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## Optimal sizing of battery energy storage for micro-grid operation management using a new improved bat algorithm



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#### ABSTRACT

In recent years, due to large integration of Renewable Energy Sources (RESs) like wind turbine and photovoltaic unit into the Micro-Grid (MG), the necessity of Battery Energy Storage (BES) has increased dramatically. The BES has several benefits and advantages in the MG-based applications such as short term power supply, power quality improvement, facilitating integration of RES, ancillary service and arbitrage. This paper presents the cost-based formulation to determine the optimal size of the BES in the operation management of MG. Also, some restrictions, i.e. power capacity of Distributed Generators (DGs), power and energy capacity of BES, charge/discharge efficiency of BES, operating reserve and load demand satisfaction should be considered as well. The suggested problem is a complicated optimization problem, the complexity of which is increased by considering the above constraints. Therefore, a robust and strong optimization algorithm is required to solve it. Herein, this paper proposes a new evolutionary technique named improved bat algorithm that is used for developing corrective strategies and to perform least cost dispatches. The performance of the approach is evaluated by one grid-connected low voltage MG where the optimal size of BES is determined professionally.

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### 1. Introduction

Micro-Grid (MG) is the corner stone and indispensable infrastructure of smart grid [1]. Nowadays, with increasing concerns and challenges about the fluctuation and intermittency of Wind Turbine (WT) and Photo-Voltaic (PV) units as Renewable Energy Sources (RESs) in the MG system, the Micro-Grid Central Controller (MGCC) needs to implement Battery Energy Storage (BES). Combination of the BES can buffer the power output of RESs by storing excess energy throughout times of high availability and inject it to the MG during a power shortage. So, in recent years, the studies of researchers have been compulsorily gravitated to determine the appropriate capacity or size of BES for an optimized Operation Management of MG (OMMG). Lee and Chen [2] introduced the first BES sizing formulation for two industrial customers in Taiwan Power Company System. Mitra proposed a suitable technique of selecting the size of a BES in such a manner as to satisfy a reliability index [3]. Le and Nguyen presented the BES sizing approach for wind turbine systems to guarantee the peak load demand [4]. Kaldellis et al. offered a selection method of the most cost-efficient BES in order to match an inconstant solar-based energy system in [5]. Chen et al. focused on determining the size of BES for a MG system in Singa-

\* Corresponding author. Address: Department of Electrical Engineering, Marvdasht Branch, Islamic Azad University, P.O. 73711-13119, Marvdasht, Iran. Tel.: +98 917 7154688; fax: +98 728 3311172. pore using a modeling language for mathematical programming [6]. Mohammadi et al. [7] investigated an optimized design of MG containing PV array, Fuel Cell (FC) and BES in the presence of other Distributed Generators (DGs) under pool and hybrid electricity market model. Ekren and Ekren Banu [8] investigated the size optimization of a PV/WT hybrid energy conversion system with BES using Simulated Annealing (SA) algorithm. Aghamohammadi and Abdolahinia [9] presented a new method for determining optimal size of a BES for primary frequency control of a MG consisting of Micro-Turbine (MT), diesel generator, FC and PV system. Jia et al. [10] proposed a statistical model based on Monte Carlo to determine the capacity of BES-super capacitor hybrid energy storage system in an autonomous MG.

Consequently, the study about BES sizing and its role in MG system has become a topic of interest in many literature. According to the previous sentences, the OMMG is implemented to MG by the MGCC for obtaining optimum generation cost while at the same time the BES with optimal and appropriate size can decrease generation cost and that is why the study of OMMG in the presence of BES sizing has become a common topic subject of discussion. In this regard, an appropriate method on the basis of cost model of BES is proposed in this study in order to determine optimal size of BES for the OMMG problem.

