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Miscommunication in Pilot-controller Interaction

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ABSTRACT

English is the preferred language for communication in the aviation industry. Pilots and air traffic controllers of different nationalities and proficiency levels interact with each other using a specialized form of English termed aviation English that comprises of aviation phraseology and “plain English”. Here, miscommunication could have disastrous consequences. This paper presents the findings of a study that explored instances of miscommunication in the interaction between pilots and controllers. Miscommunication is defined as a lack of understanding (or misunderstanding), non-understanding or misinterpretation of messages in communication. The corpus consists of 30 hours of actual pilot-controller audio communication collected from the Malaysian airspace. Data were collected from three different frequencies (Alpha, Bravo and Charlie) representing different phases of the flight. They were analysed qualitatively using conversation analysis techniques. The study found that miscommunication in pilot-controller communication is due mainly to two main factors, procedural deviation and problematic instruction or request. The paper concludes by suggesting that pilots and controllers should adhere to standard phraseology and avoid code-switching from aviation phraseology to plain English except when it is inadequate for the situation. It also suggests that proper radio discipline should be maintained.

Keywords: aviation English; miscommunication; non-native speakers; pilot-controller communication; ESP

INTRODUCTION

English was formally endorsed by The International Civil of Aviation Organization (ICAO), a United Nations agency that regulates the development of international air transport, as the default language of aviation in 1944. This means that English would be used as the language of communication between pilots and ground staff in all countries. Even before its formal endorsement, English was already used quite widely when pilots communicated with air-controllers internationally, regardless of their nationality and language background (Mitsutomi & O’Brien 2003). In 2008, ICAO further decreed that pilots and controllers have to be proficient in English to improve pilot-controller communication (Krasnicka 2016). According to Breul (2013), English is most often used as a lingua franca among members of an international cockpit crew whereas a semi-artificial sublanguage based on English serves as the standard means of verbal communication between pilots and air traffic controllers. As English language is widely used within the international aviation industry, therefore there is a variety of Englishes that are spoken and at diverse levels of proficiency (Ragan 1997, Tajima 2004).

Given the lingua franca context in which English is used, it is inevitable that there would be instances of miscommunication in pilot-controller communication. These miscommunications have been found to be due to inadequacy in English proficiency amongst non-native speaker (NNS) pilots and controllers (e.g. Prinzo et al. 2010, Estival & Molesworth 2009, Tajima 2004, Cookson 2009). Nevertheless, studies by researchers such as Trippe and Baese-Berk (2019), Boschen and Jones (2004), Burki-Cohen (1995a & 1995b)

and Douglas (2014) have found that even amongst native speakers, pilot-controller communication is challenging.

Early research studies in aviation discourse were largely carried out in the native speaker (NS) setting (e.g. Cushing 1994 & 1995, Barshi 1997, Morrow et al. 1993, Burki-Cohen 1995a). Some of these studies focused on the effects of variables like human and task on pilot-controller communication (e.g. Prinzo et al. 2010, Cookson 2009, Farris 2007). Others (Tajima 2004, Cookson 2009, Boschen & Jones 2004) analysed transmission of accident or incident to gain insights into its cause. Although, there were studies on NNS pilot controller discourse, these were usually in the NS setting. They examined issues such as the impact of message length, L2 proficiency and cognitive workload on performance, and the causes of miscommunication between L2 pilots and NS air traffic controllers (Molesworth & Estival 2015, Estival & Molesworth 2009 and Farris 2007). A recent study by Trippe and Baese-Berk (2019) examined the prosodic differences between American English and aviation English.

Since the implementation of the ICAO's English language proficiency requirement in 2008, there has been more studies in the NNS setting (e.g. Carey et al. 2011, Tiewtrakul & Fletcher 2010, Huhta 2009, Kim & Elder 2015 & 2009). However, quite a number of these studies are devoted to issues related to the development of an English test for aviation (e.g. Alderson 2009) as well as development of materials for aviation English (e.g. Er & Kirkgoz 2018, Paramasivan 2013). Until today, there are not many research studies done in the NNS setting. Furthermore, there is a lack of aviation studies that examined routine communication between pilots and air traffic controllers. As mentioned earlier, studies using authentic aviation conversation in this setting tend to examine conversations that led to incidents. Studying miscommunications that occurred in routine conversation could provide insights on the challenges and difficulties faced by pilots and air traffic controllers in this setting that might be different from NS. This gap prompted the researchers of this study to embark on an investigation of miscommunications in routine pilot-controller discourse in a NNS setting. This paper will focus on the factors that lead to the breakdown.

