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Songbirds, such as zebra finches, contribute to explore behaviors underlying neural activities. Birdsong is controlled by the song system. The robust nucleus of the arcopallium (RA) is a key nucleus for producing birdsong in the song system. The RA receives dopaminergic (DArgic) inputs from the midbrain, however, the function of these inputs involved excitatory synaptic transmission is still unclear. Excitatory synaptic transmission is critical in the signal integration activities of the brain. We examined the effects of dopamine (DA) on excitatory synaptic transmission of the projection neurons in the RA of adult male zebra finches, using whole-cell recording technique. We found that DA (100  $\mu$ M) decreases the frequency of spontaneous and miniature excitatory postsynaptic currents (sEPSCs/mEPSCs). In our further study, these effects of DA were reversed by the D1-like dopamine receptor (D1R) antagonist and stimulated by a D1R agonist. However, a D2-like dopamine receptor (D2R) has no influence on the effects of DA. These results demonstrate that DA can inhibit excitatory synaptic transmission mainly via activation of D1R in adult male zebra finches.

# Dopamine Modulates Synaptic Transmission in the Premotor Nuclei of Songbirds

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## Abstract

Songbirds, such as zebra finches, contribute to explore behaviors underlying neural activities. Birdsong is controlled by the song system. The robust nucleus of the arcopallium (RA) is a key nucleus for producing birdsong in the song system. The RA receives dopaminergic (DArgic) inputs from the midbrain, however, the function of these inputs involved excitatory synaptic transmission is still unclear. Excitatory synaptic transmission is critical in the signal integration activities of the brain. We examined the effects of dopamine (DA) on excitatory synaptic transmission of the projection neurons in the RA of adult male zebra finches, using whole-cell recording technique. We found that DA (100  $\mu$ M) decreases the frequency of spontaneous and miniature excitatory postsynaptic currents (sEPSCs/mEPSCs). In our further study, these effects of DA were reversed by the D1-like dopamine receptor (D1R) antagonist and stimulated by a D1R agonist. However, a D2-like dopamine receptor (D2R) has no influence on the effects of DA. These results demonstrate that DA can inhibit excitatory synaptic transmission mainly via activation of D1R in adult male zebra finches.

Keywords: Zebra finches; Dopamine; sEPSCs/mEPSCs; The robust nucleus of the arcopallium

## Introduction

The song of oscines is a complex behavior, which is regulated by interconnected brain nuclei, the so-

27 called song system (Nottebohm et al. 1976). The robust nucleus of the arcopallium (RA) is the pivotal site  
28 receiving afferent input from both HVC (High Vocal Center) and lateral magnocellular nucleus of the anterior  
29 neostriatum (LMAN) (Mooney & Konishi 1991). It is well-known that the RA activity is significantly  
30 correlated with acoustic features (such as pitch, amplitude, and spectral entropy) of syllables (Sober et al.  
31 2008). The RA has two cell types: the projection neurons (PNs) and the inter-neurons, and both of these  
32 neurons receive excitatory glutamatergic input from the HVC and LMAN, but have distinct postsynaptic  
33 properties. As previously described, the HVC-RA input is mainly mediated by the  $\alpha$ -amino-3-hydroxy -5-  
34 methyl-4-isoxazolepropionic acid receptor (AMPA) and N-methyl-D-aspartic acid receptor (NMDAR),  
35 whereas the LMAN-RA input is mostly mediated by the NMDAR (Mooney & Konishi 1991). PNs also  
36 receive excitatory glutamatergic projections from the collateral axons of other RA PNs and inhibitory  
37 GABAergic projections from inter-neurons (Sizemore & Perkel 2008).

38 Neurons are connected by synaptic transmission. The role of glutamate receptors is essential in excitatory  
39 synaptic transmission, they have two main excitatory postsynaptic currents (EPSCs) mediated through  
40 NMDARs and AMPARs. NMDARs are responsible for normal brain function; they are involved in slow  
41 excitatory synaptic transmission and long-term plasticity as well as pathological mechanisms (Gardoni &  
42 Bellone 2015; Szczurowska & Mares 2013; Vyklicky et al. 2014). Contrary to NMDARs, the AMPARs  
43 mediate most of the fast excitatory synaptic transmission in the brain. AMPARs also have a role in enhancing  
44 or weakening the activity-dependent synaptic function (Stafford et al. 2014).

45 The dopaminergic (DAergic) system contributes to cognitive and motor activities, including reward  
46 behaviors, learning, memory and motor control by regulating glutamatergic inputs in both mammals and  
47 songbirds (Ding et al. 2003; Durstewitz et al. 1999; Gardoni & Bellone 2015). In songbirds, the ventral  
48 tegmental area (VTA) sends a dense DAergic input to the Area X (Bottjer et al. 1989; Lewis 1981). Moreover,  
49 dopamine (DA) can directly modulate intrinsic excitability and synaptic activity of Area X neurons (Ding &  
50 Perkel 2002; Ding et al. 2003). The RA also receives DAergic inputs and expresses D1-like DA receptors (D1R)  
51 and D2-like DA receptors (D2R) (Kubikova et al. 2010), our previous study showed that DA modulates the  
52 excitability of RA PNs (Liao et al. 2013) and activation of the D1R can increase NMDAR-induced gain  
53 modulation (Wang et al. 2015). But the function of DA affecting synaptic activity in the RA is still unknown.  
54 Understanding of how activation of DA can affect excitatory synaptic activity in the RA will provide a  
55 foundation for better understanding of the neural control of song behavior. In this study, we examined the  
56 effects of DA on the spontaneous and miniature excitatory synaptic transmission (sEPSCs/mEPSCs) on RA  
57 PNs using the whole-cell technique. The meaning of sEPSCs and mEPSCs have been described in previous  
58 work (Behr et al. 2000; Cooke & Woolley 2005; Tian et al. 2012; Wang et al. 2014). Briefly, the sEPSCs are  
59 represented of functional excitatory synaptic activity. The mEPSCs reflect the quantal release of excitatory  
60 transmitters. Analysis of the mEPSCs frequency predicates mechanism about changes in the presynaptic sites,  
61 while change in the amplitudes of the mEPSCs reflects postsynaptic sites (Behr et al. 2000).