The OMMG problem is one of the backbone optimization tools for smart energy manager or MGCC in which the optimal power set points of BES and DGs are determined while all of the quality,

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Nomenclature	
Indices	
a i <sub>PV</sub> , i <sub>WT</sub>	velocity updating strategy index photo-voltaic (PV) and wind turbine (WT) indi- ces, respectively
iter	iteration index of the proposed Improve Bat Algorithm (IBA)
BES, grid	Battery Energy Storage (BES) and grid indices,
FC, MT	respectively Fuel Cell (FC) and Micro-Turbine (MT) indices, respectively
m t	bat index time index
Constants	
Bid <sub>grid,t</sub> , Bid <sub>BES,t</sub>	, Bid <sub>MT,t</sub> ,
$\operatorname{Bid}_{FC,t}, \operatorname{Bid}_{i_{PV},t}, B$	$id_{i_{WT,t}}$ Bid of utility, BES, MT, FC, PV, WT at time <i>t</i> , respectively ( $\epsilon$ ct/kW h)
FC <sub>BES</sub> , MC <sub>BES</sub> fi	xed and maintenance cost for BES, respectively
	(€ct/kW h)
$f_m^{\max}, f_m^{\min}$	maximum and minimum pulse frequency for bat <i>m</i> , respectively
IR	interest rate for financing the installed BES
Iter_max	maximum number of iteration for the proposed IBA
LT NPOP	lifetime of the installed BES (year) number of bats in the population of IBA
$N_1^{iter}, N_2^{iter}, N_3^{iter}, N_3^$	$N_4^{iter}$ number of bats which select the velocity
	updating strategy 1, 2, 3, and 4, respectively
NT nv	operation time horizon (h) number of variables of each bat
$OR_t$	minutes operating reserve requirements (kW)
$OM_{DG}$	fixed operation and maintenance cost of
<u></u>	Distributed Generators (DGs) (€ct)
$OM_{FC}, OM_{MT}, OM_{i_{PV}}, OM_{i_{WT}}$ fi	xed operation and maintenance cost of FC, MT, PV
ם ם	and WT, respectively (€ct/kW h) <sub>in</sub> maximum/minimum limits of power produc-
Гgrid,max, Гgrid,m	tion for the utility, respectively (kW)
P <sub>Demand,t</sub>	electrical load demand at time $t$ (kW)
	n maximum/minimum producible power of BES, respectively (kW)
P <sub>FC,max</sub> , P <sub>FC,min</sub>	maximum/minimum producible power of FC, respectively (kW)
P <sub>MT,max</sub> , P <sub>MT,mir</sub>	maximum/minimum producible power of MT, respectively (kW)
rand(.)	random function generators in the range [0,1]
rand <sub>m</sub> (1,nv) ra	ndom vector with the dimension of $1 \times nv$ relating to the <i>m</i> th bat
$Shut_{FC}$ , $Shut_{MT}$	shut-down cost coefficient for FC and MT, respec- tively ( $\in$ ct)
$Start_{FC}$ , $Start_{MT}$	start-up cost coefficient for FC and MT, respec- tively (€ct)
tax	tax rate of utility power grid
X <sub>min</sub> , X <sub>max</sub> min	imum and maximum boundary vectors of the con- trol variable <i>X</i> , respectively
$\alpha_{BA}, \gamma_{BA}$	constants parameters for the Bat Algorithm (BA)
$\Delta t$ $\eta_{ m discharge}, \eta_{ m charge}$	time interval duration discharge and charge efficiency of BES, respectively
e	random function generators in the range $[-1,1]$
$\theta$	learning rate to control the learning speed in
Variables	
$A_{mean}^{iter}$	mean of the pulse loudness for all bats in itera-
	tion iter
ACUM <sub>a</sub>	accumulator parameter for velocity updating strategy <i>a</i>