AVIATION ENGLISH

Aviation English is a registered language that is only used in the aviation circle. The language makes sense only if one understands the context in which it is spoken. In other words, aviation English is context dependent. In pilot-controller communication, pilots and air controllers should maintain professionalism at all times during the transmission. They should always remain calm and keep their emotions at bay even during an emergency situation (Barshi 1997).

According to Mitsutomi and O'Brien (2003), aviation language consists of three main categories: English for Specific Language (ESP), English for General Purpose (EGP) and Air Traffic Control Phraseology (Figure 1). ESP, in an aviation context, refers to English used to talk about aviation-specific topics and vocabulary. The use of English in both the ESP and EGP contexts is not tied to any prescribed code but users can use any words, phrases and structures to convey their message. Aviation phraseology is used in routine communication between pilot and controller and it consists of prescribed and coded language that needs to be adhered to at all times. All parties involved in the communication generally know what to expect and follow the standard protocol.

Trippe and Baese-Berk (2019) examined the prosodic profile of aviation English versus American English. They found differences in three aspects of articulation and concluded that these unique characteristics made aviation English difficult to learn.

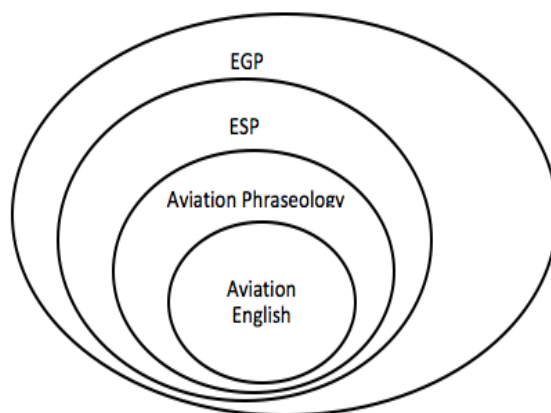


FIGURE 1. Areas of language use in aviation language

DEFINITIONS OF MISCOMMUNICATION

Since this study examines miscommunication in aviation discourse, an understanding of the term is necessary at the outset. To Bremer (1996), a misunderstanding in a conversation refers to any instances during the communication when the listener achieves an interpretation which makes sense to him/her but is not the message intended by the speaker. Mauranen (2006, p. 128) defines misunderstanding simply as “a potential breakdown point in conversation”. She further adds that misunderstanding can occur even without a conversational breakdown. It could be any “communicative turbulence”. According to Simmons (1974), miscommunication in the aviation context refers specifically to any misinterpretation of the instruction by the pilot or controller that is indicated by the absence of readback, or incomplete instruction or readback.

For this study, the term, miscommunication encompasses ideas from the definitions discussed. Miscommunication here is defined as any indication of a misunderstanding in a conversation due to a misinterpretation or non-understanding of the message. This is indicated by verbal or non-verbal clues (such as inappropriate response, request for repeat, absent, wrong or incomplete readback, hesitation and silence) by the responder.

STUDIES ON MISCOMMUNICATION IN PILOT CONTROLLER COMMUNICATION

In the aviation industry, effective communication is vital to ensure expeditious flight operations and safe takeoff and landing. Miscommunication or misunderstanding in pilot-controller communication can lead to loss of human lives. The nature of language and the ways it is interpreted by individual could lead to misunderstanding, even when both pilot and air traffic controller speak English fluently.

Clearly, pilots and controllers should always avoid any linguistic misunderstanding in radiotelephony. Uncertainty and ambiguity in conveying meaning through non-standard phraseology in pilot-controller communication could lead to catastrophe. A case in point was the accident in 1990 involving an Aviana Airlines Boeing 707 flying from Bogota to New York. The pilot was required to hold three times, for over an hour due to bad weather. By

saying “I think we need priority” instead of using the standard phraseology for emergency, the first officer of the aircraft thought that the air traffic controller understood the dire situation they were in. This miscommunication led to fatalities (Flight Safety Foundation 2006).

