

1 **Pitch perception at very high frequencies: On psychometric functions and**  
2 **integration of frequency information**

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25 **ABSTRACT**

26 Lau *et al.* [Lau, Mehta, and Oxenham (2017), *J. Neuroscience*, **37**, 9013-9021] showed that  
27 discrimination of the fundamental frequency (F0) of complex tones with components in a  
28 high frequency region was better than predicted from the optimal combination of information  
29 from the individual harmonics. The predictions depend on the assumption that psychometric  
30 functions for frequency discrimination have a slope of 1 at high frequencies. This was tested  
31 by measuring psychometric functions for F0 discrimination and frequency discrimination.  
32 Difference limens for F0 (F0DLs) and difference limens for frequency (FDLs) for each  
33 frequency component were also measured. Complex tones contained harmonics 6-10 and had  
34 F0s of 280 or 1400 Hz. Thresholds were measured using 210-ms tones presented diotically in  
35 diotic threshold-equalizing noise (TEN) and 1000-ms tones presented diotically in dichotic  
36 TEN. The slopes of the psychometric functions were close to 1 for all frequencies and F0s.  
37 The ratio of predicted to observed F0DLs was around 1 or smaller for both F0s, i.e. not super-  
38 optimal, and was significantly smaller for the low than for the high F0. The results are  
39 consistent with the idea that place information alone can convey pitch, but pitch is more  
40 salient when phase locking-information is available.

41

42

43 **Key words:** pitch perception; frequency discrimination; slope of psychometric function;  
44 prediction of F0 discrimination.

45

## 46 I. INTRODUCTION

47 Pitch is important for the perception of music, the perception of speech intonation, and  
48 the segregation of sounds in complex auditory scenes (see e.g. Brokx and Nootboom, 1982;  
49 Scheffers, 1983; Hartmann, 1996; Vliegen and Oxenham, 1999). Popular models of pitch  
50 perception depend at least partly on the use of information derived from the pattern of phase  
51 locking in the auditory nerve (Cariani and Delgutte, 1996; Meddis and O'Mard, 1997; de  
52 Cheveigné, 1998), and phase locking has generally been assumed to be weak or absent for  
53 frequencies above about 4-5 kHz (Johnson, 1980; Palmer and Russell, 1986), although the  
54 exact upper limit of phase locking in the auditory nerve in humans is unknown (Verschooten  
55 *et al.*, 2019). The importance of phase locking cues for pitch perception has been challenged  
56 recently by studies showing good melody discrimination for complex tones consisting only of  
57 high-frequency components (all audible components above 6 kHz) but with a “missing”  
58 fundamental frequency (F0) that is much lower (Carcagno *et al.*, 2019; Oxenham *et al.*,  
59 2011). The present paper re-examines the differences in pitch perception for complex tones  
60 with medium-frequency components and tones with very high-frequency components, and  
61 tests one of the assumptions underlying a recent claim that discrimination of the fundamental  
62 frequency (F0) of complex tones with components in a high frequency region was better than  
63 predicted from the optimal combination of information from the individual harmonics (Lau *et*  
64 *al.*, 2017).

65 Lau *et al.* (2017) used complex tones containing harmonics 6-10 with an F0 of either  
66 1400 Hz, with the lowest harmonic at 8.4 kHz, where phase locking is assumed to be weak or  
67 absent, or an F0 of 280 Hz, with the lowest harmonic at 1680 Hz, where phase locking would  
68 occur. The tones were presented at a low sensation level in threshold-equalizing noise (TEN;  
69 Moore *et al.*, 2000), to mask possible distortion products, and individual component levels  
70 were randomized by  $\pm 3$  dB to reduce level cues. Lau *et al.* (2017) measured difference limens

71 for fundamental frequency (F0DLs) and difference limens for frequency (FDLs) for the  
 72 individual harmonics presented in isolation. For the high F0, the FDLs were very large  
 73 (around 20-30%), but the F0DLs were much smaller (around 5%), although still larger than  
 74 the F0DLs for the low F0 (around 1%). In contrast, and in agreement with previous results,  
 75 for the low F0, the F0DLs were not smaller than the FDLs of the individual harmonics  
 76 (Henning and Grosberg, 1968; Fastl and Weinberger, 1981).

77 Lau *et al.* (2017) compared the observed F0DLs with F0DLs predicted from the  
 78 observed FDLs using a model based on signal detection theory (Green and Swets, 1966),  
 79 assuming optimal combination of frequency information from the individual components.  
 80 According to signal detection theory, the detectability of a change in a stimulus parameter  
 81 depends on the perceived change in the decision variable and the variability of the decision  
 82 variable:

$$83 \quad d' = \frac{P_1 - P_0}{\sigma} \quad (\text{Eq. 1})$$

84 where  $d'$  is a measure of sensitivity to the change,  $P_0$  and  $P_1$  are the magnitudes of the  
 85 internal decision variable without and with the change, respectively, and  $\sigma$  is the standard  
 86 deviation of the decision variable. The decision variable is assumed to follow a Gaussian  
 87 distribution. Dai and Micheyl (2011) reported that sensitivity to a change in frequency of a  
 88 pure tone was proportional to the frequency difference,  $\Delta F$  in Hz, over a wide range of  
 89 frequencies and levels. Assuming this holds for all frequencies, the difference between the  
 90 decision variables  $P_1$  and  $P_0$  in equation 1 can be substituted with  $\Delta F$ , and a plot of  $d'$  as a  
 91 function of  $\Delta F/F$  on a log-log plot should be a straight line with a slope of 1. To predict F0  
 92 discrimination performance, Lau *et al.* (2017) assumed that this unity slope held for all  
 93 frequencies tested, and that independent frequency information from all of the components is  
 94 combined optimally.

95 Assuming independent noise across peripheral channels, i.e. that performance is limited  
 96 by noise before information is combined across frequency channels, the sensitivity ( $d'_c$ ) to  
 97 changes in F0 can be expressed as:

$$98 \quad d'_c = \sqrt{\sum_{k=1}^N d'_k{}^2} \quad (\text{Eq. 2})$$

99 where  $d'_k$  is the sensitivity to a change in the frequency for component  $k$ . With the  
 100 assumption of a linear relationship between  $d'$  and  $\Delta F$ , and between  $d'$  and  $\Delta F_0$ , the  
 101 relationship between thresholds can be expressed as:

$$102 \quad \left( \frac{1}{FODL_{pred}} \right)^2 = \sum_{k=1}^N \left( \frac{1}{FDL_k} \right)^2 \quad (\text{Eq. 3})$$

103

104 where  $FODL_{pred}$  is the predicted FODL (expressed as a percentage of F0) and  $FDL_k$  is the  
 105 FDL for the  $k$ th harmonic (expressed as a percentage of the frequency of that harmonic). Eq.  
 106 3, with  $k = 6, \dots, 10$ , is equivalent to Eq. 4 of Lau *et al.* (2017).

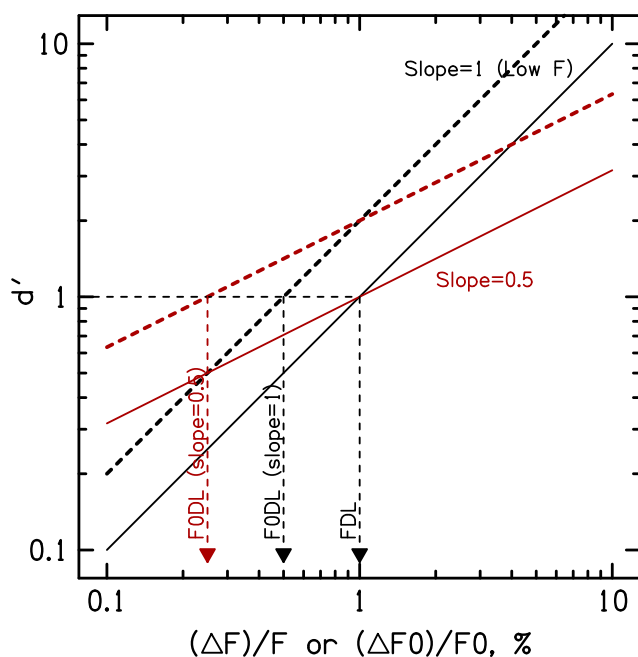
107 This type of model for predicting FODLs for complex tones with peripherally resolved  
 108 components from the FDLs of the individual components has been tested before (see e.g.  
 109 Goldstein, 1973; Moore *et al.*, 1984; Gockel *et al.*, 2007), and it has been argued that the  
 110 appropriate estimates of  $FDL_k$  are not the FDLs for the harmonics measured in isolation, but  
 111 rather the FDLs measured when each component is presented *within* the complex (Moore *et*  
 112 *al.*, 1984). The latter FDLs are higher, probably due to partial masking between components,  
 113 and thus the estimated values of  $FDL_k$  are larger. As a consequence the value of  $FODL_{pred}$  is  
 114 larger. For complex tones with resolved components in a low to mid-frequency range, this  
 115 gave more accurate predictions of the FODL than when using FDLs for the harmonics  
 116 presented in isolation (Moore *et al.*, 1984).

