Original Article

### Increased risk of second primary tumours in patients with oesophageal squamous cell carcinoma: A nationwide study in a Western population

United European Gastroenterology Journal 0(0) 1-11 © Author(s) 2020 © ① ③

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#### Abstract

**Background:** Patients with primary oesophageal squamous cell carcinoma are at risk of developing multiple primary tumours in the upper aero digestive tract. To date, most studies are performed in the Asian population. We aimed to evaluate the risk of multiple primary tumours in the upper aero digestive tract and stomach in patients with oesophageal squamous cell carcinoma in a Western population.

**Methods:** We performed a nationwide, retrospective cohort study in collaboration with the Netherlands Cancer Registry. Patients with primary oesophageal squamous cell carcinoma, diagnosed between 2000–2016, were included. Primary endpoints were synchronous and metachronous multiple primary tumour risk.

**Results:** The cohort consisted of 9058 patients, diagnosed with oesophageal squamous cell carcinoma (male: 57.3%, median age 67 years). In 476 patients (5.3%), 545 multiple primary tumours have been diagnosed. Most of them were located in the head and neck region (49.5%). Among all multiple primary tumours, 329 (60.4%) were diagnosed synchronously (<6 months after oesophageal squamous cell carcinoma diagnosis) and 216 (39.6%) metachronously ( $\geq 6$  months). Patients with oesophageal squamous cell carcinoma had a significantly increased risk of both synchronous (standardised incidence ratio 10.95, 99% confidence interval 9.40–12.53) and metachronous multiple primary tumours (standardised incidence ratio 4.36, 99% confidence interval 3.56–5.10), compared to the general population. The median interval to metachronous second primary tumour diagnosis was 3.0 years (interquartile range 1.8–5.9).

**Conclusion:** Approximately one in 20 patients with primary oesophageal squamous cell carcinoma have a second primary tumour in the upper aero digestive tract or stomach, either at the time of oesophageal squamous cell carcinoma diagnosis or at a later stage. As second primary tumours occur at an increased risk compared to the general population, prospective studies are necessary to investigate the yield and survival benefit of screening for second primary tumours in patients with oesophageal squamous cell carcinoma.

#### **Keywords**

Oesophageal neoplasms, head and neck neoplasms, lung neoplasms, multiple primary neoplasms, second primary neoplasms

Received: 27 July 2020; accepted: 4 November 2020

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#### **Key summary**

- A minimum of one out of 20 patients with oesophageal squamous cell carcinoma (ESCC) in a Western population develops multiple primary tumours (MPTs).
- Most MPTs were detected in the head and neck region, and were detected synchronously with a higher risk than in the general population.
- Screening for both synchronous and metachronous MPTs in patients with primary ESCC should be considered.

#### Introduction

Squamous cell carcinoma is the most common histologic type of oesophageal cancer worldwide, and has the highest incidence in Eastern Asia.<sup>1,2</sup> Multiple primary tumours (MPTs) frequently develop in patients with oesophageal squamous cell carcinoma (ESCC), especially in the upper aero digestive tract (UADT).<sup>3,4</sup>

Since survival of patients with oesophageal cancer has improved over the last years due to better treatment options, the risk of developing MPTs may increase.<sup>5</sup> These MPTs affect the prognosis and survival of patients with ESCC, and the choice of ESCC treatment in case of synchronous second primary tumour (SPT) detection.<sup>6</sup> It is therefore important to detect MPTs at an early stage, when curative treatment is still possible.

The development of MPTs in the UADT particularly occurs in patients with squamous cell carcinomas, and can be explained by the 'field cancerization' theory.<sup>7</sup> This theory states that premalignant epithelial changes can occur around the primary tumour, due to exposure to common carcinogens.<sup>7</sup> Well-known carcinogens for the development of both ESCC and MPTs, especially in the head and neck region and lungs, are tobacco and alcohol.<sup>8,9</sup>

In retrospective studies, up to 19.3% of patients with primary ESCC develop MPTs in the UADT.<sup>3,10–13</sup> Most studies consider the head and neck region, lungs and oesophagus as the UADT, the stomach is another important region to be at risk for MPT development.<sup>3,12,14,15</sup> Most studies about MPT development, however, are performed in Asian populations. There is a lack of Western studies about MPT incidence in ESCC patients.

