# Application of Artificial Neural Network and Fuzzy Inference System in Prediction of Breaking Wave Characteristics

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#### Abstract

Wave height as well as water depth at the breaking point are two basic parameters which are necessary for studying coastal processes. In this study, the application of soft computing-based methods such as artificial neural network (ANN), fuzzy inference system (FIS), adaptive neuro fuzzy inference system (ANFIS) and semi-empirical models for prediction of these parameters are investigated. The data sets used in this study are published laboratory and field data obtained from wave breaking on plane and barred, impermeable slopes collected from 24 sources. The comparison of results reveals that, the ANN model is more accurate in predicting both breaking wave height and water depth at the breaking point compared to the other methods.

Keywords: Wave breaking, Breaker depth and height, Artificial neural network, Fuzzy inference system, ANFIS.

### 1. Introduction

As a wave train approaches to a beach, its length (L) decreases and its height (H) may increase, leading to the increased wave steepness (H/L). When the wave steepness reaches a limiting value, the wave breaks (USACE, 2008). The wave breaking is one of the most interesting phenomena of wave transformation in the nearshore region (Tsai et al., 2005). Ouantitative information about the characteristics of wave breaking at a given location is necessary for studying of the coastal processes such as calculation of wave forces exerted on the coastal structures, estimation of the rate of sediment transport and prediction of wave set up produced by breaking waves. The two basic parameters required in the most design problems are the breaking wave height and the water depth at the breaking point.

In literature, there are several experimental and numerical studies about the wave breaking and different relations are developed to predict the breaking wave characteristics, i.e. the breaking wave height and the water depth at the breaking point. One of the most familiar criteria for breaker waves was presented by McCowan (1894) which determines the ratio of breaking wave height to the water depth at the breaking point to be 0.78. Similar equations are suggested by Weggel (1972), Sunamura and Horikawa (1974), Goda (1975), Komar (1998), and She and Canning (2008) based on the regular waves.

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It should be noticed that these equations are basically developed by the regression analysis which introduces a direct relation between the variables. However, its accuracy decreases in some complicated situations where there are not any clear relations between the parameters. While the need for further research on the wave breaking phenomenon with its complexities of turbulence and wave nonlinearities, it is also necessary to develop more accurate predictive methods which can estimate the mentioned parameters.

Artificial neural networks (ANNs) are known as soft computing tools with capabilities of maintaining the experience and learning. They do not assume any fixed relationship between the input-output and therefore, they have been recently used for prediction of breaking wave characteristics. Deo and Jagdale (2003) have used ANNs for predicting the breaking wave height as well as the water depth at the breaking point.

In the recent years, fuzzy inference system (FIS) has been employed in different engineering subjects. FIS can be used to predict uncertain systems and its application does not require knowledge of the underlying physical process as a al., 2005). et precondition (Kazeminezhad However, it has some deficiencies. In order to improve the results obtained through this method, the neuro-fuzzy methods such as adaptive neuro fuzzy inference system (ANFIS), which is a combination of ANN and FIS, were defined. These methods have been used in coastal engineering problems such as wave prediction (Kazeminezhad et al., 2005; Ozger, 2009; Sylaios et al., 2009), sediment transport estimation (Bakhtyar et al., 2008; Kisi et al., 2009) and other related fields.

The purpose of this study is to investigate the application of ANN, FIS, and ANFIS methods in prediction of the breaking wave height and the water depth at the breaking point. The results obtained from three developed models are compared with the results of semi-empirical equations.

## 2. Materials and Methods

#### 2.1. Artificial Neural Networks (ANNs)

An artificial neural network is an informationprocessing system that has certain performance characteristics in common with biological neural networks. It is one of the artificial intelligence techniques where the intelligence results from communication between different neurons (Jain and Deo, 2006). It is also a useful tool for solving different engineering problems because it can approximate a desired behavior without the need to specify a particular function. This is a big advantage of artificial neural networks compared to multivariate statistics (Wieland and Mirschel, 2008). A neural network is characterized by (1) its pattern of connections between the neurons (called its architecture), (2) its method of determining the weights on connections (learning algorithm), and (3) its activation function (Fausett, 1994). Among the applied neural networks, the feed forward neural networks (FFNN) are the most common used method in solving various engineering problems. FFNN technique consists of layer being fully connected to the preceding layer by weights (Rajaee et al., 2009). Fig. 1 illustrates the common three-laver feed forward type of an artificial neural network.



Fig. 1. Schematic representation of three-layer feed forward artificial neural network

Learning of these ANNs is performed by first or second order learning algorithms. Backpropagation, adaptive learning rate and the steepest descent are first-order methods in that they use the first derivative of error (slope) and follow the gradient descent approach. QuickProp, the Gauss-Newton method, and the Levenberg-Marquardt method are second-order methods and they rely on both first and second derivative of error (slope and curvature) in the search for the optimum weights (Samarasinghe, 2007).

In the present study, the Levenberg-Marquardt (LM) algorithm was chosen because of its highperformance and the fastest convergence. It minimizes a predetermined error function (E) of the following form:

$$E = \sum_{P} \sum_{N} (y_i - t_i)^2$$
<sup>(1)</sup>

where  $y_i$  is the ith component of ANN output vector Y,  $t_i$  is the ith component of target output vector T, N is the number of output neurons and P is the number of training patterns.

The LM algorithm uses the following formula to calculate weights (W) in subsequent iterations:

$$W_{new} = W_{old} - [J^T J + \gamma I]^{-1} J^T E(W_{old})$$
(2)

where J is the Jacobian of the error function E, I is the identity matrix, and  $\gamma$  is the parameter used to define the iteration step value. In this method,  $\gamma$  is chosen automatically until a downhill step is produced for each epoch. Starting with an initial value of  $\gamma$ , the algorithm attempts to decrease its value by increments of  $\Delta \gamma$  in each epoch. If the E is not reduced,  $\gamma$  is increased repeatedly until a downhill step is produced (Samarasinghe, 2007).