117 In contrast, for the high-frequency tones in the study of Lau *et al.* (2017), the observed  
 118 FODLs were actually smaller (by about a factor of 2) than predicted from the FDLs for the

119 harmonics presented in isolation, based on the assumption that performance is limited by  
120 peripheral independent noises. Lau *et al.* (2017) described this as super-optimal integration  
121 and argued that it can be explained by the existence of central harmonic template neurons that  
122 receive rate-place information; this explanation is considered in more detail in section IV.  
123 Gockel and Carlyon (2018) reported even smaller F0DLs (around 2%) for the same complex  
124 tones as those used by Lau *et al.* (2017), but, in that study, did not measure FDLs.

125         The present study had two objectives. Firstly, we wished to measure psychometric  
126 functions for the discrimination of frequency of very high-frequency pure tones and complex  
127 tones, in the same noise background as used by Lau *et al.* (2017) and as used in the second  
128 part of our study. Psychometric functions are of general theoretical and practical interest, but,  
129 to the best of our knowledge have not been measured before for very high frequencies. The  
130 combination of very high frequencies and a noise background in the study of Lau *et al.* led to  
131 very high FDLs, of 20% or more, which correspond to frequency differences much higher  
132 than are typically used for the measurement of psychometric functions. The pure-tone  
133 psychometric functions measured by Dai and Micheyl (2011) were limited to tones in quiet  
134 and with frequencies up to 8 kHz. Plack and Carlyon (1995) reported that sensitivity to a  
135 change in F0 was proportional to the difference in F0, but their data were also restricted to  
136 lower frequencies. Therefore, it is not clear whether the psychometric function has a slope of  
137 1 for pure and complex tones with much higher frequencies, where phase locking is likely to  
138 be absent. As outlined above, the assumption that  $d'$  is linearly related to the change in  
139 frequency and in F0 is crucial for the predicted relationship between F0DLs and FDLs  
140 (within the framework of the model) and for making meaningful comparisons across  
141 conditions. For example, if the psychometric functions for discrimination of very high-  
142 frequency tones as used by Lau *et al.* (2017) were shallower than those for low-frequency  
143 tones, i.e. with a slope  $< 1$  on a log-log plot of  $d'$  as a function of  $\Delta F/F$  or of  $\Delta F_0/F_0$ , then the

144 true value of  $FODL_{pred}$  would be smaller and thus closer to the measured FODLs. In other  
 145 words, basing the prediction of the FODL incorrectly on a slope of 1, when it is actually less  
 146 than 1, could give the appearance of super-optimal frequency integration when in fact  
 147 integration was not super-optimal. This is illustrated schematically in Fig. 1.



148  
 149 **FIG. 1.** (Color online) Schematic illustration of how the slopes of the psychometric  
 150 functions for pure-tone and complex-tone frequency discrimination can influence the  
 151 predicted value of the FODL. Solid lines and dashed lines show hypothetical psychometric  
 152 functions for pure tones and complex tones, respectively. In this example, all thresholds  
 153 are determined at  $d' = 1$ . The measured FDL is 1%. The goal is to predict the FODL for a  
 154 complex tone that consists of four harmonics where, for simplicity, the psychometric  
 155 functions for all components are assumed to be identical. The value of  $d'$  for  $\Delta F0 = 1\%$ ,  
 156 would be a factor of  $\sqrt{4} = 2$  larger than the  $d'$  of 1 for  $\Delta F = 1\%$  (see Eq. 2), so the  
 157 psychometric function for F0 discrimination passes through  $d' = 2$  at  $\Delta F0 = 1\%$ . If the  
 158 slope of the psychometric functions is assumed to be 1 (black lines), then the predicted

159 FODL for  $d' = 1$  is a factor of 2 smaller than the FDL, i.e. it is 0.5%. If the slope of the  
160 psychometric functions is assumed to be 0.5 (red lines), then the predicted FODL is a  
161 factor of 4 smaller than the FDL, i.e. it is 0.25%.

162

163 The second objective of this study was to try to replicate the finding of Lau *et al.*  
164 (2017) of super-optimal frequency integration, using very similar stimuli together with an  
165 additional condition that was designed to increase the salience of the pure tones in the  
166 background noise in which they were presented. Observed and predicted FODLs were  
167 compared for low and high frequency regions.

168

## 169 **II. METHODS**

### 170 **A. Subjects and screening procedure**

171 Ten young normal-hearing musically trained subjects (7 females) between 16 and 28  
172 years of age (mean age of 22 years) participated in the main experiments. To ensure  
173 audibility of the high-frequency tones and basic frequency discrimination ability, subjects had  
174 to pass a three-stage screening, as in Lau *et al.* (2017) and Gockel and Carlyon (2018), to be  
175 eligible for the main part of the study. The screening comprised:

176 (1) Pure-tone audiometric thresholds in quiet were measured at octave frequencies  
177 from 0.25 to 8 kHz and at 6 kHz, using a Midimate 602 audiometer (Madsen Electronics,  
178 Minnesota, Minneapolis, USA). Thresholds had to be  $\leq 15$  dB HL at all frequencies for  
179 subjects to pass this stage.

180 (2) Thresholds were measured for detecting 210-ms sinusoidal tones (including 10-ms  
181 onset and offset hanning-shaped ramps) at 10, 12, 14 and 16 kHz in a continuous TEN  
182 (Moore *et al.*, 2000) extending from 0.02 - 22 kHz. This was done separately for each ear. At



183 1 kHz, the TEN had a level of 45 dB SPL/ERB<sub>N</sub>, the same as used in the experiment (see  
184 below), where ERB<sub>N</sub> stands for the average value of the equivalent rectangular bandwidth of  
185 the auditory filter for young normal-hearing listeners tested at low sound levels (Glasberg and  
186 Moore, 1990). A two-interval two-alternative forced-choice task (2I-2AFC) with a 3-down 1-  
187 up adaptive procedure estimating the 79.4% correct point on the psychometric function  
188 (Levitt, 1971) was used. The step size was 5 dB until two reversals occurred and 1 dB  
189 thereafter. The adaptive track terminated after 10 reversals, and the threshold was determined  
190 as the mean of the levels at the last six reversals. Three thresholds (three adaptive tracks)  
191 were obtained for each frequency and ear. The final threshold was the mean of these three  
192 thresholds. Masked thresholds had to be  $\leq 45$  dB SPL up to 14 kHz, and  $\leq 50$  dB SPL at 16  
193 kHz.

194 (3) FODLs were measured in quiet for diotically presented complex tones containing  
195 harmonics 6-10 with an F0 of 280 or 1400 Hz (the same tones as used in the main  
196 experiment, except for the absence of level randomization; see below), and FDLs were  
197 measured for the components of the complex tones presented in isolation. A 2I-2AFC task  
198 with a 3-down 1-up adaptive procedure was used. Subjects had to indicate the tone with the  
199 higher pitch, and received correct-answer feedback after each trial. The signal duration was  
200 210 ms (including 10-ms onset and offset hanning-shaped ramps) and the inter-stimulus  
201 interval was 500 ms. Initially, the difference in F0 (or frequency) was 20%. This was reduced  
202 (or increased) by a factor of two for the first two reversals, by  $\sqrt{2}$  for the next two reversals,  
203 and by 1.2 thereafter. The adaptive track terminated after 12 reversals, and the threshold was  
204 determined as the geometric mean of the frequency differences at the last 8 reversals. The  
205 final threshold was the geometric mean of the thresholds from three adaptive tracks. FODLs  
206 and FDLs had to be  $< 6\%$  and  $< 20\%$  in the low and high frequency regions, respectively.

207           Initially 30 musically trained subjects between 16-28 years old were tested, ten of  
208 whom passed all screening stages. Three dropped out at the first stage, 14 at the second stage,  
209 and three at the last stage. Most of the subjects took part in some other experiment(s)  
210 involving high-frequency tones before data collection for the present study commenced.  
211 Informed consent was obtained from all subjects. This study was carried out in accordance  
212 with the UK regulations governing biomedical research and was approved by the Cambridge  
213 Psychology Research Ethics Committee.

