitation in the Mn on CuN/Cu(100) system, only one S_T coupling scheme does contribute significantly to the collision between substrate electrons and adsorbate (see Sec. II B) and is thus included in the present treatment. One can note though that the dominating channel for the deexcitation process (collisions with electrons coming from the substrate) is different from that dominating the excitation (collision with tunneling electrons) (see Sec. II B).

If one considers a single S_T channel, the decay rate can be rewritten as

$$\frac{1}{\tau_i} = \sum_f \frac{2\pi\delta\Omega_f}{\hbar} \sum_{\substack{k_i, k_f \\ m_i, m_f}} \delta(\varepsilon_i - \varepsilon_f) \delta(\varepsilon_i - E_F) \times |\langle k_f | T^{S_T} | k_i \rangle|^2 \left| \sum_{M_T} \langle m_f, \Phi_f | S_T, M_T \rangle \langle S_T, M_T | m_i, \Phi_i \rangle \right|^2 = \sum_f \frac{2\pi\delta\Omega_f}{\hbar} \sum_{\substack{k_i, k_f \\ m_i, m_f}} \delta(\varepsilon_i - \varepsilon_f) \delta(\varepsilon_i - E_F) \times |\langle k_f | T^{S_T} | k_i \rangle|^2 P_{i, m_i \rightarrow f, m_f}^{S_T}, \quad (5)$$

where $P_{i, m_i \rightarrow f, m_f}^{S_T}$ is a matrix element of spin variables only, corresponding to the S_T coupling scheme for the

($i, m_i \rightarrow f, m_f$) transition. Equation (5) then becomes

$$\frac{1}{\tau_i} = \sum_f \frac{2\pi\delta\Omega_f}{\hbar} \left(\sum_{k_i, k_f} \delta(\varepsilon_i - \varepsilon_f) \delta(\varepsilon_i - E_F) |\langle k_f | T^{S_T} | k_i \rangle|^2 \right) \times \left(\sum_{m_i, m_f} P_{i, m_i \rightarrow f, m_f}^{S_T} \right) = \sum_f \frac{2\pi\delta\Omega_f}{\hbar} T^{S_T}(E_F) \left(\sum_{m_i, m_f} P_{i, m_i \rightarrow f, m_f}^{S_T} \right). \quad (6)$$

The total and partial decay rates are then obtained via

$$\frac{1}{\tau_i} = \sum_f \Gamma_{i, f} = \sum_f \frac{\delta\Omega_f}{h} (2\pi)^2 T^{S_T}(E_F) P_{Spin}(S_T, i \rightarrow f). \quad (7)$$

Each partial decay rate thus appears as the product of a spin-transition probability by the electron flux hitting the adsorbate in the energy range able to perform the studied transition.

As we will see below, the DFT-based calculation of the electron flux is not performed in the S_T, M_T spin-coupling base, but by specifying the scattering electron-spin state (majority or minority). Thus we cannot perform a one to one identification. However, scattering through the adsorbate being dominated by a S_T channel, we can identify the electron flux in the S_T channel [$T^{S_T}(E_F)$] with its equivalent in the DFT approach, the total electron flux hitting the adsorbate $T^{Total}(E_F)$. So that finally, the total decay rate is obtained as

$$\frac{1}{\tau_i} = \Gamma_{Tot, i} = \sum_f \Gamma_{i, f} = T^{Total}(E_F) \sum_f \frac{\delta\Omega_f}{h} P_{Spin}(i \rightarrow f). \quad (8)$$

The decay rate is then equal to the total flux of substrate electrons hitting the adsorbate per second in the appropriate energy range, $T^{Total}(E_F) \delta\Omega_f$, times a spin-transition probability. Below, $T^{Total}(E_F)$ is identified with the equivalent quantity computed in the DFT approach (Sec. II B). One can stress the great similarity of this expression with that of the inelastic conductivity obtained in Refs. 13 and 14 as the total conductivity times a spin-transition probability.

B. DFT-based calculation of the substrate electron collision rate

The quantity that we want to compute from first-principles simulations is,

$$T(E_F) = (2\pi)^2 \sum_{k_i, k_f} |\langle k_i | \hat{T} | k_f \rangle|^2 \delta(\varepsilon_i - \varepsilon_f) \delta(\varepsilon_i - E_F). \quad (9)$$

In this equation, $|k_i\rangle$ and $|k_f\rangle$ are asymptotic states that are solutions to the Hamiltonian sufficiently far away from a scattering center. In our case, the scattering center is the adsorbed atom. The goal is to calculate the amount of substrate [CuN/Cu(100) surface] electrons that scatter at the adsorbed Mn atom. From this quantity, we obtain $T^{Total}(E_F)$ by summing the values for both spin directions.

$$T^{Total}(E_F) = T(E_F, \uparrow) + T(E_F, \downarrow). \quad (10)$$

Following standard scattering theory formalism, we write the solutions to the full Hamiltonian (surface+adsorbed atom) as,

$$|\psi\rangle = |k_i\rangle + \hat{G}^r \hat{V} |k_i\rangle = |k_i\rangle + \hat{G}^{0r} \hat{V} |\psi\rangle, \quad (11)$$

where \hat{V} is the perturbation that couples the adsorbed atom and the substrate; and \hat{G}^r and \hat{G}^{0r} are the full system's and unperturbed retarded Green's functions, respectively. The \hat{T} operator is then written as,

$$\hat{T} = \hat{V} + \hat{V} \hat{G}^r \hat{V}. \quad (12)$$

In order to actually compute these vectors, matrices, and ultimately $T(E_F)$, we have used the SIESTA (Ref. 23 and 29) code, and the usual techniques that rely on the use of strictly localized atomiclike orbitals to partition an infinite system.²⁴ When using a set of strictly localized basis set, the Hamiltonian

$$\mathbf{H} = \begin{pmatrix} \mathbf{H}_{SS} & \mathbf{H}_{SA} \\ \mathbf{H}_{AS} & \mathbf{H}_{AA} \end{pmatrix}, \quad (13)$$

where the $\mathbf{H}_{\mu\nu}$ are themselves matrices whose elements $H_{i,j} = \langle \phi_i | H^{DFT} | \phi_j \rangle$ are computed with orbitals centered around either surface (S) atoms or around the adsorbed atom (A). These orbitals are not orthogonal, $\langle \phi_i | \phi_j \rangle = S_{i,j}$. In this representation, the coefficients of the $|\psi\rangle$ and $|k_i\rangle$ states form a vector of components,²⁵

$$\mathbf{c}_\psi = \begin{pmatrix} \mathbf{c}_S \\ \mathbf{c}_A \end{pmatrix}, \quad (14)$$

$$\mathbf{c}_{k_i} = \begin{pmatrix} \mathbf{c}_S^{k_i} \\ 0 \end{pmatrix}, \quad (15)$$

and the $|k_i\rangle$ are solutions to,

$$(\mathbf{H}_0 - E_S \mathbf{0}) \mathbf{c}_{k_i} = 0, \quad (16)$$

$$\mathbf{H}_0 = \begin{pmatrix} \mathbf{H}_{SS} & 0 \\ 0 & \mathbf{H}_{AA} \end{pmatrix}, \quad (17)$$

$$\mathbf{S}_0 = \begin{pmatrix} \mathbf{S}_{SS} & 0 \\ 0 & \mathbf{S}_{AA} \end{pmatrix}. \quad (18)$$

