1	A Comparison of New and Existing Equations for Estimating Sensible
2	Heat Flux Density using Surface Renewal and Similarity Concepts
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14	KEYWORDS: Sensible heat flux density, Temperature standard deviation, Temperature
15	structure function parameter, Temperature ramps.
16	
17	ABSTRACT
18	
19 20	This paper describes two approaches for estimating sensible heat flux, using surface renewal
20	parameter and is valid in the inertial sub-layer. The other approach depends on the
21	temperature standard deviation and operates when measurements are made above the canopy
23	top, either in the roughness or inertial sub-layer. The approaches were tested over turf grass.
24	rangeland grass, wheat, grape vineyard and nectarine and olive orchards. It is shown that the
25	free convection limit expression for the standard deviation method holds for slightly
26	unstable conditions. When surface homogeneity and fetch requirements are not fully met in
27	the field, the results show that the equations based on surface renewal principles are more
28	robust and accurate than equations exclusively based on similarity backgrounds. It is likely
29	that the two methods require no calibration unless the canopy is heterogeneous. Under
30	unstable conditions, the free convection limit equation, which depends on the temperature

- 1 standard deviation, can provide on-line sensible heat flux density estimates using affordable
- 2 battery-powered data logger with temperature data as the only input. The approach
- 3 performed well when measuring near or well above the canopy top, thus, suggesting that the
- 4 method is useful for long term monitoring over growing vegetation.

1 1. INTRODUCTION

2

3 Advances in methodology and instrumentation for measuring surface fluxes over natural 4 surfaces have improved our understanding of soil-vegetation-atmosphere interactions. 5 Selection of methods and instrumentation to determine surface fluxes depend on the 6 accuracy required and the natural surface in question. Direct measurement is preferred, but 7 not always is affordable. The use of precise lysimeters or the eddy covariance method for 8 measuring latent and sensible heat flux is limited by the relatively high cost of both 9 instruments and maintenance. It is, therefore, often desirable to obtain such estimates 10 indirectly using low-cost and robust instrumentation. This explains the plethora of research 11 on alternative methods such as flux-profile, Bowen ratio-energy balance, semi-empirical 12 equations, etc. for estimating surface fluxes.

13

14 For estimating sensible heat flux, H, the surface renewal (SR) method [Higbie, 15 1935] in conjunction with the analysis of air temperature traces for estimating H over 16 natural surfaces [Paw U et al., 1995] is attractive because it avoids many of the difficulties 17 associated with similarity principles and it is less expensive. A summary of studies and 18 applications on the SR analysis is provided in Katul et al [1996], Castellví [2004] and Paw 19 U et al. [2005]. Similarity relationships are valid in the constant flux layer over the surface, 20 well above the zero-plane displacement and roughness length for momentum, so fetch 21 requirements and difficulties in sensor access due to canopy height are important. The SR 22 analysis is applicable close to the surface and, because of lower cost, replication to achieve 23 good spatial coverage is easier than with more costly methods. For water management, SR 24 analysis for estimating H is attractive because, through a surface energy-balance closure, latent heat flux, or crop water use rates, can be estimated as the residual of the energy 25 26 balance equation [Anderson et al., 2003].

27

In SR analysis, air temperature data are measured at high frequency and most batterypowered data-loggers are still too slow to record and process data simultaneously for providing H estimates. In SR analysis, calibration to account for unequal heating below the sensor height is required, and the calibration coefficient changes as the vegetation grows [Castellvi, 2004]. Our purpose was to automatically account for calibration coefficient changes, to keep instrumentation simple, inexpensive and accessible, and to avoid

- 1 problems associated with large datasets. Two new approaches for estimating H using SR
- 2 analysis were derived assuming ideal field conditions (i.e., a flat, extensive and
- 3 homogeneous surface); however, such conditions are frequently not met in field trials.
- 4 Therefore, method performance was also tested over heterogeneous canopies. One of these
- 5 two new approaches, which depends on the standard deviation and the third order structure
- 6 function of the temperature, produced reliable results from data recorded in either the
- 7 inertial or roughness sub-layers and it was simple enough to allow on-line data-logger
- 8 calculation of H under unstable atmospheric conditions.

4

2. METHODS

3 2.1 Theory and short background

5 During the last decade, SR analysis appeared as an attractive method for estimating 6 sensible heat flux. Several studies have analysed the SR method and improved 7 understanding of earlier models [Gao et al., 1989; Paw. U et al., 1992 and 1995; Qiu et al., 8 1995; Katul et al., 1996; Snyder et al., 1996; Chen et al., 1997a and 1997b; Spano et al., 1997 and 2000; Zapata and Martínez-Cob, 2001; Castellví et al., 2002; Castellví, 2004; 9 10 Castellví and Martínez-Cob, 2005; and Paw U et al., 2005]. SR analysis assumes that 11 turbulent exchange, on any scalar is driven by the regular replacement of the air parcel in 12 contact with the surface where exchange occurs. As one air parcel sweeps down to the 13 surface, it replaces another that is ejected from the canopy, once the latter has enriched or 14 depleted the scalar. SR models are based on the fact that most of the turbulent transfer is 15 associated with large-scale coherent eddies, which are evident as scalar ramp-time series. 16 An ideal and comprehensive scheme for this process was originally presented by Paw U et 17 al. [1995] and Chen et al. [1997a]. Sensible heat flux from the surface at height, z (within 18 the canopy, in the roughness or inertial sub-layer), over the averaging period (commonly 19 half-hour) is determined by the following expression [see among others, Paw U et al. 1995; 20 Snyder et al., 1996 and Chen et al., 1997a]

21

22
$$H = (\alpha z) \rho C_p \frac{A}{\tau}$$
(1)

23

To shorten the paper, the definitions of symbols is provided in the glossary. A practical method for estimating ramp dimensions according to ramp model shown in Figure A.1 [Chen et al.,1997a] is presented in Appendix A. The variable (αz) is the volume of air, with height *z* per unit ground area, exchanged on average for each ramp in the sample period. The variable (αz) was also interpreted as the mean eddy size responsible for the renewal process that fits the local air temperature gradient. The following relationship was proposed when measuring above the canopy [Castellví, 2004]

$$\frac{A}{(\alpha \ z)} \propto \frac{dT}{dz} = \begin{cases} \beta \ \frac{A}{(z-d)} & z > z^* \ 32 \\ \beta \ \frac{A}{z} & h \le z \le z^* \end{cases}$$
(2)

1

- 2 The parameter β may be interpreted as a dimensionless aerodynamic resistance of the
- 3 number of ramps formed during a given period, and z^* is the roughness sub-layer depth. In
- 4 (2) the eddy size was scaled as, (z d) and z, when measuring well above and close to the
- 5 canopy, respectively [Kaimal and Finnigan, 1994; Chen et al., 1997b]. Following Castellvi
- 6 [2004], parameters α and β are estimated as,

$$\alpha = \begin{cases} \left[\frac{k}{\pi} \frac{(z-d)}{z^2} \frac{\tau u_*}{\phi_h(\varsigma)} \right]^{1/2} & z > z^* \frac{8}{9} \\ \left[\frac{k}{\pi} \frac{z^*}{z^2} \frac{\tau u_*}{\phi_h(\varsigma)} \right]^{1/2} & h \le z \le 1 \frac{1}{4} \\ 12 & 12 \\ & 12 \\ & 12 \\ & 12 \\ & 12 \\ & 14 \\ & 16 \end{cases}$$
(3)

17

Combining Eqs.(1), (3) and (A5) from the appendix A with the Obukhov length, L_o, gives
the equation

20

21
$$L_{o} = \frac{u_{*}^{3}}{\left(\frac{k g}{\rho}\right)\left(\frac{H}{TC_{p}} + 0.61E\right)} \approx -\rho C_{p} \frac{u_{*}^{3}}{\left(\frac{k g}{T}\right)H}$$
(5)

22

where the right-hand expression is traditionally used for dry climates. Castellví [2004]
proposed estimating sensible heat flux as

$$H = \begin{cases} \rho C_{p} \left(\frac{g}{T}\right)^{1/5} \frac{\left(k(z-d)\right)^{4/5}}{\pi^{3/5}} \left(-\gamma^{3} \frac{S_{(rx)}^{3}}{r_{x}}\right)^{3/5} A^{-3/5} \left(\frac{\phi_{h}^{-3}(\zeta)}{-\zeta}\right)^{1/5} & z > \frac{26}{27} \\ \rho C_{p} \left(\frac{g}{T}\right)^{1/5} k^{4/5} \left(\frac{z^{*}}{\pi}\right)^{3/5} z^{1/5} \left(-\gamma^{3} \frac{S_{(rx)}^{3}}{r_{x}}\right)^{3/5} A^{-3/5} \left(\frac{\phi_{h}^{-3}(\zeta)}{-\zeta}\right)^{1/5} & h \le z \le z^{*} \\ 29z^{*} \end{cases}$$
(6)

1 2.2 Method description

2

3 Combining Eqs. (1), (5) and (A5), the friction velocity for dry climates can be expressed as

$$4 \qquad u_{*} = \begin{cases} \left[\alpha \gamma^{3} (z - d) z \frac{kg}{T A^{2}} \left(\frac{S_{(r_{x})}^{3}}{r_{x}} \right) \right]^{1/3} \frac{1}{\varsigma^{1/3}} & z > z^{*} \\ \left[\alpha \gamma^{3} z^{2} \frac{kg}{T A^{2}} \left(\frac{S_{(r_{x})}^{3}}{r_{x}} \right) \right]^{1/3} \frac{1}{\varsigma^{1/3}} & h \le z \le z^{*} \end{cases}$$

$$(7)$$

- 5 Combining Eqs.(3) and (7), friction velocity can be rewritten as
- 6

$$u_{*} = \begin{cases} \left[\left(\frac{g}{T}\right)^{2} \left(k\left(z-d\right)\right)^{3} \frac{\gamma^{3}}{\pi} A^{-1} \left(-\frac{S_{\left(r_{x}\right)}^{3}}{r_{x}}\right) \right]^{1/5} \left(\varsigma^{2} \phi_{h}\left(\varsigma\right)\right)^{-1/5} & z > z^{*} \frac{7}{8} \\ \left[\left(\frac{g}{T}\right)^{2} \left(k^{3} z^{2} z^{*}\right) \frac{\gamma^{3}}{\pi} A^{-1} \left(-\frac{S_{\left(r_{x}\right)}^{3}}{r_{x}}\right) \right]^{1/5} \left(\varsigma^{2} \phi_{h}\left(\varsigma\right)\right)^{-1/5} & h \le z \le z^{*} \\ 10 \end{cases}$$
(8)

11

Equation (8) is consistent with the parameters needed to describe turbulence under convective conditions, as turbulence becomes independent from the stability parameter and friction velocity. The function $(\zeta^2 \phi_h(\zeta))^{-1/5}$ can be approximated to a constant with a value of 1.2 that produces relative errors of less than 10% for $\zeta \leq -1$. For free convection, according to Högström [1990], Eq. (8) tends to be decoupled from the surface since the ramp dimensions are greatly influenced by the boundary layer scale eddies. Under near neutral conditions, both ζ and S³_(r) tend to 0, giving a finite value.

