

# CDKN1B, encoding the cyclin-dependent kinase inhibitor 1B (p27), is located in the minimally deleted region of 12p abnormalities in myeloid malignancies and its low expression is a favorable prognostic marker in acute myeloid leukemia

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The online version of this article has a Supplementary Appendix.

## ABSTRACT

### Background

Alterations of the short arm of chromosome 12 (12p) occur in various hematologic malignancies and *ETV6* and *CDKN1B*, which are located on 12p, have been implicated as leukemogenic genes of interest.

### Design and Methods

We selected seven patients with myeloid malignancies and small 12p deletions detected by fluorescence *in situ* hybridization encompassing only the region centromeric of *ETV6* and further evaluated them by single nucleotide polymorphism microarrays.

### Results

The minimally deleted region contained only nine genes. These genes were subsequently analyzed by microarray expression profiling in an independent cohort of 781 patients, most, but not all, of whom had different hematologic malignancies *CREBL2*, *MANSC1*, and *CDKN1B* were expressed in more than 25% of cases, while the other six genes were expressed in only a minority of cases. As *CDKN1B* is a cell cycle regulator and functions as a tumor suppressor gene, this gene was selected for further expression studies in 286 patients with acute myeloid leukemia. When comparing patients with low *CDKN1B* expression (expression level <1,160; 1<sup>st</sup> quartile) with those with intermediate or high expression (2<sup>nd</sup>-4<sup>th</sup> quartiles), certain mutations were observed more frequently in the former: *RUNX1-RUNX1T1* (11/83, 13.3% versus 5/203; 2.5%;  $P=0.001$ ), *PML-RARA* rearrangements (11/83, 13.3% versus 4/203, 2.0%;  $P<0.001$ ), 11q23/*MLL* rearrangements (6/83, 7.2% versus 4/203, 2.0%;  $P=0.038$ ), and *FLT3-TKD* mutations (7/63, 11.1% versus 6/167, 3.6%;  $P=0.047$ ). The median overall survival of patients with low *CDKN1B* expression was longer than that of patients with intermediate/high expression (not reached versus 14.9 months;  $P=0.005$ ). Likewise, patients with low *CDKN1B* expression had a longer event-free survival than those with intermediate/high expression (31.0 versus 9.7 months;  $P=0.013$ ).

### Conclusions

*CDKN1B* is an interesting candidate gene as a potential biomarker for prognostication in acute myeloid leukemia.

Key words: 12p deletion, *ETV6*, *CDKN1B*, acute myeloid leukemia (AML), prognosis.

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## Introduction

Cytogenetic alterations of chromosome 12p have been described in various hematologic malignancies, such as acute myeloid leukemia (AML), myelodysplastic syndromes (MDS), myeloproliferative neoplasms, and lymphomas.<sup>1</sup> Two genes located on 12p are considered to be relevant for leukemogenesis: *ETV6* and *CDKN1B*.<sup>1</sup> *ETV6*, belonging to the *ETS* gene family, can be fused to various other genes, e.g. t(5;12)(q33;p13)/*ETV6-PDGFRB* in MDS, or the t(12;22)(p13;q11)/*ETV6-MN1* in AML and MDS. Molecular studies have indicated that the smallest region of overlapping deletions may include both *CDKN1B* and *ETV6* or might be localized between these two genes.<sup>2,3</sup> In contrast, Takeuchi *et al.* reported two loss of heterozygosity segments, one including *CDKN1B* and one region possibly containing *ETV6*.<sup>4</sup> The product of the *CDKN1B* gene, p27<sup>CDKN1B</sup>, acts as negative regulator of the cell cycle.<sup>5</sup> Therefore, and based on the fact that cytogenetically detectable 12p deletions are frequent in acute leukemias,<sup>6</sup> it may be hypothesized that the 12p region contains a tumor suppressor gene.<sup>1</sup>

To further evaluate the genes of relevance, we first selected seven patients with myeloid malignancies in whom small interstitial 12p deletions (encompassing the region centromeric to *ETV6* only) had been identified in routine diagnostic work-up. These seven cases were studied by single nucleotide polymorphism microarray analysis to identify and characterize the minimally deleted region. As *CDKN1B* (cyclin-dependent kinase inhibitor 1B) was a gene of interest within the minimally deleted region, we evaluated its expression in an independent large cohort of 781 patients, most of whom had different hematologic malignancies, and investigated its impact on clinical outcomes and the association with cytogenetic and molecular subgroups in a subset of 286 AML patients from this cohort.

## Design and Methods

### Patients

#### Patients with interstitial 12p deletions

We first queried our database for cases with AML or chronic myeloid malignancies and small 12p deletions following diagnostic processing. Cases qualified for this study if fluorescence *in situ* hybridization (FISH) with probes flanking the *ETV6* locus on 12p13.1 (ABBOTT, Wiesbaden, Germany) revealed an interstitial 12p deletion at the centromeric side of the *ETV6* gene in order to verify small interstitial 12p deletions. Seven patients (4 with AML, 1 with chronic myelomonocytic leukemia, 1 with MDS; and 1 with primary myelofibrosis) fulfilled these criteria and were further investigated by single nucleotide polymorphism microarray analysis to identify the minimally deleted region (Genome-Wide Human SNP Array 6.0; Affymetrix, Santa Clara, CA USA).

