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1	Determination of the Relationship between the Energy Content of Municipal
2	Wastewater and its Chemical Oxygen Demand
3	
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10	
11	Abstract
12	Quantitatively evaluating progress towards energy neutral, or even energy positive,
13	wastewater treatment necessitates reliable data on the intrinsic energy content of the wastewater.
14	It has long been assumed that the amount of energy in wastewater is directly related to its chemical
15	oxygen demand (COD), but the convoluted method for measuring the wastewater energy content
16	has meant that a reliable, statistically robust relationship between COD and energy has never been
17	drawn. In this research we use a new drying method and analysed a set of 107 municipal
18	wastewater samples, with a range of COD values from 16.4 to 1151 mg/L. The results revealed a
19	strong correlation between COD and energy content of 16.1 kJ/g COD ($p < 0.001$). Reliable
20	predictions of a wastewater's energy content can now be made on the basis of the COD
21	measurement alone.
22	

23 Keywords:

24 Energy, wastewater, COD, ammonia

25 **1. Introduction**

It has been estimated that municipal wastewater treatment accounts for approximately 3 - 5%of total global energy usage ^{1,2}. Demand for wastewater treatment has increased dramatically in recent years in major economies such as China ³, and in many parts of the world standards for treated effluent discharge are becoming more stringent ⁴. Thus, whilst there is a pressing need to reduce energy consumption globally to combat the effects of climate change, the municipal wastewater treatment sector faces substantial challenges in contributing to this effort.

32

Common municipal wastewater treatment technologies such as the activated sludge process have substantial energy requirements, especially due to aeration, which accounts for approximately 50% of the total process energy demand ⁵. Despite this high energy consumption current estimates suggest that there is four to five times more energy in wastewater than is used to operate wastewater treatment plants ^{4,5}. This implies that if energy consumption is reduced and energy recovery maximised it should be feasible for wastewater treatment to be at least energy neutral ⁴ or even energy positive ⁶.

40

41 Quantitatively evaluating progress towards energy neutral, or energy positive, wastewater 42 treatment necessitates reliable data for both energy consumption and the intrinsic energy content 43 of the wastewater. The energy content of wastewater comes primarily from compounds that 44 contribute to Chemical Oxygen Demand (COD) such as lipids and carbohydrates; the contributions 45 of nitrogen compounds (0.3 kWh/m³) are a relatively minor component (~ 15%) of the total 46 theoretical energy content of 1.96 kWh/m^{3 5}.

Chemical Oxygen Demand (COD) is therefore taken to be indicative of the energy content of wastewater since it broadly quantifies the amount of energy-containing organic matter within it. The advantage of using COD as a measure of energy content is that it is among the most commonly determined properties of municipal wastewater, its analysis is a straightforward procedure. But the relationship between COD and energy content is very poorly defined.

53

54 The reason for the poor comprehension of the relationship between COD and energy 55 content is that accurate measurement of the energy content of wastewater has been hampered by 56 the methods available for its determination. In particular, the difficulties arise because of the need 57 to dry relatively large volumes of aqueous sample prior to determination of the energy content, by bomb calorimetry, on the dried residue ^{7,8}. Shizas and Bagley ⁷ accomplished this via oven drying 58 59 at 103°C but, whilst a relatively quick drying procedure, oven drying will have driven off the 60 volatile organic compounds that are a key contributor to the overall energy content of the wastewater. Heidrich et al. 8 measured COD losses during oven-drying of 44 – 49%, and therefore 61 62 developed a freeze-drying method to avoid such losses. This approach reduced COD loss to 18 -63 25% but the main problem with this method was that the drying procedure took four to eight weeks 64 for a single sample.

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Korth et al.⁹ gathered samples from two wastewater treatment sites over the course of one year, each sample taken at the same time in the morning. The authors acknowledge the advantage of capturing more of the energy containing compounds using the state of the art method of freeze drying, but due to the time requirement of this method it was only used for three of the samples taken. These had an average energy of 13.0 kJ/gCOD, capturing substantially more energy than the 14 oven dried samples of 5.9 kJ/gCOD. Though this study adds three more data values for the
amount of energy in wastewater, the relationship with COD remains elusive.

73

74 If the energy content of wastewater is to be routinely used in understanding performance 75 efficiencies of energy yielding treatment processes such as the use of anaerobic digestion or the 76 use of bioelectrochemical systems, there needs to be either: a substantially easier method of 77 making this measurement; or a robust and significant link between the energy and another easy to 78 measure parameter, most likely the COD. The former option is unlikely as total energy content 79 must be measured by bomb calorimetry, which in itself uses a specialised piece of equipment, and 80 further to this the sample of wastewater must be dried prior to this analysis, and the drying process 81 can greatly affect the energy content. The objectives of this investigation were to (i) develop a 82 more efficient, but accurate, method for the determination of the energy content of wastewaters 83 and (ii) reliably determine the relationship between COD and energy content of municipal 84 wastewater.

