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2 3 4 11 5 6 7 Adrenal Toxicology in Birds: 8 9 **Environmental Contaminants and** 10 11 the Avian Response to Stress 12 13 14 15 **Raquel Baos and Julio Blas** 16 Estación Biológica de Doñana, C.S.I.C., Seville, Spain 17 18 19 20 21 22 **BIRDS AS SENTINEL SPECIES** 23 24 Wildlife species show great potential as sentinels for the early detection of adverse 25 health effects of chemicals present in the environment, and thus as potential pro-26 tectors of human health (Fox, 2001; Sheffield et al., 1998). A common definition 27 for a sentinel species is any life-being (prokaryotic or eukaryotic, natural or trans-28 genic, plant or animal, feral or domesticated) that can be used as an indicator of 29 exposure to or toxicity from environmental contaminants and, therefore, can help 30 to assess potential impacts on similar organisms, on populations or on ecosys-31 tems (Lower and Kendall, 1992; Stahl, 1997). The concept is important in the 32 environmental health sciences because sentinel species can provide integrated and 33 relevant information on the types, amounts, availability, and effects of environ-34 mental contaminants. Therefore, we can consider, simplistically, that sentinels are 35 signaling potential environmental hazards (Frame and Dickerson, 2006; LeBlanc, 36 1995). 37 To be a sentinel, the species should be sensitive to the contaminant or 38

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contaminants of interest and, preferentially, have a wide geographical distribution, allowing the investigator to compare the response among individuals of the same species from multiple sites. Another consideration is the species' home range. Migratory or wide-ranging species normally convey the problem of a difficult via difficult

determination of the place and moment when exposure occurred. Therefore, it 1 is generally advisable to select territorial, nonmigratory species with a restricted 2 home range. If the contaminant is biomagnified, the selection of a species that 3 4 is on a higher trophic level is justified. However, predatory vertebrates such as hawks, eagles, owls, mink, seals, and alligators are frequently protected or sparsed 5 over a targeted site of interest. Although protected and/or endangered species can 6 7 still be used if nonlethal sampling methods are used, a scarce sample will make it difficult to obtain statistically valid results (Frame and Dickerson, 2006). 8

9 Most of the previous requisites are so far achieved by many avian species (Becker, 2003). Moreover, birds are conspicuous organisms and relatively easy 10 11 to observe. The general biology, behavior, and ecology of birds is normally well known compared to other vertebrates, which enhances their usefulness as sen-12 tinels by reducing the risk of misinterpretations. Birds occupy different positions 13 in the food chain with numerous species in the higher trophic levels, allowing 14 assessment of chemical contamination in several compartments of the ecosystems 15 as well as biomagnification of persistent chemicals. Blood collection is relatively 16 17 easy, and numerous nondestructive sampling techniques for other tissues and substrates are currently available, avoiding harming the study specimens, which is 18 always advisable and a necessity when working with protected or endangered 19 species. Samples such as feathers, feces, or eggs reduce the sampling effort and 20 21 are easy to collect. Furthermore, birds have the advantage compared to many 22 other vertebrates that it is normally easy to gather information on demographic parameters, such as population size and reproductive success. Colonial species 23 allow the collection of samples and data in relatively short time (Kushlan, 1993). 24 Also important, compared to other taxa, birds possess unique aspects such as a 25 26 high metabolic rate, and on a mass-size basis often have higher metabolism and 27 food consumption rates than, for example, placental mammals of similar size (WHO/IPCS., 0000). These factors, together with increased rates of metabolic 28 biotransformation of xenobiotics, may contribute to an increased exposure to 29 environmental contaminants. Migration, courtship, breeding, and parental behav-30 iors require high-energy expenditure and are often accompanied by periods of 31 32 starvation. Birds respond to these situations by storing and mobilizing fat depots, thereby raising the potential of increased exposure to lipophilic contaminants 33 that are subsequently released from the lipid-rich tissues where they have been 34 accumulated. 35

Provided the advantages mentioned above, there are also drawbacks and 36 37 limitations for the use of birds as sentinels that may deserve attention depending 38 on the aim of study (Becker, 2003; Furness, 1993). For example, the longevity 39 of birds, although can be seen as an advantage because a long lifespan implies that the individuals integrate the effects of environmental stress over time, it also 40 41 makes more difficult to establish the effects of a short-term perturbation. Similarly, the mobility of birds implies an integrative value of bioindication over broad 42 spatial scales, but can hinder their site-specific use as indicators. For example, the 43 44

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sympatric occurrence of different populations of a given species during migration 1 2 or staging at one site may obscure local sources of environmental stress and reduce their value as indicators. Bird numbers are regulated by density-dependent pro-3 4 cesses, and so their population sizes may be somewhat buffered against the impacts of environmental changes. Because a multitude of variables affect demographic 5 6 parameters and stages, the effects of specific factors can be difficult to isolate. In 7 addition, depending on the species, the maintenance of a captive population can be difficult or impossible to attain, constraining the design of experiments and 8 9 laboratory tests.

The use of sentinel avian species to detect potential threats to human health 10 11 is not recent. For example, canaries were used in coal mines for centuries to detect coal damp before this gas overcame coal miners (Burrell and Seibert, 12 1916; Schwabe, 1984). Birds drew great attention in the 1960s and 1970s as 13 sentinel species for organochlorine pesticides, particularly DDT, when it was dis-14 covered that exposure to these pesticides resulted in eggshell thinning (Hickey 15 and Anderson, 1968; Ratcliffe, 1970). Since then, a wide variety of avian species 16 17 has been used as sentinels. These include raptors such as bald eagles (Haliaeetus leucocephalus), peregrine falcons (Falco peregrinus), American kestrels (Falco 18 19 sparverius), and sparrow hawks (Accipiter nisus), and piscivorous species such as brown pelicans (Pelecanus occidentalis), great blue herons (Ardea herodias), 20 21 cormorants, gulls, and terns, all useful due to their high position in the food web (Grasman et al., 1998). Owl species have also been suggested as good candidates for 22 23 sentinels (Gervais and Anthony, 2003). Species such as the bobwhite quail (Colinus 24 virginianus), Japanese quail (Coturnix coturnix japonica), Eastern bluebird (Sialia 25 sialis), European starling (Sturnus vulgaris), tree swallow (Tachycineta bicolor), 26 and various warblers that use natural or man-made cavities for nesting also can 27 be useful sentinels (Mayne et al., 2004; McCarty, 2002; Romijn et al., 1995). A number of avian species are commercially available as either eggs or adults, includ-28 ing bobwhite quails (Colinus virginianus), mallard ducks (Anas platyrhynchos), 29 and ring-necked pheasants (Phasianus colchicus), allowing researchers to per-30 form experimental exposure of adults to contaminants for single (see references in 31 32 Table 1) or multigenerational studies (Heinz, 1979) and conduct egg-injection and incubation studies to assess developmental effects of environmental contaminants 33 (Ottinger et al., 2001; Quinn, 2008). A number of these studies have focused 34 35 on endocrine disruption. Indeed, a recent, specific use for wildlife sentinels is to 36 detect contaminant exposure affecting the endocrine system, and this use deserves 37 research emphasis and priority funding (DeRosa et al., 1998). However, there 38 is a marked bias of this research in addressing the estrogenic or antiandrogenic properties of pollutants and their subsequent effects on gender phenotype and on 39 reproductive capability. As a consequence, there has been very little attention to 40 41 other endocrine systems (see below), despite evidence indicates that adrenal func-42 tion (as well as, e.g., thyroid function) may be adversely affected by chemicals in 43 the environment (Table 1). 44

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$ \begin{array}{ c c c c c c c c c $				Parameter		Parameter					60
	Chemical class	Chemical/s	Exposure	Species	Age - status	В	SI	Stressor	Variables	References	
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42 43 44	Organochlorine	compounds																					

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A Survey of Studies Reporting Adrenocortical Measurements in Birds Exposed to Environmental Contaminants (continued)	ng Adrenocortica	ortica	I Measurements in Bird	ds Expos	ed to Enviror	imental Contan	ninants (<i>con</i>	'nned)		262
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Temephos E Mallard (Anas platyrhynchos)	E Mallard (<i>Anas</i> platyrhynch	Mallard (Anas platyrhynch	os)	C	~	0	U		(Fleming et al., 1985)	
Triorthotolyl E Chicken (Gallus phosphate domesticus)	E Chicken (Gallu: domesticus)	Chicken (Gallu: domesticus)	S	A	~				(Foil <i>et al.</i> , 1985)	
Mixture of F Tree swallow	F Tree swallow	Tree swallow		C	0	°,	HR,	Body weight, Sex,	(Mayne et al., 2004)	
nonpersistent (Tachycineta bicolor) pesticides	(Tachycineta b	(Tachycineta b	icolor)			[year]	ACTH	Daily temperature, Collection date, Year		
mixture of F Eastern bluebird (Sialia non-persistent sialis) pesticides	F Eastern bluebird (<i>sialis</i>)	Eastern bluebird (, <i>sialis</i>)	Sialia	C	←	\rightarrow	ACTH	Body weight, Sex, Daily temperature, Collection date		
Cd E Mallard (Anas platyrhynchos)	E Mallard (Anas platyrhynchos)	Mallard (Anas platyrhynchos)		ſ	[↓]				(Di Giulio and Scanlon, 1984)	
Cd E Mallard (Anas platyrhynchos)	E Mallard (Anas platyrhynchos)	Mallard (Anas platyrhynchos)	-	ſ		[4]	FA		(Di Giulio and Scanlon, 1985)	
Al E Chicken (Gallus domesticus)	E Chicken (Gallus domesticus)	Chicken (Gallus domesticus)		С	0			Food	(Capdevielle <i>et al.</i> , 1996)	
Hg F Bald eagle (Haliacetus leucocephalus)	F Bald eagle (H.	Bald eagle (Ho <i>leucocephal</i>	nliaeetus us)	C	0	0	ACTH	Age, Sex, Location	(Bowerman <i>et al.</i> , 2002)	nd Blas

