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Tropical Intraseasonal Variability in 14 IPCC AR4 Climate Models Part I: Convective Signals

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Abstract

This study evaluates the tropical intraseasonal variability, especially the fidelity of Madden-Julian Oscillation (MJO) simulations, in 14 coupled general circulation models (GCMs) participating in the Inter-governmental Panel on Climate Change (IPCC) Fourth Assessment Report (AR4). Eight years of daily precipitation from each model's 20th century climate simulation are analyzed and compared with daily satellite retrieved precipitation. Space-time spectral analysis is used to obtain the variance and phase speed of dominant convectively coupled equatorial waves, including the MJO, Kelvin, equatorial Rossby (ER), mixed Rossby-gravity (MRG), and eastward inertio-gravity (EIG) and westward inertio-gravity (WIG) waves. The variance and propagation of the MJO, defined as the eastward wavenumbers 1-6, 30-70 day mode, are examined in detail.

The results show that current state-of-the-art GCMs still have significant problems and display a wide range of skill in simulating the tropical intraseasonal variability. The total intraseasonal (2-128 day) variance of precipitation is too weak in most of the models. About half of the models have signals of convectively coupled equatorial waves, with Kelvin and MRG-EIG waves especially prominent. However, the variances are generally too weak for all wave modes except the EIG wave, and the phase speeds are generally too fast, being scaled to excessively deep equivalent depths. An interesting result is that this scaling is consistent within a given model across modes, in that both the symmetric and antisymmetric modes scale similarly to a certain equivalent depth. Excessively deep equivalent depths suggest that these models may not have a large enough reduction in their "effective static stability" due to diabatic heating. The MJO variance approaches the observed value in only two of the 14 models, but is less than half of the observed value in the other 12 models. The ratio between the eastward MJO variance and the variance of its westward counterpart is too small in most of the models, which is consistent with the lack of highly coherent eastward propagation of the MJO in many models. Moreover, the MJO variance in 13 of the 14 models does not come from a pronounced spectral peak, but usually is associated with an overreddened spectrum, which in turn is associated with a too strong persistence of equatorial precipitation. The two models that arguably do best at simulating the MJO are the only ones having convective closures/triggers linked in some way to moisture convergence.

1. Introduction

More than one-third of the earth's precipitation falls in the equatorial belt between 15° north and 15° south, and the released latent heat plays an important role in driving tropical circulations and in supplying energy to balance the radiative heat losses and "fuel" the wind systems of middle and high latitudes (e.g. Simpson et al. 1988). It is well known that precipitation in the equatorial belt does not occur randomly, but is often organized by convectively coupled large-scale equatorial waves, such as the MJO (Madden and Julian 1971), Kelvin, ER, MRG, EIG and WIG waves (e.g. Takayabu 1994; Wheeler and Kiladis 1999, hereafter WK).

The MJO is the dominant tropical intraseasonal mode and a key source of untapped predictability in both the tropics and extratropics (e.g. WK, Wheeler and Weickmann 2001, Schubert et al. 2002, Waliser et al. 2003a, 2005; see schematics in Fig. 1). The MJO is characterized by a convectively "forced" and highly-viscous Kelvin-Rossby wave moving eastward from the western Indian Ocean to the dateline with a slow phase speed of about 5 m/s (e.g. Knutson and Weickmann 1987, Wang and Rui 1990, Salby and Hendon 1994, Lin et al. 2005). The MJO often excites in the eastern Pacific a fast dry Kelvin mode with a phase speed of about 50 m/s (e.g. Madden and Julian 1972, Weickmann et al. 1997), and in northern summer there is often a local amplification of the MJO over the eastern Pacific ITCZ near Central America (Knutson and Weickmann 1987; Maloney and Hartmann 2000). The MJO significantly affects a wide range of tropical weather such as the onset and breaks of the Indian and Australian summer monsoons (e.g. Yasunari 1979, Hendon and Liebmann 1990), and the formation of tropical cyclones in almost all basins (e.g. Liebmann et al. 1994, Maloney and Hartmann

2001a). Being a strong tropical heating source, the MJO also drives teleconnections to the extratropics (e.g., Weickmann et al. 1985, Berbery and Paegle 1993) and impacts precipitation events in both the western United States (e.g. Mo and Higgins 1998, Higgins et al. 2000) and South America (e.g. Paegle et al. 2000; Jones and Schemm 2000). It also appears to affect both the Arctic Oscillation and Antarctic Oscillation (e.g. Miller et al. 2003, Carvalho et al. 2005). On a longer timescale, the MJO has been implicated in the triggering or termination of some El Nino events (e.g. Kessler et al. 1995, Takayabu et al. 1999, Bergman et al. 2001). Therefore, the MJO is important for both weather prediction and climate prediction.

Unfortunately, poor simulation of the MJO is a fairly generic problem in GCMs. Typically, model MJOs are too weak and propagate too fast (e.g. Hayashi and Sumi 1986, Hayashi and Golder 1986, 1988, Lau et al. 1988, Slingo et al. 1996). The Atmospheric Model Intercomparison Project (AMIP) study by Slingo et al. (1996) found that no model has captured the dominance of the MJO in space-time spectral analysis found in observations, and nearly all have relatively more power at higher frequencies (<30 days) than in observations. Recently, several models are getting stronger MJO variance and/or more coherent eastward propagation (e.g. Lee et al. 2001, 2003, Maloney and Hartmann 2001b, Waliser et al. 2003b, Sperber et al. 2005, Zhang et al. 2005, Zhang and Wu 2005). However, as pointed by Waliser et al. (2003b). when a model does exhibit a relatively good MJO, one can at best only give vague or plausible explanations for its relative success. This inhibits the extension of current model success to future versions.

Factors hypothesized to be important for MJO simulations include model physics, model resolution, and air-sea coupling. Previous modeling studies showed that MJO simulations are quite sensitive to changes in model physics, especially the deep convection scheme. Slingo et al. (1996) found that schemes with convective available potential energy (CAPE) type closure tend to produce more realistic MJO signals. Improvements of MJO simulations were also found by adding moisture triggers to the deep convection schemes (e.g. Tokioka et al. 1988, Wang and Schlesinger 1999, Lee et al. 2003), or by including convective downdrafts and convective rain evaporation (Maloney and Hartmann 2001b). Other aspects of model physics may also be important for the MJO simulation, such as the vertical heating profile (Park et al. 1990, Lin et al. 2004) and cloud radiative heating (Lee et al. 2001, Lin and Mapes 2004).