The safety of air travel is often dependent on the efficiency of pilot controller communication as their ability to perform complex tasks without any glitches is pivotal to accident free travel. Although aviation English is described as a simplified language that is free from any ambiguities and vagueness (Morrow et al. 1993, Seiler, 2009), in truth the complexity of aviation English and English itself often pose a challenge for pilots and controllers. Burki-Cohen (1995a)’s study found that an increase in message complexity reduces pilot recall especially when the grouped format was uttered rapidly. Additionally, Morrow et al. (1993) posits that inaccuracies and vague instructions are attributed to various other causes, and not just because of the controller’s message length and complexity. The task characteristics that affect the complexity of the instruction given by the controller is another factor that can contribute to error in read back or even no read back at by the pilot. Cushing (1994) explains the types of communication problems that frequently threaten aviation safety. The three categories are problem of reference, problem of inference and problem of repetition. Barshi (1997) found that human error attributed to communication problem can lead to accidents in aviation. He found that message length affects comprehension, particularly when more components are added into the transmissions but understanding can be improved with practice. Barshi suggests that the controller transmit not more than three aviation topics to native speakers of English to avoid misunderstandings. Through an analysis of errors in pilot readback, Prinzo (1998) discovered that the most common communication problem in aviation occur when the controller’s instruction exceeded the capability of the pilot to memorize all the instructions in one cycle of communication. Also, the most frequent problems with pilot deviation is when pilots fail to follow standard phraseology and communication procedure, and also when they give only partial acknowledgement (Morrow et al. 1993, Prinzo & Morrow 2002).

Cookson (2009), Tajima (2004), Boschen and Jones (2004) and Jones (2003) posit that there is a high occurrence of miscommunication during emergency situations. To Seiler (2009), this suggests that being equipped with aviation English alone is not adequate for successful communication. He believes that plain English is also required as aviation English is insufficient to cater for all eventualities (Seiler 2009). English plays a significant role in pilot-controller communication. Thus, airmen are required to possess a certain proficiency level in English as dictated by ICAO (Krasnicka 2016).

To conclude, the factors in pilot controller transmission that could create problems in understanding are irregularities in the use of aviation English, deviation from standard procedure (such as incomplete readback or no readback), and instructions or requests that are vague or too dense informationally.

METHODOLOGY

SAMPLE POPULATION

All the controllers were Malaysians of varied ethnicities and are NNS. They all held an air traffic controller license. They possessed either a diploma or a degree and had attained minimum level 4 (operational level) in the ICAO Aviation English Test (ELTP). On the other hand, the pilots were from airlines/freighters operating in the Malaysian airspace. They could

be NS or NNS. Since the research used a random selection of pilot controller communication, the controller and pilot for every conversation were not identified specifically.

DATA COLLECTION

The research data consists of 30 hours of recorded communication from three different frequencies, Alpha, Bravo and Charlie, at two air traffic control centers, KLIA and Subang. Dissimilar frequencies were chosen so that the different phases of the aircraft progression for departure and arrival could be represented. The centers were chosen to capture a wide sample of aviation English. The data had a mix of local and foreign pilots.

RESEARCH PROCEDURE

Approval from Department of Civil Aviation (DCA) had to be obtained before data collection could commence. Due to security concerns, all audio data were selected and transcribed at the centers. The procedure was tedious as the researcher and the DCA officer assigned to the task, listened to the conversation continuously in order to select data that were free from delicate and sensitive content. Once the data were identified, the researcher extracted all related conversations and the transcribing process began. The transcription procedure took several months as it had to be done manually. Transcription softwares available in the market then were unable to transcribe aviation language as it consists of a lot of jargons, bearing, numbers and other abbreviation that cannot be correctly identified by the softwares. The communication was transcribed verbatim using the transcription conventions in Table 1.

TABLE 1. Transcription Convention adapted from Sacks, Schegloff & Jefferson, G. (1998)

(.)	A micro pause of less than one second.
(2.0)	Pause indicated length in seconds.
[]	Across two or several overlapping turns by different speakers. The bracket indicates beginning and end points of overlap.
] [Across two turns by different speakers, indicating 2 nd turn latched onto the 1 st turn without perceptible pause.
:	Lengthened sound.
<u>Stress</u>	Underlining indicating emphasized syllable or word.
?	Question or rising intonation.
(())	Unclear utterance, transcriber's best guess.
.....	Section of transcript omitted.

Table 2 shows an excerpt of a transcript for this study. For each misunderstanding or non-understanding identified in the transcript, the alphabetical number in the left column represents the frequency (A for frequency Alpha, B for Bravo and C for Charlie) and the number represents the line. The second column identified the speaker, either a pilot or controller. The recording time of the audio data is not included since it unnecessary for the study.

