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#### Modelling wave attenuation by quasi-flexible coastal vegetation

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### 11 Abstract

Coastal vegetation such as seagrasses, salt marshes, and mangroves, contributes to coastal defence 12 13 by damping incoming waves. Yet, plant species differ in flexibility due to which they interact 14 differently with incoming waves and damp waves to a variable degree. Current wave damping 15 models struggle to balance accuracy against computational costs when accounting for wave-16 vegetation interaction. Instead, they often rely on a plant-specific calibration of the drag coefficient, 17 which limits their application across plant species. Here we show, using novel simultaneous 18 experimental data of wave damping, water velocities and stem motion, that wave damping by quasi-19 flexible cylindrical vegetation is controlled by the relative velocity between water and vegetation at 20 the upright bottom section of a stem. For the quasi-flexible vegetation conditions considered in this 21 manuscript (L > 1.4 and Ca < 700), our experimental evidence justifies the application of a model 22 based on the Euler-Bernoulli beam theory to estimate plant motion when the stem length is smaller 23 than the wave excursion. Building on the solution of plant motion, we simulate wave damping over 24 flexible vegetation fields through a new work factor. Our model successfully predicts damping of 25 regular waves by rigid and flexible artificial vegetation, and real S. Anglica, P. Maritima and E. 26 Athericus plants in the right order of magnitude under medium and high energy wave conditions. 27 The simulated wave damping is directly linked to vegetation and wave conditions and does not 28 require plant-specific calibration of the drag coefficient. It is anticipated that the model will be of 29 wide practical use in simulating wave damping by quasi-flexible cylindrical coastal vegetation across 30 large areas with diverse plant species and wave conditions.

31

Keywords: Flexible vegetation, Nature-based coastal defences, Vegetation modelling, Wave
 damping, Salt marshes.

- 3435 Declarations of interest: none.
- 36

## 37 1. Introduction

38
39 Coastal vegetation is found around the globe in the form of seagrass fields, kelp forests, salt marshes
40 and mangrove forests [36]. The vegetation between and within these habitats differs significantly,

and mangrove forests [36]. The vegetation between and within these habitats differs significantly,
ranging from flexible grasses to rigid shrubs and trees. When vegetation is present on or seaward of
the coastline, it interacts with incoming waves [23].

43

Vegetation contributes to coastal protection by damping incoming waves [16,24,34]. When waves travel over vegetation, energy is dissipated due to the work done by wave forces on plants [10]. This can significantly reduce wave impact on beaches and hard structures, lowering their construction and maintenance costs [46,48]. Additionally, vegetation reduces storm surge propagation and stabilises shorelines during storms, and contributes to sediment capture, carbon storage and recreational opportunities outside storm events [5,12,43,45,49].

51 Stem motion of flexible vegetation can impact wave damping significantly as has been demonstrated 52 in experimental [27,38,40] and numerical studies [29,35]. Vegetation species are broadly classified 53 as rigid or flexible. Rigid vegetation, like woody shrubs, does not move over a wave cycle, whereas 54 flexible vegetation, like thin grass, sways as its rigidity is insufficient to resist stem bending. The 55 excursion of flexible species increases when its flexural rigidity decreases or wave forces increase 56 [27]. As stem bending increases, the plant frontal area and the relative velocity between water and 57 stem decrease [33,38]. Both limit the wave forces on the plant and may reduce wave damping by up 58 to 50-70% [27,35,40,47]. However, as the interaction between plant motion and wave forces is 59 reciprocal, quantifying wave damping over flexible species poses a challenge.

60

61 Numerical models can be a valuable tool to quantify wave damping for variable vegetation properties. For rigid vegetation, Dalrymple et al. [10] simplified vegetation fields to arrays of rigid 62 63 cylinders on a flat bottom and assumed validity of linear wave theory to model damping of 64 monochromatic waves. Under these assumptions, they demonstrated that wave damping is 65 dominated by drag, and wave heights reduce proportionally to the distance travelled over 66 vegetation. Using the same modelling framework, Mendez & Losada [32] proposed to calibrate the 67 drag coefficient to include the effect of stem motion. Their model was successfully applied in field 68 [6,13,16] and flume studies [2,3,18,24,34,47], but the calibrated drag coefficients vary widely 69 between plant species and test conditions [36,48], and when vegetation conditions change [42]. 70 Thus, site-specific calibration for each coastal habitat is required.

71

72 Alternatively, an effective stem length can be employed to include the effect of stem bending in a 73 rigid vegetation model. The effective stem length is the height of a rigid stem that generates equal 74 drag as that of the (longer) flexible stem [28]. Paul et al. [38] proposed using observed frontal area 75 as the effective vegetation length, based on experiments with lexaan strips. Instead, Luhar et al. [27] 76 fitted an analytical model for the effective length of flexible seagrass based on a scaling analysis of 77 the equations of stem motion but suggested that different fits for different species are required. 78 Their model was expanded to a predictive model for wave damping by Lei and Nepf [22], who 79 further discriminated between rigid and flexible stem sections and introduced a new fit for the 80 effective stem length.

81

82 Other models have included vegetation motion explicitly by modelling stems as flexible rods. 83 Mendez et al. [33] solved the excursion of the tip using stem-averaged velocities and a linearised 84 drag force in an idealised model. Vertical variations in the velocity profile were included by 85 Mullarney & Henderson [35] and the buoyancy force was included in Henderson [14]. However, 86 these models are limited to stems with small deflections. Alternatively, complex numerical models 87 included friction, inertia and buoyancy forces to solve stem motion under strong plant bending for 88 individual stems [21,29] and vegetation fields [9,30]. However, the computational cost for these 89 models is high, which makes them unsuitable for large areas.

90

91 The various modelling approaches show a trade-off between complexity, accuracy, computational 92 cost, and applicability, but the optimal balance for practical cases remains unclear. Simple models 93 can be easily applied, but require site and plant-specific calibration. Alternatively, complex models 94 add processes which can reduce the variation in calibration, but at a computational cost and 95 potentially increasing model errors. Therefore, the accuracy gains by including additional 96 mechanisms must be carefully weighed against the extra computational costs. Furthermore, no 97 complex model has been successfully validated across multiple species of real vegetation that differ 98 in flexibility.

99

100 In the present study, we aim to provide a novel versatile mathematical modelling framework for 101 wave damping over coastal vegetation under quasi-flexible vegetation conditions. Quasi-flexible

- vegetation conditions are defined as flexible vegetation that does not fold over or fully extent during a wave cycle. A balance between complexity and applicability is obtained by including only the key mechanisms involved in the wave-vegetation interaction. These mechanisms are identified by, for the first time, combining experimental data of wave damping, wave velocity fields, and plant motion. Based on the key physics, we develop a new modelling framework with applicability across cylindrical vegetation species and hydrodynamic conditions without the need for plant-specific calibration.
- 109

This manuscript is structured as follows: Section 2 discusses the wave-vegetation interaction. Section 3 presents and discusses the novel experimental data with the aim of justifying model assumptions. The modelling framework is described in Section 4 and validated in Section 5. Finally, conclusions are provided in Section 6.

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116

#### 115 2. Theoretical background

#### 117 2.1 Coordinate system

118 119 Let us define a coordinate system at canopy-scale (Fig. 1a), where waves travel over a vegetation 120 field on top of a flat bed. The direction of wave propagation is normal to the canopy and parallel to 121 the *x*-axis with x = 0 at the upstream edge of the vegetation. The *z*-axis describes vertical position 122 with respect to the water column such that z = 0 depicts the still water surface and z = -h the bed 123 level. The waves are modelled by their height H(x), period *T* and velocity field U(x, z, t) = u + iw, 124 where the real and complex parts denote the horizontal and vertical directions respectively.

125

126 A single stem in the canopy is modelled as a cylinder with height  $h_{\nu}$ , diameter  $b_{\nu}$ , and flexural 127 rigidity  $EI_{\nu}$ . Cylinders are an accepted geometry for coastal vegetation types such as salt marsh grasses [16,41], mangrove branches [44] and coral [25]. Stem density  $n_{\nu}$  defines the number of 128 129 stems per unit ground area. We introduce a plant-scale coordinate system to define stem motion 130 (Fig. 1b). Along-stem coordinate s is defined such that s = 0 is the root and  $s = h_v$  is the tip of the 131 stem. Stem posture  $X(s,t) = x_v + iz_v$  follows the complex coordinate system. The stem moves at 132 velocity  $U_{veg} = \partial X / \partial t$  and its bending with respect to an upright stem is defined by bending angle 133  $\theta(s,t)$ . Finally, we define wave velocities  $U_s(s,t) = u_s + iw_s$  and forces  $F(s,t) = F_x + iF_z$  at the 134 stem (Fig. 1c). 135



136 137

137Figure 1: Definition sketches of the coordinate system of the wave-vegetation interface at the (A) canopy and (B) plant138scales. (C) shows the velocities and forces at the plant scale.