62

**63 Material and Methods**

64

**65 Preparation of Brain Slices**

66

67 The experimental protocols were approved by the Institutional Animal Care Committee at South  
68 China Normal University (scnu20070033). Coronal brain slices (250  $\mu\text{m}$  thick) were obtained from adult  
69 male zebra finches (>90 day) as previously described in our work (Hou et al. 2012; Liao et al. 2013; Liao  
70 et al. 2012).

71

**72 Patch clamp recordings**

73

74 For electrophysiological recordings, we followed the methods of our previous work (Wang et al. 2014). In  
75 order to ensure the recordings were excitatory glutamatergic currents, after recording sEPSCs or mEPSCs,  
76 both the NMDA receptor antagonist D(-)-2-Amino-5-phosphonopentanoic acid (APV, 50  $\mu\text{M}$ ) and the  
77 AMPA/KA receptor antagonist 6,7-Dinitroquinoxaline-2,3(1H,4H)-dione (DNQX, 20  $\mu\text{M}$ ) were added to the  
78 recording chamber. If APV and DNQX did not completely inhibit sEPSCs/mEPSCs, the data would be  
79 rejected.

80

**81 Drug application**

82 All agents were applied by changing the bath solution from standard ACSF to modified ACSF in which  
83 various drugs were simply added. All drugs were purchased from Sigma (St. Louis, MO, USA).

84

**85 Data analysis**

86

87 Data were acquired and analyzed according to methods of our previous work (Wang et al. 2014). To  
88 compare pooled data under the control and drug conditions, we followed the methods described previously

89 (Tian et al. 2012).

90

## 91 **Results**

92

93 The RA neurons were observed under DIC-IR optics. Stable whole-cell recordings were obtained in 122  
94 RA PNs from 45 male zebra finches. PNs were identified by a large soma, and time-dependent inward rectifier  
95 induced by hyperpolarizing current (Liao et al. 2011; Spiro et al. 1999).

96

### 97 **I. DA decreases the frequency of sEPSCs in the RA PNs**

98

99 To understand the actions of DA (100  $\mu$ M) on excitatory synaptic transmission, sEPSCs were recorded  
100 from RA PNs (Tian et al. 2012). We first compared the frequency of sEPSCs in control and DA application  
101 conditions. The frequency of sEPSCs was significantly higher after DA application than that in control as  
102 shown in Fig. 1A. Cumulative probability plots of inter-event interval (IEI) and amplitude in both the  
103 control and DA-treated are also shown in Fig. 1B and 1C, respectively. DA increased the proportion of  
104 longer IEI and decreased frequency from  $2.81 \pm 0.17$  Hz to  $2.27 \pm 0.16$  Hz ( $n = 49$ ;  $p < 0.01$ , Fig 1D). The  
105 amplitude of sEPSCs was unchanged after DA treating (control:  $20.37 \pm 0.96$  pA; DA:  $19.23 \pm 0.78$  pA;  $n =$   
106  $49$ ;  $p > 0.05$ , Fig. 1E). Furthermore, the rise and decay times of the sEPSCs were not altered by DA (Table  
107 1).

108

### 109 **II. DA depresses the frequency of mEPSCs in the RA PNs.**

110

111 In order to further investigate whether DA exhibits its effects through presynaptic or postsynaptic sites,  
112 we then examined the effects of DA (100  $\mu$ M) on the frequency and amplitude of mEPSCs. Similar to the  
113 results of sEPSCs, mEPSCs were affected after the application of DA (Fig. 2A). Cumulative probability plots  
114 of IEI were shown in Fig. 2B. DA increased the proportion of longer IEIs. Fig. 2C showed the cumulative  
115 probability plots of amplitude in control and after DA application, demonstrating that DA has not changed the  
116 amplitude distribution. The bar graphs in Fig. 2D and 2E present the mean of frequency and amplitude. DA  
117 decreased the mEPSCs frequency from  $2.07 \pm 0.17$  Hz to  $1.78 \pm 0.17$  Hz ( $p < 0.01$ ;  $n = 25$ ) (Fig 2D), while the  
118 amplitude of the mEPSCs was unchanged by DA (control:  $18.19 \pm 0.73$  pA; DA:  $17.77 \pm 0.71$  pA;  $p > 0.05$ ;  $n =$   
119  $25$ ) (Fig 2E). The rise and decay times of the mEPSCs were also not changed by DA (Table 1).

### 120 III. DA via activation of D1R inhibited excitatory synaptic transmission.

121 DA has main two receptor subtypes: D1R and D2R. Both D1R and D2R are found in the RA (Kubikova  
122 & Kostal 2010). To define which the receptor subtype was modulating DA-induced reductions in mEPSCs, the  
123 effects of specific DA receptor agonists and antagonists were investigated.

