New Results from the MINOS Experiment

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Abstract. In this paper we present the latest results from the MINOS Experiment. This includes a new measurement of the oscillation parameters $(|\Delta m_{32}^2|, \sin^2(2\theta_{23}))$ based on 3.36×10^{20} protons-on-target of data and a first analysis of neutral current events in the Far Detector. The prospects for ν_e appearance measurements in MINOS are also discussed.

1. Introduction

MINOS is a two detector long-baseline experiment utilizing the Neutrinos at the Main Injector (NuMI) beamline at Fermilab. The Near and Far Detectors have masses of 0.98 kton and 5.4 kton with the Far Detector located at a distance of 735 km in the Soudan Mine in Minnesota. The experiment is designed to explore oscillations at the large Δm^2 previously probed by atmospheric neutrino experiments and the K2K experiment (1; 2; 3). The experimental goals include precision measurements of the oscillation parameters, testing for exotic neutrino disappearance explanations, and searching for subdominant ν_e appearance. In addition, charge-separated atmospheric neutrino and cosmic ray studies can be carried out with the Far Detector and neutrino cross section measurements can be carried out in the high-rate environment of the Near Detector. The published result from the experiment on the measurement of oscillation parameters was based on an exposure of 1.27×10^{20} protons-on-target (POT) (4).

In the NuMI beam 120 GeV protons from the Fermilab Main Injector are extracted in a 10 μ s spill every 2.2 s and strike a water-cooled graphite target, producing kaons and pions. Two parabolic magnetic horns focus charged secondaries, producing a beam that is 92.9% ν_{μ} , 5.8% $\overline{\nu}_{\mu}$ and 1.3% $\nu_e + \overline{\nu}_e$. The beam is now in its third year of operation and is obtaining intensities of 3.0×10^{13} POT/spill for a beam power of 0.275 MW, and is regularly achieving 10^{18} POT/day. The energy spectrum of the neutrino beam can be changed by moving the position of the target relative to the horns. Data taken in several different target configurations are used to improve modeling of hadron production off the target and thereby improve the prediction of the neutrino beam (5).

2. MINOS Analyses

The analyses presented here have several steps in common and are labeled by the dominant scattering mechanism. In the 'CC Analysis' we compare selected ν_{μ} charged current interactions in the Near and Far Detectors to make a measurement of the oscillation parameters $|\Delta m_{32}^2|$ and $\sin^2(2\theta_{23})$. In the 'NC Analysis' we compare selected neutral current events in the two detectors to investigate the possibility of oscillations into sterile neutrinos. In the ' ν_e Analysis' we compare ν_e -selected events in the two detectors to search for subdominant oscillations and non-zero θ_{13} .



Figure 1. Energy spectrum of CC events in the Far Detector compared with the Monte Carlo expectation with no oscillations (red) and with the best fit oscillation parameters (black).

All have been or are being conducted as 'blind analyses', i.e. the full set of Far Detector data sensitive to the phenomena in question is not examined until the complete analysis procedure is defined. For all analyses the event selection is applied first to the high-statistics data set from the Near Detector. This measurement is then used to predict what will be measured in the Far Detector under a particular oscillation scenario (5).

The data are divided into three data sets, Run 1, Run 2, and Run 3, separated by Fermilab shutdowns. The Run 1 data were analyzed in our 2006 publication (4). The CC analysis presented here includes all Run 1 and Run 2 data including 1.5×10^{19} POT of data in a high-energy configuration that were taken at the start of Run 2. The NC analysis presented here uses the Run 1 data and a subset of the Run 2 data.

2.1. Charged Current Analysis

The measurement of oscillation parameters is made through a comparison of charged current muon neutrino interactions in the two detectors. Charged current (CC) vs. neutral current (NC) event classification is performed with a k-nearest neighbor-based algorithm with four inputs: track length (in planes), and for hits on the track the mean pulse height, fluctuation in pulse height, and transverse track profile (6). Relative to the previous analysis this new classifier, in conjunction with new event reconstruction, improves the efficiency for selection of ν_{μ} CC events in the absence of oscillations from 75.3% to 81.5% and reduces the NC contamination from 1.8% to 0.6%.