19

20 2.2.1. Measuring well above the canopy in the inertial sub-layer. Assuming ideal field

21 conditions, Monin-Obukhov similarity theory holds for measurements in the inertial sub-

22 layer and it is known that

23
$$\frac{(z-d)}{T_*} \frac{dT}{dz} = k^{-1} \phi_h(\zeta)$$
 (9)

Using Eqs. (2) and (9), an expression that combines surface renewal with similarity concepts
is

26

$$27 \qquad \frac{A}{T_*} = \left(k\beta\right)^{-1} \phi_h(\zeta) \tag{10}$$

1 Two well established similarity-relationships $(g_1(\zeta) \text{ and } g_2(\zeta))$, which also involve T*, were 2 originally given in Wyngaard et al. [1971], Eq. (11), and Tillman [1972], Eq. (12),

$$3 \qquad \frac{C_{tt}}{T_*^2} = (z - d)^{-2/3} g_1(\varsigma) \tag{11}$$

$$4 \qquad \frac{\sigma_T}{T_*} = g_2(\varsigma) \tag{12}$$

5

6 Equations (10), (11), (12), and (7) are used to express the sensible heat flux density ($H = \rho C_p$ 7 T * u * ...) in two different forms:

8

9 (1) When the temperature structure function parameter, C_{tt} , is known:

$$H = \rho C_{p} \left[\gamma^{3} (z-d)^{4/3} \frac{kgz}{T} C_{tt}^{1/2} \left(-\frac{S_{r_{x}}^{3}}{r_{x}} \right) \right]^{1/3} \left(\alpha (k\beta)^{2} \frac{\phi_{h}^{-2}(\varsigma) g_{1}^{-1/2}(\varsigma)}{-\varsigma} \right)^{1/3}$$
(13)

13 (2) When the temperature standard deviation, σ_T , is known:

14

15
$$H = \rho C_p \left[\gamma^3 (z - d) \frac{kgz}{T} \sigma_T \left(-\frac{S_{r_x}^3}{r_x} \right) \right]^{1/3} \left(\alpha (k\beta)^2 \frac{\phi_h^{-2}(\varsigma) g_2^{-1}(\varsigma)}{-\varsigma} \right)^{1/3}$$
(14)

16

17 2.2.2. Measuring above the canopy in the roughness sub-layer. Within the roughness sub-18 layer, similarity-based relationships may be invalid. Based on flux-gradient relationships, for 19 homogeneous canopies - according to Cellier and Brunet [1992] - sensible heat flux can be estimated using the expression, $H = \rho C_p K^*_h dT/dz$, with K^*_h the eddy diffusivity for heat in 20 the roughness sub-layer. Denoting $\phi_h^*(\zeta)$ as an appropriate stability function for heat in the 21 22 roughness sub-layer, Cellier and Brunet [1992] found the following relationship: $\phi_h^*(\zeta)/\phi_h(\zeta) = K_h/K_h^* \sim (z-d)/z^*$. Since $K_h = ku \cdot (z-d)\phi_h^{-1}(\zeta)$ is a suitable expression for the eddy 23 24 diffusivity for heat in the inertial sub-layer [Brutsaert, 1982], it follows that the eddy 25 diffusivity for heat in the roughness sub-layer can be estimated as,

$$K_{h}^{*} = k u_{*} z^{*} \phi_{h}^{-1} (\zeta_{27})$$
(15)

- 1 Assuming that the ratio of similarity-relationships in Eqs. (10) and (12), $\phi_h(\zeta)/g_2(\zeta)$, also
- 2 holds true in the roughness sub-layer through a given proportionality, μ , as

$$\frac{(k\beta)A}{\sigma_{T}} = \begin{pmatrix} \phi_{h}(\varsigma) \\ g_{2}(\varsigma) \end{pmatrix} = \mu \begin{pmatrix} \phi_{h}^{*}(\varsigma) \\ g_{2}^{*}(\varsigma) \end{pmatrix}$$
(16)

- 6 where $g_{2}^{*}(\zeta)$ denotes the corresponding $g_{2}(\zeta)$ valid in the roughness sub-layer.
- 7 Experimentally, the assumption made in the second equality of equation (16) is supported by
- 8 the literature. Lloyd et al.[1991] that found that the form of $g_2(\zeta)$ is independent of the
- 9 terrain type; Hsieh et al.[1996] and Wesson et al. [2001] found that $g_2^*(\zeta)$ for non-uniform
- 10 surfaces is proportional to $g_2(\zeta)$. Cellier and Brunet [1992] and Hsieh et al.[1996] found that
- 11 the form of $\phi_h(\zeta)$ is rather robust to non-uniform ground heating condition. Combining Eqs.

12 (2), (7), (15), (16) and $H = \rho C_p K_h^* dT/dz$ therefore gives the following expression for

13 estimating the sensible heat flux in the roughness sub-layer is obtained

14

15
$$H = \rho C_p \left[\frac{(\gamma z^*)^3}{z} \frac{kg}{T} \sigma_T \left(-\frac{S_{r_x}^3}{r_x} \right) \right]^{1/3} \left(\alpha (k\beta)^2 \frac{\phi_h^{-2}(\varsigma) g_2^{-1}(\varsigma)}{-\varsigma} \right)^{1/3} \qquad h \le z \le z^*$$
(17)

16

17 where the parameter μ , in Eq. 16, was set equal to 1.0 for practical application. Equation 18 (16) was obtained after equating T* from equations (10) and (12), so it was expected that the 19 portion μ be a constant close to the unity. The dependence in equation (17) on parameter μ is 20 through the power 1/3. Then, if μ slightly departs from 1.0, the total error introduced in H is 21 diminished.

22

23 The dependence of Eqs.(13), (14) and (17) on the stability parameter requires experimental 24 evidence. Consequently, previous knowledge of the measurement methods is required. In 25 Appendix B, it is shown that: (1) under slightly unstable conditions, the three equations 26 permit estimation of H from air temperature measurements, (2) under moderately stable 27 conditions, wind speed measurement is also required, and (3) under strong stability 28 conditions, the equations appear to be only dependent on temperature measurements 29 although similarity is uncertain under such conditions. 30 Appendix B shows that, under unstable conditions, the different expressions permit H

31 estimation as follows.

1 When the temperature structure function parameter is known,

$$2 \qquad H = \rho C_p \left(1.65 \gamma \frac{k^{5/6} g^{1/3}}{\pi^{1/2}} \right) (z-d)^{7/9} \left[\frac{C_t^{1/2}}{T} \left(-\frac{S_{r_x}^3}{r_x} \right) \right]^{1/3} \qquad z \ge z^*$$
(18)

3 When the temperature standard deviation is known,

$$4 \qquad H = \begin{cases} \rho C_{p} \left(1.65 \ \gamma \ \frac{k^{5/6} g^{1/3}}{\pi^{1/2}} \right) (z-d)^{2/3} \left[\frac{\sigma_{T}}{T} \left(-\frac{S_{r_{x}}^{3}}{r_{x}} \right) \right]^{1/3} & z \ge z^{*} \\ \rho C_{p} \left(1.65 \ \gamma \ \frac{k^{5/6} g^{1/3}}{\pi^{1/2}} \right) z^{1/6} (z^{*})^{1/2} \left[\frac{\sigma_{T}}{T} \left(-\frac{S_{r_{x}}^{3}}{r_{x}} \right) \right]^{1/3} & h \le z \le z^{*} \end{cases}$$
(19)

5 Equations (18) and (19) express the free convection limit approaches for Eqs. (13), (14) and 6 (17), respectively. According to Appendix B the free convection limit is reached for $\zeta \le -0.1$, 7 though it likely may hold for a wider range. This requires experimental evidence. The free 8 convection limit for Eq.(6) holds in the interval, $-3 \le \zeta \le -0.03$, with a relative error of less 9 than 8.5% [Castellvi, 2004]. Therefore, when the ramp amplitude is known, the sensible heat 10 flux can be estimated as

11

$$12 H = \begin{cases} \rho C_p \left(2.4 \gamma^{9/5} \frac{k^{4/5} g^{1/5}}{\pi^{3/5}} \right) \left[\frac{(z-d)^4}{T} \right]^{1/5} \left(-\frac{S_{(rx)}^3}{r_x} \right)^{3/5} A^{-3/5} & z > z^* \\ \rho C_p \left(2.4 \gamma^{9/5} \frac{k^{4/5} g^{1/5}}{\pi^{3/5}} \right) \left[z \frac{(z^*)^3}{T} \right]^{1/5} \left(-\frac{S_{(rx)}^3}{r_x} \right)^{3/5} A^{-3/5} & h \le z \le z^* \end{cases}$$
(20)

13

Equations (18), (19) and (20) express sensible heat flux in W m⁻² when all the input
variables are given in SI units. For applying Equations (18), (19) and (20), previous
knowledge of the atmospheric stability condition of the surface layer during each sample is
required. The sign of the third moment of the temperature structure function coincides with
the sign of the stability parameter, see (A5) [Van Atta, 1977; Antonia et al., 1981].

1 2.3 Existing similarity-based equations for estimating sensible heat flux

2

3 The main objective was to analyse the performance of the new approaches presented for

4 estimating sensible heat flux. It is interesting, however, to examine the performance of

5 several other equations from the literature; especially those requiring the same

6 measurements or input parameters. Thus, we analysed the similarity-based expressions

7 originally presented by Wyngaard et al. [1971] and Tillman [1972] for estimating sensible

8 heat flux that respectively involve the temperature structure function parameter, Eq. (21),

9 and the temperature standard deviation, Eq. (22).

10
$$H = \rho C_p \left(\frac{kg}{T}\right)^{1/2} (z-d) \left(\frac{(g_1(\varsigma))^{-3/2}}{-\varsigma}\right)^{1/2} (C_u)^{3/4} \qquad z > z^*$$
(21)

11
$$H = \rho C_p \left(\frac{kg(z-d)}{T}\right)^{1/2} \left(\frac{(g_2(\varsigma))^{-3}}{-\varsigma}\right)^{1/2} (\sigma_T)^{3/2} \qquad z > z^*$$
(22)

12 The respective free convection limit approach, for the two equations, is as follows

13
$$H = \rho C_p \left(0.8 (kg)^{1/2} \right) \frac{(z-d)}{T^{1/2}} (C_t)^{3/4} \qquad \varsigma << -0.14$$
(23)

14
$$H = \rho C_p \left(1.08 (kg)^{1/2} \right) \left(\frac{(z-d)}{T} \right)^{1/2} (\sigma_T)^{3/2} \qquad \varsigma < -0.04$$
(24)

15 These last four equations (mainly the flux-variance method, Eqs. (22) and (24)), have been 16 the subject of intensive research [Wesely, 1988; Weaver, 1990; Kader and Yaglom, 1990; Lloyd et al., 1991; Padro, 1993; De Bruin et al., 1993; Alberston et al., 1995, Katul et al., 17 18 1995 and 1996; Hsieh and Katul, 1996; Wesson et al., 2001; and Castellví and Martínez-19 Cob, 2005]. Similarity theory holds over an extensive, flat and homogeneous terrain. Such 20 conditions, however, are often difficult to find and; therefore, the performance of similarity 21 theory under non-ideal conditions is of interest. The flux-variance method, commonly used 22 by many micrometeorologists, has been analysed under different field conditions including 23 non-uniform terrain, advective conditions and close to the canopy [Weaver, 1990; De Bruin 24 et al., 1991; Katul et al., 1995; Wesson et al., 2001]. These analyses could be useful for 25 different purposes. Methods providing evapotranspiration errors below 25%, for irrigation planning, and methods for estimating surface fluxes providing a deficit closure of the energy
budget up to 30%, for model calibration, may be still feasible [Twine et al., 2000; and
Kustas et al., 1999].

4

5 2.4 Advantages, limitations, minimum instrumentation, and data processing requirements.

6

7 2.4.1 Advantages and limitations for field applications. Equations (6), (13), (14), (21) and 8 (22) operate when measurements are made well above the canopy top. Equations (6) and 9 (17) operate close to the canopy top and; therefore, are useful when fetch and accessibility to 10 sensors are a limitation. All these six equations are comparable in terms of input data. The term, $\rho C_p \approx 1215 \text{ Jm}^{-3} \text{ K}^{-1}$, for a wide range of climates, therefore, they require wind speed 11 and high frequency air temperature measurements. However, their respective free 12 13 convection limit approaches are not comparable. Unless other techniques, based on the 14 scintillation theory, are available for determining the temperature structure function 15 parameter in Equations (18) and (23), a minimum of two thermocouples or a thermocouple 16 and a cup anemometer in conjunction with the Taylor hypothesis of frozen turbulence is 17 required for field measurements. The other free convection limit approaches, using Eqs. 18 (19), (20) and (24), require a single thermocouple.

19

20 Equations (19) and (24) are directly comparable. Because previous knowledge of the surface 21 layer atmospheric stability is required, if a third order temperature structure function is used 22 to identify unstable conditions, then the same calculations are needed for Eqs. (19) and (24). 23 Other methods to identify unstable atmospheric conditions may be used. For example, 24 Wesson et al. [2001] assumed unstable conditions when net radiation was positive, but this 25 involves extra measurements and maintenance. We note that we may often have positive net 26 radiation and stable conditions during afternoon in arid environments. Recall that Eq.(24) 27 does not necessarily hold when measurements are made close to the canopy top.

28

Equations (6), (13), (14), (17), (21) and (22) need to be solved on a computer because they depend on the stability parameter. Sensible heat flux from Eq. (20) cannot be recorded online using slow battery-powered data loggers. The ramp amplitude computation is needed,

32 and data logger speed limits processing of the high frequency temperature data.

