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# Genetic Algorithm for Object Oriented Reducts Using Rough Set Theory

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## Abstract

Knowledge reduction is NP-hard problem. Many approaches are proposed to get the minimal reduction, which is mainly based on the significance of the attributes. There are some disadvantages of the reduction algorithms at present. In this paper, we propose a heuristic algorithm based on C-Tree for object – oriented reducts and also present a genetic algorithm (GA) for object oriented reducts based on C-Tree using rough set theory.

**Keywords:** Condensing Tree, object oriented reduct, rough set, Genetic algorithm

## 1. Introduction

Rough set theory ([1,2,3]), proposed by Z. Pawlak in 1982, offered an effective mathematical method to deal with uncertainty knowledge. Recently, rough set theory and its application have been developed rapidly, which are mainly concentrated on the generalization of rough set model, the research on uncertainty theory in rough set, rough set operations and their connections with other uncertainty operations, rough set and its contacts with other mathematical theories and so on.

Rough set theory [1, 2] provides a theoretical foundation of approximation of objects. Information systems represent characteristics of objects by attributes and its values, and for any given concepts, that is, any subsets of objects, lower and upper approximations by indiscernibility relations illustrate set-theoretic approximations of concepts.

Knowledge reduction is one of the most important problems in areas of data mining, pattern recognition and machine learning. It can remove redundant properties, and effectively simplify the knowledge and enhance learning efficiency and lower cost of classification. Minimal reduction that has been proved is a kind of NP-hard problem [9], so researchers have to propose some heuristic reduction algorithms which generally make use of attribute's significant degree as a kind of heuristic information to get the better result.

Genetic algorithm (GA) is a kind of effective searching and optimizing technique which has the characteristics of implicit parallelism, robust and global search, and has been applied to many fields. Bjorvand [10] used GA to calculate minimal reduct, but he didn't make use of any heuristic information. What's more, it is complicated to determine the fitness. In this paper, we introduced a heuristic strategy into genetic algorithm and proposed a heuristic genetic algorithm to find object oriented reducts using rough set theory. We consider relative minimal reduct in this paper.

The rest of this paper is organized as follows. In Section 2, we briefly review rough set theory and object oriented paradigm. In Section 3, we constructed object oriented rough set models with the help of object-oriented information systems. In Section 4, we define discernibility matrix to generate condensing tree (C-Tree). In section 5, we developed a heuristic algorithm based on C-Tree for object oriented reducts using rough set theory. In Section 6, we presented a genetic algorithm(GA) for object oriented reducts based on C-Tree using rough set theory.

## 2. Rough Sets and Information Systems

An information system is a pair  $S = (U, A)$  where  $U$  and  $A$  are finite and nonempty sets.  $U$  is called the universe, and each element  $x \in U$  is called an object, respectively. On the other hand, each element  $a \in A$  is called an attribute, which is identified with a function  $a : U \rightarrow v_a$  that assigns a value to each object  $x \in U$ , where  $v_a$  is the set of values of the function  $a$ .

For any subset  $B \subseteq A$  of attributes, we construct an indiscernibility relation  $R_B$  on  $U$  as follows:

$$xR_By \Leftrightarrow a(x) = a(y), \forall a \in B \quad \dots \quad (1)$$

where  $a(x)$  means the value of the object  $x \in U$  at the attribute  $a$ .  $xR_By$  means that we can not discern  $x$  and  $y$  by any combination of attributes in  $B$ . It is clear that the indiscernibility relation  $R_B$  is an equivalence relation. We denote the equivalence class by  $R_B$  that contain  $x$  as  $[x]_{R_B}$ . The class of all equivalence classes by  $R_B$  provides a partition  $U/R_B$  of  $U$ .

For a given information system  $S=(U,A)$ , a given subset  $B \subseteq A$  of attributes, and any subset  $X \subseteq U$ , we construct a lower approximation  $\underline{R}_B(X)$  and an upper approximation  $\overline{R}_B(X)$  of  $X$  as follows, respectively:

$$\underline{R}_B(X) = \{x \in U / [x]_B \subseteq X\} \quad \dots \quad (2)$$

$$\overline{R}_B(X) = \{x \in U / [x]_B \cap X \neq \emptyset\} \quad \dots \quad (3)$$

The lower approximation of  $X$  is the set of objects  $x$  that the equivalence class  $[x]_{R_B}$  of  $x$  is included to  $X$ , and the upper approximation of  $X$  is the set of objects  $x$  that  $[x]_{R_B}$  has a non-empty intersection with  $X$ . Note that we have the following set-inclusion relation:  $\underline{R}_B(X) \subseteq X \subseteq \overline{R}_B(X)$ .

A rough set of  $X$  is a pair  $R(X) = (\underline{R}_B(X), \overline{R}_B(X))$  of the lower approximation and the upper approximation of  $X$ . The rough set  $R(X)$  provides an approximation of the set  $X$  in the information system  $S$  based on attributes in  $B$ . If we have  $\underline{R}_B(X) = X = \overline{R}_B(X)$ ,  $X$  is called  $R_B$ -definable. On the other hand, if we have  $\underline{R}_B(X) \subset X \subset \overline{R}_B(X)$ ,  $X$  is called  $R_B$ -rough.