The matrix version of Eq. (11) is,

$$\begin{pmatrix} \mathbf{c}_S \\ \mathbf{c}_A \end{pmatrix} = \begin{pmatrix} \mathbf{c}_S^{k_i} \\ 0 \end{pmatrix} + \begin{pmatrix} \mathbf{G}_{SS}^r & \mathbf{G}_{SA}^r \\ \mathbf{G}_{AS}^r & \mathbf{G}_{AA}^r \end{pmatrix} \times \begin{pmatrix} \mathbf{V}_{SS} & \mathbf{V}_{SA} \\ \mathbf{V}_{AS} & \mathbf{V}_{AA} \end{pmatrix} \begin{pmatrix} \mathbf{c}_S^{k_i} \\ 0 \end{pmatrix} \quad (19)$$

and the Green's-functions matrices are defined as,

$$\mathbf{G}^r(E) = (E^+ \mathbf{S} - \mathbf{H})^{-1}, \quad (20)$$

$$\mathbf{G}^{0r}(E) = (E^+ \mathbf{S}_0 - \mathbf{H}_0)^{-1}, \quad (21)$$

$$E^+ = \lim_{\delta \rightarrow 0} E + i\delta. \quad (22)$$

What we need next, is to determine the perturbation matrix \mathbf{V} entering Eq. (11). By multiplying Eq. (19) by $(E^+ \mathbf{S} - \mathbf{H})$ from the left, we get that $\mathbf{V}_{SS} = 0$ and $\mathbf{V}_{AS} = \mathbf{H}_{AS} - E \mathbf{S}_{AS}$, where we have used Eq. (16) and the fact that,

$$\lim_{\delta \rightarrow 0} i\delta \mathbf{S} \mathbf{c}_{k_i} = 0. \quad (23)$$

Using the second part of Eq. (11)—the one that involves \hat{G}^{0r} —in a matrix form equivalent to Eq. (19), we get that $\mathbf{V}_{AA} = 0$. Imposing \mathbf{V} to be Hermitian, we finally have,

$$\mathbf{V} = \begin{pmatrix} 0 & (\mathbf{H}_{SA} - E \mathbf{S}_{SA}) \\ (\mathbf{H}_{AS} - E \mathbf{S}_{AS}) & 0 \end{pmatrix} \quad (24)$$

and we can see that $(\mathbf{H}_0 + \mathbf{V} - E \mathbf{S}_0) \mathbf{c}_\psi = 0$. The energy-dependent form of \mathbf{V} can be traced back to the use of a nonorthogonal basis set.^{26,27}

The value of $\langle k_i | \hat{T} | k_f \rangle$ can thus be calculated with,

$$\begin{aligned} \langle k_i | \hat{T} | k_f \rangle &= (\mathbf{c}_S^{k_i*} \ 0) \begin{pmatrix} \mathbf{T}_{SS} & \mathbf{T}_{SA} \\ \mathbf{T}_{AS} & \mathbf{T}_{AA} \end{pmatrix} \begin{pmatrix} \mathbf{c}_S^{k_f} \\ 0 \end{pmatrix} = \mathbf{c}_S^{k_i*} \mathbf{T}_{SS} \mathbf{c}_S^{k_f} \\ &= \mathbf{c}_S^{k_i*} (\mathbf{V}_{SA} \mathbf{G}_{AA}^r \mathbf{V}_{AS}) \mathbf{c}_S^{k_f}, \end{aligned} \quad (25)$$

where we have used Eq. (12). Substituting this back into Eq. (9), we get that,

$$\begin{aligned} \frac{T(E_F)}{(2\pi)^2} &= \sum_{k_i, k_f} \mathbf{c}_S^{k_i*} (\mathbf{V}_{SA} \mathbf{G}_{AA}^r \mathbf{V}_{AS}) \mathbf{c}_S^{k_f} \mathbf{c}_S^{k_i*} (\mathbf{V}_{SA} \mathbf{G}_{AA}^a \mathbf{V}_{AS}) \mathbf{c}_S^{k_i} \delta(\varepsilon_i - \varepsilon_f) \delta(\varepsilon_i - E_F) \\ &= \text{Tr} \left[\mathbf{V}_{AS} \left(\sum_{k_i} \mathbf{c}_S^{k_i} \mathbf{c}_S^{k_i*} \delta(\varepsilon_i - E_F) \right) \mathbf{V}_{SA} \mathbf{G}_{AA}^r \mathbf{V}_{AS} \left(\sum_{k_f} \mathbf{c}_S^{k_f} \mathbf{c}_S^{k_f*} \delta(\varepsilon_i - \varepsilon_f) \right) \mathbf{V}_{SA} \mathbf{G}_{AA}^a \right] \\ &= \frac{1}{(2\pi)^2} \text{Tr} [\Gamma_{AA}(E_F) \mathbf{G}_{AA}^r(E_F) \Gamma_{AA}(\varepsilon_i = E_F) \mathbf{G}_{AA}^a(E_F)] \end{aligned} \quad (26)$$

and we obtain the final expression for $T(E_F)$,

$$T(E_F) = \text{Tr} [\Gamma_{AA}(E_F) \mathbf{G}_{AA}^r(E_F) \Gamma_{AA}(E_F) \mathbf{G}_{AA}^a(E_F)]. \quad (27)$$

In the derivation of Eq. (26) we have used that: (1) $\mathbf{c}_S^j \mathbf{c}_S^{j*}$ can be considered to define a matrix: \mathbf{c}_S^j being a

column vector, and \mathbf{c}_S^{j*} a row vector. (2) The cyclic properties when taking the trace of a product of matrices. (3) The discontinuity of the *retarded* and *advanced* Green's functions at the real axis²⁸ that in our basis set, considering item 1, gives,

$$\sum_j c_S^j c_S^{j*} \delta(E - \varepsilon_j) = \frac{i}{2\pi} [\mathbf{G}_{SS}^{0r}(E) - \mathbf{G}_{SS}^{0a}(E)]. \quad (28)$$

(4) The *self-energy* and *gamma* matrices are written as,²⁹

$$\mathbf{V}_{AS} \mathbf{G}_{SS}^{0r(a)} \mathbf{V}_{SA} = \mathbf{\Sigma}_{AA}^{r(a)}, \quad (29)$$

$$\mathbf{\Gamma}_{AA} = i[\mathbf{\Sigma}_{AA}^r - \mathbf{\Sigma}_{AA}^a]. \quad (30)$$

We now describe the procedure to obtain $T(E_F)$, defined in Eq. (27), from our *ab initio* simulations. The ideas are the same as the ones used to derive the equations for the transmission function for electronic transport calculations but since the final formulas are not exactly the same, we here include the derivation of the relations that we have used in the present work. To do so, we start by rewriting the DFT Hamiltonian [Eq. (13)],

$$\mathbf{H} = \begin{pmatrix} \mathbf{H}_{EE} & \mathbf{H}_{EC} & 0 \\ \mathbf{H}_{CE} & \mathbf{H}_{CC} & \mathbf{H}_{CA} \\ 0 & \mathbf{H}_{AC} & \mathbf{H}_{AA} \end{pmatrix}, \quad (31)$$

where \mathbf{H}_{EE} is a semi-infinite matrix describing the semi-infinite electrode (a region where the electronic structure, and matrix elements are assumed to be already bulklike); and \mathbf{H}_{CC} is the “slab” that describes the actual surface. The size of the *C* (for *contact*) region is in principle arbitrary (but finite), as long as it is thick enough to have: (i) the \mathbf{H}_{EA} (\mathbf{H}_{AE}) matrix elements equal to zero; and (ii) the \mathbf{H}_{EE} matrix elements sufficiently converged to bulk values.