214

## 215 **B. Psychometric functions**

216           The goal was to determine the slopes of the psychometric functions for F0  
217 discrimination of complex tones containing harmonics 6-10, with F0 of either 1400 Hz  
218 (“High”) or 280 Hz (“Low”), and for frequency discrimination of pure tones at 11200 Hz (the  
219 8<sup>th</sup> harmonic of a 1400-Hz F0) and at 2240 Hz (the 8<sup>th</sup> harmonic of a 280-Hz F0). For each  
220 presentation, the starting phases of all components were randomized and individual  
221 component levels were randomized, following a uniform distribution, by  $\pm 3$  dB about the  
222 mean component level, which was 55 dB SPL for harmonics 7-9 and 49 dB SPL for  
223 harmonics 6 and 10. The level of the edge components was reduced to minimize edge  
224 pitches. The level randomization was done to weaken envelope cues for complex tones and  
225 level cues for pure tones. The tones had a duration of 210 ms (including 10-ms onset and  
226 offset hanning-shaped ramps) and were presented diotically in a background of a continuous  
227 diotic TEN, extending from 0.02 - 22 kHz and with a level of 45 dB SPL/ERB<sub>N</sub> at 1 kHz, to  
228 mask possible distortion products for the complex tones. These stimuli were the same as used  
229 by Lau *et al.* (2017), except that they used gated rather than continuous TEN.

230           For each of the four conditions (2 F0s and 2 pure tone frequencies) a five-point  
231 psychometric function was measured using the method of constant stimuli. In each trial, the

232 subject heard two tones with F0s or frequencies geometrically centered on the mean F0 or  
233 frequency (depending on the condition); in one randomly chosen interval the F0 or frequency  
234 was a factor  $r$  above the mean and in the other interval it was a factor  $r$  below the mean. The  
235 two tones were separated by an inter-stimulus interval of 500 ms. Subjects indicated which of  
236 the two was higher in pitch. Feedback was provided as to whether the answer was correct. To  
237 obtain a wide range of  $d'$  values, for each subject and condition the largest frequency  
238 difference used was a factor of 4 larger than the smallest frequency difference used; the five  
239 values of  $\Delta F$  (or  $\Delta F0$ ) were equal to  $(1/2)x$ ,  $1/\sqrt{2}(x)$ ,  $x$ ,  $\sqrt{2}(x)$  and  $2x$ . The value of  $x$  was  
240 chosen for each subject individually so as to give a  $d'$  value in the range 1.0 - 1.2. This  
241 usually required several runs to set the final value of  $x$ . For some subjects and conditions,  
242 psychometric functions were determined for multiple values of  $x$ .

243 First, the psychometric functions for frequency discrimination of the pure tones were  
244 measured. Blocks consisted of 50 trials using a fixed condition (for example the high pure-  
245 tone condition or the low pure-tone condition). Within a block of 50 trials, the order of  $\Delta F$   
246 values cycled 10 times from the largest to the smallest value. The order of the conditions  
247 across blocks followed an ABBA design, followed by a short break and then a BAAB design,  
248 followed by a break and an ABBA design, until at least 500 trials were collected for each  
249 value of  $\Delta F$  in each condition. The measurement of psychometric functions for F0  
250 discrimination followed the same design.

251 Five subjects participated in the measurement of psychometric functions. All of them  
252 had participated in other experiments on high-frequency pitch perception, one of which was  
253 the attempted replication of the super-optimal integration of frequency information reported  
254 below. On average it took about 11 sessions of 2 hours each (including breaks) to obtain one  
255 set of psychometric functions for each subject for all four conditions.

256

### 257 **C. Determination of FODLs and FDLs**

258 FODLs were measured for complex tones containing harmonics 6-10, with F0s of 1400  
259 Hz (“High”) or 280 Hz (“Low”). FDLs were measured for each of the components presented  
260 in isolation. For each presentation, the starting phases of all components were randomized  
261 and individual component levels were randomized by  $\pm 3$  dB, following a uniform  
262 distribution, about the mean component level, which was 55 dB SPL for harmonics 7-9 and  
263 49 dB SPL for harmonics 6 and 10. In condition “short, diotic”, the tones had a duration of  
264 210 ms (including 10-ms onset and offset hanning-shaped ramps) and were presented  
265 diotically in a background of a continuous diotic TEN, extending from 0.02 - 22 kHz and  
266 with a level of 45 dB SPL/ERB<sub>N</sub> at 1 kHz. These stimuli were the same as used by Lau *et al.*  
267 (2017), except that they used gated rather than continuous TEN.

268 In condition “long, dichotic”, the tone duration was increased to 1 s and the tones  
269 were presented diotically in dichotic TEN (an independent TEN at each ear), keeping all  
270 other parameters constant. Both the longer duration and the independent noise across the two  
271 ears were expected to increase the salience of the pure tones in the background noise via a  
272 multiple-looks process (Viemeister and Wakefield, 1991; Jackson and Moore, 2014).

273 Thresholds were measured using a 2I-2AFC task and the same adaptive procedure as  
274 used to determine FDLs and FODLs during subject screening (Section II.A). The final  
275 threshold was the geometric mean of the thresholds from four adaptive tracks. Each adaptive  
276 track measured the threshold for one of the 24 conditions [2 frequency regions x 2  
277 durations/noise modes x 6 stimuli (i.e. complex tone plus 5 pure-tone stimuli with  
278 frequencies corresponding to harmonic ranks 6, 7, 8, 9, and 10)]. The presentation order of  
279 the 24 conditions was randomized. One threshold was obtained for each condition in turn  
280 before any measurement was repeated. For each subject and each repetition cycle, a new  
281 random presentation order for the 24 conditions was employed. Ten subjects participated.

282 Data collection took on average about five sessions of 2 hours each (including breaks) for  
283 each subject.

284

## 285 **D. Equipment**

286 All stimuli were generated digitally in MATLAB (The Mathworks, Natick, MA) with  
287 a sampling rate of 48 kHz. They were played out through four channels (two for the  
288 continuous noise and two for the signals) of a Fireface UCX (RME, Germany) soundcard  
289 using 24-bit digital-to-analog conversion, and were attenuated independently with four  
290 Tucker-Davis Technologies (Alachua, FL) PA4 attenuators. They were mixed with two  
291 Tucker-Davis Technologies SM5 signal mixers, and fed into a Tucker-Davis HB7 headphone  
292 driver, which also applied some attenuation. Stimuli were presented via Sennheiser HD 650  
293 headphones (Wedemark, Germany), which have an approximately diffuse-field response. The  
294 specified sound levels are approximate equivalent diffuse-field levels. Subjects were seated  
295 individually in a double-walled, sound-insulated booth (IAC, Winchester, UK).

296

## 297 **E. Analysis**

298 For statistical analysis, repeated-measures analyses of variance (RM-ANOVA) were  
299 calculated using SPSS (Chicago, IL). Throughout the paper, if appropriate, the Huynh-Feldt  
300 correction was applied to the degrees of freedom (Howell, 2009). In such cases, the original  
301 degrees of freedom and the corrected significance values are reported.

302

## 303 **III. RESULTS AND DISCUSSION**

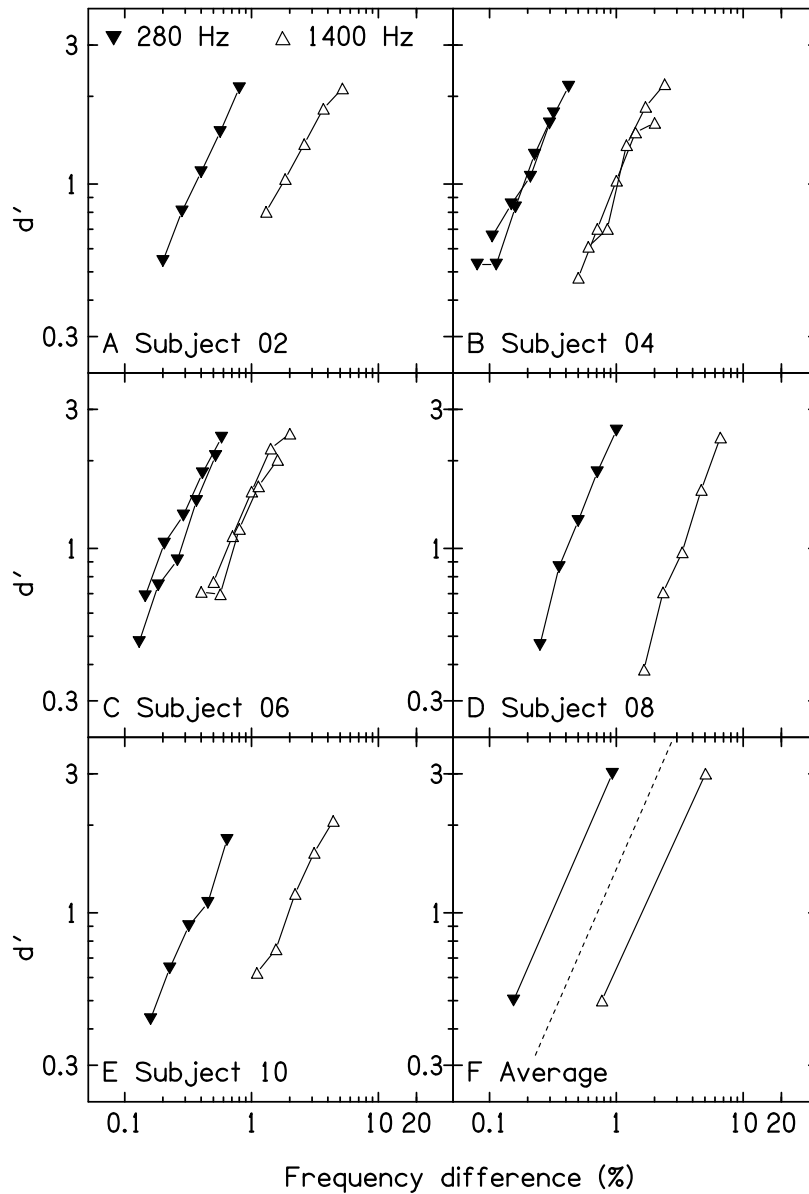
### 304 **A. Psychometric functions**

305 Figures 2 and 3 show psychometric functions for F0 discrimination of the complex  
306 tones and frequency discrimination of the 8<sup>th</sup> harmonic, respectively. In Panels A-E,  $d'$  is