In addition to model physics, MJO simulation was found to be improved when using higher horizontal resolution (e.g. Kuma 1995 LISTED AS 1994 IN THE REFERENCES) and/or vertical resolution (Inness et al. 2001). Coupling to the ocean has been found by many studies to improve the MJO signals (e.g. Flatau et al. 1997, Waliser et al. 1999, Sperber et al. 2005), although changes in model's mean state need to be taken into account (e.g. Hendon 2000, Inness and Slingo 2003, Sperber et al. 2005), because the mean state strongly affects wave-heating feedback in the MJO, for example, by providing the mean surface wind determining the sign of WISHE (Wind Induced Surface Heat Exchange) feedback (Emanuel 1987, Neelin et al. 1987), or by providing strong equivalent linear mechanical damping making the MJO a highly viscous oscillation (Lin et al. 2005).

Recently, in preparation for the Inter-governmental Panel on Climate Change (IPCC) Fourth Assessment Report (AR4), more than a dozen international climate modeling centers conducted a comprehensive set of long-term simulations for both the 20th century's climate and different climate change scenarios in the 21st century. Before conducting the extended simulations, many of the modeling centers applied an overhaul to their physical schemes to incorporate the state-of-the-art research results. For example, almost all modeling centers have implemented prognostic cloud microphysics schemes to their models, some have added a moisture trigger to their deep convection schemes, and some now take into account convective momentum transport. Moreover, many modeling centers increased their models' horizontal and vertical resolutions and some conducted experiments with different resolutions. Some also did AMIP runs in addition to the standard coupled runs. Therefore, it is interesting to assess the MJO simulations in this new generation of climate models to look at the effects of the updated physical processes, higher resolution and air-sea coupling. Such an evaluation is also important for evaluating the general performance of the climate models used for climate change projections in the IPCC AR4.

In addition to the MJO, other convectively coupled equatorial waves mentioned above also strongly affect the tropical weather, for example, the occurrence of westerly wind burst events (e.g. Kiladis et al. 1994, Hartten 1996) and the formation of tropical cyclones (e.g. Dickinson and Molinari 2002, Goswami et al. 2003). Because changes in tropical weather such as tropical cyclones are important aspects of climate change, it is relevant to check whether these convectively coupled equatorial waves are well simulated by the IPCC AR4 climate models along with the MJO.

The purpose of this study is to evaluate the tropical intraseasonal variability of convection in 14 IPCC AR4 climate models, with an emphasis on their MJO simulations. The questions we address are:

(1) How well do the IPCC AR4 models simulate the precipitation signals associated with convectively coupled equatorial waves, especially the MJO?

(2) Is there any systematic dependence of model MJO simulations on the basic characteristics of convection schemes, such as closure assumption, or model resolution?

(3) Is there any common bias that is important for the MJO simulation?

The models and validation datasets used in this study are described in section 2. The diagnostics methods are described in section 3. The results are presented in section 4. Summary and discussion are given in section 5.

2. Models and validation datasets

This analysis is based on eight years of the Climate of the 20th Century (20C3M) simulations from 14 coupled GCMs. Table 1 shows the model names and acronyms, their horizontal and vertical resolutions, and brief descriptions of their deep convection schemes. For each model we use eight years of daily mean surface precipitation.

The model simulations are validated using multiple observational datasets. To bracket the uncertainties associated with precipitation measurements/retrievals, especially the well-known difference between infrared (IR) based retrievals and microwave-based retrievals (e.g. Yuter and Houze 2000), we use two different precipitation datasets: (1) 8 years (1997-2004) of daily GOES Precipitation Index (GPI, Janowiak and Arkin 1991) precipitation with a horizontal resolution of 2.5 degree longitude by 2.5 latitude, which is retrieved based on IR measurements from multiple geostationary satellites; and (2) 8 years (1997-2004) of daily Global Precipitation Climatology Project (GPCP) OneDegree-Daily (1DD) Precipitation (Huffman et al. 2001) with a horizontal resolution of 1 degree longitude by 1 degree latitude, which is IR-based GPI retrievals scaled by the monthly means of microwave-based SSM/I retrievals.

3. Method

a Identification of the dominant intraseasonal modes

Through the space-time spectral analysis of OLR, Takayabu (1994) and WK demonstrated that a significant portion of tropical cloudiness appears to be organized in waves corresponding to the normal modes of the linear shallow water system isolated by Matsuno (1966). In WK, these spectra represent the power remaining in the symmetric and antisymmetric components of OLR about the equator after dividing raw wavenumber-frequency spectra by an estimate of the background spectrum. Peaks standing above the background correspond to the Kelvin, n=1 equatorial Rossby (ER), mixed Rossby-gravity (MRG), n=0 eastward inertio-gravity (EIG), n=1 westward inertio-gravity (WIG) and n=2 WIG waves. It was found that the dispersion curves that best match the wavenumber-frequency characteristics of these waves have surprisingly shallow equivalent depths in the range of around 25 m, which is about an order of magnitude smaller than that expected for a free wave with a similar vertical wavelength twice the depth of the troposphere (e.g. Salby and Garcia 1987; Wheeler et al. 2000).

Using the methodology of WK, space-time spectra of daily tropical precipitation were obtained for the 8 years of model data used in this study and compared with those of eight years of observed precipitation estimates from the GPI and 1DDD data sets. We will briefly outline this procedure here, and refer the reader to WK for further details. The model and validation precipitation data were first interpolated to a zonal resolution of 5 degree longitude with the latitudinal resolution varying from model to model (Table 1). As demonstrated by WK, the convectively coupled equatorial waves are either symmetric or antisymmetric about the equator, in accordance with shallow water theory. A gridded field D that is a function of latitude, φ , can be written as $D(\varphi) = DA(\varphi) + DS(\varphi)$, where $DA(\varphi) = [D(\varphi) - D(-\varphi)]/2$ is the antisymmetric component, and $DS(\varphi) = [D(\varphi) + D(-\varphi)]/2$ is the symmetric component. We first decomposed the precipitation into its antisymmetric and symmetric components, averaged these from 15N to 15S, and computed spectra of the averaged values. Mathematically, this is equivalent to the procedure used in WK in which spectra of the symmetric/antisymmetric components were computed separately for each latitude, then averaged together.