We therefore conducted a nationwide, retrospective, registry study of patients with primary ESCC to determine the risk of developing MPTs in the UADT and stomach, in a Western country.

#### Materials and methods

#### Patient and study design

We conducted a nationwide, retrospective, registry study in collaboration with the Netherlands Cancer

Registry (NCR; nationwide registry of all cancers). Adult patients diagnosed with ESCC between 1 January 2000–31 December 2016 were selected from the NCR. Patients were excluded when ESCC was not the index tumour; defined as another tumour in the UADT or stomach which was diagnosed >180 days prior to ESCC diagnosis. This study was approved by the Medical Ethical Review Committee of the Erasmus Medical Centre (MEC-2018-1631) on 7 January 2019. The study protocol conforms to the ethical guidelines of the 1975 Declaration of Helsinki as reflected in a priori approval by the institution's Human Research Committee.

#### Data collection

Anonymous patient data were obtained from the NCR. The following data were available and collected: year and age at ESCC diagnosis, sex, ESCC tumour characteristics, the presence of metastases and ESCC treatment. Tumour characteristics included histology, location in the oesophagus (cervical part; <18 cm from the incisors, upper third; 18-24 cm from the incisors, middle third; 24-32 cm from the incisors, lower third of the oesophagus; 32-40 cm from the incisors, or overlapping locations; between two parts of the oesophagus), differentiation grade, clinical and histopathological Tumour, Node, Metastasis (TNM) stage according to the 5th (2000-2002), 6th (2003-2009) and 7th (2010-2016) TNM stage classification.<sup>16-18</sup> For final analysis, we converted all different TNM classifications into the 7th TNM classification. cM1A classification was considered as M0 according to the 7th TNM classification. Information about vital state (alive or not) was collected until 31 January 2018.

We collected the following data of patients with MPTs: MPT location in the UADT (defined as: head and neck region, lungs and oesophagus) and in the stomach, age at MPT diagnosis, year of MPT diagnosis, time between ESCC and MPT diagnosis, tumour characteristics and MPT treatment. No information was available about how MPTs were detected (e.g. CT-scan, endoscopy). Diagnosis of MPTs was based on information in medical records in accordance with the Warren and Gates criteria: an MPT (a) must be malignant on histological examination, (b) must be separated from the index tumour by normal mucosa, and (c) may not be a metastasis of the index tumour.<sup>19</sup> An MPT was defined as synchronous when it developed within 6 months before or after ESCC diagnosis, and as metachronous when it developed  $\geq 6$  months after ESCC diagnosis. This six month cut-off value was used in most other studies about MPT development in the UADT.<sup>20–22</sup> Pathology information was available in the NCR to verify that MPTs were not metastases of the primary tumour. All MPTs were identified, also whether patients had more primary tumours (second, third etc.). The first MPT was also called the SPT. During the study period of 16 years, no MPT screening programmes were performed.

#### Study endpoints

The primary endpoint was the risk of MPTs in patients with primary ESCC compared with the general population. Secondary endpoints were; (a) MPT localization in the UADT and stomach, (b) MPT histology, (c) the proportion of synchronous and metachronous MPTs, (d) the cumulative incidence of SPTs, (e) the difference in survival between ESCC patients with low (stage 0/I/II) and high (stage III/IV) stage metachronous SPTs, and (f) risk factors associated with MPT development.

#### Statistics

Continues variables were expressed as mean (±standard deviation (SD)) and median (interquartile range (IQR) and range) for normally and skewed distributed variables, respectively. For risk factor analysis, a multivariate Cox proportional hazards model was used. Hazard ratios (HRs) and 95% confidence intervals (CIs) with a time-dependent covariate (follow-up time until development of an SPT, death or the last date of follow-up) were calculated in this model.

To assess the MPT risk in patients with primary ESCC, the standardised incidence ratio (SIR) was calculated with 99% CIs, assuming a Poisson probability distribution for the occurrence of SPTs. The SIR was defined as the total number of observed MPTs (the study cohort) divided by the total number of expected cancers in that same group of patients based on the specific age, gender, year and type of cancer incidence in general population. The SIR was calculated for different groups, separately for synchronous or metachronous MPTs, and stratified in accordance with sex, cancer location, age in 20 year intervals and cancer treatment. Cancer incidence data from the general population were acquired from the NCR. The cumulative incidence of SPTs was estimated with death as competing risk. STATA (v.14) was used for this analysis.