Bone marrow samples had been sent for diagnostic processing from different hematologic centers to the MLL Munich Leukemia Laboratory in the period between August 2005 and June 2010. Patients gave informed consent to laboratory analyses and to the use of their data for research. The study was conducted in accordance with the rules of the local Internal Review Board and the tenets of the revised Helsinki protocol.

#### Independent cohort of patients with different hematologic malignancies analyzed for *CDKN1B* expression

Following the characterization of the minimally deleted region in the above seven cases with different myeloid malignancies, we selected an independent cohort of 781 cases consisting of 666 cases with different hematologic malignancies and 115 samples of reactive non-malignant bone marrow from our gene expression database in order to analyze the expression of genes located in the minimally deleted region. All cases were investigated by oligonucleotide microarrays (HG-U133 Plus 2.0; Affymetrix). Expression levels of the nine genes (including *CDKN1B*) from the minimally deleted region on 12p13 were evaluated. With the aim of correlating *CDKN1B* expression levels with clinical outcomes and with genetic subgroups, we finally selected from this cohort a subset of 286 AML patients with available clinical follow-up data: 135 females and 151 males with a median age of 65.7 years (range, 19.7 – 88.1 years). Patients with AML were treated with intensive chemotherapy protocols and some were included in controlled trials by German study groups.

#### Cytogenetics and fluorescence *in situ* hybridization

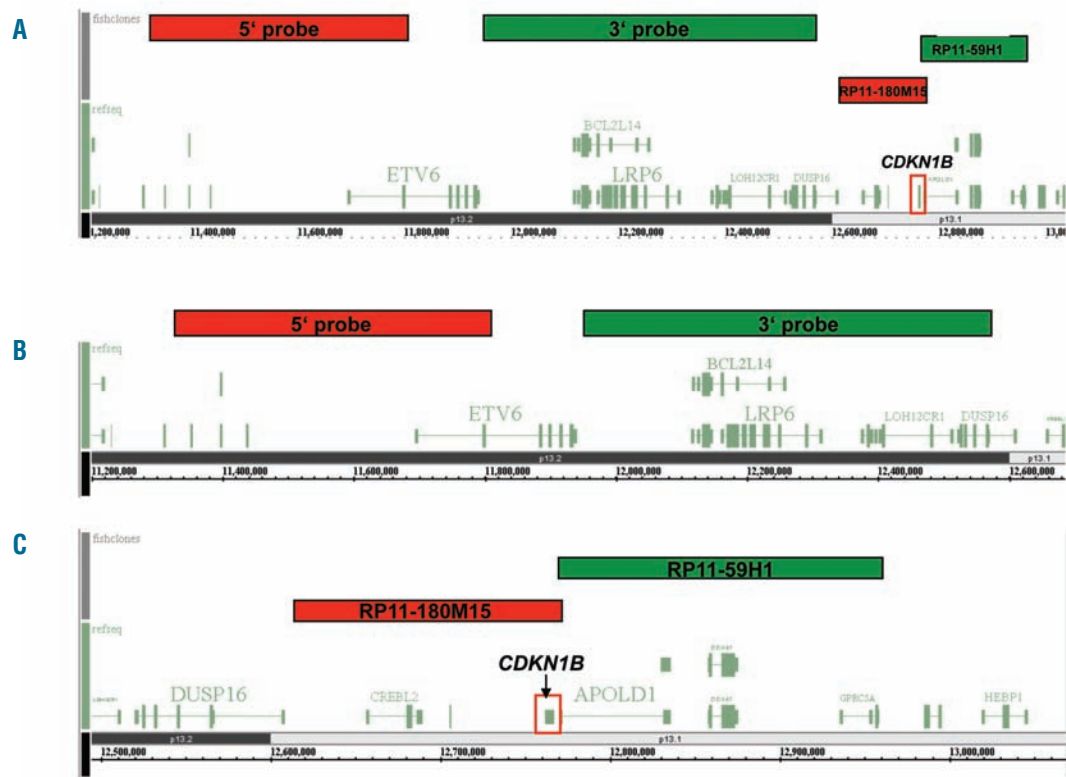
Chromosome banding was carried out according to previously described standard methods.<sup>7</sup> In cases with small 12p deletions interphase FISH was performed using probes flanking the *ETV6* region on chromosome 12p13.1 (ABBOTT). The 5' probe covers the telomeric end of *ETV6* and the 3' probe covers a region centromeric to *ETV6* (Figure 1 A, B). FISH was also performed using two bacterial artificial chromosome (BAC) clones localized centromeric to *ETV6* and covering the *CDKN1B* gene (RP11-180M15 physical map position 12,614,233 to 12,772,124 and RP11-59H1 physical map position 12,759,639 to 12,961,302; BlueGnome, Cambridge, UK) (Figure 1 A, C).

#### Molecular mutation screening

Molecular analyses for *FLT3*-ITD/TKD<sup>8,9</sup> and *MLL*-PTD aberrations,<sup>10</sup> as well as mutations of *NPM1*,<sup>11</sup> *CEPBA*,<sup>12</sup> *NRAS*,<sup>13</sup> *RUNX1*,<sup>14</sup> and *IDH1*<sup>15</sup> genes were performed as described previously.

