

A Quantitative Assessment of Group Delay Methods for Identifying Glottal Closures in Voiced Speech

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Abstract—Measures based on the group delay of the LPC residual have been used by a number of authors to identify the time instants of glottal closure in voiced speech. In this paper, we discuss the theoretical properties of three such measures and we also present a new measure having useful properties. We give a quantitative assessment of each measure's ability to detect glottal closure instants evaluated using a speech database that includes a direct measurement of glottal activity from a Laryngograph/EGG signal. We find that when using a fixed-length analysis window, the best measures can detect the instant of glottal closure in 97% of larynx cycles with a standard deviation of 0.6 ms and that in 9% of these cycles an additional excitation instant is found that normally corresponds to glottal opening. We show that some improvement in detection rate may be obtained if the analysis window length is adapted to the speech pitch. If the measures are applied to the preemphasized speech instead of to the LPC residual, we find that the timing accuracy worsens but the detection rate improves slightly. We assess the computational cost of evaluating the measures and we present new recursive algorithms that give a substantial reduction in computation in all cases.

Index Terms—Closed phase, glottal closure, group delay, speech analysis.

I. INTRODUCTION

IN VOICED SPEECH, the primary acoustic excitation normally occurs at the instant of vocal-fold closure. This marks the start of the closed-phase interval during which there is little or no airflow through the glottis. There are several areas of speech processing in which it is helpful to be able to identify the glottal closure instants (GCIs) and/or the closed-phase intervals. Recent interest has concentrated on PSOLA-based concatenative synthesis and voice-morphing techniques in which the identification of the GCIs is necessary to preserve coherence across segment boundaries [1], [2]. More generally, accurate identification of the closed phases allows the blind deconvolution of the vocal tract and glottal source through the use of closed phase analysis and modeling [3]–[8]. The resultant characterization of the glottal source gives benefits to speaker identification systems [9]–[11] and potential benefits to speech recognition systems and low-bit rate coders. The determination of glottal closure instants is also important in the clinical diagnosis and treatment of voice pathologies.

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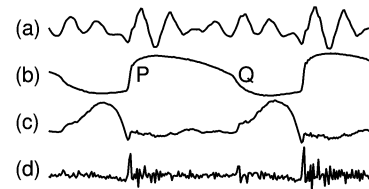


Fig. 1. (a) A 12.5 ms speech waveform of male voice, phoneme /a/, (b) laryngograph waveform, (c) estimated glottal volume velocity, and (d) autocorrelation LPC residual from preemphasised speech.

The accurate identification of GCIs has been an aim of speech researchers for many years and numerous techniques have been proposed. The most widely used approach is to look for discontinuities in a linear model of speech production [11]–[14]. An alternative is to search for energy peaks in waveforms derived from the speech signal [8], [15], [16] or for features in its time-frequency representation [17], [18]. To obtain good results in closed-phase speech processing, it is essential to identify the time of glottal excitation at closure to within a fraction of 1 ms whereas locating the precise glottal opening instant is normally much less critical [3], [10], [19].

In Fig. 1, waveform (a) shows a 12.5 ms segment of male speech from the vowel /a/. Waveform (b) shows a simultaneous Laryngograph recording (also called Electroglottograph or EGG) which measures the electrical conductance of the larynx at 2 MHz and provides a direct indication of glottal activity [5], [20]. The positions of the glottal closure and opening instants are indicated on this waveform as P and Q, respectively, and the interval PQ is the closed phase of the larynx cycle. Acoustic theory shows that, for vowel sounds, the vocal tract acts as an all-pole filter whose input is the volume velocity (also called volume flow rate) of air through the glottis [21]. The estimate of this volume velocity shown as waveform (c) was obtained by applying covariance LPC to the closed-phase speech segment PQ, filtering the speech by the resultant all-zero inverse filter and then applying a leaky integrator to the result to compensate for lip radiation [13], [21]. By restricting the analysis to the closed-phase in this way, we obtain an estimate of the vocal tract filter that is unperturbed by the glottal excitation. The low frequency fidelity of the volume velocity waveform estimate can be improved by correcting for phase distortion in the recording process [22] but the important features can be seen in the uncorrected waveform, namely a rapid decrease at glottal closure (P) and a less abrupt increase at opening (Q). Waveform (d) is the LPC residual obtained by applying the LPC inverse filter to a preemphasised speech waveform. The use of preemphasis and the omission of any compensation for

lip radiation mean that the waveform is approximately equal to the second derivative of the volume velocity. It can be seen that this waveform includes an impulsive feature at closure (P) and a similar but smaller impulse at opening (Q). The use of this LPC residual waveform for detecting glottal closure instants using methods such as those proposed in [12]–[14], [23]–[25] requires the following assumptions: (i) the vocal tract acts as an all-pole filter, (ii) the filter can be estimated adequately from the speech waveform alone and (iii) the LPC residual will contain an identifiable impulse at closure for voiced speech sounds. Assumptions (i) and (ii) are discussed later in this Section. The main contributions of this paper are (a) to demonstrate that assumption (iii) is correct for a large proportion of larynx cycles, (b) to introduce a new energy-weighted group-delay measure as a means of locating the impulse, (c) to give a quantitative assessment of the new measure's performance and a comparative evaluation of three other measures based on group-delay, and (d) to provide efficient recursive algorithms for the computation of all four measures.

The all-pole filter model of the vocal tract is less good for voiced consonants than for vowel sounds for two reasons. Firstly, the closed oral cavity in nasal consonants introduces zeros into the vocal tract filter response. For these phonemes therefore, the the vocal tract is poorly modeled and in some speakers closure impulses are not apparent in the residual. A method is proposed in [26] for improving the robustness of the LPC analysis in these cases by averaging the inverse filters obtained for different orders but this has not been evaluated in this study. Secondly, in voiced consonants there are often additional excitations arising from turbulence at points of vocal tract constriction. The effect of these on the speech signal is equivalent to the addition of colored noise onto the glottal volume velocity waveform. This noise will partially mask the closure impulses and may also have an adverse effect on the filter obtained from the LPC analysis. It is our experience however, that these phonemes nevertheless generate detectable energy peaks in the LPC residual at closure; this is confirmed by the results reported in Section IV. Although covariance LPC is preferred for estimating inverse filtered waveforms such as Fig. 1(c) [13], we have used autocorrelation LPC to derive the residual signal that is used for GCI detection because it offers increased robustness and has less sensitivity to the alignment between analysis frames and larynx cycles [27].