For the purpose of simplifying the notation, let us define an \mathbf{h} matrix to be,

$$\mathbf{h} = \mathbf{E} \mathbf{S} - \mathbf{H}. \quad (32)$$

To compute $T(E_F)$, we need $\mathbf{G}_{AA}^r(E_F)$ and $\mathbf{\Gamma}_{AA}(E_F)$, where,

$$\mathbf{G}_{AA}^r = (\mathbf{h}_{AA} - \mathbf{\Sigma}_{AA})^{-1}. \quad (33)$$

From Eqs. (29), (30), and (33), we can see that what we need is to compute $\mathbf{G}_{CC}^{0r(a)}$, the finite portion of $\mathbf{G}_{SS}^{0r(a)}$ needed to compute the self-energies,

$$\mathbf{G}_{CC}^{0r} = (\mathbf{h}_{CC} - \mathbf{h}_{CE} \mathbf{G}_{EE}^{0r} \mathbf{h}_{EC}), \quad (34)$$

where it is important to note that only a finite number of elements of \mathbf{h}_{CE} (\mathbf{h}_{EC}) have nonzero values, hence only a finite portion of \mathbf{G}_{EE}^{0r} needs to be calculated. Since the \mathbf{h}_{EE} matrix elements are assumed to be already “bulklike,” the \mathbf{G}_{EE}^{0r} matrix is obtained using the matrices extracted from a bulk calculation of the electrode.^{29,30}

From these equations, we see that $T(E_F)$ represents the flux of electrons coming from the substrate and scattering off the adsorbate back into the substrate. This quantity is different from the transmission function appearing in a Landauer-type approach,²⁹ where the adsorbate is connected to two electrodes. The difference is clear in the above equations, here a unique reservoir (the substrate) appears in the self-energies, and hence the decay appearing in the elastic Green’s function, Eq. (33), has only one self-energy instead of two in the transport case.²⁹

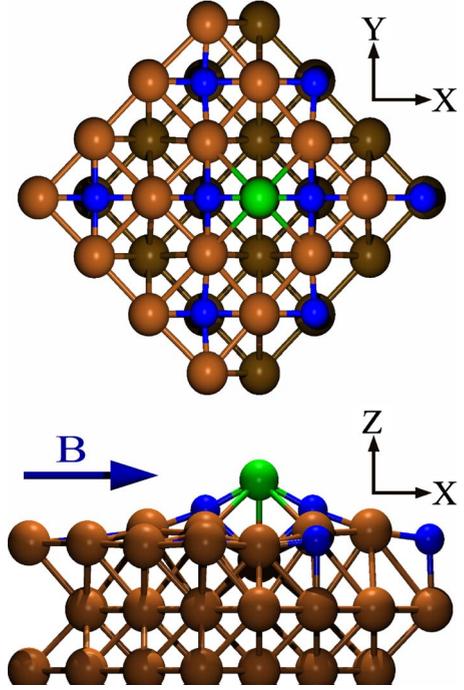


FIG. 2. (Color online) Top and lateral view of the geometry used to describe the Mn adsorbate (green) on top of a CuN/Cu(100) surface. The Mn atom is located on top of a Cu atom of the CuN layer, pushing it down while pulling up the N (blue) atoms close to it. The distance between two nearest-neighbor Cu (bulk) atoms is ~ 2.6 Å—we have considered a lattice parameter of 3.67 Å for the Cu bulk. The vertical distance between the Mn atom and the (unperturbed) CuN plane is ~ 2.0 Å, and its distance from the two closest N atoms is ~ 1.94 Å. The magnetic field is applied in the \hat{x} direction, as indicated.

III. DENSITY-FUNCTIONAL STUDY OF A SINGLE Mn ADSORBATE ON A CuN/Cu(100) SURFACE

The ground-state electronic-structure configuration and the value for $T^{Total}(E_F)$ were obtained by DFT simulations. Our DFT calculations were performed using the SIESTA code.²³ The supercell contained at least six 4×4 Cu (100) layers—a larger number of layers was used to test the convergence with respect to the size of the *C* region, as discussed in Sec. II B; one CuN layer; and one Mn atom (see Fig. 2). The Mn atom and the two outermost layers were relaxed until the forces were smaller than 0.03 eV/Å. A sampling of $3 \times 3 \times 1$ *k* points was used. We have used the generalized gradient approximation³¹ for the exchange-correlation potential.

We have obtained a total spin polarization ($Q_{up} - Q_{down}$) of $4.7 \mu_B$, which essentially corresponds to a $S = \frac{5}{2}$ spin configuration, in good agreement with experiment.²⁰ The spin polarization is localized around the Mn atom, that has five half-filled *d* orbitals, corresponding to five unpaired electrons. This can clearly be seen in Fig. 3.

We now turn to the calculation of $T^{Total}(E_F)$. As already discussed in Sec. II B, the thickness of the *C* region of Eq. (31) is arbitrary (to some extent), and here we have taken the first three surface layers (including the CuN layer), as shown

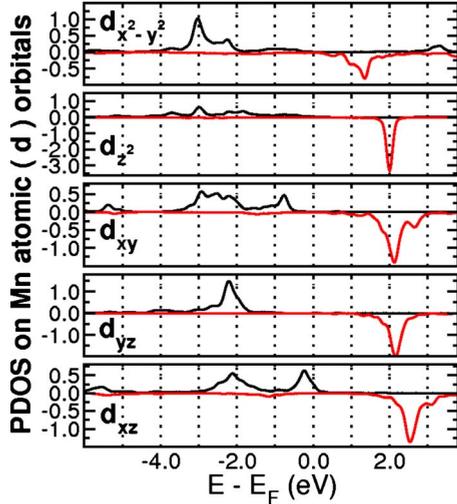


FIG. 3. (Color online) Projected density of states (PDOS) on the Mn atomic orbital for the case of Mn on CuN/Cu(100). For all the curves shown here, the positive (black) curves corresponds to the majority spin, and the negative (red) to the minority spin.

in Fig. 2. Since there is also some arbitrariness in the definition of what *the atom is*, we have considered different basis sets: from single zeta polarized (SZP) to double zeta polarized (DZP), with larger and shorter cut-off radii. The differences in the results were not appreciable, and so here we report just the results for a DZP basis with large cutoff values—up to 10 Å for the Mn basis orbitals.

We have computed $T(E)$ for a range of energy values around the Fermi level, and the results are shown in Fig. 4. As for $T^{Total}(E_F)$, we have found $T^{Total}(E_F) = T(E_F, \uparrow) + T(E_F, \downarrow) = 0.8 + 0.3 = 1.1$. When we analyze the orbital contribution to the function $T(E)$ of Fig. 4, we identify the d_{xz} and $d_{x^2-y^2}$ orbitals of Mn as responsible for the electron scattering off the Mn adsorbate. The above information on the characteristics of the substrate electrons hitting the adsorbate can be used to further specify the inputs of our spin-transition calculations. Indeed, the ground state of the system corresponds to putting one electron into each Mn d orbital (defined with the appropriate symmetry). This generates the $S=5/2$ M

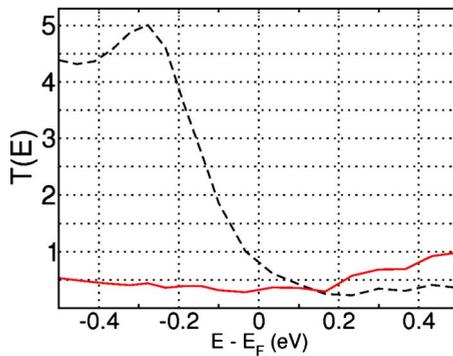


FIG. 4. (Color online) $T(E)$ for the case of Mn on CuN/Cu(100). The black (dashed) curve corresponds to the majority spin, and the red (continuous) to the minority spin. $T^{Total}(E_F)$ is the sum of $T(E)$ for both spins at $E=E_F$. A sampling of 15×15 k points was used to obtain converged values for $T(E)$.

