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# Existence results for a superlinear $p$ -Laplacian equation with indefinite weights<sup>☆</sup>

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## Abstract

In this paper, using Mountain Pass Lemma and Linking Argument, we prove the existence of nontrivial weak solutions for the Dirichlet problem of the superlinear  $p$ -Laplacian equation with indefinite weights in the case where the eigenvalue parameter  $\lambda \in (0, \lambda_2)$ ,  $\lambda_2$  is the second positive eigenvalue of the  $p$ -Laplacian with indefinite weights.

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## 1. Introduction

In this paper, we shall investigate the existence of weak solutions for the following Dirichlet problem of the  $p$ -Laplacian with indefinite weights:

$$\begin{cases} -\Delta_p u =: -\operatorname{div}(|\nabla u|^{p-2} \nabla u) = \lambda V(x)|u|^{p-2}u + f(x, u) & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega, \end{cases} \quad (1.1)$$

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where  $p > 1$ ,  $\Omega \subset R^N$  is a bounded domain,  $V(x)$  is a given function which may change sign and  $\lambda$  is the eigenvalue parameter. Assume that

$$V^+ \not\equiv 0 \text{ and } V \in L^s(\Omega), \tag{1.2}$$

for some  $s > N/p$  if  $1 < p < N$  and  $s = 1$  if  $p > N$ .

For  $p = 2$ ,  $V \equiv 1$ , many results on the existence of linking-type critical points of problem (1.1) have been obtained (eg. [2,4,7]); For  $p \neq 2$ ,  $V \equiv 1$ , by two linking results, Fan and Li [10] obtained the existence results of problem (1.1) when  $0 < \lambda < \lambda_2$ ; while Alves et al. [1] consider the multiple solutions for the resonance involving p-Laplacian, under certain conditions on  $f(x, u)$ , the authors obtained at least three solutions of problem (1.1) when  $p \neq 2$ ,  $\lambda = \lambda_1$ ,  $V = h(x) \in L^\infty(\Omega)$  is a essentially bounded function. Using Morse theory, Liu [13] consider the existence of solutions to problem:

$$\begin{cases} -\Delta_p u =: -\operatorname{div}(|\nabla u|^{p-2}\nabla u) = f(x, u) & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega, \end{cases} \tag{1.3}$$

under condition that  $\int_0^s f(x, s) ds$  lies between the first two eigenvalues of p-Laplacian, which includes problem (1.1) with  $V \equiv 1$  as special case.

It is interesting here that function  $V$  is just belonging to  $L^s(\Omega)$  and may change sign. Our results will mainly rely on the results for the eigenvalue problem correspondent to problem (1.1) in [9]. Let us first recall the main results of Cuesta [9]. Consider the nonlinear eigenvalue problem:

$$\begin{cases} -\Delta_p u =: -\operatorname{div}(|\nabla u|^{p-2}\nabla u) = \lambda V(x)|u|^{p-2}u & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega, \end{cases} \tag{1.4}$$

where  $V$  satisfies condition (1.2). Define  $C^1$  functionals  $\Phi$  and  $J : W_0^{1,p}(\Omega) \rightarrow R$  by

$$\Phi(u) \equiv \int_{\Omega} |\nabla u|^p dx, \text{ and } J(u) \equiv \int_{\Omega} V(x)|u(x)|^p dx$$

and set  $\mathcal{M}$  by

$$\mathcal{M} = \{u \in W_0^{1,p}(\Omega) : J(u) = 1\}.$$

Assumption (1.2) ensures that  $\mathcal{M} \neq \emptyset$ . If  $\gamma(A)$  denotes the Krasnoselski genus on  $W_0^{1,p}(\Omega)$  and for any  $k \in \mathcal{N}$ , set  $\Gamma_k \equiv \{A \subset \mathcal{M} : A \text{ is compact, symmetric and } \gamma(A) \geq k\}$ . Then by the standard arguments of Ljusternik–Schnirelman critical point theory, value

$$\lambda_k = \inf_{A \in \Gamma_k} \max_{u \in A} \Phi(u) \tag{1.5}$$

is an eigenvalue of problem (1.4). Moreover,  $0 < \lambda_1 < \lambda_2 \leq \lambda_3 \leq \dots \leq \lambda_k \rightarrow +\infty$ , as  $k \rightarrow +\infty$ .