307 plotted as a function of the F0 (or frequency) difference (in %) for each of the five subjects.

308 All functions appear to be linear on the log-log plots.

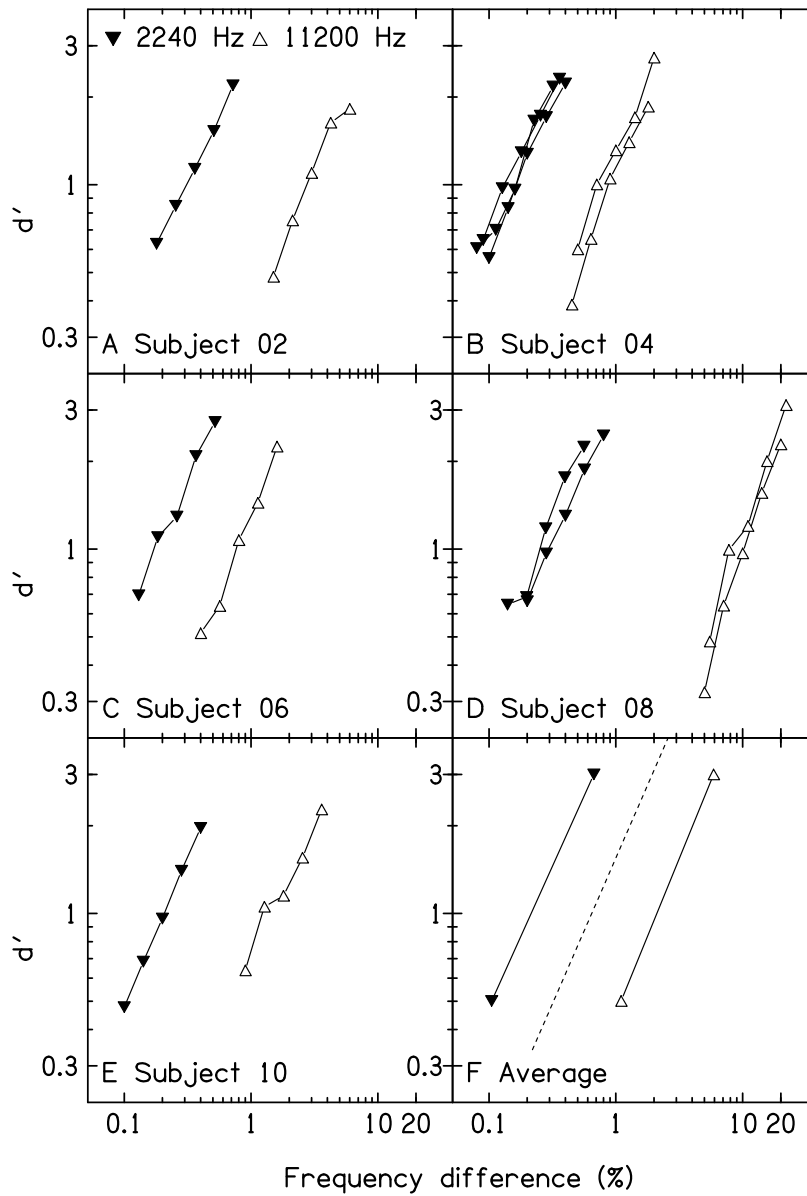
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310

311 **FIG. 2.** Psychometric functions for F0 discrimination of complex tones containing  
 312 harmonics 6-10 for F0s of 280 Hz and 1400 Hz. Panels A-E show data for individual  
 313 subjects. Panel F shows the average of the straight-line fits to the individual psychometric  
 314 functions. The dashed line indicates a slope of 1 with arbitrary offset.

315



316

317 **FIG. 3.** Psychometric functions for frequency discrimination of pure tones with  
 318 frequencies of 2240 Hz and 11200 Hz. Otherwise as Fig. 2.

319

320 To estimate the slopes of the psychometric functions, a straight line was fitted

321 separately to each function for each subject. The line was described by

$$322 \quad \log(d') = s * \log(\Delta F_0/F_0) + b \quad (\text{Eq. 4})$$

323 and

$$324 \quad \log(d') = s * \log(\Delta F/F) + b, \quad (\text{Eq. 5})$$

325 for F0 discrimination and frequency discrimination, respectively, where the free parameters  $s$   
 326 and  $b$  were adjusted so that the sum of the squared deviations between the log-transformed  
 327 measured  $d'$  values and those predicted by the line was minimized. Table I gives the values  
 328 of  $s$  and  $b$ , and  $R^2$ , a measure of the goodness of fit known as the coefficient of determination  
 329 (Howell, 2009).

330

331 **TABLE I.** Estimated values of parameters  $s$  and  $b$  in Eq. 4 and 5, fitted to the psychometric  
 332 functions for frequency discrimination of pure tones at 2240 Hz and 11200 Hz, and for F0  
 333 discrimination of complex tones containing harmonics 6-10 with F0s of 280 Hz and 1400  
 334 Hz. Goodness of fit ( $R^2$ ) values are also given. Values are for each of the five subjects, the  
 335 average (Av.) and the standard deviation (in brackets) across all subjects.

336

	F = 2240 Hz			F = 11200 Hz			F0 = 280 Hz			F0 = 1400 Hz		
338 Subj.	$s$	$b$	$R^2$	$s$	$b$	$R^2$	$s$	$b$	$R^2$	$s$	$b$	$R^2$
339 02	0.89	0.46	1.0	0.99	-0.46	0.97	0.97	0.42	1.0	0.72	-0.17	0.99
340 04	0.96	0.79	0.98	1.08	0.07	0.98	0.90	0.67	0.97	0.97	-0.01	0.96
341 06	0.98	0.72	0.98	1.09	0.12	0.99	0.96	0.60	0.99	0.86	0.15	0.96
342 08	0.97	0.55	0.98	1.33	-1.32	0.98	1.19	0.43	0.98	1.29	-0.67	0.99
343 10	1.02	0.70	1.0	0.85	-0.13	0.96	0.97	0.42	0.98	0.91	-0.26	0.99
344 Av.	0.97	0.64	0.99	1.07	-0.34	0.98	1.0	0.51	0.98	0.95	-0.19	0.98
345	(0.05)	(0.14)	(0.01)	(0.18)	(0.59)	(0.01)	(0.11)	(0.12)	(0.01)	(0.21)	(0.31)	(0.02)

346

347

348 For some subjects and conditions, multiple functions were measured with different  
 349 center values of  $\Delta F0$  (or  $\Delta F$ ). Because the resulting functions were similar, Table I shows the



350 average of the  $s$ ,  $b$ , and  $R^2$  values for these “repeated” functions. The values of  $b$  are greater  
351 for the 2240-Hz than for the 1120-Hz pure tone, and greater for the 280-Hz than for the 1400-  
352 Hz F0, reflecting greater sensitivity to changes in low than in high frequencies and F0s.  
353 Importantly, all slopes were close to 1, for both the high- and the low-frequency conditions.  
354 In addition, the  $R^2$  values were high, ranging from 0.96 to 1.0, with a mean of 0.98. Panels F  
355 in Figs. 2 and 3 show the average of the estimated slopes and offsets ( $b$ ) across subjects. The  
356 dashed line indicates a slope of 1 with arbitrary offset. It is clear that, for both frequency  
357 regions, the slopes of the psychometric functions for F0 discrimination and frequency  
358 discrimination were very close to 1 (slopes averaged across subjects ranged from 0.95 to  
359 1.07). A two-way RM-ANOVA (with factors tone type and frequency region) was calculated  
360 on the  $s$  values. There was no significant main effect or interaction. The 95% confidence  
361 intervals for the mean slopes included the value 1 in all conditions.