TABLE 2. An excerpt from the transcript

B (66)	Controller	Red Cap 723(.) taxi holding point runway 33 Uniform 3(.) Uniform 3 Charlie Uniform 4 hold short of Zulu
B (67)	Pilot	Uniform 3 (.) and after Uniform 4(.) hold short Zulu(.) 723
B (68)	Controller	723(.) err: Uniform 3 <u>Uniform 3 Echo</u> Uniform 4 hold short Zulu

DATA ANALYSIS

In this study, as explained earlier, misunderstanding refers to any point of breakdown or “communicative turbulence” in a communication indicated by a misunderstanding, or non-understanding of the message. The data analysis started with the identification of these breakdown points which were then categorised into the categories and subcategories presented in Table 3. The table explains each of these categories and an example is provided from the transcript. The categories represent the conceptual framework of the study.

After the categorisation, the frequency of each category was counted manually, tabulated and percentages calculated.

TABLE 3. Types of Communication Problems in Pilot-controller Interaction

Type /Category and sub-category	Definition/Explanation and Example	Study
Procedural Deviation	Pilot or controller does not follow recommended communication procedures, He may drop callsign to minimize readback, fail to provide readback acknowledgement or omit the direction of turn. Example of a pilot dropping callsign Controller: Malaysian One Two Four turn left heading three two zero Pilot: Malaysian One Two Four heading three two zero	Prinzo et al. (1995) Morrow, Lee & Rodvold (1993) Barshi (1997), Howard (2008)
Problematic Instruction/Request (Complex, Vague, Incomplete, Inaccurate)	Instruction or request that is incomplete or ambiguous and/or delivered using the wrong intonation. Therefore, the message is not understood. Problematic instruction/request is often due to message length,message composition, non-routine transaction, communication medium and/or task factors. The intonation of the message is important to determine the message speech act. . Example of problem with understanding a request made by the pilot: Pilot: Malaysian seven one one (.)request orbit, right hand orbit present position Controller: are you not trying Pilot: Say again?	Cardozi et.al (1998) Burki-Cohen (1995a), Barshi (1997), Howard (2008)
<u>Others</u> misarticulation or unclear speech	The message is spoken in a manner that makes it unclear possibly due to heavy accent, speech rate (too fast or slow), wrong intonation or pronunciation Example of instruction with misarticulation: Controller : thai asia 394, resume own navigation now, direct ((RIGTO)) Pilot : confirm direct to DANDO? Thai asia 394? Controller : thai asia 394, negative direct RIGTO	Tajima (2004), Cushing (1994), Prinzo, Campbell, Hendrix (2010) Boschen & Jones (2004), Morrow & Prinzo (1998), Tiewtrakul & Fletcher (2010)
mishearing	The real message has not been understood because of issue with listening. Example of incorrect readback due to mishearing: Controller : cathay 708, descend fl 270 , and for sequencing fly on heading 300 Pilot : turn left heading 300 and descend level 260? Say again cleared level? Controller : descend fl 270 pilot : descend fl 270, heading 300, cathay 708	
Bad English	Lack of comprehension of the message due to poor English language skills.	

Example of bad English
Pilot : mas 711, request orbit, right hand orbit present position
Controller : are you not trying
Pilot : say again?
Controller : **are you coming for:** , do you want to continue orbit or
you want to make a try for 32L?

FINDINGS AND DISCUSSION

The frequency distribution of miscommunications will be presented first for an overview. The causes will be discussed next by examining specific examples.

OVERALL FREQUENCY DISTRIBUTION OF THE CAUSES OF MISCOMMUNICATION

Overall, there are three categories of communication errors that occurred in all the three frequencies (Alpha, Bravo and Charlie) as presented in Table 4. There are a total of 126 instances of miscommunications recorded in the 30 hours' audio data. 53% (n = 67) of the miscommunications are due to procedural deviation, which ranked the highest, while problematic instructions/requests represent 27% (n = 34) of the errors. 20% (n = 25) of the miscommunications are caused by other factors (13(10%) are due to misarticulation and hesitation, 7 (6%) mishearing and 5 (4%) bad English).

TABLE 4. Frequency distribution of factors that cause miscommunication (Overall)

Rank	Causes	Number	Percentage
1	Procedural Deviation	67	53
2	Problematic instructions/requests	27	34
<u>Other Causes</u>			
3	Misarticulation	13	10
4	Mishearing	7	6
5	Bad English	5	4
Total		126	100

FREQUENCY DISTRIBUTION OF CAUSES OF MISCOMMUNICATION FOR ALPHA, BRAVO AND CHARLIE

In this subsection, the causes of miscommunication in the three different frequencies are discussed individually and then compared across frequencies and with the overall figures.