Quality of approximation of  $X$  by the rough set  $R_B(X)$  is numerically evaluated as follows:

$$\frac{|\underline{R}_B(X)|}{|\overline{R}_B(X)|} \quad \dots \quad (4)$$

where, for any set  $S$ ,  $|S|$  means the cardinality of  $S$ . It is clear that the quality of approximation is equal to 1 if and only if  $X$  is  $R_B$ -definable.

Let  $P$  and  $Q$  be equivalence relations over  $U$ , then the positive, negative and boundary regions are defined as :

$$POS_P(Q) = \bigcup_{x \in U/Q} \underline{\sim}_P(X) \quad \dots \quad (5)$$

$$NEG_P(Q) = U - \bigcup_{x \in U/Q} \overline{\sim}_P(X) \quad \dots \quad (6)$$

$$BND_P(Q) = \bigcup_{x \in U/Q} \overline{\sim}_P(X) - \bigcup_{x \in U/Q} \underline{\sim}_P(X) \quad \dots \quad (7)$$

The positive region comprises all objects of  $U$  that can be classified to classes of  $U/Q$  using the information contained within attributes  $P$ . The boundary region  $BND_P(Q)$ , is the set of objects that can possibly, but not certainly, be classified in this way. The negative region,  $NEG_P(Q)$ , is the set of objects that can not be classified to classes of  $U/Q$ .

Let  $S= (U,C,D)$  be information system where  $C$  is set of condition attributes and  $D$  is set of decision attributes. The set of attributes  $R \subseteq C$  is called a reduct of  $C$ , if  $S' = (U,R,D)$  is independent and  $POS_R(D) = POS_C(D)$ . The set of all the condition attributes indispensable in  $T$  is denoted by  $CORE(C) = \bigcap RED(C)$  where  $RED(C)$  is the set of all reducts of  $C$ .

### 3. Object-Oriented Rough Set Models

In this section, we propose object-oriented information systems that illustrate hierarchical structures of object oriented concepts. First, we propose class structures that represent abstract data forms and hierarchical structures between classes. Next, we define object structures that illustrate many kinds of objects and actual dependence among objects by has-a relationship and offers-a relationship.

Moreover, we define name structures that introduce strict constraint to guarantee consistency of structures. Name structures provide concrete design of objects, and connect the class structure and the object structure consistently. Finally, combining these structures, we provide object oriented information systems as generalization of “traditional “information systems of rough set theory.

#### 3.1. Class

Definition 1: A class structure  $C$  is the following triple:

$$(C, R_c, S_c) \quad \text{---} \quad (6)$$

Where  $C$  is finite non-empty set,  $R_c$  is acyclic binary relation on  $C$  that is  $R_c$  satisfies the following property :

$$\nexists c_1, c_2, \dots, c_n \in C \text{ such that } c_1 R_c c_2, c_2 R_c c_3, \dots, c_{n-1} R_c c_n, c_n R_c c_1$$

and  $S_c$  is a reflexive, transitive, and asymmetric binary relation on  $C$ . Moreover,  $R_c$  and  $S_c$  satisfying the following property:

$$\forall c_i, c_j, c_k \in C, c_i S_c c_j, c_j R_c c_k \Rightarrow c_i R_c c_k \quad \dots \quad (7)$$

Each  $c \in C$  is called a class that represents an abstract data form. Note that each class corresponds to a sort in many-sorted logic [4] and order-sorted logic [5].

Two relations  $R_c$  and  $S_c$  illustrate hierarchical structures among classes. The relation  $R_c$  is called a offers-a relation, which illustrates part / whole relationship between classes.  $c_i R_c c_j$  means “ $c_i$  offers a  $c_j$ ”. The relation  $S_c$  is called a has -a relation, and  $c_i S_c c_j$  means that “ $c_i$  has a  $c_j$ ” or  $c_i$  is a part of  $c_j$ .

Because  $C$  is a finite non-empty set, and  $R_c$  is acyclic, there is at least one class  $c$  such that  $c$  has no other class  $c'$ , that is,  $c \not R_c c'$  for any  $c' \in C$ . We call such class  $c$  an attribute, and denote the set of attributes by  $AT$ . Formally,  $AT$  is defined as follows:

$$AT = \{c \in C / c \not R_c c', \forall c' \in C\} \quad \dots \quad (8)$$

**Example 1:** Let  $(C, R_c, S_c)$  be class structure with

$C = \{ \text{University, College, Department, Faculty, Student, Course, Ncollege, Ndept, Nstudents} \}$  and have the following relations.

has-a relation: University  $S_c$  College,  
 College  $S_c$  Department,  
 University  $S_c$  Department,  
 .....

Offers- a relation: College  $R_c$  Department  
 Department  $R_c$  Courses.

Suppose moreover that Ncollege, Ndept and Nstudents are attributes.

These relations illustrate connection between classes, for example , “University has a College” and “College offers Department “ imply “University offers department”

(or)

“University has a Department ” and “Department offers Course” imply “University offers Course ”

**3.2. Object**

We define an object structure that illustrates hierarchical structures among objects.