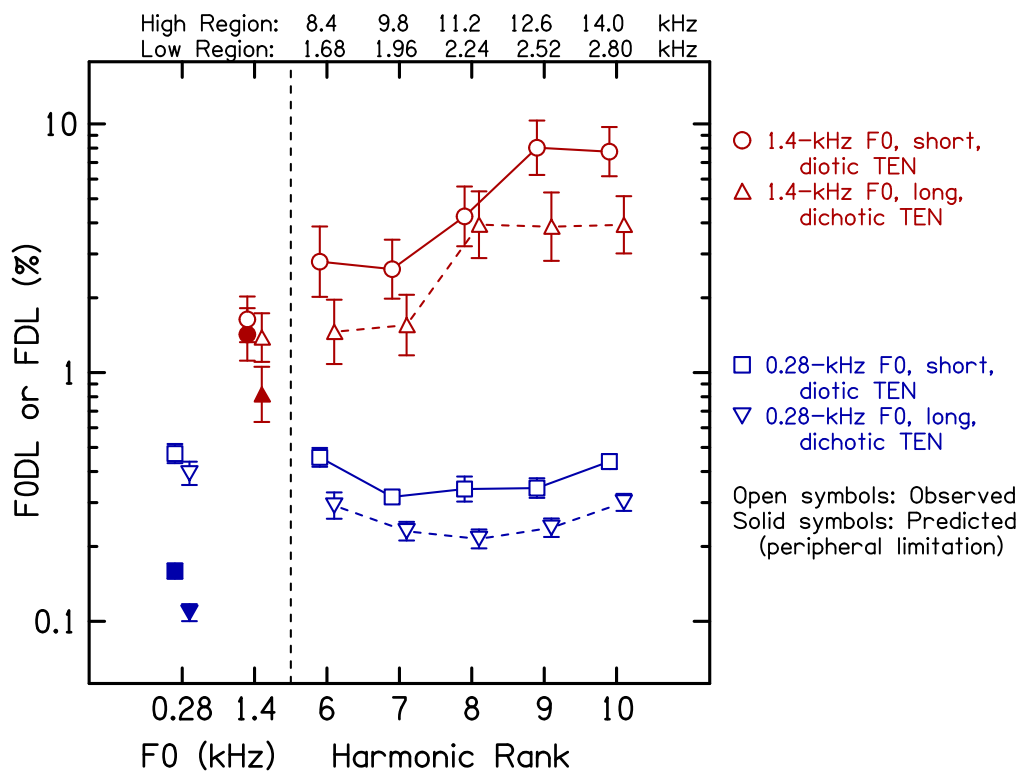
362 Overall, the data are consistent with previous reports of psychometric functions for  
363 frequency discrimination and F0 discrimination with a slope of 1 in the low- to mid-  
364 frequency regions and extend this finding to very high frequency regions, where phase  
365 locking is presumed to be absent. The data show that a major assumption underlying the use  
366 of Eq. 3 to predict F0DLs from FDLs is satisfied, and thus rule out one possible explanation  
367 for the apparent super-optimal frequency integration in the high frequency region reported by  
368 Lau *et al.* (2017).

369

## 370 **B. F0DLs and FDLs**

371 Figure 4 shows the geometric mean FDLs and F0DLs (open symbols). Error bars show  
372  $\pm$  one standard error of the mean (SE). As expected, thresholds were overall higher in the  
373 high-frequency region (red symbols; ranging from about 1.4% for F0DLs to 8% for the FDLs  
374 at the highest harmonic ranks) than in the low-frequency region (blue symbols; ranging from

375 about 0.2% to 0.5%). Thresholds were lower in condition “long, dichotic” (upward and  
 376 downward pointing triangles) than in condition “short, diotic” (circles and squares),  
 377 especially for the pure-tone conditions. This is consistent with the idea that the salience of the  
 378 longer tones in dichotic TEN was increased and that this affected thresholds. In addition, in  
 379 condition “short, diotic”, thresholds were lower than observed by Lau *et al.* (2017), by at  
 380 least a factor of 2, especially for the FDLs for the high-frequency region. Lau *et al.* (2017)  
 381 reported FDLs and F0DLs that were both about 1% in the low region; in the high region their  
 382 FDLs and F0DLs were about 20-30% and 5%, respectively.  
 383



384  
 385 **FIG. 4.** (Color online) Geometric mean FDLs (symbols connected by lines) and F0DLs  
 386 (left side), and standard errors of the mean for 10 subjects. In condition short, diotic  
 387 (circles and squares), 210-ms tones were presented in diotic TEN. In condition long,  
 388 dichotic (triangles), 1-s tones were presented in dichotic TEN. Solid symbols show F0DLs  
 389 predicted using Eq. 3.

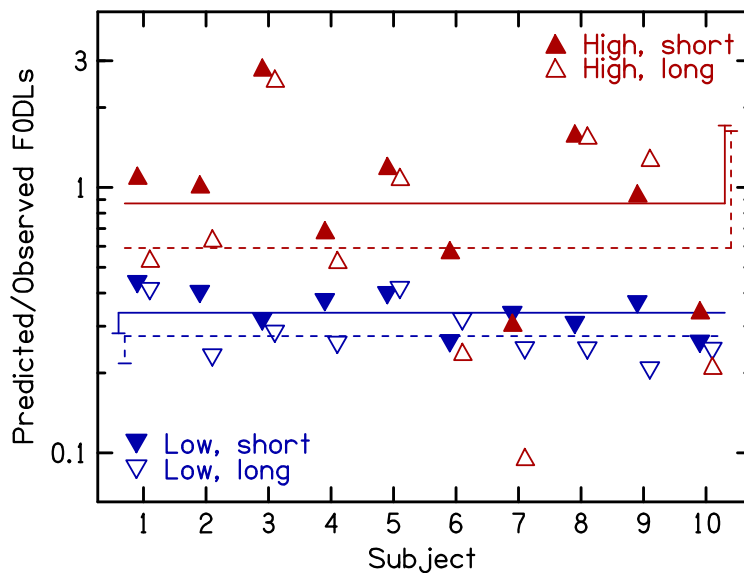
390

391 Two RM-ANOVAs were conducted on the log-transformed thresholds, one for the  
392 F0DLs and one for the FDLs. The ANOVA for the F0DLs (with factors F0 and type of  
393 presentation) showed significant effects of F0 [ $F(1,9)=42.13, p<0.001$ ] and type of  
394 presentation [ $F(1,9)=15.67, p=0.003$ ]. The interaction was not significant [ $F(1,9)=0.01,$   
395  $p=0.925$ ]. Thus, increasing the salience of the complex tones in the TEN by increasing their  
396 duration and presenting them in a dichotic rather than a diotic TEN decreased F0DLs for both  
397 F0s by a small but similar amount.

398 The ANOVA for the FDLs (with factors frequency region, type of presentation and  
399 harmonic rank) showed significant effects of frequency region [ $F(1,9)=143.60, p<0.001$ ],  
400 type of presentation [ $F(1,9)=139.0, p<0.001$ ], and harmonic rank [ $F(4,36)=10.53, p<0.001$ ].  
401 There was a significant interaction between frequency region and harmonic rank  
402 [ $F(4,36)=10.73, p<0.001$ ], reflecting the fact that FDLs increased with increasing harmonic  
403 rank in the high-frequency region but not in the low-frequency region. The interaction  
404 between type of presentation and harmonic rank was also significant [ $F(4,36)=3.39,$   
405  $p=0.019$ ]. The interaction between type of presentation and frequency region was not  
406 significant [ $F(1,9)=1.50, p=0.252$ ], indicating that the effect of increasing the salience of the  
407 individual harmonics in the TEN was on average similar for the low and the high frequency  
408 regions. Finally, there was a significant three-way interaction [ $F(4,36)=4.63, p=0.004$ ].

409 The predicted F0DLs (filled symbols) were derived from the observed FDLs using Eq.  
410 3, with  $k = 6, \dots, 10$ . The differences in predicted F0DLs between conditions “short, diotic”  
411 and “long, dichotic” reflect the differences in the observed FDLs across the two conditions.  
412 The solid and open symbols in Fig. 5 show the ratios of predicted/observed F0DLs for each  
413 of the ten subjects in the short-diotic and long-dichotic conditions, respectively; the  
414 geometric mean ratios across subjects are shown by the solid and dashed horizontal lines.