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Ĺ	-	Common eider (Somateria mollissima borealis)	A		↑ [Cd], ↓ [Se] [year, sex]	HR	Sex, Year, Handling time	(Wayland <i>et al.</i> , 2002)
Щ	-	Common eider (Somateria mollissima borealis)	V		[↓] [Se] [sex]	HR	Body weight, Sex, Handling time	(Wayland and Smits, 2003)
ĹЦ	-	Tree swallow (Tachycineta bicolor)	C	0	0	HR	Body weight, Body lipids, Time of day, Year	(Martinovic et al., 2003)
Щ	-	Great tit (Parus major)	A		0		Body weight, Handling (Eeva et al., 2003) time, Food	g (Eeva <i>et al.</i> , 2003)
ГL	-	Great tit (Parus major)	C		0		Body weight, Handling time, Food	50
щ		Zebra finch (<i>Taeniopygia</i> guttata)	A	0			Sex, Ca	(Snoeijs et al., 2005)
Ц	-	White ibis (Eudocimus albus)	A		0		Sex, Reproductive stage	(Heath and Frederick, 2005)
Ĺ	_	Pied flycatcher (Ficedula hypoleuca)	A		0		Handling time	(Eeva <i>et al.</i> , 2005)
ГL	_	Pied flycatcher (Ficedula hypoleuca)	C		0		Handling time	
ĽL,		White stork (<i>Ciconia</i> <i>ciconia</i>)	C	0	↑ [Pb] [brood size]	HR	Age, Body condition, Sex, Brood size, Location	(Baos <i>et al</i> ., 2006)

significant effect (0.05 < P < 0.1) as indicated by authors (e.g., [7] marginally significant increase in cort levels). If results are constraint to a particular chemical (when more than a single chemical is considered in the same study) or variable tested, the chemical or variable involved is also specified between brackets. When the study includes more than one species and/or age status, and/or chemical class, separate rows are used for each species, status, and chemical class. Full references are given in the literature section at the end of the chapter. 0.05) associations stressor, when app Indicated is the ch

We assume that cort levels measured in blood samples taken after 2 to 3 minutes after capture indicate stress-induced responses (Romero and Reed, 2005). If no information is reported regarding the time elapsed since capture, we assume that blood samples were collected immediately after capture and, therefore, cort concentrations represent baseline levels.

Abbreviation: DF, distillation fractions tested: E, experimental: F, field; A, adult; J, juvenile; C, chick or nestling; E, embryo; U, unknown; B, baseline corticosterone levels; SI, stress-induced corticosterone levels; HR, handling and restraint; ACTH, adrenocorticotropic hormone; C, Cold; SW, Salt Water; FA, fasting; FL, flight. ^aMetals reported in the area at higher concentrations.

Adrenal Toxicology in Birds

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1 ENDOCRINE TOXICOLOGY AND ADRENOCORTICAL

2 STRESS RESPONSE

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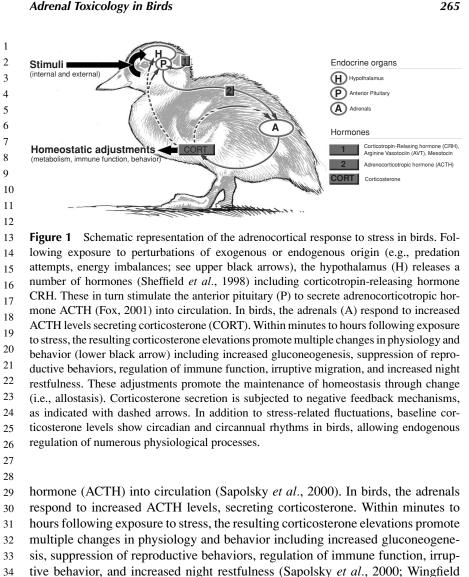
3 Endocrine toxicology is generally referred to the action of chemicals on the struc-4 ture and function of a particular gland (commonly known as the target organ 5 approach). However, the endocrine system, more than any other, regulates homeo-6 static balance and as a whole is sensitive to changes in the function of its constituent 7 glands and nonendocrine organs such as the liver. Therefore, chemically induced 8 changes in nonendocrine organs can affect the endocrine system, and thus com-9 pounds inherently toxic to the liver, kidney, or brain may also impair the normal 10 functioning of the endocrine system (indirect toxicity). Furthermore, toxicological 11 studies often focus on the damage induced by a chemical to an organ or tissue, 12 leading to total or subtotal failure in function. In endocrine toxicology, as occurs 13 in immunotoxicology, chemically induced increases in function can be as harmful 14 as it is the loss or the decrease in function (see below). Provided these particu-15 larities, among the endocrine glands, the adrenals, and especially the cortex, is 16 one of the organs most commonly affected by toxic substances (Ribelin, 1984). 17 Without precluding direct toxicity, a reason why the adrenals are so commonly 18 implicated in endocrine toxicological responses concerns their unique position in 19 the regulation of the stress response. Endocrinologists have long been aware of the 20 adrenal stress response and the functions that it serves in conditions of adversity 21 (Selye, 1936).

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²³₂₄ What Is a "Healthy" Response to Stress?

25 Animals have evolved physiological mechanisms to adjust their life cycle to a 26 changing environment. Among birds, for example, life-history stages such as 27 development, dispersal, reproduction, and migration normally follow a cyclic pattern in concert with predictable environmental changes (e.g., seasons, day-night, 28 tides), and the endocrine system plays a fundamental role in adjusting behav-29 ior, morphology, and physiology to maximize life-time individual fitness (Jacobs 30 and Wingfield, 2000). But in addition to cyclic, predictable changes in envi-31 32 ronmental conditions, all habitats suffer nonpredictable perturbations that chal-33 lenge individuals' homeostasis. Sudden weather inclemency, floods, droughts, decreased feeding resources, and outbreaks of parasites or predators, among 34 35 others, can strongly modify environmental conditions and jeopardize individuals' development, reproduction, and survival. In order to maximize fitness, 36 37 birds have also evolved endocrine mechanisms to cope with such noxious and 38 energy demanding unpredictable situations (Romero, 2004; Wingfield, 2003). Activation of the hypothalamus-pituitary-adrenal (HPA) axis constitutes a well-39 40 preserved emergency response in vertebrates, and it orchestrates physiologi-41 cal and behavioral changes adequate to cope with nonpredictable changes in 42 environmental conditions. Following exposure to a perturbation, the hypothala-43 mus releases corticotropin-releasing hormone (CRH) and some other hormones (Fig. 1), which stimulate the pituitary to secrete adrenocorticotropic 44

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and Ramenofsky, 1999; Wingfield and Romero, 2001). Increased corticosterone, 35 therefore, constitutes a "healthy" or adaptive response to stress, as it promotes 36 the maintenance of homeostasis through change [i.e., "allostasis" (McEwen and 37

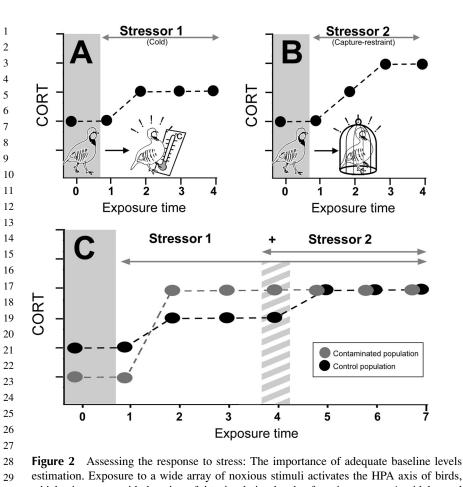
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Wingfield, 2003)], priming physiological and behavioral adjustments aimed at 39 maximizing immediate survival while suppressing nonessential activities. For this reason, experimental exposure to a number of physical noxious stimuli (such as 40

- 41 capture and restraint, exposure to cold or heat, (Ramade and Baylé, 1980; Free-
- man and Manning, 1984; Blas et al., 2005) is a frequently used protocol to assess 42
- adrenocortical function in birds (Fig. 2). Elevated corticosterone levels following 43

short-term exposure to stress is therefore the expected response among healthy 44

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estimation. Exposure to a wide array of noxious stimuli activates the HPA axis of birds, 29 which triggers a rapid elevation of the circulating levels of corticosterone. A widely used 30 protocol aimed at assessing this response in birds consists on inducing experimental stress 31 by means of exposure to a standardized perturbation, such as cold -(A), or capture and 32 restraint -(B). This protocol is accompanied by the collection of several blood samples at 33 predetermined intervals of time (X-axes). Subsequent determination of the concentration 34 of corticosterone (Y-axes) in the collected samples allows an objective assessment of the 35 individuals' time-course patterns of response, providing an objective physiological record 36 to establish comparisons among populations and to study associations with behavioral 37 and toxicological data. The collection of an initial blood sample shortly following stress 38 induction is required to assess baseline corticosterone titers (i.e., resting levels, gray area). 39 Ideally, this sample should be collected before exposure to experimental stress, but because corticosterone elevations do not occur immediately, blood samples collected within the 40 first 2 to 3 minutes provide a valid estimation of baseline titers. After this brief time 41 lag, corticosterone levels rapidly elevate (i.e., stress-induced or acute levels) over the 42 course of 30 to 60 minutes. The magnitude of the response depends upon the type of 43 stressor (e.g., A and B; cold vs. capture-restraint); and experimental protocols sometimes 44