**Definition 2:** An object structure  $O$  is the following triple:

$$(O, R_o, S_o) \dots \tag{9}$$

where  $O$  is a finite non-empty set,  $R_o$  is an acyclic binary relation on  $O$ , and  $S_o$  is a reflexive, transitive, and asymmetric binary relation on  $O$ . Moreover, similar to the definition of class,  $R_c$ , and  $S_c$  satisfy the following property:

$$\forall o_i, o_j, o_k \in O, o_i S_o o_j, o_j R_o o_k \Rightarrow o_i R_o o_k \dots \tag{10}$$

We intend that every object  $o \in O$  is an instance of some class  $c \in C$ . To represent this intention, we define a class identifier function  $id_C$  as follows.

**Definition 3:** Let  $C = (C, R_c, S_c)$  be the class structure and  $O = (O, R_o, S_o)$

be the object structure. A function  $id_C: O \rightarrow C$  is called class identifier iff  $id_C$  a p-morphism between  $O$  and  $C$ (cf.[8],p142) that is, the function  $id_C$  satisfies the following conditions:

$$1. \forall o_i, o_j \in O, o_i R_o o_j \Rightarrow id_C(o_i) R_c id_C(o_j) \dots \tag{11}$$

$$2. \forall o_i \in O, \forall c_j \in C, id_C(o_i) R_c c_j \Rightarrow \exists o_j \in O. s.t. o_i R_o o_j \text{ and } id_C(o_j) = c_j \dots \tag{12}$$

and the same conditions are also satisfied for  $S_o$  and  $S_c$ .  $id_C(o) = c$  means that the object  $o$  is an instance of the class  $c$ .

For any object  $x$ , if  $id_C(x) = a$  and  $a \in AT$ , we call such object  $x$  a value object of the attribute  $a$ . The value object  $x$  is an instance of the attribute  $a$  represents a “value” of the attribute. Thus, if  $y$  is another value object of  $a$ , it is natural to

enable us to compare the “value” of  $x$  and  $y$ . We introduce the concept of “value” of value objects.

**Definition 4:** For any object  $x$ , if  $\text{id}_C(x) = a$  and  $a \in AT$ , we call such object  $x$  a value object of the attribute  $a$ . We denote the “value” of the value object  $x$  by  $\text{Val}(x)$ .

### 3.3. Name

We introduce a name structure to provide concrete design of objects, and connect the class structure and the object structure consistently. The class structure provides abstract data forms of objects, however, does not provide constraints about the number of parts and their identification. Suppose we have  $c_i R_c c_j$  and we intend that any instance  $o_i$  of the class  $c_i$  has  $m$  objects of  $c_j$  as parts of  $o_i$  and each object of  $c_j$  should be strictly identified. Direct connection between objects and classes by the class identifier  $\text{id}_C$ .

**Definition 5 :** Let  $\mathbf{C} = (C, R_C, S_C)$  be the class structure. A name structure  $\mathbf{N}$  for  $\mathbf{C}$  is the following triple:

$$(N, R_N, S_N) \quad \dots \quad (13)$$

where  $N$  is a finite non-empty set such that  $|C| \leq |N|$ ,  $R_N$  is an acyclic binary relation on  $N$ , and  $S_N$  is a reflexive, transitive, and asymmetric binary relation on  $N$ . Moreover, similar to the definition of class,  $R_N$  and  $S_N$  satisfy the following property :

$$\forall n_i, n_j, n_k \in N, n_i S_N n_j, n_j R_N n_k \Rightarrow n_i R_N n_k \quad \dots \quad (14)$$

We call each  $n \in N$  a name.

We intend that a naming function  $f_n : N \rightarrow C$  provides names to each class. To introduce the naming function precisely, we define the following notations.

**Definition 6:** Let  $\mathbf{C} = (C, R_C, S_C)$  be the class structure,  $\mathbf{N} = (N, R_N, S_N)$  be the name structure, and  $f : N \rightarrow C$  be a function. For any name  $n \in N$ , we denote the set of names that  $n$  has by :

$$H_N(n) = \{n_j \in N / n R_N n_j\} \quad \dots \quad (15)$$

Moreover, using the function  $f$ , we denote the set of names of a class  $c \in C$  that  $n$  has by

$$H_n^f(c/n) = \{n_j \in N / n R_N n_j, f(n_j) = c\} \quad \dots \quad (16)$$

**Definition 7:** Let  $\mathbf{C} = (C, R_C, S_C)$  be the class structure,  $\mathbf{N} = (N, R_N, S_N)$  be the name structure. A function  $f_n : N \rightarrow C$  is called a naming function if and only if  $f_n$  is a surjective  $p$ -morphism between  $\mathbf{N}$  and  $\mathbf{C}$  and satisfies the following name preservation constraint:

For any  $n_i, n_j \in N$ , if  $f_n(n_i) = f_n(n_j)$  then

$$H_n^{f_n}(c/n_i) = H_n^{f_n}(c/n_j) \quad (17)$$

is satisfied for all  $c \in C$ .