85

86 2. Materials and Methods

87

88 2.1. Sample collection and study sites

Samples were collected between March and October 2016 from four municipal wastewater treatment plants with varying population equivalents. In total 62 composite samples and 48 spot samples were taken. (Details in Supporting Information S1). At the request of the wastewater treatment companies the plants from which samples were collected have been anonymised. All four of the UK wastewater treatment plants (Wastewaters A, B, C and D) comprise mechanical 94 settlement as the primary treatment process followed by secondary treatment using the activated 95 sludge process. There was a 25-fold difference in population equivalents served between the 96 smallest and the largest plant, and the plants are distributed over a wide geographical area of 97 Northern England and Scotland. During the site selection process plants involving high levels of 98 industrial effluent were avoided, as previous research has shown these are more likely to contain 99 high energy containing compounds which will distort the results ⁸. The WWTPs chosen mainly 100 treat domestic wastewater with less than 10% of industrial trade effluent.

101 2.2. Drying method

102 To avoid problems with substantial energy losses due to oven drying, or very long drying 103 times by freeze-drying⁸, in this new method samples were dried using a Genevac Rocket 4D 104 Synergy centrifugal evaporator (SP Scientific, Warminster, Pennsylvania, USA). Glass drying flasks for the centrifugal evaporator were first dried at 104°C for 1 hour, cooled to room 105 106 temperature in a desiccator, and weighed. The apparatus allowed for six glass drying bottles with 107 400ml capacity to be dried simultaneously. On each run 2 independent samples of wastewater were 108 prepared, for each wastewater, two flasks were used to yeild enough mass to use for the bomb 109 calorimeter, and one flask used for the determination of the COD losses during drying. The optimal 110 drying conditions were determined experimentally to 18 mbar pressure, 30°C and 1800 rpm for 18 111 hours and 40 minutes, these were used throughout. Once drying was complete the flasks were 112 further dried in a desiccator for at least two days until the flask and its contents reached constant 113 weight. The weight of the flask was subtracted from the weight of the flask plus dried contents to 114 yield total solids concentration of the sample by centrifugal evaporation (TS_{ce}).

115

116 2.3. Chemical and energy analysis

117 Chemical analysis of the raw wastewater samples was carried out within 48 hours of 118 sample collection. The energy content of the dried sample was conducted using standard 119 calorimetric methods with a Parr 6100 Compensated Jacket Bomb Calorimeter (Parr 120 Instrument Company, Moline, Illinois, USA). (Details in Supporting Information S1). The 121 drying method above yielded approximately 0.5g of sample per wastewater which was then 122 mixed with a measured quantity of paraffin wax which acts as a combustion aid to allow 123 complete combustion of the sample. Prior to the analysis wastewater samples, rigorous method 124 development of the drying and combustion methods was completed which showed the low 125 variability among replicas with this method (Supporting Information SI 1.4 and Table S2). A 126 strategic decision was made to complete the analysis of 107 independent repeat samples, rather 127 than a lower number of independent repeats but with replication (i.e. 36 triplicate samples). 128 Replicates are not an independent test of a hypothesis and do not therefore provide reproducibility of the main result, they cannot be used to generate P values ¹⁰. Previous research 129 ¹¹ used 38 triplicate samples and was not able to show a statistically significant correlation of 130 131 energy with wastewater parameters. The inherent heterogeneity of wastewater means that large 132 sample sizes are needed to draw statistically robust conclusions.

133

134 2.4. COD and energy losses during drying

To measure COD loss using centrifugal evaporation 40 mL of each wastewater sample was dried simultaneously alongside the samples for the energy analysis, it was subjected to the same drying times and forces. A lower volume (40ml rather than 400ml) was used as the dried sample was very difficult to rehydrate into a homogenous solution. After drying, 40 mL of deionised water was introduced to the drying flask which was then placed in a sonication bath for 10 minutes to 140 aid complete rehydration of the dried sample. COD was then measured on the sample using the 141 same standard procedure as for the original wastewater sample. Thus, percentage COD loss could 142 be calculated. Original COD (COD_{original}) and rehydrated COD (COD_{rehyd.}) was measured on 36 143 individual wastewater samples, with triplicate analysis of COD on both original wastewater 144 samples and rehydrated samples in all cases.

145

146 2.5. Data analysis

147 Summary statistics were computed in Excel 2013. Minitab 17 was used to calculate 148 Spearman's rank correlation coefficient (r_s) and significance levels (p) for these non-normally 149 distributed data.