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individuals. It is less clear, however, whether chronic corticosterone elevations in 1 response to prolonged or repeated exposure to stressful situations constitutes an 2 adaptive response. Under specific scenarios (e.g., a fish in a contaminated pond, 3 4 a wild bird caged, exposed to intense parasitism or to severe food shortages, a mammal exposed to social subordination), the ability of an individual to avoid 5 6 a perturbation may not be possible despite activation of emergency responses. 7 Animals may then habituate to the perturbation and decrease activation of the HPA axis [e.g., habituation to capture and handling, (Love et al., 2003a), or to 8 9 captivity (Cabezas et al., 2006)], but depending on the quality of the stressful stimuli, this may not be possible (e.g., exposure to chronic malnutrition). Chronic 10 11 (maintained from days to weeks) elevation of corticosterone levels may then promote catabolism up to the point of depleting fat stores and waste structural 12 protein mass (e.g., muscle), and also inhibit the reproductive system, suppress 13 growth and the immune system, disrupt second cell messengers, and provoke neu-14 ronal cell death (Sapolsky et al., 2000; Sapolsky, 1992; Wingfield and Romero, 15 2001). Numerous studies provide evidence for these and other deleterious effects 16 17 of long-term experimentally elevated corticosterone (Joseph and Ramachandran, 1993; Kitaysky et al., 2003; Martin et al., 2005). However, it should be noted that 18 19 this sort of experimental manipulation does not occur in a context of chronic stress; individuals subjected for a long-term to corticosterone elevations, normally show 20 21 decreased fitness compared to controls (sham-implanted), provided that none of 22 the experimental groups are exposed to a chronic perturbation. In other words, 23 chronic corticosterone elevations may be maladaptive when there is no reason to 24 activate emergency responses, but if the individuals are chronically exposed to a 25 severe perturbation, this response could still be the best to a bad situation.

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29 **Figure 2** (*continued*) comprise different stressors sequentially applied to the same indi-30 viduals (C). Despite acute corticosterone levels may be further elevated following exposure 31 to a second source of stress (i.e., after time 4 in C), blood samples collected shortly after 32 this time (dashed gray area) should not be considered true baseline levels. Such consideration would otherwise lead to an incorrect interpretation of the results, as illustrated in C; 33 individuals from the population exposed to contaminants (gray dots) would be described as 34 having higher baseline levels and being unable to respond to stress, when in fact they had a 35 lower baseline and a faster corticosterone elevation compared to control birds (black dots). 36 This observation is also relevant when comparing the stress response among populations, 37 because uncontrolled local perturbations (e.g., inclement weather, parasites) may generate 38 corticosterone elevations prior to the experimental exposure to stress (i.e., before the dashed 39 gray area in C) potentially misleading the interpretation of contaminant-related effects. For 40 all these reasons, it is always advisable to collect information on contaminant exposure on 41 an individual basis (rather than just using a population mean), work concurrently on the 42 different study populations (to avoid seasonal and intervear variability), and avoid intense sampling over a short period of time (to dilute the effects of episodic stress such as predation 43 attempts in colonial birds, or weather-related variability). 44

1 Chemical Stressors or Endocrine Disruptors

Field endocrinologists often assess circulating glucocorticosteroid levels (or 3 related adrenocortical parameters, such as fecal corticosteroid metabolites) in 4 wild vertebrates and use this endocrine parameter as a biomarker of exposure 5 to environmental stress (Walker et al., 2005). Despite increased baseline corti-6 costerone levels may ultimately reflect activation of an emergency response, the 7 adrenocortical system is not stressor-specific. Elevated baseline glucocorticos-8 teroid levels are expected to occur among individuals or populations exposed 9 to decreased food resources (Kitaysky et al., 1999; Kitaysky, 2001), reduced 10 habitat quality (Marra and Holberton, 1998; Suorsa et al., 2003; Wasser et al., 11 1997), and increased anthropogenic pressure (Mullner et al., 2004; Walker et al., 12 2005; Walker et al., 2005), among others. Ultimately, all these perturbations 13 share a common property; they increase the energy demands of the individ-14 ual and, therefore, a corticosterone response helps to maintain homeostasis 15 through promoting changes in physiology and behavior (McEwen and Wing-16 field, 2003). But what happens when the individual or population is exposed 17 to environmental contaminants? Our literature survey shows that an array of 18 chemicals also elicit corticosterone elevations in birds (Table 1); is this enough to 19 label a chemical as "endocrine disruptor" or should we just consider it to be one 20 more "stressor"? Let us compare these terms. 21

22 23

Stressors, Perturbations, Modifying Factors, and Some Other Names

24 The terminology involved in the biomedical literature studying stress can be con-25 fusing, because the same term "stress" has been traditionally used to describe the 26 noxious stimuli that an individual is exposed to, the physiological and behavioral 27 coping responses, and the overstimulation of the coping responses that result in disease (Romero, 2004). But even constraining our discussion to definitions of 28 the term "stressor" related the first meaning, i.e., "stressor is a noxious or unpre-29 dictable stimuli that causes a stress response" (Romero, 2004), different authors 30 use a very varied terminology to define and classify stressors. 31

Romero (Romero, 2004) differentiates between "acute stressors" (those that last a short period of time, such as predator attacks, dominance interactions, and storms) and "chronic stressors" (i.e., the latter ones when they persist on time, e.g., long-term subordinance, famine).

Wingfield (Wingfield, 2003) refers to stressors using the terms "modifying 36 factors" or "labile perturbation factors" that are defined as unpredictable events in 37 38 the environment able to trigger a facultative emergency life-history stage, which 39 redirects the individual away from the normal life-history stage (e.g., winter, breeding, moult) into a survival mode. The life-history emergency state is char-40 41 acterized by increased secretion of glucocorticosteroids, and some examples of these unpredictable events include severe storms, predator pressure, and human 42 disturbance. These environmental perturbations can last long-term (e.g., human 43 disturbance, global climate change) or be transient ("labile"). Within transient or 44 labile perturbations, Wingfield discriminates two groups: Indirect (rapid events

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that do not reduce food or access to it, e.g., nest predation, a sudden hail storm) and direct (longer-term that force the individual to interrupt a previous life-history stage, for example, reduced food supply, drop in social status, disease, predator influx), and includes pollution/endocrine disruptors into the latter category of direct labile perturbations.

McEwen and Wingfield (McEwen and Wingfield, 2003) present three new 6 7 concepts that have generated some controversy (Dallman, 2003; Walsberg, 2003): allostasis (the maintenance of homeostasis through change), "allostatic load" (the 8 9 measure of how hard an individual must work to accomplish a normal life-history task, such as the energy requirements for breeding), and "allostatic overload" 10 11 (the state in which energy requirements exceed the capacity of an individual to replace that energy from the environment), and suggest to use the term "stress" 12 only referred to stimuli that require an emergency energetic response [i.e., the 13 equivalent to stressor according to Romero, 2004 (Romero, 2004)]. 14

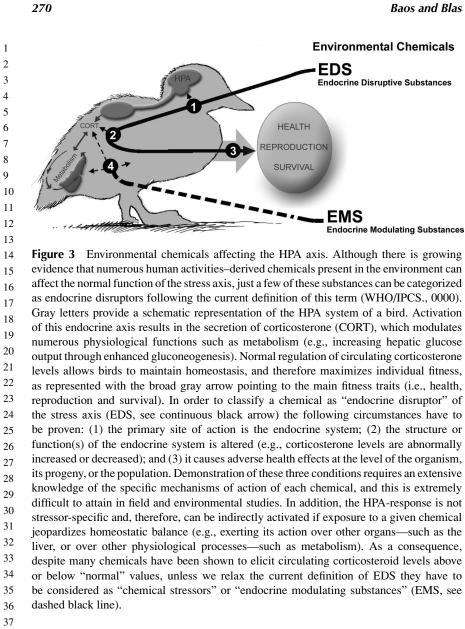
Pottinger (Pottinger, 2003) defines stressor as a "destabilizing stimulus of external or internal origin," and classifies stressors as physical (abiotic, such as temperature, wind, habitat alteration, etc., or biotic, such as conflict, predator, or parasite damage), chemical (e.g., contaminants), physiological (starvation, disease, dehydration), and psychological (threat of predation, intra- and interspecies conflict, territoriality).

Endocrine Disruptors

Originally, the concern over endocrine disruption was based almost entirely on perceived effects of chemicals on the reproductive system and it was usual to refer to these chemicals as estrogen mimics or estrogenic substances. Later, chemicals were found that could block estrogenic responses (antiestrogenic) or androgenic responses (antiandrogens), and it was soon recognized that some substances could affect other elements of the endocrine system via interaction with hormones other than sex steroids (WHO/IPCS., 0000).