Several forms of activation functions have been used in ANNs, such as linear, binary sigmoid, bipolar sigmoid, hyperbolic tangent, etc. The hyperbolic tangent function, which was used in this paper, is given by:

$$f(x) = \frac{\exp(x) - \exp(-x)}{\exp(x) + \exp(-x)}$$
(3)

More details on the ANN can be found in Fausett (1994) and Samarasinghe (2007).

# 2.2. Fuzzy Inference Systems (FISs)

The fuzzy inference system (FIS) is a popular computing framework based on the concepts of fuzzy set theory, fuzzy if-then rules, and fuzzy reasoning. The basic structure of a fuzzy inference system consists of three conceptual components: (1) a rule base, which contains a selection of fuzzy rules. The general form of a fuzzy if-then rule is as follows: if X is A then Y is B. Often the first part is called the antecedent or premise, while the other part is called the consequence or conclusion; (2) a database, which defines the membership functions used in the fuzzy rules; and (3) a reasoning mechanism, which performs the inference procedure upon the rules and given facts to derive a reasonable output or conclusion.

There are three types of fuzzy inference systems in the literature that have been widely employed in various applications: Mamdani, Sugeno, and Tsukamoto fuzzy inference systems. The differences between these three fuzzy inference systems lie in the consequents of their fuzzy rules.

Although the fuzzy inference system has a structured knowledge representation in the form of fuzzy if-then rules, it lacks the adaptability to deal with changing external environments. Thus, neural network learning concepts in fuzzy inference systems has been incorporated by various authors, resulting in neuro-fuzzy modeling (Jang et al., 1997).

An adaptive neuro-fuzzy inference system (ANFIS) is a first order Sugeno type FIS in which the premise and consequence parameters of fuzzy ifthen rules are optimized by a five layers artificial neural network. For a first-order Sugeno fuzzy model, a common rule set with two fuzzy if-then rules and three inputs is as follows:

Rule 1: If  $x_1$  is  $A_1$  and  $x_2$  is  $B_1$  and  $x_3$  is  $C_1$ , then  $f_1 = p_1 x_1 + q_1 x_2 + r_1 x_3 + s_1$ ,

Rule 2: If  $x_1$  is  $A_2$  and  $x_2$  is  $B_2$  and  $x_3$  is  $C_2$ , then  $f_2 = p_2 x_1 + q_2 x_2 + r_2 x_3 + s_2.$ 

Fig. 2(a) illustrates the reasoning mechanism for this Sugeno model. The corresponding equivalent ANFIS architecture is as shown in Fig. 2(b). Every node in the first layer is an adaptive node. The output of the layer are degrees of membership of linguistic variables  $A_i$ ,  $B_i$ , and  $C_i$ . In the second layer, every node is a fixed one. This layer calculates the firing strength for each rule, whose output of the layer is algebraic product of all the input signals.



Fig. 2.(a) a first-order Sugeno fuzzy model with two rules (three inputs); (b) equivalent ANFIS architecture.

In the third layer the ith node calculates the ratio of the ith rule's firing strength to the sum of all rule's firing strengths. Every node in this layer is a fixed node. Outputs of the layer are called normalized firing strengths. In the fourth layer the output of an adaptive node obtains from multiplying the normalized firing strength by  $f_i = p_i x_1 + q_i x_2 + r_i x_3 + s_i$ . The fifth layer, which has a fixed node, computes the overall output as follows:

$$\mathbf{f} = \sum_{i} \overline{\omega}_{i} \mathbf{f}_{i} = \frac{\sum_{i} \omega_{i} \mathbf{f}_{i}}{\sum_{i} \omega_{i}}$$
(4)

A hybrid learning algorithm is used for learning of neural network. The hybrid learning algorithm

consisted of two pass. In the forward pass, node outputs go forward until layer 4 and the consequent parameters are identified by the least squares method. In the backward pass, when the consequent parameters are fixed, the error signals propagate backward and the premise parameters (membership functions' parameters) are updated by gradient descent. More detailed information on ANFIS can be found in Jang et al. (1997). There are many various membership functions such as triangular, trapezoidal, bell, and Gaussian functions that can be applied in fuzzy modeling. In this study, since the majority of natural phenomena follow from the Gaussian probabilistic distribution, the Gaussian membership function is used as follows:

$$\mu(\mathbf{x}) = \exp\left[-\left(\frac{\mathbf{x}-\mathbf{c}}{\mathbf{a}}\right)^2\right]$$
(5)

where  $\mu(x)$  is the membership function, a and c are the membership functions' parameters that changes the shape of the membership function. These parameters are referred to as the premise parameters. In this paper, for developing a FIS model with a minimum number of fuzzy rules, a subtractive clustering method is used. In the subtractive clustering method (Chiu, 1994), each data point is considered as a potential cluster center and is defined a measure of the potential for each data point. A data point with many neighboring data points will have high potential value. The data point with high potential value is selected as the first cluster center. Then, the potential of the data points whose distance from a selected cluster center is less than a prespecified value (cluster radius) are subtracted and the potential values are updated. The procedure continues until holding some conditions.

#### 2.3. Semi-Empirical Models

Semi-empirical models have been developed based on interrelationship among dimensionless

parameters. Until now many attempts have been performed predict the wave to breaking characteristics using regression methods (including linear, polynomial and logistic). According to them, beach slope (m) (or seaward slope of bar in barred beach), deep water wave height  $(H_0)$ , and deep water wave length  $(L_0)$  (including wave period (T)), are effective parameters in the prediction of the breaker wave height  $(H_b)$  and the water depth at the breaking point (h<sub>b</sub>). In Table 1 some of the currently developed equations, in the order of their publication dates, are presented. These equations are used to evaluate the efficiency and exactness of newly proposed models.

#### 3. Data Set

The data sets used in this paper are the published laboratory and field data obtained from the wave breaking on the plane and barred, impermeable slopes. These data on the breaking wave height and the water depth at the breaking point are obtained from different sources which are summarized in Table 2.

For modeling, the data set is divided into two parts: training and testing set. The training and testing data set are used for learning and evaluating the developed models, respectively.