#### Single nucleotide polymorphism array analysis

Seven patients with small interstitial 12p deletions, in whom the centromeric *ETV6*' probe was deleted while the telomeric probe was retained, were investigated with the Genome-Wide Human SNP Array 6.0 (Affymetrix) featuring more than 1.8 million markers of genetic variation, including single nucleotide polymorphisms as well as probes for the detection of copy number variations. Briefly, total genomic DNA (500 ng) was digested with NspI and StyI restriction enzymes and ligated to adaptors that recognize the cohesive 4 bp overhangs. All fragments resulting from restriction enzyme digestion, regardless of size, were substrates for adaptor ligation. A generic primer that recognizes the adaptor sequence was used to amplify adaptor-ligated DNA fragments using the TITANIUM DNA Amplification kit (Clontech, Mountain View, CA, USA). The polymerase chain reaction conditions described by the manufacturer of the kit were applied to preferentially amplify fragments in the 200 to 1,100 bp size range. Polymerase chain reaction amplification products for each restriction enzyme digest were subsequently combined and purified using polystyrene beads (Agencourt, Beverly, MA, USA). The DNA was then fragmented, labeled and hybridized to the microarray. All microarray raw data were generated using Affymetrix GeneChip Command Console software version 1.1 following the manufacturer's recommendations. Further analysis was performed



**Figure 1.** (A) Localization of the FISH probes flanking the *ETV6* gene on chromosome 12p13 being used for the investigation of 12p deletions in various myeloid malignancies and localization of the FISH probes covering the *CDKN1B* gene (BAC RP11-180M15 and RP11-59H1). (B) Magnification of the *ETV6* region. (C) Magnification of the *CDKN1B* region.

using Genotyping Console version 3.0.1 and the included genome browser (Affymetrix). Copy number alterations that overlapped with regions of reported copy number variations, i.e. polymorphic regions that exist as genomic variants in the population, were filtered and removed from further analysis. Single nucleotide polymorphism microarray data were deposited online in the Gene Expression Omnibus (GEO) database under the accession number GSE27832.

### Gene expression profiling

The sample preparation assay for gene expression profiling using Affymetrix HG-U133 Plus 2.0 microarrays and subsequent data analysis were performed as previously reported.<sup>16,17</sup>

### Statistics

Survival curves were calculated for overall survival and event-free survival according to the method of Kaplan-Meier and compared using the two-sided log rank test. Overall survival was defined as the time from diagnosis of AML to death or last follow-up. Event-free survival was defined as the time from diagnosis of AML to treatment failure, relapse, death, or last follow-up. Results with a two-sided *P* value of less than 0.05 were considered statistically significant. SPSS software version 14.0.1 software (SPSS, Chicago, IL, USA) was used for the statistical analyses.

## Results

### Fluorescence in situ hybridization analysis of *ETV6*

Small interstitial 12 deletions identified by a signal constellation of two signals for the telomeric probe and only one signal for the centromeric probe were detected in seven cases with myeloid malignancies: four AML (3 *de novo*, 1 secondary to MDS), one MDS, one primary myelofibrosis, one chronic myelomonocytic leukemia.

These seven cases were, therefore, chosen for subsequent investigation by single nucleotide polymorphism microarray analysis to characterize the minimally deleted region better.

### Fluorescence in situ hybridization analysis of *CDKN1B*

In two cases with (12p) deletion of the centromeric *ETV6* probe and retained telomeric *ETV6* signal, which had also been analyzed by single nucleotide polymorphism arrays, material was available for additional FISH

**Table 1.** Characteristics, hematologic entities, and karyotypes of the seven patients with interstitial 12p deletions at the centromeric side of *ETV6*.

N.	Sex/age	Diagnosis	Karyotype
1	m/74	CMML	46,XY[20]
2	f/70	<i>de novo</i> AML	45,XX,-7[2]/45,XX,-7,del(12)(p12p13)[18]
3	m/43	<i>de novo</i> AML	46,XY,inv(12)(p13q21)[20]
4	f/84	s-AML after MDS	46,XX[15]
5	f/73	<i>de novo</i> AML	46,XX,del(5)(q14q34)[8]/46,XX,del(5)(q14q34),der(6)t(6;12)(q15;p11)t(12;16)(p13;q22),-7,der(12)t(6;12)(q15;p11),der(16)t(12;16)(p13;q22),der(17)t(1;17)(p34;q25)[6]/46,XX[6]
6	f/88	MDS	45,XX,del(5)(q22q33),inv(12)(p13q24.1)[20]
7	m/63	PMF	46,XY,t(1;19)(q21;q13),t(2;18)(p16;p11),del(20)(q11)[3]/45,XY,t(1;19)(q21;q13),der(2)t(2;18)(p16;p11),der(11)del(11)(p14)t(11;12)(q13;?),dic(11;12)(p11;p12),der(18)t(2;18)(p16;p11)ins(18)(q11;?)del(18)(q21),der(20)t(12;20)(?:q11),der(21)t(11;21)(?:q22)[6]/46,XY[3]

Cases n. 1-6 were investigated for the *FLT3-ITD*, *MLL-PTD*, and *NPM1* mutations and were all negative (m: male; f: female; PMF: primary myelofibrosis; CMML: chronic myelomonocytic leukemia).



analysis with RP11-180M15 and RP11-59H1. In one case both BAC were deleted, while in the other case one signal was present for BAC RP11-180M15, but two signals were detected for BAC RP11-59H1.