Frequency Alpha is used by high altitude aircrafts for intentions such as aircraft on climb after departure, aircraft on descend for landing and overfly aircraft (aircraft that is temporarily passing the airspace). The distribution of communication errors for frequency Alpha is shown in Table 5. A total of 72 miscommunication errors are recorded and 54% (n = 39) of the errors are caused by procedural deviation, 25% (n = 18) problematic instruction/request and 21% (n = 15) other errors respectively.

TABLE 5. Frequency Distribution of Communication Errors for Frequency Alpha

Rank	Causes	Total	%
1.	Procedural Deviation	39	54
2.	Problematic instruction/ request	18	25
3.	Others	15	21
	Total	72	100

Frequency Bravo is used by aircrafts on the ground taxiing to the runway for take-off or landed aircrafts taxiing to the designated parking bay. The number of communication errors

for frequency Bravo is shown in Table 6. Out of a total number of 45 miscommunications (100%), procedural deviation ranked the highest at 49% (n = 22), followed by problematic instruction /request (31%, n = 14) and others (20%, n = 9).

TABLE 6. Frequency Distribution of Causes of Miscommunication for Frequency Bravo

Rank	Causes	Total	%
1.	Procedural Deviation	22	49
2.	Problematic instruction/ request	14	31
3.	Others	9	20
	Total	45	100

Frequency Charlie is used by aircrafts during the phase of landing and during take-off before changing to a different frequency. The number of communication errors in Charlie is shown in Table 7. 67% (n = 6) of the errors were caused by problematic instruction /request followed by procedural deviation (22%, n = 2) and others.

TABLE 7. Frequency Distribution of Causes of Miscommunication for Frequency Charlie

Rank	Causes	Total	%
2	Procedural Deviation	2	22
1	Problematic instruction/ request	6	67
3	Others	1	11
	Total	9	100

When compared across the frequencies, the frequency distributions of Alpha and Bravo are quite similar. The ranking of the causes are the same. Most miscommunications are attributed to procedural deviation followed by problematic instruction or request. The other factors are ranked at the bottom. This ranking is the same as the overall ranking. The percentage of miscommunications due to procedural deviation is slightly more for Alpha at 54% than for Bravo at 49%. There is a higher percentage of miscommunication due to problematic instruction or request for Bravo (31%) compared to Alpha (25%) whereas for both frequencies, other factors account for almost similar percentage of miscommunications, 21% (Alpha) and 20% (Bravo).

The ranking of the frequency distribution for Charlie, however, differs from Alpha and Bravo. For Charlie, the most instances of miscommunication relate to problematic instruction or request at 67%, the highest percentage across the frequencies. Unlike Alpha and Bravo, procedural deviation is at a relative low 22% while there is only one instance of misunderstanding due to other causes.

In term of the number of miscommunications, Alpha has the most instances of miscommunications at 72. This is not surprising given that Alpha has the largest traffic density. Besides, the aircrafts using this frequency are flying higher and faster compared to Bravo and Charlie. Further analysis revealed that dropped call signs and incomplete readbacks (examples of procedural deviation) are rarely addressed at this frequency as the communication is fast pace and congested especially during bad weather and at peak hours. Bravo is second at 45 and Charlie the lowest at 9. Most of the miscommunications at Bravo are due to lengthy instructions and similar call sign operating at the same time. Dropped call sign and incomplete readback often occurred not because of communication congestion but due to the length of the instructions. On the other hand, Charlie has the lowest number of miscommunications as the duration of the aircraft under this frequency is usually very short. Charlie is where the departure and landing take place. Dropped call sign and incomplete readback hardly occurred here as it was a crucial task for the pilot and controller at this phase so they are usually more mindful.

EXAMPLES OF CAUSES OF MISCOMMUNICATION

This section will discuss each cause of miscommunications qualitatively by drawing from a few examples from the pilot-controller interactions.

PROCEDURAL DEVIATION

As the largest number of miscommunications are caused by procedural deviation, this suggests that pilots and controllers have difficulties keeping to standard phraseology and protocol when engaging in routine interaction. There is a tendency to revert to plain English.

Table 8 below presents a few examples of non-standard phraseology from frequency Alpha and the standard phraseology that should have been used. For example, the controller from A(174) asks the pilot, “are you not trying?” when he should have said “malaysian 743 request your intention”. Similarly, in A149, the controller asks “can you go to the right?” instead of “malaysian 743 can you accept right turn?” It is possible both controllers are not comfortable using the standard phraseology, therefore they lapse into the non-standard question.