The use of a group delay measure to determine the acoustic excitation instants was first proposed in [23] and later refined in [24] and [25]. The method calculates the frequency-averaged group delay over a sliding window applied to the LPC residual. It has been found to be an effective way of locating the GCIs and the authors have demonstrated its robustness to additive noise. The technique was extended in [28], [29] in order to capture GCIs that were missed by the original algorithms and, through the use of dynamic programming, to eliminate spurious detections so as to identify more reliably those that correspond to true glottal closures rather than to glottal openings or other events. In [2], two alternative methods of identifying excitation instants were proposed, both related to the group delay. These were applied to the problem of inter-segment coherence in concatenative speech synthesis.

In Section II we define the four group delay measures to be evaluated in this paper. Three of these have been described elsewhere [2], [25] and the fourth is a new energy-weighted measure which we introduce here. In Section III we examine the theoretical properties of the measures and illustrate aspects of their behavior using synthetic signals. In Section IV we provide a quantitative evaluation of their performance in identifying GCIs in real speech. Included in our database recordings is a Laryngograph signal which provides a direct measurement of glottal activity and allows an objective assessment of accuracy. We examine in detail the effects of analysis window length on performance and we identify the tradeoffs that exist between detection rate and timing accuracy. We also evaluate the use of input signals other than the LPC residual. In Section V we examine the computational cost of evaluating the measures and we propose new efficient recursive procedures that significantly reduce this cost.

II. GROUP DELAY

Given an input signal $u(r)$, we consider an N -sample windowed segment beginning at sample r

$$x_r(n) = w(n)u(n+r) \text{ for } n = 0, \dots, N-1. \quad (1)$$

The Fourier transform of $x_r(n)$ at a frequency $\omega = 2k\pi/N$ is

$$X_r(k) = \sum_{n=0}^{N-1} x_r(n)e^{-2j\pi nk/N} \quad (2)$$

where k can vary continuously. The group delay of $x_r(n)$ is given by [24]

$$\begin{aligned} \tau_r(k) &= \frac{-d \arg(X_r)}{d\omega} = -\Im \left(\frac{d \ln(X_r)}{d\omega} \right) \\ &= -\Im \left(\frac{1}{X_r} \frac{dX_r}{d\omega} \right) \\ &= -\Im \left(\frac{-j \sum_{n=0}^{N-1} n x_r(n) e^{-2j\pi nk/N}}{X_r} \right) \\ &= \Re \left(\frac{\tilde{X}_r(k)}{X_r(k)} \right) \end{aligned} \quad (3)$$

where $\tilde{X}_r(k)$ is the Fourier transform of $n x_r(n)$.

The motivation for using the group delay is that it is able to identify the position of an impulse within the analysis window. If $x_r(n) = \delta(n - n_0)$, where $\delta(n)$ is the unit impulse function, then it follows directly from (3) that $\tau_r(k) \equiv n_0 \forall k$. In the presence of noise, however, $\tau_r(k)$ will no longer be constant and we need to form some sort of average over k . In Section II-A, we sample the spectrum by restricting k to integer values and we describe four measures, d_{AV} , d_{DC} , d_{EW} and d_{EP} that perform this averaging in different ways to generate alternative estimates of the delay from the start of the window to the impulse.

A. Average Group Delay

The frequency-averaged group delay is given by

$$d_{AV}(r) = \frac{1}{N} \sum_{k=0}^{N-1} \tau_r(k) = \frac{1}{N} \sum_{k=0}^{N-1} \frac{\tilde{X}_r(k)}{X_r(k)} \quad (4)$$

where the conjugate symmetry of X and \tilde{X} ensures that the latter summation is real. The use of d_{AV} was proposed in [23] as a way of estimating the GCIs and was later refined in [24] and [25]. Direct evaluation of (4) requires two Fourier transforms per output sample but the computation may be reduced by the recursive formulae given in Section V. A disadvantage of this measure is that if $X_r(k)$ approaches zero for some k , then the resultant quotient will dominate the summation in (4) and may result in a very large value for $d_{AV}(r)$. To avoid such extreme values we have found it essential to follow the recommendation in [25] that a 3-term median filter be applied to $\tilde{X}_r(k)/X_r(k)$ along the r axis before performing the summation in (4).

B. Zero-Frequency Group Delay

The group delay at $k = 0$ was proposed in [2] as a way of estimating the instant of excitation and is given by

$$d_{DC}(r) = \tau_r(0) = \frac{\sum_{n=0}^{N-1} nx_r(n)}{\sum_{n=0}^{N-1} x_r(n)}. \quad (5)$$

This measure may be interpreted as the ‘‘center of gravity’’ of $x_r(n)$. Although easy to calculate, it is, as we shall see, sensitive to noise and its value is unbounded if the mean value of $x_r(n)$ approaches zero. Because of this, we have found it necessary to apply a median filter to $d_{DC}(r)$ after evaluating (5).

C. Energy-Weighted Group Delay

The problem of unbounded terms in the summation of (4) may be circumvented by weighting each term by $|X_r(k)|^2$, the energy at frequency index k . This leads us to propose a new measure, the *energy-weighted group delay*, defined by

$$\begin{aligned} d_{EW}(r) &= \frac{\sum_{k=0}^{N-1} |X_r(k)|^2 \tau_r(k)}{\sum_{k=0}^{N-1} |X_r(k)|^2} \\ &= \frac{\sum_{k=0}^{N-1} \tilde{X}_r(k) X_r^*(k)}{N \sum_{n=0}^{N-1} x_r^2(n)}. \end{aligned} \quad (6)$$

This expression may be simplified by noting that

$$\begin{aligned} \sum_{k=0}^{N-1} \tilde{X}_r(k) X_r^*(k) &= \sum_{k,m,n} nx_r(n)x_r(m)e^{-2j\pi(n-m)k/N} \\ &= N \sum_{m,n} nx_r(n)x_r(m)\delta(n-m) \\ &= N \sum_{n=0}^{N-1} nx_r^2(n). \end{aligned} \quad (7)$$

Substituting this into (6) gives

$$d_{EW}(r) = \frac{\sum_{n=0}^{N-1} nx_r^2(n)}{\sum_{n=0}^{N-1} x_r^2(n)} \quad (8)$$

which may be viewed as the ‘‘center of energy’’ of $x_r(n)$. The new measure, $d_{EW}(r)$, thus has an efficient time-domain formulation. Unlike the previous measures it is bounded and lies in the range 0 to $N - 1$ provided that $x_r(n)$ is not identically zero.