848 events are observed in the Far Detector, while 1065 ± 60 (syst.) are expected in the absence of oscillations. The energy spectrum of events in the Far Detector is shown in Figure 1. The impact of different sources of systematic uncertainty were evaluated by fitting modified MC in place of the data, and the three largest were included as nuisance parameters in the oscillation fit. These are the relative normalization of the Near and Far detectors, the overall hadronic energy scale, and the NC background which are included with penalty terms of 4%, 10.3%, and 50%, respectively. Expected backgrounds in the CC sample are 5.9 NC events, 1.5



Figure 2. Allowed region in $|\Delta m_{32}^2|$, $\sin^2(2\theta_{23})$ parameter space including systematic errors. Also shown are the allowed regions from the K2K and Super-K analyses (1; 2; 3) and our earlier result (4).

 ν_{τ} CC events, 2.3 events coming from ν_{μ} CC interactions in the rock surrounding the detector cavern, and 0.7 events from cosmic rays.

The data are fit using the standard two-generation oscillation formula with $\sin^2(2\theta_{23})$ constrained to be in the physical region. We obtain $|\Delta m_{32}^2| = (2.43 \pm 0.13) \times 10^{-3} \text{ eV}^2$ and $\sin^2(2\theta_{23}) > 0.95$ at 68% CL. At the best fit point the value of χ^2 is 90 for 97 degrees of freedom. Figure 2 shows the allowed region from this fit compared with the results of previous experiments. If the fit is allowed to go into the unphysical region the best fit value moves to $\Delta m^2 = 2.33 \times 10^{-3} \text{ eV}^2$ and $\sin^2(2\theta) = 1.07$ with a reduction in χ^2 of 0.6. We have carried out a Monte Carlo study in which the input true value was that obtained from our constrained fit, and found that 26.5% of unconstrained fits have a best fit with a value of $\sin^2(2\theta) \ge 1.07$.

We have also explored how well this data is described by phenomena other than oscillations. In particular we have fit the data to models for neutrino decay (7) and decoherence (8). The ratio of the Far Detector data to no oscillations is shown in Figure 3 compared with the results from the best fits to oscillations, decay, and decoherence. The χ^2 values for the decay and decoherence models are 104 and 123, they are thus disfavored relative to the oscillation hypothesis by 3.7σ and 5.7σ , respectively.

2.2. Neutral Current Analysis

Oscillations into sterile neutrinos would affect the number of neutral current interactions in the Far detector. The goal of the analysis is to look for NC disappearance at the Far detector, and in particular an energy dependent depletion of NC events as evidence for oscillations into sterile neutrinos. The analysis described here is based on 2.46×10^{20} POT of data in the Far Detector.

Pre-selection cuts are made for fiducial volume, beam quality, and to remove overlapping events in the high-rate environment of the Near Detector. An event in either detector is then classified as NC if it has a reconstructed shower, is shorter than 60 planes, and does not have a track extending more than 5 planes beyond the end of the shower. With these cuts NC events



Figure 3. Best oscillation fit compared with the best fits to neutrino decay (color) and decoherence (color).

are selected with 90% efficiency and 60% purity. More information about the NC analysis can be found in Ref. (9).