#### Karyotypes of cases with small interstitial 12p deletions

Table 1 shows the results of the cytogenetic analysis of the above seven cases with myeloid malignancies and small interstitial centromeric 12p deletions. Two patients had normal karyotypes (cases #1 and #4). Five patients had aberrant karyotypes: two cases had a pericentric inversion of chromosome 12 (cases #3 and #6; in case #6 combined with a 5q deletion), one case had a 12p deletion combined with monosomy 7 (case #2), and two had complex aberrant karyotypes involving 12p within unbalanced rearrangements (cases #5 and #7).

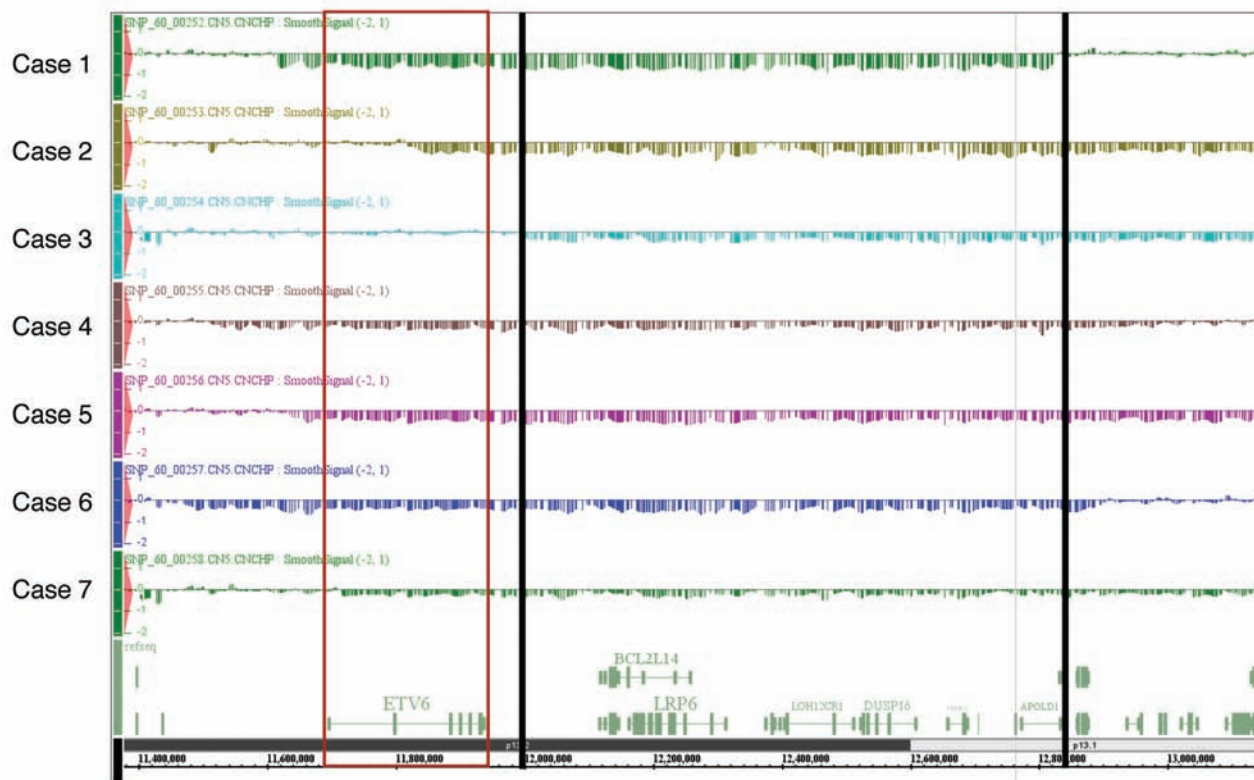
#### Characterization of the interstitial 12p deletion by single nucleotide polymorphism microarrays

The median size of the 12p deletions was 1.6 Mb (range, 1.2 - 10.6 Mb). The minimally deleted region had a size of 815 kb (starting at 12,005,749 bp from pter and ending at 12,820,773 bp from pter, based on hg18). The single nucleotide polymorphisms located nearest to the breakpoints of the minimally deleted region are shown in *Online Supplementary Table S2*. Overall, nine genes were localized within the minimally deleted region: *BCL2L14*, *LRP6*, *MANSC1*, *DUSP16*, *CREBL2*, *GPR19*, *CDKN1B*, *APOLD1*, and *hsa-mir-613* (Figure 2).