**Example 2:** This example is continuation of Example1. Let  $C = (C, R_C, S_C)$  be the class structure in Example1,  $N = (N, R_N, S_N)$  is a name structure with  $N = \{\text{university, college, department, faculty, student, college2, course, ncollege, ndept, nstudent}\}$  and the following relationships:

Has- a relation: : university  $S_N$  college,  
                   college  $S_N$  department,  
                   university  $S_N$  Department,  
                   .....

Offers-relation :  
                   College  $R_N$  Department  
                   Department  $R_N$  Courses.

Moreover, suppose we have a naming function  $f_n : N \rightarrow C$  such that

$f_n(\text{university}) = \text{University}$ ,  
 $f_n(\text{college}) = f_n(\text{college2}) = \text{College}$ ,  
 $f_n(\text{department}) = \text{Department}$ ,  
 $f_n(\text{faculty}) = \text{Faculty}$ ,  
 $f_n(\text{student}) = \text{Student}$ ,  
 $f_n(\text{course}) = \text{Course}$ ,  
 $f_n(\text{ncollege}) = \text{Ncollege}$ ,  
 $f_n(\text{ndepartment}) = \text{Ndepartment}$ ,  
 $f_n(\text{nstudent}) = \text{Nstudent}$ .

Note that we have  $H_N(\text{College/university}) = \{\text{college, college2}\}$ , and  
 $H_N(\text{Ndepartment/college}) = H_N(\text{Ndepartment/college2}) = \{\text{ndepartment}\}$ .

Here, to illustrate connection between the classes and names, we use class diagrams of UML[7] authorized by OMG[8] as in Fig 1. For example, the class diagram “University” illustrates that University class has two objects of the College class, called “college” and “college2”, respectively, one object “student” of the Student class, and one object “faculty” of the Faculty class.

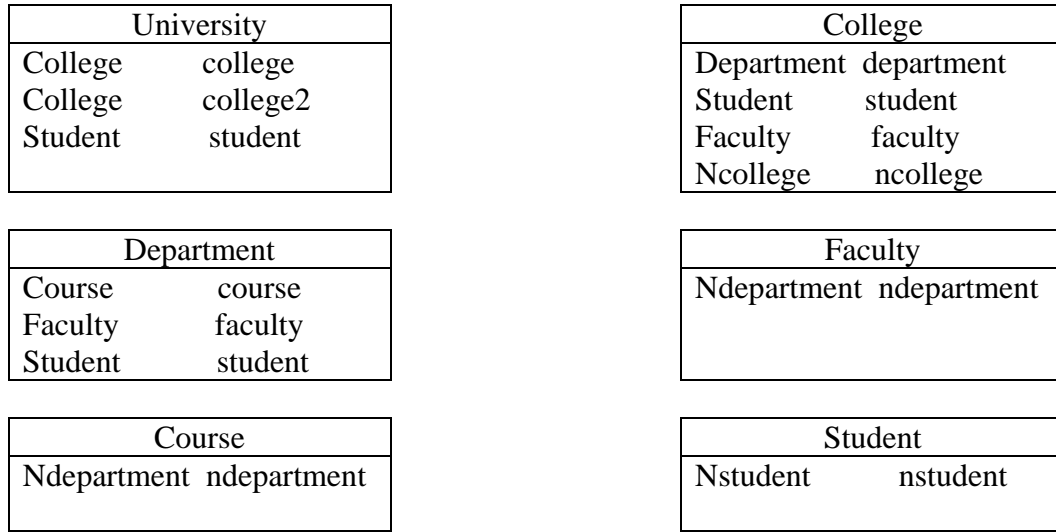


Fig 1. Class diagrams in example 2

**Definition 8 :** Let  $\mathbf{O} = (O, R_o, S_o)$  be the object structure and  $\mathbf{N} = (N, R_N, S_N)$  be the name structure. A function  $a_n : O \rightarrow N$  is called a name assignment if and only if  $a_n$  is a p-morphism between  $\mathbf{O}$  and  $\mathbf{N}$  satisfies the following uniqueness condition :

For any  $x \in O$ , if  $H_o(x) \neq \phi$ , the restriction of  $a_n$  into  $H_o(x)$  :

$$a_n / H_o(x) = H_o(x) \rightarrow N \quad \dots \quad (18)$$

is injective, where  $H_o(x) = \{ y \in O / x R_o y \}$  is the set of objects that  $x$  has  $a_n(x) = n$  means that the name of the object  $x$  is  $n$ .

**Definition 9 :** Let  $\mathbf{C} = (C, R_C, S_C)$  be the class structure,  $\mathbf{N} = (N, R_N, S_N)$  be the name structure,  $\mathbf{O} = (O, R_o, S_o)$  be the object structure. Moreover, let  $id_C : O \rightarrow C$  be the class identifier. We say that  $\mathbf{C}$ ,  $\mathbf{N}$  and  $\mathbf{O}$  are well defined if and only if there exists a naming function  $f_n : N \rightarrow C$  and a name assignment  $a_n : O \rightarrow N$  such that

$$id_C = f_n \circ a_n \dots \quad (19)$$

that is,  $id_C(x) = f_n(a_n(x))$  for all  $x \in O$ .