In order to predict the breaking wave height, 662 data points of total 701 data were selected of which almost 80 percents of data points (532 data points) were used as the training set and the remaining as the testing set. Also 644 data points of total 701 data were selected for predicting the water depth at the breaking point of which 519 data points (almost 80 percents) were used as the training set and125 data points as the testing set. In Table 3, the statistical characteristics of training and testing data set used in predicting the breaking wave height are presented. Also, the statistical characteristics of the data points used in predicting the water depth at the breaking point are presented in Table 4.

#### 4. Results and Discussion

At first, two artificial neural networks were developed, separately, using training data to predict the breaking wave height and the water depth at the breaking point. Before learning the ANNs, the training input and output values are normalized in the range of -1 to 1, using the following equation:

$$x' = 2\frac{x - x_{\min}}{x_{\max} - x_{\min}} - 1$$
 (19)

where  $x_{min}$  and  $x_{max}$  denotes the minimum and maximum of data set.

After examining different topologies with tangent hyperbolic activation function, the best topology for both models was found to be  $3 \times 7 \times 1$ . Three input neurons are beach slope (m)(or seaward slope of bar in barred beach), wave period (T) and deep water wave height (H0), seven hidden neurons and one output neuron that is breaking wave height (Hb) and water depth at the breaking point (hb), in each model, separately. Despite semi-empirical models, in this study, we have used dimensional parameters due to some deficiencies in the published laboratory and field data. Of course, as an advantage, this eliminates the need for trial and error process for predicting the breaking wave characteristics. Number of hidden neurons was chosen according to Kolmogorov's theorem. As a result of it, the number of hidden neurons is preferably not greater than one plus twice the input neurons (Zijderveld, 2003):

$$h \le 2n + 1 \tag{20}$$

in which h and n are number of hidden and input neurons, respectively.

After learning, the developed ANNs are evaluated using the testing data. The comparison between observed and predicted breaking wave height and water depth at the breaking point using the testing data are shown in Fig. 3 and 4, respectively.

Authors	formula	Eq. no.
Galvin (1969)	$h_b/H_b = 0.92$ if $m \ge 0.07$ ; $h_b/H_b = 1.40 - 6.85m$ if $m \le 0.07$	6
Collins and Weir (1969)	$H_b/h_b = 0.72 + 5.6m$	7
Weggel(1972)	$H_b/h_b = b - a(H_b/gT^2); a = 43.75[1 - exp(-19m)], b$ = 1.56/[1 + exp(-19.5m)]	8
Komar and Gaughan(1973)	$H_{\rm b}/H_0 = 0.56(H_0/L_0)^{-1/5}$	9
Goda(1975)	$H_{\rm b}/L_0 = 0.17 \{1 - \exp[-1.5\pi (h_{\rm b}/L_0)(1 + 15m^{4/3})]\}$	10
Battjes(1974)	$H_{\rm b}/h_{\rm b} = 1.062 + 0.137 \log \left( m/\sqrt{H_0/L_0} \right)$	11
Sunamura and Horikawa(1974)	$H_{\rm b}/H_0 = m^{0.2}(H_0/L_0)^{-0.25}$	12
Singamsetti and Wind (1980)	$H_b/h_b = 0.937 \text{ m}^{0.155} (H_0/L_0)^{-0.13}$	13
Sunamura(1981)	$H_{\rm b}/h_{\rm b} = 1.1 \left( m/\sqrt{H_0/L_0} \right)^{1/6}$	14
$K_{omar}(1008)$	$H_{b} = 0.39g^{0.2}(TH_{0}^{2})^{0.4}$	15
Kollar(1998)	$h_b = H_b \{ 1.2 [m/(H_b/L_0)^{0.5}]^{0.27} \}$	16
She and Canning (2007)	$H_b/gT^2 = \alpha \tanh[\beta(h_b/gT^2)^{\gamma}];$ $\alpha = 0.0277$ β = 152m + 6.6 if m > 0.073 β = 17.7 if m < 0.073	17
She una Calinnig (2007)	$\gamma = 1.92m + 0.72$ if m $\ge 0.094$ , $\gamma = 0.9$ if m $< 0.094$	1,
	$H_{\rm b}/h_{\rm b} = (0.284/\sqrt{(H_0/L_0)}) \tanh[f_*(m, H_0/L_0)\pi\sqrt{H_0/L_0}];$	
Camenen and Larson (2007)	$f_*(m, H_0/L_0) = A_1 + A_2 \sin\{(\pi/2)(m/m_{max})^{\alpha}\}, m_{max} = 0.10 + 1.6 H_0/L_0$	18
Cumenen and Earson (2007)	$\alpha = 1 + 14 H_0/L_0$ if $m \le m_{max}$ ; $\alpha = -(1 + 20 H_0/L_0)$ if $m > m_{max}$ ,	10
	$A_1 = 0.87, A_2 = 0.32 + 14 H_0 / L_0$	

Table 1 Currently presented equations for prediction of breaking wave characteristics