1

Overall, depending on the required accuracy, Eq. (19) is likely adequate under

unstable conditions. A single thermocouple is required and measurements can be taken close
to or well above the canopy top.

4

5 2.4.2 Minimum instrumentation and data processing requirements. Low-budget 6 measurement campaigns require minimization of maintenance, inexpensive and robust 7 instrumentation, and, if possible, on-line estimation of H to minimize visits to the site. 8 Equations (19) and (24) require the minimum logger and sensor requirements for estimating 9 H under unstable conditions; a fine-wire thermocouple and a data-logger. Thermocouples 10 with smaller diameter are more responsive and more accurate, but thermocouples with larger 11 diameter are less prone to damage. In Duce et al. [1998] is shown that half-hourly structure functions determined with different diameter wire size affected the ramp parameters 12 determination. Thermocouples like the TCBR-3 (7.6 10^{-5} m diameter) permit high frequency 13 14 (4 or 8 Hz) measurements and they are infrequently damaged by rainfall and other hazard 15 events. Smaller thermocouples need more frequent replacement. A data-logger capable of 16 storing half-hourly temperature standard deviations and the third order structure function for several time-lags is required. Generally, three time lags is sufficient if a reasonable estimate 17 18 of rx is available (see Table A.1). The sampling frequency requirement is limited mainly by 19 the processing time needed between samples. The appropriate sampling frequency depends 20 on the canopy size and how close to the canopy top measurements are taken. For example, 21 tall and dense forest canopies could be monitored using a frequency of about 4 Hz. For 22 moderate tall, sparse or dense canopies (e.g., nectarine or olive orchard as described next), 23 near canopy top measurements with time-lags of about 0.5 s and 4 Hz measuring frequency 24 may be adequate. For shorter canopies (e.g., grasses, wheat, etc.), it is better to measure 0.5 25 to 1.0 m above the canopy top [Snyder et al., 1996]. Appropriate time-lags are about 0.2 s, 26 therefore, sampling frequencies of 8 Hz are suitable [Castellvi, 2004]. Under windy 27 conditions, higher frequency measurements might be needed because of the high absorption 28 of momentum. During near neutral and stable conditions, ramps are often not in agreement 29 with the sign of the measured H; however, it is a minor problem because the H values are 30 typically low. Therefore, measurement frequencies greater than 4-8 Hz are unnecessary for 31 most field applications under unstable conditions. A data-logger such as a Campbell Scientific, Inc. CR10X meets the requirements to collect the standard deviation and 3rd 32 33 moment for several time lags.

1 With this datalogger, computation of temperature differences for three different time lags in 2 order to obtain three half-hour structure functions requires an execution time of 0.136 s. 3 Then, the minimum possible time execution interval to be implemented in a CR10X would 4 be 0.15625 s (6.4 Hz), and three half-hour structure functions, corresponding to time lags of 5 0.15625 s, 0.46875 s and 0.625 s, could be computed and stored in datalogger memory, 6 allowing on-line H calculation. On-line computation of latent heat flux through a simplified 7 surface energy-balance [Allen et al., 1996] could also be implemented when additional 8 instrumentation for measuring half-hour net radiation, wind speed and direction, and soil 9 heat flux (using two soil heat flux plates and a soil-averaging temperature sensor), is also 10 connected to the same CR10X datalogger. However, in some instances, storing these other 11 parameters on-line can lead to small errors due to inadequate data logger computational 12 speed. Generally, such errors will have minimal effect on the H estimate or LE estimates. 13 Using a CR10X, an execution time interval of 6.4 Hz would not be enough for 14 accomplishing all computation steps required: every half-hour, between 1 and 7 % of the 15 temperature differences calculated for structure function computation would be missed or 16 not accurately computed, depending on time-lag considered. These errors in H calculation 17 would be small in general, however, to avoid such situations the alternatives are: 1) use of 18 two CR10X dataloggers, one for on-line H computation and the other for recording the other variables required for the energy balance closure; 2) use of a single CR10X datalogger with 19 20 a higher time execution interval, for instance, 0.25 s (4 Hz); or 3) use of somewhat more powerful datalogger such as the CR23X (CSI) as its cost is less than that of two CR10X and 21 22 its processing speed is significantly higher.

- 1 2.5 Site description, instrumentation, and data
- 2

3 The data set used to analyse the performance of different equations for estimating sensible 4 heat flux corresponds to several measurement campaigns conducted over distinct surfaces 5 and climates. A summary of the main characteristics of the campaigns is given in Table 1. 6 Six different canopies were analysed: grass closely meeting the reference crop definition 7 [Allen et al., 1998], wheat, grapevines, rangeland grass and nectarine and olive orchards. 8 Details about the campaigns conducted over grass, wheat and grapevines and data 9 processing can be found in Snyder et al.[1996], Spano et al.[1997 and 2000] and Castellví 10 [2004], and for the olive orchard in Castellví and Martínez-Cob [2005].

11

12 A brief overview is as follows. Three experiments over grass (0.1 m high) were 13 carried out at same experimental site during different years. Instrumentation was set at the 14 middle of a 100 m x 100 m plot at the Campbell Tract Experimental Farm (University of 15 California at Davis). Half-hourly mean wind speed at 2 m above the ground was available. A 16 omni dimensional sonic anemometer (Csi), measuring vertical wind speed and air 17 temperature at 10 Hz, was set at 0.6 m above the ground level during days of year 86, 87 and 18 88 in 1994 and at 0.7 m during days of year 213 and 214 in 1995. Air temperature data at 8 19 Hz was also recorded at heights of 0.6, 0.9 and 1.2 m in year 1994, and at 0.7, 1.0 and 1.3 m in year 1995 using fine-wire thermocouples (7.6 10^{-5} m diameter). For these two campaigns, 20 21 measurements were taken under unstable conditions. A three dimensional sonic anemometer 22 (Csi), measuring three wind components and air temperature at 10 Hz, was set at 1.5 m from 23 days 222 to 234 in 2001. Fetch requirement for the grass experiments was short (50 to 60 m) 24 according to the rule of thumb, 1:100 (a fetch of 100 m is needed to account for one meter 25 adjusted boundary layer depth), Stull (1991). This rule is useful for practical purposes 26 though recognized rather conservative (Monteith and Unsworth, 1990). The grass plot was 27 surrounded by short irrigated crops in the main upwind direction and bare soil. Because the 28 surface roughness, within several hundred meters, was similar, it is realistic to assume that 29 the wind profile was not disturbed by the transition. For the experiment conducted in year 2001, the averaged half-hourly wind speed at 1.5 m height, friction velocity, and stability 30 parameter were, respectively; 1.9 m s^{-1} , 0.13 m s^{-1} and -0.91, under unstable conditions and 31 2.0 m s⁻¹, 0.14 m s⁻¹ and 0.43 for the stable cases. For the other experiments, the averaged 32 33 half-hourly horizontal wind speed at 2.0 m height, friction velocity and stability parameter

were, respectively; 2.4 m s^{-1} , 0.20 m s^{-1} and -0.05 for year 1994, and 1.6 m s^{-1} , 0.13 m s^{-1} 1 2 and -0.08 for year 1995. Foot print analysis was carried out according to Kormann and 3 Meixner (2001). The cumulative mean upwind foot print determined under unstable 4 conditions was: 89 % for year 2001 at 1.5 m, 81% for 1994 at 1.2 m, and 0.80% for 1995 at 5 1.3 m. However, under stable conditions only 36 % was accounted at 1.5 m. Therefore, 6 whatever the level and experiment, most of measurements were rather representative for the 7 grass plot under unstable conditions. But some contamination in the traces by the 8 surroundings is expected under stable conditions.

9

10 The wheat experiment (0.7 m high) was conducted during days of year 148 and 149 11 in 1994 at Davis (Ca). Fetch was over 400 m. Half-hourly wind speed was measured at 2 m 12 above the ground, air temperature at 8 Hz was measured at 0.7, 1.0 and 1.3 m above the 13 ground using fine-wire thermocouples (7.6 10^{-5} m diameter) and an omni dimensional sonic 14 anemometer (Csi) was set at 2 m above the ground measuring the vertical wind speed and air 15 temperature at 10 Hz.

16

The experiment conducted over grapevines having 2.0 m height, 60% ground cover 17 18 and separation between trunks of 1.5 m between plants and 2.7 m in the inter-row with a 19 mean free space of 1.8 m. The experiment was conducted during days of year 226 and 227 20 in 1995 at Napa Valley (Ca). Fetch was over 300 m. Half-hourly wind speed was measured at 3 m above the ground, air temperature at 8 Hz was measured at 2.0, 2.3, 2.6 and 2.9 m 21 above the ground using fine-wire thermocouples (7.6 10^{-5} m diameter), and an omni 22 dimensional sonic anemometer (Csi) was set at 3 m above the ground measuring the high 23 24 frequency vertical wind speed and air temperature at 10 Hz.

25

The experiment conducted over olive orchard (3.4 m high, 50% ground cover, 3 m separation between trunks with an inter-row of 6 m wide) occurred in days of year 106 to 208 in 1995 at Sástago within the Ebro river basin (NE of Spain). Fetch was about 550 m for the mean stream-wind direction (north-west). Air temperature at 4 Hz was measured at 3.5 and 5.1 m above the ground using fine-wire thermocouples (7.6 10⁻⁵ m diameter) and a three dimensional sonic anemometer (Csi) was set at 4.9 m above the ground measuring the three wind speed components and air temperature at 10 Hz. The temperature structure functions of order 2, 3 and 5, Eq.(A1), were recorded in a data-logger (CR10X) at two time lags, 0.25 s
 and 0.75 s.

3

4 The rangeland grass experiment, with 0.25 m for the mean vegetation height, is fully 5 described in Baldocchi et al. [2004]. Briefly, the site is a grazed grassland opening in a 6 region of oak/grass woodland. The site is situated in undulating topography among the 7 oak/grass savannah biome of eastern California in the foothills of the Sierra Nevada 8 Mountains. The main grass and herb species include bromus, frescue, oat, medusa head and 9 rose clover. The site is dry and warm during mid spring, summer and early fall. Regional 10 advection is a typical climate feature during summer and early fall. Often, the atmospheric 11 surface layer tends to near neutral atmospheric stability conditions at around 1900 h (GMT) 12 in spring and 1600 h (GMT) in summer and fall, and stability persists until sunrise. The sensible heat flux ranged from -78 to 473 W m⁻². A three-dimensional sonic anemometer 13 14 (Gill Windmaster Pro) was installed at a height of 2 m. Virtual temperature and three wind 15 components were recorded at 10 Hz over mid-spring through early fall in 2002. 16

17 The nectarine orchard experiment was conducted in summer 1989 (Atalia, Portugal). 18 The average tree height was 3.2 m, the ground cover was approximately 85% and separation 19 between trunks was; 3.5 m between trees and 5 m in the inter-row with a mean free space of 1.5 m. A thermocouple (7.6 10^{-5} m diameter) was located between trees at the canopy top 20 height and measuring air temperature at 8 Hz. The variance and covariance of vertical wind 21 22 speed and temperature were measured using a omni dimensional sonic anemometer (Csi) 23 that was set at 3.5 m height, recording processed half-hourly H values from raw data at 10 24 Hz.

1 2.6 Canopy parameters

2

3 Equations for estimating H may operate close or well above the canopy top. Therefore, 4 knowledge of z^* is crucial for placing sensors, but this requires the analysis of the wind and 5 temperature profiles. Because the aim is to keep low-budget experiments, the roughness 6 layer depth needs to be estimated. Roughly, this may be possible because z^* is highly 7 dependent on the canopy morphology and its capability on absorbing momentum. Such 8 dependence may be described through the canopy height, h, the mean spacing of roughness 9 elements, D, and the zero plane displacement which in turn is also highly dependent on the 10 canopy morphology.