Dimensionless parameters will be employed for all variables throughout this manuscript (denoted byasterisks). We introduce scaled coordinates

$$x_* = \frac{x}{A_w}, \qquad z_* = \frac{z}{h_v}, \qquad s_* = \frac{s}{h_v}, \qquad t_* = t\omega,$$
 (1)

and quantities

144

$$X_{*} = \frac{X}{h_{v}}, \qquad H_{*} = \frac{H}{h}, \qquad U_{*} = \frac{U}{u_{c}}, \qquad U_{veg*} = \frac{U_{veg}}{u_{c}}, \qquad F_{*} = \frac{F}{\rho b_{v} u_{c}^{2}}.$$
 (2)

145

146 Herein,  $\omega = 2\pi/T$  is the wave angular frequency,  $u_c$  is the velocity scale,  $A_w = u_c/\omega$  is the typical 147 wave excursion length, and  $\rho = 1000 \text{ kg/m}^3$  is the water density.  $u_c$  is defined as amplitude of the 148 horizontal wave orbital motion [15] according to

149

$$u_c = \frac{1}{2}(u_f + u_b) \tag{3}$$

150

where  $u_f$  is the peak forward velocity,  $u_b$  is the peak backward velocity, and  $u = 10^{-6} \text{ m}^2\text{/s}$  is the 151 kinematic viscosity of water.  $u_f$  and  $u_b$  may be measured or based on linear wave theory. Hu et al. 152 153 [15] measured velocities halfway of the water column, which was between 1/3 and 2/3 of the 154 vegetation height. However, it is more appropriate to define the velocity scale relative to the vegetation height, rather than the water column, for moving vegetation. Therefore, we consider the 155 156 velocities halfway the vegetation height, which is the average of the height range used in Hu et al. 157 [15]. Furthermore, we introduce two dimensionless quantities that control wave-vegetation 158 interaction for flexible vegetation [27]: the Cauchy number

159

$$Ca = \frac{\rho b_v u_c^2 h_v^3}{E I_v} \tag{4}$$

160

161 being the ratio between wave forces and stem stiffness, and the excursion ratio

162

163

165

167

$$L = \frac{h_v}{A_w} \tag{5}$$

164 being the ratio between stem length and wave excursion.

#### 166 2.2 Wave-vegetation interaction

Vegetation interacts with dynamic forces induced by waves and the static buoyancy force (Fig. 1c).
 The interaction is one-way for rigid vegetation and two-way for flexible vegetation. We consider
 three wave-induced forces that act on vegetation. These are given per unit stem length: the drag
 force;

 $F_{D_*} = \frac{1}{2} C_D |u_{rn*}| u_{rn*} e^{-i\theta},$ (6)

173

172

the added mass force;

175

$$F_{A*} = \frac{1}{2} C_A \frac{\pi^2}{KC} \frac{\partial u_{rn*}}{\partial t_*} e^{-i\theta},\tag{7}$$

176

177 the Froude-Krylov force;

$$F_{FK*} = \frac{1}{2} \frac{\pi^2}{KC} \frac{\partial U_{S*}}{\partial t_*}.$$
(8)

Herein,  $u_{rn*} = \Re(U_{r*}e^{i\theta})$  and  $u_{rp*} = \Im(U_{r*}e^{i\theta})$  are the stem-normal and stem-parallel components of the relative velocity between water and stem  $U_{r*} = U_{s*} - U_{veg*}$ .  $C_D$  and  $C_A$  are coefficients for drag and added mass respectively and  $KC = u_c T/b_v$  is the Keulegan-Carpenter number. The drag coefficient

184

$$C_D = \left(\frac{730}{Re}\right)^{1.37} + 1.04 \tag{9}$$

185

186 was derived via direct force measurements on field of rigid cylinders in the range 300 < Re < 4700187 by Hu et al. [15], where  $Re = u_c b_v / v$  is the vegetation Reynolds number. Although KC may also be 188 a strong predictor for  $C_D$  [8,17,37], Re has been selected in this study as Eq. 9 was derived via direct force measurements. The drag coefficient is commonly calibrated to include the effect of vegetation 189 swaying [e.g. 32] but in this study we include plant motion explicitly such that Eq. 9 is applied to all 190 vegetation types in this study.  $C_A = 12.63KC^{0.0583} - 15.09$  satisfies empirical data by Keulegan & 191 Carpenter [17] for  $KC \ge 20$ . We note that their  $C_M$  equals  $C_A + 1$  in this study as we define the 192 193 added mass and Froude-Krylov forces separately [11]. The friction force, based on Zeller et al. [50], is 194 of negligible magnitude for the conditions considered here (Re = 570-1500) and therefore omitted.

195

196 The magnitude of  $F_{A*}$  and  $F_{FK*}$  relative to  $F_{D*}$  is controlled by the ratio  $\pi^2/(KC|u_{rn*}|)$ . *KC* is of the 197 order  $O(10^2)$  for conditions considered in this study as is realistic for field conditions [16]. In case of 198 rigid vegetation,  $|u_{rn*}| = |u_{s*}| = O(10^0)$  and the relative magnitude of  $F_{A*}$  and  $F_{FK*}$  is of order 199  $O(10^{-1})$ . The same scaling argument has also been employed for flexible vegetation [e.g. 35], but 190 when the relative velocity reduces due to vegetation swaying,  $F_{A*}$  and  $F_{FK*}$  may be of similar 191 magnitude as  $F_{D*}$ . Therefore, we do consider  $F_{A*}$  and  $F_{FK*}$  at this stage of our analysis. Finally, the 192 net buoyant force

203

$$F_{B*} = \frac{1}{4}\pi(\rho' - 1)\frac{gb_v}{u_c^2}i$$
(10)

204

208

is not exerted by waves but can modify plant posture [50]. It features  $g = 9.81 \text{ m/s}^2$  as the gravitational acceleration and  $\rho' = \rho_v / \rho$  as the ratio between the vegetation density ( $\rho_v$ ) and the water density.

Swaying by flexible vegetation affects the magnitude and direction of the wave forces (Eq. 6-8). We consider inextensible stems, homogeneous cylindrical cross-sections, homogeneous flexural rigidity, and no interaction between stems. Instead, the sheltering of downstream vegetation can be included through the velocity scale (Eq. 3). Under these conditions, plant motion is controlled by the force balance [29,35], according to

214

$$\frac{1}{2}\frac{\pi^2}{KC}\rho'Ca\frac{\partial U_{veg*}}{\partial t_*} + \left(\frac{\partial^3\theta}{\partial s_*^3} - i\frac{\partial\theta}{\partial s_*}\frac{\partial^2\theta}{\partial s_*^2}\right)e^{-i\theta} = Ca\left(F_{D*} + F_{A*} + F_{FK*} + F_{B*}\right). \tag{11}$$

215

The first term on the left-hand side is the stem inertia and the second term expresses bending resistance. The wave and buoyancy forces control plant motion via the forcing term on the righthand side. Conversely, plant motion controls the direction and the magnitude of the wave forces. This two-way interaction between wave forces and stem motion poses the main challenge in solving wave forces on flexible vegetation. Therefore, our experiments, described in Section 3, aim to identify the key physical interactions relevant to wave damping to justify simplifications of Eq. 11.

Specifically, we will investigate the relative magnitude of  $F_{A*}$  and  $F_{FK*}$ , the predominant stem section that contributes to stem bending, and whether the effect of plant bending on force direction (stem reconfiguration) or relative velocity (stem velocity) is most important.