415 Data for the high and the low F0s/frequencies are shown by the red upright and blue inverted  
 416 triangles, respectively. For the low F0, the predicted F0DLs were smaller than the observed  
 417 F0DLs, leading to ratios less than one. This is in agreement with previous studies (Goldstein,  
 418 1973; Fastl and Weinberger, 1981; Moore *et al.*, 1984; Gockel *et al.*, 2007; Lau *et al.*, 2017).  
 419 For the high F0, the ratio of predicted F0DLs to observed F0DLs was about 1 or perhaps  
 420 smaller, in contrast to the finding of Lau *et al.* (2017) who show an arithmetic mean ratio of  
 421 about 3 in their Figure 1C (geometric mean of about 2.2; data read from their figure). Thus,  
 422 the present data did not replicate the super-optimal integration in the high frequency region  
 423 reported by Lau *et al.* (2017), using very similar methods. In addition, for both F0s, the ratio  
 424 between predicted and observed F0DLs was smaller in condition “long, dichotic” than in  
 425 condition “short, diotic”. This reflects the finding that the FDLs decreased more than the  
 426 measured F0DLs when the tone duration was increased and the TEN was presented  
 427 dichotically.  
 428



429  
 430 **FIG. 5.** (Color online) Individual ratios of predicted F0DLs (assuming optimal  
 431 combination of frequency information and assuming that peripheral independent noise  
 432 limits performance) to measured F0DLs for 10 subjects. The top two (red) and bottom

433 two (blue) horizontal lines show the geometric means across subjects for F0s of 1400 Hz  
434 and 280 Hz, respectively. The solid and dashed lines are for conditions “short, diotic” and  
435 “long, dichotic” respectively. The vertical error bars show one standard deviation of the  
436 corresponding mean; to avoid clutter they are plotted downwards for the 280 Hz F0 and  
437 upwards for the 1400 Hz F0.

438

439 A two-way RM-ANOVA (with factors F0 and type of presentation) on the log-  
440 transformed ratios showed significant effects of F0 [ $F(1,9)=11.25, p=0.008$ ] and type of  
441 presentation [ $F(1,9)=18.59, p=0.002$ ]. The interaction was not significant [ $F(1,9)=1.02,$   
442  $p=0.338$ ], reflecting the fact that the decrease in the ratio due to the longer tone duration and  
443 the use of dichotic TEN was similar for the high and the low frequency regions. An  
444 independent samples *t*-test was used to assess whether the ratios of predicted and observed  
445 F0DLs for the high F0 observed here differed significantly from those observed by Lau *et al.*  
446 (2017). Input data were the log-transformed ratios for condition “short, diotic” of the present  
447 study ( $n=10$ ) and those of Lau *et al.* (2017) ( $n=16$ , data read from their Figure 1B). The  
448 difference was significant [ $t(24)=-2.88, p=0.008, 2$ -tailed].

449 As described earlier, psychometric functions with slopes less than 1 could lead to  
450 apparently super-optimal integration and hence to predicted/observed ratios greater than 1.  
451 Psychometric functions were determined here for subjects s02, s04, s06, s08, and s10. For  
452 subjects s02 and s10, the slopes of the psychometric functions for discrimination of high  
453 frequency tones were shallower than for all other listeners (see Table I), but the ratio of  
454 predicted to observed F0DLs was equal to or below 1. On the other hand, for s08 the ratio of  
455 predicted to observed F0DLs was above 1, but the slopes of the psychometric functions for  
456 the high tones were the steepest. This further strengthens the conclusion that a shallower  
457 slope of the psychometric functions for discrimination of very high frequencies can be ruled

458 out as an explanation of the large integration of frequency information across harmonics at  
459 very high frequencies.

460

#### 461 **IV. GENERAL DISCUSSION**

462 The first experiment showed that psychometric functions for frequency discrimination  
463 and F0 discrimination for the very high frequency region have a slope of 1, the same as  
464 observed for low- to mid-frequency regions. This rules out one possible explanation for the  
465 larger amount of across-frequency integration observed at high than at low frequencies, both  
466 here and by Lau *et al.* (2017). Note, however, that the FDLs obtained by Lau *et al.* were  
467 much larger than observed here, and so we do not have measures of the psychometric  
468 function for the range of very large frequency differences that encompass their FDLs.  
469 Nevertheless, experiment 1 shows that the main findings of our second experiment, which  
470 was a replication and extension of the study of Lau *et al.*, cannot be attributed to differences  
471 in the slopes of the psychometric functions across conditions.

472 In the second experiment FDLs and F0DLs were measured. In both experiments the  
473 level of each component was varied randomly over a 6-dB range to reduce the usefulness of  
474 level cues, as was also done by Lau *et al.* (2017). For this rove range, a level difference of 2.1  
475 dB would be needed for an ideal observer to achieve “threshold” (79% correct) based on the  
476 use of level alone (Green, 1988). Given this, the rove range may have been insufficient to  
477 prevent the use of level cues at high frequencies, at which the frequency response of the  
478 Sennheiser HD650 headphones, used here and by Lau *et al.*, contains distinct peaks and dips  
479 whose pattern depends on the headphone placement and the individual ear.

480 To quantify the typical magnitude of potential level cues, use was made of  
481 measurements on the HD650 headphones obtained using a HEAD Acoustics (Herzogenrath,  
482 Germany) Head Measurement System (HMS) with ear simulator (Rtings.com, 2020). The

483 “uncompensated” response from five different placements of the headphones on the HMS  
484 was used. For each harmonic, the two frequencies corresponding to the upper and lower  
485 boundaries of the mean FDL were determined. For example, for the harmonic centered at  
486 8400 Hz, for the short duration the mean FDL was 231 Hz and the two boundary frequencies  
487 were 8285 and 8517 Hz. The response of the headphone at each of these two frequencies was  
488 estimated for each placement. The difference in response for the two frequencies gives an  
489 estimate of the level cue associated with that FDL. The magnitude of the level cue varied  
490 markedly across placements. Also, the direction of the level cue varied across harmonics and  
491 sometimes across placements for a given harmonic. For condition “short, diotic” the mean  
492 absolute value of the level cue (with SD in parentheses) was 0.8 (0.4), 2.2 (1.0), 2.3 (1.0), 3.8  
493 (3.6), and 5.1 (3.4) dB at 8.4, 9.8, 11.2, 12.6, and 14 kHz, respectively, corresponding to the  
494 6<sup>th</sup>, 7<sup>th</sup>, 8<sup>th</sup>, 9<sup>th</sup> and 10<sup>th</sup> harmonics of the 1400-Hz F0. For condition “long, dichotic” the  
495 corresponding values were 0.4 (0.2), 1.3 (0.6), 2.1 (0.9), 2.0 (2.1), and 3.0 (2.4). Thus, in  
496 principle, changes in level could have provided usable cues for the higher-frequency  
497 individual harmonics in the high region, especially for the short, diotic condition, where the  
498 FDLs were larger.

499         So far, the level changes have been described as “cues”. However, it should be noted  
500 that the direction of the level changes was not consistent; an increase in frequency could  
501 result in an increase or a decrease in level, depending on the specific harmonic being tested  
502 and on the placement of the headphones for that specific run. The direction might also vary  
503 within an adaptive run, as the frequency difference changed. This might make it difficult for  
504 subjects to use level as a cue. It seems likely that, because level did not provide a useful cue  
505 in the majority of conditions that were tested (in random order), and because the musically  
506 trained subjects were instructed to judge the pitch of the stimuli, the subjects tried to ignore  
507 the level changes for all conditions. In that case, rather than providing a cue, the level

508 changes probably had a distracting effect, similar to the effect that occurs when the level of  
509 each tone in a frequency-discrimination task is varied (Henning, 1966; Emmerich *et al.*,  
510 1989). The distracting effect would increase with increasing magnitude of the level changes,  
511 and this could contribute to the increase in FDLs with increasing frequency. Consistent with  
512 this interpretation, Moore and Ernst (2012) used insert earphones with a reasonably flat  
513 response at the eardrum and found that FDLs were roughly constant for frequencies above 8-  
514 10 kHz. In any case, for the three lowest harmonics the level changes caused by the  
515 frequency response of the headphones at threshold were probably too small to explain the  
516 FDLs based solely on use of a level cue and too small to add a marked distracting effect. The  
517 predictions of F0DLs based on the optimal combination of the information from different  
518 harmonics depend mainly on the FDLs for the harmonics with the lowest FDLs, and these  
519 were the three lowest harmonics. Thus, level changes probably did not affect the validity of  
520 the predictions of the F0DLs.

521         For the complex tones, both the level randomization and the changes in level  
522 introduced by the frequency response of the headphones would have resulted in the  
523 prominence of individual harmonics varying from stimulus to stimulus, especially for the  
524 high region. In principle, this might have reduced the perceptual integration of the harmonics.  
525 However, the perceptual integration of harmonics is promoted by the use of background noise  
526 (Houtgast, 1976; Hall and Peters, 1981) and in the high region the F0DLs were mostly lower  
527 than the individual FDLs in condition “short,diotic”, suggesting that subjects did integrate  
528 across harmonics.