#### Expression of genes derived from the minimally deleted region in 781 patients with different hematologic malignancies or non-leukemic bone marrow

We subsequently evaluated the expression of the nine genes from the minimally deleted region in 666 cases with different acute or chronic leukemias and 115 individuals with non-malignant bone marrow samples. Expression of the respective genes was observed in variable proportions of patients: *CREBL2* in 100.0% (781/781), *CDKN1B* in 100.0% (781/781), *MANSC1* in 76.1% (594/781), *APOLD1* in 24.0% (187/781), *LRP6* in 16.0% (125/781), *DUSP16* in 15.0% (117/781), *LOH12CR1* in 20.0% (156/781), *GPR19* in 1.0% (8/781) and *BCL2L14* in 7.0% (55/781). Thus, only three of nine genes - *CREBL2* (cAMP response element-binding protein-like-2), *MANSC1* (MANSC domain-containing protein 1) and *CDKN1B* (cyclin-dependent kinase inhibitor 1B) - were expressed in more than 25% of all cases, and the range of mRNA expression was higher for *CDKN1B* than for the remaining eight genes. The gene expression data are shown in detail in *Online Supplementary Table S1*.

The subset of 399 patients with AML or MDS from this cohort (286 AML, 113 MDS) was subdivided into four quartiles according to *CDKN1B* expression levels. The range of *CDKN1B* expression was 83.6 to 4,498.2 (median, 1,582.4). Levels of *CDKN1B* expression in the patients in the 1<sup>st</sup> (lowest) quartile (n=99 patients) ranged from 83.6 to 1,160, in the 2<sup>nd</sup> quartile (n=100) from 1,210.8 - 1,582.2, in the 3<sup>rd</sup> (n=100) from 1,582.4 to 2,006.9, and in the 4<sup>th</sup>



**Figure 2.** Characterization of the minimally deleted region following single nucleotide polymorphism microarray analysis of seven patients with different myeloid malignancies and interstitial 12p deletions. Single nucleotide polymorphism array karyograms (Affymetrix Genotyping Console V.3.0.1, based on hg18) of region 12p are shown. For each of the seven patients the smooth signals are depicted. The *ETV6* gene is highlighted by a box, the borders of the minimal deleted region are marked with black bars.

(n=100) from 2,007.2 to 4,498.2. According to the upper range of the expression levels encompassing the 1<sup>st</sup> quartile, an expression level of 1,160 was used as a threshold to separate cases with low *CDKN1B* expression (expression level <1,160; “low expressers”; 1<sup>st</sup> quartile) from those with intermediate/high *CDKN1B* expression (expression level ≥1,160; 2<sup>nd</sup> - 4<sup>th</sup> quartiles; “intermediate/ high expressers”).

**Investigation of 286 patients with acute myeloid leukemia**

Considering the multiple functions of *CDKN1B* in the cell cycle (including cell proliferation, differentiation, and apoptosis),<sup>18</sup> this gene is a putative tumor suppressor and might play an important role in the pathogenesis of cases with 12p deletions. We, therefore, analyzed *CDKN1B* expression in 286 AML cases with gene expression data and available survival data (a subset from the above cohort) in more detail. The median level of *CDKN1B* expression in the AML cohort was 1,508.6 (range, 83.6-

4,498.2). Eighty-three AML patients (29.0% of the cohort) showed an expression level <1,160 and were considered to be “low *CDKN1B* expressers” according to the above definition.

**Correlation of CDKN1B expression with genetic subtypes in acute myeloid leukemia**

We compared the frequencies of distinct cytogenetic subtypes in the 286 AML patients with low (n=83) and intermediate/high *CDKN1B* expression (n=203) (Table 2A). Favorable karyotypes were significantly over-represented in the group of *CDKN1B* low expressers when compared to the group of intermediate/high expressers (24/83, 28.9% versus 14/203, 6.9%; *P*<0.001), which was due to significantly higher frequencies of t(8;21)/*RUNX1-RUNX1T1* (*P*=0.001) and t(15;17)/*PML-RARA* (*P*<0.001) in the patients with low *CDKN1B* expression. 11q23/*MLL* rearrangements were more frequent in the *CDKN1B* low expressers than in the intermediate/high expressers (*P*=0.038). Normal karyotypes were significantly less frequent in the low expressers (21/83, 25.3% versus 78/203, 38.4%; *P*=0.04) (Figure 3). *FLT3*-TKD were found more frequently in the low expressers than in the intermediate/high expressers (7/63, 11.1% versus 6/167, 3.6%; *P*=0.047). Only one of 13 *FLT3*-TKD-positive patients had a favorable karyotype (inv(16)/t(16;16)/*CBFB-MYH11*). There were no significant differences for any of the other molecular markers, i.e. *FLT3*-ITD, *MLL*-PTD, and *NPM1* mutations, between the low and the intermediate/high expressers (Table 2B).

**Correlation of CDKN1B expression with clinical outcomes in acute myeloid leukemia**

We compared the survival outcomes of the AML patients in relation to their *CDKN1B* expression. First, thresholds of *CDKN1B* expression determined in the AML/MDS cohorts as described above were used to separate the AML patients into different categories. Low expressers had a longer median overall survival (not reached versus 14.9 months; *P*=0.005; Figure 4A) and event-free survival (31.0 versus 9.7 months; *P*=0.013; Figure 4B) than the intermediate/high expressers. The results and

**Table 2A.** Distribution of cytogenetic alterations in 286 patients with AML according to different *CDKN1B* expression levels. There were 83 “low” and 203 “intermediate/high” expressers.