#### 226 2.3 Wave damping

Dalrymple et al. [10] showed that wave damping over a flat bottom is controlled by the conservationof wave power, which is given in its dimensionless form by

230

225

227

$$c_{g*} \frac{\partial E_*}{\partial x_*} = -8\lambda_f F_r^2 D^{-1} \epsilon_{\nu*}$$
(12)

231

where  $E_* = H_*^2$  is the wave energy and  $c_{g*} = \frac{1}{2k_*} + \frac{D}{\sinh 2k_*D}$  is the wave group velocity. We have introduced  $\lambda_f = n_v b_v h_v$  as the frontal area per unit ground area [7,25],  $F_r = u_c / \sqrt{gh}$  as the Froude number,  $D = h/A_w$  as the ratio between water depth and wave excursion, and  $k_* = kA_w$  as the dimensionless wave number. Furthermore,  $\epsilon_{v*}$  is the energy dissipation per stem due to the work done by wave forces given by

237

$$\epsilon_{\nu*} = \int_{S_*=0}^1 \overline{F_{D*} \cdot U_{S*}} \, ds_*. \tag{13}$$

238

Here the overbar denotes phase-averaging over a wave cycle. Although  $F_{A*}$  and  $F_{FK*}$  can be of sufficient magnitude to control plant motion, they act out of phase with the water motion such that their phase-averaged work done is considered to be negligible. This argument strictly requires that the phase difference between  $U_{s*}$  and  $u_{rn*}$  is small, which is reasonable given that the phase difference between water and stem motion reduces when vegetation velocities increase [35]. Finally, we will employ

 $W_* = F_* \cdot U_{S*} \tag{14}$ 

246 247

as a short-hand notation for the time-dependent work done ( $W_*$ ) by waves per unit stem length. 248

The solution of Eq. 12 in terms of wave height expresses a decay in the direction of wave propagation. In case of rigid vegetation, Dalrymple et al. (1984) showed that the solution in terms of wave damping is given by

252

$$H_* = \frac{H_{0*}}{1 + \beta_* x_*},\tag{15}$$

253

254 Where  $H_{0*}$  is the incident wave height and  $\beta_*$  is the damping coefficient, scaled as  $\beta_* = \beta A_w$ . In case 255 of flexible vegetation, Eq. 15 holds when the vegetation dynamics remain constant, i.e.  $u_{rn*}$  damps 256 proportionally to  $H_*$ . This is assumed to be the case in this study given that the vegetation fields in 257 our experiments and validation cases are short with a length of 1.5 m and up to 40 m respectively.

258

#### **3. Wave damping analysis under observed plant motion**

#### 261 **3.1 Laboratory experimental setup**

262

260

Experiments of wave damping over rigid and flexible vegetation canopies were conducted in the wave flume of the Coastal Laboratory of Swansea University, UK, to identify key mechanisms in the

265 wave-vegetation interaction. The wave flume measures 30.7m in length, 0.8m in width and 1.2m in 266 height. It has a piston-type wavemaker with active wave absorption at one end and a parabolic wave 267 damper of reticulated foam at the other end (Fig. 2a). We measured wave height, the water particle 268 velocity field and plant motion simultaneously. By observing plant motion, we avoid solving the force 269 balance (Eq. 11) as a requirement to calculate wave-induced forces (Eq. 6-8).

270

279

Cylindrical rigid and flexible mimic canopies were fixed on the floor of the wave flume. The two 271 272 stem types differed only in flexural rigidity. Rigid vegetation was created from bamboo dowels with  $EI_{v} = 9.0 \pm 4 \times 10^{-2}$  Nm<sup>2</sup> (mean  $\pm$  standard deviation, measured using three-point bending 273 testing). Silicon sealants were used to construct flexible vegetation with  $EI_v = 1.7 \pm 0.3 \times 10^{-5}$ 274 275 Nm<sup>2</sup>. All stems were 300 mm in height and had a diameter of 5 mm. Stems were aligned in a 276 staggered formation to form a canopy with a length of 1.5 m and a stem density of 1111 stems/ $m^2$ . A 277 90mm wide section was cleared near the downstream edge of the canopy for velocity 278 measurements at 1.35m from the upstream edge of the vegetation field.



280 281

Figure 2: (A) Sketch of the experimental set-up. All dimensions are in metres. Figure is not to scale; (B) Artificial rigid 282 vegetation; (C) Artificial flexible vegetation.

283 The vegetation patches were subjected to regular waves with variation in height, period, and water 284 depth. The wave height varied between 0.08 and 0.20 m, the wave period between 1.4 and 2.0 s, 285 and water depth between 0.30 and 0.60 m. The 24 test conditions (Table 1) were selected to 286 represent a range of wave intensities. Each condition was run three times as reflection limited the 287 number of undisturbed waves per run to 3-12 (Fig. 3a, left), which included a control run with 288 reversed vegetation field to verify that the gap in the canopy did not affect wave dynamics. Although 289 wave input was monochromatic, second order Stokes waves were observed due to shallow water 290 conditions [20]. Videos of the wave-vegetation interaction under conditions R3, R13, R23 and R33 291 can be found in the supplementary materials.

292

293 Wave heights were measured using three resistance type wave gauges (HR Wallingford, accuracy 294  $\pm$  0.1 mm). They were located upstream (WG1, 8.2 m downstream of the wave paddle), halfway

(WG2, 10.0 m) and downstream (WG3, 10.8 m) of the vegetation patches. Wave measurements 295 296 started when the water level had reached 95% of the incident wave amplitude and at least five 297 waves had passed, and were terminated when the first reflected wave would reach the vegetation 298 field based on shallow water wave theory. A single damping coefficient  $\beta_{exp}$  was fitted to Eq. 15 via 299 the least-squares method using combined data of all three runs, providing 5 degrees of freedom (Fig. 300 3a, right). The damping coefficients of each condition and their confidence intervals are provided in Table 1. The average width of the 95% confidence interval (CI) of  $\beta_{exp}$  was 0.013 m<sup>-1</sup> for rigid 301 vegetation and 0.012 m<sup>-1</sup> for flexible vegetation. The observed wave damping was significant with at 302 least 3.3 mm, far exceeding the measurement error (0.1 mm). Furthermore,  $\beta_{exp}$  exceeded the 303 304 width of the 95% CI for all but one test case (R4, flexible). It was verified through control runs that 305 the damping over the flume floor was negligible ( $\beta < 0.005$ ).

306

307 Water particle velocity fields inside and around vegetation were measured using Particle Image 308 Velocimetry (PIV; Dantec systems). Polyamide seeding particles that follow water motion were 309 added to the wave flume. These were tracked by a high-speed camera under laser illumination. The 310 raw velocity field time series was obtained by cross-correlation of particle positions over consecutive camera frames. Following Luhar & Nepf [29], a Fourier filter was applied to remove noise from the 311 312 raw velocity time series. We retained only the wave-averaged velocities, the natural harmonic and 313 the first higher order harmonic (Fig. 3b). The velocity time series aligned closely to the wave time 314 series but were restricted to 11s due to the limitations on the number of frames that can be 315 captured by the PIV-camera each run.

316

317 The velocity at rigid stems was derived from the water particle velocities inside the canopy. The 318 velocity structure was considered fully developed as the gap was more than five drag length scales 319 [25] downstream of the canopy edge. The control runs with reversed vegetation prevented velocity 320 measurements for one run per condition. Alternatively, the velocity at flexible stems was derived 321 from the vertical velocity structure at the downstream edge for which stem motion can be identified 322 simultaneously. Based on comparisons with control runs without vegetation, we found that flexible 323 vegetation did not disturb the flow velocity structure apart from damping proportional to the wave 324 height. Hence, the wave-vegetation interaction at the downstream edge is assumed to be 325 representative for the whole canopy when velocity damping is accounted for.

326

327 Wave-averaged currents were observed within rigid vegetation canopies but not within flexible 328 canopies. These observations agree with the velocity structures as proposed in Pujol et al. [39]. 329 However, Luhar et al. [26] and Abdolahpour et al. [1] also observed wave-driven currents within 330 flexible vegetation canopies. Their experiment setup differed significantly from ours as they used 331 blades instead of cylinders. Furthermore, their experiments considered different wave conditions, 332 longer canopies ( $L_{\nu} = 3.9$  m) and increased test durations (6-10 min), which may have promoted 333 flow convergence. Yet, Pujol et al. [39] did not observe wave-driven currents through flexible 334 canopies over equally long timeframes. Also, our canopy length and test duration sufficed for the 335 development of currents through rigid vegetation. Given the contrasting observations and 336 conditions, future research in this topic and its effect on plant motion and associated wave damping 337 is advised.

338

Table 1: List of tested wave conditions and damping coefficients for rigid and flexible vegetation.  $u_c$  is derived from measured velocities according to Eq. 3. The width of the 95% CI of  $\beta_{exp}$  is given in brackets after its value. \* Based on 2 instead of 3 runs; † Based on 1 run; Data quantity was reduced by control runs ( $u_c$ , rigid), or instrument malfunctioning. ‡ Plant motion not captured due to a moving floor plate.