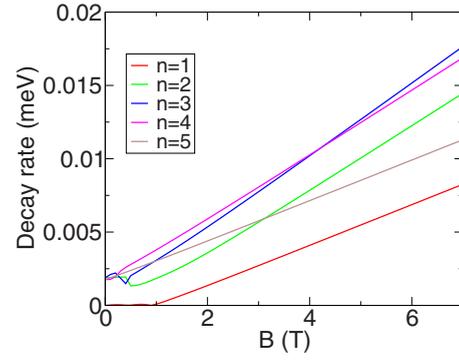


FIG. 5. (Color online) Decay rate (in meV) of the five excited magnetic states in the Mn/CuN/Cu(100) system as a function of the applied magnetic field B . The B field is along the x axis. The decay rate is the inverse of the excited-state lifetime.

$=5/2$ state of the adsorbate. The dominant contribution to substrate electrons going through the adsorbate is found to involve the d_{xz} orbital with majority spin as the transition intermediate; this is interpreted as a process involving a positive ion intermediate of $S_T=2$ symmetry. Similarly, the contribution associated to substrate electrons going through the $d_{x^2-y^2}$ orbital with minority spin is interpreted as a process involving a negative ion intermediate of $S_T=2$ symmetry. So in all cases, the deexcitation process induced by substrate electrons going through the Mn adsorbate involves a $S_T=2$ intermediate and the associated electron flux is given by $T^{Total}(E_F)=1.1$.

At this point, one can stress the stark contrast between the present deexcitation study and our earlier study on magnetic excitation by electron tunneling between the tip and the substrate (Ref. 13). In the tunneling electron case, the Mn orbitals contributing to the transmission were the extended s and p orbitals whereas here, for the electrons scattering from the substrate into the substrate via the adsorbate, a d orbital is dominating. In addition, the spin symmetry of the scattering intermediates are different: $S_T=2$ vs $S_T=3$. This study permits us to conclude that the deexcitation of spin states via electron-hole pairs takes place through the Mn d electrons and, in particular, the d_{xz} and $d_{x^2-y^2}$ orbitals while the spin-excitation process via the tunneling electrons involves electrons with s and p characters.

IV. LIFETIME OF THE EXCITED MAGNETIC STATES

Equation (8) together with the results of Sec. III has been used to compute the decay rates of the excited states of the system. Figure 5 presents the total decay rate, $\Gamma_{Tot,i}$, inverse of the lifetime, of the five excited states as a function of the applied magnetic field B . Two different regimes can be seen: low B and large B . At large B , the magnetic structure of the system is quasi-Zeeman. As a consequence, the decay of an excited state is dominated by one channel corresponding to a $\Delta M_x = -1$ selection rule. The B dependence of the decay rate is then that of the energy change associated to the decay, i.e., it is linear in B with a slope proportional to the dominant spin-transition probability, P_{Spin} . At small B , the variation is

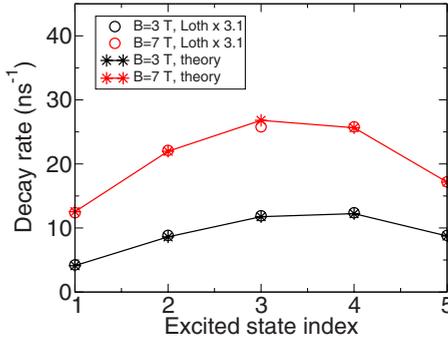


FIG. 6. (Color online) Comparison between the present decay rate (full lines and stars) of the five excited magnetic states in the Mn/CuN/Cu(100) system with the experimental results of Loth *et al.* (Ref. 20) (open circles). The black symbols and line correspond to an applied B field of 7 T and the red symbols and line to 3 T (the B field is along the x axis). For the sake of comparison, the experimental results of Loth *et al.* have been multiplied by a global factor equal to 3.1.

more complex, reflecting the complex decoupling of the anisotropy by the B field on the x axis (see Fig. 1). However, one can notice that the lowest excited state remains quasidegenerate with the ground state almost up to 1 T (Fig. 1), so that its decay rate is extremely small due to a quasivanishing $\delta\Omega_f$ [see Eq. (8)]. Actually, this simply means that at low B , for a finite temperature, the two lowest states are roughly equally populated. As for the states 2–5, at low B , their decay rate is in the 2.0 μeV range, corresponding to a lifetime on the order of 0.3 ns.

One can stress that a different direction of the B field leads to a different behavior of the decay rates. The limit $B=0$ is the same, obviously and the large B is almost independent of the B direction in the present case, but not completely due to an incomplete decoupling of the magnetic anisotropy for $B=7$ T. The decay rates in the intermediate region, where the decoupling of the anisotropy occurs, depend on the direction of the B field with respect to the magnetic axis of the system.

Figure 6 presents a direct comparison between the present decay rate of the five excited states of Mn on CuN/Cu(100) and the decay rate extracted by Loth *et al.*²⁰ from their experimental data. Two values of the magnetic field are presented: 3 and 7 T. For the sake of comparison, the experimental results of Loth *et al.* have been multiplied by a global factor equal to 3.1. It appears that the present study reproduces extremely well the state dependence and the magnetic field dependence of the excited-state lifetimes. However, the present results for the decay rates are a factor 3 larger than the experimentally extracted data. Besides inaccuracies and approximations in the experimental and theoretical procedures, one can invoke the sensitivity of the present results on the energy position of the Mn d orbitals in the calculations. Indeed, as we can see in Figs. 3 and 4, the electron flux hitting the adsorbate at Fermi level corresponds (mainly) to the tail of the d_{xz} -majority and $d_{x^2-y^2}$ -minority spin orbitals and any inaccuracy in the orbital energy directly affects, $T(E_F)$, the electron flux.

V. MODELING OF THE Mn JUNCTION CONDUCTANCE AT LARGE CURRENT

The experiments of Loth *et al.*²⁰ introduce two main effects compared to the earlier ones in Ref. 1. First, by using a polarized tip, these experiments introduce an unbalance between the spin-up and spin-down tunneling electrons that reveals the spin dependence of the junction conductance. Second, they consider large currents flowing through the junction allowing a stationary population of excited states induced by the tunneling electrons. Indeed the two effects are linked together, tunneling electrons of different spins leading to different excited-state populations. Below, we examine these two effects in the Mn on CuN/Cu(100) system allowing a modeling of the experimental situation.

A. Spin dependence of the conductance of the adsorbed Mn atom

In Refs. 13 and 14, we developed a strong-coupling treatment of the elastic and inelastic tunneling of electrons through a magnetic adsorbate that inspired the above treatment of excited magnetic state decay. As explained in Sec. II, the magnetic anisotropy terms are small, and one can treat them in the sudden approximation and define a tunneling amplitude, independent of the magnetic anisotropy. As the main result (see details in Ref. 14), the system conductance for the Mn atom in the magnetic state i , (in the case of only one S_T symmetry contributing to tunneling) is given by

$$\frac{dI}{dV} = C_0 \frac{\sum_n \Theta(V - E_n) \sum_{m,m'} \left| \sum_j A_{j,i,m} A_{j,n,m'}^* \right|^2}{\sum_n \sum_{m,m'} \left| \sum_j A_{j,i,m} A_{j,n,m'}^* \right|^2}, \quad (35)$$

where C_0 is a global conductance; on the small energy range that we consider here and for a fixed tip-adsorbate distance, C_0 can be considered as constant. V is the junction bias and E_n the energy of the various magnetic states, n , of the system. The $A_{j,i,m}$ coefficients are spin-coupling coefficients giving the expansion of the eigenstates of \vec{S}_T^2 and $S_{T,z}$ on the initial or final states of the tunneling process, $|m, \phi_n\rangle$, [m refers to the tunneling electron spin state and ϕ_n is the magnetic anisotropy state of the adsorbate, eigenstate of the Hamiltonian (1)],

$$A_{j,n,m} = \langle S_T, M_T | m, \phi_n \rangle \quad \text{with} \quad j = (S_T, M_T). \quad (36)$$