# Table 2. Summary of collected laboratory and field data.

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Table 2. Summary of collected laboratory and held data.									
source	Conditions	т	T (sec)	$H_0/L_0$	Number of data				
Munk (1949)+	Laboratory data/Plane beach	0.009-0.159	0.86-1.97	0.007-0.0092	53				
Munk (1949)+	Field data/Plane beach	0.04	6.5-13.7	0.0042-0.0316	74				
Iversen (1952)*	Laboratory data/Plane beach	0.02-0.1	0.74-2.67	0.0025-0.0907	68				
Morison and Crooke (1953)+	Laboratory data/Plane beach	0.02-0.1	0.78-2.62	0.0036-0.0778	6				
Horikawa and Kuo (1967)*	Laboratory data/Plane beach	0.0125-0.05	1.2-2.3	0.006-0.073	97				
Komar and Simmons (1968)+	Laboratory data/Plane beach	0.036-0.105	0.81-2.37	0.0032-0.071	44				
Galvin (1968)+	Laboratory data/Plane beach	0.05-0.2	1.0-8.0	0.0002-0.056	43				
Galvin (1969)*	Laboratory data/Plane beach	0.05-0.2	1.0-6.0	0.0007-0.0503	22				
Saeki and Sasaki (1973)*	Laboratory data/Plane beach	0.02	1.3-2.5	0.005-0.039	2				
Iwagaki et al. (1974)*	Laboratory data/Plane beach	0.03-0.1	1.0-2.0	0.005-0.073	23				
Walker (1974)*	Laboratory data/Plane beach	0.033	1.17-2.33	0.001-0.038	15				
Singamsetti and Wind	Laboratory data/Plane beach	0.025-0.2	1.03-1.73	0.017-0.08	95				
(1980)*									
Mizuguchi (1981)*	Laboratory data/Plane beach	0.1	1.2	0.045	1				
Visser (1982)*	Laboratory data/Plane beach	0.05-0.1	0.7-2.01	0.014-0.079	7				
Maruyama et al. (1983)*	Laboratory data/Plane beach	0.034	3.1	0.091	1				
Stive(1984)	Laboratory data/Plane beach	0.025	1.79-3.0	0.01-0.032	2				
Smith and Kraus (1990)	Laboratory data/Plane beach	0.033	1.02-2.49	0.009-0.092	5				
Smith and Kraus (1990)	Laboratory data/Barred beach	0.08-0.437	1.01-2.49	0.008-0.095	77				
Ting and Kirby (1995, 1996)	Laboratory data/Plane beach	0.0286	2.0-5.0	0.0023-0.02	2				
Hoque(2002)	Laboratory data/Plane beach	0.1053	1.12-1.8	0.024-0.076	6				
Deo and Jagdale(2003)	Laboratory data/Plane beach	0.033-0.1	0.74-1.2	0.0419-0.1272	20				
Cox and Shin (2003, 2006)	Laboratory data/Plane beach	0.0286	1.5-3.0	0.0055-0.0362	4				
Scott et al. (2005)	Laboratory data/Barred beach	0.054	4.0	0.0256	1				
Tomasicchio(2006)	Laboratory data/Barred beach	0.033	2.5-3.5	0.0072-0.0114	3				
Okamoto and Basco(2006)	Laboratory data/Plane beach	0.033	1.6-3.8	0.012-0.046	27				
Mori and Kakuno(2008)	Laboratory data/Plane beach	0.033	1.6-3.8	0.012-0.046	3				

+: Data from Gaughan et al. (1973) \*: Data from Smith and Kraus (1990)

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Table 5. The statistical characteristics of data points used in predicting the breaking wave neight.										
Training data (numbers =532)					Testing data (numbers =130)					
	m	T (sec)	H <sub>0</sub> (m)	H <sub>b</sub> (m)	m	T (sec)	H <sub>0</sub> (m)	H <sub>b</sub> (m)		
Min.	0.009	0.73	0.0104	0.0150	0.009	0.7	0.0137	0.0305		
Max.	0.4366	13.7	2.46	3.05	0.3757	12.5	3.0	3.47		
Avg.	0.0798	2.65	0.2604	0.3386	0.0838	2.51	0.2921	0.3663		
SD*	0.0753	2.74	0.4966	0.6694	0.0767	2.73	0.5578	0.7185		
top of	1 1 5 1 1									

Table 3. The statistical characteristics of data points used in predicting the breaking wave height

\*SD: Standard Deviation

Table 4. The statistical characteristics of data points used in predicting the water depth at the breaking point.

Training data (numbers =519)					Testing data (numbers =125)				
	m	T (sec)	H <sub>0</sub> (m)	h <sub>b</sub> (m)	m	T (sec)	H <sub>0</sub> (m)	<b>h</b> <sub>b</sub> (m)	
Min.	0.009	0.7	0.0137	0.0335	0.009	0.74	0.0104	0.0305	
Max.	0.4366	13.70	3.0	4.45	0.3757	10.5	2.36	3.87	
Avg.	0.0773	2.54	0.2788	0.4478	0.0738	2.29	0.2581	0.4064	
SD	0.0743	2.78	0.5222	0.9025	0.0758	2.42	0.5026	0.8618	

The other prediction model developed is ANFIS model. Two ANFIS models were developed using the training data; the first one as a breaking wave height predictor and the second one as a water depth at the breaking point predictor. At first, using the subtractive clustering method and the training data including deep water wave height, wave period and beach slope (or seaward slope of bar in barred beach) as input parameters, a FIS model was developed. The developed FIS model was then used as an initial FIS for ANFIS model.



Fig. 3. Comparison between observed and predicted values obtained from ANN model for breaking wave height.

After developing FIS and ANFIS models, testing data were used to evaluate the accuracy of the

developed models. Fig. 5 and 6 shows the comparison between observed and predicted breaking wave height and water depth at the breaking point using the developed FIS models, respectively. These mentioned results are for testing data.



Fig. 4. Comparison between observed and predicted values obtained from ANN model for water depth at the breaking point.

Also the observed and predicted breaking wave characteristics obtained from the ANFIS models are shown in Fig .7 and 8. As it is shown the breaking wave height and the water depth at the breaking point were slightly unbiased in all of these three developed models, especially for the large scale field data. Moreover, it can be noticed that in all Figs, there are some gaps between the greater and smaller values which it is due to the difference between the large scale, field data and the small scale laboratory data.



Fig. 5. Comparison between observed and predicted values obtained from FIS model for breaking wave height.



Fig. 6. Comparison between observed and predicted values obtained from FIS model for water depth at the breaking point.



Fig. 7. Comparison between observed and predicted values obtained from ANFIS model for breaking wave height.



Fig. 8. Comparison between observed and predicted values obtained from ANFIS model for water depth at the breaking point.

Fig. 9 shows the initial and Fig. 10 shows the final membership functions of input variables for breaking wave height prediction.