				Rigid vegetation				Flexible vegetation				
	H	Τ	h	u <sub>c</sub>	Са	L	$\beta_{exp}$	u <sub>c</sub>	Ca	L	$\beta_{exp}$	
Case	[m]	[s]	[m]	[m/s]			$[10^{-3}/m]$	[m/s]			$[10^{-3}/m]$	

R1	0.15	1.4	0.60	0.13†	0.02	11	42 (6)	0.15	178	8.9	20 (9)*
R2	0.15	1.6	0.60	0.15*	0.04	7.6	38 (4)	0.19	278	6.2	16 (3)*
R3	0.15	1.8	0.60	0.17*	0.04	6.3	49 (9)	0.20	326	5.1	23 (5)*
R4	0.15	2.0	0.60	0.18*	0.05	5.3	42 (16)	0.22*	390	4.2	15 (16)*
R5	0.10	1.8	0.60	0.11*	0.02	9.2	39 (8)	0.14	146	7.6	20 (8)*
R6	0.20	1.8	0.60	0.21*	0.07	4.9	63 (9)	0.25	498	4.1	26 (19)*
R11	0.15	1.4	0.50	0.17*	0.04	7.9	72 (10)	0.19	271	7.2	21 (7)
R12	0.15	1.6	0.50	0.20*	0.06	6.0	67 (8)	0.21	352	5.5	17 (8)*
R13	0.15	1.8	0.50	0.20*	0.06	5.2	80 (18)	0.23	411	4.6	32 (6)
R14	0.15	2.0	0.50	0.22*	0.08	4.2	70 (30)	0.25	472	3.8	24 (12)
R15	0.10	1.8	0.50	0.14*	0.03	7.4	59 (18)	0.16	191	6.7	26 (5)
R16	0.20	1.8	0.50	0.26*	0.10	4.1	94 (22)	0.30	692	3.5	25 (15)
R21	0.15	1.4	0.40	0.20*	0.06	6.9	145 (23)	0.23	397	6.0	27 (15)
R22	0.15	1.6	0.40	0.21*	0.07	5.5	125 (13)	0.25	507	4.6	28 (13)
R23	0.15	1.8	0.40	0.22*	0.07	4.8	138 (9)	0.27	556	3.9	22 (9)*
R24‡	0.15	2.0	0.40	0.23*	0.08	4.1	108 (4)	0.25	498	3.7	48 (10)
R25	0.10	1.8	0.40	0.16*	0.04	6.7	97 (7)	0.18*	240	6.0	22 (12)*
R26	0.12	1.8	0.40	0.18*	0.05	5.8	116 (9)	0.21*	355	4.9	32 (14)*
R31	0.10	1.4	0.30	0.16*	0.04	8.6	210 (19)	0.18	266	7.3	56 (16)
R32	0.10	1.6	0.30	0.16*	0.04	7.3	219 (32)	0.20	309	5.9	68 (28)
R33	0.10	1.8	0.30	0.18*	0.05	5.9	197 (9)	0.21	333	5.1	62 (19)
R34	0.10	2.0	0.30	0.17*	0.04	5.6	195 (9)	0.20	325	4.6	62 (9)
R35	0.08	1.8	0.30	0.14*	0.03	7.5	169 (6)	0.17	238	6.0	51 (20)
R36	0.12	1.8	0.30	0.20*	0.06	5.3	219 (27)	0.24	444	4.4	40 (11)

344 Plant motion of flexible vegetation was derived from the frames captured by the PIV-camera 345 through fitting a circular arc between the tip and the root for each frame (Fig. 4). We assume stem inextensibility such that the arc length equals vegetation height, and downstream bending as this is 346 347 the dominant direction under extreme motion [41]. Under these assumptions, the stem position has 348 a unique solution when the chord length d between the tip and the root satisfies  $1 > d/h_{\nu} \ge 2/\pi$ 349 (Fig. 4a). If  $d = h_{\nu}$ , a straight stem between root and tip is fitted (Fig. 4b). Finally, a circular arc 350 cannot be fitted when  $d/h_v < 2/\pi$ , which may occur under extreme bending. The smallest semi-351 circle with diameter  $d = 2h_n/\pi$  is fitted instead (Fig. 4c). Tip positions of a stem at the downstream 352 edge of the patch have been identified manually for each frame by two independent controllers (Fig. 353 4d). This was found to be more accurate than automatic identification due to the variation in 354 illumination and the low contrast between stems in the canopy. It is noted that a circular arc may 355 not accurately represent stem configurations with two inflection points nor configurations with an 356 arc angle greater than that associated with a semicircle, but it does accurately represent the 357 motion of the tip which sways the most and is identified directly. Additionally, the errors in plant 358 posture may have a limited impact on wave damping as we will show in the following sections. An 359 example of the computed plant motion is included in Figure 3b. Full videos of derived plant motion 360 are included in the supplementary materials.



362 363 Figure 3: Schematic diagram of the data collection from (A) wave gauges and (B) PIV, and (C) comparison of  $\beta$  under 364 conditions R13 with flexible vegetation. Top left: time series of the water surface elevation as measured by the three wave 365 gauges and corrected for phase differences. Top right: the data of the three repeats (triangles, some data points are 366 overlapping) is combined to fit  $\beta_{exp}$  (solid line) with 95% confidence interval (dotted lines). Middle left: PIV derived 367 horizontal particle velocities, vegetation velocity and relative velocity at  $s_* = 0.5$ . Middle right: PIV-derived plant motion. 368 The colouring denotes the time and ranges from yellow (start of run) to black (end of run). Bottom left: Magnitudes of the 369 wave forces at  $s_* = 0.5$ . Bottom right: Comparison of the force-derived  $\beta_{for}$  (dashed line) with  $\beta_{exp}$  (solid line). The dotted 370 lines (only one is visible due to overlapping) denote  $\beta_{for}$  of the other repeats of R13.

The observed plant motion ranged from straight stems to fully flattened canopies. Plant motion developed during the measurement period with 25% of the runs exhibiting a change in maximum bending angle of more than 10°. It is expected that this affected the measured wave damping and the wave forces equally. The vegetation velocity is derived numerically trough a central difference scheme on the plant configuration. Following the derivation of water particle velocities, we have applied a Fourier filter to retain only the natural and first order harmonics of the vegetation velocity.



Figure 4: Schematisation of the derivation of the plant position from a fixed root and identified tip position (red diamond)
under three conditions: (A) a bent stem, (B) a straight stem and (C) extreme stem bending; and (D) application to a sample
image.

381 The wave-induced forces are computed based on the velocity signal and plant motion, according to 382 Eq. 6-8 (Fig. 3B). Then, the force-derived damping coefficients  $\beta_{for}$  was solved numerically through substitution of Eq. 15 in Eq. 12 (Fig. 3C). This produces a third-order polynomial function which may 383 384 provide three instead of one solution for  $\beta_{for}$ . In these instances, the  $\beta_{for}$  which is closest to  $\beta_{exp}$  is selected.  $\beta_{for}$  successfully reproduces the wave damping over flexible vegetation an order of 385 magnitude smaller than over rigid vegetation with goodness-of-fit  $r^2 = 0.84$  (Fig. 5), using a drag 386 coefficient that was derived for rigid vegetation. This shows that explicitly including the plant motion 387 388 effect in the drag force (Eq. 6) can explain the reduction in wave damping by flexible vegetation.

Whilst the confidence interval in  $\beta_{exp}$  has been quantified from the water surface measurements, 390 391 this was not possible for  $\beta_{for}$ . Therefore, we here address the individual sources of error in  $\beta_{for}$ , namely: the  $C_d$ -relation (Eq. 9), the velocity measurements, and the plant motion. Eq. 9 was fitted 392 with a goodness-of-fit of  $r^2 = 0.89$  (Hu, pers. comm.), but a confidence interval is not known. The 393 394 normalized standard deviation of the measured velocity amplitude (Eq. 2) at identical water depth 395 and vegetation type varied between 0.02 and 0.08. Thirdly, the normalized standard deviation of the 396 vegetation velocity ranged between 0.12 and 0.25 at the tip. Each error propagates into  $\beta_{for}$ , which 397 contributes to the scatter of data seen in Fig. 5. The normalized root-mean-square errors (NMRSE) of 398  $\beta_{for}$  with respect to  $\beta_{exp}$  are 0.39 and 0.50 for rigid and flexible vegetation respectively. Whilst the 399  $r^2$  of our methodology is very good, the fit may improve further with data from additional wave 400 gauges to estimate  $\beta_{exp}$  more accurately, or when the uncertainty by any of the model errors is 401 mitigated which can be recommended for future studies.