For  $p = 2$ ,  $V(x) \equiv 1$ , it is well known that the values obtained by (1.5) are all the eigenvalues of problem (1.4) and some other results, such as the first positive

eigenvalue  $\lambda_1$  is simple, isolated and it is the unique eigenvalue with positive eigenfunction. But for the general case  $p \neq 2$ , the situation becomes far more complicated (cf. [5,11,15] and the references therein). Fortunately, Cuesta [9] proved that the above properties of the first eigenvalue are also true in our case. Moreover, let

$$\lambda_2 \equiv \min\{\lambda \in R^+ : \lambda \text{ is an eigenvalue and } \lambda > \lambda_1\}. \tag{1.6}$$

Then  $\lambda_2 = \lambda_2$ .

### 2. Linking results

Let  $e_k \in \mathcal{M}$  be the eigenfunction associated to the eigenvalue  $\lambda_k$ , then  $\|e_k\|^p = \lambda_k$ . Denote  $G = \{u \in \mathcal{M} : \Phi(u) < \lambda_2\}$ . Obviously,  $G$  is an open set containing  $e_1$  and  $e_2$ . Moreover  $-G = G$ . First we shall prove the following Lemma.

**Lemma 2.1.**  *$e_1$  and  $-e_1$  do not belong to the same connected component of  $G$ .*

**Proof.** Otherwise, there exists a continuous curve  $\sigma$  connecting  $e_1$  and  $-e_1$  in  $G$ . Let  $A = \sigma \cup \{-\sigma\}$ , then from the definition of  $\mathcal{M}$ ,  $0 \notin A$ , hence  $\gamma(A) > 1$ . By connectedness of  $A$ ,  $A \in \Gamma_2$ . Hence, as  $A$  is a compact set in  $G$ , and from the definition of  $G$ , we will have  $\max\{\Phi(u); u \in A\} < \lambda_2$ , and this contradicts the definition of  $\lambda_2$ .  $\square$

Let  $G_1$  be the connected component of  $G$  containing  $e_1$ , then  $-G_1$  is the connected component of  $G$  containing  $-e_1$ . Let

$$K_1 = \{tu : u \in G_1, t > 0\}, K = K_1 \cup \{-K_1\}.$$

Then, we have

$$\int |\nabla u|^p < \lambda_2 \int V(x)|u|^p \quad \forall u \in K \tag{2.1}$$

and

$$\int |\nabla u|^p = \lambda_2 \int V(x)|u|^p \quad \forall u \in \partial K, \tag{2.2}$$

where  $\partial K$  is the boundary of  $K$  in  $X = W_0^{1,p}(\Omega)$ . Let  $(\partial K)_\rho = \{u \in \partial K : \|u\| = \rho\}$ .

Set

$$\mathcal{E}_1 = \text{span}\{e_1\}, \mathcal{E}_2 = \text{span}\{e_1, e_2\},$$

$$\mathcal{L} = \{u \in X : \int_\Omega |\nabla u|^p = \lambda_2 \int_\Omega V(x)|u|^p\}.$$

(2.2) implies  $\partial K \subset \mathcal{L}$ .

Similar to Proposition 2.1 and Proposition 2.2 in [10], we obtain the following two linking results concerning the p-Laplacian with indefinite weights.

**Theorem 2.2.** Assume that  $v \in \mathcal{E}_1, v \neq 0, Q = [-v, v]$  is the line segment connecting  $-v$  and  $v, \partial Q = \{-v, v\}$ . Then  $\partial Q \subset Q$  and  $\mathcal{Z}$  link in  $X$ , that is,

- (i)  $\partial Q \cap \mathcal{Z} = \emptyset$  and
- (ii) For any continuous map  $\psi : Q \rightarrow X$  with  $\psi|_{\partial Q} = id$ , there holds  $\psi(Q) \cap \mathcal{Z} \neq \emptyset$ .