The term endocrine disruptor is now preferred because it allows inclusion 30 of health effects thought to result from interference with any part of the endocrine 31 32 system. Although there are several different definitions for this term in current use (Phillips and Harrison, 1999), the final report of the U.S. EPA's Endocrine 33 34 Disruptor Screening and Testing Advisory Committee (EDSTAC, 1998) defines an endocrine disruptor as "an exogenous chemical substance or mixture that alters the 35 structure or function(s) of the endocrine system and causes adverse effects at the 36 37 level of the organism, its progeny, populations, or subpopulations of organisms, 38 based on scientific principles, data, weight-of-evidence, and the precautionary principle." 39

40 A major difficulty that has been encountered with this definition (identified 41 as a particular problem by EDSTAC) is the definition of the term "adverse." For a 42 chemical to be judged as an endocrine disruptor, it is important to show that the 43 recorded response has an adverse effect on the health or reproductive capability of 44 affected organisms or populations and that this response does not fall within the 45 normal range of physiological variation. This premise may help us to answer the



question formulated above; as mentioned, the elevation of corticosterone levels
following exposure to a chemical stressor could simply be the normal or expected
stress response, and differ little from the response to capture or predation attempts.
Thus, provided that such response does not exceed the homeostatic capacity of
the individual and/or populations, the chemical might be just one more stressor,
rather than an endocrine disruptor (Fig. 3) (EDSTAC, 1998).

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A second problem associated to the definition of endocrine disruptor con-1 cerns the mechanism(s) of action of the chemical; disruptors should primar-2 ily affect the endocrine system, thus excluding from this classification those 3 chemicals causing overt toxicity in other body systems and indirectly affect-4 5 ing endocrine function. With regards to the HPA axis, the multiple levels of control over the stress response imply many potential sites of action for chemi-6 7 cals affecting or disrupting adrenal homeostasis (Pottinger, 2003). For example, chemicals may influence the negative feedback control loops of the adrenals 8 9 through modifying hepatic metabolism, causing changes in glucocorticoid secretion (Rehulka and Kraus, 1987). Because such alterations in adrenal func-10 11 tion constitute indirect responses (i.e., the primary site of action is not the endocrine system), these chemicals should not be called endocrine disruptors. 12 In contrast, changes in ACTH secretion that result from chemical actions on 13 the brain or pituitary gland and that will also alter secretion of glucocorticoids 14 (Hadley et al., 1990; Spindel et al., 1983), constitute a secondary response to chem-15 16 ical actions at extra-adrenal endocrine sites and, therefore, might be considered 17 endocrine disruption (provided that they cause adverse health effects on individuals and/or populations). At this point, it is important to note that the underlying 18 19 mechanism(s) of action of most chemicals affecting the adrenocortical response to stress is still poorly understood, especially when the evidence of effects comes 20 21 from field studies (Baos et al., 2006; Wayland et al., 2002).

22 On the other hand, although transient elevations in circulating corticosterone concentrations are highly adaptive through facilitating short-term responses 23 to stressors (by promoting behavioral changes or mobilizing energy reserves), 24 chronic corticosterone elevations can have very relevant deleterious consequences 25 26 such as fat-stores depletion, muscle waste, inhibition and suppression of growth, 27 reproductive and immune systems, disruption of second cell messengers, induction of neuronal cell death (Sapolsky et al., 2000; Sapolsky, 1992; Wingfield and 28 Romero, 2001). Similarly, lower circulating levels of corticosterone can result in 29 an inability to respond to stress, reduced gluconeogenesis, and stimulation of the 30 immune system (Colby and Longhurst, 1996) that may ultimately affect fitness. 31 32 Thus, abnormal responses in both directions, i.e., increasing or decreasing corticosterone levels as consequence of primary or secondary toxicity of chemicals 33 acting directly or indirectly on the endocrine system might be equally harmful for 34 individuals and/or populations. The lack of basic knowledge on the feedback loops 35 and the boundaries of an organism's homeostatic range, affects our ability to place 36 37 in context the significance of a particular observation obtained in the field or even 38 in the laboratory. Clearly, the boundaries of endocrine disruption, and particularly 39 those concerning adrenocortical function cannot be clearly delineated.

For the purpose of our review, we will focus on chemicals in the environment that can increase or decrease adrenocortical function (i.e., plasma baseline and/or stress-induced corticosterone levels), using the term endocrine-modulating substance (EMS) to include the terms "chemical stressors," "endocrine active substances," and "endocrine disruptors" (Fig. 3) (EDSTAC, 1998). This is a relatively

broad consideration of the chemicals of concern and reflects the difficulty to face
 research into adrenal "disruptors," especially when working with wildlife.

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⁴ ENDOCRINE MODULATING CONTAMINANTS IN THE ENVIRONMENT ⁵ AND THE ADRENOCORTICAL RESPONSE TO STRESS

In this section, we will review the literature on adrenocortical stress response in
 birds exposed to environmental contaminants, with the goal of finding common
 patterns of responses that may allow us to reach conclusions and make inferences,
 as well as to identify research gaps and delineate future research directions.

A survey of the published studies reporting adrenocortical measurements [i.e., plasma baseline (or basal) and stress-induced (or acute) corticosterone concentrations] in avian species exposed to chemicals reveals that more than 90% of them (N = Rattner *et al.*, 1982a) deal with exposure to petroleum hydrocarbons, organochlorines (PCBs, PCDDs, PCDFs, and persistent organochlorine insecticides, such as DDT and its metabolites), metals, and organophosphorous compounds (Table 1).

Petroleum Hydrocarbons

The hydrocarbons considered in this review involve various compounds present in crude petroleum that are frequently released into the environment following major oil spills or slow seepage from natural deposits, oil industry storage, and extraction sites. Acute, subacute, and chronic exposure of birds may occur in nature through the oiling of plumage and through the ingestion of oil via feeding or preening.

26 The impact of petroleum hydrocarbons on avian adrenocortical function 27 received considerable attention during the 1980s (Table 1). Most of the studies reporting plasma corticosterone in birds exposed to crude oil have been con-28 ducted experimentally on mallard ducks, and declines in circulating corticos-29 terone concentrations have characterized their responses to ingested petroleum-30 contaminated food (see references cited in Table 1). Studies in vivo and in vitro 31 32 have confirmed that the petroleum-induced decreases in plasma corticosterone 33 concentration reflect diminished adrenocortical activities, due primarily to a suppression of the corticotropic responsiveness of cells in the inner zone of the 34 adrenal gland (Gorsline and Holmes, 1982). However, it is also feasible that 35 petroleum-induced changes in liver function may indirectly influence adreno-36 37 cortical function in contaminated birds. As in many other organisms exposed 38 to hydrocarbon pollutants, the liver of birds consuming petroleum-contaminated food develops an increased ability to metabolize the circulating contaminants 39 40 (Gorsline, 1981; Miller et al., 1978). This is accomplished through the action 41 of a substrate-inducible mixed function oxidase system. However, although the 42 primary function of this system is to rid the organism of the contaminants, it may 43 also accelerate the turnover of some endogenous substrates, such as steroid hormones (Peakall, 1967). Thus, the low plasma corticosterone concentrations found 44

in birds exposed to petroleum-contaminated food may have been caused by two
distinct types of effects— one involving a diminished responsiveness to ACTH
in the adrenals, and the other comprising an enhanced metabolism of circulating
hormones (Gorsline and Holmes, 1981).

5 Although different crude oils seem to produce qualitatively similar effects on plasma corticosterone concentrations, the magnitude of the responses evoked 6 7 in laboratory-maintained ducks varied considerably (—Rattner and Eastin, 1981; Harvey et al., 1981; Gorsline and Holmes, 1981). Many factors may have been 8 9 responsible for this variability. For example, the chemical composition of the crude oil and the environmental conditions under which the birds were maintained, 10 11 could both contribute to the reported quantitative differences in response. Also, the magnitude of the perceived response may vary with the time of the day when 12 blood samples were taken and hormone concentrations were compared (Gorsline 13 and Holmes, 1981). 14

Unlike many other pollutants, crude oils are complex mixtures of different types of hydrocarbons, and thus it cannot be assumed that their toxicities are always attributable to a particular class of compounds. Gorsline and Holmes (Gorsline and Holmes, 1982) found differences in plasma corticosterone concentrations among different distillation fractions of crude oils, but were unable to attribute this effect to specific hydrocarbon compounds present in any of the fractions.

The lower molecular weight constituents of crude oil, particularly the aromatic hydrocarbons, have often been assumed to be responsible for most of the adverse effects seen in contaminated organisms (Gorsline and Holmes, 1982). In growing mallard ducks, decreases in plasma concentration of corticosterone after chronic ingestion of crude oil were most apparent in birds fed the oil with apparently greater aromatic content and was somewhat dose-dependent (Rattner and Eastin, 1981).