D. Energy-Weighted Phase

Equation (8) may be viewed as a weighted average of n using $x_r^2(n)$ as the weighting factors. An alternative way of averaging n is to associate the N sample positions within the window with N complex numbers of the form $\exp(j\pi(2n+1)/N)$, evenly spaced around the unit circle on the complex plane. To form the energy-weighted phase, we take a weighted average of these complex numbers using $x_r^2(n)$ as the weighting factors and then multiply its argument by $N/2\pi$ to convert back to a delay. This gives

$$d_{EP}(r) = \frac{N}{2\pi} \arg \left(\sum_{n=0}^{N-1} x_r^2(n) e^{j\pi(2n+1)/N} \right) - \frac{1}{2} \quad (9)$$

where $0 \leq \arg(\bullet) < 2\pi$. The discontinuity in $\arg(\bullet)$ has been chosen to lie midway between the complex numbers associated with $n = N - 1$ and $n = 0$. It is clear from (9) that d_{EP} always lies in the range -0.5 to $N - 0.5$. A measure similar to d_{EP} was used in [2] for aligning waveform segments in a speech synthesis system. The relationship to the energy-weighted group delay as described above and the noise immunity described in Section III-B provide useful new insights into the properties of this measure.

III. PROPERTIES OF GROUP DELAY MEASURES

In Section IV we will use the delay measures defined above to identify the excitation instants in the LPC residual from real speech. In this Section however, we gain insight into their properties by examining their behavior with synthetic signals that consist of impulses with additive white Gaussian noise. The properties that we observe are consistent with those reported in [23], [25] but we extend the study here to include an analysis of multiple impulses and a quantitative comparison between the different measures.

A. Effect of Window Length

An idealized version of the LPC residual waveform is shown as $u(r)$ in Fig. 2(a) and consists of an impulse train with additive white Gaussian noise at 10 dB SNR. The dominant pulse period is 100 samples with an additional pulse in the fourth period and with the amplitude of the third pulse half that of the others.

It is convenient to shift the time-origin of the sliding window, $w(n)$ in (1), to its central point by defining

$$d'_*(r) = d_* \left(r - \frac{N}{2} - 0.5 \right) - \frac{N}{2} - 0.5 \quad (10)$$

where $*$ is one of $\{DC, AV, EW, EP\}$. Note that if N is even, $d'_*(r)$ is defined for values of r midway between the integers since the argument of $d_*(\bullet)$ must always be an integer.

Fig. 2(b)–(e) shows the waveform of $d'_{EP}(r)$ for four different values of window length, N , where $w(n)$ is chosen to be a symmetric Hamming window of period N . The effect of

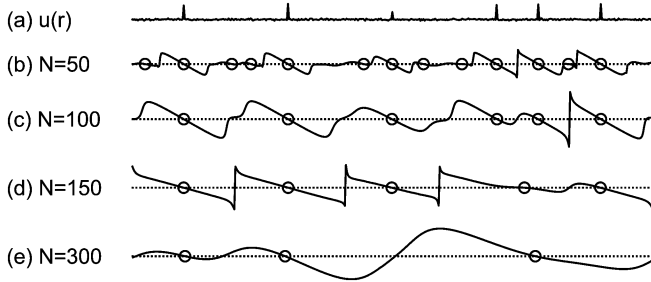


Fig. 2. (a) Impulse train with a dominant period of 100 samples and an SNR of 10 dB. (b)–(e) the waveform of d'_{EP} for different window lengths, N . The circles mark the negative-going zero crossings (NZCs).

varying the window length is broadly similar for all measures, so we will discuss it in detail only for d'_{EP} .

All four measures from Section II give the correct result for a noise-free impulse; i.e., if $x_r(n) = \delta(n - n_0)$ then $d_*(r) = n_0$. All the measures also possess a form of shift invariance so that if $w(n) \equiv 1$ and $u(r) = u(N + r) = 0$ then

$$d_*(r + 1) = d_*(r) - 1 \quad (11)$$

and so the graph of $d_*(r)$ has a gradient of -1 under these circumstances. Although these conditions do not quite hold in this example because of the added noise, they are almost true when an impulse is near the center of the window and N does not exceed the impulse period. For these cases therefore, we see in Fig. 2(b) and (c) that $d'_{EP}(r)$ has a negative-going zero crossing (NZC) with a gradient of approximately -1 whenever an impulse is present at $u(r)$. Each NZC is marked with a circle.

In Fig. 2(c), the window size equals the period ($N = 100$) resulting in a clearly defined NZC for each impulse without the introduction of any spurious NZCs. However when the window size is much less than the period as in Fig. 2(b), there are intervals between each impulse where the window contains only noise. In these intervals $d'_{EP}(r)$ is almost flat and numerous spurious NZCs are introduced. The local gradient at these spurious NZCs is close to 0 rather than -1 and this provides a possible way of identifying them.

As the window size is increased, it becomes common for two or more impulses to lie within the window and individual impulses may no longer be resolved. Thus in Fig. 2(d) where $N = 150$, we see that the two impulses that are closest together (40 samples separation) have resulted in a single NZC approximately midway between them. As the window length is increased further in Fig. 2(e), each impulse now contains only a small fraction of the energy in the window. This means that the amplitude of the $d'_{EP}(r)$ waveform is low and the timing accuracy with which impulse locations can be identified degrades. In this example, the low amplitude third impulse contains so little energy compared to other nearby pulses that it fails to generate an NZC at all.

The example of Fig. 2 therefore illustrates the way in which the ability of d'_{EP} to detect impulses depends on the ratio of the window length to the input signal period. As we shall see in Section IV the choice of window length is a compromise: a window that is too short will introduce many spurious NZCs while a window that is too long may result in failure to detect some of the true GCIs.

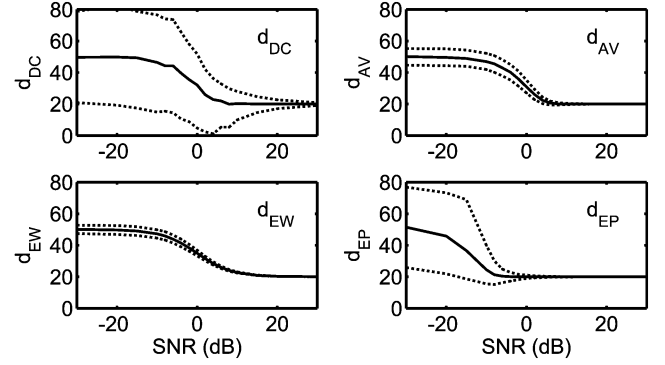


Fig. 3. Variation of d_{DC} , d_{AV} , d_{EW} and d_{EP} as the signal-to-noise ratio (SNR) varies from -30 to $+30$ dB for an input consisting of a single impulse at $n_0 = 20$ with additive white Gaussian noise in a window length of $N = 101$. For each measure, the graph shows the median value of d_* and the upper and lower quartiles.