Survival analysis was determined by Kaplan-Meier analysis, and we performed log-rank analysis using SPSS. SIRs were calculated with SAS 9.2 software (SAS Institute Inc., Cary, North Carolina, USA), all other analyses were carried out using IBM SPSS version 25. For SIRs, a two-sided test with a *p*-value of <0.01 was considered significant. For all other analysis, a two-sided test with a *p*-value of <0.05 was considered significant.

#### Results

#### Patient characteristics

We identified a total of 9810 patients with ESCC diagnosis between 1 January 2000–31 December 2016 in the NCR. In total, 752 patients were excluded because ESCC was not the index tumour (Figure 1). The study cohort consisted of 9058 patients with primary ESCC. The median age at ESCC diagnosis was 67 years (IQR 60–75), most patients were male (57.3%) (baseline characteristics are shown in Table 1). The total follow-up time of 9.8 months (IQR 4.2–23.8 months). The median survival after ESCC diagnosis was 9.9 months (IQR 9.6–10.3). The overall five-year survival rate of the total cohort was 15.9%.

#### ESCC characteristics

The majority of ESCCs were located in the middle (36.4%) and lower third (38.7%) of the oesophagus (Table 1). ESCC tumour stage was low in 26.3% and high in 55.7% of patients. Pathological assessment of ESCCs revealed good or moderate differentiation grade (G1/G2) in 33.5%, and poor or undifferentiated grade (G3/G4) in 28.7%. In total, 2372 patients (26.2%) had distant metastases at time of diagnosis (cM stage). In total, 2163 patients (23.9%) were treated with radiotherapy for ESCC, 1812 patients (20.0%) with chemo-radiotherapy and 1288 (14.2%) patients received neoadjuvant therapy followed by surgery.

#### **MPTs**

A total of 545 MPTs were registered in 476 (5.3%) patients. Of these 476 patients, 50 patients developed a third primary tumour, 16 patients a fourth primary tumour, and one patient developed another fifth, sixth and seventh primary tumour (Figure 1). Of all MPTs (545), 329 (60.4%) were diagnosed synchronously and 216 (39.6%) metachronously (Table 2). The majority of both synchronous and metachronous MPTs were located in the head and neck region (270/545; 49.5%)

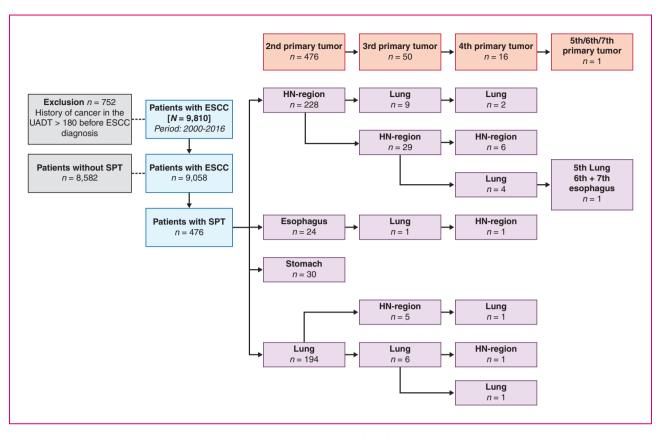


Figure 1. Flow-chart patient selection and multiple primary tumour (MPT) development. ESCC: oesophageal squamous cell carcinoma; HN: head and neck; SPT: second primary tumour; UADT: upper aero digestive tract.

and lungs (219/545; 40.2%). MPT tumour stage was low (stage 0/I/II) in 39.6%, and high (stage III/IV) in 43.5% of the tumours, which was roughly the same for synchronous and metachronous MPTs. Of all MPTs, 160/545 (29.4%) were treated with radiotherapy and 170/545 MPTs (31.2%) did not receive any treatment. Squamous cell carcinoma (337/545; 61.8%) was the most prevalent histologic MPT type (Table 2).