It is seen that there is a considerable change in the shape of membership functions of beach slope after training. The change in the shape of membership function of deep water wave height is also considerable but its change is less important than the beach slope. For deep water wave heights greater than 0.5 m, the values of membership functions become almost zero. It is due to the smaller number of large scale, field data compared to small scale, experimental data which leads to the effects of field data (the deep water wave heights greater than 0.5 m) become smaller than the experimental data. The initial and final membership functions of three input variables for water depth at the breaking point prediction is shown in Fig. 11 and Fig. 12, respectively. As can be seen the maximum change in the shape of membership functions after training belongs to the beach slope. The change in the shape of membership functions of two other variables is not as significant as beach slope. As a result, the beach slope parameter is an important and sensible variable in prediction of both the breaking wave height and the water depth at the breaking point.



Fig. 9. Initial membership functions of input variables in predicting the breaking wave height



Fig. 10. Final membership functions of input variables in predicting the breaking wave height



rig. 11. Initial memoership functions of input variables in predicting the water depth at the oreaking point



Fig. 12. Final membership functions of input variables in predicting the water depth at the breaking point

A statistical comparison between the observed and predicted parameters of wave breaking characteristics was studied to evaluate the developed soft computing models as well as the previous semiempirical equations using bias, mean absolute error (MAE), root mean square error (RMSE), scatter index (SI) and correlation coefficient (CC) which are defined as follows:

bias 
$$=\frac{1}{N}\sum_{i=1}^{N}(y_i - t_i)$$
 (21)

$$MAE = \frac{1}{N} \sum_{i=1}^{N} |y_i - t_i|$$
(22)

$$RMSE = \sqrt{\frac{1}{N} \sum_{i=1}^{N} (y_i - t_i)^2}$$
(23)

$$SI = \frac{RMSE}{average \text{ observed value}} \times 100$$
(24)

$$CC = \frac{\sum_{i=1}^{N} (t_i - \bar{t}_m)(y_i - \bar{y}_m)}{\sqrt{(\sum_{i=1}^{N} (t_i - \bar{t}_m)^2)(\sum_{i=1}^{N} (y_i - \bar{y}_m)^2)}}$$
(25)

where N is the number of observations;  $t_i$  is an observed value;  $y_i$  is a predicted value;  $\overline{t}_m$  is the observed mean value; and  $\overline{y}_m$  is the predicted mean value.

Table 5 shows the error statistics of the proposed ANN, FIS and ANFIS models as well as preceding equations for predicting the breaking wave height. These errors are related to the testing data. As shown, the error statistics of the FIS model is larger than those of the ANN model. Also, the bias and MAE of this model are larger than the equation of Komar and Gaughan (1973). However, after training the FIS model, the errors related to the ANFIS model has become lower than the FIS model and also above mentioned equation but it is still larger than the errors related to the ANN model. The bias of the proposed ANFIS model is 0.0072m which is still slightly larger than the bias of the equation of Komar and Gaughan (1973) that is equal to 0.0069m. As can be seen the errors of ANN model developed for predicting of breaking wave height is less than the other methods. The MAE, RMSE and SI of the ANN model are 0.0369m, 0.0872 m and 23.81%, respectively. These are lower values compared to the other methods. Furthermore, the bias of the proposed ANN model is minimum value between the others and is equal to 0.0029mwhich it means that it overestimates the breaking wave height.

The statistical comparison of the predicted values for the water depth at the breaking point is shown in Table 6. According to this table, the minimum MAE, RMSE and SI belong to the proposed ANN model with values of 0.0562m, 0.1382m and 34.00%, respectively. The bias of the proposed ANN model is 0.0002 and it overestimates the water depth at the breaking point. As shown, the minimum value of bias belongs to the proposed ANN model. It can be noticed that the error statistics of three proposed models are considerably lower than the preceding equations, for example they have decreased the RMSE between 45 to 158%. As a result, although a small number of field data has been used in this study, the results obtained from the testing data including both laboratory and field data show that the ANN and ANFIS models proposed in this study provide better predictions for the estimation of the breaking wave height and the water depth at the breaking point, compared to the other methods. Using of a larger number of the field data can even lead to more accurate results. Of course, it is obvious that the results are valid in the range of the data reported in table 2.

#### 5. Conclusions

In this study, using soft computing tools such as ANNs, FIS and ANFIS, some models were developed to predict the breaking wave characteristics. With the purpose of developing these models, the published laboratory and field data of wave breaking on plane and barred, impermeable slopes is used.