In both treatments (inelastic tunneling and excited state decay), the final result appears as a product of a magnetism-free quantity by a spin-coupling coefficient term, i.e., a global conductivity of the system is shared among the various anisotropy channels. The excitation probability is then only dependent on the weight of the incident and final channels in the intermediate tunneling intermediate and it can be very large. Such an efficient inelastic process has been invoked in several other processes involving angular momentum transfer (rotational or spin) in gas phase or surface problems,^{32–35} in all cases, it lead to high probabilities of inelastic scattering (see also a discussion in Ref. 14). A change in the adsorbate-tip distance leads to a change in C_0 only and consequently in

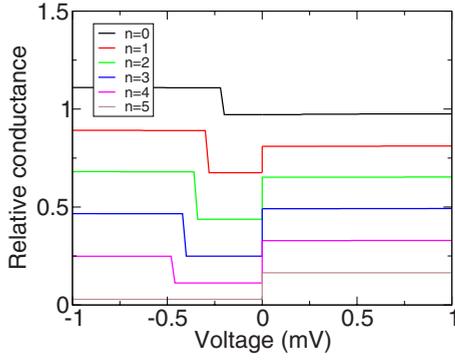


FIG. 7. (Color online) Conductance of the various magnetic states in the Mn/CuN/Cu(100) system as a function of the tip bias in millivolt for a fully polarized electrode (spin down). The B field is along the x axis and is equal to 3 T. The conductance has been normalized in such a way that the conductance for the ground state and a nonpolarized tip is equal to 1 at zero bias.

the tunneling current but without a change in the excitation probabilities.

One can stress that in formula (35) the shape of the conductance as a function of the bias does not include any effect of the tip specificities. The tip properties only influence C_0 the global conductance of the system that appears as a general factor of the conductance. This is a direct consequence of the present approach: the magnetic interactions are described in the sudden approximation and thus the global conductance of the atom is shared among the various magnetic levels independently of the actual value of the global conductance. This feature is only valid as long as one considers the magnetic excitation process: i.e., in the present case, as long as one considers tunneling through the orbital associated to the magnetic transition. It is at variance with other theoretical studies of STM probing; indeed, other properties of the STM conductance such as, e.g., imaging do require a careful treatment of the tip characteristics.

In the case of Mn on CuN/Cu(100), a DFT calculation showed that the $S_T=3$ symmetry is dominating the tunneling process¹³ and this accounted well for the observations of Hirjibehedin *et al.*,¹ obtained with nonpolarized tunneling electrons. In Refs. 13 and 14, we only considered tunneling of nonpolarized electrons, i.e., we summed the contributions from the two electron-spin directions, both in the incident and final channels [the sum over m and m' in Eq. (35)]. Here we consider tunneling for a fixed direction of the electron spin (fixed m or m') in the incident or final state (the spin directions are defined along the x -axis parallel to the applied B field). We thus use Eq. (35) with the sum over m (or the sum over m') removed from the numerator. Figures 7 and 8 present the relative conductance (dI/dV , the derivative of the junction current with respect to the bias) of the various magnetic states of Mn for a fully polarized electrode and a B field of 3 T. Figure 8 corresponds for $V>0$ to an incident electron with an “up” spin and for $V<0$ to an electron in a final up state. Figure 7 presents the “down” equivalent. In both cases the conductance is normalized in such a way that the conductance at $V=0$ for a nonpolarized beam is equal to 1. The

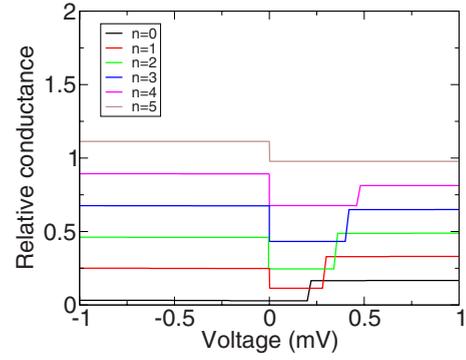


FIG. 8. (Color online) Conductance of the various magnetic states in the Mn/CuN/Cu(100) system as a function of the tip bias in millivolt for a fully polarized electrode (spin up). The B field is along the x axis and is equal to 3 T. The conductance has been normalized in such a way that the conductance for the ground state and a nonpolarized tip is equal to 1 at zero bias.

conductance presents steps at the magnetic excitation thresholds, due to the excitation induced by the tunneling electrons; the conductance also takes into account the possibility of deexcitation processes induced by the tunneling electrons, these present no energy thresholds. No broadening effect has been introduced in the conductance in Figs. 7–9 and the inelastic steps should be vertical; the finite slope visible in the figures comes from the finite number of V points that were actually computed.

It appears that the conductances of the various anisotropy states for the two spin directions are quite different both in magnitude and shape (some have inelastic contributions and some do not). This is not surprising within our strong-coupling approach: the magnitude of the conductivity is directly given by the weights of the initial and final channels (the $A_{j,n,m}$ coefficients) in the tunneling symmetry ($S_T=3$ in the present case) and these are strongly dependent on the considered initial and final states. One can also notice that the conductivity shown in Figs. 7 and 8 are discontinuous at $V=0$ (except for the ground state) due to the switch of definition at $V=0$ (spin selection for the initial state vs spin

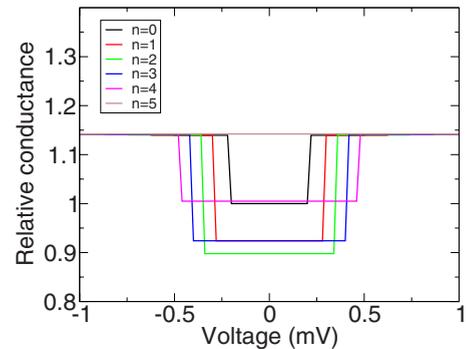


FIG. 9. (Color online) Conductance of the various magnetic states in the Mn/CuN/Cu(100) system as a function of the tip bias in millivolt for a nonpolarized electrode. The B field is along the x axis and is equal to 3 T. The conductance has been normalized in such a way that the conductance for the ground state and a nonpolarized tip is equal to 1 at zero bias.