It is of particular interest that the extent of the reported decreases in adreno-28 cortical function, even in response to the ingestion of a particular crude oil, may 29 also differ among birds exposed to contaminated food for only a few days and 30 those that have consumed the same food for several months (Gorsline and Holmes, 31 32 1981; Rattner and Eastin, 1981). In these instances, it is impossible to determine the exact reason for the differences in evoked change, as they may be due primarily 33 to the duration of the exposure or reflect modifications in response due to aging 34 (Gorsline and Holmes, 1982). Gorsline and Holmes (Gorsline and Holmes, 1982) 35 reported larger decreases of plasma corticosterone in younger exposed ducks than 36 37 in older birds, while no changes in plasma corticosterone concentration occurred 38 with aging in the control birds. Thus, age seems to be an important factor deter-39 mining the degree of the hypoadrenocorticalism developed following exposure to petroleum-contaminated food. Rattner (Rattner, 1981) showed no effects on cor-40 41 ticosterone levels in adult mallards exposed to petroleum contaminated food for 42 7 days, suggesting that adults can tolerate oil-contaminated food better than hatchling and young growing birds. Similarly (Peakall et al., 1981), failed to find effects 43 on plasma corticosterone levels in adult leach's petrels (Oceanodroma leucorhoa) 44

dosed with weathered oil in a semifield experiment where birds were recaptured, 1 2 while nestlings herring gulls (*Larus argentatus*) and black guillemots (*Cepphus*) grille) sampled in the same study showed significant endocrine effects. However, 3 contrary to previous experimental reports, oil-dosed nestlings of both species of 4 5 seabirds showed higher levels of corticosterone compared to control birds. Fowler et al. (Fowler et al., 1995) also found elevations of corticosterone levels in lightly 6 7 oiled female Magellanic penguins (Spheniscus magellanicus) at the beginning of the breeding season following an accidental crude-oil spill. The authors argued 8 9 that oiled penguins have to face heavy energetic demands and that elevated corticosterone levels are consistent with the role of this hormone in mobilizing energy 10 11 substrates. Coincidentally, the latter two studies were the only ones that were conducted under field conditions, and both reported an elevation of corticosterone 12 levels after exposure to petroleum hydrocarbons. In natural settings, birds must 13 face considerable fluctuations in environmental conditions such as changes in 14 15 food availability. The exposure to other stressors may interact with petroleum effects on the adrenocortical stress response, resulting in higher levels of several 16 17 hormones. In this regard, Peakall et al. (Peakall et al., 1981) reported increases in both corticosterone and ACTH levels in oil-dosed nestling gulls. Adrenocor-18 19 ticotropic hormone is released by the pituitary in response to low glucocorticoid levels (Fig. 1). Because elevated glucocorticoids inhibit ACTH release (through 20 21 negative feedback), in the absence of severe pathology, only "stress" will result 22 in both elevated plasma corticosterone and elevated ACTH. On the other hand, 23 the composition of the crude oil may also contribute to explain different results 24 between field and experimental studies. Nestling herring gulls showed increased 25 corticosterone levels only after exposure to certain crude oil or aromatic fractions 26 (Peakall et al., 1981).

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29 Organochlorine Compounds

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Under this term, we will refer to polychlorinated biphenyls (PCBs), polychlorinated dibenzodioxins (PCDDs), polychlorinated dibenzofurans (PCDFs), and organochlorine insecticides such as DDT and its metabolites. In general, these chemicals are characterized by being highly lipophilic and showing low solubility in water, which facilitates their accumulation in fatty tissues and fat stores, often at increasing concentrations in animals occupying the higher levels of the food web (Borga *et al.*, 2001). All share a high environmental persistence.

Polychlorinated biphenyls are commercial mixtures of related compounds (congeners), which were once used (in many countries, the use of PCBs is now banned or severely restricted) as dielectric fluids, heat transformer fluids, lubricants, vacuum pump fluids, as plasticizers (e.g., in paints), and for making carbonless copy paper. Major sources of pollution are or have been manufacturing wastes and the careless disposal or dumping of the liquids referred above (Waid, 0000).

The best known member of PCDDs (there are Sapolsky, 1992 possible 1 congeners of PCDDs) is 2,3,7,8-tetrachlorodibenzodioxin (Becker, 2003; Fox, 2 2001; Kushlan, 1993; Lower and Kendall, 1992-TCDD), usually referred to sim-3 4 ply as "dioxin." This is a compound of extremely high toxicity to mammals. Polychlorinated dibenzofurans are similar to PCDDs both in structure and ori-5 gin. Both PCDDs and PCDFs are not produced commercially, but are unwanted 6 7 by-products generated during the synthesis of other compounds. Dioxins are also formed during the combustion of PCBs (fires or chemical waste disposal) and by 8 9 the interaction of chlorophenols (used as wood preservatives) during disposal of industrial wastes (e.g., pulp mill effluents). Like PCBs, PCDD residues have been 10 11 detected widely in the environment (especially in the aquatic environment), albeit at low concentrations, e.g., in fish and fish-eating birds. 12

Organochlorine insecticides such as DDT are highly persistent in their orig-13 inal form or as stable metabolites. DDT was used mainly for vector control of 14 insects transmitting diseases during the Second World War, but came to be very 15 widely used thereafter for the control of agricultural pests, vectors of diseases 16 17 (e.g., malarial mosquitoes), ectoparasites of farm animals, and insects in domestic and industrial facilities. By 1990s, the use of these compounds for most pur-18 19 poses had been banned on the grounds of perceived human health risks or hazards to the environment. However, some of these compounds continue to be used 20 21 in some developing and tropical countries, for example, to control vectors such 22 as the malarial mosquito. The very marked persistence of compounds such as p,p'-DDE has ensured that significant residues are still present in once heav-23 ily contaminated soils and/or sediments and will only slowly disappear over the 24 decades to come. These residues are still slowly released into aquatic and terrestrial 25 26 food webs and can reach significant concentrations in animals at higher trophic 27 levels.

Among the environmental contaminants, persistent organochlorine com-28 pounds have received great attention in relation to endocrine modulation or dis-29 ruption in avian species (WHO/IPCS., 0000). However, most of the studies in 30 this regard have dealt with their well-known estrogenic and/or antiandrogenic 31 32 properties (Guillette, 2006; Vos et al., 2000); being comparatively scarce, the literature published on organochlorine stress-related endpoints. Moreover, except 33 for a couple of experimental studies with poultry (DDTs) carried out in late 50s 34 (Newcomer, 1959) and early 70s (Srebocan et al., 1971), most research on the 35 adrenocortical stress response in birds exposed to organochlorine contaminants 36 37 have been conducted during the last decade. Over this period, more than a half 38 of the published scientific reports correspond to field studies (Table 1) where, 39 in addition to basal corticosterone levels, the authors have usually incorporated estimates of stress-induced response. Circulating corticosterone concentrations in 40 blood collected immediately after capture (i.e., basal corticosterone) were assumed 41 to reflect environmental stress as opposed to the stress-induced response measured 42 after a standardized capture, handling, and restraint protocol; exposure to a phys-43 44 ical stressor designed to produce an increase in circulating corticosterone (e.g.,

cold); or ACTH injection (Fig. 2). Stress-induced corticosterone concentration,
usually in conjunction with basal corticosterone is used as a correlate for a functional HPA axis (Norris, 2000; Hinson and Raven, 2006). Furthermore, challenge
with an exogenous ACTH injection allows distinguishing adrenal gland response
from nonadrenal causes of changes in circulating corticosterone concentrations,
which might help to identify the mechanism of toxicity.

7 Despite a prolific use of DDT for more than a quarter century, most of the residual DDT in the environment exists as $p_{,p'}$ -DDE (in commercial DDT, 8 9 70–80% corresponds to p,p'-DDT). Other metabolites such as o,p'-DDE, p,p'-DDD, and $o_{,p'}$ -DDD are also present in lesser amounts. Exposure to technical 10 11 grade DDT (75% p,p'-DDT and 25% o,p'-DDT), p,p'-DDT, and o,p'-DDD has been shown to decrease basal corticosterone in chickens (Newcomer, 1959; Sre-12 bocan et al., 1971), with dose-dependent reductions in individuals fed technical 13 grade DDT for several weeks (Srebocan et al., 1971). Dose (or the environmental 14 level of exposure) and duration of exposure are factors argued by some authors to 15 be the cause of failing to detect significant effects of DDTs on corticosterone con-16 17 centrations either in experimental (Scollon et al., 2004) or field studies [(Mayne et al., 2004; Lorenzen et al., 1999) in tree swallow]. Except for DDE, Lorenzen et 18 19 al. (Lorenzen et al., 1999) found negative correlations between basal corticosterone in herring gull embryos from the Great Lakes and environmental levels of PCBs 20 21 (total and nonortho PCBs), PCDDs, and PCDFs measured in yolk sacs. Similarly, 22 Martinovic et al. (Martinovic et al., 2003) found negative correlations between basal corticosterone levels and PCDFs in nestling tree swallows sampled in two 23 consecutive years, although they also reported no differences between exposed 24 and reference sites in basal or stress-induced corticosterone concentrations after 25 26 10 minutes of handling and restraint. As mentioned earlier, the functionality of HPA axis through this procedure, or by the injection of ACTH, has been explored 27 in several studies in which birds were exposed to organochlorine compounds, and 28 their response compared with control or reference animals. In general, although 29 both exposed and control (or reference) birds responded to the standardized han-30 dling and restraint procedure (or ACTH injection) by an increase in secretion of 31 32 corticosterone (Love et al., 2003b), negative effects of PCBs and DDE on stressinduced response have been reported in both field and experimental studies (Gross, 33 1990; Love et al., 2003b; Mayne et al., 2004). Nevertheless, in some instances, 34 associations are not straightforward. For example, Bowerman et al. (Bowerman 35 et al., 2002) found that exposure to DDE and PCBs in nestling bald eagles was 36 37 associated with lesser induction of plasma corticosterone on a regional level (i.e., 38 Great Lakes or Interior breeding area) when challenged with ACTH. However, 39 they also reported that increases in corticosterone induction were positively related to increases in either DDE or PCBs. In two consecutive years of study, Frances-40 41 chini et al. (Franceschini et al., 2005) found that tree swallow nestlings chronically exposed to high PCB levels exhibited an increase in poststress corticosterone con-42 43 centrations in comparison with birds from reference sites during the first year; 44