Prognostic group	Low <i>CDKN1B</i> (n=83)	Intermediate/high <i>CDKN1B</i> (n=203)	<i>P</i>
Favorable karyotypes	24 (28.9%)	14 (6.9%)	<0.001
Intermediate karyotypes	37 (44.6%)	117 (57.6%)	0.05
Unfavorable karyotypes	22 (26.5%)	72 (35.5%)	n.s.
<b>Total (n=286)</b>	<b>83 (100.0%)</b>	<b>203 (100.0%)</b>	

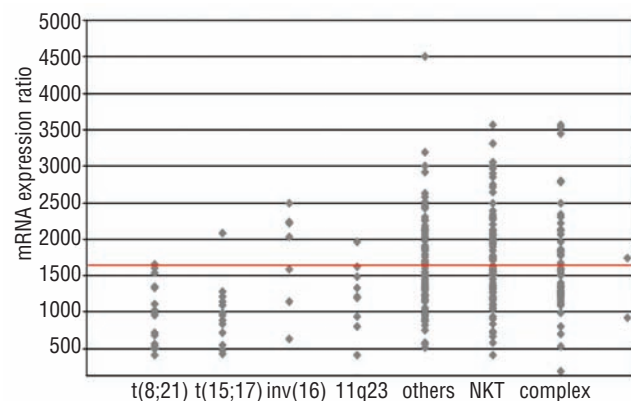
  

Cytogenetic subgroup	Low <i>CDKN1B</i> (n=83)	Intermediate/high <i>CDKN1B</i> (n=203)	<i>P</i>
t(8;21)/ <i>RUNX1-RUNX1T1</i>	11 (13.3%)	5 (2.5%)	0.001
t(15;17)/ <i>PML-RARA</i>	11 (13.3%)	4 (2.0%)	<0.001
inv(16)/ <i>CBFB-MYH11</i>	2 (2.4%)	5 (2.5%)	n.s.
11q23/ <i>MLL</i>	6 (7.2%)	4 (2.0%)	0.038
Normal karyotype	21 (25.3%)	78 (38.4%)	0.04
Complex aberrant KT*	10 (12.0%)	43 (21.2%)	n.s.
Other alterations	22 (26.5%)	64 (31.5%)	n.s.
<b>Total (n=286)</b>	<b>83 (100.0%)</b>	<b>203 (100.0%)</b>	-

\*Complex aberrant karyotype: patients showing ≥3 clonal cytogenetic aberrations but none of the recurrent genetic abnormalities as defined by the WHO classification of 2008 were assigned to this cytogenetic subgroup.

**Table 2B.** Frequencies of molecular mutations in the “low” and “intermediate/high” *CDKN1B* expressers (variable proportions of AML patients were analyzed).

Molecular mutation	Number of pts analyzed	Low <i>CDKN1B</i> (n=83)	Intermediate/high <i>CDKN1B</i> (n=203)	<i>P</i>
<i>FLT3</i> -ITD	267	14/76 (18.4%)	36/191 (18.8%)	n.s.
<i>FLT3</i> -TKD	230	7/63 (11.1%)	6/167 (3.6%)	0.047
<i>MLL</i> -PTD	252	1/67 (1.5%)	10/185 (5.4%)	n.s.
<i>NPM1</i>	249	14/64 (21.9%)	53/185 (28.6%)	
<i>RUNX1</i>	127	7/31 (22.6%)	29/96 (30.2%)	
<i>CEBPA</i>	158	5/36 (13.9%)	7/122 (5.7%)	
<i>NRAS</i>	175	0/43 (0.0%)	4/132 (3.0%)	
<i>IDH1</i>	181	6/54 (11.1%)	7/127 (5.5%)	



**Figure 3.** mRNA expression ratios of *CDKN1B* in different cytogenetic AML subgroups (n=286). The gray line marks the *CDKN1B* expression ratio of 1,160 which separated patients from the 1<sup>st</sup> and 2<sup>nd</sup>-4<sup>th</sup> quartiles.

Kaplan Meier plots for overall survival and event-free survival of the cohort separated into quartiles are presented in the *Online Supplement Part A* and *Online Supplementary Figure S1*.

When the cut-off levels for the separation of the different *CDKN1B* expression levels were those defined separately in the AML cohort, low expressers again had significantly better survival (*Online Supplement Part B* and *Online Supplementary Figures S2 and S3*). With regards to overall survival, univariate Cox regression analysis showed that intermediate/high *CDKN1B* expression was significantly associated with shorter overall survival and a relative risk of 2.0 ( $P=0.005$ ). However, when the parameters favorable and unfavorable karyotypes were added to the model, *CDKN1B* expression did not have an independent impact on overall survival ( $P=0.069$ ). Likewise, univariate Cox regression analysis showed that intermediate/high *CDKN1B* expression was significantly associated with shorter event-free survival and a relative risk of 1.7 ( $P=0.014$ ) and when the parameters favorable and unfavorable karyotypes were added to the model, *CDKN1B* expression did not have an independent impact on event-free survival ( $P=0.186$ ). When the analysis was restricted to patients with AML and a normal karyotype, no significant differences were seen in overall survival and event-free survival between *CDKN1B* low and high expressers. Expression of the *CREBL2* and *MANSC1* genes showed no significant correlations with survival (*data not shown*).