124 We first examined the effect of D1R in RA PNs, we applied the D1R agonist SKF-38393 (10  $\mu$ M).  
125 Sample trace of mEPSCs with SKF was shown in Fig. 3A. Cumulative probability plots of IEI were shown in  
126 Fig. 3B. Like DA, SKF increased the proportion of longer IEIs. Cumulative probability plots in Fig. 3C shows  
127 the amplitude of mEPSCs recordings in both the control and SKF-applied slices, and revealed that SKF has not  
128 changed the distribution of the amplitude. SKF inhibited the frequency of mEPSCs from  $1.94 \pm 0.19$  Hz to  
129  $1.76 \pm 0.16$  Hz ( $p < 0.01$ ;  $n=12$ ) (Fig. 3D); while the amplitude of the mEPSCs was no change by SKF (control:  
130  $19.08 \pm 1.45$  pA; SKF:  $18.44 \pm 1.21$  pA;  $p > 0.05$ ;  $n = 12$ ) (Fig 3E). To further examine whether D1R  
131 contributes to the effect of DA, we applied DA and the D1R antagonist SCH-23390 (20  $\mu$ M). Sample traces of  
132 mEPSCs are shown in Fig. 4A. Cumulative probability plots of IEI and amplitude are shown in Fig. 4B and 4C,  
133 respectively. DA and SCH had no effect on the distribution of the IEI and amplitude. DA and SCH did not  
134 change the frequency of mEPSCs (control:  $1.61 \pm 0.24$  Hz; DA +SCH:  $1.56 \pm 0.22$  Hz;  $p > 0.05$ ;  $n=13$ ) (Fig  
135 4D); the amplitude of the mEPSCs was also not altered by DA and SCH (control:  $21.29 \pm 1.13$  pA; DA +SCH:  
136  $21.53 \pm 0.89$  pA;  $p > 0.05$ ;  $n = 13$ ) (Fig 4E). These results indicated that D1R are one of the main factors  
137 contributing to the inhibited effect of DA on the mEPSCs of RA PNs.

138 To examine whether D2R is involved in the effect of DA, we applied the D2R agonist quinpirole (10  $\mu$ M).  
139 Sample traces of mEPSCs were shown in Fig. 5A. Cumulative probability plots of IEI and amplitude were  
140 shown in Fig. 5B and 5C, respectively. Contrary to SKF, quinpirole had no effect on the distribution of the  
141 IEIs and amplitude. Quinpirole also did not change the frequency of mEPSCs (control:  $2.03 \pm 0.18$  Hz;  
142 Quinpirole:  $1.95 \pm 0.22$  Hz;  $p>0.05$ ;  $n=7$ ) (Fig 5D); the amplitude of the mEPSCs was also not altered by  
143 quinpirole (control:  $20.30 \pm 1.05$  pA; quinpirole:  $19.80 \pm 0.93$  pA;  $p > 0.05$ ;  $n =7$ ) (Fig 5E). Next we applied  
144 DA and D2R antagonist sulpiride (10  $\mu$ M) to further examine these effects. Sample traces of mEPSCs were  
145 shown in Fig. 6A. Cumulative probability plots of IEI were shown in Fig. 6B. It showed that DA and sulpiride  
146 increase the proportion of longer IEIs. Cumulative probability plots in Fig. 6C showed the amplitude of  
147 mEPSCs recordings in both the control, DA and sulpiride -applied slices, and indicated that DA and sulpiride  
148 had no change on the distribution of the amplitudes. DA and sulpiride decreased the frequency of mEPSCs  
149 (from  $1.93 \pm 0.34$  Hz to  $1.52 \pm 0.27$  Hz;  $p<0.01$ ;  $n=6$ ) (Fig 6D); while, the amplitude of the mEPSCs was not  
150 changed by DA and sulpiride (control:  $21.06 \pm 0.97$  pA; DA + sulpiride:  $20.91 \pm 0.88$  pA;  $p > 0.05$ ;  $n = 6$ ) (Fig  
151 6E). These results indicated that D2R are not involved in the inhibited effect of DA on the mEPSCs of RA  
152 PNs.

153

154 **Discussion**

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156 In our results, DA reduces the ionotropic glutamate (NMDARs and AMPAR)-mediated excitatory  
157 synaptic transmission of RA PNs. Our results showed that DA efficiently decreases the frequency of sEPSCs  
158 but had no effect on the amplitude of sEPSCs. These suggested that the DA can significantly modulate  
159 functional synaptic transmission between HVC/LMAN neurons and RA PNs (Tian et al. 2012). We then  
160 examined mEPSCs to distinguish whether presynaptic or postsynaptic effects of DA contributed to these  
161 effects. In our results, DA also decreased the frequency but not amplitude of mEPSCs, suggesting that DA acts  
162 on presynaptic sites to inhibit the release of glutamate (Basavarajappa et al. 2008; Chavez-Noriega & Stevens  
163 1994; Nelson et al. 2008). In addition to those results, DA had no change of the kinetic properties (the rise and  
164 decay times) of sEPSCs and mEPSCs, which further confirmed the presynaptic effects of DA.

165 The pharmacological analysis of the synaptic actions of DA indicated that the receptor mediating the  
166 decrease in glutamate release may be the D1R. Since the D1R agonist, but not D2R agonist mimicked the  
167 actions of DA, and D1R antagonist but not D2R antagonist inhibited the actions of DA, which indicated that  
168 the observed effects of DA on synaptic transmission is the result of DA binding to D1R. These results are  
169 similar to results seen in rats and mice, in which activation of D1R induces presynaptic depression of evoked  
170 EPSC in the core and shell region of nucleus accumbens, and subicular neurons (Behr et al. 2000; Harvey &  
171 Lacey 1996; Nicola et al. 1996; Pennartz et al. 1992).