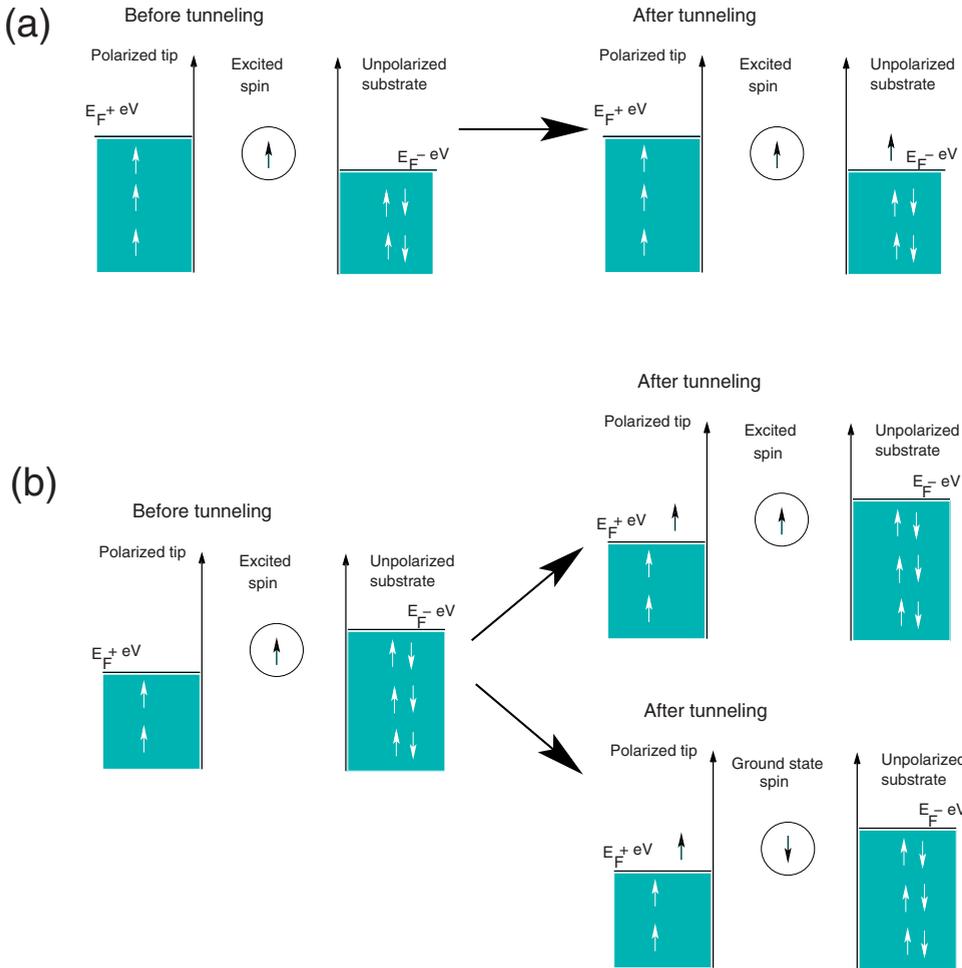


FIG. 10. (Color online) Qualitative scheme of the bias asymmetry in the tunneling process. For clarity of the illustration, we assume a $S=\frac{1}{2}$ adatom in its “spin-up” state. The tip is completely polarized along the up direction. (a) For positive bias, $V>0$, electron tunneling can only be elastic because the tip is spin polarized and aligned with the adatom’s spin. (b) For negative bias, $V\leq 0$, unpolarized electrons flow from the substrate and they can tunnel either elastically or inelastically. Hence, tunneling is different in cases (a) and (b) and so it presents a bias asymmetry due to the spin polarization of the tip.

selection for the final state). The behavior seen in Figs. 7 and 8 can be easily understood for the “large” B case depicted there. In that case, the anisotropy states are roughly Zeeman states, eigenstates of S_x with the eigenvalues M_x . In that case, the increasing order of energy of the magnetic states corresponds to the increasing order of M_x and the transitions induced by a tunneling electron verify the $\Delta M_x = \pm 1$ selection rule (the rule is strict only in the perfect Zeeman limit). As a consequence, excitation corresponds to a $\Delta M_x = +1$ selection rule and it can only exist for an incident up electron and an outgoing down electron, and this appears clearly in Fig. 7 and 8, where the conductivity exhibits an inelastic step for only one sign of V , different for spins up and down. The inelastic steps appear as different energies for the different excited states, this is due to the fact that at 3 T the structure is not yet a perfect Zeeman structure (see Fig. 1), in this limit all inelastic steps would be at the same position given by $g\mu_B B$. Similarly, the very small excitation steps appearing at higher energy in addition to the $\Delta M_x = +1$ selection rule steps, as well as those appearing in the “forbidden” V side, are due to the small difference from a pure Zeeman structure. The discontinuity in the conductance at $V=0$ is due to the existence of deexcitation processes induced by the tunneling electrons. Similarly to the excitation processes (well visible in Figs. 7 and 8), these are highly dependent on the sign of V , leading to a discontinuity at $V=0$. The purely elastic conductance is continuous at $V=0$, as is the ground-state conduc-

tance. Figure 10 shows a qualitative picture explaining this bias asymmetry.

Actually, the discontinuities at $V=0$ appearing in Figs. 7 and 8 can be seen as threshold effects, similarly to the steps appearing at finite V in the conductance spectra. When going through $V=0$, the condition imposed on the tunneling electron spin changes from a condition on the final state into one on the initial state of the tunneling. As a consequence, deexcitation processes that are possible on one side are not possible on the other side, resulting in this threshold behavior. Indeed, the elastic conductance alone is continuous at $V=0$ (as seen on the ground-state conductance) and steps at $V=0$ are only due to the onset of deexcitation processes. As all the threshold effects, they would be rounded by any finite temperature

Figure 9 presents the relative conductance for the various magnetic anisotropy states in the case of a nonpolarized tip. Similarly to Figs. 7 and 8, the conductance has been normalized so that the conductance at $V=0$ for the ground state is equal to 1. All the conductivities are now continuous at $V=0$ and symmetric in V since no selection rule is imposed on the tunneling electron spin. The conductivity for each magnetic state exhibits a large inelastic step (except the highest lying state) corresponding to the $\Delta M_x = \pm 1$ selection rule; much smaller excitation steps also appear at higher energies (barely visible in the figure) due to the nonperfect Zeeman structure. For all excited states, inelastic tunneling is

significant compared to elastic tunneling, it is in the 15–25 % range, and different for the different states. One can also notice in Fig. 9 that the conductivities at large bias for all the states are equal, as a consequence of the summation over all possible excited states in Eq. (35). One can then conclude from Figs. 7–9 that the conductivity in the case of a polarized tunneling electron is quite different from that for a nonpolarized one; in general, simply looking at majority and minority spins is insufficient, one has to resort to spin-coupling arguments.

B. Description of the conductivity in the presence of excited states

The excitation of magnetic states by tunneling electrons is very efficient in the Mn/CuN/Cu(100). Though not as efficient as in some metal-phthalocyanine case,^{3,4,14} this can lead to a significant stationary population of excited states in an experiment with a finite current. This effect has been very clearly demonstrated by Loth *et al.*²⁰ We modeled the existence of an excited-state population using a rate-equation approach in a way very similar to that used by Loth *et al.*²⁰ and Delgado *et al.*¹⁵ The main difference lies in the absence of adjustment parameters in the present work: with the present treatment of the excitation process (Sec. V A) and of the decay process (Secs. II and IV), we can quantitatively predict the behavior of the conductance as a function of the tunneling current.

The basic idea is to compute the stationary population of excited states that is induced by the tunneling current and then, via the excited conductance discussed in Sec. V A, to get the junction conductivity. For an STM tip positioned above the Mn adsorbate, a tip bias V and a tunneling current I , the time dependence of the population, $P_i(I, V)$, of the magnetic state, i , is given by

$$\frac{dP_i(I, V)}{dt} = -P_i(I, V) \left[\sum_j \Gamma_{i,j} + \sum_j F_{i,j}(I, V) \right] + \sum_j P_j(I, V) [F_{j,i}(I, V) + \Gamma_{j,i}], \quad (37)$$

where $\Gamma_{i,j}$ is the partial decay rate of state i toward state j (Secs. II and IV). $F_{i,j}(I, V)$ is the transition rate from state i to state j induced by the tunneling electrons (Sec. V A). It is given by

$$F_{i,j} = CP_{Spin}(i, j)\Delta_{i,j}(V), \quad (38)$$

where $P_{Spin}(i, j)$ is the spin-coupling coefficient of the considered $i \rightarrow j$ transition (Sec. V A) for the considered spin states of the tunneling electron. $\Delta_{i,j}(V)$ is an energetic factor; for the vanishing temperature considered here, it is equal to $V + E_i - E_j$ for an open excitation channel ($i \rightarrow j$ transition), to 0 for a closed excitation channel and to V for a deexcitation channel. C is a factor corresponding to the global conductance of the system. Below, the factor C is set so that the junction conductance at $V=0$, G , has a fixed value and then the whole spectrum of conductivity as a function of V is computed; changing C corresponds to moving the tip with respect to the Mn adsorbate, i.e., to changing the magnitude of the tunneling current.