To reduce noise space-time spectra were calculated as in WK for successive overlapping segments of data and then averaged, here 128 days long with 78 days of overlap between each segment. Complex Fourier coefficients are first obtained in zonal planetary wavenumber space, which are then subjected to a further complex FFT to obtain the wavenumber-frequency spectrum for the symmetric and antisymmetric components of precipitation about the equator.

An estimate of the "background" space-time spectrum is obtained for each data set by averaging the power of the symmetric and antisymmetric spectra and smoothing this by successive passes of a 1-2-1 filter in frequency and wavenumber (see WK). The raw spectra are then divided by this background to obtain an estimate of the signal standing above the background noise. In WK, power at 1.1 times the background or greater was deemed significant, base on a crude estimate of the degrees of freedom involved. In reality, a true estimate of the degrees of freedom is difficult to obtain due to the complications of simultaneous autocorrelation in both space and time. Here, since the data sets used are significantly shorter than those used in WK (eight versus eighteen years), we assume the signal is significant if it stands at 1.2 times (or 20% above) the background. It should be emphasized that, while this is only a rough estimate of the true "significance" of the signals, the intent is to simply identify those modes which might represent signals in rainfall standing above a simple red noise continuum that would presumably prevail if rainfall were not organized by disturbances on the large scale.

b Isolating the Kelvin, ER, MRG, EIG and WIG modes

In this paper, the definitions of Kelvin, ER, MRG, EIG and WIG modes are exactly the same as in WK, and were isolated using the same method: Each mode was isolated by filtering in the wavenumber-frequency domain (see Fig. 6 of WK for the defined regions of filtering for each wave), and the corresponding time series were obtained by inverse space-time Fourier transform.

c Isolating the MJO mode

In this paper, the MJO is defined as significant rainfall variability in eastward wavenumbers 1-6 and in the period range of 30-70 days. To isolate the MJO mode, first we used an inversed space-time Fourier transform to get the time series of the eastward wavenumber 1-6 component, which includes all available frequencies. Then these time series were filtered using a 365-point 30-70 day Lanczos filter (Duchan 1979), whose response function is shown in Fig. 2. Because the Lanczos filter is non-recursive, 182 days of data were lost at each end of the time series (364 days in total). The resultant

eastward wavenumbers 1-6, 30-70 day anomaly is hereafter referred to as the MJO anomaly.

The variance of the MJO anomaly was also compared with the variance of its westward counterpart, i.e., the westward wavenumbers 1-6, 30-70 day anomaly, which was isolated using the same method as above except for westward wavenumbers 1-6.

It is important to note that we only focus on the MJO, which propagates eastward and amplifies to a seasonal maximum on the equator near vernal equinox and, to a weaker degree, again near autumnal equinox, when climatological convection and warm SST cross the equator (Salby and Hendon 1994). Analysis of the Boreal Summer Intraseasonal Oscillation (BSIO; e.g. Yasunari 1979, Knutson et al. 1986, Kemball-Cook and Wang 2001, Lawrence and Webster 2002, Straub and Kiladis 2003, Waliser et al. 2003c, among many others), which has a major northward propagating component and has its maximum variance in the Asian monsoon region, is beyond the scope of this study.

4. Results

a Climatological precipitation in the equatorial belt

Previous observational studies indicate that the intraseasonal variance of convection is highly correlated with time-mean convective intensity (e.g. WK, Hendon et al. 1999). Therefore we first look at the eight-year time-mean precipitation along the equatorial belt, especially over the Indo-Pacific warm pool region, where most of the convectively coupled equatorial waves have the largest variance (WK). Fig. 3a shows the annual mean precipitation versus longitude averaged between 15N and 15S. To focus on the largescale features, we smoothed the data zonally to retain only zonal wavenumbers 0-6. All models reproduce the basic feature of observed precipitation, with the primary maximum over the Indo-Pacific warm pool region, and two secondary maxima over Central/South America and Africa. The magnitude of precipitation over the warm pool in all models is close to observations. Within the warm pool region, several models (GFDL-CM2.0, GFDL-CM2.1, CCSM3, GISS-AOM, CNRM-CM3, MIROC3.2-medres) do not reproduce the local minimum of precipitation over the maritime continent, and there is a tendency for the models to produce more precipitation over the western Pacific than over the eastern Indian Ocean, which is a feature in 1DD data but not in GPI data. Outside the warm pool region, two notable common biases are excessive rainfall over the eastern Pacific in most models and insufficient rainfall over Central/South America in many models.

When the precipitation is averaged over a narrower belt closer to the equator between 5N and 5S, models show a larger scatter in their performance, especially over the western Pacific (Fig. 3b). Several models (CGCM3.1-T47, MIROC3.2-medres, MIROC3.2-hires, CCSM3, GISS-ER) produce much greater precipitation than in observations, and produce much larger precipitation over the western Pacific than over the eastern Indian Ocean, a feature that is not observed. On the other hand, several other models (PCM, CNRM-CM3) show too weak precipitation over western Pacific, which is significantly smaller than their corresponding 15N-15S average (Fig. 3a). This is caused by the prominent double-ITCZ pattern in their horizontal maps (not shown). Outside the warm pool region, most models (except GISS-AOM, GISS-ER and MIROC3.2-hires) reproduce the precipitation minimum over the eastern Pacific trade wind cumulus region reasonably well, but there is a large scatter over Africa and Atlantic Ocean.

In short, the climatological precipitation over the Indo-Pacific warm pool is reasonably simulated by IPCC AR4 climate models, except that several models (PCM, CNRM-CM3, MRI-CGCM2.3.2) produce too weak precipitation on the equator in western Pacific due to their double-ITCZ problem.

b Total intraseasonal (2-128 day) variance and raw space-time spectra

Fig. 4 shows the variance of the 2-128 day precipitation anomaly along the equator averaged between (a) 15N-15S, and (b) 5N-5S. Despite their reasonable annual mean precipitation over the Indo-Pacific warm pool, the total intraseasonal variance in most models is smaller than in observations. There is a tendency for the models to have larger variance over the western Pacific than over the Indian Ocean, which is consistent with their tendency to have larger annual mean precipitation over the western Pacific (Fig. 3), and is consistent with the result of the Atmospheric GCM analysis of Waliser et al. (2003d) that models did a very poor job with the means and variances over the Indian Ocean. The variance in several models (e.g. ECHAM5/MPI-OM, MIROC3.2-medres, CGCM3.1-T47) approaches the observed value on the equator over the western Pacific (Fig. 4b).