**Proof.** It is obvious that  $\partial Q \cap \mathcal{Z} = \emptyset$ . Now let  $\psi : Q = [-v, v] \rightarrow X$  be continuous and  $\psi|_{\partial Q} = id$ . From the definition of  $K$  and Lemma 2.1,  $K$  has two connected components  $K_1$  and  $-K_1$ . Assume  $v \in K_1, -v \in -K_1$ , then  $\psi(Q)$  is a continuous curve connecting  $v$  and  $-v$ , therefore there holds  $\psi(Q) \cap \partial K \neq \emptyset$  and thus  $\psi(Q) \cap \mathcal{Z} \neq \emptyset$ .  $\square$

**Theorem 2.3.** Assume  $0 < \rho < r < \infty$ , let  $\tilde{e}_1 = e_1/\lambda_1^{1/p}, \tilde{e}_2 = e_2/\lambda_2^{1/p}$ , and

$$Q = Q_r = \{u = t_1\tilde{e}_1 + t_2\tilde{e}_2 : \|u\| \leq r, t_2 \geq 0\},$$

$$\partial Q = \partial Q_r = \{u = t_1\tilde{e}_1 : |t_1| \leq r\} \cup \{u \in Q_r : \|u\| = r\},$$

$$Z_\rho = \{u \in \mathcal{Z} : \|u\| = \rho\}.$$

Then  $\partial Q_r \subset Q_r$  and  $Z_\rho$  link in  $X$ .

**Proof.**  $\partial Q_r \cap Z_\rho = \emptyset$  is obvious. Let  $\psi : Q_r \rightarrow X$  be continuous and  $\psi|_{\partial Q_r} = id$ . Denote  $d_1 = \text{dist}(\tilde{e}_1, \partial K)$  and define mapping  $P : X \rightarrow \mathcal{E}_2$  as follows:

$$P(u) = (\min\{\text{dist}(u, \partial K), rd_1\})\tilde{e}_1 + (\|u\| - \rho)\tilde{e}_2, \text{ if } u \notin -K_1;$$

$$-(\min\{\text{dist}(u, \partial K), rd_1\})\tilde{e}_1 + (\|u\| - \rho)\tilde{e}_2, \text{ if } u \in -K_1.$$

It is easy to see that  $P$  is continuous, and  $P$  maps  $v = r\tilde{e}_1$  to  $v_1 = Pv = rd_1\tilde{e}_1 + (r - \rho)\tilde{e}_2$ , the origin  $0$  to  $0_1 = P0 = -\rho\tilde{e}_2$ , the line segment  $[v, 0]$  onto the line segment  $[v_1, 0_1]$  homeomorphically;  $-v = -r\tilde{e}_1$  to  $v_2 = P(-v) = -rd_1\tilde{e}_1 + (r - \rho)\tilde{e}_2$ , the line segment  $[0, -v]$  onto a line segment  $[0_1, v_2]$  homeomorphically; and the half circle  $\{u \in \partial Q : \|u\| = r\}$  which is from  $v$  to  $-v$  in  $\partial Q$  onto the line segment  $[v_1, v_2]$ , where  $P(r\tilde{e}_2) = (r - \rho)\tilde{e}_2$ .

Let  $f = P \circ \psi : Q \rightarrow \mathcal{E}_2$ . From the discussion above, there holds  $0 \notin f(\partial Q)$ , and when  $u$  turns a circuit along  $\partial Q$  anticlockingly,  $f(u)$  also moves a circuit around the original  $0$  in  $\mathcal{E}_2$  anticlockingly. Hence, by a degree argument, there holds  $\text{deg}(f, Q, 0) = 1$ . So there exists some  $u \in Q$  such that  $f(u) = 0$ , i.e.,  $P(\psi(u)) = 0$ , which implies that  $\psi(u) \in \partial K$ ; and  $\|\psi(u)\| = \rho$ . Thus  $\psi(u) \in (\partial K)_\rho$  and  $\psi(Q) \cap (\partial K)_\rho \neq \emptyset$ . Since  $(\partial K)_\rho \subset Z_\rho$ , hence  $\psi(Q) \cap \mathcal{Z} \neq \emptyset$ .  $\square$

### 3. Existence results for problem (1.1)

In this section, we will give some conditions on  $f(x, u)$  to guarantee the functional associated to problem (1.1) satisfies the Palais–Smale condition ((PS) condition) and

the geometric assumptions of Mountain Pass Lemma (cf. Theorem 6.1 in Chapter 2 of [14]) in the case of  $0 < \lambda < \lambda_1$ ; the  $(C)_c$  condition due to Cerami and the assumptions of the linking theorem (cf. Theorem 8.4 in Chapter 2 of [14]) in the case of  $\lambda_1 \leq \lambda < \lambda_2$ .