172 Previous research has shown that DA can modulate excitability in spiny neurons (SNs) in area X (Ding &  
173 Perkel 2002) and PNs in RA (Liao et al. 2013) of songbirds, suggesting that DA can influence information  
174 processing in the song system by altering the input-output functions of the song control system. Furthermore,  
175 D1R activation suppresses glutamatergic synaptic responses in SNs in area X of adult zebra finches (Ding et al.  
176 2003) and subicular neurons of rats (Behr et al. 2000), which are similar to our findings here. The present  
177 study indicates that DA modulates glutamatergic synaptic transmission in the RA PNs of adult zebra finches,  
178 which test the effect of DA in the synaptic level following to our previous work (Liao et al. 2013). The RA is a  
179 sensorimotor nucleus, which receives a direct projection from HVC and LMAN (Doupe & Konishi 1991;  
180 Mooney & Konishi 1991). A series of evidence has demonstrated that RA intrinsic circuitry controls premotor  
181 output, thus producing birdsong (Bottjer et al. 1989; Margoliash 1997; Nottebohm et al. 1976; Yu &  
182 Margoliash 1996). We found that DA decreased excitatory inputs to RA PNs. Previous studies have shown that  
183 DA modulates the key processes involved in the sensorimotor integration of song and/or the amount, speed  
184 and intensity of song production (Soha et al. 1996). Thus, information that is first processed within the motor  
185 and the forebrain pathways and then transmitted to RA via HVC and LMAN, respectively, could be modulated  
186 by DA before they are transmitted and integrated within the premotor song nuclei. Combined with results in  
187 Area X (Ding & Perkel 2002; Ding et al. 2003) and our previous work (Liao et al. 2013), we show that DA can  
188 exhibit complex control on the signal transformation of excitatory inputs to RA principal from HVC and

189 LMAN, and finally modulate the production of birdsong. These experiments give a better understanding of DA  
190 functions in modulating the excitatory synaptic transmission of RA.

191 In conclusion, the present work demonstrates that DA can inhibit excitatory synaptic transmission, mainly  
192 via activation of D1R in the RA of adult male zebra finch.

193

#### 194 **Competing interests**

195 The authors have declared that they have no conflicts of interests.

#### 196 **Acknowledgments**

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315 **Table**

316 Table 1. DA has no effect of the kinetics of sEPSC and mEPSC.

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		rise time (ms)		decay time (ms)	
	n	Control	DA	Control	DA
sEPSC	49	4.01±0.12	4.07±0.10 (NS)	6.25±0.22	6.40±0.27 (NS)
mEPSC	28	3.55±0.09	3.79±0.10 (NS)	5.36±0.30	5.43±0.24 (NS)

318 Values are presented mean  $\pm$ SEM. NS means not significant. DA not alters the rise and decay times constants of sEPSCs and  
319 mEPSCs recorded from RA PNs.

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325 Figure Legends

326

327 Figure 1. Effects of DA on sEPSCs in the RA PNs. (A) Sample traces represent sEPSCs recordings before  
328 (control) and after DA application. (B) Cumulative probability plots IEI distributions for sEPSCs show that  
329 DA prolong inter-event interval ( $p < 0.01$ ). (C) Cumulative amplitude distributions for sEPSCs showed that  
330 DA did not change the amplitude of sEPSCs ( $p > 0.05$ ). (D and E) DA significantly reduces the frequency but  
331 not amplitude in the RA PNs (\*\* mean  $p < 0.01$ ).

332

333 Figure 2. Effects of DA on mEPSCs in the RA PNs. (A) Sample traces represent mEPSCs recorded from RA  
334 PNs before and after application of DA. (B and C) Cumulative probability plots IEI and amplitude  
335 distributions of mEPSCs under the control and DA application, respectively. DA prolonged the intervals  
336 between mEPSCs events ( $p < 0.01$ ) but had no change on their amplitudes ( $p > 0.05$ ). (D and E) DA  
337 significantly reduces the frequency but not amplitude in the RA PNs (\*\* mean  $p < 0.01$ ).

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339

340 Figure 3. Effects of D1R agonist SKF-38393 on mEPSCs in the RA PNs. (A) Recordings of mEPSCs in the  
341 absence and presence of SKF38393. (B) Cumulative IEI distributions for mEPSCs in control and after  
342 application of SKF ( $p < 0.01$ ). (C) Cumulative amplitude distributions of mEPSCs in control and after  
343 application of SKF. (D and E) SKF significantly decreases the frequency but not amplitude of mEPSCs in the  
344 RA PNs (\*\* $p < 0.01$ ).

345

346 Figure 4. Effects of DA and D1R antagonist SCH-23390 (20  $\mu$ M) on mEPSCs in the RA PNs. (A) Sample  
347 trace of mEPSCs in the control and after application of SCH and DA. (B) Cumulative IEI distributions for  
348 mEPSCs in control and after DA and SCH ( $p > 0.05$ ). (C) Cumulative amplitude distributions of mEPSCs in  
349 control and after DA and SCH ( $p > 0.05$ ). (D and E) DA and SCH did not change the frequency and amplitude  
350 of mEPSCs in the RA PNs.

351

352 Figure 5. Effects of D2R agonist quinpirole on mEPSCs in the RA PNs. (A) Sample trace of mEPSCs in the  
353 absence and presence of quinpirole. (B) Cumulative IEI distributions for mEPSC in control and after  
354 quinpirole ( $p > 0.05$ ). (C) Cumulative amplitude distributions of mEPSCs in control and after quinpirole ( $p >$   
355  $0.05$ ). (D and E) Quinpirole did not change the frequency and amplitude of mEPSCs in the RA PNs.