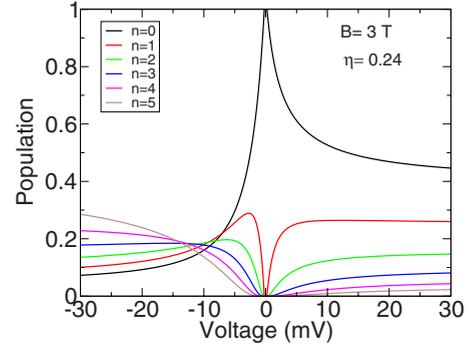


FIG. 11. (Color online) Population of the six magnetic states in the Mn/CuN/Cu(100) system as a function of the tip bias. The magnetic field is along the x axis and equal to 3 T. The tip polarization, η , is equal to 0.24. The conductance at zero bias is equal to 2×10^{-6} S.

Equation (37) yields the time-dependent population of the excited states, including the transient regime at the switching of the applied bias. The experiments being slow on the time scale of the excited-state relaxation time (typically a fraction of nanosecond, as seen in Fig. 5), the populations quickly reach stationary values which determine the observed conductivity. These stationary values are obtained by solving the homogeneous set of equations obtained from Eq. (37) by setting all time derivatives to zero. Once the populations are known the junction conductance is obtained by summing the contributions of the different states.

C. Population of the excited states

Loth *et al.*²⁰ performed their experiments with a partial polarization of the tip typically equal to $\eta=0.24$ so that the two spin directions of the electron have probabilities equal to $0.5 (1 \pm \eta)$. In the present system, the ground state at large B is almost the $M_x = -\frac{5}{2}$ state. The polarization of the tip is in the same direction so that electrons with spin down are dominating at $V > 0$ and holes with spin down at $V < 0$.

The corresponding population of excited states for a junction conductance at $V=0$ equal to 2×10^{-6} S, a magnetic B field of 3 T and a tip polarization equal to $\eta=0.24$ are shown in Fig. 11 as a function of V . Below the threshold for the $0 \rightarrow 1$ transition, the population is entirely in the ground state, beyond this threshold the excited state population quickly increases as $|V|$ increases. One can notice that the excitation process at 3 T basically goes step by step from state 0 to 5 following the energy (and index) order, so that excitation of the higher-lying states is a multiple order process and rises much more slowly than excitation to state 1. At large $|V|$, the system reaches a regime where the population is independent of V , it corresponds to the situation where transitions (excitation and deexcitation) induced by tunneling electrons dominate over the excited state decay. Excitation by electrons and holes are very different leading to very different excited-state populations in the $V > 0$ and $V < 0$ cases. Down polarized holes have a much higher excitation efficiency than down polarized electrons, and this is a direct consequence of the effects observed in Figs. 7 and 8 and

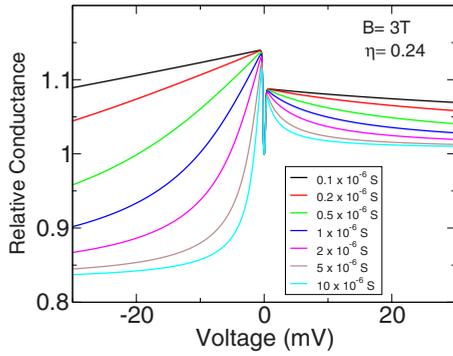


FIG. 12. (Color online) Relative conductance of the Mn/CuN/Cu(100) system as a function the tip bias. The tip polarization is $\eta=0.24$ and the B field, equal to 3 T, is along the x axis. The finite population of the excited states is taken into account. The various curves correspond to various absolute conductances at zero bias ($0.1, 0.2, 0.5, 1., 2., 5,$ and 10×10^{-6} S). In the figure, the conductance is plotted in relative value, with the conductance for zero bias set to 1.

discussed above. Actually, if we forget the very tiny excitations that correspond to the nonperfect Zeeman limit, a fully polarized tip would only lead to an excited-state population for $V < 0$ (see Fig. 7); here with a finite η polarization, the excited-state populations at $V > 0$ are due to the minority-spin direction electrons in the tip. Indeed for a nonpolarized tip, excitation by electrons and holes are equivalent, consistently with Fig. 9.

D. Modeling of Loth *et al.* experiment

Figures 12 and 13 present the conductivity of the Mn junction computed with a finite excited-state population for different values of the conductance at $V = 0$: $0.1, 0.2, 0.5, 1., 2., 5,$ and $10.$ (all in 10^{-6} S). They correspond to a B field of 3 and 7 T, respectively, and a tip polarization of 0.24. The computed conductivity as a function of

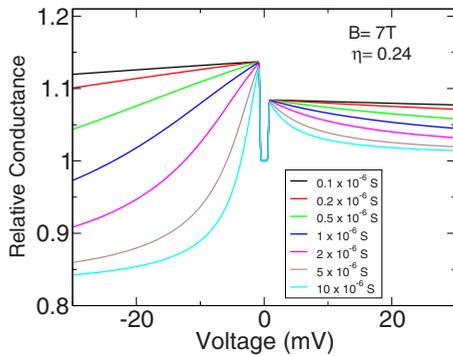


FIG. 13. (Color online) Relative conductance of the Mn/CuN/Cu(100) system as a function the tip bias. The tip polarization is $\eta=0.24$ and the B field, equal to 7 T, is along the x axis. The finite population of the excited states is taken into account. The various curves correspond to various absolute conductances at zero bias ($0.1, 0.2, 0.5, 1., 2., 5,$ and 10×10^{-6} S). In the figure, the conductance is plotted in relative value, with the conductance for zero bias set to 1.

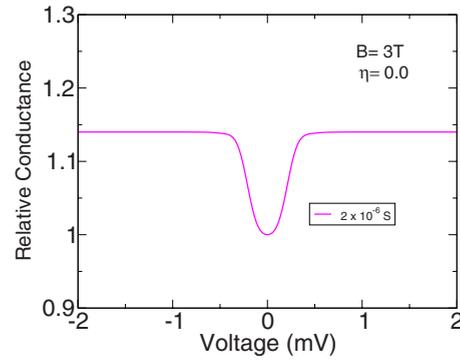


FIG. 14. (Color online) Relative conductance of the Mn/CuN/Cu(100) system as a function the tip bias. The tip is nonpolarized and the B field, equal to 3 T, is along the x axis. The finite population of the excited states is taken into account. The conductance is independent of the current. In the figure, the conductance is plotted in relative value, with the conductance for zero bias set to 1.

V has been convoluted with a Gaussian of 0.2 meV width to mimic the various broadening phenomena. Note that the actual height of the inelastic conductivity step for $B=3$ T depends on the magnitude of the broadening effect, due to the small excitation energies (Fig. 1). The behavior is the same as observed experimentally: (i) there is a sharp peak at very low voltage corresponding to the excitation thresholds; (ii) the conductivity drops as $|V|$ is increased due to the excited state population; (iii) this drop is steeper and deeper on the $V < 0$ side; (iv) the steepness of the conductivity drop decreases as the tunneling current decreases to practically vanish for very small conductances and (v) the conductance drop is steeper at 3 T than at 7 T due to a longer lifetime of the excited states at 3 T. The height of the inelastic steps and their asymmetry around zero, as well as the extent of the decrease in the conductance as $|V|$ increases depends on the polarization of the tip. In the case of an unpolarized tip ($\eta=0$), Fig. 14 shows that, in the same way as for the experimental results, the conductivity is symmetric for $V > 0$ and $V < 0$ and it is practically independent of the tunneling current, although a significant population of excited states is present. Figure 15 shows the excited state population as a function of the junction bias for a conductivity of 2.0×10^{-6} S at $V=0$ and for an unpolarized tip. For large bias, the excited-state populations are large (their sum is larger than the population of the ground state); they are equal for positive and negative biases. Though no effect of these excited-state populations is apparent in Fig. 14. This is a direct consequence of Fig. 9 where the conductances of all the magnetic states are equal above the excitation thresholds in the case of unpolarized electrons (or holes). Differences could only appear in the voltage region in between the inelastic thresholds, but in the present case, due to the smallness of the difference between the various excitation energy thresholds, they are hidden by broadening effects. All these qualitative features nicely agree with the experimental observations. The two sets though differ quantitatively, coherently with the decay rate comparison in Fig. 6. The computed