B. Robustness to Noise

To assess the effect of noise on the delay measures, we have applied them to a signal $x(n)$ consisting of a single impulse with additive white Gaussian noise. Fig. 3 shows the behavior of each measure as the SNR is varied from -30 to $+30$ dB for an impulse at sample $n_0 = 20$ within a rectangular window of length $N = 101$. For each measure, the corresponding graph shows the median value of d_* and the upper and lower quartiles. We use the median rather than the mean because of the unbounded values sometimes generated by d_{DC} and d_{AV} . At an SNR of $+30$ dB all measures correctly give $d_* = n_0$ with a very small inter-quartile range. As the SNR is reduced all measures show an increasing spread and a progressive bias with the median values tending to 50, the center of the window. The most robust measure is d_{EP} whose median value is barely affected by noise until the SNR falls below -6 dB. For this measure, the effect of the noise is to add onto the summation in (9) a random complex number of arbitrary phase. It follows that the noise will not affect the median value of d_{EP} unless the noise amplitude is large enough to cause the value of the summation to cross the positive real axis where there is a discontinuity in the $\arg(\bullet)$ function. For impulses near the centre of the window, the summation in (9) lies on or near the negative real axis and so for positive SNR values, the noise has little effect on the median of d_{EP} .

The measure whose median is most sensitive to noise is d_{EW} for which the effects are noticeable in Fig. 3 for SNRs as high as 14 dB. Since this measure calculates the center of energy of the windowed signal, the bias introduced depends directly on the SNR and at an SNR of 0 dB, for example, d_{EW} will be halfway between n_0 and the window center. The median curves for d_{DC} and d_{AV} are almost identical to each other and lie between those of the other two measures with significant bias only for SNRs worse than 5 dB. Although low levels of noise have little effect on the median value of d_{DC} , they have a substantial effect on its inter-quartile range which is considerably larger than that of the other measures.

When noise is added to an impulse train like that in Fig. 2(a) the NZCs are affected in two ways. Firstly, the bias toward the window center means that $d'_*(r)$ is pulled toward zero either side of the NZC and so its gradient will be less steep. It is possible,

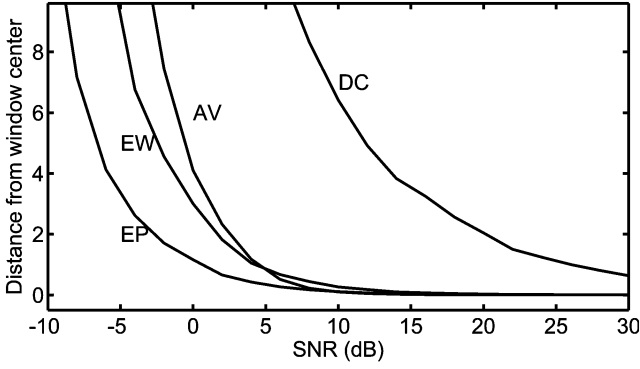


Fig. 4. Graph shows, as a function of SNR, how far an impulse must be from the center of a 101 sample window to ensure that d'_{DC} , d'_{AV} , d'_{EW} and d'_{EP} have the correct sign with a probability of 75%.

therefore, to use the gradient of $d'_*(r)$ at an NZC to estimate the SNR of the signal. The second effect is that the combination of the bias and the increased variance will add uncertainty to the position of the NZC. Fig. 4 shows, as a function of SNR, how far an impulse must be from the center of a 101 sample window, i.e., how far the impulse must be from the center for $d'_*(r)$ to have a probability of 0.75 of having the correct sign. We can view this as a measure of how accurately the position of the impulse will be located and of how this accuracy degrades with noise. The algorithms attain a precision of 5 samples (5% of the window length) with 75% probability at SNR levels of 11.9, -0.5 , -2.4 and -6.6 dB for the d_{DC} , d_{AV} , d_{EW} and d_{EP} measures, respectively. This indicates that the timing of the NZCs is least affected by noise when using d_{EP} and is most affected when using d_{DC} .

C. Response to Multiple Impulses

It is possible for the analysis window to contain multiple impulses either because the window is longer than the pulse period or because, as is often the case with the LPC residual, the signal includes additional pulses or other impulsive features. We consider here the behavior of the measures when the window contains two impulses. From the shift invariance property, (11), we may, without loss of generality take the impulses to be at positions $n = \{0, n_0\}$ giving

$$x(n) = (1 - a)\delta(n) + a\delta(n - n_0) \quad (12)$$

where the factor a lies in the range 0 to 1 and determines the relative amplitude of the two impulses. We can evaluate the four measures analytically (see Appendix) to obtain the following exact results. It is convenient to express them in terms of $b = 1 - a^{-1}$ which ranges from 0 to $-\infty$ and is the negative of the ratio of the impulse magnitudes

$$\begin{aligned} d_{DC} &= \frac{n_0}{1 - b} \\ d_{EW} &= \frac{n_0}{1 + b^2} \\ d_{AV} &= \frac{n_0}{1 - b^{N/\text{gcd}(n_0, N)}} \\ d_{EP} &= \frac{N}{2\pi} \arg\left(b^2 + e^{j2\pi n_0/N}\right) [\text{mod } N] \end{aligned} \quad (13)$$

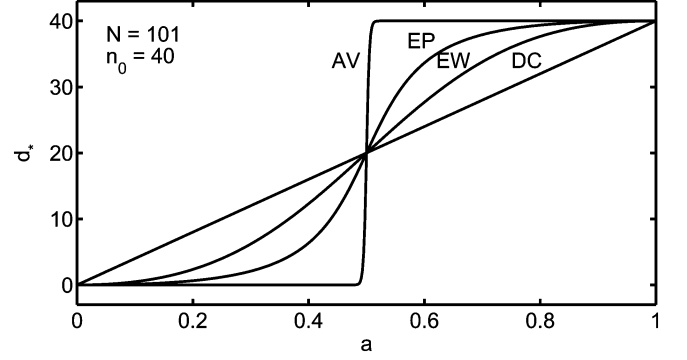


Fig. 5. Values of d_{AV} , d_{EP} , d_{EW} and d_{EP} for a signal containing impulses at samples 0 and 40 of amplitudes $1 - a$ and a , respectively. The window length is 101 and a varies between 0 and 1.