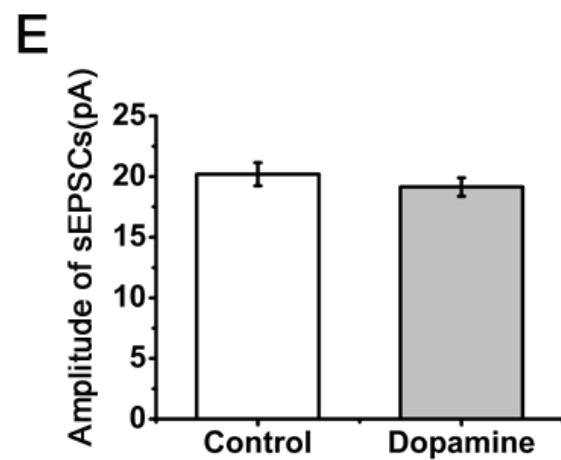
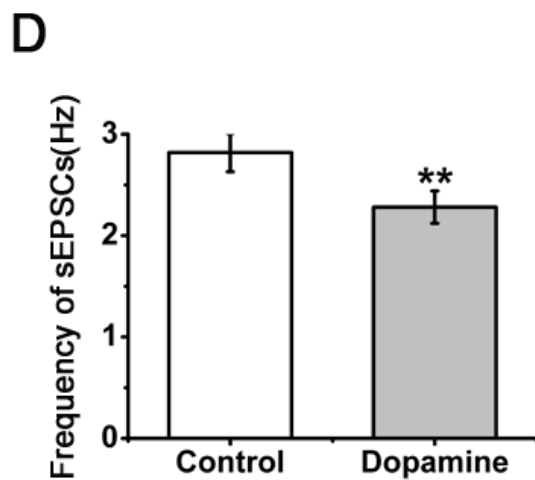
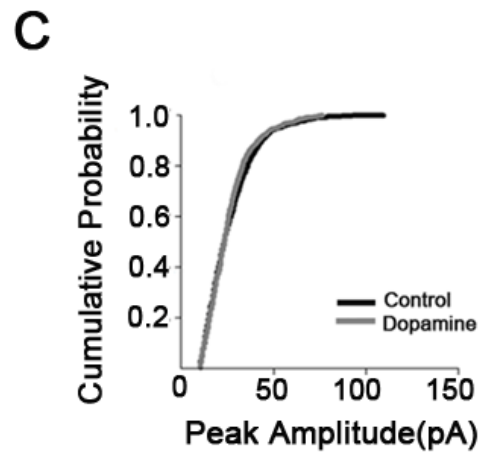
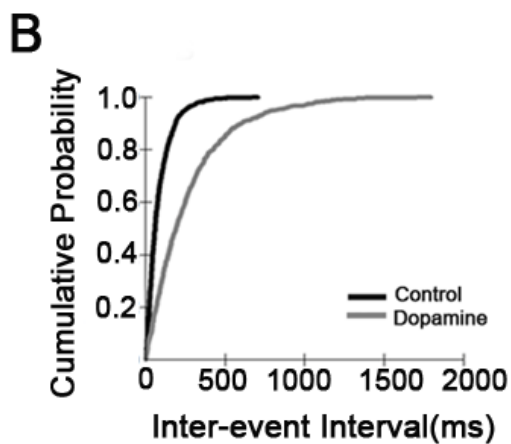
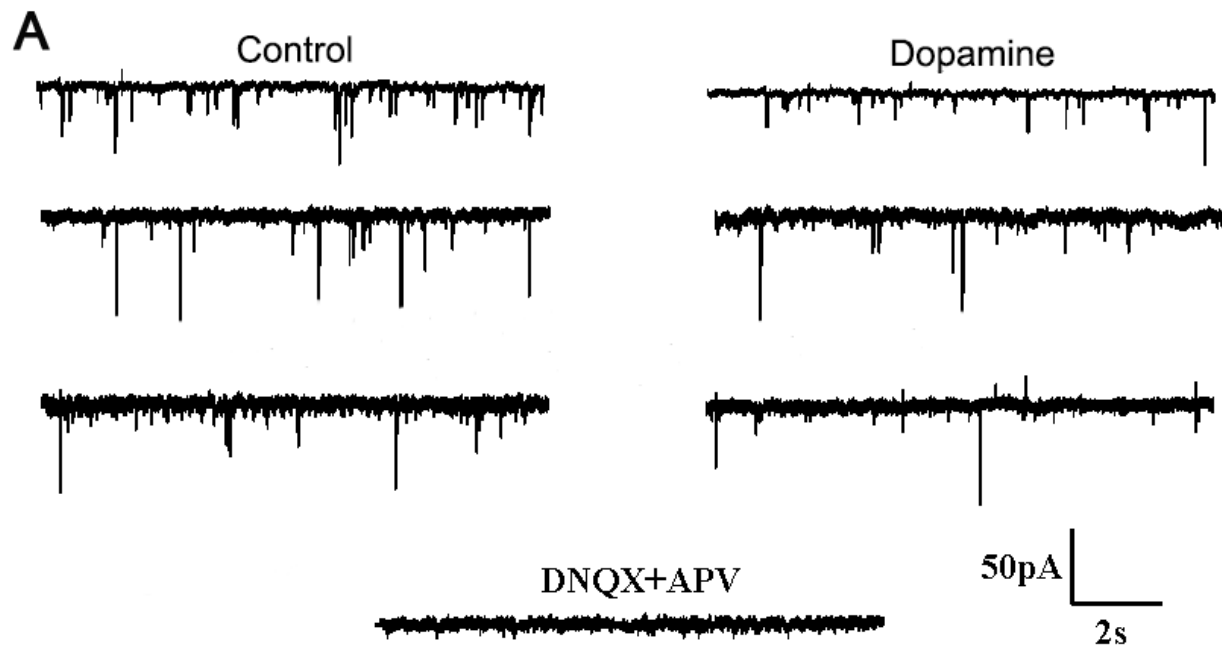
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357 Figure 6. Effects of DA and D2R blocker, sulpiride, of DA on mEPSCs in the RA PNs. (A) Sample trace of  
358 mEPSCs in the absence and presence of sulpiride and DA. (B) Cumulative distributions of the IEI for mEPSCs  
359 in control and after DA and sulpiride ( $p < 0.01$ ). (C) Cumulative distributions of the amplitude for mEPSCs in  
360 control and after DA and sulpiride ( $p > 0.05$ ). (D and E) DA and sulpiride applying significantly decreases the  
361 frequency but not amplitude of mEPSCs in the RA PNs (\*\* $p < 0.01$ ).