Assume  $f : \Omega \times R \rightarrow R$  satisfies:

- (f<sub>1</sub>) (*Subcritical growth*).  $|f(x, s)| \leq c_1 |s|^{r-1} + c_2 \quad \forall s \in R, \text{ a.e. } x \in \Omega$ , where  $1 < r < p^*$   
 $= \frac{NP}{N - P}$ , if  $1 < p < N$ ;  $1 < r < +\infty$  if  $p \geq N$ ;
- (f<sub>2</sub>)  $f \in C(\bar{\Omega} \times R, R)$ ,  $f(x, 0) = 0$ ,  $uf(x, u) \geq 0$ ,  $u \in R$  and a.e.  $x \in \Omega$ ;
- (f<sub>3</sub>) (*Asymptotic property at infinity*).  $\exists \theta > p$  and  $M > 0$  such that  $0 < \theta F(x, u) \leq uf(x, u)$  for  $|u| \geq M$  and a.e.  $x \in \Omega$ ;
- (f<sub>4</sub>) (*Asymptotic property at  $u = 0$* ).  $\lim_{s \rightarrow 0} f(x, s)/|s|^{p-1} = 0$  uniformly a.e.  $x \in \Omega$ .

Assumptions of (1.2) and (f<sub>1</sub>) imply that functional  $I : W_0^{1,p}(\Omega) \rightarrow R$ :

$$I(u) = \frac{1}{p} \int_{\Omega} |\nabla u|^p \, dx - \frac{\lambda}{p} \int_{\Omega} V(x)|u|^p \, dx - \int_{\Omega} F(x, u) \, dx$$

is well-defined and  $I \in C^1(W_0^{1,p}(\Omega); R)$ , where  $F(x, s) = \int_0^s f(x, t) \, dt$ , and the weak solutions of problem (1.1) is equivalent to the critical points of  $I$ . (f<sub>2</sub>) implies that 0 is a trivial solution to problem (1.1).

**Lemma 3.1.** *If  $f$  satisfies assumptions (f<sub>1</sub>)–(f<sub>3</sub>), then  $I$  satisfies the (PS) condition for  $\lambda \in (0, \lambda_1)$ .*

**Proof.** (1) The boundedness of (PS) sequence of  $I$ .

Suppose  $\{u_m\}$  is a (PS) sequence of  $I$ , that is, there exists  $C > 0$  such that  $|I(u_m)| \leq C$  and  $I'(u_m) \rightarrow 0$  in  $X'$ , the dual space of  $X$ , as  $m \rightarrow \infty$ . The properties of the first eigenvalue  $\lambda_1$  imply that for any  $u \in X$ , there holds

$$\lambda_1 \int_{\Omega} V(x)|u|^p \, dx \leq \int_{\Omega} |\nabla u|^p \, dx.$$

Let  $d := \sup_m I(u_m)$ . Then by the above inequality and (f<sub>3</sub>), as  $m \rightarrow \infty$ , there holds

$$\begin{aligned} d - \frac{1}{\theta} o(1) \|u_m\| &= \left(\frac{1}{p} - \frac{1}{\theta}\right) \int_{\Omega} |\nabla u_m|^p - \lambda \left(\frac{1}{p} - \frac{1}{\theta}\right) \int_{\Omega} V(x)|u_m|^p \\ &\quad + \int_{\Omega} \left(\frac{1}{\theta} f(x, u_m)u_m - F(x, u_m)\right) \\ &\geq \left(\frac{1}{p} - \frac{1}{\theta}\right) \left(1 - \frac{\lambda}{\lambda_1}\right) \int_{\Omega} |\nabla u_m|^p \\ &\quad + \int_{\Omega(u_m \geq M)} \left(\frac{1}{\theta} f(x, u_m)u_m - F(x, u_m)\right) \end{aligned}$$

$$\begin{aligned}
 &+ \int_{\Omega(u_m < M)} \left( \frac{1}{\theta} f(x, u_m) u_m - F(x, u_m) \right) \\
 &\geq \left( \frac{1}{p} - \frac{1}{\theta} \right) \left( 1 - \frac{\lambda}{\lambda_1} \right) \|u_m\|^p - C_1,
 \end{aligned}$$

where  $C_1 \geq 0$  is a constant independent of  $u_m$ . The above estimate implies the boundedness of  $\{u_m\}$  for  $0 < \lambda < \lambda_1$ .