403 404 Figure 5: (A) The correlation between the force-derived damping coefficient  $\beta_{for}$  and the measured damping coefficient 405  $\beta_{exp}$ , and (B) same as (A) with error bars that match the 95% confidence interval of  $\beta_{exp}$ . The normalized root-mean-square 406 error (NRMSE) is given separately for the rigid (RM) and flexible (FM) mimics.

#### 407 **3.2 Key mechanisms in the wave-vegetation interaction**

Force magnitudes: We find that the drag force is the dominant wave force on flexible vegetation but 409 410 the added mass and Froude-Krylov forces increase in relative magnitude when plant motion 411 increases at higher Ca and towards the tip of the stem (Fig. 6). The plant motion is limited at low Ca412 and at the bottom of the stem, where the motion is constrained by its root. When plant motion increases, the ratio  $\pi^2/(KC|u_{rn*}|)$  increases such that the magnitudes of  $F_{A*}$  and  $F_{FK*}$  increase 413 relative to  $F_{D*}$ . Our experimental results show that the root-mean-square magnitudes of  $F_{A*}$  and 414  $F_{FK*}$  are in the range of 15-20% of  $F_{D*}$  at  $s_* = 0.17$ , 25-35% at  $s_* = 0.5$ , and 100% at  $s_* = 0.83$ . 415 416 Although the relative magnitude of  $F_{A*}$  and  $F_{FK*}$  increases towards the tip, their magnitude remains 417 low compared to the drag force exerted on the bottom section of the stem.



408





422 Distribution of wave energy dissipation: The distribution of energy dissipation versus stem length 423 shows that most energy is dissipated where the stem is upright and its motion is minimal (Fig. 7). 424 The dissipation over an upright rigid stem is approximately constant along its length with a peak in 425 dissipation at the tip where amplified velocities were observed due to wave-driven currents through 426 the top of the canopy [see e.g. ,1,39]. Alternatively, the wave dissipation is concentrated at the 427 bottom part of the stem for flexible vegetation with near-zero to negative contributions at the top 428 section ( $s_* > 0.7$ ). The decreasing contribution to energy dissipation over the stem length is 429 inversely proportional to stem motion, which is absent at the fixed root and maximum at the tip. 430



431 432  $W_*/\epsilon_{v^*}$   $W_*/\epsilon_{v^*}$ 432 Figure 7: Average rate of energy dissipation against the along-stem coordinate for (A) rigid and (B) flexible vegetation.

433 Stem reconfiguration versus stem velocity: The swaying of flexible vegetation reduces wave damping 434 in two ways. First, reconfiguration of the stem posture reduces the stem frontal area [38] and 435 modifies the direction of wave forces [29,50]. Second, stem velocity reduces the relative velocity 436 between stem and water [e.g. 32]. Both mechanisms reduce the work done by the drag force but 437 have not been quantified individually. To identify the dominant mechanism, we quantify  $\beta$  by 438 modifying Eq. 6 such that it solely includes stem reconfiguration or stem velocity. Wave damping by 439 stem reconfiguration includes the directionality of the drag force relative to the stem, but the 440 vegetation velocity is set at zero such that

441

442

$$F_{D_*} = \frac{1}{2} C_D |u_{n*}| u_{n*} e^{-i\theta}$$
(16)

with  $u_{n*}$  as the stem-normal component of the water velocity. Alternatively, stem velocity includes the relative velocity in the force equations, but the stem is considered upright for the directionality of the forces, i.e.

446

$$F_{D_*} = \frac{1}{2} C_D |u_{r*}| u_{r*}.$$
(17)

447

Finally, we consider the rigid stem drag force which excludes both stem reconfiguration and stem
velocity as a reference for the relative contribution of each mechanism. The rigid stem drag force is
given by

451

$$F_{D_*} = \frac{1}{2} C_D |u_{s*}| u_{s*}.$$
 (18)

452

The respective wave damping coefficients are obtained as described in Section 3.1. The contributions of the bending (Eq. 16) and relative velocity (Eq. 17) to wave damping are scaled against the damping that is simulated by the full drag force equations (Eq. 6) and the damping simulated by the rigid stem drag force (Eq. 18).

458 Our results show that stem velocity is more important than stem reconfiguration. The inclusion of 459 stem velocity explains 92.3% of the observed reduction in  $\epsilon_v$  due to plant motion, whereas the 460 individual contribution of the stem reconfiguration is 34.6% (Fig. 8). Thus, the stem velocity effect 461 can explain almost all reduction in wave energy dissipation. Conversely, whilst stem bending can 462 explain 34.6% of the reduction in wave energy dissipation individually, its added effect when the 463 relative velocity is included is only 7.7%. These results fit with the concentration of energy 464 dissipation at the lower section of the stem (Fig. 7), which is straighter than the top section. Stem 465 bending is significant at the tip, but the contribution of the top section to wave energy dissipation is 466 small.





468
469 Figure 8: Individual contributions of stem reconfiguration (based on Eq. 16) and stem velocity (based on Eq. 17) effects to
470 the reduction in the wave energy dissipation. The average contribution of each effect is given between brackets. The
471 contributions are scaled relative to the energy dissipations based on the full drag force (Eq. 6, upper dotted line) and the
472 drag force based on a rigid stem (Eq. 18, lower dotted line).

473

#### 474 **4. Model for wave damping over flexible vegetation**

#### 476 4.1 Model assumptions

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The key mechanisms in the wave-vegetation interaction justify our assumptions for modelling wavedamping of regular waves over flexible vegetation. We assume that

- 480 1. Wave energy is dissipated where plant deflections are small and the plant posture is near-481 vertical;
- 482 2. The drag force controls the wave-vegetation interaction;
  - 3. Stem-stem interactions can be neglected;
    - 4. Vegetation is cylindrical with homogeneous cross-sections and flexural rigidity;
- 485 5. Stems are inextensible.

487 Assumption 1 is supported by the concentration of energy dissipation in the upright lower part of a 488 flexible stem and the dominant contribution of the relative velocity mechanism relative to stem 489 bending, as supported by our experiments. Assumption 2 follows from the observation that  $F_{A*}$  and 490  $F_{FK*}$  are an order of magnitude smaller than  $F_{D*}$  in the bottom section of the stem which is key for 491 wave damping.  $F_{A*}$  and  $F_{FK*}$  may be important at the top sections of a flexible stem but their 492 magnitude remains an order of magnitude smaller than  $F_{D*}$  in the bottom section. Assumptions 3-5 493 are required to derive the force balance (Eq. 11), which was successfully applied to predict wave 494 forces.

495

496 Our model assumptions reflect those in small excursion models as in Méndez et al. [33] and 497 Mullarney and Henderson [35], who used Euler-Bernoulli beam theory to solve vegetation motion. 498 Here we have provided new experimental support for this type of model, but our model differs in 499 the extension of plant motion to wave damping. Scaling analysis shows that small excursion models are valid for  $L \gg 1$  [29]. Our experimental results (L = 3-9) show that the wave energy dissipation is 500 501 concentrated at the bottom section of a stem where its velocity and excursion are low compared to the water velocity. This suggests that the model assumptions are valid for excursion ratios in the 502 order  $O(10^0)$ . The model validation (Section 5.2) includes wave and vegetation conditions with L as 503 504 low as 1.4 which covers most salt marsh (L = 0.3-10, [41,47])and sea grass (L = 0.5-6, [22]) 505 conditions. In case of L < 1, flexible vegetation may be fully extended, and the vegetation velocity 506 may reduce to 0. Under these conditions, our model will provide a conservative estimate of the 507 energy dissipation. Furthermore, we have considered vegetation conditions up to  $Ca \approx 700$  in our 508 experiments. The dominance of  $F_D$  on the bottom stem section is well established by our 509 experimental evidence within this range, but may not be extrapolated to more flexible vegetation. 510 The conditions L > 1.4 and Ca < 700 represent the quasi-flexible vegetation conditions for which 511 our model will be validated. Finally, the model is derived for cylindrical vegetation but it can easily be extended to other plant geometries given appropriate relations for  $C_D$  and Ca. 512