where $\text{gcd}(\bullet, \bullet)$ denotes the greatest common divisor and the equation for d_{EP} should be regarded as modulo N with $-1/2 \leq d_{EP} < N - 1/2$. Fig. 5 plots the expressions from (13) versus a for the particular case of $N = 101$ and $n_0 = 40$. As a varies from 0 to 1 all the measures change from $d_* = 0$ to $d_* = n_0 = 40$. Measure d_{DC} equals the center of gravity of the pair of impulses and it therefore changes linearly with a . Measure d_{EW} on the other hand, which equals the center of gravity of the squared input signal, is biased toward the position of the larger impulse giving rise to the S-shaped curve shown. In the expression for d_{AV} , the exponent of b depends on $\text{gcd}(n_0, N)$ and is, for this case, equal to 101. Because this is so high, d_{AV} makes an extremely abrupt transition at $a = 0.5$ and this measure essentially locates the position of the highest peak in the window. It is possible to obtain a similar behavior for d_{EW} or d_{EP} by increasing the exponent of $x_r(n)$ in (8) or (9) but we have found that this does not improve their performance with real speech and so we do not discuss the resultant measures in detail. The behavior of d_{EP} varies according to the separation of the two impulses. When they are close to each other it is almost the same as d_{EW} but as their separation increases to half the window length its graph approaches that of d_{AV} . For separations greater than $N/2$ the graph changes completely and as a increases from 0, d_{EP} decreases toward -0.5 , wrapping around abruptly to $N - 0.5$ then continuing down to n_0 .

IV. EVALUATION WITH SPEECH SIGNALS

The four measures defined in Section II have been evaluated using the sentence subset of the APLAWD database [30] recorded anechoically at a sample rate of 20 kHz with a lip-to-microphone distance of 15 cm. The database includes a Laryngograph channel which provides a direct measurement of glottal activity [5], [20] and allows the instants of glottal closure to be determined using the HQTx program from the Speech Filing System software suite [31], [32]. The database includes ten repetitions from each of ten British English speakers (five male, five female) of the following sentences:

- S1: "George made the girl measure a good blue vase;"
- S2: "Why are you early you owl?"
- S3: "Cathy hears a voice amongst SPAR's data;"
- S4: "Be sure to fetch a file and send their's off to Hove;"
- S5: "Six plus three equals nine;"

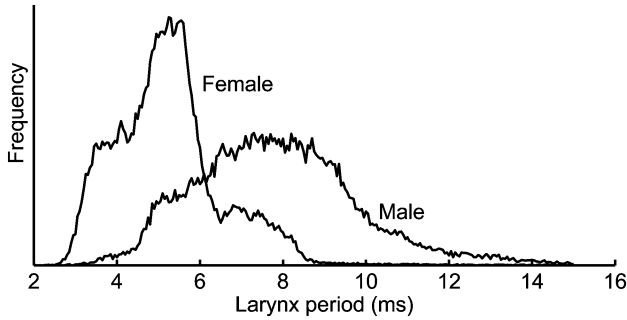


Fig. 6. Histogram of larynx cycle periods for male and female speakers.

for a total of 500 utterances. Ten of the utterances contained recording errors and, after excluding voiced segments with fewer than five cycles, the remaining 490 utterances contained 129 537 glottal closures whose times were delayed by 1 ms to provide a first order correction for the larynx-to-microphone delay. Fig. 6 shows the histograms of larynx period for the male and the female speakers obtained from HQTx.

A. Waveform Processing

Fig. 7 shows (a) a segment of speech with (b) the Laryngograph waveform, (c) the LPC residual, $u(r)$, and (d) the waveform of d'_{EP} with its zero-crossings (NZCs) marked by circles. The Laryngograph waveform measures the electrical conductance of the larynx and shows an abrupt increase at glottal closure. The boundaries of the larynx cycles are placed midway between adjacent closures and are shown as vertical dashed lines. The speech is first passed through a 1st order preemphasis filter with a 50 Hz corner frequency and then processed using autocorrelation LPC of order 22 with 20 ms Hamming windows overlapped by 50%. We use autocorrelation rather than covariance LPC to reduce sensitivity to the position of larynx cycles within the window. The preemphasised speech is inverse filtered with linear interpolation of the LPC coefficients for 2.5 ms either side of the frame boundary. Finally, in order to remove high frequency noise, the residual is lowpass filtered at 4 kHz using a second-order Butterworth filter to obtain the signal $u(r)$. A sliding Hamming window is applied to $u(r)$ and the delay measures from Section II are calculated. The energy weighting, median filter and 1.5 kHz low pass filter recommended in [25] are applied to the d_{AV} measure and a 3-point median filter is also applied to d_{DC} in order to remove the extreme values that are sometimes generated.

The speech segment of Fig. 7 has been chosen to illustrate some of the difficulties that arise in detecting the GCIs. Identifying the GCIs has proved more difficult for this particular male speaker than for any of the other speakers in our database. His speech sometimes contains an unusually strong excitation at glottal opening which, as can be seen from the LPC residual waveform in Fig. 7(c), may be comparable in strength to the excitation at glottal closure. In each of the first four larynx cycles a strong excitation is visible in the LPC residual at glottal closure and this results in a well-defined NZC in d'_{EP} at or near the center of the cycle. In the second four larynx cycles, the poor signal-to-noise ratio of the LPC residual results in a low amplitude d'_{EP} waveform. In these cycles, the secondary excitation at

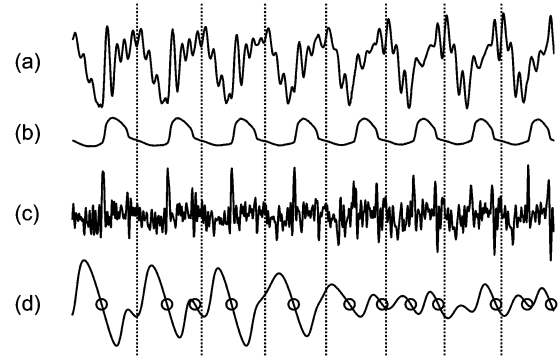


Fig. 7. (a) Segment of male speech from diphthong/al/with (b) the Laryngograph waveform, (c) the LPC residual, and (d) the waveform of d'_{EP} with NZCs identified by circles. The vertical dashed lines indicate the larynx cycle boundaries.

glottal opening gives rise to an additional NZC and in the penultimate cycle, the excitation at glottal closure is so weak that no NZC results although a ripple in d'_{EP} is visible. It is possible to use the projection technique described in [28], [29] to determine NZC-equivalent time instants from the turning points of such ripples but this is outside the scope of this study. The waveforms of Fig. 7, appear to indicate the possibility of using d'_{EP} to detect the glottal opening instants (GOIs) in addition to the GCIs. However, in many other speakers, the GOI excitations are very small and so the reliable identification of GOIs remains a very challenging task with, as yet, little reported work in the literature. The present study is aimed specifically at distinguishing the GCI excitations and for this reason we regard any NZCs arising from the GOIs as unwanted errors.