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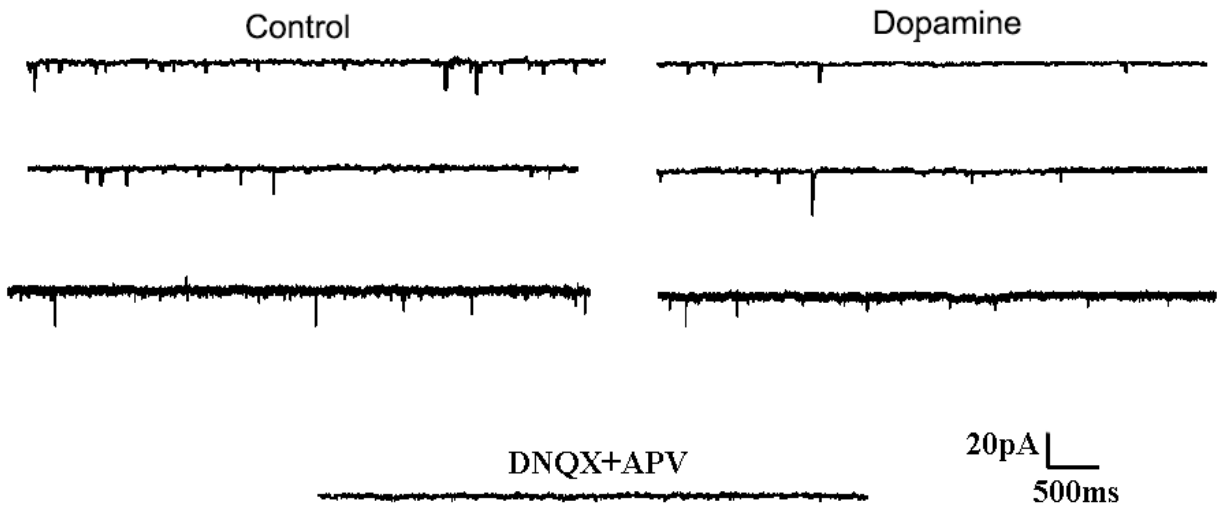
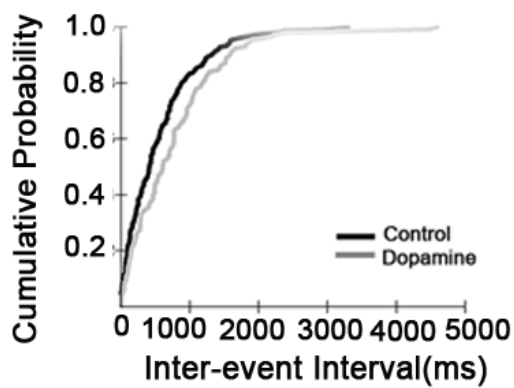
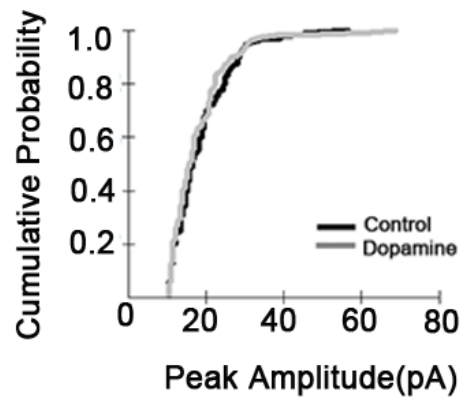
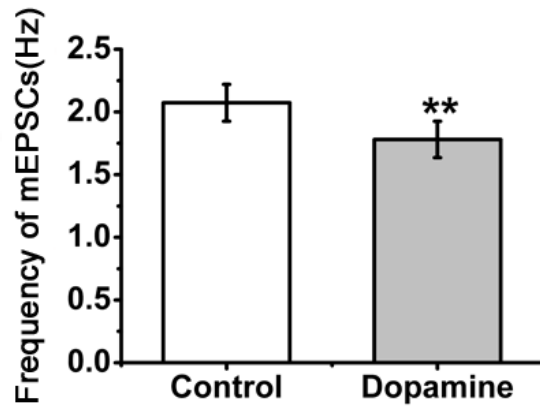
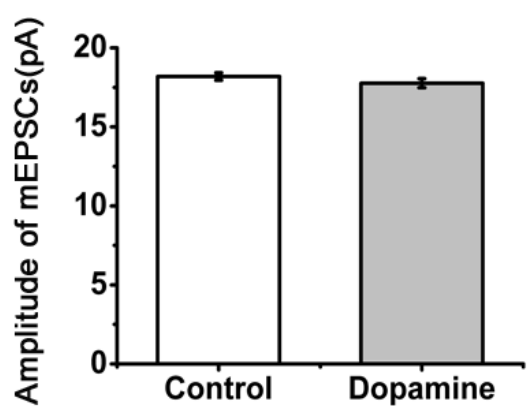
Figure 1