**Definition 10 :** Let  $\mathbf{C}$ ,  $\mathbf{N}$  and  $\mathbf{O}$  be well defined structures. Suppose we have  $o_1, o_2, \dots, o_k \in O$ ,  $n_1, n_2, \dots, n_k \in N$ , and  $c_1, c_2, \dots, c_k \in C$  such that  $o_i R_o o_{i+1}$  for  $1 \leq i \leq k-1$ , and  $a_n(o_i) = n_i$ ,  $f_n(n_i) = c_i$  for  $1 \leq i \leq k$ . We denote  $o_1.n_2 \dots n_i$  instead of  $o_i$  for  $2 \leq i \leq k$  by means of “the instance of  $c_i$  named  $n_i$  as a part of the instance of  $c_{i-1} \dots$  as a part of  $o_1$ ”.

**Example 3 :** This example is continuous of Example 2. Let  $\mathbf{C} = (C, R_C, S_C)$  and  $\mathbf{N} = (N, R_N, S_N)$  are the same class structure and name structure in example 2,



respectively. Moreover, let  $\mathbf{O} = (O, R_o, S_o)$  be an object structure with the offers – a relationship illustrated in Fig 2 and the following has-a relationship.

$x S_o x, \forall x \in O$  and

university3  $S_o$  university1, university3  $S_o$  university2.

Moreover, let  $a_n : O \rightarrow N$  be the following name assignment :

$a_n(\text{university1}) = a_n(\text{university2}) = \text{college}$ ,

$a_n(\text{university3}) = \text{college2}$ ,

$a_n(c1) = a_n(c2) = a_n(c3) = \text{college}$ ,

$a_n(c4) = \text{college2}$ ,

$a_n(s1) = a_n(s2) = a_n(s3) = \text{student}$ ,

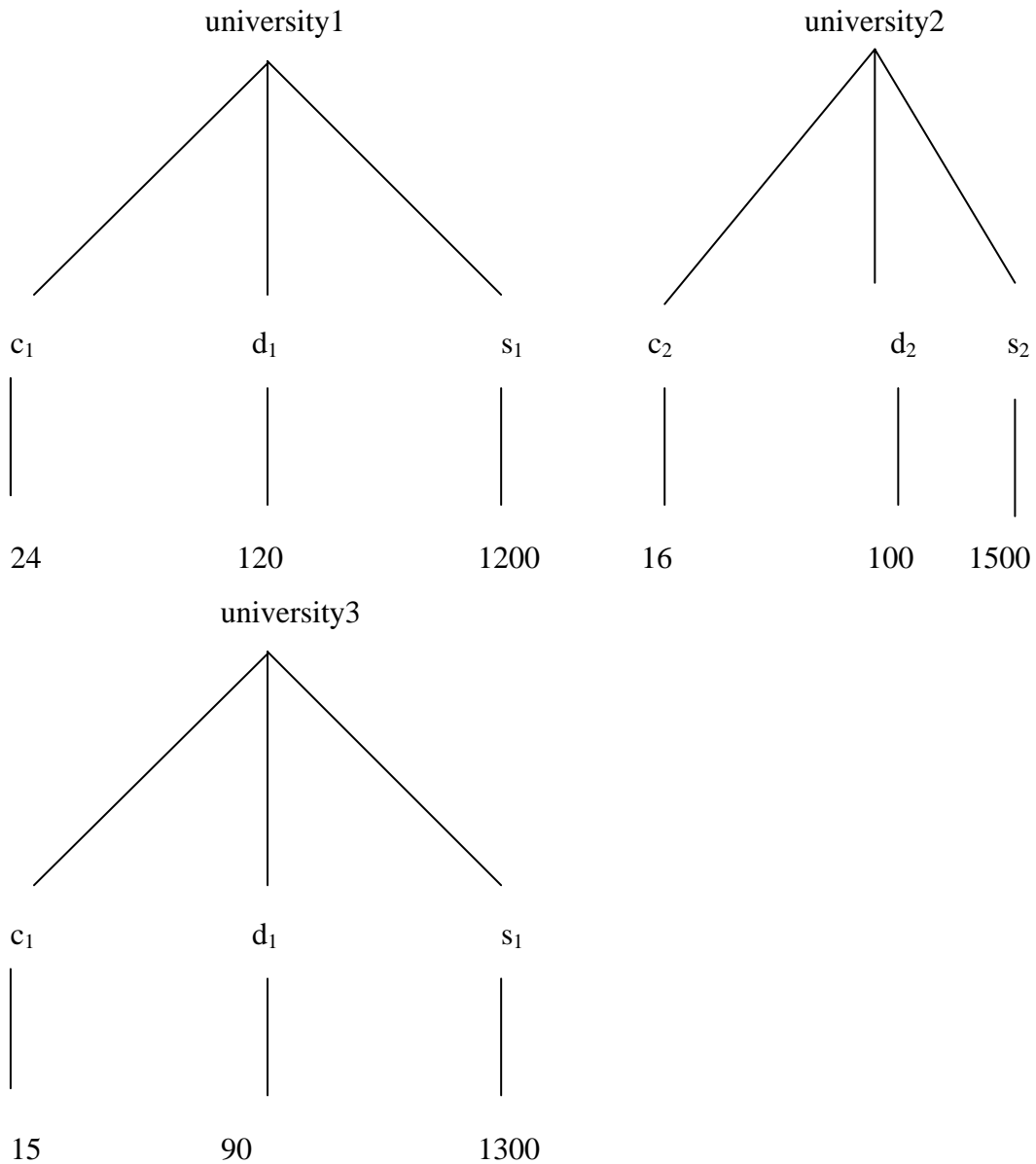
$a_n(f1) = a_n(f2) = a_n(f3) = \text{faculty}$ ,