B. Timing Error Histograms

In most larynx cycles, the measures will generate a single NZC at or near the instant of glottal closure. If, for example a window length of 8 ms is used, then about 88% of larynx cycles give exactly one NZC in d'_{EP} . Fig. 8(a) shows a histogram of the deviation of the NZC from the true larynx closure as determined using HQTx applied to the Laryngograph signal. The mean value is close to zero which confirms the value of 1 ms used for the larynx-to-microphone delay compensation. The standard deviation is 0.55 ms, but the underlying accuracy of the GCI estimation is somewhat better than this because variations in the larynx-to-microphone acoustic delay due to head movement can add as much as 0.1 ms onto this figure. Of the remaining 12% of larynx cycles, over three quarters contain exactly two NZCs; in most cases these occur at glottal opening and closure, respectively, giving rise to the histogram shown in Fig. 8(b). The standard deviation of this tri-modal distribution is not a useful measure. Instead, we consider in our statistics only the NZC in each larynx cycle that is closest to the GCI and make the assumption that the other NZC can be rejected using techniques such as those described in [28], [29]. For this example, the standard deviation of these “closest” NZCs is 0.97 ms and if we combine these with the single-NZC cycles, we can detect the GCI in over 97% of larynx cycles with a standard deviation of 0.6 ms. The remaining 3% of cycles either contain more than

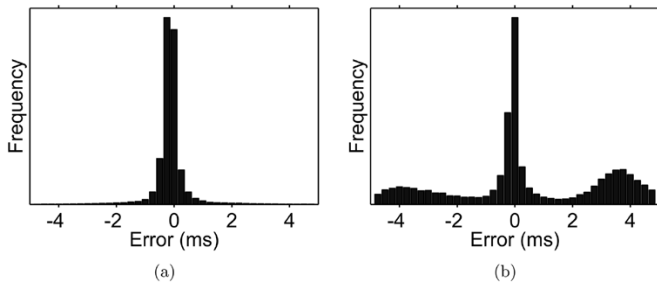


Fig. 8. Histograms of the deviation between the instant of glottal closure and the zero crossings (NZCs) of d'_{EP} . Histograms (a) and (b) are for larynx cycles containing exactly one and exactly two NZCs, respectively.

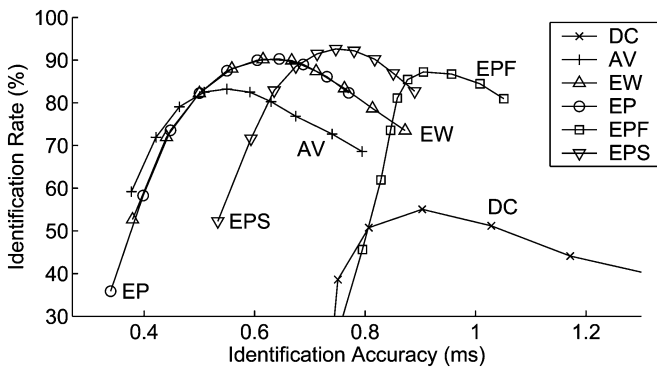


Fig. 9. Identification rate and identification accuracy for cycles containing exactly one NZC. For each measure the window length varies from 4 ms (leftmost point) to 13 ms in steps of 1 ms.

two NZCs or else contain none at all and we assume, pessimistically, that the glottal closure instant cannot be identified for any of these cycles.

C. Accuracy and Detection Rate

We define the *identification rate* of a measure to be the fraction of larynx cycles that contain exactly one NZC and the *detection rate* to be the fraction that contain either one or two NZCs. Thus in Fig. 7, for example, the identification rate is 50% and the detection rate is 100%. We consider that the detection rate gives a good assessment of the potential of the measure to locate the GCIs provided that techniques such as those from [28], [29] are used to reject the NZCs associated with glottal opening. The *identification accuracy* is the standard deviation of the timing error between the GCI and the NZC for cycles containing exactly one NZC. The *detection accuracy* is the standard deviation of the timing error between the GCI and the closest NZC for cycles containing either one or two NZCs.

In Fig. 9 we plot the identification rate against the identification accuracy for each of the four algorithms for window lengths varying between 4 ms and 13 ms in steps of 1 ms. Each curve is labeled with its algorithm abbreviation and in all cases the leftmost point corresponds to the shortest window (4 ms). The curves labeled “EPF” and “EPS” use alternative input signals and are discussed in Section IV-E. To take a specific example, the d'_{EP} measure is identified by circles and we see from the first point on the graph that for a 4 ms window, its identification accuracy is 0.34 ms but its identification rate is only 36%. This low rate arises because with a window as short as this, most larynx

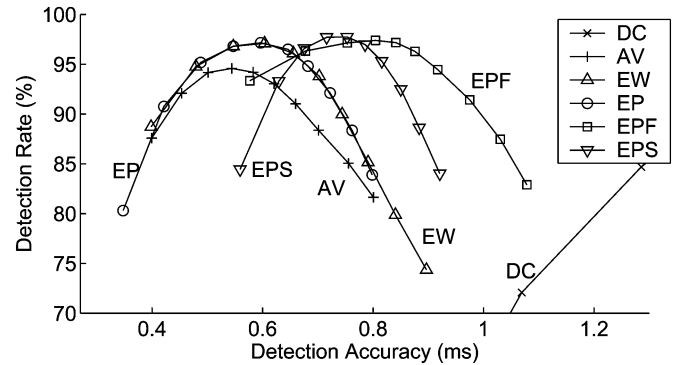


Fig. 10. Detection rate and detection accuracy for cycles containing either one or two NZCs. For each algorithm the window length varies from 4 ms (leftmost point) to 13 ms in steps of 1 ms.

cycles will contain more than one NZC. As the window length is increased the accuracy steadily worsens but the identification rate improves and reaches a peak of over 90% at a window length of 10 ms. Beyond this point, the identification rate falls again as an increasing number of cycles contain no NZC at all. The performance of the d'_{EW} measure is almost identical to that of the d'_{EP} measure but reaches its peak at the shorter window length of 8 ms. The d'_{AV} measure has a somewhat worse performance and only achieves a peak of 83.2% while the d'_{DC} measure is by far the worst with a peak identification rate of only 55% and a substantially worse accuracy.