(2) By  $(f_1)$ ,  $f$  satisfies the subcritical growth condition, by a standard argument, one can obtain that there exists a convergent subsequence of  $\{u_m\}$  from the boundedness of  $\{u_m\}$  in  $X$ .  $\square$

**Theorem 3.2.** *If  $f$  satisfies assumptions  $(f_1)$ – $(f_4)$ , then problem (1.1) has a non-trivial weak solution  $u \in W_0^{1,p}(\Omega)$  provided that  $0 < \lambda < \lambda_1$ .*

**Proof.** We will verify the geometric assumptions of the Mountain Pass Lemma (cf. [14] Chapter 2, Theorem 6.1):

- (1)  $I(0) = 0$  is obvious;
- (2)  $\exists \rho > 0, \alpha > 0 : \|u\| = \rho \Rightarrow I(u) \geq \alpha$ ;

In fact,  $\forall u \in X$ , there holds

$$\begin{aligned}
 I(u) &= \frac{1}{p} \int_{\Omega} |\nabla u|^p - \frac{\lambda}{p} \int_{\Omega} V(x)|u|^p - \int_{\Omega} F(x, u) \\
 &\geq \frac{1}{p} \left( 1 - \frac{\lambda}{\lambda_1} \right) \int_{\Omega} |\nabla u|^p - \int_{\Omega} F(x, u).
 \end{aligned} \tag{3.1}$$

From  $(f_4)$ ,  $\forall \varepsilon > 0, \exists \rho_0 = \rho_0(\varepsilon)$  such that: if  $0 < \rho = \|u\| < \rho_0$ , then  $|f(x, u)| < \varepsilon|u|^{p-1}$ , thus

$$\int_{\Omega} F(x, u) \, dx \leq \int_{\Omega} \int_0^{u(x)} f(x, t) \, dt \, dx \leq \frac{\varepsilon}{p} \int_{\Omega} |u(x)|^p \, dx \leq \frac{c_0 \varepsilon}{p} \|u\|_{W_0^{1,p}}^p.$$

Choose  $c_0 \varepsilon_0 = (1 - \frac{\lambda}{\lambda_1})/2 > 0, \rho = \frac{\rho_0(\varepsilon_0)}{2}$ , from (3.1), one has

$$I(u) \geq \frac{1}{p} \left( 1 - \frac{\lambda}{\lambda_1} - c_0 \varepsilon_0 \right) \int_{\Omega} |\nabla u|^p \geq \frac{\lambda_1 - \lambda}{2\lambda_1 p} \cdot \rho =: \alpha > 0. \tag{3.2}$$

- (3)  $\exists u_1 \in X : \|u_1\| \geq \rho$  and  $I(u_1) < 0$ .

In fact, from  $(f_2)$  and  $(f_3)$ , one can deduce that there exist constants  $c_3, c_4 > 0$  such that

$$F(x, u) \geq c_3|u|^{\theta} - c_4 \quad \forall u \in R. \tag{3.3}$$

Since  $\theta > p$ , a simple calculation shows that as  $t \rightarrow \infty$ , there holds

$$\begin{aligned}
 I(te_1) &= \frac{t^p}{p} \int_{\Omega} |\nabla e_1|^p - \frac{\lambda t^p}{p} \int_{\Omega} V(x)|e_1|^p - \int_{\Omega} F(x, te_1) \\
 &\leq \frac{t^p}{p} \int_{\Omega} |\nabla e_1|^p - \frac{\lambda t^p}{p} \int_{\Omega} V(x)|e_1|^p - c_3 t^{\theta} \int_{\Omega} |e_1|^{\theta} + c_4 |\Omega| \\
 &\rightarrow -\infty.
 \end{aligned}
 \tag{3.4}$$

Eq. (3.4) implies that  $I(te_1) < 0$  for  $t > 0$  large enough.

Thus Lemma 3.1 and the Mountain Pass Lemma imply that value

$$\beta = \inf_{p \in P} \sup_{u \in p} E(u) \geq \alpha > 0$$

is critical, where  $P = \{p \in C^0([0, 1]; X) : p(0) = 0, p(1) = u_1\}$ . That is, there is a  $u \in X$ , such that

$$E'(u) = 0, E(u) = \beta > 0.$$

$E(u) = \beta > 0$  implies  $u \neq 0$ .  $\square$

**Lemma 3.3.** *Assume that  $f$  satisfies assumptions  $(f_1)$ – $(f_3)$ . Furthermore,  $\theta > ps/(s - 1)$  in  $(f_3)$ . Then for any  $\lambda \in R$ ,  $I$  satisfies the  $(C)_c$  condition introduced by Cerami in [6], that is, any sequence  $\{u_m\} \subset X$  such that  $I(u_m) \rightarrow c$  and  $(1 + \|u_m\|)\|I'(u_m)\|_{X'} \rightarrow 0$  possesses a convergent subsequence.*