The symmetric space-time spectra of the two observational rainfall data sets, GPI and 1DD, are shown in Fig. 5a, and Fig. 5b. These spectra are nearly identical to each other, and also very similar to those obtained by WK, even though WK used OLR instead of the blend of precipitation estimates comprising the GPI and 1DD data sets. As in WK, the spectra are very red in time and space, with most power at the largest spatial scales and lowest frequencies. Despite this redness, distinct spectral peaks and gaps are evident even in these raw spectra. One obvious feature is the dominance of eastward over

westward power at low wavenumbers and frequencies, a signal corresponding to the MJO. Other peaks also correspond to known equatorial wave modes, and will be discussed further below.

The remainder of Fig. 5 displays the corresponding spectra from the various models examined for this study, using identical contour intervals and shading as in Figs. 5a, and b (recall that these spectra are calculated for identical daily and 10 degree horizontal resolutions). There are two important features in the model spectra. First, all models except the MIROC3.2 models have much less power than observed at periods shorter than 6 days, while many of the models (e.g. CCSM3, PCM, GISS-AOM, GISS-ER, MRI-CGCM2.3.2, CGCM3.1-T47, IPSL-CM4, CSIRO Mk3.0) also have less power than observed at periods longer than 6 days. These are consistent with the too weak total intraseasonal (2-128 days) variances in these models (Fig. 4). Second, in some model spectra (e.g. GISS-ER) westward over eastward power is too strong at MJO time scales, while in others (e.g. CCSM, PCM1, GISS-AOM) the power is more evenly distributed. If the eastward signals and the westward signals are coherent with each other, they would form more standing oscillations rather than the predominance of eastward propagations in observations. The characteristics of the antisymmetric spectra, in terms of total power and redness, are generally similar to Fig. 6 and so will not be shown here.

In summary, the total intraseasonal (2-128 day) variance of precipitation in most models is smaller than in observations. The space-time spectra of most models have much less power than observed, especially at periods shorter than 6 days. In some model spectra westward over eastward power is too strong at MJO time scales, while in others the power is more evenly distributed.

c Dominant intraseasonal modes

Fig. 6 and Fig. 7 show the results of dividing the symmetric and antisymmetric raw spectra by the estimates of their background spectra. This normalization procedure removes a large portion of the systematic biases within the various models and observed data sets in Fig. 5, more clearly displaying the model disturbances with respect to their own climatological variance at each scale.

Signals of the Kelvin, ER, and WIG waves are readily identified in the symmetric spectra (Fig. 6a), along with the MRG and EIG waves in the antisymmetric spectra (Fig. 7a). The MJO also appears as a prominent signal, especially in the symmetric spectra. Dispersion curves of the shallow water modes are also shown on all spectra, corresponding to equivalent depths of 8, 12, 25, 50, and 90 m. As in the OLR spectra of WK, all of the observed spectral peaks corresponding to shallow water modes best match an equivalent depth of around 25 m in the observational rainfall data.

About half of the models appear to have signals of convectively coupled equatorial waves, with especially Kelvin and MRG-EIG waves prominent. This is an extremely encouraging finding, because previous versions of some of these same models had very little in the way of signal corresponding to these modes (Wheeler 1998). Since it is thought that the interplay between convectively coupled waves are important to the low frequency variability of the tropical atmosphere (e.g. Majda and Biello 2004, 2005; Moncrieff 2004; Kiladis et al. 2005), the existence of a wide variety of observed equatorial waves in these models opens the possibility that such scale interactions could be represented with current parameterization schemes. However, it turns out that the majority of the models with good signals (e.g. GISS-ER, MIROC3.2-hires, MRI-

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CGCM2.3.2, IPSL-CM4) have too fast phase speeds and scale these disturbances to equivalent depths of around 50 m, with some scaling closer to 90 m (e.g. GISS-AOM, CCSM). Only one model, the ECHAM5/MPI-OM, has signals which scale closely to the observed 25 m for all modes. Interestingly, this scaling is consistent within a given model across modes (i.e., all modes scale similarly to a certain equivalent depth within a given pair of symmetric and antisymmetric spectra). This is indicative of similar physical processes linking the convection and large-scale disturbances within each model.

The spectral signature of the MJO is also represented in many of the models with varying realism. In observations, there is a clear distinction between eastward power in the MJO range and westward power associated with ER waves. Some of the models (GFDL-CM2.1, GISS-AOM, MRI-CGCM2.3.2, ECHAM5/MPI-OM) represent this distinction to some extent, with the eastward power lying at a constant frequency across all wavenumbers and the westward power lying more along the ER dispersion curves, or at least at a somewhat higher frequency. In other models (PCM, GISS-ER, CGCM3.1-T47) the westward power is confined more to the lower frequencies with >30 day periods, which would represent a standing oscillation if coherent with the eastward portion of the signal. This is confirmed by further analysis below in Sections 4c and 4e. Still other models have eastward but little westward power (CCSM, CNRM-CM3), while the MIROC3.2-medres and MIROC3.2-hires models have prominent Kelvin and ER signals but little in the way of power in the MJO range.

When a model displays signals of a certain wave mode in Figs. 6 and 7, it only means that the variance of that wave mode stands out above the background spectra (i.e., a high signal-to-noise ratio), but the absolute value of the variance of that wave mode may not be large. Therefore, it is interesting to look further at the absolute values of the variance of each wave mode. Fig. 8 shows the variances of (a) Kelvin, (b) ER, (c) MRG, (d) EIG, and (e) WIG modes along the equator averaged between 15N and 15S. For the Kelvin mode (Fig. 8a), all models show too weak variance except that MIROC3.2-medres and MIROC3.2-hires show strong variance over the maritime continent, but they do not capture the observed longitudinal distribution. For the ER mode (Fig. 8b), all models produce too weak variance except ECHAM5/MPI-OM, which reproduces amazingly the observed magnitude and longitudinal distribution of the variance. For the MRG mode (Fig. 8c), which is important for tropical cyclone genesis, all models simulate too weak variance except that MIROC3.2-medres simulates a strong variance over the maritime continent. For the EIG mode (Fig. 8d), unlike other modes, many models (ECHAM5/MPI-OM, GFDL-CM2.0, GFDL-CM2.1, CGCM3.1-T47, MRI-CGCM2.3.2) produce realistic or too strong variance. In particular, GFDL-CM2.1 reproduces quite well the observed magnitude and longitudinal distribution. For the WIG mode (Fig. 8e), all models simulate too weak variance except MIROC3.2-medres, which simulates a strong variance over the maritime continent.