529         The results of experiment 2 showed that subjects achieved F0DLs of less than 2%  
530 when all the harmonics had frequencies at or above 8 kHz. This suggests that a reasonably  
531 salient pitch can be heard when presumably only place information is available. However, the



532 F0DLs were a factor of about 3.5 larger in the high than in the low frequency region,  
533 indicating a less salient pitch in the high frequency region.

534 The results of the second experiment differed from those of Lau *et al.* (2017) in two  
535 ways. One was that the FDLs and F0DLs found here were lower than those reported by Lau  
536 *et al.* (2017) by at least a factor of 2. Possible reasons for this include the use of continuous  
537 TEN here in contrast to gated TEN in their study, and greater experience of the present  
538 subjects with both the low- and the high-frequency complex tones. Additionally, it is  
539 conceivable that our inclusion of the “long, dichotic” condition, which was expected to  
540 increase the salience of the tones in the TEN, also improved performance in the “short,  
541 diotic” condition, by helping subjects to “home in” on the appropriate cues. The second  
542 difference was that the present data did not replicate the super-optimal integration of  
543 frequency information reported by Lau *et al.* (2017) for the high frequency region. However,  
544 importantly, and in agreement with their findings, the ratio of predicted to observed F0DLs  
545 was significantly smaller for the low than for the high frequency region.

546 The super-optimality of integration of frequency information in the high frequency  
547 region was interpreted by Lau *et al.* (2017) in terms of central, possibly cortical, pitch-  
548 sensitive neurons that respond most strongly to combinations of harmonically related tones  
549 but not to a single harmonic at high frequencies. It was assumed that there are no pitch-  
550 sensitive neurons tuned to F0s above about 5 kHz. Physiological studies have identified two  
551 classes of neurons that respond to harmonic structure. Bendor and Wang (2005) found  
552 neurons in the auditory cortex of marmosets that respond both to pure tones (each with a  
553 preferred frequency,  $f_p$ ) and to missing-fundamental harmonic complex sounds with  $F_0 = f_p$ .  
554 These were referred to as “pitch-selective neurons”. The F0s to which these neurons were  
555 tuned were below about 0.8 kHz and the input frequency range was below about 5 kHz. Feng  
556 and Wang (2017) found a different class of neurons in the auditory cortex of marmosets,

557 which they called “harmonic-template neurons”. These responded somewhat to pure tones  
558 and were tuned to frequency, having a best frequency (BF). They responded more strongly to  
559 harmonic complex tones with low-numbered harmonics, which were presumably resolved,  
560 and each neuron also had a best F0. The best F0 was mostly below the BF. These neurons  
561 responded across a wide range of F0s, including F0s above 0.8 kHz, and they responded to  
562 multiple harmonically related components even when all had frequencies above the limits of  
563 phase locking. Lau *et al.* (2017) did not explicitly state which of the two above classes of  
564 neurons were involved in producing super-optimality of frequency integration. They also did  
565 not state what types of neurons were involved in the frequency discrimination of high-  
566 frequency pure tones, but it was implicitly assumed that, whatever neurons are involved,  
567 these give relatively imprecise information about frequency. Why this should be the case was  
568 not stated. It is known that there are neurons tuned to audio frequency throughout the  
569 auditory system (Palmer, 1995), and it has been shown that the rate-place responses of  
570 cortical neurons tuned to audio frequency would allow relatively fine frequency  
571 discrimination (Micheyl *et al.*, 2013). The explanation of Lau *et al.* (2017) therefore rests on  
572 the assumption that, whatever neurons are involved in the frequency discrimination of pure  
573 tones, the coding of frequency is much less precise at high than at low frequencies.

574         The fact that we did not replicate the super-optimality found by Lau *et al.* (2017)  
575 potentially reduces the need for an interpretation based on pitch-sensitive neurons. However,  
576 conclusions about the existence or not of super-optimality depend on an appropriate measure  
577 of the accuracy with which the frequency of each harmonic is encoded. In the present study,  
578 and that of Lau *et al.* (2017), this accuracy was estimated from the FDL for each harmonic  
579 presented in isolation ( $FDL_k$  in Eq. 3). Doing so led to predicted F0DLs for the 280-Hz F0  
580 that were lower than actually obtained. This can be attributed to the FDLs for isolated  
581 harmonics over-estimating the accuracy with which those harmonics are encoded when part

582 of the complex (Gockel *et al.*, 2007; Moore *et al.*, 1984). In the studies of Gockel *et al.* and  
583 Moore *et al.*, FDLs were measured for each harmonic when presented within the complex  
584 tone, by mistuning a given harmonic upwards in one interval of a trial and downwards in the  
585 other interval. The FODL for the complex closely matched that predicted by optimal  
586 integration (Eq. 3) based on those within-complex FDLs. In principle, it would be useful to  
587 perform similar measurements with the harmonics of the 1400-Hz-F0 complex tone used  
588 here, so as to compare the FODL to that predicted from the FDLs for each component  
589 presented within the complex. Unfortunately, this may be difficult or impossible in practice.  
590 Subjects have great difficulty in detecting mistuning of a single component in a high-  
591 frequency complex tone, like the one used here, when beating cues are minimised, because  
592 they have difficulty in hearing out the mistuned high-frequency component (Gockel and  
593 Carlyon, 2018). This finding was attributed to the absence of phase locking to the mistuned  
594 component (Gockel and Carlyon, 2018). If subjects cannot hear out a mistuned component,  
595 frequency discrimination of that component is likely to be very poor.

596         Despite not having a measure of the FDLs of the components when presented within  
597 the complex tones, two conclusions seem justified. First, it is highly likely that the FDLs for  
598 isolated harmonics, measured here and by Lau *et al.* (2017), over-estimate the accuracy with  
599 which the frequencies of those harmonics are encoded when part of the complex. Given that  
600 the ratio of predicted to obtained FODLs obtained using the FDLs for isolated harmonics was  
601 close to one here, it can be concluded that the integration of the high harmonics was “really”  
602 super-optimal. This line of argument suggests that super-optimal integration also occurred in  
603 experiment 5 of Lau *et al.* (2017), which used a version of the 1400-Hz-F0 condition, in  
604 which all components were shifted by  $0.5F_0$ , so as to produce a complex with a weak,  
605 ambiguous pitch. They argued that, because the predicted/observed ratio did not differ  
606 significantly from 1 for the shifted complex, the super-optimality observed in their original

607 experiment with the un-shifted complex was specific to harmonic tones. However, the  
608 predicted/observed ratio that they observed for the shifted high-frequency complex was  
609 slightly above one, and if the isolated FDLs over-estimate the accuracy of encoding of each  
610 harmonic, then the “true” ratio of predicted to observed FODLs would have been greater than  
611 one. It is also worth noting that Lau *et al.* (2017) did not test whether the predicted/observed  
612 ratio was significantly smaller for the shifted than for the un-shifted complex, which is what  
613 would be required to conclude that across-frequency integration is affected by harmonicity.

614 Overall, it is likely that FODLs at very high frequencies are smaller than would be  
615 predicted by optimal integration of information about the individual harmonics and that the  
616 “true” ratio between predicted and obtained FODLs is higher for the 1400-Hz than for the  
617 280-Hz F0. Possible explanations for these two findings, and for the fact that FODLs and  
618 FDLs are greater for the 1400-Hz F0 and its harmonics than for the 240-Hz F0 and its  
619 harmonics, are considered next.

620 The large FDLs at very high frequencies may have occurred because human listeners  
621 have little experience listening to high-frequency pure tones (Verschooten *et al.*, 2019).  
622 Another possibility is to do with the likelihood that only excitation-pattern cues were  
623 available for the high-frequency pure tones. The peak in the excitation pattern evoked by the  
624 pure tone in each observation interval may be highly confusable with peaks produced by  
625 random fluctuations in the short-term spectrum of the TEN (Jackson and Moore, 2014). To  
626 perform well, the subject has to compare the positions of the “correct” peaks in the excitation  
627 pattern (those corresponding to the signal frequency in each interval) and this may not always  
628 be done, leading to large FDLs. We refer to this as the excitation-pattern (EP) confusion  
629 hypothesis. When all of the harmonic components are presented together, this creates a  
630 regular pattern of peaks in the excitation pattern, and all of those peaks shift in the same way  
631 when the F0 is changed. This might improve F0 discrimination for three reasons: (1) Because

632 sensitivity to spectral regularity helps to perceptually group the excitation-pattern peaks that  
633 correspond to the signal components (Roberts, 2005); (2) Because the coherent change in the  
634 position of multiple excitation-pattern peaks activates multiple frequency-shift detectors that  
635 are sensitive to the direction of a frequency change, providing a cue as to the direction of the  
636 F0 shift (Demany and Ramos, 2005); (3) Because the presence of multiple excitation-pattern  
637 peaks improves performance via a multiple-looks process (Viemeister and Wakefield, 1991).  
638 These factors could account for the super-optimality at high frequencies, although an  
639 explanation based on multiple-looks alone would give predictions similar to those based on  
640 Eq. 3.