$a_n(24) = a_n(16) = \text{ncollege}$ ,

$a_n(150) = a_n(120) = \text{ndepartment}$ ,

$a_n(2400) = a_n(1200) = \text{nstudent}$ .

We define the class identifier  $id_C : O \rightarrow C$  by Eq.(19) using  $a_n$  and  $f_n$  used in example 2. It is not hard to check that  $C, N$  and  $O$  are well defined.



**Fig 2. Offers- a relation on objects in example3**

**Object-Oriented Information System**

Using well defined class, name structure and object structures, we introduce an object oriented information system that corresponds to the information in “traditional” roughset theory.

Definition 11: Let  $\mathbf{C} = (C, R_C, S_C)$  and  $\mathbf{N} = (N, R_N, S_N)$ ,  $\mathbf{O} = (O, R_o, S_o)$  be well defined class, name, object structures respectively, An object oriented information system  $OOIS(\mathbf{O}, \mathbf{C}, \mathbf{N})$  is the following structure:

$$OOIS(\mathbf{O}, \mathbf{C}, \mathbf{N}) = (O, C, N, o, id_c) \dots \tag{20}$$

where  $id_c = f_n \circ a_n$

The object oriented information system can be illustrate “traditional” information system as special case. In particular ,for any information system  $IS(U,A)$  ,we can construct an object oriented information system  $OOIS(O_{IS}, C_{IS}, N_{IS})$  that corresponds to  $IS$ : First, using the information system  $IS = (U,A)$ , we construct a name structure.

$N_{IS} = (N_{IS}, R_{N_{IS}}, S_{N_{IS}})$  as follows :

$$N_{IS} = A \cup \{IS\}$$

$$R_{N_{IS}} = \{(s,a) / a \in A\}$$

$$S_{N_{IS}} = \{(n,n) / n \in N_{IS}\}$$

Where  $s$  is a symbol that doesnot appear in  $A$ . We also construct an object structure

$O_{IS} = (O_{IS}, R_{O_{IS}}, S_{O_{IS}})$  as follows :

$$O_{IS} = U \cup \left( \bigcup_{a \in A} \{v_a^x / \exists a, \exists x, v \in v_a, a(x) = v\} \right)$$

$$R_{O_{IS}} = \{(x, v_a^x) / x \in U\}$$

$$S_{O_{IS}} = \{(o,o) / o \in O_{IS}\}$$

Where  $v_a^x$  is a new symbol that corresponds to the value of the object of the attribute  $a$  as a part of the object  $x$  , and  $v(v_a^x) = v$ .

We set a class structure  $C_{IS} = (C_{IS}, R_{C_{IS}}, S_{C_{IS}})$  as  $C_{IS} = N_{IS}$  ,  $R_{C_{IS}} = R_{N_{IS}}$  and  $S_{C_{IS}} = S_{N_{IS}}$ .

Finally, we construct a name assignment  $(a_n)_{N_{IS}}$  , a naming function  $(f_n)_{C_{IS}}$  , and a class identifier  $id_{C_{IS}}$  , respectively. Suppose a function  $(a_n)_{N_{IS}} : O_{IS} \rightarrow N_{IS}$  by

$$(a_n)_{N_{IS}}(o) = \begin{cases} s & \text{if } o \in U \\ a & \text{if } o \in v_a^x, \exists x \in U \end{cases} \quad \dots \quad (21)$$

The function  $(a_n)_{N_{IS}}$  becomes a name assignment : if  $o \in U$ , then we have

$H_o(o) = \{v_a^o / a \in A\}$ , that is, the set of value objects about  $o$ , and by the construction of value objects  $v_a^o$ , each  $v_a^o$  and  $a = (a_n)_{N_{IS}} v_a^o \in N_S$  corresponds one to one. Otherwise, we have  $o = v_a^x$  , and therefore  $H_o(o) = \phi$ . We define the naming function  $(f_n)_{C_{IS}} : N_{IS} \rightarrow C_{IS}$  by  $(f_n)_{C_{IS}}(n) = n \in C_{IS}$  for all  $n \in N_{IS}$ .

Using  $(a_n)_{N_{IS}}$  , we get  $id_{C_{IS}} = (f_n)_{C_{IS}} \circ (a_n)_{N_{IS}}$ .