MethodsAverage observed value (m)Average predicted value (m)bias (m)MAE (m)RMSE (m)B1 (%) (m)CCGalvin (1969)0.36630.40220.03590.05130.137937.650.9884Collins and Weir0.36630.45010.08380.08740.192552.540.9856(1969)		- F	0	0				
Galvin (1969) $0.3663$ $0.4022$ $0.0359$ $0.0513$ $0.1379$ $37.65$ $0.9884$ Collins and Weir $0.3663$ $0.4501$ $0.0838$ $0.0874$ $0.1925$ $52.54$ $0.9856$ (1969)	Methods	Average observed value (m)	Average predicted value (m)	bias (m)	MAE (m)	RMSE (m)	SI (%)	CC
Collins and Weir       0.3663       0.4501       0.0838       0.0874       0.1925       52.54       0.9856         (1969)       Weggel(1972)       0.3663       0.4468       0.0804       0.0845       0.2268       61.93       0.9870         Komar and       0.3663       0.3732       0.0069       0.0404       0.0994       27.12       0.9908         Gaughan(1973)       0.3663       0.4225       0.0562       0.0663       0.1554       42.42       0.9870         Battjes(1974)       0.3663       0.4439       0.0776       0.0829       0.2229       60.85       0.9882         Sunamura and       0.3663       0.4435       0.0772       0.0810       0.1899       51.87       0.9897         Horikawa (1974)       Singamsetti and       0.3663       0.4432       0.0769       0.0824       0.2319       63.30       0.9850         Wind (1980)       Sunamura(1981)       0.3663       0.4123       0.0460       0.0584       0.1659       45.31       0.9869         Komar(1998), Eq.       0.3663       0.3750       0.0087       0.0524       0.1294       35.33       0.9870         (2007)       Cammenen and       0.3663       0.3692       0.0017       0.1497<	Galvin (1969)	0.3663	0.4022	0.0359	0.0513	0.1379	37.65	0.9884
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Collins and Weir	0.3663	0.4501	0.0838	0.0874	0.1925	52.54	0.9856
Weggel(1972)         0.3663         0.4468         0.0804         0.0845         0.2268         61.93         0.9870           Komar and         0.3663         0.3732         0.0069         0.0404         0.0994         27.12         0.9908           Gaughan(1973)	(1969)							
Komar and Gaughan(1973) Goda(1975) $0.3663$ $0.3732$ $0.0069$ $0.0404$ $0.0994$ $27.12$ $0.9908$ Goda(1975) $0.3663$ $0.4225$ $0.0562$ $0.0663$ $0.1554$ $42.42$ $0.9870$ Battjes(1974) $0.3663$ $0.4439$ $0.0776$ $0.0829$ $0.2229$ $60.85$ $0.9882$ Sunamura and $0.3663$ $0.4435$ $0.0772$ $0.0810$ $0.1899$ $51.87$ $0.9897$ Horikawa (1974) $0.3663$ $0.4432$ $0.0769$ $0.0824$ $0.2319$ $63.30$ $0.9850$ Wind (1980) $0.3663$ $0.4123$ $0.0460$ $0.0584$ $0.1659$ $45.31$ $0.9869$ Komar(1998), Eq. $0.3663$ $0.3754$ $0.0091$ $0.0407$ $0.1006$ $27.46$ $0.9908$ 15 $0.3663$ $0.3750$ $0.0087$ $0.0524$ $0.1294$ $35.33$ $0.9870$ (2007) $0.3663$ $0.3750$ $0.0087$ $0.0518$ $0.1497$ $40.86$ $0.9880$ Larson (2007) $0.3663$ $0.3692$ $0.0029$ $0.3699$ $0.0872$ $23.81$ $0.9926$ FIS $0.3663$ $0.3745$ $0.0082$ $0.0419$ $0.0987$ $26.94$ $0.9909$ ANFIS $0.3663$ $0.3735$ $0.0072$ $0.0404$ $0.0945$ $25.79$ $0.9914$	Weggel(1972)	0.3663	0.4468	0.0804	0.0845	0.2268	61.93	0.9870
Gaughan(1973) Goda(1975) $0.3663$ $0.4225$ $0.0562$ $0.0663$ $0.1554$ $42.42$ $0.9870$ Battjes(1974) $0.3663$ $0.4439$ $0.0776$ $0.0829$ $0.2229$ $60.85$ $0.9882$ Sunamura and $0.3663$ $0.4435$ $0.0772$ $0.0810$ $0.1899$ $51.87$ $0.9897$ Horikawa (1974) $0.3663$ $0.4432$ $0.0769$ $0.0824$ $0.2319$ $63.30$ $0.9850$ Wind (1980) $0.3663$ $0.4123$ $0.0460$ $0.0584$ $0.1659$ $45.31$ $0.9869$ Komar(1998), Eq. $0.3663$ $0.3754$ $0.0091$ $0.0407$ $0.1006$ $27.46$ $0.9908$ 15 $0.3663$ $0.3750$ $0.0087$ $0.0524$ $0.1294$ $35.33$ $0.9870$ (2007) $0.3663$ $0.3663$ $0.3655$ $0.0518$ $0.1497$ $40.86$ $0.9880$ Larson (2007) $0.3663$ $0.3692$ $0.0029$ $0.0369$ $0.0872$ $23.81$ $0.9926$ FIS $0.3663$ $0.3745$ $0.0082$ $0.0419$ $0.0987$ $26.94$ $0.9909$ ANFIS $0.3663$ $0.3735$ $0.0072$ $0.0404$ $0.9945$ $25.79$ $0.9914$	Komar and	0.3663	0.3732	0.0069	0.0404	0.0994	27.12	0.9908
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	Gaughan(1973)							
Battjes(1974)       0.3663       0.4439       0.0776       0.0829       0.2229       60.85       0.9882         Sunamura and       0.3663       0.4435       0.0772       0.0810       0.1899       51.87       0.9897         Horikawa (1974)	Goda(1975)	0.3663	0.4225	0.0562	0.0663	0.1554	42.42	0.9870
Sunamura and Horikawa (1974)0.36630.44350.07720.08100.189951.870.9897Horikawa (1974)Singamsetti and0.36630.44320.07690.08240.231963.300.9850Wind (1980)Sunamura(1981)0.36630.41230.04600.05840.165945.310.9869Komar(1998), Eq.0.36630.37540.00910.04070.100627.460.990815She and Canning0.36630.37500.00870.05240.129435.330.9870(2007)Cammenen and0.36630.40280.03650.05180.149740.860.9880Larson (2007)ANN0.36630.36920.00290.03690.087223.810.9926FIS0.36630.37450.00820.04190.098726.940.9909ANFIS0.36630.37350.00720.04040.094525.790.9914	Battjes(1974)	0.3663	0.4439	0.0776	0.0829	0.2229	60.85	0.9882