#### SIRs

In total, 545 MPTs were detected during the observation period. Patients with ESCC had a significantly increased risk of both synchronous (SIR 10.95, 99% CI 9.40–12.53) and metachronous MPTs (SIR 4.36, 99% CI 3.56–5.10) compared to the general population (Table 3). Sub-analyses showed that patients with ESCC had the highest risk of developing synchronous (SIR 36.33, 99% CI 29.44–44.30) and metachronous (SIR 14.17, 99% CI 10.41–17.52) MPTs in the head and neck region. Patients aged 41–60 years at ESCC diagnosis had a highest SIR of 32.07 (99% CI 24.71– 40.77) for developing synchronous MPTs, and patients aged 18–40 years at ESCC diagnosis had a highest SIR of 70.87 (99% CI 2.72–329.97) for developing metachronous MPTs. In order to determine whether radiotherapy had influence on MPT development compared to other treatments, we stratified the MPT risk for different treatment groups. The MPT risk was high for all different treatment groups compared to the general population. We were unable to address any influence of previous radiotherapy on MPT development; of patients who developed metachronous MPTs >10 years after ESCC diagnosis (n = 15), only four patients received radiotherapy for ESCCs. For all sub-analyses, females had the highest SIR compared to males (Table 3).

#### Metachronous SPT

Of all patients who were alive 6 months after ESCC diagnosis (n = 5715), 191 patients developed metachronous MPTs. Of these patients, 16 had already developed a synchronous SPT. In total, 175 patients developed a metachronous SPT. Figure 2 shows the cumulative incidence of metachronous SPTs. Fifteen years after ESCC diagnosis, the cumulative incidence of metachronous SPTs was 19.7%. Cumulative incidence of different SPT sub-locations is shown in the Supplementary Material Tables S1–S4, Figures S1

Characteristics	( <i>n</i> = 9058)
Gender, n (%)	
Male	5193 (57.3%)
Median age (years) (IQR)	67 (60–75)
Follow-up	
Person-years at risk (years)	17,072
Median follow-up (months) (IQR)	9.8 (4.2–23.8)
Vital status (31-01-2018), <i>n</i> (%)	
Alive	1358 (15.0%)
Dead	7700 (85.0%)
Overall survival after ESCC diagnosis (months)	
Median survival (95% CI)	9.9 (9.6–10.3)
Anatomical sub-location, n (%)	
Cervical oesophagus	276 (3.0%)
Upper third oesophagus	1236 (13.6%)
Middle third oesophagus	3301 (36.4%)
Lower third oesophagus	3501 (38.7%)
Oesophagus overlapping or unspecified	744 (8.3%)
ESCC clinical tumour stage, n (%)	
0	73 (0.8%)
1	591 (6.5%)
2	1717 (19.0%)
3	2428 (26.8%)
4	2620 (28.9%)
Missing	1629 (18.0%)
Differentiation grade, n (%)	
Grade 1 (good)	298 (3.3%)
Grade 2 (moderate)	2,739 (30.2%)
Grade 3 (poor)	2,594 (28.6%)
Grade 4 (undifferentiated)	8 (0.1%)
Missing	3419 (37.8%)
Distant metastases at diagnosis (cM stage), $n$ (%)	2372 (26.2%)
ESCC treatment, n (%)	110 (1 20/)
Endoscopic resection	116 (1.3%)
Surgical resection	734 (8.1%)
Chemotherapy	479 (5.3%)
Radiotherapy Chamatherapy	2163 (23.9%)
Chemotherapy + radiotherapy	1812 (20.0%)
neoadjuvant chemotherapy + surgery	267 (2.9%)
Chemotherapy +radiotherapy + surgery	1021 (11.3%)
Surgery + radiotherapy Other <sup>a</sup>	25 (0.3%)
No therapy	61 (0.7%) 2380 (26.2%)
но спотару	2300 (20.270)

 Table 1. Baseline and tumour characteristics of patients with oesophageal squamous cell carcinoma (ESCC).

CI: confidence interval; IQR: interquartile range.