Overall, there are three important conclusions that can be drawn from Fig. 8. First, most models produce too weak variances for Kelvin, ER, MRG, EIG and WIG waves, suggesting that the models do not have enough wave-heating feedback in those waves, which is consistent with the too fast phase speeds of those waves in the models. Second, there are one or two models that produce strikingly realistic variances for some of the waves, for example, ER wave in ECHAM5/MPI-OM, and EIG wave in GFDL-CM2.1. Whether this is caused by some fundamental processes in these models or merely by

accident needs further study in the future. Third, many models produce very strong EIG variance, which is in sharp contrast with their inability in simulating other modes. This is very interesting and warrants further studies.

In summary, about half of the models have signals of convectively coupled equatorial waves, with Kelvin and MRG-EIG waves especially prominent. However, the variance is generally too weak for all wave modes except the EIG wave. Furthermore, the majority of the models with wave signals show phase speeds that are too fast, and scale these disturbances to equivalent depths which are larger than the observed value. Interestingly, this scaling is consistent within a given model across modes, in that both the symmetric and antisymmetric modes scale similarly to a particular equivalent depth, which is indicative of similar physical processes linking the convection and large-scale disturbances within each model.

d Variance of the MJO mode

Now we focus on the variance of the MJO mode, i.e., the daily variance in the MJO window of eastward wavenumbers 1-6 and periods of 30-70 days. Fig. 9a shows the variance of the MJO anomaly along the equator averaged between 15N and 15S. The MJO variance approaches the observed value in two of the 14 models, ECHAM5/MPI-OM and CNRM-CM3 (Indian Ocean only), but is less than half of the observed value in the other 12 models. The finding that two models produce nearly realistic MJO precipitation variance is very encouraging since too weak precipitation variance in the MJO wavenumber-frequency band has been a long-standing problem in GCMs, in spite of the fact that many of these models have reasonable values of zonal wind variance. From the viewpoint of weather and climate prediction, a realistic MJO precipitation

signal is more desirable because it is the latent heat released by precipitation that drives teleconnections to subtropics and extratropics and leads to useful predictability.

The 15N-15S belt analyzed above is a wide belt. As shown by Wang and Rui (1990), eastward propagating MJO precipitation events occur most often on the equator, with the frequency of occurrence decreasing away from the equator. Therefore it is of interest to see if the models capture this equatorial maximum of MJO variance. Fig. 9b is same as Fig. 9a except for precipitation averaged between 5N and 5S. For both of the two observational data, the 5N-5S variance is about twice as large as the 15N-15S variance. As in Fig. 9a ECHAM5/MPI-OM and CNRM-CM3 (Indian Ocean only) are most realistic with GFDL-CM2.0, GFDL-CM2.1, IPSL-CM4, CSIRO Mk3.0, and CGCM3.1-T47 (western Pacific only) showing improved MJO variance compared to the 15N-15S data. The models with double-ITCZ pattern (e.g. CNRM-CM3) can not reproduce the improvement in the western Pacific.

In addition to the variance of the eastward MJO, another important index for evaluating the MJO simulation is the ratio between the variance of the eastward MJO and that of its westward counterpart, i.e., the westward wavenumbers 1-6, 30-70 day mode, which is important for the zonal propagation of tropical intraseasonal oscillation. Fig. 10 shows the ratio between the eastward variance and the westward variance averaged over (a) an Indian Ocean box between 5N-5S and 70E-100E, and (b) a western Pacific box between 5N-5S and 140E-170E. Over the Indian Ocean (Fig. 10a), the eastward MJO variance roughly triples the westward variance in observations. Of the 14 models, two models (CNRM-CM3 and CSIRO Mk3.0) simulate a realistic or too large ratio, while the other 12 models produce a too small ratio, although the ratio is significantly larger than

one (i.e., eastward variance dominates over westward variance) in seven of the models (GFDL-CM2.0, GFDL-CM2.1, CCSM3, MIROC3.2-medres, MIROC3.2-hires, MRI-CGCM3.0, ECHAM5/MPI-OM). Over the western Pacific (Fig. 10b), again, the eastward MJO variance nearly triples its westward counterpart in observations. However, only one model (MIROC3.2-medres) produces a realistic ratio, while all the other models produce a too small ratio.

The competition between the eastward MJO variance and its westward counterpart largely determines the zonal propagation characteristics of tropical intraseasonal oscillation. A useful method for evaluating the MJO simulation is to look at the propagation of 30-70 day filtered anomaly of the raw precipitation data, which include all wavenumbers (zonal mean, eastward wavenumbers 1-6, westward wavenumbers 1-6, eastward wavenumbers 7 and up, westward wavenumbers 7 and up), to see if the MJO mode (the eastward wavenumbers 1-6 mode) dominates over other modes, as is the case in observations (e.g. Weickmann et al. 1985, 1997, Kiladis and Weickmann 1992, Lin and Mapes 2004). Because the tropical intraseasonal oscillation is dominated by zonally asymmetric, planetary-scale phenomena, the competition is mainly between the MJO and its westward counterpart - the westward wavenumbers 1-6 component. Fig. 11 shows the lag-correlation of 30-70 day precipitation anomaly averaged between 5N and 5S with respect to itself at 0N85E. Both observational data sets show prominent eastward propagating signals of the MJO, with a phase speed of about 7 m/s. The models display a wide range of propagation characteristics that are consistent with the ratio between the eastward MJO variance and its westward counter part shown in Fig. 10a. The two models with a realistic or too large ratio (CNRM-CM3 and CSIRO Mk3.0) shows a highly coherent eastward propagating signal. The phase speed is realistic in CSIRO Mk3.0, but is a little too slow in CNRM-CM3. The models with the eastward/westward ratio being smaller than in observations but still sufficiently larger than one (GFDL-CM2.0, GFDL-CM2.1, CCSM3, MIROC3.2-medres, MIROC3.2-hires, MRI-CGCM3.0, ECHAM5/MPI-OM) show only discernable eastward propagating signals. Other models with the ratio being nearly equal to or smaller than one (PCM, GISS-AOM, GISS-ER, MRI-CGCM2.3.2, and CGCM3.1-T47) show standing oscillations or westward propagating signals. The results are similar when using a western Pacific reference point (not shown).