**Proof.** The boundedness of  $(C)_c$  sequence in  $X$ .

Let  $\{u_m\} \subset X$  be such that  $I(u_m) \rightarrow c$  and  $(1 + \|u_m\|)\|I'(u_m)\|_{X'} \rightarrow 0$ . Then from  $(f_2)$ ,  $(f_3)$  and (3.3), as  $m \rightarrow \infty$ , there holds

$$\begin{aligned}
 pc + o(1) &= pI(u_m) - \langle I'(u_m), u_m \rangle = \int_{\Omega} (u_m f(x, u_m) - pF(x, u_m)) \, dx \\
 &= \int_{\Omega} (u_m f(x, u_m) - \theta F(x, u_m)) \, dx + (\theta - p) \int_{\Omega} \theta F(x, u_m) \, dx \\
 &\geq -C_1 + (\theta - p)c_3 |u_m|_{\theta}^{\theta} - c_4 |\Omega|.
 \end{aligned}
 \tag{3.5}$$

Thus  $\theta > p$  implies the boundedness of  $\{u_m\}$  in  $L^{\theta}(\Omega)$ . Since  $\theta > ps/(s - 1)$ , the Hölder inequality and the boundedness of  $\Omega$  show that

$$\begin{aligned}
 \left| \int_{\Omega} V(x)|u_m|^p \, dx \right| &\leq \|V(x)\|_{L^s} \|u_m\|_{L^{ps/(s-1)}}^p \\
 &\leq C \|V(x)\|_{L^s} \|u_m\|_{L^{\theta}}^p,
 \end{aligned}
 \tag{3.6}$$

which, together with the boundedness of  $\{u_m\}$  in  $L^\theta(\Omega)$ , means that  $\{|\int_\Omega V(x)|u_m|^p dx|\}$  is bounded. Then from  $(f_3)$ , a simple calculation shows that

$$\begin{aligned}
 \theta c + o(1) &= \theta I(u_m) - \langle I'(u_m), u_m \rangle \\
 &= \left(\frac{\theta}{p} - 1\right) \|\nabla u_m\|_{L^p}^p - \lambda \left(\frac{\theta}{p} - 1\right) \int_\Omega V(x)|u_m|^p dx \\
 &\quad + \int_\Omega (u_m f(x, u_m) - \theta F(x, u_m)) dx \\
 &\geq \left(\frac{\theta}{p} - 1\right) \int_\Omega |\nabla u_m|^p dx - C \\
 &\quad + \int_{\Omega(u_m < M)} (u_m f(x, u_m) - \theta F(x, u_m)) dx \\
 &\quad + \int_{\Omega(u_m \geq M)} (u_m f(x, u_m) - \theta F(x, u_m)) dx \\
 &\geq \left(\frac{\theta}{p} - 1\right) \|\nabla u_m\|_{L^p}^p - C,
 \end{aligned} \tag{3.7}$$

where  $C > 0$  is a universal constant independent of  $u_m$ , which may be different from line to line. Thus  $\theta > p$  and (3.7) imply the boundedness of  $\{u_m\}$  in  $X$ .

(2) By  $(f_1)$ ,  $f$  satisfies the subcritical growth condition, by a standard argument, one can obtain that there exists a convergent subsequence of  $\{u_m\}$  from the boundedness of  $\{u_m\}$  in  $X$ .  $\square$

**Theorem 3.4.** *Suppose  $f$  satisfies assumptions  $(f_1)$ – $(f_4)$ , and furthermore,  $\theta > ps/(s - 1)$  in  $(f_3)$ . Then problem (1.1) has a nontrivial weak solution  $u \in W_0^{1,p}(\Omega)$  provided that  $\lambda_1 \leq \lambda < \lambda_2$ .*

**Proof.** It was shown in [3] that  $(C)_c$  condition actually suffices to get a deformation theorem (Theorem 1.3 in [3], see also Proposition 2.1 in [12]), which is crucial for minimax type critical point theory, and it also remarked in [3,8,12] that the proofs of the standard Mountain Pass Lemma and saddle-point theorem go through without change once the deformation theorem (Theorem 1.3 in [3]) is obtained with  $(C)_c$  condition. Here we verify the assumptions of standard Linking Argument Theorem (cf. [15] Chapter 2, Theorem 8.4) hold with  $(C)_c$  condition replacing  $(PS)_c$  condition.