In Fig. 10, we show the same curves but this time for the detection rate and detection accuracy that are based on the larynx cycles that contain either one or two NZCs. The d'_{EP} and d'_{EW} measures again show the best performance and reach a detection rate of 97.1% for window lengths of 8 ms and 7 ms, respectively. The d'_{AV} measure is slightly worse with a peak detection rate of 94.6% and although the d'_{DC} measure reaches a peak of 90%, its detection accuracy is off the graph at 1.4 ms. In general, as the window length is decreased, the number of NZCs rises and accuracies improve. It is not surprising, therefore, that for all measures the peak detection rate has a better accuracy than the peak identification rate and occurs with a window length that is between 1 ms and 2 ms shorter.

D. Gender and Linguistic Content Differences

In Fig. 11, the detection rate is shown for each of the ten speakers as a function of the window length using the d'_{EP} measure. It can be seen that the female speakers (marked with circles) are closely bunched and the peak detection rate is achieved with a window length of between 6 and 7 ms. The male speakers are less tightly bunched and have slightly worse detection rates than the female speakers with peak performance occurring at window lengths between 7 and 10 ms. The male speaker used in the example of Fig. 7 shows the poorest detection rate. His speech is notable for the high proportion of cycles that include a strong excitation at glottal opening and in consequence his speech also shows the worst identification rate. If a single window is used for all speakers, then the optimum compromise is a window length of 8 ms. If the best window length is used for each speaker the detection rate for the d'_{EP} measure rises from 97.1% to 97.8% with the identification rate

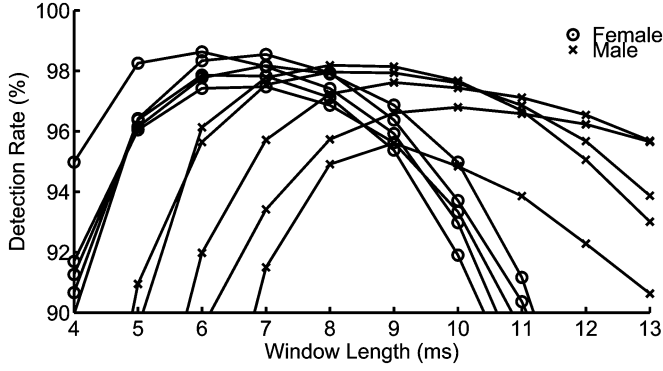


Fig. 11. Detection rate for d'_{EP} as a function of window length. A separate curve is shown for each female (circles) and male (crosses) speaker.

remaining at 87.4%. It is therefore likely that the use of an auxiliary pitch estimator and an adaptive window length would give a modest improvement in performance.

Evaluating the performance of the d'_{EP} measure on individual sentences revealed only one significant difference. The fully voiced sentence, S2, gave a slightly higher detection rate (97.8%) with much better accuracy (0.45 ms) than the other sentences which all gave similar results of 97% and 0.62 ms. We have not analyzed the reasons for this in detail but we suggest that the lack of frication in sentence S2 may be a contributory factor.

E. Alternative Input Signals

The group delay measures may be applied to any signal containing an energy peak at the time of glottal closure. We include in Figs. 9 and 10 the results of applying the d'_{EP} measure to the preemphasized speech (EPS) and to the estimated glottal energy flow (EPF). The use of the preemphasized speech energy to detect glottal closures was proposed in [15] and the estimation of the glottal energy flow is described in [8]. We see that applying the d'_{EP} measure to these signals gives good results and that the peak identification and detection rates were, respectively, 92.6% and 97.7% for EPS and 87.2% and 97.4% for EPF. The identification rate for EPS and the detection rates for both EPF and EPS are higher than those obtained when the d'_{EP} measure is applied to the LPC residual but this improvement comes at the cost of poorer accuracy. It can also be seen that as the window length is decreased below 8 ms, the EPF identification rate decreases very rapidly while its detection rate remains well above 90% even for windows as short as 4 ms. This behavior means that the EPF measure is detecting exactly two acoustic excitations in a large fraction of cycles and indicates that it could potentially be effective in identifying the closed phase intervals. We have also evaluated the d'_{EP} measure on unpreemphasized speech but, with peak identification and detection rates of 85% and 96%, respectively, this did not perform as well as EPS.

V. EFFICIENT COMPUTATION

In this section, we present efficient recursive algorithms for calculating the group delay measures using techniques similar

to those reviewed in [33]. Many popular windows, $w(n)$ can be expressed as the sum of a small number of exponentials

$$w(n) = \sum_{m=-M}^M a_m e^{-2j\pi mn/N}. \quad (14)$$

For example, a centered Hamming window with period N (rather than the commonly used period of $N-1$) has $a_0 = 0.54$ and $a_1 = a_{-1}^* = -0.23e^{-j\pi/N}$. The a_m are the inverse discrete Fourier transform coefficients of $w(n)$ and in a similar way we define b_m to be the IDFT coefficients of $w^2(n)$. For such windows, we will derive efficient recursive formulae for the quantities $d_*(r)$.

If we define

$$U_r^{(p)}(k) = \sum_{n=0}^{N-1} w^p(r+n) e^{-2j\pi kn/N} \quad (15)$$

we can derive the relationships

$$\begin{aligned} U_r^{(p)}(k) &= \left(U_{r-1}^{(p)}(k) + w^p(r+N-1) - w^p(r-1) \right) \\ &\quad \times e^{2j\pi pk/N} \\ \tilde{U}_r^{(p)}(k) &= \left(\tilde{U}_{r-1}^{(p)}(k) + N w^p(r+N-1) \right) e^{2j\pi pk/N} \\ &\quad - U_r^{(p)}(k). \end{aligned}$$

We can use these to calculate the $U_r^{(p)}$ and $\tilde{U}_r^{(p)}$ recursively although in practice, the recursions must be reinitialized periodically using (15) to avoid cumulative errors. Having calculated the $U_r^{(p)}$ and $\tilde{U}_r^{(p)}$, we can use the following relationships to evaluate the d_* measures:

$$\begin{aligned} X_r(k) &= \sum_{m=-M}^M a_m U_r^{(1)}(k+m) \\ \sum_{n=0}^{N-1} x_r^2(n) e^{-2j\pi nk/N} &= \sum_{m=-2M}^{2M} b_m U_r^{(2)}(k+m) \end{aligned} \quad (16)$$

with similar expressions for \tilde{X} and the Fourier transform of $n x^2(n)$ involving $\tilde{U}_r^{(p)}$. Additional savings can be made by using the conjugate symmetry of the a_m , b_m , $U_r^{(p)}$ and $\tilde{U}_r^{(p)}$.