however, lower levels of corticosterone after ACTH injection were reported in the 1 second year. In a study examining stress response in songbird nestlings coexposed 2 to p,p'-DDE residues and a mixture of nonpersistent pesticides in apple orchards, 3 Mayne et al. (Mayne et al., 2004) found interspecies differences in both basal 4 5 and stressinduced response between tree swallows and Eastern bluebirds sampled in sprayed and reference sites (Table 1). Thus, while basal corticosterone lev-6 7 els in nestling tree swallows was not affected by the exposure to pesticides, and levels of corticosterone secretion post-ACTH stimulation were increased in the 8 9 sprayed orchards, exposed bluebird nestlings had higher levels of basal corticosterone and were less responsiveness to challenge with ACTH than reference birds 10 11 (Table 1). Furthermore, stress-induced corticosterone concentrations in bluebirds were negatively associated with p,p'-DDE levels in eggs. From these results, and 12 since eastern bluebird eggs contained much higher concentrations of $p_{,p'}$ -DDE 13 than tree swallow eggs, authors concluded that modulation of HPA axis in the 14 tested songbird chicks was mostly associated with high persistent pesticides, i.e., 15 p,p'-DDE. Results from laboratory studies have established that DDT metabolites 16 17 are potent toxicants in the adrenal cortex of birds (Jönsson et al., 1994). The high lipid content of the cortical tissue of the avian adrenals has a high affinity for the 18 19 metabolites of DDT; the primary metabolite in adrenal tissue of chickens dosed with DDT was p,p'-DDE (Srebocan *et al.*, 1971). Disruption of cortical cell activ-20 21 ity by p,p'-DDE ultimately inhibiting steroidogenesis has been shown previously 22 in mammals (Lund, 1994). Alternatively, mixed-function oxidase activity may be 23 induced by high levels of p, p'-DDE. This, potentially, could increase the metabolic 24 clearance rate of corticosterone, activating feedback mechanisms and prolonging 25 the release of ACTH from pituitary corticotropes. Chronic stimulation of cortical 26 tissue would have the effect of exhausting adrenal cortical cells and dampening 27 the response to ACTH injection.

28

Organophosphorous Compounds 30

Organophosphorous compounds are organic esters of phosphorus acids that act as 31 32 nerve poisons (neurotoxins) due to their ability to inhibit the enzyme acetylcholinesterase. Today, a large number of organophosphorous compounds are 33 34 marketed as insecticides, being extensively used for the control of agricultural 35 pests and disease vectors. They are more polar and water soluble than the main types of organochlorine insecticides, although their water solubility is highly 36 37 variable. Despite their lipophilic character, they are, in general, less stable than 38 organochlorine insecticides and more readily broken down by chemical or biochemical agents. Thus, they tend to be relatively short-lived in the environment 39 and in the tissues of homeothermic animals, being environmental hazards largely, 40 41 but not exclusively, associated with short-term (acute) toxicity. It is remarkable 42 that, despite their short-lives, some organophosphorous insecticides are highly 43 toxic to birds and small mammals for brief periods after application, occasionally 44

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affecting local wildlife populations (e.g., secondary poisoning in raptors, (Mineau
 et al., 1999).

Our literature survey reveals that a few number of studies have reported 3 4 plasma corticosterone levels in birds exposed to organophosphorous compounds, 5 and that almost all of them were published in a 4-year window (1982–1985), cor-6 responding to experimental work conducted on adults of different avian species. 7 The main aim of these investigations was to assess overt toxicity of organophosphorous compounds on different aspects of avian physiology, either alone or in 8 9 combination with physical stressors such as cold (Rattner and Franson, 1983; Rat-10 tner et al., 1982a). Corticosterone was determined in most cases within routine 11 plasma chemistries and, overall, results showed a dose-dependent increase in corticosterone concentrations after organophosphorous exposure (Table 1). Thus, 12 Fleming et al. (Fleming et al., 1985) found increased levels of corticosterone 13 only in the ducklings exposed to the highest (100 ppm) dietary temphos con-14 centration. Similarly, subchronic ingestion of 100 ppm parathion for 10 days 15 followed by exposure to mild cold (6 °C) for up to 48 hours resulted in two-16 17 to fivefold elevation of plasma corticosterone concentration in female bobwhite quails, yet birds receiving 0 and 25 ppm parathion were not affected (Rattner 18 19 et al., 1982a). Acute exposure to methyl parathion also elevated plasma corticosterone concentration in adult American kestrels (Rattner and Franson, 1983). 20 21 In the single and most recent study performed in the wild, Mayne et al. (Mayne 22 et al., 2004) investigated the combined effects of several nonpersistent pesti-23 cides and the organochlorine insecticide $p_{,p'}$ -DDE on songbird nestlings' stress 24 response. Tree swallow nestlings from pesticide-sprayed orchards showed higher 25 levels of corticosterone after ACTH injection than chicks from reference sites; 26 however, these results must be interpreted with caution since organophosphorous 27 compounds were only one class of the chemicals included in the mixture of pesticides sprayed, and there was no correlation between stress-induced corticosterone 28 concentrations and several estimates of pesticide exposure. Only Rattner et al. 29 (Rattner et al., 1983) reported sustained corticosterone levels in ducks exposed to 30 fenthion and receiving salt water for 12 days while nonexposed birds increased 31 32 their levels of corticosterone. Thus, a reduced responsiveness of the HPA axis 33 to organophosphorous exposure was suggested. In mammals, organophosphorous insecticides have been demonstrated to inhibit adrenal cholesterol esterification 34 and hydrolysis, as well as hepatic steroid metabolism (Conney et al., 1971), and to 35 36 reduce the rate of corticosteroidogenesis in vitro (Civen and Brown, 1974; Civen et al., 1977). 37

38

³⁹₄₀ Metals

41 Metals are a large family of elements characterized by complex chemistry. Some 42 metals are essential for normal physiological function, as integral parts of amino 43 acids, nucleic acids, and structural compounds. Zinc, for example, is an essential 44 component of at least 150 enzymes, Cu is essential for the normal function of SPK

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cytochrome oxidase, and Fe is part of hemoglobin. All essential metals have a 1 "window of essentiality," within which dietary concentrations in animals have to 2 be maintained if the organism is to grow and reproduce normally. The window 3 of essentiality for some elements is very narrow (e.g., Se). Metals such as Cd, 4 5 Hg, Pb, or As are referred to as nonessential because they do not have a known physiological function. In addition to being toxic above certain levels, nonessential 6 7 metals such as Hg or Cd, may also affect organisms by inducing deficiencies of essential elements through competition at active sites in biologically important 8 9 molecules. Such antagonism also occurs between essential elements.

The biological half-life varies for different metals. In mammals, the half-life of Cd is 20 to 30 years, while the half-life of As or Cr is a few hours or days. Their toxicity depends not only on dose and on length of exposure as occurs with other toxicants, but also on the ionic and chemical form (the species) of the metal and its bioavailability.

Metals are natural elements discharged into the environment by alteration 15 of their geochemical cycles, through either human activities or natural processes 16 17 such as volcanic eruptions or soil erosion. Mining and smelting activities, coal and petroleum combustion, and agricultural use of sludge from water treatment plants 18 19 are important sources of contamination. The use of metal-based pesticides (e.g., lead arsenate) further contributes to environmental contamination. Acidification 20 21 of watersheds by acid rain influences metal distribution in the ecosystem by 22 promoting lixiviation of metals from soils into the aquatic compartment. Because 23 their ecological half-life is long, and although their structure can be modified in the environment or in the animals by speciation and processes such as ionization, 24 methylation, and binding to organic ligands, metals are classified as persistent 25 26 contaminants. Moreover, their importance and widespread use in the manufacture 27 of many products make contamination by metals ubiquitous.

Despite the extent to which biomarkers are able to provide unambiguous 28 and ecologically relevant indicators of exposure to or effects of toxicants remains 29 highly controversial (Forbes et al., 2006), over the past decade, the adrenocortical 30 stress response has been widely considered within a suite of biomarkers chosen 31 32 to reflect animal health and fitness in metal-exposed wild bird populations (Table 1). Our literature survey reveals that most of the studies performed in this regard 33 34 have failed to detect significant effects of metal exposure on adrenocortical stress response (Table 1). However, it seems that the absence of significant results are 35 more frequent for some elements, such as Hg (Bowerman et al., 2002; Heath 36 37 and Frederick, 2005; Martinovic et al., 2003; Wayland and Smits, 2003; Wayland 38 et al., 2002) than for others like Cd or Se (Di Giulio and Scanlon, 1984; Di Giulio and Scanlon, 1985; Wayland and Smits, 2003; Wayland et al., 2002). In any case, 39 it is important to highlight that the number of publications per metal is very limited 40 41 to reach definitive conclusions.