Next we apply more detailed scrutiny to the MJO precipitation variance by looking at the shape of the power spectrum. Fig. 12a shows the raw spectrum of eastward wavenumber 1-6 component at 0N85E. Because it is difficult to see the shape of spectrum for several models with too small variance, we also plotted the normalized spectrum (raw spectrum divided by its total variance) in Fig. 12b. Both of the two observational datasets show prominent spectral peaks between 30 and 70 days periods, with the power of 1DD lower than that of GPI. Most of the models with relatively large MJO variance (ECHAM5/MPI-OM, GFDL-CM2.0, GFDL-CM2.1) do not show a pronounced spectral peak in the MJO frequency band, but show too red of a spectrum (i.e., the variance of the MJO band does not stand above but is simply embedded within a red noise continuum). Most models with weak MJO variance (e.g. CCSM3, PCM) also lack a spectral peak in the MJO band, but show a too red spectrum. The only model showing a prominent spectral peak in MJO band is CNRM-CM3, whose power is similar to that of 1DD. Results for 0N155E (western Pacific) are similar (not shown).

For the AMIP models, Slingo et al. (1996) found that deep convection schemes with CAPE-type closure tend to produce more realistic MJO signals than schemes with moisture-convergence-type closure, but we find reverse dependence in the IPCC AR4 models. The two models that arguably do best at simulating the MJO, CNRM-CM3 and ECHAM5/MPI-OM, are the only ones having convective closures/triggers linked in some way to moisture convergence. A possible reason is that the moisture-convergence-type closures/triggers tie the convection more closely with large-scale wave circulation and thus enhance the wave-heating feedback in the MJO. HERE AND P. 24, WHAT ABOUT CSIRO WHICH APPEARS TO HAVE GOOD EASTWARD PROPAGATION? ECHAM5/MPI-OM LOOKS WORSE THAT OTHER MODELS IN FIG. 11, YET YOU SUGGEST IT IS ONE OF THE TOP 2 MODELS. MIROC-HIRES ALSO LOOKS GOOD IN FIG. 11. WITH CSIRO AND MIROC-HIRES LOOKING GOOD IN FIG. 11, THIS SUGGESTS CONCLUSION REGARDING CONVECTIVE CLOSURE IS SUSPECT.

There does not appear to be a systematic dependence of MJO variance on model's horizontal resolution. For example, the high-resolution version of MIROC model produces a weaker MJO variance than the medium-resolution version, similar to the result of Slingo et al. (1996). Alternatively, IPSL-CM4, which has a relatively low resolution among all models, does produce above-average MJO variance. Therefore, it seems that model's horizontal resolution is less important for MJO simulation than other factors such as model physics or air-sea coupling, which is consistent with the results of Duffy et al. (2003).

To summarize, The MJO variance approaches the observed value in two of the 14 models, but is less than half of the observed value in the other 12 models. The ratio between the eastward MJO variance and the variance of its westward counterpart is too small in most of the models, which is consistent with the lack of highly coherent eastward propagation of the MJO in many models. Moreover, the MJO variance in 13 of the 14 models does not come from a pronounced spectral peak, but usually comes from part of an over-reddened spectrum. We did not find systematic dependence of MJO variance on model's horizontal resolution. The two models that arguably do best at simulating the MJO (CNRM-CM3 and ECHAM5/MPI-OM) are the only ones having convective closures/triggers linked in some way to moisture convergence.

e Auto-correlation of precipitation

The redness of many model spectra shown in Fig. 12 brings to mind a "red noise" spectrum of a first-order linear Markov process (Gilman 1963, Jenkins and Watts 1968). Following Gilman (1963), the first-order Markov process may be expressed as

$$X_n = \rho X_{n-1} + y_n \tag{1}$$

where $[y_n]$, the expected value of y_n , is zero and $[y_n^2] = \sigma^2$. As derived by Gilman (1963), the auto-correlation function is

$$[X_n X_{n-k}] / [X_n^2] = \rho^k$$
⁽²⁾

and the raw estimate of spectral density is

$$PSD = (1-\rho) / \{1 - 2\rho \cos(h\pi/M) + \rho^2\}$$
(3)

in which M is maximum lag and h, frequency. As shown by Eq. 2, ρ is the lag-one autocorrelation and is hereafter referred to as the persistence of the time series. Fig. 13a shows the family of red noise spectra associated with different values of ρ . When ρ increase from small value to large value, the spectrum changes from nearly white noise to red noise. The corresponding auto-correlation functions (Eq. 2) are shown in Fig. 13b. Because the auto-correlation function is a simple power function of ρ , it becomes a straight line when plotted against a logarithmic vertical coordinate.

The first-order Markov process suggests that the redness of a spectrum is strongly affected by its lag-one auto-correlation. Therefore we plot in Fig. 14 the auto-correlation function of precipitation at 0N85E. Both observational datasets have a ρ of about 0.7. Most models have too large values of ρ , which is consistent with their spectra being too red (Fig. 12). Several models (CNRM-CM3, MRI-CGCM2.3.2, MIROC3.2-medres, MIROC3.2-hires) have a ρ similar to or smaller than the observed value. Results for 0N155E (western Pacific) are similar (not shown).

The physical meaning of ρ is the persistence of precipitation in the region of interest. Therefore, Fig. 14 indicates that most of the models have too strong persistence of precipitation, which is closely associated with their over-reddened spectra. In addition to the shape of the spectrum, the precipitation persistence also affects the modes at the highfrequency end of the spectrum, such as the WIG mode (the two-day wave) and the MRG-EIG modes (the 3-6 day synoptic disturbances). A too strong persistence tends to suppress the high-frequency modes and may contribute to the generally too-weak variances of these modes in the IPCC models. We will discuss the factors affecting the persistence of precipitation in the next section.

5. Summary and discussions

This study evaluates the tropical intraseasonal variability, especially the fidelity of MJO simulations, in 14 IPCC AR4 coupled GCMs. Eight years of daily precipitation from each model's 20th century climate simulation are analyzed and compared with daily satellite retrieved precipitation. Space-time spectral analysis is used to obtain the variance and phase speed of dominant convectively coupled equatorial waves, including the MJO, Kelvin, ER, MRG, EIG and WIG waves. The variance and propagation of the MJO, defined as the eastward wavenumbers 1-6, 30-70 day mode, are examined in detail.