Since  $\partial Q_r \subset Q_r$  and  $Z_\rho$  link in  $X$ , it suffices to show that

- (1)  $\alpha_0 = \sup_{u \in \partial Q_r} I(u) \leq 0$ , when  $r > 0$  is large enough;
- (2)  $\alpha = \inf_{u \in Z_\rho} I(u) > 0$ , when  $\rho > 0$  is small enough.



In fact, let  $u = te_1 \in \mathcal{E}_1$ , from assumption  $(f_2)$ ,  $F(x, s) \geq 0$  for all  $s \in \mathbb{R}$  and almost every  $x \in \Omega$ , thus there holds

$$\begin{aligned} I(u) = I(te_1) &\leq \frac{|t|^p}{p} \int_{\Omega} |\nabla e_1|^p \, dx - \frac{|t|^p \lambda}{p} \int_{\Omega} V(x) |e_1|^p \, dx \\ &= \frac{|t|^p}{p} \left( 1 - \frac{\lambda}{\lambda_1} \right) \|e_1\| \leq 0. \end{aligned} \tag{3.8}$$

Noticing that

$$\|u\|_{\theta} = \left( \int_{\Omega} |u|^{\theta} \, dx \right)^{1/\theta}$$

is a norm on  $\mathcal{E}_2$ , and the norms of finite dimensional space are equivalent, thus there exists a constant  $c_5 > 0$  such that

$$\int_{\Omega} |u|^{\theta} \, dx \geq c_5 \|u\|_{W_0^{1,p}}^{\theta}.$$

From (3.3), there holds

$$I(u) \leq \frac{1}{p} \|u\|_{W_0^{1,p}}^p + \frac{S_1^p \lambda}{p} \|V(x)\|_{L^s} \|u\|_{W_0^{1,p}}^p - c_3 c_5 \|u\|_{W_0^{1,p}}^{\theta} + c_4 |\Omega|, \tag{3.9}$$

where  $S_1$  is the best constant of imbedding  $X \hookrightarrow L^{ps/(s-1)}(\Omega)$ . Since  $\theta > p$ , there holds

$$I(u) \rightarrow -\infty, \text{ as } \|u\| \rightarrow \infty, u \in \mathcal{E}_2.$$

This implies (1).

From  $(f_4)$  and  $(f_1)$ , there holds

$$\int_{\Omega} F(x, u) \, dx = o(\|u\|^p) \text{ as } u \rightarrow 0 \text{ in } X,$$

then for any  $u \in Z$ , there holds

$$I(u) = \frac{1}{p} \left( 1 - \frac{\lambda}{\lambda_2} \right) \int_{\Omega} |\nabla u|^p \, dx + o(\|u\|^p). \tag{3.10}$$

Since  $\lambda < \lambda_2$ , (3.10) implies (2).

Thus the Linking Argument Theorem (cf. [14] Chapter 2, Theorem 8.4) with  $(C)_c$  condition replacing  $(PS)_c$  condition implies that value

$$\beta = \inf_{h \in \Gamma} \sup_{u \in Q} E(h(u)) \geq \alpha > 0$$

is critical, where  $\Gamma = \{h \in C^0(X; X); h|_{\partial Q} = \text{id}\}$ . That is, there is a  $u \in X$ , such that

$$E'(u) = 0, E(u) = \beta > 0.$$

$E(u) = \beta > 0$  implies  $u \neq 0$ .  $\square$

**Remark 3.1.**

- (1) If  $V(x) \in L^\infty$ , then  $ps/(s-1) = p$ . In particular,  $V(x) \equiv 1$ , then Theorem 3.4 recovers a partial result in [13].
- (2) It is well-known that problem (1.1) has a nontrivial weak solution  $u \in W_0^{1,p}(\Omega)$  for all  $\lambda \in R$  if  $p = 2$ ,  $V(x) \equiv 1$  (cf. [2] and [7]). We conjecture that problem (1.1) has a nontrivial weak solution  $u \in W_0^{1,p}(\Omega)$  for all  $\lambda \in R$  in our case, that is,  $p > 1$ ,  $V(x)$  satisfies condition (1.2).

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