11

12 2.6.1 *The zero plane displacement*. It can be estimated as, $d\approx 2/3h$ [Brutsaert, 1982] for the 13 homogeneous canopies (grass, rangeland grass and wheat). For grapevines and nectarine 14 orchard it was also roughly estimated as 2/3 h because in the mean stream-wise direction the 15 canopy was dense and overlap through close to the ground and the mean inter-row space 16 was moderate (1.8 m and 1.5 m, for grapevines and nectarines, respectively). For the olive 17 orchard, the zero plane displacement was neglected because the canopy was open without 18 understories and the crown was not dense [Brutsaert, 1982]

19

20 2.6.2 *The roughness sub-layer depth*. For tall and rather homogeneous canopies, such as 21 forest, the roughness sub-layer depth is estimated to be about 2 and 3 times h [Kaimal and 22 Finnigan, 1994; Shaw, 2002]. In general, Brutsaert [1982] reports a wider range; from 1.5 to 3.5 times the canopy height and some studies used the following approach, $z^* \approx h+2(h-d)$ 23 [Chen et al., 1997a; Sellers et al., 1986] which falls in a lower range. For sparse tall 24 canopies, z^* can be estimated as, $z^* \approx aD + d$ [Garrat, 1980], where D is the mean spacing of 25 26 roughness elements and $a \approx 3$ is a coefficient. Higher values for a often occur under near-27 neutral stability conditions (a = 4.6, at neutral conditions). For crops, Cellier [1986] suggested $z^* \approx aD + d$ with D the inter-row space for canopies planted in rows and a, a 28 29 coefficient likely slightly higher than 3. Cellier and Brunet [1992] reported a = 3.1 for sugar 30 cane crop and a = 4.2 for maize.

31

32 Over grass and rangeland grass, measurements lie well above the roughness sub-33 layer. Therefore, z^* was only estimated for the other canopies. For wheat, because the

1 canopy was uniform and measurements were made under unstable conditions with moderate wind speeds (mostly lower than 2.5 m s⁻¹ at z = 2 m) z^* was estimated as $z^* \approx h+2(h-d) \approx 1.2$ 2 3 m. The highest measurement level was at 1.3 m which is close to the transition roughness-4 inertial sub-layer. For the other measurement levels, the data were most likely collected 5 within the roughness sub-layer. 6 For grapevines, the roughness depth was assumed to be, $z^*=5.5$ m, which is in 7 between three times the mean space between canopies along the inter-row and three times 8 9 the canopy height. 10 For the nectarine orchard, because the canopy extent was rather dense, z^* was 11 estimated as, $z^* = 9.5$ m (about 3 times the canopy height). The roughness depth estimated as 12 three to four times the mean inter-row canopy space results z^* to be in the range. $4.5 < z^* <$ 13 14 7.5 m. The latter appears rather close to the canopy top and too short than for the rule of 15 about three times the canopy height. 16 17 For the olives orchard, the coefficient a = 3.5 was used as an intermediate value between 3 and 4, which was chosen because the area is windy and therefore turbulence is 18 mostly mechanically driven. Then, the roughness depth was estimated as, $z^* \approx 12$ m, which 19

is about 3.5 times the distance between trunks (3.5 m). The mean spacing between canopies was taken as 3.5 m because the canopy was not dense. The estimated z^* value was about three times the canopy height.

23

24 2.7 Data processing25

26 2.7.1 *Ramp parameters*. Determination of parameter γ in Eq.(A5) requires high frequencies 27 measurements, especially for low and moderate canopy heights and, consequently, raw data 28 needs to be recorded for post processing. Chen et al. [1997b] found that parameter γ is rather 29 robust and constant for practical purposes. Table A.1 shows different mean parameter γ for a 30 variety of canopies. According to Table A.1, as a rule of thumb, the parameter γ was set to, 31 1.1, for the low canopies (grass, rangeland grass, wheat and grapevines) and to 1.0 for nectarine and olive orchards. In general, the best time-lags (r_x) to solve Eq. (A5) were 0.2 s 32 33 and 0.3 s, for the low canopies, 0.5 s and 0.75 s for the nectarine and olive orchards,

34 respectively.

2 The sign of the ramp amplitude may be used to identify the stability conditions of the 3 surface sub-layer. This is useful to select the correct form of the similarity functions $\phi_h(\zeta)$, 4 $g_1(\zeta)$ and $g_2(\zeta)$ from temperature measurements taken at a single level without the need of 5 extra measurements. However, near neutral conditions depending on the measurement 6 frequency the sign of the ramp may not correspond with the measured sensible heat flux. For 7 each canopy, the following number of failures (half-hourly samples) were obtained which 8 includes all measurement heights; 64 over grass; 1027 over rangeland grass; 22 over 9 grapevines; 25 over nectarines; and 259 over olives. For wheat all samples were in 10 accordance because the experiment was carried out with relatively high H values. The 11 failures were found within the following ranges for the stability parameter and sensible heat flux (in Wm⁻²) values; (-0.15 \leq ζ <0.01) and (-18 \leq H \leq 7.5) for grass; (-0.02 \leq ζ <0.01) and (-12 13 9.0<H<9.0) for rangeland grass; (-0.05< ζ <0.01) and (-8.1 <H< 12.3) for nectarines; and (-14 $0.03 < \zeta < 0.02$) and (-21.1 < H < 10.5) for olives. Samples within those ranges were not

15 included in the analysis. The number of data analysed are listed in Table 1.

16

1

2.7.2 Temperature structure function parameter. The second order structure function was 17 employed to determine the temperature structure function parameter as $D^{2}_{(x)} = C_{tt} x^{2/3}$, 18 19 where $D_{(x)}^2$ and x denotes the second order structure function and the spatial separation between the two measurements of temperature, respectively (Stull, 1991). The Taylor 20 21 hypothesis of frozen turbulence can be used to convert time series into spatial series as $S_{(r)}^2 = C_u (\bar{u}r)^{2/3}$, where $S_{(r)}^2$ and r were defined in (A1 for n=2) and \bar{u} denotes the mean 22 wind speed along the flow direction. The Taylor's frozen hypothesis was used to convert 23 24 time-lags into stream-wise distances using horizontal wind speeds measured with a 3D-sonic 25 anemometer during experiments over grass in year 2001 and the rangeland grass. When 3D-26 sonic measurements were unavailable the cup anemometer measurements were corrected at 27 different levels using the wind-profile law, experiments over grass in 1994 and 1995.

28

29 2.7.3 Stability parameter and sensible heat flux.30

31 After determining the required input for the corresponding equation to estimate sensible heat 32 flux (temperature ramp parameters, standard deviation, third order structure function and

1 structure function parameter), one must determine the stability parameter unless a free-2 convection limit approach is used. When, the data required for determining the Obukhov 3 length is unavailable, iteration or optimization methods are used. Iteration based on the 4 wind-profile law (Brutsaert, 1982) solves for convergence of the conjunction of friction 5 velocity, stability parameter, and sensible heat flux by starting the iteration process assuming 6 neutral conditions. This procedure was implemented for the experiments over grass in years 7 1994 and 1995, wheat, and grapevines. A description can be found in Castellví et al.[2002] 8 and Castellví [2004]. For the experiments over grass in year 2001 and rangeland grass the 9 measured air temperature, friction velocity and sensible heat flux were used for determining 10 the stability parameter.

11

For the olive orchard, horizontal wind speed was available at a single level close to the canopy top and, therefore, wind-profile law was not applicable. Friction velocity was estimated using the measured horizontal wind speed at the canopy top [Kaimal and Finnigan, 1994]. Simulating annealing procedure in conjunction with the Metropolis criteria was used for stability parameter and sensible heat flux optimization. Details about the procedure can be found in Castellvi and Martínez-Cob [2005].

18

19 For the nectarine orchard experiment, the friction velocity, stability parameter, and 20 horizontal wind speed above the canopy were unavailable. Therefore, friction velocity was estimated as follows. Under unstable atmospheric conditions, the scale $\lambda^*_{(\zeta)}$ was used 21 (Appendix B): $u_* \approx z/[\tau \lambda^*_{(\zeta)}] \approx z/[\tau 0.75^3]$. Also, the friction velocity was estimated using 22 the following relationship [Stull, 1991]: $u_* \approx [\langle w^{2} \rangle / 1.7]^{0.5}$ where $\langle w^{2} \rangle$ is the variance of 23 the vertical wind velocity that was recorded each half hour. Under stable atmospheric 24 conditions, friction velocity was estimated using only $u_* \approx [\langle w^{2} \rangle / 1.7]^{0.5}$ because the scale 25 $\lambda^*_{(\zeta)}$ was uncertain. The stability parameter was obtained from the Obukhov length using the 26 corresponding estimated u* and the measured sensible heat flux. 27

1 3. RESULTS

2

3 The performance of Eq.(8) for estimating friction velocity and the new and existing 4 equations for estimating sensible heat flux was analysed in terms of linear regression 5 analysis, where the measured values were taken as the independent variable, coefficient of 6 determination, R^2 , and the root mean square error, RMSE. When calibration is not possible, 7 RMSE indicates the accuracy of the model. However, the RMSE values were also analysed 8 in terms of systematic, RMSEs, and unsystematic, RMSEu, parts (see glossary). According to Willmott [1982], $RMSE^2 = RMSEu^2 + RMSEs^2$. Consequently, the portion of the 9 systematic errors presumably contained in the model, SE (expressed in %), can be described 10 by SE=100 RMSEs²/ RMSE². When SE is high, it is possible to dampen a new 11 12 parameterization of the model without making significant changes in model's structure. 13 Therefore, the expression UE = 100 - SE can be interpreted as a measure of potential 14 accuracy improvement. Assuming possible model calibration, UE represents the RMSE² 15 portion that the model cannot explain. In such case, for a given RMSE, the accuracy can be 16 evaluated by UE (i.e., the lower UE, the higher the accuracy). On the other hand, when the 17 RMSE is small enough, indicating that the model is accurate and calibration is not needed, 18 relatively high UE percentages may be expected.

19

20 3.1 Friction velocity21

22 Table 2 lists the statistics obtained corresponding to the performance of Eq. (8) in estimating the friction velocity. It is shown the slope and intercept (in m s⁻¹) from a linear fitting, R^2 , 23 and the RMSE (m s⁻¹) and UE, for each measurement height, stability conditions and for the 24 25 whole dataset for all canopies except for the nectarine orchard because direct measurement 26 was unavailable. In general, whatever the measurement level and stability conditions, 27 including homogeneous and heterogeneous canopies, the intercepts were negligible, R^2 28 values were high, and the RMSE values were small. Consequently, improvements over the 29 Eq.(8) estimates are unlikely (UE percentages were relatively-high) because it did a good 30 performance.

31

32 3.1.1 *Measurements taken in the inertial sub-layer*. In the experiments over grass and

33 rangeland grass, performance was generally excellent regardless of the stability conditions.

1 Under unstable conditions, measurements collected up to 1 m revealed a consistent 2 overestimation on the order of 15%. For these cases, the highest UE value was obtained over 3 grass at level of 1.5 m height, UE =82%. At this level, a calibration factor would slightly improve the RMSE because it was small, RMSE= 0.02 m s^{-1} , indicating that Eq.(8) was 4 5 sufficiently accurate and, therefore, there would not need modifications. For the 2.0 m level 6 over rangeland grass, the RMSE obtained was the same as for the 1.5 m level over grass, but 7 with a UE=53%, indicating that calibration would improve accuracy. The difference was 8 related to the rangeland grass Eq. (8) being able to mostly capture the full measured friction 9 velocity variability. For the 1.2 m and 1.3 m levels over grass, UE values indicate that a 10 calibration factor would improve the RMSE (i.e., slopes departed considerably from one, 11 29% and 19%). This overestimation, apart of a higher loss of covariance with height by the 12 eddy covariance, was attributed to the measurement level being too far above the canopy. 13 Entrainment of air from above likely contaminated the air temperature traces, which 14 explains the substantial reduction in R² relative to lower levels, 0.7 m, 0.9 m and 1.0 m. The 15 0.6 m level also performed poorly relative to the 0.7 m, 0.9 m and 1.0 m. It was probably too 16 close to the surface and it required a higher measurement frequency. 17 Under stable conditions, over grass UE was 46% indicating that the RMSE (0.04 m s⁻¹) 18 19 can be substantially reduced. Calibration correcting the intercept would reduce the systematic error giving a RMSE of 0.02 m s⁻¹. For rangeland grass the RMSE=0.02 m s⁻¹ 20 was small, indicating no need for calibration (UE was 80%) despite its 9% underestimation. 21 22 23 3.1.2 Measurements taken in the roughness sub-layer. Table 2 shows that over wheat, the 24 performance was good. For the upper level (1.3 m), the statistics listed in Table 2 were 25 determined assuming that measurements occurred within the roughness and inertial sub-26 layers. The results were better when it was assumed that the data were collected in the

27 roughness rather than the inertial sub-layer For the inertial sub-layer, there was an

28 underestimation up to 25% and the UE=3% was small, indicating that most RMSE was

29 systematic. This issue suggested a deep roughness sub-layer as was estimated. The lower

- 30 accuracy was obtained when measuring at the canopy top and a higher measurement
- 31 frequency would likely improve accuracy. For the 1.0 m level, calibrating the 2%
- 32 overestimation would reduce the RMSE (UE=54%), but calibration was unnecessary for the
- 33 1.3 m level having RMSE=0.01 m s⁻¹, with UE= 91%. For all measurement levels, Eq. (8)

had an underestimation of 10% that could be corrected (slope of one) by increasing the
roughness sub-layer depth to 2.85 times the canopy height.