641 For the low frequency region, the frequency of an isolated component may be coded in  
642 the pattern of phase locking to that component. The pattern of phase locking could potentially  
643 be confused with phase locking to random peaks in the short-term spectrum of the TEN, but  
644 the confusion may be less than when only excitation-pattern information is available for two  
645 reasons. Firstly, phase locking in principle provides much more precise information about the  
646 frequency of a tone in noise than place information (Heinz *et al.*, 2001; Hienz *et al.*, 1993).  
647 Secondly, when a stimulus evokes an excitation pattern with multiple peaks, the phase  
648 locking is dominated by the largest peaks, an effect called synchrony suppression (Hind *et*  
649 *al.*, 1967; Young and Sachs, 1979). For our stimuli, the peak in the excitation pattern  
650 produced by the tone signal would usually have been larger than the peaks produced by  
651 random fluctuations in the TEN, and so synchrony suppression would have emphasized  
652 phase locking to the signal.

653 The long, dichotic condition was intended to reduce EP confusion. For the longer  
654 duration, the random peaks in the EP produced by fluctuations in the TEN would vary over  
655 the duration of each stimulus, while the peak produced by the tone would remain stable. Also,  
656 the dichotic TEN would have led to random EP peaks that differed across the two ears, while

657 the peak produced by the tone would have been the same across the two ears. Consistent with  
658 the EP confusion hypothesis, the improvement for the long, dichotic condition relative to the  
659 short, diotic condition was greater for the FDLs than for the F0DLs. Based on the EP  
660 confusion hypothesis, one might expect that the improvement in the FDLs would be greater  
661 for the high than for the low frequency region. The FDLs were improved by a geometric  
662 mean factor of 1.7 for the high-frequency region and 1.48 for the low-frequency region, but  
663 the interaction between type of presentation and frequency region was not significant ( $p =$   
664 0.252). At first sight, this appears to be inconsistent with the EP confusion hypothesis.  
665 However, it should be remembered that the long, dichotic condition corresponds to a stimulus  
666 configuration,  $S_0N_\pi$ , that gives rise to a binaural masking level difference (MLD), and the  
667 MLD for this condition is essentially zero at high frequencies, but is 2-8 dB for the frequency  
668 range 1-2 kHz when a broadband noise background is used (van de Par and Kohlrausch,  
669 1999). The existence of an MLD for the low but not for the high region may have contributed  
670 to the improvement in FDLs for the low region for the long, dichotic condition. Thus, the  
671 results do not rule out the EP confusion hypothesis.

672 The EP confusion hypothesis also leads to the prediction that the ratio of high-  
673 frequency to low-frequency FDLs should be greater for tones presented in noise than for  
674 tones presented in quiet. Unfortunately, the authors are not aware of any studies that have  
675 directly compared FDLs for tones in quiet and in noise at very high frequencies. Existing data  
676 on FDLs in quiet or in noise show considerable variability in the way that FDLs vary with  
677 frequency for frequencies up to 8 kHz, both across studies and for individuals within studies  
678 (Dai *et al.*, 1995; Rose and Moore, 2005; Micheyl *et al.*, 2012; Moore and Ernst, 2012).  
679 Hence, the available data do not clearly support or refute the EP confusion hypothesis. Direct  
680 comparisons of FDLs in quiet and in noise at very high and at low frequencies, using the  
681 same methods and participants, are needed so as to provide a stronger test of the hypothesis.

682           The fact that F0DLs were markedly higher for  $F_0 = 1400$  Hz than for  $F_0 = 280$  Hz  
683 could be explained in several ways. Firstly, the inputs to the cortical pitch-selective or  
684 harmonic-template neurons may be more precise at low than at high frequencies. This is  
685 unlikely to be based on differences in sharpness of tuning at high and low frequencies  
686 (Glasberg and Moore, 1990), but could occur because the component frequencies are coded  
687 by phase locking at low but not at very high frequencies. Secondly, the input pathways to  
688 pitch-selective neurons may be less well formed when those inputs are tuned to very high  
689 frequencies because the formation of the template requires phase locking, as has been  
690 proposed in neural models of central harmonic templates (Shamma and Klein, 2000; Shamma  
691 and Dutta, 2019). Both of these explanations are consistent with the idea that accurate pitch  
692 perception depends on phase locking, but do not preclude the possibility that rate-place  
693 information can produce a pitch, albeit a weaker one. Indeed, Shamma and Dutta (2019)  
694 argued that a template using place information alone could emerge by association with a  
695 template that was initially formed using phase-locking information, following exposure to  
696 high- $F_0$  tones containing both low and high harmonics. According to this view, phase locking  
697 is essential for the formation of the templates, but not for the discrimination of  $F_0$  once those  
698 templates have been formed.

699           A third possibility, not requiring a role for phase locking, is that pitch-selective or  
700 harmonic-template neurons at very high frequencies are poorly formed due to a lack of  
701 exposure to high-frequency resolved harmonics. Lack of exposure has also been proposed as  
702 an explanation for the worsening of pure-tone frequency discrimination at high frequencies  
703 (Verschooten *et al.*, 2019), as noted earlier. However, lack of experience in hearing high-  
704 frequency tones does not explain why FDLs for pure tones increase with increasing  
705 frequency up to about 8-10 kHz and then reach a plateau, when large distracting level  
706 changes are avoided (Moore and Ernst, 2012).

707 A fourth explanation is that, although there are harmonic-template neurons that  
708 respond to harmonic components spaced by 1400 Hz or more, those neurons might be fewer  
709 in number or less sharply tuned than those tuned to harmonics spaced at 280 Hz. The data of  
710 Feng and Wang (2017) indicate that, for the marmoset, the percentage of harmonic-template  
711 neurons is actually greater for BFs around 8 kHz than for BFs around 2 kHz (their Figure 5).  
712 However, the numbers of neurons as a function of BF for humans are unknown. In any case,  
713 the hypothetical poorer tuning of the harmonic-template neurons tuned to high F0s is  
714 inconsistent with the accurate pitch perception observed for high F0s when lower-numbered  
715 harmonics are present. For example, Mehta and Oxenham (2020) found that F0DLs for  
716 complex tones with high F0s (>800 Hz) only exceeded 3% once the frequency of the lowest  
717 audible harmonic increased above about 7-8 kHz; note that their Fig. 4 shows F0DLs as a  
718 function of the harmonic rank (or lowest component frequency) of the lowest full amplitude  
719 harmonic, rather than for the lowest audible component that was present in the harmonic  
720 complex. Hence, it appears that, for the present stimuli, the accuracy of pitch coding depends  
721 more on the frequencies of the harmonics of a complex tone than on the F0 of the complex  
722 tone. It is plausible that the worsening of F0 discrimination for very high F0s reflects the  
723 reduced or absent phase locking to the individual components, but in the absence of  
724 electrophysiological recordings from the auditory nerve and higher levels of the auditory  
725 system in humans one cannot be certain that this is the case.

726

## 727 **V. SUMMARY AND CONCLUSIONS**

728 Psychometric functions were measured for F0 discrimination of complex tones  
729 containing harmonics 6-10 with F0s of 1400 and 280 Hz, and for frequency discrimination of  
730 pure tones with frequencies of 11200 Hz ( $8 \times 1400$  Hz) and 2240 Hz ( $8 \times 280$  Hz). The slopes  
731 of the psychometric functions were close to 1 on log-log coordinates for both the low and the



732 high frequencies, showing that  $d'$  is linearly related to the change in frequency and in F0 even  
733 at very high frequencies where phase locking is probably absent. Thus, a major requirement  
734 for allowing meaningful comparisons across different conditions, including those with very  
735 high frequencies, is fulfilled.

736 F0DLs were measured for diotically presented complex tones containing harmonics 6-  
737 10 with F0s of 1400 and 280 Hz, and FDLs were determined for all component frequencies  
738 presented in isolation. Difference limens for all tones were significantly lower when the tone  
739 duration was increased from 210 ms to 1000 ms and the tones were presented in dichotic  
740 rather than diotic TEN. Thresholds were at least a factor of 2 lower (better) than reported by  
741 Lau *et al.* (2017). Predictions of the F0DLs were derived from the observed FDLs assuming  
742 optimal combination of frequency information and that performance is limited by peripheral  
743 noise that is independent for each harmonic. The ratio of predicted to observed to F0DLs was  
744 around 1 or below for both F0s, and was significantly smaller for the low than the high F0.  
745 The results are consistent with a role of phase locking in the perception of a salient pitch, but  
746 also with the idea of a template mechanism that can operate, albeit less effectively, on the  
747 basis of rate-place information alone.

748

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