150

151 **3. Results and Discussion**

152

153 There is a strong positive linear relationship between COD and energy content of municipal 154 wastewater (Figure 1). The correlation between COD and energy content is strongest for the 155 composite samples ($r_s = 0.967$, p < 0.001, n = 62; Figure 1A), and the regression line indicates an 156 energy value of 16.1 kJ/g COD. Calculating the regression equation for the spot samples gives 157 an energy content value of 15.6 kJ/g COD ($r_s = 0.855$, p < 0.001, n = 48; Figure 1B). Taking all 158 the data collected yields an energy value of 15.8 kJ/g COD ($r_s = 0.916$; p < 0.001, n = 107; Figure 159 1C). Wastewater is highly variable with changes in composition, strength and therefore energy 160 over any 24 hour period, creating greater scatter in the data points particularly at low COD values 161 (See Figure S1 in SI). Composite samples are collected and averaged over 24 hours, the value of 162 16.1 kJ/g COD using these samples is therefore more statistically robust.

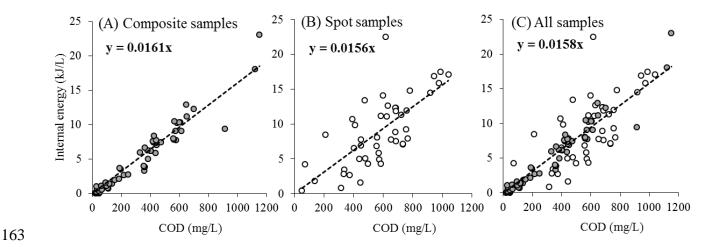


Figure 1. The relationship between COD and energy content of municipal wastewater for (A) composite samples, (B) spot samples and (C) all samples. The line of best fit is put through the origin in all graphs on the basis that COD is used as an indicator of wastewater composition; zero COD therefore indicates zero energy, further graphs with an intercept are shown in S2 in SI.

170 The relationship between COD and energy content has been determined from analyses of 171 municipal wastewaters of varying strength with respect to COD, but the four municipal 172 wastewaters sampled are typical of COD concentrations of municipal wastewaters internationally 173 430 mg/L to 800 mg/L for medium and high strength wastewaters respectively ¹².Summary 174 statistics for the quality of the four wastewaters are shown in Table S2 (Supporting Information). 175 Mean COD concentrations (\pm standard deviation) ranged from 378.9 \pm 174.3 mg/L in Wastewater 176 D to 684.8 ± 198.0 mg/L in Wastewater B, with an overall mean (all raw wastewaters) of $552.3 \pm$ 177 239.7 mg/L (n = 72). Primary treated effluent wastewater had mean COD concentrations ranging 178 from 150.0 ± 55.9 mg/L to 450.0 ± 96.2 mg/L (overall mean of all wastewaters of 326.1 ± 147.0

179 mg/L (n = 20)), and mean secondary treated COD concentrations ranged from 24.9 ± 6.7 mg/L to 180 93.8 ± 27.4 mg/L (overall mean of all wastewaters of 45.8 ± 30.2 mg/L (*n* = 24)).

The large sampling effort of 107 wastewater samples was facilitated by the improved drying method of centrifugal evaporation. This method of evaporation is used in chemical and biochemical laboratories as a gentle yet efficient means of removing liquids or solvents form a small sized samples. Larger capacity units, such as the one used in this study, are now also used in the high end catering industry to produce highly reduced sauces. Results showed that the centrifugal evaporation drying of samples has a greater COD recovery than both freeze-drying and oven-drying (see Table 1). Using the centrifugal evaporation drying process there was no particular difference in COD loss during drying of raw wastewater, primary treated and final effluent, despite the large differences in initial COD: 87.4%, 82.6% and 82.9% respectively (see Table 1). Losses of COD during drying have previously been ascribed to loss of volatile organic compounds such as acetate⁸, and that may be the case with losses during centrifugal evaporation drying also. Nevertheless, centrifugal evaporation incurs lower losses, and is also a quicker drying process than freeze-drying taking approximately 3 days to dry up to 2.4 L of wastewater sample as compared to 28 days to freeze dry 1.5 L⁸.