3

4 For the grapevine experiment, Eq. (8) generally overestimated by around 13% at all measurement levels. Performance was excellent for the olive orchard regardless of the 5 6 stability conditions and measurement level, although the highest level was slightly more 7 accurate. For these two canopies, the low UE values obtained for all levels indicate that a 8 calibration factor would substantially improve the estimates. This issue indicates that Eq.(8) could be very accurate if all the canopy and ramp parameters $(z^*, d \text{ and } \gamma)$ were accurately 9 determined. Note that these parameters only affect the slope value, which implies a 10 11 systematic error. The canopy morphology for grapevines was more complex than for the others and, therefore, a bigger error in estimating z^* , d and γ was expected. 12

13

For a variety of canopies, Eq. (8) performed well under stable and unstable conditions either when measuring close to or well above the canopy top. In general, the RMSE values were small. This is an indication that Eq. (8) was robust and eliminates the need for calibration. Figure 1 shows friction velocity estimates resulting from use of Eq. (8) for the entire data set including the six canopies.

19

20 3.2 Sensible heat flux

21

3.2.1 *Measurements taken in the inertial sub-layer*. Table 3 shows the slope and intercept
(W m⁻²) and R² determined by linear regression analysis, the RMSE (W m⁻²) and UE (%) by
measurement level and for all heights to show the performance of Eqs. (6), (13), (14), (21),
(22) and of their respective free convection limit approaches, Eqs. (20), (18), (19), (23) and
(24) for the experiments over grasses.

27

28 <u>Unstable conditions</u>. For the turf grass experiments all ten equations performed well. In
 29 general, the slope and R² values were close to one, and the intercept and RMSE values were
 30 small. For equations requiring air temperature and wind speed measurements, the maximum
 31 RMSE value obtained was RMSE=19.9 W m⁻², corresponding to Eq. (14) at the 1.5 m
 32 measurement level. For the free convection limit approaches, the maximum RMSE value
 33 obtained was RMSE=39.5 W m⁻², corresponding to Eq. (21) at the 1.5 m measurement level.

All the other cases had RMSE values below 25 W m⁻², which is the expected error for and eddy covariance system [Paw U et al., 1995]. Therefore, the performance was comparable to the eddy covariance system. Most UE percentages were high, indicating little room for improvement. These results suggest that for a similar experiment measuring within the adjusted surface layer, additional measurements for calibration of the equations is unnecessary.

7

8 For the 1.5 m level, Eq. (23) was not able to capture most of the measured H 9 variability as well as the other equations, which require only temperature data. This was 10 likely a consequence that the free convection limit for (21) holds for a narrower range of the 11 stability parameter ($\zeta < -0.14$) than it does for the other equations. For this level, Eq.(23) performed poorly, mainly for the range $-0.28 \le \zeta \le 0$. The R²=0.04 using Eq.(23). The 1.5 m 12 level experiment was conducted on the same plot but in a different year than the 1.3 m level 13 14 experiment. Therefore, there is not an abrupt change in performance between the 1.3 m and 15 1.5 m measurement levels. Also, experiments measuring at levels of 0.6 m, 0.9 m and 1.2 m, 16 and at levels of 0.7 m, 1.0 m and 1.3 m were conducted in years 1994 and 1995, 17 respectively. Whatever the equation, exclusively based or not on similarity principles, the 18 measured H variability tended to be better captured for the lower than for the higher levels, 19 thus suggesting air entrainment from aloft. For the same plot, this trend was also found in 20 Snyder et al. [1996] for Eq. (1).

21

22 For the rangeland grass experiment under unstable conditions, the best performance 23 for equations requiring air temperature and wind speed measurements was obtained using Equation (6). It performed comparable to the eddy covariance system, $RMSE=26.1 \text{ W m}^{-2}$. 24 25 The other SR-based equations also performed well. For equations depending on the 26 temperature parameter structure function, the performance obtained from Eq. (13) was better 27 than for (21). According to the UE percentages, calibration of Eq. (21) reduce the RMSE, but it was not required for Eq.(13). After calibrating Eq. (21), the new RMSE was 42.8 W m 28 2 , which is still higher than using Eq.(13) without correction, which gave a RMSE=33.6 W 29 m^{-2} . For equations depending on the temperature standard deviation, the performance 30 31 obtained from Eq. (14) was slightly better than for (22). For both equations the intercept was negligible with high R² values and low UE percentages indicating that slope correction after 32

- calibration would improve their performance. After correction, the equations gave
 RMSE=35.1 W m⁻² for (14) and RMSE=36.5 W m⁻² for (22).
- 3

4 For the free convection limit approaches, Eqs. (20), (18) and (19) were comparable. 5 Their performance was excellent and comparable to their respective expressions requiring 6 wind speed measurements as input. Equations (21) and (22), however, generally performed 7 considerably better than their free convection approaches, Eqs. (23) and (24), respectively. 8 The intercept and R^2 values for Eqs. (23) and (24) were good, but the slopes departed 9 considerably from unity. The RMSE values obtained for (23) and (24) were 90.7 W m⁻² and 131.2 W m⁻², respectively, but the low UE percentages obtained (23% an 14%, respectively) 10 indicate that they have potential improvement. Previous site-specific calibration of their 11 12 corresponding similarity relationships would substantially reduce the RMSE. This issue 13 suggest that for this experiment, the new derived equations were less sensitive to the site-14 performance of $g_1(\zeta)$ and $g_2(\zeta)$ than those equations exclusively based on similarity. 15 Equation (13) depends of the power -1/6 on $g_1(\zeta)$, but Eq. (21) on the power -3/4. Equation (14) depends of the power -1/3 on $g_2(\zeta)$, whereas Eq. (22) on the power -2/3. 16 17 18 Stable conditions. For the turf grass experiments, Eqs. (6), (13) and (14) performed well.

19 The RMSE were small indicating that these three equations, especially Eq.(6), showed

similar accuracy to the eddy covariance. The UE percentages obtained were relatively high
indicating that calibration was not needed. For rangeland grass, all three equations gave
similar results but poor accuracy. The slopes and intercepts were around 0.5 and 20 W m⁻²,
respectively, R² values were around 0.25, and most of the RMSE (up to 85%) was
unsystematic.

25

For equations (21) and (22), the performance was poor for either for grass or rangeland grass. The flux variance method was uncorrelated. Fetch was adequate for the rangeland experiment, but the performance from all the equations was worse than for the grass experiment. For rangeland grass, the corresponding relationships in Eq. (14), $g_1(\zeta)$ and $g_2(\zeta)$, did not hold under stable conditions. This probably might have resulted as a consequence of cool air drainage during night-time.

3.2.2 *Measurements taken in the roughness sub-layer*. Table 4 shows the slope and intercept
 (W m⁻²) obtained by linear regression analysis, the R², the RMSE (W m⁻²) and UE values
 corresponding to Eqs. (6) and (17), and for their respective free convection limit approach,
 Eqs. (20) and (19), for each measurement level and for the whole data set from experiments
 over wheat, grapevines, and nectarine and olive orchards.

6

7 Wheat experiment. As with the friction velocity estimates, H estimates were better at the 1.3 8 m level when it was assumed that the measurement level was located in the roughness sub-9 layer. When all equations were applied in the inertial sub-layer, most of the RMSE portion 10 was systematic, the UE ranged from 4% to 14%. When all equations were applied assuming 11 data collection within the roughness sub-layer, the slopes were close to one ranging from 0.9 12 to 1.08, and the intercepts were generally negligible regardless of the measurement height. 13 The UE percentages were high, indicating that the improvement of these equations was 14 potentially comparable and that most of the RMSE error was unsystematic because the equations were not able to fully capture the measured sensible heat flux variability. The R^2 15 16 values ranged from 0.66 to 0.82. Calibration would only slightly improve the RMSE values. 17 The RMSE values, however, were reasonably good in all cases. According to RMSE values, 18 Eq. (17) had slightly better performance than (6). This was also observed for their free 19 convection limit approaches using Eqs. (19) and (20), respectively.

20

Grapevines experiment. Equation (6) had excellent performance for all levels. The slopes 21 and R^2 values were close to one and the intercept and RMSE values were small. As a 22 23 consequence, the UE were generally high, indicating that calibration of Eq. (6) was already 24 unnecessary. Equation (17) gave biased results for all levels, but it was able to capture most 25 of the measured H variability. Although the performance improved with the measurement 26 height, the low UE values indicate that calibration to correct the bias for the higher levels 27 (2.6m and 2.9m) and, both bias and slope for the lower levels (2.0 m and 2.3 m) would 28 substantially improve the accuracy. It is not shown in Table 4, but after calibration, Eq.(17) 29 performed similar to Eq.(6).

The corresponding free convection limits approaches for Eq. (6) and (17), Eq. (20) and (19) respectively, had slopes close to 1.0 for all the levels but gave more biased results, especially Eq.(19) with lower R^2 values. Equations (20) and (19) had small UE percentages, indicating that correction of the bias would greatly reduce the RMSE. It is not shown in 1 Table 4, but Eq. (20) performed better than (19) even after correction of Eq.(19). Apart of 2 the general overestimation resulting from use of Eq. (8), which is clearly observed in Eq. 3 (17), the assumption made in Eq.(18) could be rather unrealistic for this heterogeneous 4 canopy. This may explain the different performance obtained between Eq. (6) and (17) and for their free convection limit approaches. Assuming that calibration is not possible, the 5 6 RMSE values from Eq. (20) were reasonable for all the levels, and therefore more attractive 7 than Eq. (19). Overall, Eq. (6) performed better than (17). The same was observed for their 8 free convection limit approaches.

9

10 Nectarine orchard experiment. Under unstable conditions, Equation (6) showed excellent 11 performance regardless of the level and method used for estimating the friction velocity. The RMSE values obtained were small. The UE percentages were also low indicating that if bias 12 were corrected (slopes and R^2 values were close to one) the general performance would be 13 14 close to the eddy covariance. Whether or not calibration was used, Eq. (6) was more 15 accurate than (17) and it better represented the measured H variability. The RMSE values 16 obtained using Eq. (17) were reasonably good, so it performed well for estimating H. The 17 performance given by Eq. (6) was comparable to its free convection limit approach, 18 Equation (20). This was not observed for Eqs. (17) and (19), with (19) being slightly more 19 accurate than (17). It was likely a consequence of the inaccuracy involved into the similarity 20 relationships operating at the canopy top.

21

22 Under stable conditions, Eqs. (6) and (17) had reasonably good performance. The 23 RMSE values were good, but calibration would reduce the bias. Equation (6) was slightly better than (17). The R^2 values were low, however, for this experiment sensible heat fluxes 24 were within a narrow range, $-47.3 \le H \le 0.0 \text{ W m}^{-2}$, and most samples fell within the 25 measurement error. This makes the interpretation of the statistics listed in Table 4 difficult. 26 27

28 Overall, regardless of the measurement level, the method for estimating the friction velocity 29 and stability of the surface layer, Eqs.(6) and (20), which are based on ramp amplitude,

30 performed slightly better than those based on the standard deviation, Eqs.(17) and (19).

- 31
- 32

1 *Olive orchard experiment.* Regardless of the measurement level or stability conditions, the 2 equations performed well. The RMSE values obtained were small. The UE percentages for 3 Eq.(6) were higher than for Eq.(17), probably because it was already more accurate. The 4 same was observed for their free convection limit approaches All of the equations had small 5 intercept values, but the slopes for Eqs. (17) and (19) could potentially improve with 6 calibration. After calibration of Eqs. (17) and (19), the performance was similar to Eqs.(6) 7 and (20). Therefore, although potentially comparable, the equations based on ramp 8 amplitude resulted more reliable. 9 10 Overall results. For all crops analysed, all of the equations performed reasonably

11 well. However, the equations based on ramp amplitude were in general more accurate. The 12 fact that Eqs.(17) and (19) over wheat and the two orchards (nectarine and olive) showed 13 good performance indicates that Eq.(18) gave realistic results for homogeneous and some 14 heterogeneous canopies. It did not seem true for heterogeneous canopies, such as 15 grapevines. To test the Eq.(18) performance over grapevines requires measurements which 16 were unavailable.