Horikawa (1974)Singamsetti and0.36630.44320.07690.08240.231963.300.9850Wind (1980)0.36630.41230.04600.05840.165945.310.9869Sunamura(1981)0.36630.37540.00910.04070.100627.460.9908Komar(1998), Eq.0.36630.37500.00870.05240.129435.330.9870She and Canning0.36630.37500.00870.05240.149740.860.9880Larson (2007)0.36630.36920.00290.03690.087223.810.9926FIS0.36630.37450.00820.04190.098726.940.9909ANFIS0.36630.37350.00720.04040.094525.790.9914	Sunamura and	0.3663	0.4435	0.0772	0.0810	0.1899	51.87	0.9897
Singamsetti and       0.3663       0.4432       0.0769       0.0824       0.2319       63.30       0.9850         Wind (1980)       Sunamura(1981)       0.3663       0.4123       0.0460       0.0584       0.1659       45.31       0.9869         Komar(1998), Eq.       0.3663       0.3754       0.0091       0.0407       0.1006       27.46       0.9908         15       She and Canning       0.3663       0.3750       0.0087       0.0524       0.1294       35.33       0.9870         (2007)       Cammenen and       0.3663       0.4028       0.0365       0.0518       0.1497       40.86       0.9880         Larson (2007)       0.3663       0.3692       0.0029       0.0369       0.0872       23.81       0.9926         FIS       0.3663       0.3745       0.0082       0.0419       0.0987       26.94       0.9099         ANFIS       0.3663       0.3735       0.0072       0.0404       0.0945       25.79       0.9914	Horikawa (1974)							
Wind (1980)Sunamura(1981)0.36630.41230.04600.05840.165945.310.9869Komar(1998), Eq.0.36630.37540.00910.04070.100627.460.99081550.36630.37500.00870.05240.129435.330.9870(2007)0.36630.40280.03650.05180.149740.860.9880Larson (2007)0.36630.36920.00290.03690.087223.810.9926FIS0.36630.37450.00820.04190.098726.940.9909ANFIS0.36630.37350.00720.04040.094525.790.9914	Singamsetti and	0.3663	0.4432	0.0769	0.0824	0.2319	63.30	0.9850
Sunamura(1981)       0.3663       0.4123       0.0460       0.0584       0.1659       45.31       0.9869         Komar(1998), Eq.       0.3663       0.3754       0.0091       0.0407       0.1006       27.46       0.9908         15       She and Canning       0.3663       0.3750       0.0087       0.0524       0.1294       35.33       0.9870         (2007)       Cammenen and       0.3663       0.4028       0.0365       0.0518       0.1497       40.86       0.9880         Larson (2007)       ANN       0.3663       0.3692       0.0029       0.0369       0.0872       23.81       0.9926         FIS       0.3663       0.3745       0.0082       0.0419       0.0987       26.94       0.9909         ANFIS       0.3663       0.3735       0.0072       0.0404       0.0945       25.79       0.9914	Wind (1980)							
Komar(1998), Eq.0.36630.37540.00910.04070.100627.460.990815She and Canning0.36630.37500.00870.05240.129435.330.9870(2007)0.36630.40280.03650.05180.149740.860.9880Larson (2007)0.36630.36920.00290.03690.087223.810.9926FIS0.36630.37450.00820.04190.098726.940.9909ANFIS0.36630.37350.00720.04040.094525.790.9914	Sunamura(1981)	0.3663	0.4123	0.0460	0.0584	0.1659	45.31	0.9869
15 She and Canning0.36630.37500.00870.05240.129435.330.9870(2007) Cammenen and Larson (2007)0.36630.40280.03650.05180.149740.860.9880ANN0.36630.36920.00290.03690.087223.810.9926FIS0.36630.37450.00820.04190.098726.940.9909ANFIS0.36630.37350.00720.04040.094525.790.9914	Komar(1998), Eq.	0.3663	0.3754	0.0091	0.0407	0.1006	27.46	0.9908
She and Canning       0.3663       0.3750       0.0087       0.0524       0.1294       35.33       0.9870         (2007)       0.3663       0.4028       0.0365       0.0518       0.1497       40.86       0.9880         Larson (2007)       0.3663       0.3692       0.0029       0.0369       0.0872       23.81       0.9926         FIS       0.3663       0.3745       0.0082       0.0419       0.0987       26.94       0.9909         ANFIS       0.3663       0.3735       0.0072       0.0404       0.0945       25.79       0.9914	15							
(2007) Cammenen and Larson (2007)0.36630.40280.03650.05180.149740.860.9880ANN0.36630.36920.00290.03690.087223.810.9926FIS0.36630.37450.00820.04190.098726.940.9909ANFIS0.36630.37350.00720.04040.094525.790.9914	She and Canning	0.3663	0.3750	0.0087	0.0524	0.1294	35.33	0.9870
Cammenen and Larson (2007)0.36630.40280.03650.05180.149740.860.9880ANN0.36630.36920.00290.03690.087223.810.9926FIS0.36630.37450.00820.04190.098726.940.9909ANFIS0.36630.37350.00720.04040.094525.790.9914	(2007)							
Larson (2007)ANN0.36630.36920.00290.03690.087223.810.9926FIS0.36630.37450.00820.04190.098726.940.9909ANFIS0.36630.37350.00720.04040.094525.790.9914	Cammenen and	0.3663	0.4028	0.0365	0.0518	0.1497	40.86	0.9880
ANN0.36630.36920.00290.03690.087223.810.9926FIS0.36630.37450.00820.04190.098726.940.9909ANFIS0.36630.37350.00720.04040.094525.790.9914	Larson (2007)							
FIS0.36630.37450.00820.04190.098726.940.9909ANFIS0.36630.37350.00720.04040.094525.790.9914	ANN	0.3663	0.3692	0.0029	0.0369	0.0872	23.81	0.9926
ANFIS 0.3663 0.3735 0.0072 0.0404 0.0945 25.79 0.9914	FIS	0.3663	0.3745	0.0082	0.0419	0.0987	26.94	0.9909
	ANFIS	0.3663	0.3735	0.0072	0.0404	0.0945	25.79	0.9914