Table I shows the number of flops per sample reported by MATLAB when evaluating the four measures using both direct and recursive forms of evaluation for a window length of 101. The figures include the median filtering that is essential for d_{DC} and d_{AV} . The figures for d_{EP} are somewhat lower than they should be since MATLAB budgets only one flop for the $\arg(\bullet)$ function in (9). For the recursive forms, the computational costs of d_{DC} , d_{EW} and d_{EP} are independent of N whereas those for d_{AV} are proportional to N . The savings from the recursive formulation is greatest for d_{AV} but even so this measure is by far the most costly to compute.

VI. CONCLUSION

In this paper, we have investigated four measures of group delay and their use for GCI estimation. Three of these measures have been described in earlier publications and one is newly

TABLE I
COMPUTATIONAL COST IN FLOPS PER SAMPLE FOR DIRECT AND RECURSIVE
IMPLEMENTATIONS OF MEASURES d_{DC} , d_{AV} , d_{EW} AND d_{EP} FOR A
WINDOW LENGTH $N = 101$

	DC	AV	EW	EP
Direct	410	165288	407	813
Recursive	38	4066	63	69

proposed here. We have evaluated their behavior with synthetic data and their ability to detect GCIs in real speech.

From the experiments with synthetic data, we found that additive noise increases the variability of all the measures and biases their value toward the center of the window. The d_{EP} measure is the least sensitive to additive noise while d_{DC} is by far the most sensitive. To detect GCIs in real speech, we applied the measures to the LPC residual using a sliding window and identified the negative-going zero-crossings (NZCs) of the time-aligned measures $d'_*(r)$. The d'_{EW} and d'_{EP} measures performed exceptionally well and, using the optimum fixed window length, generated either one or two NZCs in over 97% of larynx cycles. About 9% of these cycles contained two NZCs and in most cases these corresponded to excitations at glottal closure and opening, respectively. The standard deviation of the timing error between the true GCI and the closest NZC was about 0.6 ms; this figure overestimates the true timing inaccuracy since it includes variations in the larynx-to-microphone acoustic delay arising from head movement. If the optimum window length is used for each speaker, the detection rate rises to 97.8% and it is expected that this would rise further if the window length were adapted to the pitch. The detection rate shows little dependence on linguistic content but the detection accuracy was much better for a sentence that was fully voiced sentence without frication.

We have evaluated the application of the d'_{EP} measure to the raw speech, the preemphasized speech and the glottal energy flow waveforms in addition to the LPC residual. We found that the highest accuracies were obtained with the LPC residual but that the highest identification rate (92.6%) and detection rate (97.7%) were obtained from the preemphasized speech. The glottal energy flow waveform showed the greatest robustness to window length variation and, for short windows, had the highest proportion of cycles with two NZCs indicating potential advantages in identifying glottal opening instants and closed phase intervals.

We have shown how the computational cost of all the measures can be reduced greatly by calculating them recursively provided that a suitable window function is used. Even so, the cost of the d_{AV} measure is around 100 times greater than that of the others.

Overall, our preferred measures are d_{EP} and d_{EW} which have virtually identical performance on real speech. The d_{EP} measure has better theoretical noise immunity but is somewhat more costly to evaluate and was slightly less robust to short window lengths. Despite the good performance obtained from the measures studied in this paper, they do not provide a complete solution to the problem of detecting GCIs. To eliminate the NZCs corresponding to glottal opening and those generated during unvoiced speech segments, it is necessary to combine them with a selection procedure such as that described in [28], [29].

APPENDIX RESPONSE TO A NOISEFREE DUAL IMPULSE

In this appendix we prove the expressions given in (13) for the response of the group delay measures to a dual impulse. We assume that the input signal is given by

$$x(n) = (1 - a)\delta(n) + a\delta(n - n_0)$$

and we define $b = 1 - a^{-1} = -(1 - a)/a$.

We may write

$$\begin{aligned} d_{DC} &= \frac{\sum_{n=0}^{N-1} nx(n)}{\sum_{n=0}^{N-1} x(n)} \\ &= \frac{n_0 a}{(1 - a) + a} \\ &= \frac{n_0}{1 - b} \\ d_{EW} &= \frac{\sum_{n=0}^{N-1} nx^2(n)}{\sum_{n=0}^{N-1} x^2(n)} \\ &= \frac{n_0 a^2}{(1 - a)^2 + a^2} \\ &= \frac{n_0}{1 + b^2}. \end{aligned}$$

For convenience we now define $z = e^{-j2\pi/N}$ giving

$$\begin{aligned} d_{EP} &= \frac{N}{2\pi} \arg \left(\sum_{n=0}^{N-1} x^2(n) z^{-(n+0.5)} \right) - \frac{1}{2} \\ &= \frac{N}{2\pi} \arg \left((1 - a)^2 z^{-0.5} + a^2 z^{-(n_0+0.5)} \right) - \frac{1}{2} \end{aligned}$$

from which we obtain the following equation modulo N

$$\begin{aligned} d_{EP} &= \frac{N}{2\pi} \arg \left((1 - a)^2 + a^2 z^{-n_0} \right) \\ &= \frac{N}{2\pi} \arg \left(b^2 + e^{j2\pi n_0/N} \right) [\text{mod } N] \end{aligned}$$

where d_{EP} must lie in the range $-1/2 \leq d_{EP} < N - 1/2$. Finally we observe that $z^{-n_0 h} = 1$ iff $n_0 h$ is a multiple of N . This in turn is true iff h is a multiple of $H = N/\text{gcd}(n_0, N)$. It follows that for $0 \leq h < H - 1$

$$\sum_{k=0}^{N-1} z^{-n_0 k h} = N\delta(h).$$

We may now write

$$\begin{aligned}
 d_{AV} &= \frac{1}{N} \sum_{k=0}^{N-1} \frac{\hat{X}(k)}{X(k)} \\
 &= \frac{1}{N} \sum_{k=0}^{N-1} \frac{n_0 a z^{n_0 k}}{(1-a) + a z^{n_0 k}} \\
 &= \frac{n_0}{N} \sum_{k=0}^{N-1} \frac{1}{1 - b z^{-n_0 k}} \\
 &= \frac{n_0}{N(1-b^H)} \sum_{k=0}^{N-1} \frac{1 - b^H z^{-n_0 k H}}{1 - b z^{-n_0 k}} \\
 &= \frac{n_0}{N(1-b^H)} \sum_{k=0}^{N-1} \sum_{h=0}^{H-1} b^h z^{-n_0 k h} \\
 &= \frac{n_0}{N(1-b^H)} \sum_{h=0}^{H-1} b^h N \delta(h) \\
 &= \frac{n_0}{1-b^H}.
 \end{aligned}$$

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