17

18 3.2.3 The flux-variance method. Although mainly for Equation (24), the flux-variance 19 method has also been tested under non-ideal field conditions. Equations (22) and (24) were 20 analysed for the experiments over wheat and grapevines in Castellví [2004] and for the olive 21 orchard in Castellví and Martínez-Cob [2005]. The results obtained indicate that new Eqs. 22 (17) and (19), depending on the temperature standard deviation, were comparable or 23 performed better than (22) and (24), respectively. For the olive orchard experiment, under 24 stable atmospheric conditions, the flux-variance method was not applicable because the 25 similarity relationship, $g_2(\zeta)$, was uncertain [Castellví and Martínez-Cob, 2005]. Equation (17), however, performed reasonably well (Table 4) indicating it was robust relative to (22) 26 27 under conditions unfavourable to meeting similarity requirements. Equation (17) depends on 28 $g_2(\zeta)$ less than (22).

For the nectarine orchard, the H estimates from Eqs. (22) and (24) were often poor for values of H less than 70 W m⁻². This indicated that close to the canopy $g_2(\zeta)$ held better when thermal convection becomes important (i.e., turbulence tends to be decoupled from the surface). Equations (17) and (19) were mostly superior to (22) and (24) for H values below 95 W m⁻². Under stable atmospheric conditions, Eq. (22) exhibited poor regression statistics,

1	with slope, intercept and R^2 values of 0.42, -8.6 W m ⁻² and 0.07, respectively. The RMSE =
2	32.1 W m ⁻² and UE=91%, indicating that, even after calibration, Eq. (22) would be inferior.
3	
4	Figure 2 shows H values obtained with Eqs. (13) and (14) over rangeland grass
5	(Figures 2a and 2b), Eq. (19) for all the measurement heights over wheat and grapevines
6	(Figures 2c and 2d), Eqs. (19) and (20) over nectarine orchard (Figure 2e), Eq. (17) over the
7	olive orchard (Figure 2f). Figures showing the performance of Eq. (6) for the grass, wheat
8	and grapevines are published in Castellví [2004]. Estimates from Eqs. (6), (20) and (22) over
9	the olive orchard are provided by Castellví and Martínez-Cob [2005].
10	

1 4. SUMMARY AND CONCLUDING REMARKS

2

3 Based on SR analysis and similarity principles, two new equations (13) and (14) for 4 estimating sensible heat flux density when measurements are taken well above a canopy top 5 were presented. The new equations are based on Eq. (8) for estimating friction velocity. 6 Equation (8) was combined with three relationships that are valid for estimating the 7 temperature scale (T*) in the inertial sub-layer [Eqs. (9), (11) and (12)] giving Eqs. (13) and 8 (14). Equation (13) depends on the parameter of the temperature structure function and (14) 9 on the standard deviation of temperature. Their respective free-convection limits, Eqs. (18) 10 and (19), exhibited a weak dependence on the stability parameter under slightly unstable 11 conditions permitting sensible heat flux estimates from air temperature as the only input 12 under unstable conditions. Equation (14) was modified for operating above but close to the 13 canopy top, Eq. (17). The free convection limit for (17) also held for slightly unstable 14 conditions, Eq. (19).

15

16 In general, when measuring well above the canopy top, the new equations showed 17 excellent performance under unstable conditions. The results obtained suggest that Eqs. (13) 18 and (14) and their respective free convection limit expressions provide a practical technique 19 to use the SR analysis without the need for calibration. Equations (17) and (19) appear even 20 robust when measurements are made over rather heterogeneous canopies (nectarine and 21 olive orchards). The results were biased, however, for a very heterogeneous canopy 22 (grapevines). The new equations are less sensitive to similarity functions than are equations 23 (21) and (22) which are exclusively based on similarity principles. This is convenient since 24 similarity function may require site-specific calibration when similarity requirements are not 25 fully met such as when measuring over growing vegetation or close to the canopy. 26 Measuring close to the canopy top reduces fetch requirements and the need for tall 27 micrometeorological towers, making the campaigns more affordable. 28 29 Under stable conditions, the combined SR-similarity equations are superior to the

exclusively similarity-based Eqs. (21) and (22). The similarity functions performance,
however, still played a key role. This explain why Eq.(6) showed the best performance.

In conclusion, it was shown that the equations obtained as a result of combining SR analysis and similarity principles are more robust than those based solely on similarity either when measuring well above or close to the canopy top and over non-homogeneous canopies. Equation (6) and its free convection limit generally performed best. Equation (19) appeared attractive for field applications. It performed well and permits affordable battery-powered data loggers to record temperature and compute sensible heat flux density on–line.

7 8

9

5. ACKNOWLEDGMENTS

10 We gratefully acknowledge K.T Paw U, the associate editor and three reviewers for their 11 useful, constructive and competent suggestions. We thank Asun and Carla for their 12 assistance, D. Spano for the experiments over grass, wheat and grapevines, I. Ferreira for 13 supporting the collection of data over the nectarine orchard in Portugal, J. Faci, M. 14 Izquierdo, J. Gaudó and D. Mayoral for their field assistance for the olive orchard 15 experiment, and the owner of this orchard for his allowance of the experiment. We also 16 thank the University of California, Davis for providing facilities to analyse the data. This 17 work was supported by the Ministerio de Ciencia y Tecnología under the Spanish project REN2001-1630 CLI, the DURSI of the Generalitat of Catalunya and the University of 18 19 Lleida. Data from the grassland was supported by grants from the US Dept of Energy and 20 the California Agricultural Experiment Station.

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7			

1 7. APPENDIX A. Ramp parameters.

2

Structure functions, Eq. (A1), and the analysis technique, Eqs. (A2) to (A4), from Van Atta
(1977) were used to determine ramp amplitude, A:

5
$$S^{n}(r) = \frac{1}{m-j} \sum_{i=1+j}^{m} (T_{i} - T_{i-j})^{n}$$
 (A1)

6 where *m* is the number of data points in the 30-minute interval measured at frequency (*f*), *n* 7 is the power of the function, *j* is a sample lag between data points corresponding to a time-8 lag ($\underline{r}=j/f$), and T_i is the ith temperature sample. An estimate of the mean value for *A* is 9 determined by solving Eq. (A2) for the real roots

$$10 A^3 + pA + q = 0 (A2)$$

11 where

12
$$p = 10 S^{2}(r) - \frac{S^{5}(r)}{S^{3}(r)}$$
 (A3)

13 and

14
$$q = 10 S^{3}(r)$$
 (A4)

15 According to ramp-scheme in Figure A1, the relationship between the inverse ramp 16 frequency $(\tau = L_r + L_f)$ and ramp amplitude is [Chen et al. 1997a]

17
$$\frac{A}{\tau^{1/3}} = -\gamma \left(\frac{S^3(r_x)}{r_x}\right)^{1/3}$$
 (A5)

18 where r_x is the time lag r that maximizes $(S^3(r)/r)$ and γ is a parameter that corrects for the

19 difference between $A/\tau^{1/3}$ and $(S^3(r)/r)^{1/3}$ evaluated at r_x . Parameter γ varies by less than 25%

20 with respect to unity, (0.9-1.2) for the range of canopies in table A1. For bare soil and straw

21 mulch parameter γ mainly varies between (1 and 1.2), while for Douglas-fir Forest it mainly

varies between (0.9 and 1.1). Mean values for parameters
$$\gamma$$
 and r_x and the suitable

- 23 measurement frequencies, Hz, required for different canopies to solve A5 (i.e. to capture the
- 24 appropriate solution to A5 for most samples) are shown in Table A1.

- Table A1. Recommended mean values for γ , r_x (s) and sampling frequencies (Hz) for different canopies [Chen et al., 1997a]. 2 3

Canopy height	γ	Hz	r _x
Fir Forest, 16.7 m	1.001	5	0.833
Straw Mulch, 0.06 m	1.175	11	0.111
Bare Soil	1.104	26	0.066

1 8. APPENDIX B. Analysing the atmospheric stability dependence for estimating sensible

2 heat flux from Eqs. (13), (14) and (17). from air temperature measurements

3

The dependence on the stability parameter corresponding to the functions: $\phi^{-3/5}{}_{h}(\zeta)/(-\zeta)^{1/5}$ in 4 Eq.(6), $\phi^{-2/3}_{h}(\zeta) g_1^{-1/6}(\zeta)/(-\zeta)^{1/3}$ in Eq.(15) and $\phi^{-2/3}_{h}(\zeta) g_2^{-1/3}(\zeta)/(-\zeta)^{1/3}$ in Eqs.(16) and (19) is 5 shown in Figure 2B. Note that, as $S^{3}_{(IX)}$ is positive under stable conditions (Fig. 2B) these 6 7 functions are represented taking ζ instead of - ζ as being consistent with the sign of sensible 8 heat flux (H positive upwards). All functions show similar trends with respect to the stability 9 parameter, regardless of the stability conditions. Under unstable conditions, the three 10 equations present absolute minimum values with a weak dependence on the stability 11 parameter for a wide range of unstable conditions. This allows us to approximate the 12 stability functions in Figure 2B as constant. Reasonable relative errors are introduced in the 13 range $\zeta \leq 0.01$ when the functions in Figure 2B corresponding to Eqs. (6), (15), (16) and 14 (19) are approximated as constants with values of 2.4 (see equation 7), 2.6 and 2.6, 15 respectively. For example, when the stability parameter ranges between the intervals: -16 $0.1 \le \zeta \le -0.01$, $-0.75 \le \zeta \le -0.1$, and $-2 \le \zeta \le -0.75$, the corresponding respective mean relative errors obtained by this assumption are: 3.2%, 4.9% and 1.7% for Eq. (6), 14.6%, 17 4.7% and 0.4% for Eq. (15) and 14.2%, 3.2% and 5.7% for Eq. (16) or (19), respectively. 18 19 Under stable conditions the corresponding functions are highly dependent on the stability 20 parameter producing large errors if a constant is set to avoid dependence upon the stability 21 parameter.

22

Combining Eqs.(3) and (4), the following expression for parameter $[\alpha(k\beta)^2]^{1/3}$ is obtained 24

$$\left[\alpha \ (k\beta)^{2}\right]^{1/3} = \begin{cases} \left(\frac{k}{\pi}\right)^{1/2} \left(\frac{(z-d)}{z^{2/3}}\right)^{1/2} \left(\frac{\phi_{h}(\zeta)}{\tau u_{*}}\right)^{1/6} & z > z^{*} \frac{26}{27} \\ \left(\frac{k}{\pi}\right)^{1/2} \frac{z^{2/3}}{(z^{*})^{1/2}} \left(\frac{\phi_{h}(\zeta)}{\tau u_{*}}\right)^{1/6} & h \le z \le z^{*} \\ 29 \end{cases}$$
(B1)

For a wide range of surface layer atmospheric conditions, Eq. (B1) is weakly dependent on
the stability parameter through the stability function for heat due to its 1/6 power
dependence. Based on ramp frequency scales with wind shear, Chen et al. [1997b] scaled
1/(πu*) over z or (z-d) in the roughness and inertial sub-layers, respectively, through a

constant parameter, λ. Here, the scale to analyse Eq. (B1) was used as a generalized form
 depending on the stability parameter, λ_(ζ),

3

$$\left[\alpha \ (k\beta)^2 \right]^{1/3} = \begin{cases} \left(\frac{k}{\pi}\right)^{1/2} \left(\frac{(z-d)}{z}\right)^{1/3} \left(\lambda(\varsigma) \ \phi_h(\varsigma)\right)^{1/6} & z > z^* \ 5 \\ \left(\frac{k}{\pi}\right)^{1/2} \left(\frac{z}{z^*}\right)^{1/2} \left(\lambda^*(\varsigma) \ \phi_h(\varsigma)\right)^{1/6} & h \le z \le z^* \end{cases}$$
(B2)

8

9 where parameters, $\lambda_{(\zeta)} = (z-d)/(\pi u_*)$ and $\lambda_{(\zeta)}^* = z/(\pi u_*)$, denote generalized scales corresponding 10 for measurements made well above and close to the canopy, respectively. Hence, joining the 11 performance of the functions shown in Figure 2B and the dependence of equation (21) on 12 the stability parameter, it allows one to analyse the total dependence on the stability 13 parameter corresponding to Eqs. (15), (16) and (19). This is shown in the results.