In A159, the controller gives clearance by saying, “approve (.) approve” when he only has to say “approve” once. He repeats the word twice, probably for emphasis, but the standard use is just to say ‘approve’ once for clearance. By saying it twice, the controller might momentarily throw off the pilot making him wonder as to the implied meaning behind the repetition.

The controller (A162) uses “I think we go for runway 32 left better(.)” when giving instruction instead of the standard manner. This could create confusion as it seems to suggest that the controller is just giving his opinion and that what he says is not definitive. Also in the message, the controller gives his option first before informing about the situation on the runway. By right, the situation should be relayed first before suggesting action.

The controller in A(166) uses poor English to relay information about the weather. What he says, “I think the weather move to the west” is non-standard English. Instead, he should say, “be advised the weather appears to be moving to the west.”

The examples that have been discussed are uttered by controllers. Example A(177) is uttered by a pilot. Here, the pilot makes a request in a colloquial manner by saying, “I like to make ...” when he should state emphatically “request”. He also repeats his request by saying casually, “another one orbit please”.

These errors illustrated are made possibly due to the lack of familiarity with the standard aviation phraseology which might sound awkward and pretentious to these aviation officials since it is more formal. They lapse into speaking the local variety of English as the controllers are all local and NNSs. It is therefore unsurprisingly that there should be regressions in the use of aviation English.

TABLE 8. Examples of Procedural Deviation by Pilot and Controller

		Non-standard phraseology	Standard Aviation phraseology
A(174)	Controller	are you not trying?	malaysian 743 request your intention
	Controller	approve(.) approve	approve
A(177)	Pilot	I like to make a orbit first before we can come in(.) another one orbit please	request an orbit at present position before coming for approach
A (149)	Controller	can you go to the right?	malaysian 743 can you accept right turn?
A(162)	Controller	malaysian 743(.) I think we go for runway 32 left better(.) 2 aircraft just landed and runway 33 one arrival is going around now	malaysian 743, be advised 2 aircrafts landed at runway 32 left and one going around at runway 33, request intention?
A(166)	Controller	I think the weather move to the west	be advised the weather appears to be moving to the west

PROBLEMATIC INSTRUCTION/ REQUEST

The second source of miscommunications is problematic instruction or request. In the current data, most problematic instruction or request is incomplete, meaning that aviation detail is missing. Three excerpts will be used to illustrate this.

In excerpt 1 below, an aircraft is requesting for a specific heading to avoid bad weather condition.

Excerpt 1

A(119)	pilot	fireflies 3556 request to maintain heading due weather
A(120)	Controller	fireflies 3556 say again?
A(121)	pilot	request to maintain heading 290 to avoid weather
A(122)	controller	heading approve
A(123)	pilot	fireflies 3556

In line A(119), the pilot requests to maintain his current heading. However, the absence of specific heading in the request prompted the controller to request the pilot to repeat his request (line A(120)). In line A(121), the pilot drops the aircraft call sign and included the “heading 290” in the request. The controller drops call sign in the instruction in line A(122). Here, the controller assumes that the instruction is sufficient. Obviously, both are of the opinion that it is unnecessary to repeat the call sign as the conversation is continuous, without any interruption from other aircrafts. In this case, the transmission could be concluded swiftly if complete information is provided in the request from the pilot at the beginning.

In excerpt 2, an aircraft is on descend for landing.

Excerpt 2

A(134)	controller	malaysian 367(.) continue descend 9,000 feet QNH 1007(.) expedite through flight level 130
A(135)	pilot	9,000 feet(.) expedite malaysian 367
A(136)	controller	affirm(.) expedite through <u>fl130</u> (.) thanks
A(137)	pilot	expedite flight level 130(.) wildo malaysian 367

In line A (134), the controller provides a descent clearance to “9000 feet” and a requirement for the aircraft to expedite on passing “flight level 130”. However, the pilot delivers only partial readback in A(135) which raised doubt as to whether the requirement to expedite passing on flight level 130 has been understood by the pilot. Hence, in line A(136), the controller emphasizes the requirements followed by “thanks”. In final response, the pilot’s readback in A137 is correct. This illustrates incomplete readback creating a glitch in the exchange.

Excerpt 3 is a communication between a pilot and controller during strong gusting wind that requires the aircraft to hold at approach position.