## Discussion

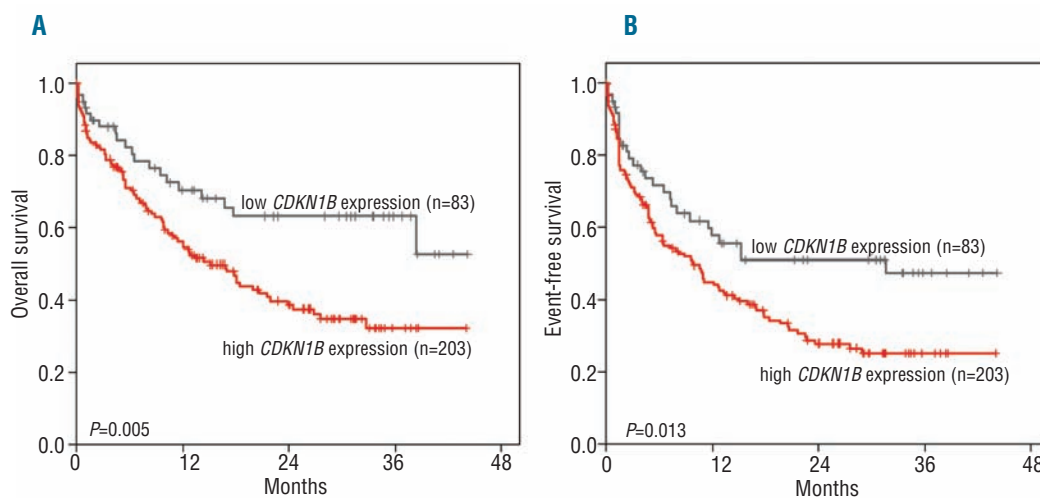
Previous studies suggested a pathological role for the 12p region in subsets of AML patients. Gupta *et al.* performed single nucleotide polymorphism array studies in 463 AML patients aged 15 - 55 years. With respect to 12p, losses were detected in seven cases (1.5%) while no gains or acquired copy neutral loss of heterozygosity was observed. 12p deletions were associated with adverse risk karyotypes.<sup>19</sup> In a study by Andreasson *et al.*, who investigated 79 patients with AML and MDS with interphase FISH, a high frequency of cryptic 12p deletions was detected in cytogenetically normal AML (10%), whereas the rate was only 2% in cytogenetically aberrant AML without 12p aberrations.<sup>1</sup> Using genome-wide single

nucleotide polymorphism microarray analysis, Bullinger *et al.* found acquired copy number alterations in 49% of cytogenetically normal AML cases. Two cases showed a 12p13 deletion. The minimally altered region on 12p13 was 1.42 Mb in size and included, among others, the *ETV6* gene.<sup>20</sup>

With the aim of further defining the role of the 12p13 region in myeloid malignancies and characterize the relevant genes, we selected seven cases with myeloid malignancies and small interstitial 12p deletions (localized in the region centromeric to *ETV6*) for additional in-depth high-resolution single nucleotide polymorphism microarray studies and were able to identify the minimally deleted region encompassing only nine genes. Subsequent analysis of the expression of these genes in an independent cohort of 666 patients with different hematologic malignancies and 115 non-leukemic bone marrow samples demonstrated expression of only three genes in higher proportions of patients: *CDKN1B*, *CREBL2*, and *MANSC1*.

The *CDKN1B* gene encodes the p27<sup>CDKN1B</sup> protein which is assumed to act as a negative regulator of the cell cycle.<sup>5</sup> This protein belongs to the family of CDK inhibitors that bind to cyclin/CDK complexes, leading to arrest of cell division.<sup>18</sup> Experiments by See *et al.* in a murine model showed that p27 deficiency affected chromosomal stability and resulted in a decrease of mitotic cells. As chromatin breaks increased, Rad51-dependent repair of double-stranded DNA breaks seemed hindered in p27-deficient cells leading to chromosomal instability.<sup>21</sup> Using single nucleotide polymorphism microarrays, Akagi *et al.* demonstrated small copy number changes, including deletions of *NF1*, *ETV6/TEL*, *CDKN2A*, and *CDKN2B*, in 24% of AML/MDS patients with normal karyotypes. A relationship between the amount of chromosomal changes and mRNA expression levels was verified by mRNA microarray analysis.<sup>22</sup> Performing analysis of mRNA expression in patients with AML, we had been able to demonstrate that numerical or structural gains (e.g. trisomy 8) or losses (e.g. 5q-deletions) result in increased or decreased expression of the associated genes, respectively.<sup>23</sup> This allowed the conclusion that 12p deletions involving the *CDKN1B* gene are likely to result in low *CDKN1B* expression and in a decrease of p27<sup>CDKN1B</sup>.

We, therefore, analyzed *CDKN1B* expression in 286



**Figure 4.** Overall survival (A) and event-free survival (B) depending on low versus intermediate/high *CDKN1B* expression in 286 patients with AML. The cut-offs of *CDKN1B* expression were determined in the AML/MDS cohort.