In our first examination of NC events in the Far Detector we would like to be as modelindependent as possible. To this end we compare our observations with the predictions of the standard picture of oscillations between three active flavors. The NC measurement in the Near Detector is extrapolated to the Far Detector assuming the previous MINOS CC best fit result for the oscillation parameters of $|\Delta m_{32}^2| = 2.38 \times 10^{-3} \text{ eV}^2$, $\sin^2(2\theta_{23}) = 1$ (10). In comparing the Far Detector NC energy spectrum with the expectation of standard 3-flavor oscillation physics, one also has to assume some values for the other oscillation parameters. For this analysis $\Delta m_{21}^2 = 7.59 \times 10^{-5} \text{ eV}^2$, and $\theta_{12} = 0.61$ are taken from combined KamLAND+SNO fits (11). Since CC ν_e events will also be classified as NC by this analysis the NC rate in the Far Detector will also be affected by sub-dominant $\nu_{\mu} \rightarrow \nu_e$ mixing. The data are compared separately to $\theta_{13} = 0$ and $\theta_{13} = 0.21$.

The spectrum of NC events in the Far Detector compared with the expectation under these two oscillation scenarios is shown in Figure 4, together with the contribution to the sample from true CC interactions. The basic statistic for comparison is the number of events in energy ranges 0-3 GeV, 0-5 GeV, and over all energies. Table 1 shows the results of these event rate comparisons for the $\theta_{13} = 0$ case, the stated significances are slightly larger for θ_{13} at the Chooz limit. From these data we find that for NC interactions with visible energy less than 3 GeV the fraction that disappear is less than 35% at 90% CL, and that over all energies the fraction that disappear is less than 17% at 90% CL.

2.3. Electron Neutrino Appearance

Electromagnetic showers can be identified in MINOS by the characteristic topology of their energy deposition. The challenge in MINOS is separating these events from the much more numerous NC interactions which can have similar topologies. ν_e identification in both detectors is performed with an artificial neural network. Because of difficulties in modeling hadronic



Figure 4. Reconstructed energy spectrum of NC-selected events in the Far Detector. Data are black points with error bars, blue and red curves are the Monte Carlo expectation including systematic uncertainties for two different values of θ_{13} . The hatched distribution shows the expected ν_{μ} CC background.

Energy Range	Data	Expectation	Significance (σ)
$0-3 \mathrm{GeV}$	100	115.16 ± 7.67	1.15
$0-5 \mathrm{GeV}$	165	175.92 ± 10.42	0.65
$0-120 {\rm ~GeV}$	291	$292.63\ {\pm}15.02$	0.10

Table 1. Observed and expected NC events in the Far Detector for $\theta_{13} = 0$.

showers at NuMI/MINOS energies there is a large uncertainty on the prediction of the ν_e -selected sample in the Near Detector (12) and the observed rate disagrees with the Monte Carlo expectation by around 20%.

The measurement of Near Detector ν_e -selected events cannot be immediately extrapolated to the Far Detector since the sample is composed of both ν_{μ} NC and CC events, which differ in their extrapolation due to oscillations. Two methods have been developed to independently measure the CC and NC contributions to ν_e backgrounds in the Near Detector. These independent, data-driven methods are in good agreement regarding the NC and CC ν_{μ} backgrounds. The first technique uses the identified ν_{μ} CC sample, removes the muon, and analyzes the remaining hadronic shower as if it were a NC event. The second technique uses the fact that the data set obtained when the magnetic horn is not powered has a very different mix of NC/CC ν_{μ} events in the ν_e -selected Near Detector sample. The so-called 'horn-on/horn-off' method takes advantage of this fact to deconvolute the NC and CC contributions to the measured Near Detector sample.

With these measurements in the Near Detector we can now calculate more accurately our expected sensitivity to ν_e appearance in a Far Detector measurement. Figure 5 shows the MINOS projected 90% CL exclusion region. At the CHOOZ limit we expect 12 ν_e signal events and 42 background events with our current exposure of 3.25×10^{20} POT. The reliability of the ν_e appearance algorithm as well as the extrapolation technique will be demonstrated on several sideband regions in the Far Detector which are expected to have limited sensitivity to ν_e appearance.



Figure 5. Sensitivity to electron neutrino appearance for three different exposures including 10% systematic errors.

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