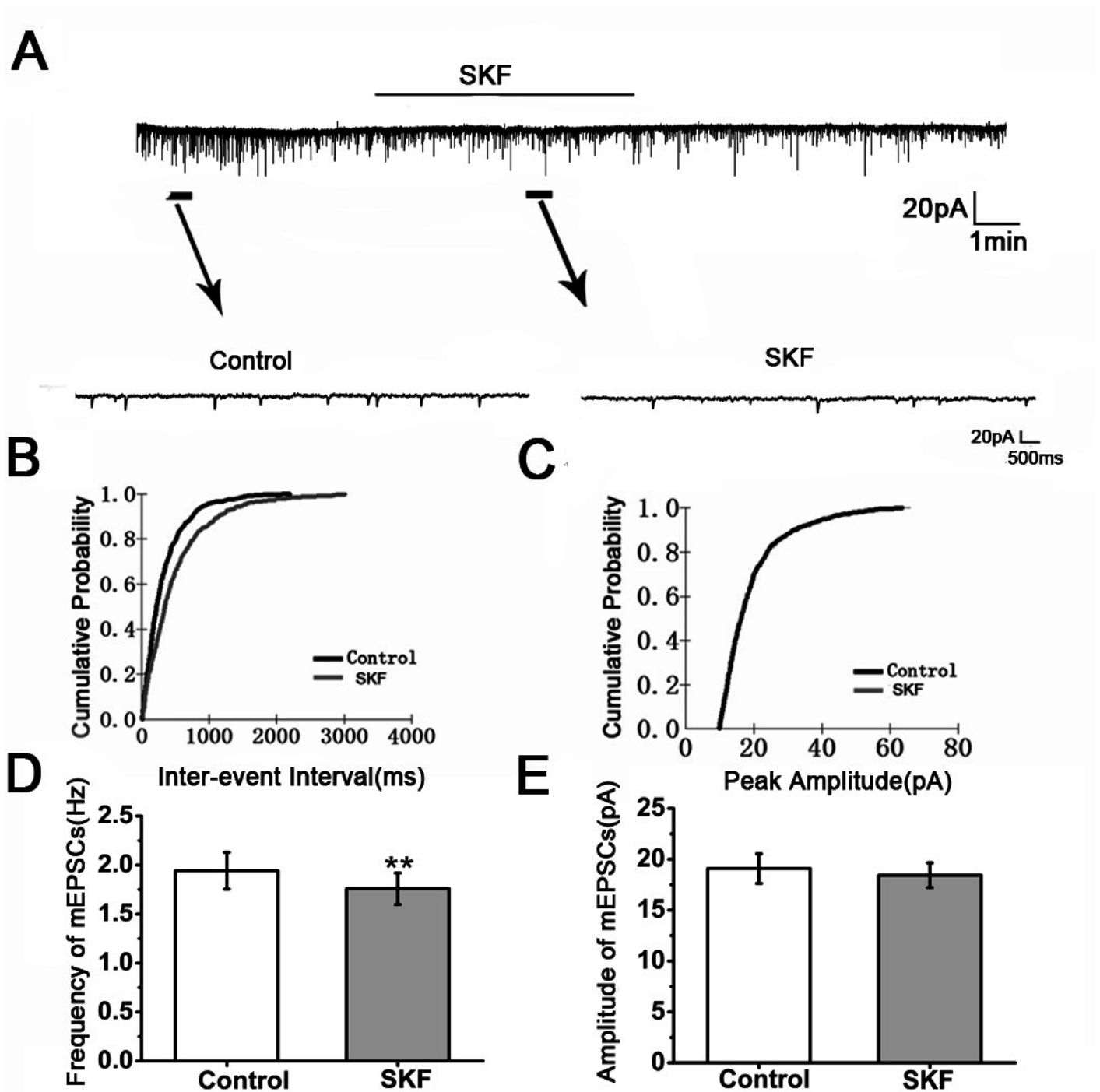
2

Figure 2

**A****B****C****D****E**

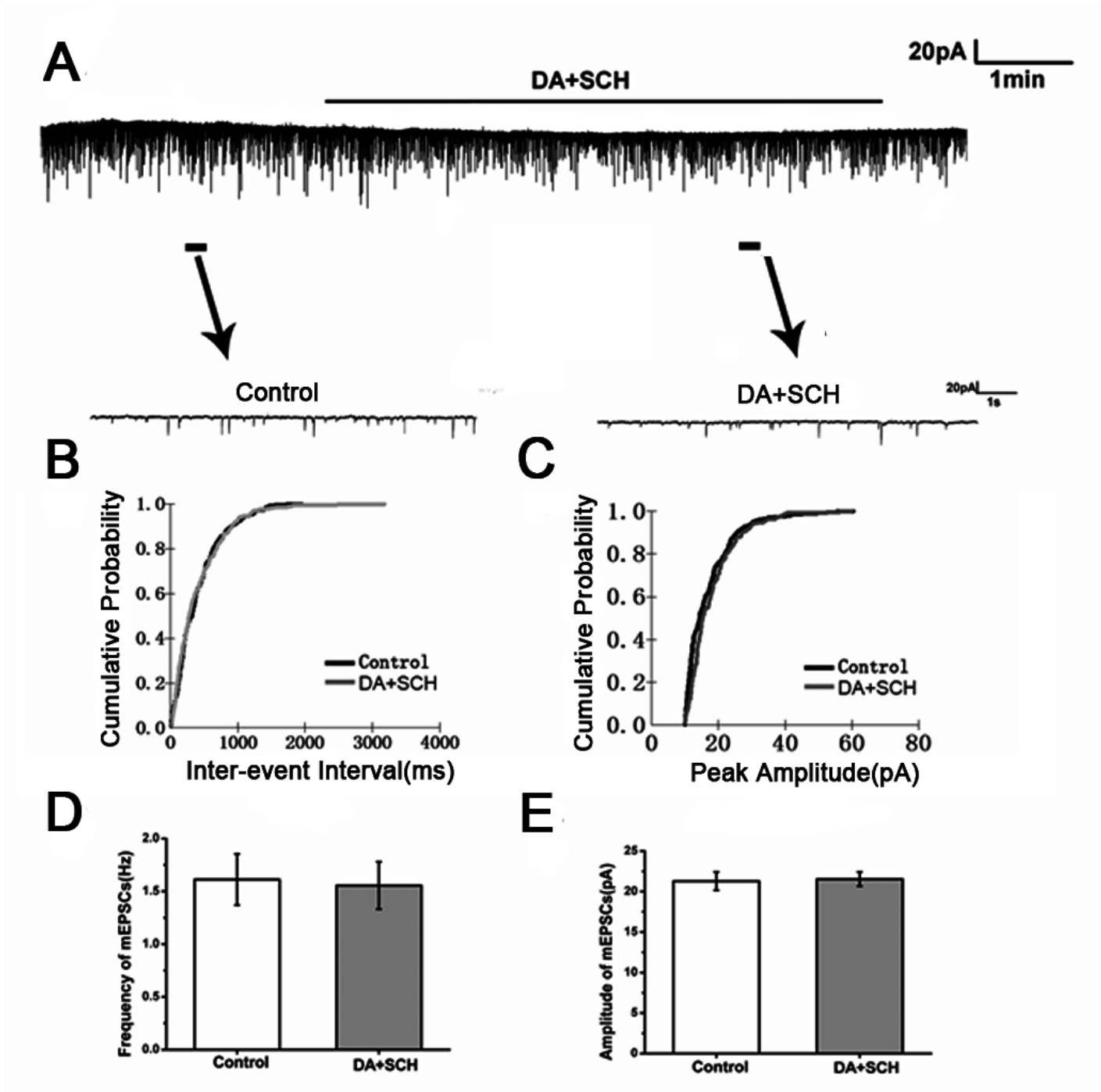
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Figure 3