$OOIS(O_{IS}, C_{IS}, N_{IS})$  satisfies the following property :  $a(x) = v \Leftrightarrow val(x,a) = v$  ,  $\forall x \in U$ ,  $\forall a \in A$ .

**Definition 11 :** Let  $O = (O, R_o, S_o)$  be the object structure and  $D = \{d_1, d_2, \dots, d_n\}$  be set of decision attribute values and  $d \notin AT$  where  $AT$  is set of all condition

attributes. A function  $g_n : O \rightarrow D$  is called decision function if and only if  $g_n$  is a surjective p-morphism and satisfies the following constraint :

$$\bigwedge c_i \Rightarrow d_i \text{ where } c_i \in AT \text{ and } d_i \in D \text{ ( } i = 1, 2, \dots, |AT| \text{)} \quad \dots \quad (22)$$

## 4. Generation of condensing tree (C-Tree)

### 4.1 Discernibility Matrix

A decision table is denoted by  $DT = \langle O, AT \cup D, V, f \rangle$  where  $O = \{o_1, o_2, \dots, o_n\}$  is a non-empty finite set of objects or cases called Universe, where  $AT$  is the set of conditional attributes and  $D$  is the decision attributes,  $AT \cap D = \phi$ . In this paper,  $D = \{d\}$  is a singleton set, where  $D$  is the class attribute that denotes classes of objects.

$f : O \times (AT \cup D) \rightarrow V$  is a total function such that  $f(o_i, a) = v_a$  for each  $a \in (AT \cup D)$ ,

$o_i \in O$ , where  $v_a$  is domain of the attribute  $a$ . Throughout this paper,  $\phi$  denotes empty set, and  $|X|$  denotes the function that returns the cardinality of the argument set  $X$ . Given a decision table  $DT$ , a discernibility matrix  $DM [ ]$  is defined as an  $n \times n$  matrix of  $DT$  with the  $(i, j)^{th}$  entry  $m_{ij}$  is given by

$$\begin{aligned} m_{ij} &= \{a \in AT : f(o_i, a) \neq f(o_j, a)\} \text{ for } f(o_i, D) \neq f(o_j, D) \\ &= \phi \text{ otherwise} \quad \dots \end{aligned} \quad (23)$$

An attribute subset  $R$  of  $C$  is an attribute reduction iff  $R \cap m_{ij} \neq \phi$  holds for each  $m_{ij} \in DM$  ( $m_{ij} \neq \phi$ ), and for every  $S \subset R$ ,  $\exists m_{ij} \in DM$  ( $m_{ij} \neq \phi$ ) such that  $S \cap m_{ij} = \phi$ . An attribute subset  $R$  of  $C$  is an approximate attribute reduction if and only if  $|\{m_{ij} / R \cap m_{ij} \neq \phi\}| \geq \delta * N$ ,  $|\{m_{ij} / S \cap m_{ij} \neq \phi\}| < \delta * N$ ,  $\forall S \subset R$ , where  $N$  is the number of non-empty entries in  $DM$ ,  $\delta \in [0.8, 1]$ .

### 4.2 Construction of Condensing Tree (C-Tree)

For a given discernibility matrix ( $DM$ ), to efficiently compress and not lost information of  $DM$ , the so called C-Tree structure, a compact data structure was introduced, the information of  $DM$  can also be compressed but not lost by C-Tree structure.

A Condensing tree is a tree structure defined as given below.

1. It consists of one root labeled as "null" a set of attribute ( or attribute index) prefix subtrees as the children of the root, and an attribute ( or attribute index) header table.
2. Each node in the attribute prefix subtree consists of six fields : attribute-name, count, stcount, parent, childhead, and node-link, where attribute name registers, which attribute this node represents, count registers the number of cells of a discernibility matrix represented by the portion of the path reaching this node,

stcount registers the sum of all count fields of its child nodes. Parent points to its parent node, child head points to the head child of all children and node-link to the next node in the C-Tree carrying the same attribute name, or null if there is none.

3. Each entry in the attribute header table consists of three fields : attribute-name, frequency, and head of the node-link, where frequency registers the number of entries including the attribute represented by attribute-name appears in corresponding discernibility matrix, head of node-link points to the first node in the C-Tree carrying the attribute-name.

According to this definition, the C-Tree generation algorithm is described as follows.

### Algorithm 1 Generating C-Tree (AT,D,O)

Input : AT : Conditional attributes, D: decision attributes O : objects

Output : Its condensing tree, C-Tree T.

1. Set a proper order of attributes **R**;
2. Create the root of an C-Tree T, and label it as “null”;
3. Create the header table HT[1...|AT|], according to order R, get every attribute f in turn and set its attribute-name, frequency and head of node-link be f-attribute-name, O and NULL, respectively.
4. for (i =1;i<=|O|;i++)
  - for (j =1 ; j <= i-1;j++)
    - 4.1 generate an element  $m_{ij}$  of and DM by (1);
    - 4.2 if  $m_{ij} \neq \phi$  then
      - 4.2.1 sort the attributes  $m_{i,j}$  according to the order of R. Let the sorted attribute test in  $m_{ij}$  be [f/F], where f is the first attribute and F is the remaining list.
      - 4.2.2 call insert\_tree ([f/F], T);
- 5 return T.