In a 3-year monitoring program of a breeding colony of common eiders
 (*Somateria mollissima*) in the Canadian Arctic, Wayland et al. (Wayland and
 Smits, 2003; Wayland *et al.*, 2002) found negative relationships between hepatic

Se concentration and the stress-induced response in female eiders (Wayland and 1 Smits, 2003; Wayland et al., 2002), while renal Cd concentration was reported to 2 be positively related to plasma corticosterone levels in incubating fasted-females 3 (Wayland et al., 2002). Although following the ecoepidemiological criteria (Fox, 4 5 1991), the lack of consistency in relationships among years was argued by the 6 authors to avoid concluding that Cd exposure was related to the magnitude of the 7 stress response in eiders, experimental studies conducted on mallard ducks provide support for a positive association (Di Giulio and Scanlon, 1985). Di Giulio 8 9 and Scanlon (Di Giulio and Scanlon, 1985) showed that mallards simultaneously food-restricted and exposed to dietary Cd had higher (although marginally 10 11 significant) concentrations of corticosterone compared to non-Cd exposed and non-food-restricted counterparts. 12

The functional tests are highly relevant to assess the situation in the wild 13 where birds from contaminated sites must not only cope with the contaminant(s), 14 but also must react appropriately to predators, conspecifics, and various environ-15 mental stressors, either chronic or acute, such as food deprivation, harsh weather 16 17 conditions, etc. In a recent work conducted on white stork (Ciconia ciconia) nestlings exposed to metals subsequent to a mining accident in southwestern Spain, 18 19 Baos et al. (Baos et al., 2006) showed no significant relationships between metals (Cu, Zn, Cd, Pb, As) and basal corticosterone concentration. However, maximum 20 21 corticosterone concentration after a standardized handling and restraint protocol was positively related to low blood Pb levels, and singleton nestlings had higher 22 23 levels of corticosterone than nestlings from multiple-chick broods. In addition, the 24 interaction between Pb levels and brood size was also significant, suggesting that 25 Pb had a greater impact on the stress-induced corticosterone of single nestlings than 26 on those of multiple-chick broods. In a previous study, it was reported that single stork nestlings were reared in nests that experienced brood reduction, which sug-27 gested lower parental quality (Blas et al., 2005). Reduced attendance by young or 28 inexperienced parents may lead singletons to suffer from environmental stressors 29 other than Pb (e.g., a greater exposure to harsh weather conditions). This, in turn, 30 may explain both their higher levels of maximum corticosterone, and the reported 31 32 stronger relationship between the stress-induced response and Pb. Although sim-33 ilar (i.e., positive) associations between stress response and exposure to Pb have also been reported in rats (Cory Slechta et al., 2004) and, more recently, in children 34 exposed pre- and postnatally to low levels of Pb (Gump et al., 2008), experimental 35 (Snoeijs et al., 2005) and field studies (Eeva et al., 2003; Eeva et al., 2005) on 36 37 passerine birds have failed to detect Pb effects on either basal (Snoeijs et al., 2005) 38 or stress-induced plasma corticosterone concentrations (Eeva et al., 2003; Eeva et al., 2005). 39

Finally, it is important to note that, although the study by Baos et al. (Baos *et al.*, 2006) is correlational in nature and comes from a small sample size, it would support the argument that contaminants acting in concert with other stressors may have a greater impact on individuals than the effects elicited by either the contaminants or other stressors acting alone.

1 SYNTHESIS, STUDY BIAS AND RESEARCH GAPS

2 Our review reveals that the assessment of adrenocortical parameters (plasma 3 baseline and stress-induced corticosterone concentrations) might be a promising 4 nondestructive biomarker of effect of environmental contaminants in birds. How-5 ever, it also illustrates that despite a growing number of studies have been published 6 during the last decade, the literature dealing with the impact of pollutants on the 7 stress response in avian species is still very scarce (Table 1), especially when 8 compared with research assessing the impact of chemical contaminants on other 9 physiological systems such as the immune system (Fairbrother et al., 2004). More-10 over, certain biases and constraints deserving further attention have been identified 11 in our review and are discussed below: 12

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¹⁵ Study Models

A detailed analysis of the Table 1 reveals that the effects of petroleum hydrocar-15 16 bons and organophosphorous compounds on adrenocortical stress response have 17 been mostly studied on adults or juveniles of poultry species (e.g., chicken, mallard, bobwhite quail) experimentally exposed to variable doses of contaminants 18 19 in controlled environments. Despite such studies represent a very valuable tool for characterizing the biological action of chemicals and understanding associ-20 21 ated toxicity, results are constrained to a particular age segment (i.e., developing 22 individuals are rarely studied), taxa (poultry species), and obtained under captive 23 settings. These conditions imply that extrapolation to wild-bird populations should 24 be done with caution because (1) captivity and domestication can strongly modify 25 HPA function (Romero and Wingfield, 1999), (2) in general, developing birds 26 are more vulnerable to toxicant effects than adults, and this may differentially 27 affect the adrenal stress response, (3) constant dosing regimes (acute exposure to relatively high doses of chemicals) differ from the irregular dietary intake of 28 contaminants that very often characterize environmental exposure (i.e., chronic 29 exposure to low levels of contaminants seems to be the general rule in wild 30 birds, with acute exposure to high doses being restricted to certain hot spots or 31 32 linked to accidental spills), and (4) the captive study models have limited expo-33 sure to real environmental perturbations (e.g., competition, weather inclemency, 34 predation).

Some of these conditions might explain the discrepancies regarding the 35 36 effects of petroleum hydrocarbons in corticosterone secretion between studies per-37 formed in laboratory experiments and those = performed on wild birds (Table 1). 38 Table 1 also reveals a temporal bias in the study of the stress response among 39 classes of contaminants. While the studies on the effects of petroleum and organophosphorous compounds were mostly carried out during the 1980s, the 40 41 majority of the recent reports deal with environmental exposure to organochlorines 42 and metals. In the latter studies, corticosterone concentration is often measured in developing individuals of altricial or semialtricial species (i.e., nestlings) and 43 within a broader set of biomarkers aimed at assessing the overall health status 44

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of exposed birds. It should be noted that the physiology, diet, and metabolism of 1 young birds is substantially different compared to adults. These differences can 2 modify the patterns of exposure and limit the applicability of data obtained from 3 adult specimens (Burger et al., 2003). In general, developing organisms are more 4 vulnerable to toxicant effects (Gochfeld, 1997), and this may differentially affect 5 6 the adrenal stress response (Gorsline and Holmes, 1982). The developmental stage 7 of particular organs and tissues, and the maturation of endocrine and nervous control can all interact in critical ways to influence the nature of the toxicant effect on 8 9 the adrenal stress response. Endocrine systems mature at different rates in species showing altricial or precocial modes of development (Blas and Baos, 2008; Scanes 10 11 and McNabb, 2003). Precocial birds (e.g., mallards, chickens, quails) hatch with sight, covered with down, and are able to thermoregulate, locomote, and feed inde-12 pendently of their parents. Adrenocortical function in response to stressors occurs 13 as early as in 1-day old hatchlings, in contrast with altricial species (e.g., song 14 birds) which hatch almost naked, blind, unable to locomote or thermoregulate, and 15 16 show little or no response to stress as nestlings (i.e., stress hyporesponsive period). 17 Avian developmental modes vary along a continuum between true precocial and true altricial strategies, and age-related increases in stress-induced corticosterone 18 19 elevations characterize growing (Blas and Baos, 2008; Blas et al., 2006). Thus, the timing of toxicant exposure during development (i.e., in ovo, at hatching, or 20 21 during growing) may have different effects on the adrenal stress response and 22 depends upon the species developmental mode.

23

Experimental Protocols

26 The use of standardized protocols aimed at estimating stress-induced responses 27 such as handling restraint or ACTH challenge have only been incorporated recently, and only among research focused on organochlorine and metal con-28 tamination (Table 1). As a consequence, the effects of petroleum hydrocarbons 29 on stress-induced corticosterone levels remain totally unknown (Table 1). With 30 regards to organophosphorous exposure, five studies have tested the effects on 31 32 stress-induced responses. However, these reports used less conventional experimental stressors like cold temperature or salt water (Rattner and Franson, 33 1983; Rattner et al., 1982a; Rattner et al., 1983), increasing the methodologi-34 cal heterogeneity and making it more difficult to establish comparisons among 35 36 classes of contaminants. This problem also affects studies incorporating standard-37 ized capture-restraint protocols and ACTH challenge, because the sampling times 38 following experimental treatments are highly variable, and sometimes corticos-39 terone values are calculated as residuals between the observed levels and those expected from a linear regression with handling time (Eeva et al., 2003; Eeva 40 41 et al., 2005). Although basal corticosterone levels constitute an important mea-42 sure of general stress allowing comparisons within- and between populations, such a static measure is not sufficient to assess adrenocortical function (Norris, 2000), 43 and the collection of additional information on the dynamics of the response to 44