<sup>a</sup>Other treatment combinations with either endoscopic or surgical resection combined with (neo)adjuvant chemo and/or radiotherapy.

and S2. The median time between ESCC diagnosis and metachronous SPT diagnosis was 3.0 years (IQR 1.8–5.9). The median time between ESCC and metachronous head and neck SPT diagnosis was 2.8 years (IQR 2.2–3.4) and for lung SPT diagnosis 3.2 years (IQR 1.9–4.5). SPT stage was high (stage III/IV) in 57.4% of the patients with metachronous SPTs. These patients had a significantly worse two-year survival after SPT

diagnosis than low stage SPTs (stage 0/I/II) (15.1% vs 51.9%, p < 0.01) (Figure 3).

## Cumulative incidence of MPTs in patients with low-stage ESCC

Subgroup analysis was performed in patients with lowstage ESCC (n = 2381). The 15-year cumulative

Characteristics	Total (545)	Synchronous (329)	Metachronous (216)
MPT location, n (%)			
Head and neck region	270 (49.5%)	167	103
Lung	219 (40.2%)	123	96
Oesophagus	26 (4.8%)	14	12
Stomach	30 (5.5%)	25	5
Histology, n (%)			
Squamous cell carcinoma	337 (61.8%)	196	141
Adenocarcinoma	79 (14.5%)	50	29
Carcinoid	2 (0.4%)	2	0
Neoplasm and carcinoma (unspecified)	127 (23.3%)	81	46
Tumour stage, <i>n</i> (%)			
0	11 (2.4%)	6	5
1	149 (32.9%)	86	63
2	56 (12.4%)	37	19
3	65 (14.3%)	38	27
4	172 (38.0%)	94	78
Missing	92	68	24
MPT treatment , n (%)			
Endoscopic resection	20 (3.7%)	8	12
Surgical resection	60 (11.0%)	32	28
Chemotherapy	45 (8.3%)	22	23
Radiotherapy	160 (29.4%)	90	70
Chemotherapy + radiotherapy	72 (13.2%)	54	18
Chemo + surgery	1 (0.2%)	1	0
Chemo + radio + surgery	2 (0.4%)	2	0
Surgery + radio	13 (2.4%)	5	8
Surgery + chemo + radio	1 (0.2%)	1	0
Endoscopic resection + radio	1 (0.2%)	0	1
No treatment	170 (31.2%)	114	56

Table 2. Tumour characteristics of all multiple primary tumours (MPTs) (545).

incidence for this subgroup was 21.7% (Supplementary Material Table S5 and Figure S3).

#### Predictive factors for MPTs

Multivariable Cox proportional hazard analysis showed that being male (HR 1.59, 95% CI 1.29–1.97, p < 0.001), age <70 years (HR 1.51, 95% CI 1.19–1.90, p = 0.001), and having a low ESCC tumour stage (stage 0/I/II) at diagnosis (HR 1.50, 95% CI 1.19–1.89, p = 0.001) were independent predictors for MPT development (Table 4). Analysis with age (HR 0.99, 95% CI 0.98–0.10, p = 0.022) and tumour stage (HR 0.73, 95% CI 0.64–0.82, p < 0.001) as continues variables did not alter the outcomes.

#### Discussion

We determined the risk of developing MPTs in the UADT and stomach in patients with primary ESCC. Our study shows that a minimum of one out of 20 patients with primary ESCC develops an SPT, with a 15-year cumulative metachronous SPT incidence of 19.7%. Which means that approximately one in five

ESCC patients who survive longer than 6 months will develop an SPT within 15 years. The risk of developing synchronous (SIR 10.95) and metachronous MPTs (SIR 4.36) among patients with primary ESCC was increased compared to the general population, with the highest risk of developing MPTs in the head and neck region. Risk factors associated with MPT development are being male, age <70 years and low ESCC tumour stage.

In retrospective studies, the incidence of MPTs localised the UADT among patients with primary ESCC ranged between 1.9-19.3%.<sup>3,10-13</sup> Most studies are performed in the Asian population, where the MPT incidence is reported to be >10%.<sup>3,11,13,23</sup> Studies performed in a Western population reported lower MPT incidences (1.9–6.3%), which is in accordance with our findings.<sup>12,24,25</sup> The difference in MPT incidence between Asian and non-Asian populations could possibly be explained by a difference in aetiology. While the aetiology of ESCC and MPTs in the UADT is clearly linked to smoking and alcohol intake in a Western population, the aetiology in an Asian population is also linked to a poor nutritional status.<sup>26,27</sup>