#### 513

515

#### 514 4.2 Solution of plant motion

Under the model assumptions, vegetation motion is governed by horizontal stem excursion ( $x_{n*}$ ) 516 which must satisfy the force balance (Eq. 11) in the horizontal direction. For a near-vertical stem, it is 517 appropriate to scale  $x_v$  by the horizontal water particle excursion length  $A_w$  rather than by plant 518 length  $h_v$  as was done up to this point, i.e.  $x_{v*} = x_v/A_w$  and  $u_{veg*} = \partial x_{v*}/\partial t_*$ . Furthermore, the 519 bending angle is approximated as  $\theta \approx \partial x_{\nu*}/\partial z_{\nu*}$  and  $s_* \approx z_{\nu*}$  at this small-deflection limit [29,35]. 520 We consider thin stems for which stem inertia is negligible  $(\frac{1}{2}\frac{\pi^2}{KC}\rho' \ll 1)$ . Finally, the drag force, now 521 522 given by Eq. 17, is linearised for the purpose of solving the force balance only. Under these 523 conditions, Eq. 11 simplifies as

524

$$\frac{\partial^4 x_{\nu*}}{\partial z_{\nu*}^4} = Q\left(u_{s*} - \frac{\partial x_{\nu*}}{\partial t_*}\right) \tag{19}$$

525

where  $Q = \frac{4}{3\pi} C_D CaL \int_0^1 (a_u - a_v) dz_{v*}$  is the linearised parameterisation of the magnitude of drag force, and  $a_u$  and  $a_v$  are the amplitudes of the water and vegetation velocity respectively. Equivalent work is done over a wave cycle by the linearised drag as would be by quadratic drag [e.g. 33,51]. The boundary conditions of Eq. 19 are defined as clamped at the root,  $x_{v*} = \partial x_{v*}/\partial z_{v*} = 0$ at  $z_{v*} = 0$ , and free at the tip,  $\partial^2 x_{v*}/\partial z_{v*}^2 = \partial^3 x_{v*}/\partial z_{v*}^3 = 0$  at  $z_{v*} = 1$ .

531

532 Wave and plant motion are periodic over a wave cycle and must satisfy the eigenvalue problem 533 posed by Eq. 19. Therefore, we separate the motion quantities in a temporal mode following the 534 monochromatic wave frequency and orthogonal spatial modes following the given eigenvalue 535 problem [35], according to

$$u_{s*} = \Re\left(e^{it_*}\sum_{n=1}^{\infty}U_n\psi_n\right), \qquad u_{veg*} = \Re\left(e^{it_*}\sum_{n=1}^{\infty}V_n\psi_n\right), \qquad x_{v*} = \Re\left(e^{it_*}\sum_{n=1}^{\infty}X_n\psi_n\right).$$
(20)

541

- The spatiotemporal complex coefficients  $U_n$ ,  $V_n$  and  $X_n$  denote the weights of each mode in spectral space. The spatial modes  $\psi_n$  satisfy  $\partial \psi_n / \partial z_{v*} = \alpha_n \psi_n$  where  $\alpha_n$  are the eigenvalues of each spatial mode. Further details regarding the structure of  $\psi_n$  are provided in Appendix A.
- 542 By substitution of Eq. 20 in Eq. 19 and summation over all spatial modes, we construct the transfer 543 function  $T = a_T e^{i\phi_T}$  between the water and stem motion in physical space according to 544

$$V_f = T U_f \tag{21}$$

545

where  $U_f(z_{v*}) = \sum U_n \psi_n = a_u e^{i\phi_u}$  and  $V_f(z_{v*}) = \sum V_n \psi_n = a_v e^{i\phi_u}$  are complex temporal coefficients in physical space, and

$$T = \frac{\sum \left(\frac{U_n \psi_n}{1 - \frac{i\alpha_n^4}{Q}}\right)}{\sum U_n \psi_n}.$$
(22)

549 550

Here,  $a_T$  denotes amplitude transfer from water to stem motion and  $\phi_T$  denotes the phase lag between water and stem motion. As Q is a function of  $a_v$ , Eq. 22 is solved iteratively. There is a unique solution as shown in Appendix B. The numerical implementation expands velocity structures to 10 spatial modes as additional modes did not change the resulting transfer function.

Additionally, we define the transfer function  $B = a_b e^{i\phi_b}$  between water velocity and relative velocity, i.e.  $U_f - V_f = BU_f$ . By substitution of this definition in Eq. 21, it follows

$$B = 1 - T. \tag{23}$$

559

561

560 **4.3 Work factor (***χ***)** 

To include the effects of plant motion on energy dissipation, we define  $z_{v*}$ -dependent work factor 563

$$\chi(z_{\nu*}) = \frac{W_*}{\overline{W}_{rig*}},\tag{24}$$

564

565 such that

566

 $\epsilon_{\nu*} = \int_{z_{\nu*}=0}^{1} \chi \overline{W}_{rig*} \, dz_{\nu*},\tag{25}$ 

567

568 where  $\overline{W}_*$  is the phase-averaged work done over a flexible stem and  $\overline{W}_{rig*}$  is the work done over a 569 rigid stem with equal dimensions. By substitution of Eq. 17, 23 in Eq. 14, the phase-averaged work 570 done by the drag force on a stem is given by

571

$$\overline{W_{*}} = \frac{1}{4\pi} C_{D} \int_{t_{*}=0}^{2\pi} |\Re(BU_{f}e^{it_{*}})| \Re(BU_{f}e^{it_{*}}) \Re(U_{f}e^{it_{*}}) dt_{*}.$$
(26)

573 We note that  $\Re(e^{it_*}) = \cos t_*$  and set  $\phi_u = -\phi_b$  without loss of generality as  $W_*$  is averaged over a 574 wave cycle. Then, Eq. 26 reduces to

$$\overline{W_*} = \frac{2}{3\pi} C_D a_b^2 a_u^3 \cos \phi_b.$$
<sup>(27)</sup>

576

577 In case of rigid vegetation,  $a_b = 1$  and  $\phi_b = 0$  as velocity transfer is absent, such that 578

 $\overline{W}_{rig*} = \frac{2}{3\pi} C_D a_u^3 \tag{28}$ 

580 and, by substitution of Eq. 27, 28 in Eq. 24,

581

582

587

589

579

$$\chi = a_b^2 \cos \phi_b. \tag{29}$$

Eq. 29 shows how the velocity transfer controls wave damping. Changes in amplitude and phase of the relative velocity directly affect the work done by waves on vegetation and, thereby, the wave damping. Defining  $\chi$  is computationally more efficient than computing the vegetation and relative velocity time series.

#### 588 4.4 Wave damping

590 A formulation for wave damping coefficient  $\beta_*$  is obtained by substitution of Eq. 15, 25 in Eq. 12, 591 which leads to

592

$$\frac{\beta_*}{(1+\beta_*x_*)^3} = 4 \frac{\lambda_f F_r^2}{Dc_{g*}H_{0*}^2} \int_{z_{\nu*}=0}^1 \chi \overline{W}_{rig*} \, dz_{\nu*}.$$
(30)

593

Eq. 30 represents a third-order polynomial which is solved numerically. There may be up to three roots that satisfy Eq. 30, of which the root closest to the estimate by linear wave theory (Eq. 32, Section 4.5) is selected.

597

599

#### 598 4.5 Wave damping under linear wave theory

600 When the validity of linear wave theory inside the canopy is assumed, the velocity structure is 601 controlled by the ambient velocity field. The amplitude of the water particle velocities is given by 602

$$a_u(x_*, z_{v*}) = \frac{H_*k_*}{2F_r^2} \frac{\cosh Lk_* z_{v*}}{\cosh Dk_*}.$$
(31)

603

Substitution of Eq. 15, 28, 31 in Eq. 30 and application of the dimensionless dispersion relation  $DF_r^2 = k_* \tanh(Dk_*)$  reduce the conservation of energy to a single expression for  $\beta_*$ , according to 606

$$\beta_* = \frac{4}{3\pi} C_D \lambda_f D k_*^2 H_{0*} \frac{\int_{z_{\nu*}=0}^1 \chi \cosh^3(Lk_* z_{\nu*}) dz_{\nu*}}{(\sinh 2Dk_* + 2Dk_*) \sinh Dk_*}.$$
(32)

607

608 We note that for rigid vegetation,  $\chi = 1$  and Eq. 32 reduces to the rigid vegetation solution provided 609 in Dalrymple et al. (1984).