Table 5	Ctatiatian	af the	madiated	hange line a	le ai ale	A and in a	the testine a date
Table 5	SIAUSTICS	orme	predicted	пгеяктир	wave neigh	i lising	ine testing data
$\mathbf{I}$ $\mathbf{u}$ $\mathbf{U}$ $\mathbf{U}$ $\mathbf{U}$	D CG CL D CL D D		Dicaletea	or owning.			me testine aata.

Table 6. Statistics of the predicted water depth at the breaking point using the testing data.

Galvin (1969)       0.4064       0.3505       -0.0559       0.0661       0.2116       52.08       0.9844         Collins and Weir       0.4064       0.3229       -0.0835       0.0877       0.2478       60.98       0.9840         (1969)       Weggel(1972)       0.4064       0.3176       -0.0888       0.0947       0.2855       70.25       0.9825         Battjes(1974)       0.4064       0.3168       -0.0896       0.0932       0.2804       68.99       0.9838         Singamsetti and       0.4064       0.3229       -0.0835       0.0909       0.2828       69.58       0.9816         Wind (1980)       Sunamura(1981)       0.4064       0.3451       -0.0613       0.0725       0.2359       58.04       0.9828         Kamer(1098)       Equation       0.4064       0.3775       0.1280       0.1286       0.2566       97.74       0.9842	Methods	Average observed value (m)	Average predicted value (m)	bias (m)	MAE (m)	RMSE (m)	SI (%)	CC
Collins and Weir (1969)       0.4064       0.3229       -0.0835       0.0877       0.2478       60.98       0.9840         Weggel(1972)       0.4064       0.3176       -0.0888       0.0947       0.2855       70.25       0.9825         Battjes(1974)       0.4064       0.3168       -0.0896       0.0932       0.2804       68.99       0.9838         Singamsetti and       0.4064       0.3229       -0.0835       0.0909       0.2828       69.58       0.9816         Wind (1980)       Sunamura(1981)       0.4064       0.3451       -0.0613       0.0725       0.2359       58.04       0.9828         Kamer (1908)       Equation       0.4064       0.32775       0.1280       0.1286       0.356(c)       97.74       0.98428	Galvin (1969)	0.4064	0.3505	-0.0559	0.0661	0.2116	52.08	0.9844
(1969)       0.4064       0.3176       -0.0888       0.0947       0.2855       70.25       0.9825         Battjes(1974)       0.4064       0.3168       -0.0896       0.0932       0.2804       68.99       0.9838         Singamsetti and       0.4064       0.3229       -0.0835       0.0909       0.2828       69.58       0.9816         Wind (1980)       Sunamura(1981)       0.4064       0.3451       -0.0613       0.0725       0.2359       58.04       0.9828         Karmar(1908)       Ea       0.4064       0.3775       0.1280       0.1286       0.356(       97.74       0.9842	Collins and Weir	0.4064	0.3229	-0.0835	0.0877	0.2478	60.98	0.9840
Weggel(1972)       0.4064       0.3176       -0.0888       0.0947       0.2855       70.25       0.9825         Battjes(1974)       0.4064       0.3168       -0.0896       0.0932       0.2804       68.99       0.9838         Singamsetti and       0.4064       0.3229       -0.0835       0.0909       0.2828       69.58       0.9816         Wind (1980)       0.4064       0.3451       -0.0613       0.0725       0.2359       58.04       0.9828         Sunamura(1981)       0.4064       0.3275       0.1280       0.1286       0.2566       87.74       0.9842	(1969)							
Battjes(1974)       0.4064       0.3168       -0.0896       0.0932       0.2804       68.99       0.9838         Singamsetti and       0.4064       0.3229       -0.0835       0.0909       0.2828       69.58       0.9816         Wind (1980)       0.4064       0.3451       -0.0613       0.0725       0.2359       58.04       0.9828         Sunamura(1981)       0.4064       0.3275       0.1280       0.1286       0.2566       87.74       0.0842	Weggel(1972)	0.4064	0.3176	-0.0888	0.0947	0.2855	70.25	0.9825
Singamsetti and       0.4064       0.3229       -0.0835       0.0909       0.2828       69.58       0.9816         Wind (1980)       0.4064       0.3451       -0.0613       0.0725       0.2359       58.04       0.9828         Sunamura(1981)       0.4064       0.3451       -0.0613       0.0725       0.2359       58.04       0.9828         Verger(1008)       Eg       0.4064       0.2775       0.1280       0.1286       0.2566       87.74       0.0842	Battjes(1974)	0.4064	0.3168	-0.0896	0.0932	0.2804	68.99	0.9838
Wind (1980)         Sunamura(1981)         0.4064       0.3451         -0.0613       0.0725       0.2359         58.04       0.9828         0.4064       0.2775       0.1280         0.1280       0.1280       0.1286	Singamsetti and	0.4064	0.3229	-0.0835	0.0909	0.2828	69.58	0.9816
Sunamura(1981)         0.4064         0.3451         -0.0613         0.0725         0.2359         58.04         0.9828           V smort(1008)         Eq         0.4064         0.2775         0.1280         0.1286         0.2566         87.74         0.0842	Wind (1980)							
$K_{amag}(1008)$ Eq. 0.4064 0.2775 0.1280 0.1286 0.2566 97.74 0.0842	Sunamura(1981)	0.4064	0.3451	-0.0613	0.0725	0.2359	58.04	0.9828
Komar(1998), Eq. $0.4004$ $0.2775$ $-0.1289$ $0.1380$ $0.3500$ $87.74$ $0.9842$	Komar(1998), Eq.	0.4064	0.2775	-0.1289	0.1386	0.3566	87.74	0.9842
16	16							
Cammenen and 0.4064 0.3520 -0.0544 0.0694 0.2284 56.20 0.9832	Cammenen and	0.4064	0.3520	-0.0544	0.0694	0.2284	56.20	0.9832
Larson (2007)	Larson (2007)							
ANN 0.4064 0.4066 0.0002 0.0562 0.1382 34.00 0.9870	ANN	0.4064	0.4066	0.0002	0.0562	0.1382	34.00	0.9870
FIS 0.4064 0.4042 -0.0022 0.0630 0.1464 36.01 0.9855	FIS	0.4064	0.4042	-0.0022	0.0630	0.1464	36.01	0.9855
ANFIS 0.4064 0.4068 0.0004 0.0599 0.1444 35.52 0.9858	ANFIS	0.4064	0.4068	0.0004	0.0599	0.1444	35.52	0.9858

For each method, two separate models were established with outputs of breaking wave height and water depth at the breaking point. The inputs were deep water wave height, wave period and beach slope (seaward slope of bar in barred beach). A comparison between the semi-empirical models and the proposed ANN, FIS and ANFIS models indicate that the errors of the ANN model in predicting the breaking wave height and the water depth at the breaking point are less than those of the other methods. In addition, the errors of the FIS and ANFIS models in prediction of the water depth at the breaking point were also very lower than those of the semi-empirical equations. As a general result, soft computing methods are able to considerably improve the prediction of the characteristics of uncertain, complicated wave breaking phenomenon.

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