	ax minimum and maximum size of BES
$C_{BES,t}$	energy stored in the BES
Cost <sub>grid,t</sub>	cost of trade with the up-stream grid at time t
eoorgna,i	(€ct)
Cost <sub>DG t</sub> , Cost <sub>BF</sub>	cost of fuel and operating power of DGs and BES
DG,t	at time t, respectively ( $\in$ ct)
$f_m^{iter}, A_m^{iter}, r_m^{iter}$	pulse frequency, loudness and emission rate for bat
	<i>m</i> in iteration <i>iter</i> , respectively
Gbest <sup>iter</sup> , Worst	iter best and worst position among all bats in iter-
	ation iter
F	total costs (€ct)
Mean <sup>iter</sup>	mean population vector in iteration iter
$P_{grid,t}, P_{BES,t}, P_M$	$P_{FC,t}$ , $P_{i_{PV},t}$ and $P_{i_{WT},t}$ power of utility, BES, MT, FC,
	PV and WT, respectively (kW)
$P_{BES,t}, P_{BES,t}$ matrix	aximum discharge and charge rates of BES at time <i>t</i> ,
Pbest <sup>iter</sup>	respectively (kW)
	personal best position of bat $m$ in iteration <i>iter</i> probability of velocity updating strategy $a$
prob <sub>a</sub> SDC SDC	shut-down cost for FC and MT at time $t$ , respec-
SDC <sub>FC,t</sub> ,SDC <sub>MT,t</sub>	tively (€ct)
SUC <sub>FC,t</sub> , SUC <sub>MT,t</sub>	
50CFC,t, 50CM1,t	(€ct)
TCPD	total cost per day of BES ( $\in$ ct)
$TCPD_{BES}$ $X_m^{iter}, V_m^{iter}$	position and velocity of bat <i>m</i> in iteration <i>iter</i> ,
	respectively
$u_{BFSt}, u_{MTt}, u_{FC}$	$f_{c,t}$ status (On or Off) of BES, MT and FC at time $t$ ,
,,	respectively
$WF_m$	weighting factor for the <i>m</i> th bat
Subscript	
t	<i>t</i> th time step (h)
Abbraviations	
Abbreviations	
ARC	Artificial Ree Colony
ABC BA	Artificial Bee Colony Bat Algorithm
BA	Bat Algorithm
BA BES	Bat Algorithm Battery Energy Storage
BA BES DG	Bat Algorithm Battery Energy Storage Distributed Generator
BA BES DG FC	Bat Algorithm Battery Energy Storage Distributed Generator Fixed Cost
BA BES DG FC FC	Bat Algorithm Battery Energy Storage Distributed Generator Fixed Cost Fuel Cell
BA BES DG FC FC FSAPSO	Bat Algorithm Battery Energy Storage Distributed Generator Fixed Cost Fuel Cell Fuzzy Self Adaptive Particle Swarm Optimization
BA BES DG FC FC FSAPSO GA	Bat Algorithm Battery Energy Storage Distributed Generator Fixed Cost Fuel Cell Fuzzy Self Adaptive Particle Swarm Optimization Genetic Algorithm
BA BES DG FC FC FSAPSO GA GENCO	Bat Algorithm Battery Energy Storage Distributed Generator Fixed Cost Fuel Cell Fuzzy Self Adaptive Particle Swarm Optimization Genetic Algorithm Generating Company
BA BES DG FC FC FSAPSO GA GENCO IBA	Bat Algorithm Battery Energy Storage Distributed Generator Fixed Cost Fuel Cell Fuzzy Self Adaptive Particle Swarm Optimization Genetic Algorithm Generating Company Improved Bat Algorithm
BA BES DG FC FC FSAPSO GA GENCO IBA <i>IR</i>	Bat Algorithm Battery Energy Storage Distributed Generator Fixed Cost Fuel Cell Fuzzy Self Adaptive Particle Swarm Optimization Genetic Algorithm Generating Company Improved Bat Algorithm Interest Rate
BA BES DG FC FC FSAPSO GA GENCO IBA IR LT	Bat Algorithm Battery Energy Storage Distributed Generator Fixed Cost Fuel Cell Fuzzy Self Adaptive Particle Swarm Optimization Genetic Algorithm Generating Company Improved Bat Algorithm Interest Rate Lifetime
BA BES DG FC FC FSAPSO GA GENCO IBA <i>IR</i>	Bat Algorithm Battery Energy Storage Distributed Generator Fixed Cost Fuel Cell Fuzzy Self Adaptive Particle Swarm Optimization Genetic Algorithm Generating Company Improved Bat Algorithm Interest Rate
BA BES DG FC FC FSAPSO GA GENCO IBA IR LT MC	Bat Algorithm Battery Energy Storage Distributed Generator Fixed Cost Fuel Cell Fuzzy Self Adaptive Particle Swarm Optimization Genetic Algorithm Generating Company Improved Bat Algorithm Interest Rate Lifetime Maintenance Cost