Excerpt 3

A(173)	Pilot	malaysian 711(.)request orbit, right hand orbit present position
A(174)	Controller	are you not trying
A(175)	Pilot	say again?
A(176)	Controller	are you coming for: (.) do you want to continue orbit or you want to make a try for 32 left?
A(177)	Pilot	gusting wind is 32 knots(.) I like to make a orbit first before we can come in(.) another one orbit please
A(178)	Controller	ok(.) ok(.) continue

In line A(173), the pilot requests to hold while waiting for the weather to improve. He does not want to make an attempt for landing. However, the controller responds to his request, by enquiring if he wants to make an attempt for a landing by saying in line A (174),

“are you not trying”. This question clearly baffles the pilot who asks for a repeat of the controller’s transmission. This could be considered as a misunderstanding of the pilot’s request. However, in line A(176), the controller realises that the pilot might want to orbit first rather than to attempt an approach and therefore asks him what he wants to do. In his answer in line A(177), the pilot feels compelled to explain why the aircraft will continue holding (gusting wind) rather than to attempt a landing. The controller closes with a non-standard phraseology and incomplete instruction.

Problem arising from instructions and requests here appear to be attributed mostly to incomplete detail or vague instruction/request than to other reasons. Aside from this it was observed that some controllers have poor intonation and speech pattern when delivering instructions. This often leads to ambiguity, and pilots become uncertain of the intended speech act. Furthermore, it was found that there are more problematic instructions and requests under non-routine condition (such as unpredictable traffic condition due to bad weather or equipment failure) which concurs with findings by Howard (2008) and Corradini and Cacciari (2002).

OTHER CAUSES

Besides the two main causes of miscommunication, there are other causes such as mishearing, mispronunciation, prosody and misarticulation (mumbling/unclear) which distort the instructions or request made. A distinction need to be made between the causes here and problematic instruction/request. Instruction or request are categorised as problematic if there is sometime inherently wrong with the instruction or request. External factors that distort the instruction or request are all categorised under Others, and subclassified accordingly.

Excerpt 4 illustrates a miscommunication due to misunderstanding of the instruction. The conversation is regarding the direction of the aircraft.

Excerpt 4

A(103)	Controller	singapore 319(.)request your heading to bobag?
A(104)	Pilot	present position direct bobag confirm?
A(105)	Controller	singapore 319(.)requestyour heading to bobag?
A(106)	Pilot	<u>singapore 319?</u>
A(107)	Controller	your heading to bobag sir
A(108)	Pilot	I don’t understand your question(.)singapore 319
A(109)	Controller	singapore 319(.)request heading to bobag?
A(110)	Pilot	Heading 200(.)singapore 319
A(111)	Controller	singapore 319(.)roger(.)direct to bobag

In line A (103) the controller requests for the aircraft’s heading for “bobag”. The pilot misunderstands the controller’s request and assumes that the aircraft has been instructed to track for “bobag” and request a confirmation from the controller (A104). Subsequently, in line A(105), the controller repeats the request but he stresses on the phrase, “your heading to bobag?”. Finally, the pilot understands the request. In line A (106), the pilot uses a rising intonation to indicate that he wants to confirm the call sign and the controller again in line A (107) repeats the same request as in line A (103) and A(105). However, the pilot still fails to understand the request as indicated in A(108). The controller continues to ask for the same information but he now drops “your” and just says, “request heading to bobag?”. The pilot finally understands and provides the heading number and replies accordingly. Line A(111) the controller clears the aircraft direct to “bobag”. The pilot is unable to understand the controller request due to the mismatch in the schema in the instruction. The pilot is unfamiliar with the term “your heading” as the standard phraseology is “request heading”.

Besides illustrating how deviation from standard phraseology can create incomprehension, it can also be considered as an example of misunderstanding of instruction

on the part of the pilot who cannot comprehend non-standard aviation usage no matter how minor.

In the next example, excerpt 5, the controller and the pilot both have misunderstood the taxi clearance and taxi instruction.

Excerpt 5

B(135)	Pilot	Ground(.)malindo 223
B(136)	Controller	malindo 223(.)go ahead
B(137)	Pilot	Go ahead with the taxi clearance again
B(138)	Controller	<u>Confirm</u> you are not ready for taxi?
B(139)	Controller	malindo 223(.) <u>are you ready</u> for taxi out?
B(140)	Pilot	That is affirmative(.)malindo 223
B(141)	Controller	malindo 223(.)taxi holding point runway 33 and taxi clearance has been issued earlier, continue
B(142)	Pilot	Via ((Zulu)) (.)standard route malindo 223
B(143)	Controller	malindo 223(.)contact tower 118.9: 119.8

In B(137), the pilot requests for a taxi clearance and uses the word “again” which indicates the pilot is aware that clearance has been given previously and perhaps the pilot has failed to copy the clearance and asks for taxi clearance again (B137). In B(138), the controller requests for a confirmation whether the pilot is not ready for taxi, misunderstanding his request. Instead, he asks again in B (139) if the pilot is ready for taxi. The pilot confirms in B(140). In line B(141) the controller gives taxi instruction and remarks that the taxi clearance has been given earlier. In line B(142), the unintelligible “zulu” is followed by readback standard route for taxi clearance after which the controller transfers the communication to a different frequency (B143).