In algorithm 1, the function insert\_tree ([f/F],T) is performed as follows. If T has a child N such that N.attribute\_name=f.attribute\_name, then increment N's count by 1; else create a new node N, and set its count be 1, its parent link be linked to T, and its node link be linked to the nodes with the same attribute\_name via the nod-link structure. If F is non-empty, call insert\_tree (F,N) recursively. The order of attributes, R, is usually set the order obtained by choosing attributes from left to right in a decision table.

## 5. A heuristic algorithm based on C-Tree for object –oriented reducts.

### Algorithm 2 : OReductBtree (AT,D,O)

Input : AT : Conditional attributes, D: Decision attributes , O : objects.

Output R : Object oriented reducts  $R \subseteq AT$ .

1.  $R \leftarrow \phi$ ,  $A \leftarrow AT$ ;
2.  $T \leftarrow$  Generating C-Tree (AT,D,O);
3. do
4.  $a \leftarrow$  select highest frequency attribute (T);
5.  $R \leftarrow R \cup \{a\}$
6.  $I \leftarrow$  locate (a,HT);  
/\* the position of attribute a in header table HT, that is, HT[i] attribute\_name is the attribute a \*/
7.  $p \leftarrow$  HT [i]. head of node-link;
8. HT[i]. frequency  $\leftarrow$  0;
9. while  $p \neq \phi$  do  
update count and stcount of each node along the parent and childhead;  
update the frequency of the attribute in HT;  
delete each node that its count equals zero;  
 $p \leftarrow p \rightarrow$  node-link ;
10.  $A \leftarrow A - \{a\}$ ;
11. until  $T = \phi$ ;
12. return R.

OReductBtree can only obtain one attribute subset. To get more useful approximate object oriented reducts will be introduced in section 4.

## 6. A genetic algorithm (GA) for object oriented reducts based on C-Tree.

Generating algorithms deal with a population of individuals by using selection, crossover and mutation operators. A population of individuals is repeatedly evolved over generations by optimizing a fitness function, which provides a quantitative measure of the fitness of individuals in the pool. Selection operator chooses better individuals to participate into crossover, i.e., those individuals with high fitness values. Cross-over operator is responsible for creating new individuals from the old ones. Mutation also generate new individuals, but only in the vicinity of old individuals.

Generally, solutions are represented by binary strings of length  $m$ , where  $m$  is the number of conditional attributes. In the bit representation '1' means that attribute is present and '0' means that it is not. Here a new fitness function based on discernibility matrix, for attribute reduction is defined as follows,

$$F(v) = (m - L_v) / m + |AT_v| / n \quad \dots \quad (24)$$

Where  $v$  is an individual, i.e., an object oriented reduct candidate,  $m$  is the number of conditional attributes,  $L_v$  is the number of 1's in  $V$ ,  $AT_v$  is the set of non-empty entries in which some attributes hidden in individuals appear,  $N$  is the number of non-empty entries in DM.

To obtain potential and more useful individuals, the so-called ‘Roulette Wheel’ strategy is employed, this strategy makes those attributes with relatively low frequencies can also be chosen. Moreover Eq.2 should be divided into two parts  $F_1$  and  $F_2$ .

$$F_1 = \frac{(m - L_v)}{m} \quad \dots \quad (25)$$

$$F_2 = \frac{|AT_v|}{n} \quad \dots \quad (26)$$

A genetic algorithm for object oriented reducts based on C-tree is summarized as follows :

**Algorithm 3. CTBGAOOR(AT,D,O, $\delta$ )**

/\* A Novel Condensing tree based genetic algorithm for object oriented reducts\*/  
Input : AT : Conditional attributes, D: Decision attribute, O: objects,  $\delta$  : pre-set thresholds:

Output : More useful approximate object oriented reducts.

1. parameter initialization :

$p_c \leftarrow$  crossover probability ;

$p_m \leftarrow$  Mutation probability ;

T  $\leftarrow$  Maximum number of iterations;

K  $\leftarrow$  0;

2. T $\leftarrow$  Generating C-Tree (AT,D,O);

3. getting the frequency of each attribute that appears in T;

4. p  $\leftarrow$  A random population of size pop\_size is generated by using the principle of ‘Roulette Wheel’ according to the frequency of each attribute;

5. computing three fitness values of each individuals: t  $\leftarrow$  all individuals that their fitness values  $F_2 \geq \delta$  ;

6. while (k < T) and |t| < 0.9 \* |P| do

6.1 select individuals using ‘Roulette wheel’ strategy;

6.2 crossover with  $p_c$  ;

6.3 Mutation with  $p_m$  ;

6.4 some uninteresting individuals are replaced and offspring individuals p are created;

6.5 The two fitness values for each individuals is repeated by calculate d;

6.6 k $\leftarrow$ k+1;

7 Choose some sub optimal individuals.

## Conclusion

We have proposed a heuristic algorithm based on C-Tree for object –oriented reducts. We have presented a genetic algorithm (GA) for object oriented reducts based on C-Tree using rough set theory.

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