14

15 4.2 Analysing the dependence on the stability parameter of scales $\lambda_{(\zeta)}$ and $\lambda_{*(\zeta)}$, parameter 16 $[\alpha(k\beta)^2]^{1/3}$ and sensible heat flux for equations (15), (16) and (19).

17

Figure B1 shows the scales $[\lambda_{(\zeta)}]^{1/3}$ and $[\lambda^*_{(\zeta)}]^{1/3}$ versus the stability parameter for each 18 19 canopy and measurement levels, except for the nectarines campaign because the friction 20 velocity was unavailable. Whether measurements were made well above or close to the 21 canopy top, both scales were rather insensitive to the stability conditions. When 22 approximating to neutral and stable conditions its value becomes uncertain. Regardless of 23 the measurement height above the canopy and type of canopy and according to these 24 experimental results, it is proposed to approximate these scales to a constant value of 0.75 25 under unstable conditions that corresponds to a rounded value for $\zeta \leq -0.025$ (Fig. B1). 26 When measuring close to the canopy top, Paw U et al. [1992] found the relationship: $1/\tau \sim a$ u_h/h , where u_h is the wind speed at the canopy top with height h with $a \sim 0.11$ over different 27 28 crops. Other values for $a \sim (0.11, 0.35)$ have also been reported depending on wind shear 29 [Shaw et al., 1995; Raupach et al., 1996]. As a general rule, a reasonable relationship 30 between friction velocity and wind speed measured in the roughness sub-layer is as follows: $u_* \sim u_{h/3}$ [Raupach et al., 1996], leading to the relationship for the scale, $\lambda^*_{(\zeta)} = h/(\tau u_*) \sim 3a \sim 10^{-10}$ 31 [0.33, 1.05]. Therefore, because $[\lambda^*_{(\zeta)}]^{1/3} \sim [0.69, 1.0]$, this interval is consistent with the 32

proposed value for the scale $[\lambda^*_{(\zeta)}]^{1/3}$. Chen et al.[1997b] assumed the scale $\lambda^*_{(\zeta)}$ to be independent of ζ , $\lambda^*_{(\zeta)} = \lambda^*$ and, from linear fit analysis, they obtained λ^* values of 0.4, 0.54 and 0.70, respectively, from data collected over bare soil at 0.03 m, straw mulch (0.06 m thick) at 0.09 m and Douglas-fir Forest (16.7 m high) at 23 m. Therefore, the scale $[\lambda^*]^{1/3} \sim$

- 5 [0.73, 0.88], is also in agreement with the values shown in Figure B1.
- 6

7 Under unstable conditions, as a consequence of approximating the scales $\lambda_{(\zeta)}$ and 8 $\lambda^{*}_{(\zeta)}$ as a constant from Eq. (B2), the dependence on the stability conditions attributed to the parameter $[\alpha(k\beta)^2]$ is through the relationship: $\phi^{1/2}{}_{h}(\zeta)$. Therefore, in Eq.(13) the total 9 stability parameter sensible heat flux densities expressed as in Eq. (15) dependence ison the 10 stability parameter through the stability function: $F_1(\zeta) = \phi^{-1/2} {}_{h}(\zeta) g_1^{-1/6}(\zeta)/(-\zeta)^{1/3}$. Similarly, 11 sensible heat flux density from equations (14) and (17) have a dependence on the stability 12 parameter through the function, $F_2(\zeta) = \phi^{-1/2}_{h}(\zeta) g_2^{-1/3}(\zeta)/(-\zeta)^{1/3}$. Figure B2 shows the 13 dependence on the stability parameter on functions $F_1(\zeta)$ and $F_2(\zeta)$. They show similar 14 15 pattern and a weak dependence on the stability parameter for a wide range of unstable 16 conditions indicating that the corresponding free convection limit is achieved under slightly 17 unstable conditions. Small relative errors are introduced when the stability parameters are 18 approximated as constants with values $F_1(\zeta) \sim F_2(\zeta) \sim 2.2$. For example, when the stability 19 parameter ranges in the interval, $\zeta \leq -0.1$, the respective mean relative errors obtained by this assumption are less than 10%. Equations (13), (14) and (17) may, therefore, provide 20 21 good estimates of sensible heat flux density under unstable conditions and require only air 22 temperature as an input.

23

24 Near neutral conditions, $F_1(\zeta)$ and $F_2(\zeta)$ sharply increase but Eqs. (13), (14) and (17) 25 tend to zero as does the third order structure function. Under stable conditions, the stability 26 functions $F_1(\zeta)$ and $F_2(\zeta)$ can also be approximated as constant for a wide range of the stability parameter: $1.0 \le \zeta$. Figure B2 shows, however, that the stability functions are 27 28 highly dependent on the stability parameter when $0 < \zeta \le 1.0$. Such performance combined with the uncertainty of scales $\lambda_{(\zeta)}$ and $\lambda^*_{(\zeta)}$. This point out the weakness for estimating 29 30 sensible heat flux under stable conditions when only using air temperature measurements. as 31 happened with equation (6). Under very stable conditions (e.g., $1.0 < \zeta$), relationships based 32 on Monin-Obukov similarity ($\phi_h(\zeta)$, $g_1(\zeta)$ and $g_2(\zeta)$) may be uncertain [De Bruin et al.,

- 1 1993]. It follows that Eqs. (13), (14) and (17) hold under moderate stable conditions and that
- 2 wind speed as well as temperature measurements are required becausefor their determining
- 3 stability parameter dependence.



Mean ramp amplitude. Specific heat of air at constant pressure. Temperature structure function parameter. Zero-plane displacement. Mean free space between roughness elements Acceleration due to gravity.
Empirical similarity-based relationship (valid in the
inertial sub-layer), Wyngaard et al. [1971].
Empirical similarity-based relationship (valid in the
inertial sub-layer), Tillman [1972],
$g_2(\zeta)$ valid in the roughness sub-layer. Canopy height. Sensible heat flux. Sensible heat flux measured with the eddy covariance. Von Kármán constant. Turbulent eddy diffusion for heat valid in the inertial sub-layer. Turbulent eddy diffusion for heat valid in the roughness sub-layer. Obukov length. Number of observations. Time lag that maximizes $(S^3(r)/r)$.
Root mean square error.

Systematic root mean square error.

Unsystematic root mean square error.

Determination coefficient. Structure functions for *SR* analysis, Equation (A1).

= a + b x) for the
in the inertial
in the roughness
C

1 FIGURE CAPTIONS

Figure A1. Ramp model with amplitude, A, and duration, τ, assuming a finite micro-front
duration, L_f. The quiescent time period is neglected.

- 4 5 Figure B1. The scales $[\lambda_{(\zeta)}]^{1/3}$ and $[\lambda^*_{(\zeta)}]^{1/3}$ versus the stability parameter for: (*a*) grass; (*b*) 6 wheat; (*c*) grapevines; (*d*) rangeland grass; and (e) olive orchard. All measurements 7 heights.
- 8

9 Figure B2. Composed similarity functions, $F_1(\zeta) = \phi^{-1/2}{}_h(\zeta) g_1{}^{-1/6}(\zeta)/(-\zeta){}^{1/3}$ in Eq.(13), and 10 $F_2(\zeta) = \phi^{-1/2}{}_h(\zeta) g_2{}^{-1/3}(\zeta)/(-\zeta){}^{1/3}$ in Eqs. (14) and (17) versus the stability parameter. Because 11 $F_1(\zeta)$ and $F_2(\zeta)$ are undistinguishable, the function $F = F_1(\zeta)$ is shown.

12

13 Figure 1. Performance of Eq. (8) estimating friction velocity (m s⁻¹) over: (a) grass; (b)

14 wheat; (c) grapevines; (d) rangeland grass; and (e) olive orchard, for all measurements

15 heights. The 1:1 line is introduced for comparison.

16

17 Figure 2. Estimated versus measured with the eddy covariance, Hec (in W m⁻²), sensible

- 18 heat flux density over: (a) rangeland grass with Eq. (13); (b) rangeland grass with Eq. (14);
- 19 (c) wheat; (d) grapevines using Eq. (19); (e) nectarine orchard using Eqs. (19) (circles) and
- 20 (20) (triangles); and (f) olive orchard using Eq.(17). For all measurements heights. The 1:1
- 21 line is introduced for comparison.

1 Table 1. Summary of the experimental sites. Instruments: T, thermocouple measuring 2 temperature at 8Hz; 1D, omni dimensional sonic anemometer measuring vertical wind 3 speed at 10 Hz; 3D, three dimensional sonic anemometer measuring the wind components and virtual temperature at 10 Hz; CA, cup anemometer measuring half-4

5 hour wind speed.

Descri	ption	Exp. 1	Exp. 2	Exp. 3	Exp. 4	Exp. 5	Exp. 6
Surface		Grass	WheatGrapeLvineyardg:		Land grass	Nectarines	Olives
Location		Davis, CA	Davis, CA	Oakville, CA	Ione, CA	Portugal	Spain
Canopy hei	ght (m)	0.10	0.70	2.00	0.25	3.20	3.50
Homogeneo	ous	Yes	Yes	No	Yes	No	No
		0.6	0.7	2.0		3.2	3.5
		0.7	1.0	2.3			5.1
	т	0.9	1.3	2.6			
	1	1.2		2.9			
T , ,		1.0					
Instrument		1.3					
heigth(m)			1.0	3.0		3.5	
	1D	3D1.0					
		1.5CA					
	3D				2.0		4.9
	CA	2.0	2.0	3.0			
Fetch (m)		50	Sufficient	Sufficient	Sufficient	Sufficient	Sufficient
Danas af II	(W_{m}^{-2})	-77 to	90 to 222	15 to 226	-78 to	17 to 261	-98 to
Kange of H	(wm)	125	80 10 322	43 10 320	473	-4/10/204	416
Half-hour	Stable	261	-	-	2179	57	1887
samples	Unstable	317	43	133	3843	69	1907

- 1 TABLE 2. Performance of Eq. (8) for estimation of friction velocity. a, regression slope; b
- $2 (m s^{-1})$, intercept of regression (the measured friction velocity was the independent
- 3 variable); R^2 , coefficient of determination; RMSE (m s⁻¹), root mean square error; UE,

		Simple 1	inear regr	Error statistics			
Surface	Level (m)	h		\mathbf{p}^2	RMSE	UE	
		D	a	ĸ	$(m s^{-1})$	(%)	
	0.6 ^u	-0.01	1.09	0.72	0.03	75	
	0.7^{u}	0.00	1.00	0.90	0.01	82	
	0.9 ^u	0.01	1.01	0.89	0.03	66	
Cross	1.0 ^u	0.04	0.94	0.91	0.01	46	
(0.1 m tall)	1.2 ^u	-0.03	1.29	0.58	0.05	59	
(0.1 m tan)	1.3 ^u	0.00	1.19	0.77	0.03	54	
	1.5 ^u	-0.03	1.13	0.91	0.02	82	
	All levels ^u	0.00	1.07	0.85	0.02	59	
	1.5 ^s	0.02	1.03	0.82	0.04	46	
Rangeland grass	2.0 ^u	0.01	1.13	0.96	0.02	53	
(0.25 m tall)	2.0 ^s	0.01	0.91	0.81	0.02	80	
	0.7^{u}	0.00	1.12	0.87	0.04	26	
W71+	$1.0^{\rm u}$	0.00	1.02	0.89	0.02	54	
wheat (0.7 m tall)	1.3 ^u	0.01	0.93	0.88	0.01	91	
(0.7 m tan)	All levels ^u	0.03	0.90	0.76	0.02	86	
	$1.3^{u(a)}$	0.01	0.73	0.88	0.05	3	
	2.0 ^u	0.00	1.17	0.98	0.08	8	
Course animene al	2.3 ^u	0.01	1.14	0.99	0.08	20	
Grape vineyard	2.6 ^u	0.01	1.11	0.98	0.07	34	
(2.0 m tall)	2.9 ^u	0.02	1.11	0.98	0.07	18	
	All levels ^u	0.01	1.13	0.98	0.08	19	
	3.5 ^u	0.01	0.90	0.96	0.05	0	
	5.1 ^u	0.00	1.02	0.96	0.03	0	
Olive orchard	All levels ^u	0.00	0.91	0.96	0.05	0	
(3.4 m tall)	3.5 ^s	0.00	0.92	0.96	0.04	0	
	5.1 ^s	0.00	1.05	0.95	0.03	0	
	All levels ^s	0.00	1.03	0.96	0.04	0	

4 unsystematic percentage of the mean square error (%).