Characteristics	Observed ( <i>n</i> )	Expected ( <i>n</i> )	SIR (99% CI) total	SIR (99% CI) male	SIR (99% CI) female
Synchronous MPTs					
All cancers	329	30.05	10.95 (9.40-12.53)	9.82 (8.20-11.65)	14.07 (10.79–17.98)
Head and neck	164	4.51	36.33 (29.44-44.30)	34.69 (27.05-43.76)	41.51 (27.29-60.29)
Lungs	123	19.17	6.42 (5.02-8.06)	5.35 (3.90-7.14)	9.48 (6.29-13.66)
Stomach	25	3.16	7.92 (4.43-12.99)	7.75 (3.84013.83)	8.37 (2.40-20.52)
Oesophagus	17	4.04	4.21 (2.04-7.63)	3.14 (1.00-7.30)	10.64 (3.05-26.08)
Age at ESCC diagnosis (years)					
41-60	110	3.43	32.07 (24.71-40.77)	28.26 (20.21-38.35)	40.86 (26.43-60.09)
61-80	202	22.57	8.95 (7.41-10.70)	8.15 (6.49-10.09)	11.56 (8.10-15.95)
>80	17	4.04	4.21 (2.04–7.63)	4.99 (2.21–9.56)	2.44 (0.25-8.96)
Treatment ESCC			· · · ·	· · · ·	· · · ·
Chemo + radiotherapy	100	9.85	10.15 (7.73-13.08)	9.25 (6.58-12.61)	12.53 (7.68–19.21)
Chemotherapy	22	2.15	10.23 (5.47–17.31)	7.99 (3.54–15.32)	20.09 (6.39-46.71)
Radiotherapy	88	8.59	10.24 (7.75–13.55)	9.49 (6.69–13.03)	13.34 (7.56–21.69)
No chemo- or radiotherapy	119	9.48	12.60 (9.98–16.08)	11.72 (8.65–15.48)	15.74 (10.00-23.48)
Metachronous MPTs			, , , , , , , , , , , , , , , , , , ,	· · · · ·	· · · ·
All cancers	216	49.51	4.36 (3.56-5.10)	3.84 (3.03-4.79)	5.25 (3.87-6.93)
Head and neck	106	7.48	14.17 (10.41–17.52)	12.85 (9.19–17.44)	15.55 (9.53-23.85)
Lungs	96	31.95	3.00 (2.22–3.82)	2.50 (1.71-3.53)	3.81 (2.45–5.62)
Stomach	5	4.56	1.10 (0.23-3.11)	0.94 (0.10-3.46)	1.45 (0.06-6.76)
Oesophagus	9	5.53	1.63 (0.78-4.12)	1.42 (0.36-3.70)	3.86 (0.81–10.95)
Age at ESCC diagnosis (years)					()
18–40	2	0.03	70.87 (2.72–329–97)	157.62 (6.05-733.84)	0.00
41-60	95	11.02	8.62 (6.35-10.96)	6.94 (4.65–9.93)	11.10 (7.26-16.18)
61-80	115	36.17	3.18 (2.42–3.96)	2.99 (2.18–4.00)	3.44 (2.15–5.18)
>80	4	2.30	1.74 (0.28–5.50)	2.12 (0.22–7.79)	1.13 (0.00-8.46)
Treatment ESCC				(	(
Chemo + radiotherapy	112	24.89	4.50 (3.44-5.67)	3.87 (2.77-5.25)	5.88 (3.82-8.61)
Chemotherapy	19	6.05	3.14 (1.47–5.31)	2.87 (1.22–5.62)	3.30 (0.69–9.36)
Radiotherapy	26	5.68	4.58 (2.46–7.22)	3.58 (1.59–6.88)	6.18 (2.42–12.82)
No chemo- or radiotherapy	59	12.89	4.58 (3.12-6.26)	4.45 (2.75–6.78)	4.58 (2.49–7.67)

Table 3. Standardised incidence ratio	(SIR) for synchronous an	d metachronous multiple	primary tumours (MPTs).