#### 611 **5. Model validation**

612

#### 613 **5.1 Validation of the velocity transfer function (***T***)**

614

The modelled velocity transfer function T is validated against a measured transfer function based on the observed water and plant motion. The measured transfer function is derived from the amplitude and phase differences in the natural harmonic of the observed water particle and stem velocities (Section 3.1). The validation includes flexible vegetation only, as the transfer function for rigid vegetation is trivial.

620

621 The amplitude  $a_T$  of the transfer function is excellently reproduced by the model (Fig. 9, top row). The amplitude transfer is  $a_T pprox 0$  at the root where the stem is clamped and increases towards the 622 623 tip to  $a_T \approx 1$  for both measured and modelled transfer functions. This indicates that the tip closely follows the water motion and the relative velocity is small, which fits with observations that the 624 625 energy dissipation is small at the tip. The coefficient of determination is excellent with  $r^2 = 0.84$ . 626 The velocity transfer is slightly overpredicted at the tip where the assumption of a near-vertical stem 627 affected only by the drag force may not hold. Additionally, the modelled transfer function is steady 628 state, but the measured transfer function was still developing in a quarter of the runs. In these cases, 629 the measured transfer function is lower than the steady-state function, which may also contribute to 630 the over-prediction of the modelled transfer function at the tip. The transfer function at the bottom 631 section of the stem which is important for wave damping is modelled correctly.

632

The phase  $\phi_T$  is reproduced well for most experimental runs (Fig. 9, middle row). Both measured 633 634 and modelled phases show that the stem velocities lead water motion by 45° at the root, which 635 decreases towards the tip where the water motion leads stem motion by 10°. The scattering of 636 experimental data is larger than predicted by the model due to natural variation in wave-vegetation 637 interaction not captured by the model and measurement errors in water and vegetation motion. The 638 scattering is maximum at the root where stem motion is minimal and, therefore, phase calculations are most sensitive to measurement errors. A limited number of outliers (10 out of 68 successful 639 runs) impact the coefficient of determination negatively ( $r^2 = 0.37$ ). Yet, a visual comparison shows 640 641 that most data points are centred around the line of perfect fit.

642

643 Work factor  $\chi$  is excellently reproduced by the model (Fig. 9, bottom row). The measured work 644 factor is derived from the measured transfer function via Eq. 29. The work done by waves on a 645 flexible stem at the root is equal to the work done on a rigid stem as denoted by  $\chi = 1$  at  $s_* = 0$ . 646 The work factor decreases as the amplitude transfer from water to stem motion increases from root 647 to tip. Here, a negative  $\gamma$  indicates that the stem velocities locally exceed the water velocities and 648 the relative velocity is fully out of phase with the water velocities. This behaviour agrees with 649 Mullarney and Henderson [35] who showed that the tip motion of flexible stems can exceed the 650 water motion that forces it. The agreement between measured and modelled work factors is excellent with  $r^2 = 0.87$ . 651



653  $S_*$   $S_*$  Modelled  $\chi$ 654 Figure 9: Validation of the velocity transfer function between water and stem motion. Top row: amplitude transfer  $a_T$ ; 655 middle row: phase transfer  $\phi_T$ ; bottom row: work factor  $\chi$ . The thick line denotes the mean of the measured (left column) 656 or modelled (middle column) transfer functions, or the goodness-of-fit of the mean (left column). The dashed lines denote 657 the 95% observation interval (mean  $\pm 2$  standard deviations), or the line of perfect fit (left column). The grey + signs 658 represent individual observations.

#### 660 **5.2 Validation of the damping coefficient**

661

662 Damping coefficient  $\beta$  is validated across five vegetation species with distinct biomechanical 663 properties under medium and high energy wave conditions. These include wave damping by the 664 rigid and flexible artificial vegetation (Section 3.1) and against three species of real salt marsh 665 vegetation: *Spartina Anglica, Puccinellia Maritima* and *Elymus Athericus* (Fig. 10). These species 666 differ in dimensions and flexural rigidity (Table 2) and have been tested under regular waves in large-667 scale flumes. The test conditions varied in water depth, wave height, wave period and stem density 668 such that the model is validated across a wide range of wave and vegetation conditions.

669

670 *S. Anglica* and *P. Maritima* were tested in the Cantabria Coastal and Ocean Basin (CCOB) of the 671 University of Cantabria, Spain [19,24,31]. *S. Anglica* is a stiff plant with the largest diameter of the

species tested. Alternatively, *P. Maritima* is a thin and flexible salt marsh grass. The experimental conditions featured medium water depths (h = 0.4 - 0.6 m) and wave heights (H = 0.15 - 0.20 m) at a range of wave periods (T = 1.2 - 2.2 s) and vegetation densities ( $n_v = 430 - 2436$  stems/m<sup>2</sup>).

675



676 P. Maritima S. Anglica E. Athericus
677 Figure 10: The three real vegetation species that were used for model validation. Photo of P. Maritima and S. Anglica is
678 adapted from Lara et al. [19]. Photo of E. Athericus is provided through the courtesy of Iris Möller (no scale available).

679 *E. Athericus* was tested in the Grosser Wellenkanal (GWK) of Forschungszentrum Küste in Hannover, 680 Germany [34,41]. *E. Athericus* is a thin and tall semi-flexible salt marsh grass. A 40 m long vegetation 681 field was submerged in deep water (h = 2 m) and subjected to medium and high energy wave 682 conditions (H = 0.11 - 0.89 m, T = 1.5 - 5.1 s). The stem density decreased as the experiments 683 progressed due to stem breaking. Therefore, the model was run following stem density data 684 provided in Rupprecht et al. [41].

685

686 The model is run with plant and wave conditions of individual runs as input. It is assumed that wave 687 damping by real vegetation is dominated by the cylindrical stems. Fig. 10 shows that the geometry of 688 the tested S. Anglica and P. Maritima species is dominated by their stems, and the tested E. 689 Athericus was considered cylindrical in Rupprecht et al. [41]. The velocity fields around real 690 vegetation are based on linear wave theory (Section 4.5), which was also successfully applied in the wave damping analysis in Losada et al. [24] and Möller et al. [34]. The drag coefficient for all species 691 692 is given by Eq. 9. The velocity scale is based on linear wave theory halfway based on measured wave 693 height halfway the vegetation field as an estimate of the spatially averaged velocity. This non-694 predictive definition of the velocity scale can be avoided if the vegetation field is divided into 695 sufficiently short sections, as is typically done when calculating vegetation-induced wave damping in 696 large-scale computational wave models that use a gridded computational domain [e.g. SWAN, 4]. 697

The agreement between modelled and measured wave damping is good with  $r^2 = 0.66$  (Fig. 11), 698 which shows that our model is applicable across a range of plant and wave conditions without plant-699 700 specific calibration. Excellent agreement is obtained for rigid and flexible artificial vegetation and for 701 E. Athericus. The absence of vegetation motion is correctly modelled for rigid vegetation, as is the 702 reduction in wave damping by flexible vegetation and E. Athericus due to plant swaying. Wave 703 damping by S. Anglica and P. Maritima is predicted in the right order of magnitude but with 704 significant scattering of the data, as demonstrated by their NRMSE of 0.56 and 0.62 respectively. 705 This is partly attributed to the experiment setup of CCOB. Unlike rectangular flumes where 706 vegetation spans the entire flume width, the CCOB features a circular platform on which vegetation 707 is positioned. A circular vegetation patch may contribute to wave diffraction and other three-708 dimensional hydrodynamic effects, which are not included in our model. Furthermore, our omission 709 of wave damping by leaves and stem interactions may have contributed to an underestimation of 710 the measured wave damping, as is observed for P. Maritima. Finally, buoyancy, added mass, and

- 711 Froude-Krylov forces may have initiated a non-passive plant motion, which is not captured by our
- 712 model and thus contributes to uncertainty in  $\beta_{model}$ .
- 713
- 714 Table 2: List of vegetation species used for model validation. n denotes the number of unique wave conditions.