The results show that current state-of-the-art GCMs still have significant problems and display a wide range of skill in simulating the tropical intraseasonal variability. The total intraseasonal (2-128 day) variance of precipitation is too weak in most of the models. About half of the models have signals of convectively coupled equatorial waves, with Kelvin and MRG-EIG waves especially prominent. However, the variances are generally too weak for all wave modes except the EIG wave, and the phase speeds are generally too fast, being scaled to excessively deep equivalent depths. An interesting result is that this scaling is consistent within a given model across modes, in that both the symmetric and antisymmetric modes scale similarly to a particular equivalent depth. Excessively deep equivalent depths suggest that these models may not have a large enough reduction in their "effective static stability" by diabatic heating.

The MJO variance approaches the observed value in two of the 14 models, but is less than half of the observed value in the other 12 models. The ratio between the eastward MJO variance and the variance of its westward counterpart is too small in most of the models, which is consistent with the lack of highly coherent eastward propagation of the MJO in many models. Moreover, the MJO variance in 13 of the 14 models does not come from a pronounced spectral peak, but usually comes from part of an over-reddened spectrum, which in turn is associated with a too strong persistence of equatorial precipitation. The two models that arguably do best at simulating the MJO are the only ones having convective closures/triggers linked in some way to moisture convergence.

Our results reveal two common biases in many climate models, namely, too thick equivalent depths for equatorial waves and too strong persistence of equatorial precipitation. Equivalent depths that are too deep for equatorial modes in many models suggest that they may have a too large "effective static stability". The effective static stability is due to the partial cancellation between diabatic heating and adiabatic cooling associated with vertical motion (Gill 1982; Neelin and Held 1987; Emanuel et al. 1994), which would lead to a reduction of the implied equivalent depth of a convecting disturbance (WK; Haertel and Kiladis 2004). The effective static stability is thus affected by the vertical structure of moist static energy, the vertical profile of upward motion associated with diabatic heating profile, the surface latent and sensible heat flux, and column-integrated radiative heating (e.g. Neelin and Held 1987, Yu et al. 1998). Therefore, in future studies, it would be interesting to directly evaluate the effective static stability in the models, and if it is indeed too large, examine which of the above factors are at the cause.

The persistence of equatorial precipitation is strongly affected by subgrid scale processes, and may be improved by improving model's moist physics. Since our results indicate that precipitation persistence is closely tied to the redness of the background spectrum, if we can make the persistence more realistic through improving model physics, we may be able to get a more realistic background spectrum. The observed weak persistence of precipitation may be associated with the well-known self-suppression processes in deep convection, which can be summarized as follows. Deep convective updrafts are usually associated with saturated and unsaturated convective downdrafts penetrating into the boundary layer and mesoscale downdrafts penetrating to the lower troposphere above the boundary layer (e.g. Zipser 1969, 1977; Houze 1977, 1982; Mapes and Houze 1995; Mapes and Lin 2005). Convective downdrafts, especially the unsaturated convective downdrafts, significantly dry and cool the boundary layer (e.g. Zipser 1969, Houze 1977, Barnes and Garstang 1982), and therefore decrease the initial entropy of future convective updrafts. Mesoscale downdrafts dry the lower troposphere above the boundary layer, leading to the famous "onion" sounding (e.g. Zipser 1977), and a too dry lower troposphere may decrease the buoyancy of the future convective updrafts through entrainment (e.g., Brown and Zhang 1997). Therefore, in the wake of a deep convection event, both of the above processes suppress the development of new deep convection, and thus decrease the persistence of precipitation.

The current GCMs have not included all the above self-suppression processes in deep convection (Table 1). Although many of the models have saturated convective downdrafts, only a few of them have unsaturated convective downdrafts (e.g. Emanuel 1991), and none of the models have mesoscale downdrafts. Moreover, the sensitivity of deep convection to moisture in the lower troposphere above the boundary layer has not been well represented in many models, especially because of their inclusion of undiluted or weakly diluted members in the ensemble of convective updrafts, although the sensitivity is enhanced in some models, for example, by including only the significantly diluted convective updrafts (e.g. Tokioka et al. 1988; Tiedtke 1989; Bougeault 1985), or by adding explicit trigger functions (e.g. Emori et al. 2001). Our results suggest that it is important to incorporate these self-suppression processes in deep convection in order to get realistic persistence of precipitation.

When models improve the representation of self-suppression processes in deep convection, persistence of precipitation may decrease and approach the observed value. As suggested by the spectrum of theoretical Markov process (Fig. 13a), decreasing persistence may have different effects on the MJO variance in different models. For models now having a very strong persistence (e.g. ρ >0.9), decreasing persistence may decrease the variance for periods longer than 70 days but increase the variance in the 30-70 day MJO band. However, for models now having medium persistence (e.g. 0.75< ρ <0.9), decreasing persistence may decrease the variances for both periods longer than 70 days and periods 30-70 days, although it is also possible that some good spectral peaks previously embedded within the red noise spectra will be unveiled. Nevertheless, the point is that most of the models have a positive bias in their persistence of precipitation which may need to be alleviated.

It is important to note that even a realistic persistence can only create by itself a red noise spectrum, not a spectral peak. In order to generate a spectral peak, convectively coupled large-scale waves and wave-heating feedback must be involved. This leads us to the following questions:

(1) Are the MJO precipitation anomalies in the models associated with realistic MJO wave structure?

(2) Are the wave-heating feedbacks well simulated in the models?

(3) What caused the spectral peak in the CNRM-CM3 model?

Fortunately, 10 of the 14 models have 3D upper air data, which make it possible to analyze both the wave structure and wave-heating feedback. We are currently analyzing the 3D upper air data and will report the results in separate studies.

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FIGURE CAPTIONS

Fig. 1 Schematic depiction of the MJO and its teleconnections.

Fig. 2 Response function of the 365-point Lanczos filter used in this study.

Fig. 3 Annual mean precipitation along the equatorial belt averaged between (a) 15N and 15S, and (b) 5N and 5S for two observational datasets and 14 models.

Fig. 4 Variance of the 2-128 day precipitation anomaly along the equator averaged between (a) 15N-15S, and (b) 5N-5S.