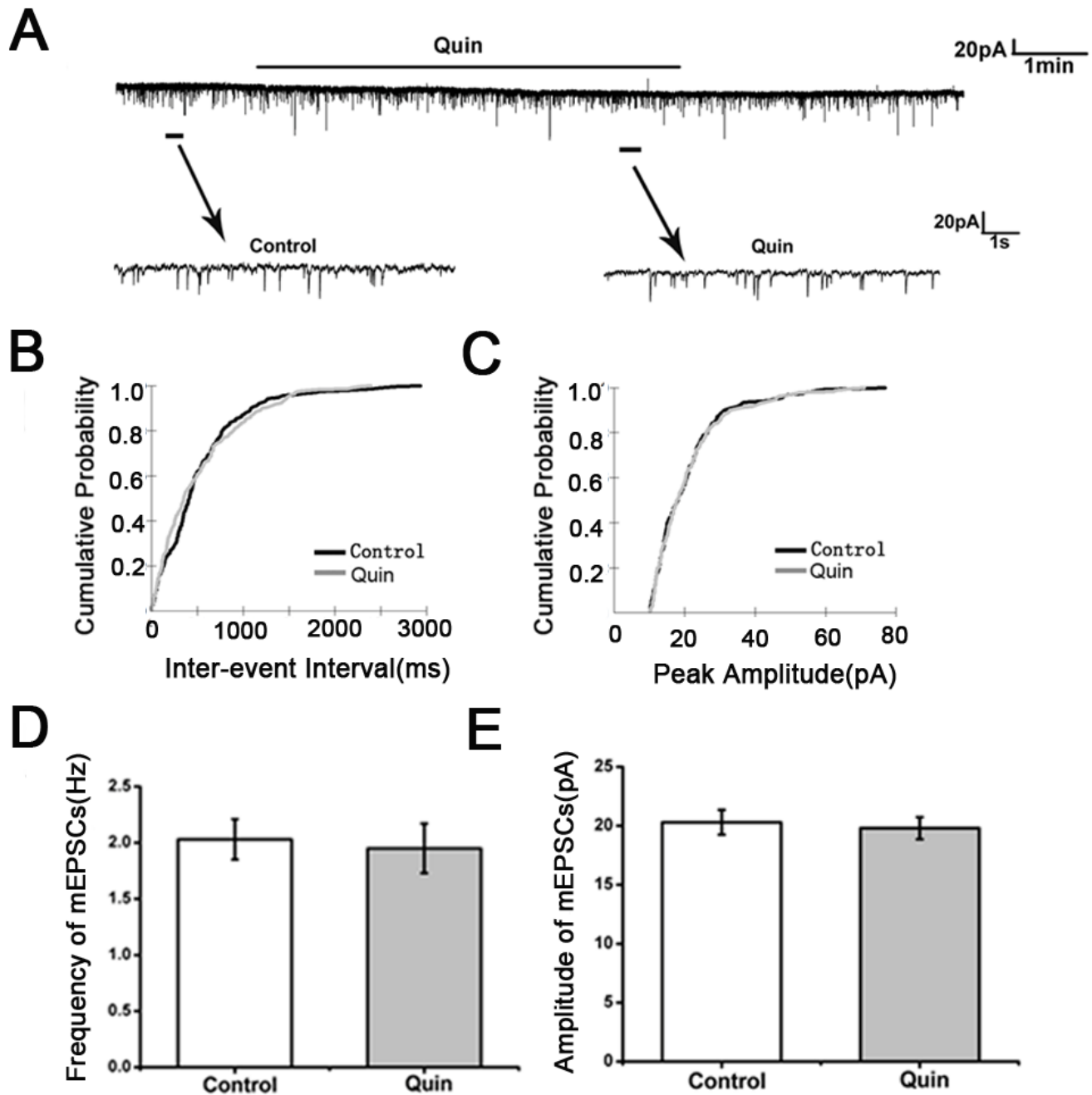
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Figure 4



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Figure 5



6

Figure 6