In sum, the pilot’s requests for a taxi clearance again after it has been already been given. He obviously has failed to catch it the first time. Clearance is crucial in pilot-controller communication and the controller is obliged to give the requested information. The miscommunication here could be seen as the controller’s inability to understand that the pilot is actually requesting for a repeat of the clearance. This miscommunication could be said to stem from misinterpretation of the request from the pilot in line B(137).

Other factors that occurred occasionally are mispronunciation of words, unique intonation pattern, addition of pronoun in instruction and poor radio discipline. Often unfamiliar words are mispronounced or pronounced based on Malay phonetics and could be problematic to the receiver of the message. Unfamiliar intonation pattern could create momentary non-understanding. Also, there is a tendency to use pronouns when instructing aircraft such as “your”, “I”, “we”, “you” which only exist rarely in standard phraseology. This additional word in instruction or request, causes confusion and makes the receiver thinks that he might have misheard the message. Nevertheless, such hitches are easily negotiated and rarely repaired as both could understand the intention of the other due to their shared context.

CONCLUSION & RECOMMENDATIONS

The study pinpoints problematic areas in the pilot controller interaction. It shows that procedural deviation happened frequently in routine pilot controller communication leading to vague and even incomprehensible messages. Instead of sticking to aviation phraseology, pilots and controllers sometimes lapse into plain English in the course of the transmission. This is consistent with previous studies (Morrow et al. 1993, Prinzo & Morrow 2002). However, this study provides examples of deviations like adding a pronoun to phrases. This tendency could throw off the receiver leading to unnecessary lengthening of the interaction.

Another factor leading to miscommunication is unclear instructions (usually from the controller) or unclear requests (usually from the pilot). One of the reasons for this is again the insistence on using plain English instead of the standard phraseology even in cases where there is no necessity to do so. In situation, when longer or more complex structures are involved, pilots and controllers also tend to use non-standard forms that are often influenced by the local variety of English, as well as their first language. It is also found there were more problematic instructions during heavy traffic and bad weather conditions where tension is high in the control tower. This finding supports Corradini and Cacciari (2002), and Estival and Molesworth (2011)'s results. Under these conditions, there is more likelihood to backslide to plain English.

An analysis of the causes of misunderstanding revealed that although most controllers and pilots are competent at relaying information and taking instruction, their language proficiency could be further enhanced so that they could better cope with the linguistic demands of their job particularly during extenuating circumstances as this is when more lapses occurred. Interestingly, Tajima (2004), Bochen and Jones (2004) and Jones (2003) also found that NNS pilots and controllers who are less proficient often cause misunderstandings due to their limited lexicon and comprehension under these situations. Standard aviation phraseology should be used at all times during transmission, and this has to be repeatedly impressed upon. Prinzo et al (2010) make a similar exertion. They too believe that NNS pilot and controllers should limit their use of plain English to minimize miscommunications. Those who regularly revert to plain English should be sent for refresher course.

Similarly, good radio practice should also be mandatory. Practices like providing full readback from pilot is often overlooked by the controller and regarded as unwieldy and unnecessary. The purpose of readback is to detect any miscommunications and failure to provide full readback displays poor radio discipline and could lead to safety issues.

With proper attention to these aspects in the training of air controllers and pilots, it is hoped that interaction would be more concise, precise and efficient.

FURTHER RESEARCH

The current study has provided some insights into aviation discourse in a NNS context. The researchers believe the data could be further explored. For further studies, it is recommended that an indepth look at each of the two main sources of misunderstandings uncovered in this study be carried out. The categories are too broad and could be further sub-categorised but it is not within the scope of the study to delve into this. Also, a study of procedural deviation in aviation discourse with the aim of coming up with a typology of deviations in aviation English could be useful for local trainers and material developers. Researchers could investigate the communication strategies (see methodology used by Manzano, 2018), and attitudes and motivation to study aviation English of controllers who regress into plain English (see Quinto (2015)'s study on attitude and motivation to study a working language).

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