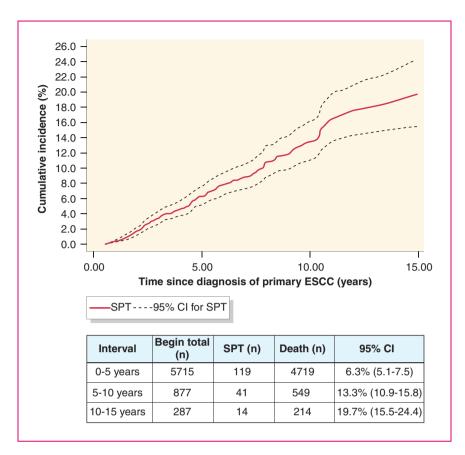
CI: confidence interval.

Another explanation might be the difference in genetic polymorphisms in alcohol metabolism between Asian and Western populations.<sup>28,29</sup>

The increased MPT risk among patients with primary ESCC, as reported in our study, has also been reported in other studies.<sup>12,15,30,31</sup> Chuang et al. reported an increased risk of MPTs in the UADT, especially in the head and neck region (SIR 6.68) and lungs (SIR 1.55), among ESCC patients in 13 different countries.<sup>15</sup> Other studies also reported increased MPT risks.<sup>10,14,24,30,32</sup> As reported in our study, patients with ESCC diagnosis at a young age showed the highest SIR for developing both synchronous (SIR 32.07) and metachronous MPTs (SIR 70.87). The same results were reported in a study by Chen et al., with a SIR of 36.56 for patients aged between 20–39 years at ESCC diagnosis.<sup>30</sup>

We found the head and neck region to be the most common region for developing MPTs (synchronous;

SIR 36.33, metachronous: SIR 14.17), which is also reported in both Asian (SIR 15.83) and Western (SIR 6.68-8.64) studies.<sup>12,14,15,30,31</sup> Studies from Japan and Korea reported the stomach as their most common MPT location.<sup>3,4</sup> This could be due to the high incidence of stomach cancer in Japan and Korea.<sup>2</sup> Unfortunately, SIRs were not reported in these studies.<sup>3,4</sup> For synchronous MPTs, we found the stomach to be the second most common region for MPT development. Less patients had synchronous or metachronous oesophageal MPT in our cohort. In case a synchronous oesophageal SPT is detected, one could argue that these patients probably had mucosal dysplasia or neoplasia at the time of the primary ESCC diagnosis. Careful inspection of the oesophagus with Lugol chromoendoscopy is therefore very important in cases of curative primary ESCC diagnosis, because early oesophageal SPT might be easily overlooked during routine white light endoscopy.<sup>33</sup>

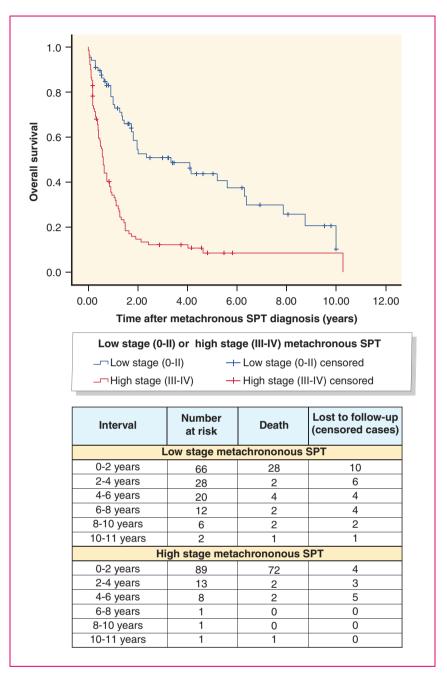


**Figure 2.** Cumulative incidence of metachronous second primary tumour (SPT) after oesophageal squamous cell carcinoma (ESCC) diagnosis. Censored cases: patients in column 'death (*n*)', Number at risk: patients in column 'Begin total (*n*)'. CI: confidence interval.

Patients who develop metachronous SPT may potentially benefit from screening programmes. The median time between ESCC diagnosis and metachronous SPT detection was 3 years (IQR 1.8-5.9 years), with a cumulative 15-year incidence of 19.7%. Most metachronous SPTs, however, had a high tumour stage (57.4%), with a worse two-year survival compared to low-stage metachronous SPTs (15.1% versus 51.9%, p < 0.01). Screening for metachronous SPTs could possibly help to increase survival in these patients by detecting these SPTs at an early stage. Patients with high-stage ESCC with poor prognosis will possibly not benefit from screening programmes since their prognosis is already determined by the ESCC. Diagnosing MPTs in these patients is probably not clinically relevant. The overall survival of our cohort was 9.9 months, the overall five-year survival was 15.9%. Prospective studies are necessary to investigate the yield of screening for MPTs, and especially whether screening will lead to survival benefit. One could argue that synchronous SPTs already existed at time of index tumour diagnosis but had not yet been detected at that time. The question arises whether routine screening of especially the head and neck region should be performed in curative ESCC patients prior to ESCC treatment.