BA BES DG FC FC FSAPSO GA GENCO IBA IR LT MC MG	Bat Algorithm Battery Energy Storage Distributed Generator Fixed Cost Fuel Cell Fuzzy Self Adaptive Particle Swarm Optimization Genetic Algorithm Generating Company Improved Bat Algorithm Interest Rate Lifetime Maintenance Cost Micro-Grid
BA BES DG FC FC FSAPSO GA GENCO IBA IR LT MC MG MGCC	Bat Algorithm Battery Energy Storage Distributed Generator Fixed Cost Fuel Cell Fuzzy Self Adaptive Particle Swarm Optimization Genetic Algorithm Generating Company Improved Bat Algorithm Interest Rate Lifetime Maintenance Cost Micro-Grid Micro-Grid Central Controller Micro-Turbine
BA BES DG FC FC FSAPSO GA GENCO IBA IR LT MC MG MGCC MT	Bat Algorithm Battery Energy Storage Distributed Generator Fixed Cost Fuel Cell Fuzzy Self Adaptive Particle Swarm Optimization Genetic Algorithm Generating Company Improved Bat Algorithm Interest Rate Lifetime Maintenance Cost Micro-Grid Micro-Grid Central Controller
BA BES DG FC FC FSAPSO GA GENCO IBA IR LT MC MG MGCC MT OMMG	Bat Algorithm Battery Energy Storage Distributed Generator Fixed Cost Fuel Cell Fuzzy Self Adaptive Particle Swarm Optimization Genetic Algorithm Generating Company Improved Bat Algorithm Interest Rate Lifetime Maintenance Cost Micro-Grid Micro-Grid Central Controller Micro-Turbine Operation Management of Micro-Grid Operating Reserve Particle Swarm Optimization
BA BES DG FC FC FSAPSO GA GENCO IBA IR LT MC MG MGCC MT OMMG OR	Bat Algorithm Battery Energy Storage Distributed Generator Fixed Cost Fuel Cell Fuzzy Self Adaptive Particle Swarm Optimization Genetic Algorithm Generating Company Improved Bat Algorithm Interest Rate Lifetime Maintenance Cost Micro-Grid Micro-Grid Central Controller Micro-Turbine Operation Management of Micro-Grid Operating Reserve Particle Swarm Optimization Photo-Voltaic
BA BES DG FC FC FSAPSO GA GENCO IBA IR LT MC MG MGCC MT OMMG OR PSO	Bat Algorithm Battery Energy Storage Distributed Generator Fixed Cost Fuel Cell Fuzzy Self Adaptive Particle Swarm Optimization Genetic Algorithm Generating Company Improved Bat Algorithm Interest Rate Lifetime Maintenance Cost Micro-Grid Micro-Grid Central Controller Micro-Turbine Operation Management of Micro-Grid Operating Reserve Particle Swarm Optimization Photo-Voltaic Renewable Energy Source
BA BES DG FC FC FSAPSO GA GENCO IBA IR LT MC MG MGCC MT OMMG OR PSO PV RES RWM	Bat Algorithm Battery Energy Storage Distributed Generator Fixed Cost Fuel Cell Fuzzy Self Adaptive Particle Swarm Optimization Genetic Algorithm Generating Company Improved Bat Algorithm Interest Rate Lifetime Maintenance Cost Micro-Grid Micro-Grid Central Controller Micro-Turbine Operation Management of Micro-Grid Operating Reserve Particle Swarm Optimization Photo-Voltaic Renewable Energy Source Roulette Wheel Mechanism
BA BES DG FC FC FSAPSO GA GENCO IBA IR LT MC MG MGCC MT OMMG OR PSO PV RES RWM SA	Bat Algorithm Battery Energy Storage Distributed Generator Fixed Cost Fuel Cell Fuzzy Self Adaptive Particle Swarm Optimization Genetic Algorithm Generating Company Improved Bat Algorithm Interest Rate Lifetime Maintenance Cost Micro-Grid Micro-Grid Central Controller Micro-Turbine Operation Management of Micro-Grid Operating Reserve Particle Swarm Optimization Photo-Voltaic Renewable Energy Source Roulette Wheel Mechanism Simulated Annealing
BA BES DG FC FC FSAPSO GA GENCO IBA <i>IR</i> <i>LT</i> <i>MC</i> MG MGCC MT OMMG OR PSO PV RES RWM SA SMES	Bat Algorithm Battery Energy Storage Distributed Generator Fixed Cost Fuel Cell Fuzzy Self Adaptive Particle Swarm Optimization Genetic Algorithm Generating Company Improved Bat Algorithm Interest Rate Lifetime Maintenance Cost Micro-Grid Micro-Grid Central Controller Micro-Grid Central Controller Micro-Turbine Operation Management of Micro-Grid Operating Reserve Particle Swarm Optimization Photo-Voltaic Renewable Energy Source Roulette Wheel Mechanism Simulated Annealing Superconducting Magnetic Energy Storage