Fig. 5 Space-time spectrum of 15N-15S symmetric component of precipitation. Frequency spectral width is 1/128 cpd.

Fig. 6 Space-time spectrum of 15N-15S symmetric component of precipitation divided by the background spectrum. Superimposed are the dispersion curves of the odd meridional mode-numbered equatorial waves for the five equivalent depths of 8, 12, 25, 50, and 90m. Frequency spectral width is 1/128 cpd.

Fig. 7 As in Fig. 6 except for 15N-15S antisymmetric component of precipitation.

Fig. 8 Variances of (a) Kelvin, (b) ER, (c) MRG, (d) EIG, and (e) WIG modes along the equator averaged between 15N and 15S.

Fig. 9 Variance of the MJO mode along the equator averaged between (a) 15N and 15S, and (b) 5N and 5S.

Fig. 10 Ratio between the MJO variance and the variance of its westward counterpart (westward wavenumber 1-6, 30-70 day mode). The variances are averaged over (a) an Indian Ocean box between 5N-5S and 70E-100E, and (b) a western Pacific box between 5N-5S and 140E-170E.

Fig. 11 Lag-correlation of the 30-70 day precipitation anomaly averaged along the equator between 5N and 5S with respect to itself at 0N85E. The three thick lines correspond to phase speed of 3, 7, and 15 m/s, respectively.

Fig. 12 Spectrum of the eastward wavenumber 1-6 component of equatorial precipitation (5N-5S) at 0N85E for two observational datasets and 14 models. Upper panel: raw spectrum; Lower panel: normalized spectrum. Frequency spectral width 1/100 cpd.

Fig. 13 (a) Spectrum, and (b) auto-correlation of theoretical Markov process.

Fig. 14 Auto-correlation of precipitation at 0N85E.

Modeling Groups	IPCC ID (Label in Figures)	Grid type/ Resolution/ Model top	Deep convection scheme / Modification	Downdrafts [*] SC/UC/Meso	Closure/ Trigger
NOAA / Geophysical Fluid Dynamics Laboratory	GFDL-CM2.0 (GFDL2.0)	Gridpoint 144*90*L24 3mb	Moorthi and Suarez (1992) / Tokioka et al. (1988)	N/N/N	CAPE/ Threshold
NOAA/ Geophysical Fluid Dynamics Laboratory	GFDL-CM2.1 (GFDL2.1)	Gridpoint 144*90*L24 3mb	Moorthi and Suarez (1992) / Tokioka et al. (1988)	N/N/N	CAPE/ Threshold
National Center for Atmospheric Research	CCSM3 (CCSM3)	Spectral T85*L26 2.2mb	Zhang and McFarlane (1995)	Y/N/N	CAPE
National Center for Atmospheric Research	PCM (PCM)	Spectral T42*L26 2.2mb	Zhang and McFarlane (1995)	Y/N/N	CAPE
NASA/ Goddard Institute for Space Studies	GISS-AOM (GISS-AOM)	Gridpoint 90*60*L12	Russell et al. (1995)	N/N/N	CAPE
NASA/ Goddard Institute for Space Studies	GISS-ER (GISS-ER)	Gridpoint 72*46*L20 0.1mb	Del Genio and Yao (1993)	Y/N/N	Cloud base buoyancy
Center for Climate System Research, National Institute for Environmental Studies, & Frontier Research Center for Global Change	MIROC3.2-hires (MIROC-hires)	Spectral T106*L56	Pan and Randall (1998) / Emori et al. (2001)	Y/N/N	CAPE/ Relative humidity
Same as above	MIROC3.2-medres (MIROC-medres)	Spectral T42*L20 30 km	Pan and Randall (1998) / Emori et al. (2001)	Y/N/N	CAPE/ Relative humidity
Meteorological Research Institute	MRI-CGCM2.3.2 (MRI)	Spectral T42*L30 0.4mb	Pan and Randall (1998)	Y/N/N	CAPE
Canadian Centre for Climate Modeling & Analysis	CGCM3.1 -T47 (CGCM)	Spectral T47*L32 1mb	Zhang & McFarlane (1995)	Y/N/N	CAPE
Max Planck Institute for Meteorology	ECHAM5/ MPI-OM (MPI)	Spectral T63*L31 10mb	Tiedtke (1989) / Nordeng (1994)	Y/N/N	CAPE/ Moisture convergence
Institute Pierre Simon Laplace	IPSL-CM4 (IPSL)	Gridpoint 96*72*L19	Emanuel (1991)	Y/Y/N	CAPE
Meteo-France / Centre National de Recherches Météorologiques	CNRM-CM3 (CNRM)	Spectral T63*L45 0.05mb	Bougeault (1985)	N/N/N	Kuo
CSIRO Atmospheric Research	CSIRO Mk3.0 (CSIRO)	Spectral T63*L18 4mb	Gregory and Rowntree (1990)	Y/N/N	Cloud base buoyancy

Table 1 List of models that participate in this study

 * For downdrafts, SC means saturated convective downdrafts, UC means unsaturated convective downdrafts, and Meso means mesoscale downdrafts.



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Fig. 2 Response function of the 365-point Lanczos filter used in this study.



Fig. 3 Annual mean precipitation along the equatorial belt averaged between (a) 15N and 15S, and (b) 5N and 5S for two observational datasets and 14 models. The data are smoothed zonally to keep only wavenumber 0-6. The locations of continents within the equatorial belt are indicated by black bars under the abscissa.



Fig. 4 Variance of the 2-128 day precipitation anomaly along the equator averaged between (a) 15N-15S, and (b) 5N-5S.



Fig. 5 Space-time spectrum of 15N-15S symmetric component of precipitation. Frequency spectral width is 1/128 cpd.



Fig. 5 continued.



Fig. 6 Space-time spectrum of 15N-15S symmetric component of precipitation divided by the background spectrum. Superimposed are the dispersion curves of the odd meridional mode-numbered equatorial waves for the five equivalent depths of 8, 12, 25, 50, and 90m. Frequency spectral width is 1/128 cpd.



Fig. 6 continued.



Fig. 7 As in Fig. 6 except for 15N-15S antisymmetric component of precipitation.



Fig. 7 continued.



Fig. 8 Variances of (a) Kelvin, (b) ER, (c) MRG, (d) EIG, and (e) WIG modes along the equator averaged between 15N and 15S.



Fig. 8 Continued.



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Fig. 11 continued.



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