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 Table 2
 Recommendations for Studying the Adrenocortical Response to Stress in Wild Birds
 Exposed to Contaminants 2 3 Collect both basal (within 2-3 min after capture) and stress-induced corticosterone . 4 concentrations. 5 Use standardized protocols (e.g., HR, ACTH injection) to measure stress-induced response. Work concurrently on different study populations (to avoid seasonal and intervear variation). 6 Measure contaminant exposure on an individual basis (rather than just using population means) 7 both at contaminated and reference sites. 8 The use of nondestructive (e.g., blood) or noninvasive (e.g., feathers) methods to estimate 9 adrenocortical function and contaminant exposure is advisable (especially to estimate effects on long-term fitness components, i.e., survival, reproduction). 10 Include host (e.g., age, sex, body condition, reproductive stage), ecological, and environmental 11 factors in the statistical analyses. 12 Examine how host factors interact with contaminant exposure. 13 Consider potential interactions between host factors. 14 • If work is performed during development, be aware of the developmental mode (within the precocial-altricial spectrum of variation) and the timing of exposure to contaminants. 15 16 17 stress is strongly recommended (Table 2). In fact, the impact of contaminants 18 may only become obvious on stress-induced responses with no effects on basal 19 corticosterone levels, as reported in birds (Baos et al., 2006; Bowerman et al., 20 21 2002; Franceschini et al., 2005) and other vertebrates (Norris, 1999). 22 An important question deserving special care is whether a given corticos-23 terone measurement constitutes a reliable estimation of baseline levels rather than 24 stress-induced response. Obtaining baseline samples is not always an easy task, and can be especially difficult when animals are captured in the field due to some 25 methodological constraints illustrated in Figure 2. The collection of an initial 26 27 blood sample shortly following induction of experimental stress is required to assess basal corticosterone concentrations, with time intervals of 2 to 3 minutes 28 following capture, yielding a widely accepted estimation of basal titers (Romero 29 and Reed, 2005). However, after this brief time lag, corticosterone levels rapidly 30 elevate to stress-induced or acute levels over the course of 30 to 60 minutes. A 31 32 first consideration is that the magnitude of this response depends upon the type of 33 stressor [Fig. 2(A) and (B)]; and therefore, this source of variability handicaps adequate comparisons among studies. A second consideration regards experimental 34 35 studies where different stressors are sequentially applied to the same individuals [Fig. 2(C)]. Despite acute corticosterone levels may be further elevated following 36 37 exposure to a second source of stress [e.g., time 4 in Fig. 2(C)], blood samples 38 collected shortly after this time (even within 2–3 minutes, as represented in Fig 2 39 2(C) by the dashed gray area) should not be considered true basal levels. Avoiding such consideration might lead to an incorrect interpretation of the results, as illus-40 41 trated in Figure 2(C); individuals from the population exposed to contaminants (gray dots) could be erroneously described as having higher baseline levels and 42 being unable to respond to stress, when in fact they had a lower basal corticos-43 terone and a faster corticosterone elevation compared to control birds (black dots). 44

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1 This observation is also relevant when comparing the stress response among wild 2 populations, because uncontrolled local perturbations (e.g., inclement weather, 3 parasites) may generate corticosterone elevations prior to the experimental expo-4 sure to stress, potentially misleading the interpretation of contaminant-related 5 effects.

7 Population Versus Individual Approaches

8 Another important observation regards the use of population mean levels of con-9 taminant exposure rather than individual levels. The observation of adrenocortical 10 differences among populations, even in conjunction with known differences in the 11 presence or amount of certain contaminants, might not be enough to establish reli-12 able associations. Numerous host, ecological, and environmental variables may 13 account for population differences independent of toxic prevalence or exposure. 14 For example, host factors such as age, sex, reproductive status, size and weight, 15 body condition, nutritional status, genetics, and even behavioral interactions may 16 differ among populations and confound results by being correlated with HPA 17 axis activity (Harvey, 1996). In addition, these factors may as well influence the 18 amount and degree of contaminant exposure, uptake, absorption, biokinetics, sus-19 ceptibility, and toxicity (Peakall and Burger, 2003). Local environmental factors, 20 like weather conditions, parasites, predation attempts, changes in food availabil-21 ity, density of conspecifics, and social competition may also affect the response to 22 stress (Wingfield and Romero, 2001) and should be controlled in order to obtain 23 a reliable estimate of the potential effects of contaminants on the stress response. 24 Recent investigations with white stork nestlings have demonstrated that these fac-25 tors have the potential to interact with contaminants modulating the stress response 26 of young birds (Baos et al., 2006), making, thus very advisable, the assessment of 27 contaminant exposure on an individual- rather than on a population basis. 28

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Fitness Consequences and Extrapolation among Species

Two important questions deserving further attention are whether differences in the 31 32 stress response associated to contaminant exposure are truly relevant, if they do 33 not translate into a proved impaired/reduced health, reproduction, or survival; and whether demonstration of such effect in one species can be extrapolated to others. 34 35 To date, the consequences of contaminant-related modulation of stress responses on unequivocal fitness traits remain largely unknown, possibly as a result of the 36 37 difficulty of maintaining long-term programs of population monitoring in the wild. 38 However, a recent series of investigations on HPA function in wild stork nestlings have provided interesting insights in this regard. In a long-term field study, Blas 39 40 et al. (Blas et al., 2007) found that individuals with a reduced stress response early 41 in life (i.e., as nestlings) had a higher probability of survival and recruitment into 42 the breeding population when adults, providing the first empirical evidence of a 43 link between the physiological response to stress and long-term fitness components in a wild vertebrate. Because a positive relationship between blood Pb levels 44 and the stress response had been previously reported in stork nestlings (Baos et al.,

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2006), it might be possible that metal contamination exerted some indirect effect 1 on fitness. It is also important to highlight that the association between Pb and 2 adrenocortical stress response reported by Baos et al. (Baos et al., 2006) occurred 3 4 at Pb levels below those considered to cause sublethal effects in birds. Concerning the validity of extrapolation of results among species, it should be noted that the 5 6 physiological ranges of baseline and stress-induced corticosterone levels shows 7 a strong interspecific variability. As a consequence, obtaining a species-specific reference value is always advisable to determine whether a response falls within 8 9 the "normal" range of variation. However, rare or endangered species might not be available for sampling, and adverse effects on wildlife may constitute a useful 10 11 warning signal to anticipate consequences of contaminant exposure on humans. These are strong practical arguments justifying the use of sentinel species, and 12 thus the validity of extrapolation among taxa. An interesting example is a recent 13 study performed on children (Gump et al., 2008) reporting a positive relationship 14 between (relatively low) prenatal and postnatal blood Pb levels and adrenocortical 15 response to acute stress, very similar to the results previously reported for wild 16 17 white storks. From these reports we can conclude that white storks may be considered good sentinels for the detection of potential adverse effects of Pb on human 18 19 health, providing support to the hypothesis that health effects observed in wildlife and in laboratory animals are predictive of similar health effects in humans (Frame 20 21 and Dickerson, 2006; Heindel et al., 1998). If we accept that white stork nestlings 22 are sentinels for the Pb impact on the adrenal stress response of children, then the 23 emerging data on the long-term consequences of such response on stork fitness 24 deserve serious consideration.

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26 GENERAL CONCLUSIONS AND RECOMMENDATIONS FOR 27

FUTURE RESEARCH 28

Compared to other biomarkers of environmental health, the number of publications 29 on adrenal stress response in avian species exposed to environmental contaminants 30 is relatively scarce, especially when restricted to a certain class of chemicals and 31 32 even more when a particular substance is considered (Table 1). This fact contrasts 33 with the recognition that the adrenal gland is the most frequently observed site of 34 endocrine lesion (Ribelin, 1984) and the unquestioned pivotal role of the HPA axis in maintaining homeostasis. While it is clear that environmental chemicals can 35 36 modulate the adrenal stress response in avian species, the underlying mechanisms 37 are in most cases poorly understood. Mechanistic information is important to 38 understand and ultimately reduce the uncertainties associated with the ecological risk to wildlife and ultimately to humans caused by EMSs. This type of information 39 demands intense, detailed research. 40

41 Laboratory experiments are necessary to explore the relationships between 42 different doses of contaminants and the stress response. One additional concern 43 is the potential interactions among different EMSs on wild animals. When exam-44 ining the impacts of a mixture of contaminants on endocrine endpoints, is the whole mixture greater than, less than, or equal to the sum of its constituent

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parts? This question is particularly intriguing when considering the widespread 1 2 distribution of many EMSs in the environment that even at low levels may interact with the chemical of primary research interest, potentially leading to unexpected 3 4 responses and confounding results. Experimental work in this regard should be 5 done. Recent studies in wild storks provide evidence that the concentrations of Pb, positively affecting the stress response, may be lower than the existing thresh-6 7 old reference levels, adding concern to the low-level exposure to many potential EMSs that are widely distributed in the environment. Only within the context of 8 9 controlled laboratory conditions can individual effects be isolated and causality be established. 10

11 Laboratory experiments should nonetheless be combined with field studies. Despite the adrenocortical stress response is not stressor specific, and can 12 be affected by numerous host, ecological, and environmental factors (potentially 13 affecting the toxicity of any given substance), recent findings on several avian 14 species provide evidence that this system can be a good biomarker of environmen-15 tal health (Baos et al., 2006; Mayne et al., 2004). The potential application of field 16 17 models as sentinels justify the need of extended research on the impacts of environmental contaminants on avian HPA function. Research on the many contaminants 18 19 not tested yet (e.g., brominated flamed retardants) and on those having ubiquitous presence in the environment even at low levels constitutes a study priority that is 20 21 especially encouraged. Although long-term field studies can be labor-intensive, 22 expensive, and in some cases, logistically very difficult, they are necessary to 23 determine the relevance of contaminant-induced stress responses on long-term fit-24 ness components (e.g., survival and reproduction). Recent investigations on white storks support the usefulness of this kind of field studies, which preferentially 25 26 require long-lived species (e.g., seabirds, raptors) and nondestructive methods of 27 sampling (e.g., blood or feathers). In this regard, a method for the assessment of adrenal function in feather samples has been recently published (Bortolotti 28 et al., 2008). This novel approach has the great advantage of being noninvasive 29 because feathers are naturally shed on a regular basis, bird capture is not required, 30 thus reducing sampling effort and avoiding undesirable effects related to wildlife 31 32 manipulation. On the other hand, feathers have also been used to examine levels of some metals (Burger, 1993), and recent investigations introduce this method 33 as a promising biomonitoring tool for assessing organic pollutants (Jaspers and 34 Covaci, 2006; Van Den Steen and Covaci, 2007). Therefore, the assessment of 35 36 the impact of environmental contaminants on adrenocortical stress response using 37 feather samples should prove fruitful in the near future.

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