AML patients with clinical follow-up data available using whole-genome expression microarrays and performed correlations with the karyotypes and clinical outcomes. Based on their levels of *CDKN1B* expression patients were separated into two subgroups: 83 cases with low *CDKN1B* expression (1<sup>st</sup> quartile) and 203 cases with intermediate/high *CDKN1B* expression (2<sup>nd</sup> - 4<sup>th</sup> quartiles). Low *CDKN1B* expression was significantly over-represented in AML with the reciprocal t(8;21)/*RUNX1-RUNX1T1* ( $P=0.001$ ), t(15;17)/*PML-RARA* ( $P<0.001$ ), and 11q23/*MLL*-rearrangements ( $P=0.038$ ), whereas normal karyotypes were significantly over-represented in the *CDKN1B* intermediate/high expressers ( $P=0.04$ ). Thus, distinct genetic alterations might be able to modify *CDKN1B* expression, for example by the stimulation of signaling pathways. The interaction between these reciprocal rearrangements and *CDKN1B* expression levels does, however, remain unclear. Interestingly, *FLT3-TKD* were more frequent in the low *CDKN1B* expressers than in the intermediate/high expressers ( $P=0.047$ ). Future studies should clarify whether such an association might contribute to explain the lesser adverse impact of *FLT3-TKD* than of *FLT3-ITD* on clinical outcomes. Other molecular mutations had no significant impact on *CDKN1B* expression in our study while a significant association of low *CDKN1B* expression with reciprocal gene rearrangements was observed. Analysis of signaling pathways might contribute to a better understanding of this observation.

The WHO classification in 2008 listed for the first time aberrant expression of genes as molecular genetic alterations affecting outcome in AML.<sup>24</sup> So far, high expression of *BAALC*,<sup>25</sup> *ERG*,<sup>26</sup> or *MN1*<sup>27</sup> has been shown to be associated with unfavorable outcome in normal karyotype AML. In addition, high *EVI1* expression was suggested to predict poor outcome, particularly in patients with intermediate cytogenetic risk AML.<sup>28</sup> We, therefore, analyzed the prognostic impact of *CDKN1B* expression in a cohort of 286 cases of AML. Patients with low *CDKN1B* expression had significantly better overall survival ( $P=0.005$ ) and event-free survival ( $P=0.013$ ) compared to patients with higher expression. The better prognosis of AML patients with low *CDKN1B* expression (probably related to low p27<sup>CDKN1B</sup> expression) might be due to enhanced cell cycle function and increased susceptibility to cytotoxic agents. In contrast to our results, Yokozawa *et al.* suggested that high p27 expression has a favorable prognostic impact in patients with AML: the authors performed correlations of p27 expression levels, as determined by immunoblot analysis, with the clinical courses of 72 patients with AML. Patients with high p27 expression had a significantly better disease-free survival than that of patients with low or moderate p27 levels (78% versus 19%;  $P=0.004$ ).<sup>29</sup> It was suggested that the p27 protein might have a positive as well as a negative impact on cell cycle function.<sup>30</sup>

The interactions between *CDKN1B* expression, p27 protein levels and clinical outcomes in patients with AML

do, therefore, deserve further investigation. A relationship between p27 expression levels and proliferation rates in hematologic malignancies has already been suggested.<sup>31</sup> Performing immunohistochemistry in lymphomas, Erlanson *et al.* demonstrated high p27 expression in small lymphocytes while centroblasts and immunoblasts showed weak or no p27 staining. This was suggestive of an inverse correlation between proliferation rates and p27 expression,<sup>32</sup> which would support our hypothesis that low *CDKN1B* expression is associated with high proliferation and, thereby, with a favorable response to chemotherapy. This is in line with our data regarding low *CDKN1B* expression in AML subtypes with high response rates to chemotherapy.

In conclusion, deletions of the 12p13 region are a recurrent phenomenon in patients with AML and other myeloid disorders, confirming previous data, e.g. from Silva *et al.*, who recently reported on three patients with 12p deletions in a cohort of 52 patients with FAB M0 AML.<sup>33</sup> However, the minimally deleted region in the 12p-deleted cases reported by Silva *et al.* comprised the *ETV6* gene but excluded the *CDKN1B* gene. Others, such as Andreasson *et al.*, described *CDKN1B* within the deleted region of 12p in AML and MDS,<sup>1</sup> and Sato *et al.* were able to localize the smallest region of overlap of 12p deletions in hematologic malignancies to a small genomic region that was bordered by the *ETV6/TEL* and *CDKN1B/KIP1* genes.<sup>2</sup> In our study, *CDKN1B* was the most interesting candidate with respect to the nine genes located within the minimally deleted region of 12p. *CDKN1B* expression levels were shown to be significantly correlated with distinct cytogenetic subgroups. Our study suggests a close link of the t(15;17)/*PML-RARA*, the t(8;21)/*RUNX1-RUNX1T1*, and the 11q23/*MLL* rearrangements with low *CDKN1B* expression. Similar to other data on the expression of *BAALC*, *MN1*, or *ERG*,<sup>25,27,34</sup> we here demonstrate for the first time, in a cohort of 286 patients with AML, that the expression of *CDKN1B* is associated with clinical outcome. However, *CDKN1B* expression had a significant impact on survival outcomes only in univariate analysis, and it could not be shown that *CDKN1B* expression had an impact independently of karyotype. Further studies should confirm the prognostic value of *CDKN1B* expression in myeloid malignancies and evaluate whether this parameter could contribute to therapeutic decisions in AML.

## Authorship and Disclosures

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