Table 1. COD Concentrations of Original Wastewater Samples and Rehydrated Samples, and Percentage COD Recovery, Using Different Drying Methods

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205

drying method	number of separate wastewater aliquots	COD _{original}	COD _{rehyd}	mean COD recovery (%)	
centrifugal evaporation	36 ^A	309.9 ± 307.2	267.1 ± 279.7	84.8%	
freeze-drying ¹³	2 ^B	647.3 ± 25.5	506.2 ± 24.4	77.8%	
oven-drying ¹³	2 ^B	647.3 ± 25.5	346.1 ± 15.2	53.7%	
^A A randomised selection of raw wastewater ($n = 16$), primary treated effluent ($n = 12$) and					

^AA randomised selection of raw wastewater (n = 16), primary treated effluent (n = 12) and final effluent (n = 8); mean and standard deviation calculated from measured values of all 42 samples. ^BData from Ref⁸; mean and standard deviations are calculated from triplicate analyses of two single

- 212 samples of wastewater
- 213

214 Previous thermodynamic calculations have shown that the energy content of a wide variety 215 of organic compounds range from approximately $13 - 17 \text{ kJ/g COD}^{8}$. Table 2 shows calculated 216 values for the energy content of a selection of organic compounds commonly found in municipal 217 wastewaters ¹⁴. The energy per gram of COD in these compounds is typically a little lower than 218 that of the measured values of wastewaters (Figure 1).

219

This may be because there are constituents of wastewater which may contribute to the energy content but not the COD, most notably urea (enthalpy of combustion of -632 kJ/mol ¹⁵. Scherson and Criddle ⁵ theoretically estimate the contribution of different compounds to the total energy content of wastewater. They approximate nitrogen based compounds to account for 15% of the total energy, COD based compounds accounting for the remaining 85%. The total energy measured by bomb calorimetry is representative of the energy in the COD and the energy in the nitrogen based compounds (less the amount which has been volatilised during the drying process). If the COD is only 85% of this, then the true value of energy per gram of COD is 13.7 kJ/gCOD. This falls well within the range of known organic compounds shown in table 2. When TKN as a measure of nitrogen based compounds was added into the regression, there was an inconsequential improvement in the correlation producing an r^2 value of 93.2%, compared to r^2 of 92.9% with COD alone (details in SI ***). COD acts as a good proxy for both these measurements.

232

233

compounds ^a	type	formula	$\Delta \mathbf{H}$	
compounds	type	Tormula	kJ/gCOD ^b	
glutamic acid	proteins	$C_5H_9NO_4$	13.4	
aspartic acid		$C_4H_7NO_4$	13.3	
glucose	sugars	$C_6H_{12}O_6$	14.6	
xylose		$C_5H_{10}O_5$	14.7	
acetic acid	volatile fatty acids	CH ₃ COOH	13.6	
butyric acid		CH ₃ CH ₂ CH ₂ COOH	13.6	
fulvics	humic substances	C ₃₃ H ₃₂ O ₁₉	15.7	
humics		$C_{34}H_{34}O_{16}N_2$	11.6-14.5	
cellulose	others	$(C_6H_{12}O_6)_n$	14.6	
lignin		$(C_9H_{10}O_2)_{n,}(C_{10}H_{12}O_3)_n$ or	14.1	
ngiini		$(C_{11}H_{14}O_4)_n$		

Table 2 Common Organic Compounds and their Calculated Energy Values

aA selection of different compound types selected, but all have been shown to be present at high
 concentration in municipal wastewater by Huang et al. ¹⁴.

236 $^{b}\Delta H (kJ/gCOD)$ is calculated from deoxygenation enthalpy values presented by Sato ¹⁶, except for Fulvics 237 which is based on data in Reddy *et al.* ¹⁷. Values for energy content of additional organic compounds can 238 be found in Heidrich *et al.* ⁸.

239

240 Multiple regression analysis was used to determine the relationship between energy content and all the wastewater parameters tested: COD, pCOD, TOC, DOC, VS, N-NH₄⁺ and P-PO₄³⁻ (see 241 242 Supporting Information S4 and S5). The parameters related directly to COD show a good correlation with energy, N-NH₄⁺ and P-PO₄³ are found to have no statistical correlation with 243 244 energy. The use of multiple variables within the regression analysis does not improve the model 245 beyond the use of COD alone. Within municipal wastewater COD can be regarded as a good proxy 246 for the concentration of all constituents. The value of 16.1 kJ/gCOD is therefore an empirical 247 mathematical factor of how much energy there is in wastewater per g of COD material it contains, 248 rather than the true relationship of how many kJ of energy is actually in each gram of COD.

249

The comprehensive and rigorous analysis of the amount of energy within wastewater has yielded the statistically significant relationship between COD and energy content of 16.1 kJ/gCOD. Using this, a reliable estimate of any wastewater's energy content can now be made from the simple measurement of COD. This strategically important value will assist in the development of energy mass balances for wastewater treatment plants, which will in turn support efforts to transform such systems into energy neutral, or even energy positive, operations.

256

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- 266
- 267

268 Supporting Information

- 269 Supporting information is provided on the methods, chemical characteristics of the four
- 270 wastewaters, variability in the data set and regression analysis of different wastewater properties
- with energy.
- 272

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