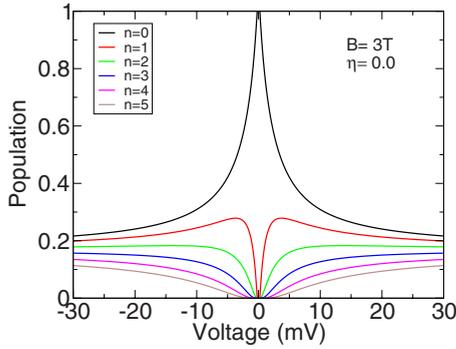


FIG. 15. (Color online) Population of the six magnetic states in the Mn/CuN/Cu(100) system as a function of the tip bias for a nonmagnetic tip. The magnetic field is along the x axis and equal to 3 T. The conductance at zero bias is equal to 2×10^{-6} S. In contrast to Fig. 11, there is no bias asymmetry.

lifetimes are shorter and consequently, the effect of the excited-state population on the junction conductivity appears for larger conductances and larger tunneling currents.

The effect of the populations of excited states on the polarized conductance is much visible in Figs. 12 and 13 which show the conductance as a function of bias for a fixed tip position. They also strongly emphasize a dependence of the conductance on the conductivity at $V=0$. One can stress that if all the curves in, e.g., Fig. 12 are plotted as a function of the junction current intensity, then they look very much alike, the population of the excited states depending dominantly on the current intensity. In such a plot, the role of the bias voltage and conductivity at $V=0$ only appear in the excitation threshold region.

E. Symmetry of the tunneling process

A very important feature in our strong-coupling treatment of magnetic transitions is the symmetry of the tunneling state, i.e., which is the value of S_T that is dominating tunneling through the adsorbate (see Sec. V A above and a more detailed account in Ref. 14). As shown by our DFT study in Ref. 13, the $S_T=3$ symmetry is dominating in the case of Mn/CuN/Cu(111). Different values of S_T lead to different strengths of the magnetic transitions. For illustrative purpose, we checked the effect of changing the symmetry of the tunneling intermediate in the present study. Figure 16 shows the conductivity as a function of the STM bias, V , for a B field of 3 T, a tip polarization of $\eta=0.24$ and a conductance at $V=0$ of 2×10^{-6} S for three different tunneling symmetry hypothesis: (i) $S_T=3$ dominating, (ii) $S_T=2$ dominating, and (iii) both $S_T=3$ and 2 contributing in a statistical way. This change in symmetry only concerns the transitions induced by the tunneling electrons and not the excited-state decay by electron-hole pair creation. Not surprisingly, the present physical situation with a partially polarized tip is highly sensitive to the symmetry of the tunneling intermediate. The three different symmetries are seen to lead to different qualitative behaviors: the heights of the inelastic steps at small $|V|$ are changing with the symmetry of the tunneling intermediate indicating a different efficiency of the various S_T symme-

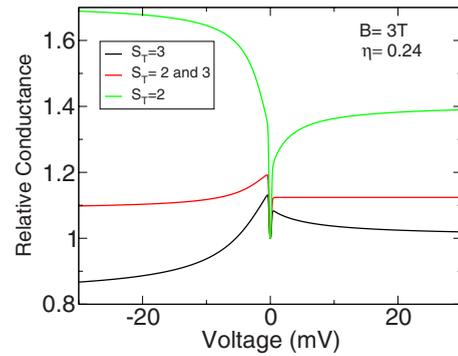


FIG. 16. (Color online) Relative conductance of the Mn/CuN/Cu(100) system as a function of the tip bias for various hypothesis in the spin-coupling scheme for the tunneling electrons ($S_T=3$ is the coupling scheme predicted by our DFT calculations). The tip polarization is $\eta=0.24$ and the B field, equal to 3 T, is along the x axis. The absolute conductance is equal to 2×10^{-6} S for zero bias. In the figure, the conductance is plotted in relative value, with the conductance for zero bias set to 1. The finite population of the excited states is taken into account.

tries in the magnetic excitation process. In addition, depending on the symmetry and on the sign of V , the effect of excited-state populations is seen to lead to an increase, a decrease or to a flat behavior of the conductivity. Only the results for $S_T=3$ do exhibit the qualitative behavior found experimentally. This comparison strongly supports our assignment of the $S_T=3$ symmetry as the dominant symmetry for the tunneling process in the present system. It also stresses the importance of considering the proper symmetry and proper spin coupling of the tunneling process for accounting for inelastic magnetic tunneling, especially with the present experimental protocol that involves a spin-polarized tip and is thus much more selective.

VI. CONCLUDING SUMMARY

We reported on a theoretical study of the lifetime of excited magnetic states on single Mn adsorbates on a CuN/Cu(100) surface. Electrons tunneling through a single adsorbate are very efficient in inducing magnetic transitions as was revealed by IETS experiments; similarly, substrate electrons colliding on adsorbates are also very efficient in quenching a magnetic excitation in a single adsorbate on a surface, the magnetic excitation energy being transferred into a substrate electron-hole pair. The present study on the quenching process is inspired by a recent study of magnetic excitations induced by tunneling electrons.^{13,14} It is based on a DFT calculation of the system associated to a strong-coupling approach of the spin transitions. We show that the decay rate of an excited magnetic state can be written as the product of the flux of substrate electrons hitting the adsorbate by a spin-transition probability. In the Mn/CuN/Cu(100) system, the lifetime of the excited states is found to be rather short, the calculated lifetimes are typically in the 0.04–0.4 ns range for an applied magnetic field in the 0–7 T range. The lifetime decreases when the B field rises.

For the comparison with Loth *et al.* experiments,²⁰ we performed a detailed study of electron tunneling through the

adsorbed Mn atom in the case of a polarized tip. Using polarized electrons (or holes) unveils several phenomena among which we can stress: (1) as shown in our strong-coupling approach, the selection introduced by the symmetry of the total spin state in the tunneling process (total spin = spin of the tunneling electron + spin of the adsorbate) is very important and this leads to large differences in conductance between various situations: tunneling electrons or holes with spin up (or down), adsorbates in different magnetic states. All these can be related to the existence of a dominant spin symmetry in the tunneling process, which is $S_T=3$ in the case of Mn on CuN/Cu(100) as shown here.

(2) As the excitation probability by tunneling electrons is large, significant populations of excited states can exist for a finite current. Very interestingly, this leads to observable changes in the conductance as a function of current in the case of a polarized tip but not in the case of a nonpolarized tip. This is a direct consequence of one of the key properties of the magnetic excitation process, as described in the strong-coupling approach. The excitation process appears as a sharing process: a global tunneling current is shared among the various magnetic states, using sharing probabilities obtained from spin-coupling coefficients. If we sum over all possible spin directions for the tunneling electron, these spin-coupling coefficients sum to one. Thus, with a nonpolarized

tip, the conductance for biases above all inelastic thresholds is independent of the initial state and then independent of a finite stationary population of excited states. In contrast, in the case of a polarized tip, the sum over electron spin directions is not complete and the conductance depends significantly on the magnetic state.

(3) The present theoretical results reproduce all the behaviors observed experimentally, basically those are consequences of the two phenomena described above. Quantitatively, although the excitation process is perfectly accounted for, the computed lifetimes of the excited states appear to be a factor of 3 shorter than those extracted from experiment. As a consequence, the experimentally observed variation in the junction conductivity with the tunneling current is reproduced but for larger tunneling currents. Recently we became aware of a complementary work by Delgado and Fernández-Rossier.³⁶

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