5 6

^u Unstable conditions; ^s stable conditions.

7 ^(a) Estimates made assuming the level in the inertial sub-layer.

TABLE 3. Performance of Eqs. (6), (13), (14), (21) and (22) and their respective free convection limit approaches, Eqs. (20), (18), (19), (23) and (24), for estimation of H from measurements at the inertial sub-layer. a, regression slope; b, intercept of regression (W m⁻²) (measured H, independent variable); R², determination coefficient; RMSE, root mean square error (W m⁻²); UE, unsystematic percentage of the mean square error (%).

Grass (0.1m tall)																									
Equation	1 :	(6) (13)								(14) (21)						(22)									
Level	а	b	\mathbf{R}^2	RMS	E UE	a	b	\mathbf{R}^2	RMSI	E UE	а	b	R ²	RMSE	E UE	а	b	\mathbf{R}^2	RMSE	UE	а	b	\mathbf{R}^2	RMSE	UE UE
0.6 ^u	0.96	0.2	0.97	5.3	92	1.07	-8.3	0.81	14.7	89	1.09	-8.2	0.80	14.8	91	1.10	4.7	0.85	11.6	93	1.15	4.1	0.85	11.8	76
0.7^{u}	0.97	-1.1	0.96	3.1	90	1.08	-4.8	0.84	3.8	48	1.10	-4.3	0.85	3.5	57	1.00	-0.6	0.98	0.3	88	0.99	-1.6	0.98	1.3	12
0.9 ^u	1.00	0.5	0.98	4.9	92	1.07	-8.1	0.88	11.8	86	1.09	-7.9	0.89	11.6	89	1.05	2.5	0.85	13.1	98	1.09	2.0	0 87	14.1	88
1.0 ^u	0.98	4.3	0.93	4.2	89	1.27	-11.9	0.83	5.5	19	1.29	-10.1	0.83	5.3	24	1.03	-0.4	0.50	4.4	95	1.01	-1.4	0.49	4.1	88
1.2 ^u	1.00	7.0	0.95	7.3	94	1.09	-11.1	0.85	15.7	84	1.11	-11.5	0.85	15.5	86	0.95	0.5	0.80	15.1	94	1.00	0.0	0.80	15.7	99
1.3 ^u	1.11	10.1	0.88	4.2	79	1.36	-13.2	0.76	6.2	38	1.39	-12.5	0.77	6.8	24	0.97	- 3.5	0.82	4.5	95	0.85	-4.5	0.82	4.4	94
1.5 ^u	0.97	5.7	0.83	12.9	87	1.01	18.2	0.53	19.3	79	1.04	17.2	0.51	19.9	78	0.86	-11.9	0.66	15.2	85	0.85	-10.5	0.65	17.3	77
All levels ^u	¹ 0.99	2.8	0.90	8.1	86	0.81	8.2	0.69	16.4	79	0.80	7.7	0.68	16.8	79	0.86	-5.5	0.77	14.7	87	1.01	-6.5	0.78	13.0	79
1.5 ^s	1.00	-7.7	0.85	3.9	85	0.92	3.2	0.46	9.5	71	1.14	5.2	0.42	13.2	70	0.70	-21.5	0.12	23.0	53	0.68	-25.8	0.00	29.5	31
										Ra	ngelaı	nd gras	ss (0.2	5 m tall	l)										
2.0 ^u	1.02 -	-10.1	0.95	26.1	96	0.98	2.3	0.95	33.6	95	0.87	1.1	0.92	38.2	53	0.87	-15.5	0.93	76.8	41	1.10	-5.1	0.9	3 49.5	39
2.0 ^s	0.56 -	-20.1	0.29	11.3	84	0.44	-17.5	5 0.22	13.1	87	0.47	-20.3	0.20	15.1	88	0.36	-9.5	0.12	2 14.1	97	0.30	-57.	5 0.0	0 73.3	3 99
											C	(0	1 /	11\											
.								(10)			GI	ass (0	Im ta	11)				(00)					• •		
Equation	1:		(20)					(18)					(19)					(23)				(24)		
0 (1)			0.01		-0			0.07					0.07		•			- -		• •	~ ~ -		. = 2		
$0.6^{\rm u}$	0.98	-8.6	0.81	15.3	78	0.93	-8.1	0.86	11.4	81	0.84	-7.2	0.86	11.7	38	1.10	5.5	0.78	23.4	23	0.87	7.2	0.73	21.9	44
0.7"	1.04	-5.5	0.92	5.2	91	1.10	3.5	0.83	2.6	93	0.95	1.8	0.83	1.4	37	1.00	9.3	0.83	7.5	13	0.87	4.5	0.92	5.9	-7
0.9 ^a	1.00	0.5	0.83	12.7	99	0.85	-7.9	0.90	8.3	81	0.90	-8.8	0.90	10.8	72	0.99	4.0	0.75	20.5	37	0.87	4.1	0.72	17.8	56
1.0 ^u	1.07	1.0	0.88	9.2	95	0.94	-1.2	0.83	2.3	95	0.96	-2.6	0.80	5.2	-///	1.07	8.5	0.91	4.2	27	1.02	3.4	0.48	6.8	70
1.2 ^u	1.03	-0.2	0.78	15.7	99	0.91	-8.2	0.90	8.5	68	1.00	-10.6	0.90	15.6	37	0.95	3.3	0.79	18.1	46	0.81	2.1	0.73	17.5	55
1.3 ^u	1.17	11.2	0.77	7.7	21	0.86 –	10.5	0.67	4.6	67	0.89	-14.4	0.59	13.8	84	0.86	4.5	0.82	4.2	58	0.85	-1.4	0.77	5.9	61
1.5 °	1.10	12.2	0.64	15.8	27	0.90 –	16.4	0.75	15.0	62	0.86	-13.5	0.69	13.2	84	0.86 -	-32.0	0.04	39.5	82	1.14	-12.8	0.72	13.2	84
All levels	1.01	4.6	0.72	16.4	85 1	.02	-8.9	0.80	13.4	83	0.95	-8.6	0.80	11.8	73	0.83	-8.0	0.35	29.5	75	0.90	-3.7	0.77	12.3	87
										p,	ngelar	nd area	s (0 2	5 m tall	n										
										N.	ingeral	iu gras	55 (0.2	5 m tan	9										

2.0	1.02	1211 0120 2110	<i>,</i> .	1.01	0	-0.7 70	1.07	1.0	0.70	21.2 0)	0.02 1		20.1		1.0 /	1,	0.70 101.2	
$2.0^{\rm u}$	1.02	12.1 0.95 31.8	91	1.01	40 096	26.9.98	1.07	76	0.96	21.2 89	0.62 -1	1 0.88	90.7	23	1 37	17	0.93 131 2	14

^u unstable conditions; ^s stable conditions.

TABLE 4. Performance of Eqs. (6) and (17) and of their respective free convection limit approaches, Eqs. (20) and (19), in estimating H from measurements taken in the roughness sub-layer. It is shown the slope, a, intercepted, b (in W m⁻²), from the linear fitting (the measured H was the independent variable), the determination coefficient, R^2 , the root mean square error, RMSE (W m⁻²) and its unsystematic portion, UE (in %).

Wheat (0.7 m tall)																				
Equation:	(6) (17)									(20)					(19)					
Levels (m)	а	b	\mathbf{R}^2	RMSE	UE	а	b	R^2	RMSE	UE	а	b	\mathbf{R}^2	RMSE	UE	а	b	\mathbf{R}^2	RMSE	UE
0.7 ^u	1.07	12.1	0.74	58.3	99	1.05	-0.7	0.79	42.1	87	0.94	15.7	0.66	47.3	99	1.08	-0.8	0.77	45.1	80
1.0 ^u	1.00	3.5	0.77	45.7	89	1.04	-4.4	0.79	45.5	83	0.92	5.5	0.73	41.7	86	1.08	3.0	0.77	47.5	74
1.3 ^u	0.99	2.5	0.76	42.4	87	0.97	1.6	0.82	31.0	98	0.90	-2.4	0.78	44.4	81	1.00	-2.0	0.80	34.6	99
All levels ^u	1.02	-2.0	0.70	49.4	99	1.04	-11.0	0.79	39.2	99	0.96	-4.5	0.70	44.4	89	1.09	-10.5	0.77	42.5	88
1.3 ^u *	0.69	-0.5	0.76	89.1	14	0.74	-3.0	0.82	91.6	4	0.67	-1.7	0.78	90.4	8	0.63	-1.3	0.80	114.6	4
Grape Vineyard (2 m tall)																				
2.0 ^u	1.06	6.5	0.93	29.0	56	1.15	45.2	0.91	80.9	11	0.94	57.4	0.90	52.4	20	0.93	106.2	0.60	108.4	27
2.3 ^u	1.09	-5.1	0.93	27.0	71	1.11	30.8	0.88	62.6	25	1.01	41.4	0.88	52.7	27	1.00	93.4	0.60	113.2	29
2.6 ^u	1.02	-4.4	0.94	21.0	98	1.05	27.1	0.91	46.2	31	0.98	39.3	0.90	42.9	31	0.96	92.1	0.61	102.8	32
2.9 ^u	1.07	-8.4	0.94	20.6	85	1.06	33.0	0.90	53.5	27	1.02	42.2	0.90	53.7	23	0.97	101.9	0.60	114.2	28
All levels ^u	1.06	-2.7	0.93	24.7	73	1.09	34.2	0.87	62.2	21	0.99	45.0	0.89	50.6	25	0.97	98.3	0.60	109.7	29
								Necta	arine orc	hard (3.2 m t	all)								
3.2 ^u	-	-	-	-	-	-	-	-	-	-	0.94	-10.2	0.93	18.3	21	0.75	23.5	0.85	25.5	47
3.2 ^{u 1}	0.93	-10.2	0.91	22.0	23	0.86	20.3	0.71	30.9	87	-	-	-	-	-	-	-	-	-	-
3.2 ^{u 2}	0.97	16.8	0.93	25.6	45	0.85	31.4	0.85	22.0	62	-	-	-	-	-	-	-	-	-	-
3.2^{2s}	1.00 -	-13.4	0.30	21.3	60	1.03	-17.6	0.14	28.2	78	-	-	-	-	-	-	-	-	-	-
								Oli	ve orcha	ard (3.	4 m tal	1)								
3.5 ^u	1.07	13.2	0.93	35.4	62	1.32	8.5	0.89	41.5	27	1.03	10.8	0.85	39.8	82	1.14	8.0	0.85	50.6	79
5.1 ^u	0.91	5.6	0.93	23.4	91	1.11	4.4	0.90	30.1	48	0.92	3.0	0.87	31.0	86	1.06	1.6	0.88	34.3	88
All levels ^u	1.01	3.2	0.94	28.1	89	1.18	4.0	0.90	32.7	41	0.99	3.5	0.87	34.2	97	1.08	3.5	0.87	40.3	82
3.5 ^s	1.15	1.7	0.98	19.5	13	1.25	-0.5	0.77	12.4	65	-	-	-	-	-	-	-	-	-	-
5.1 ^s	1.09	0.7	0.83	7.6	99	1.13	0.4	0.78	8.3	77	-	-	-	-	-	-	-	-	-	-
All levels ^s	1.11	0.5	0.87	10.6	89	1.19	0.0	0.78	10.3	72	-	-	-	-	-	-	-	-	-	-

Superscripts, s and u denote stable and unstable conditions, respectively.

* Estimates were made assuming the level in the inertial sub-layer. Friction velocity determined as; (1) $u_* = z/[0.42 \tau]$ and (2) $u_* = [\langle w'^2 \rangle / 1.7]^{0.5}$.



Figure A1







Figure B1b.



Figure B1c.



Figure B1d.



Figure B1e.



Figure B2.



Figure 1a.



Figure 1b



Figure 1c.







Figure 1e.



Figure 2a.



Figure 2b.



Figure 2c.







Figure 2e.



Figure 2f.