Asian screening studies to detect head and neck SPTs have been performed in patients with primary ESCC. A systematic review about active screening for head and neck SPTs in patients with primary ESCC showed a pooled prevalence of 6.7% (range 3.0–29.6%).<sup>22</sup> Active screening showed a low SPT tumour stage in most patients (85.7%), and a better survival compared to patients who were not screened.<sup>22,34</sup> These studies suggest that active screening contributes to an increase in SPT detection and overall survival.<sup>4</sup> Nowadays, no screening studies in patients with ESCC have been performed in Western countries.

Being male was a predictive factor for MPT development in our study, which is in accordance with previous studies.<sup>24,30,31</sup> Our study revealed age <70 years as a significant predictive factor, which is also in line with a previous study.<sup>24</sup> Chen et al., however, reported age  $\geq 60$  years as a predictive factor for MPT



**Figure 3.** Survival after second primary tumour (SPT) diagnosis between low- and high-stage metachronous SPTs. \*n=155; 21 patients were excluded for this analysis, as result of missing SPT tumour stages.

development.<sup>30</sup> Although higher age is reported as a significant risk factor for cancer development in the UADT or stomach in general, one might hypothesise that when patients survive ESCC at a young age they are expected to live longer and might have an increased risk of MPT development. The same might be true for patients with a low ESCC tumour stage, they have a more favourable course of their disease and are therefore expected to live longer. We reported low ESCC

tumour stage as a predictive factor for MPT development, but this has to be interpreted with caution.

Although this is the largest registry study in Europe with more than 9000 patients, some limitations need to be acknowledged. First, this is a retrospective cohort study with limited patient information and a substantial amount of missing data. We could therefore not report on risk factors such as smoking or alcohol which are common risk factors for MPT **Table 4.** Multivariable analysis for multiple primary tumour (MPT) development using the Cox proportional hazards regression model.

	Multivariate analysis		
Variables	HR (95% CI)	<i>p</i> -Value	
Sex			
Male	1.593 (1.286–1.974)	<0.001	
female	Reference		
Age			
<70	1.507 (1.194-1.900)	0.001	
$\geq$ 70	Reference		
Metastasis			
Yes	1.057 (0.791-1.411)	0.709	
No	Reference		
ESCC tumour stage			
Low (0/I/II)	1.497 (1.188–1.887)	0.001	
High (III/IV)	Reference		

CI: confidence interval; HR: hazard ratio.

development.<sup>30</sup> This might have caused confounding in our risk factor analysis. In addition, cause of death was not reported in the NCR and this information could be relevant in this patient cohort with a large number of high-stage ESCCs and a median survival of only 10 months. Second, since this is a registry study, MPT incidence could be underestimated. Because of the retrospective design of this study, we did not know whether MPTs were diagnosed during regular follow-up or as a result of patients symptoms. As a consequence, no specific advice for time interval for screening can be drawn from this study.

A minimum of one out of 20 patients with primary ESCC develops an MPT in the UADT or stomach. The majority of these MPTs were detected synchronously, in the head and neck region and in young patients. Survival of patients with ESCC is low. Prospective screening studies are necessary to determine the true MPT incidence and to investigate the yield and benefit of screening for MPTs.

#### Acknowledgements

The authors thank the registration team of the NCR for the collection of data.

#### **Declaration of conflicting interests**

The authors have no conflicts of interest to declare.

#### Funding

The authors received no financial support for the research, authorship and/or publication of this article.

#### **Ethics approval**

This study was approved by the Medical Ethical Review Committee of the Erasmus Medical Centre (MEC-2018-1631).

#### Informed consent

Not required for this study (anonymous data from NCR).

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#### Supplemental Material

Supplemental material for this article is available online.

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