BA BES DG FC FC FSAPSO GA GENCO IBA <i>IR</i> <i>LT</i> <i>MC</i> MG MGCC MT OMMG OR PSO PV RES RWM SA SMES SP	Bat Algorithm Battery Energy Storage Distributed Generator Fixed Cost Fuel Cell Fuzzy Self Adaptive Particle Swarm Optimization Genetic Algorithm Generating Company Improved Bat Algorithm Interest Rate Lifetime Maintenance Cost Micro-Grid Micro-Grid Central Controller Micro-Turbine Operation Management of Micro-Grid Operating Reserve Particle Swarm Optimization Photo-Voltaic Renewable Energy Source Roulette Wheel Mechanism Simulated Annealing Superconducting Magnetic Energy Storage Successful Performance
BA BES DG FC FC FSAPSO GA GENCO IBA IR LT MC MG MGCC MT OMMG OR PSO PV RES RWM SA SMES SP Std	Bat Algorithm Battery Energy Storage Distributed Generator Fixed Cost Fuel Cell Fuzzy Self Adaptive Particle Swarm Optimization Genetic Algorithm Generating Company Improved Bat Algorithm Interest Rate Lifetime Maintenance Cost Micro-Grid Micro-Grid Central Controller Micro-Grid Central Controller Micro-Turbine Operation Management of Micro-Grid Operating Reserve Particle Swarm Optimization Photo-Voltaic Renewable Energy Source Roulette Wheel Mechanism Simulated Annealing Superconducting Magnetic Energy Storage Successful Performance Standard deviation
BA BES DG FC FC FSAPSO GA GENCO IBA <i>IR</i> <i>LT</i> <i>MC</i> MG MGCC MT OMMG OR PSO PV RES RWM SA SMES SP Std TLBO	Bat Algorithm Battery Energy Storage Distributed Generator Fixed Cost Fuel Cell Fuzzy Self Adaptive Particle Swarm Optimization Genetic Algorithm Generating Company Improved Bat Algorithm Interest Rate Lifetime Maintenance Cost Micro-Grid Micro-Grid Central Controller Micro-Grid Central Controller Micro-Turbine Operation Management of Micro-Grid Operating Reserve Particle Swarm Optimization Photo-Voltaic Renewable Energy Source Roulette Wheel Mechanism Simulated Annealing Superconducting Magnetic Energy Storage Successful Performance Standard deviation Teaching-Learning-Based Optimization
BA BES DG FC FC FSAPSO GA GENCO IBA <i>IR</i> <i>LT</i> <i>MC</i> MG MGCC MT OMMG OR PSO PV RES RWM SA SMES SP Std TLBO TCPD	Bat Algorithm Battery Energy Storage Distributed Generator Fixed Cost Fuel Cell Fuzzy Self Adaptive Particle Swarm Optimization Genetic Algorithm Generating Company Improved Bat Algorithm Interest Rate Lifetime Maintenance Cost Micro-Grid Micro-Grid Central Controller Micro-Grid Central Controller Micro-Turbine Operation Management of Micro-Grid Operating Reserve Particle Swarm Optimization Photo-Voltaic Renewable Energy Source Roulette Wheel Mechanism Simulated Annealing Superconducting Magnetic Energy Storage Successful Performance Standard deviation Teaching-Learning-Based Optimization Total Cost Per Day
BA BES DG FC FC FSAPSO GA GENCO IBA <i>IR</i> <i>LT</i> <i>MC</i> MG MGCC MT OMMG OR PSO PV RES RWM SA SMES SP Std TLBO	Bat Algorithm Battery Energy Storage Distributed Generator Fixed Cost Fuel Cell Fuzzy Self Adaptive Particle Swarm Optimization Genetic Algorithm Generating Company Improved Bat Algorithm Interest Rate Lifetime Maintenance Cost Micro-Grid Micro-Grid Central Controller Micro-Grid Central Controller Micro-Turbine Operation Management of Micro-Grid Operating Reserve Particle Swarm Optimization Photo-Voltaic Renewable Energy Source Roulette Wheel Mechanism Simulated Annealing Superconducting Magnetic Energy Storage Successful Performance Standard deviation Teaching-Learning-Based Optimization

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