Parameter	<b>Rigid mimics</b>	Flexible	S. Anglica	P. Maritima	E. Athericus	Unit
		mimics	[19,24,31]	[19,24,31]	[34,41]	
Туре	Artificial	Artificial	Real	Real	Real	-
$h_v$	300	300	284	473	700	mm
$b_{v}$	5.0	5.0	6.0	3.0	1.3	mm
$EI_{v}$	$9.0 \times 10^{-2}$	$1.7 \times 10^{-5}$	$1.8 \times 10^{-2}$	$8.7 \times 10^{-5}$	$3.0 \times 10^{-4}$	Nm <sup>2</sup>
$n_v$	1111	1111	430 - 729	877 - 2436	666 - 1225	m <sup>-2</sup>
u <sub>c</sub>	0.13 - 0.26	0.14 - 0.30	0.16 - 0.33	0.16 - 0.38	0.09 - 0.75	ms⁻¹
Са	0.02 - 0.10	146 - 692	0.20 - 0.84	96 - 530	11 - 824	-
L	4.1 - 11	3.5 - 8.9	2.5 - 8.6	4.0 - 13	1.4 - 24	-
$Q^*$	0.19 - 0.29	1013 - 1601	0.82 - 2.0	1041 - 2314	654 - 1656	-
n	24	24	14	18	10	-

715 \* At first iteration, i.e.  $a_v = 0$ .

716



Figure 11: Validation of the modelled wave damping coefficients  $\beta_{model}$  against the measured wave damping coefficients  $\beta_{exp}$  across two types of artificial vegetation and three species of real vegetation. The dashed line denotes a perfect fit. The  $r^2$  goodness-of-fit is given, as well as the NMRSE of each specie. RM: Rigid mimics; FM: Flexible mimics; SA: S. Anglica; PM: P. Maritima; EA: E. Athericus.

722

#### 723 6. Conclusions and discussion

724

We have developed a mathematical model for the damping of regular waves over coastal vegetation under quasi-flexible vegetation conditions, based on the key physical processes involved in the

727 wave-vegetation interaction. Three mechanisms were identified during the experimental 728 investigations as important for wave damping over rigid and flexible vegetation: (i) the drag force is 729 the dominant force in the bottom section of a flexible stem; (ii) wave energy is dissipated in the 730 bottom section of a flexible stem; (iii) wave energy dissipation is controlled by the velocity difference 731 between water and stem rather than the reconfiguration of stem posture. We found that the energy 732 dissipation by rigid stems was maximum at the stem tip where the wave velocities were the largest, 733 while the dissipation by flexible stems was maximum at the upright bottom section where stem 734 motion was the smallest.

735

Supported by our experimental investigations, we model vegetation as near-vertical flexible rods in which wave damping is controlled by the velocity transfer from water to stem motion. The velocity transfer is linked to a new work factor, which describes the reduction in wave dissipation relative to rigid vegetation due to plant motion. Wave damping in the model is a function of vegetation and wave parameters and does not require the calibration of the drag coefficient for different plant species.

742

743 Our model successfully reproduces wave damping over vegetation for five coastal vegetation 744 species, which differ in geometry and flexural rigidity, and under different wave climates. The model 745 validation included three real vegetation species tested in large-scale experiments. Our model 746 reproduced wave damping in the right order of magnitude for each specie and for both medium and 747 high energy wave conditions, which shows its validity across a wide range of representative field 748 conditions.

As our model does not require site-specific calibration, it is particularly suited to areas with spatiotemporal variations in vegetation and hydrodynamic conditions. It benefits large areas or areas where interventions such as managed realignment, grazing, and the introduction of new species are considered. Furthermore, the model can be applied to vegetation of different types, sizes and flexibilities when the plant geometry can be represented as a cylinder.

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749

756 Despite the potential of our model shown in this research, it is important to emphasize the potential 757 limitations that should be considered in the application of this model. First, the model assumptions 758 rely on the experimental investigations, which limits their applicability to the quasi-flexible 759 vegetation conditions considered in this study, i.e. L > 1.4 and Ca < 700. These conditions are 760 applicable to most coastal vegetation species, but may be exceeded for very flexible species and 761 during extreme wave conditions. Secondly, wave-vegetation mechanisms like vegetation-induced 762 currents and inertia forces have been omitted in our modelling framework as they were not 763 identified as key processes for wave damping in our experimental vegetation types. Our aim has 764 been to develop a computationally fast model that balances complexity and applicability, justifying 765 our focus only on key mechanisms. However, the selected key processes have been based on a 766 specific set of experiments, which for example showed a negligible impact of wave-driven streaming 767 within flexible vegetation canopies as opposed to other experimental studies [1,26]. Although inertia 768 forces have been included in other wave damping models [e.g. 29,31], we found that they only had 769 limited impact on wave damping in our experiments. Finally, the impact of leaves and stem-stem 770 interactions were not considered in this study. Their influence on wave damping remains an open 771 question.

772

Finally, our model builds strongly on our experimental data although we validated wave damping against independent datasets found in literature with real vegetation. The strong tie between experimental work and modelling means that uncertainties in model observations may propagate into model simulations. The main uncertainties in the experimental work are the relatively short canopy, wave reflection in the wave channel, and a simplified method used to visualise vegetation 778 motion. The canopy of 1.5 m meant that only three wave gauges could be fitted around the 779 vegetation, which limited the number of data points that could be used to fit  $\beta_{exp}$  and determine its 780 accuracy. Additionally, the reflection in the wave tank limited the number of waves that could be 781 used for the analysis. Finally, simplifying the vegetation postures to an arc meant that postures with 782 a double infliction point or extreme bending could not be replicated. To reduce the impact of these 783 uncertainties, each condition was repeated three times to increase data quantity and the final model was validated against two independent data sets with real vegetation fields. Nevertheless, the 784 785 limitations of our experimental approach should be considered when applying our model. We 786 recommend that our key mechanisms and wave damping predictions will be further validated with 787 new independent datasets across a range of wave and vegetation conditions to enhance the 788 predictive capacity of our model.

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791

789

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## 804

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- 929

#### Appendix A: Description of the spatial modes

The orthogonal spatial modes of the plant and water motion are given by

$$\psi_n(z_{v*}) = (\cosh \alpha_n z_{v*} - \cos \alpha_n z_{v*}) + \frac{\cos \alpha_n + \cosh \alpha_n}{\sin \alpha_n + \sinh \alpha_n} (\sin \alpha_n z_{v*} - \sinh \alpha_n z_{v*}), \quad (A.1)$$

where eigenvalues  $\alpha_n$  satisfy

$$\cosh(\alpha_n)\cos(\alpha_n) - 1 = 0. \tag{A.2}$$

The first three roots of Eq. A.2 are given by  $\alpha_1 = 0.5969\pi$ ,  $\alpha_2 = 1.4942\pi$ ,  $\alpha_3 = 2.5002\pi$ , and are approximated by  $\alpha_n = (n - 0.5)\pi$  thereafter. The spatial modes satisfy Eq. 19 and their spatial derivative is given by

$$\frac{\partial \psi_n}{\partial z_{n*}} = \alpha_n \psi_n. \tag{A.3}$$

The four lowest order modes are shown in Fig. A.1a. The weights of the complex spatiotemporal coefficients of the water motion are obtained by solving the linear system 



where  $U_f(z_{v*})$  are the temporal coefficients of the water motion along the stem. To solve Eq. A.4, the wave motion along the stem is discretised following the number of modes considered, which is set at 10 in this study. A sample decomposition of a velocity profile based on linear wave theory by 10 spatial modes is plotted in Fig. A.1b. Furthermore, the resulting vegetation velocity profile of an artificial flexible stem under the sample forcing is shown in Fig. A.1c. While higher order modes will better represent input velocity profile near the bottom, their effect on the resulting vegetation velocity is negligible due to their high eigenvalues ( $\alpha_n$ ).



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Figure A.1: (A) The first four spatial modes  $\psi_n$  (Eq. A.1); (B) decomposition of a velocity structure given by linear wave theory into 10 spatial modes. The thin coloured solid lines denote the weighted spatial modes, the dotted black line denotes the input velocity profile and the thick black line denotes the sum of all spatial modes; (C) resulting vegetation velocity structure of the artificial flexible vegetation.

#### Appendix B: Proof of a unique solution of the velocity transfer function (T)961

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We substitute Eq. 21 and  $Q = \frac{4}{3\pi} C_D CaL \int_0^1 (a_u - a_v) dz_{v*}$  in Eq. 22. Furthermore, we consider the 963 stem-averaged magnitude of both sides of Eq. 24 to obtain an expression for the stem-averaged 964 965 vegetation velocity according to

966

$$\int_{0}^{1} a_{\nu} dz_{\nu*} = \int_{0}^{1} \left| \sum \left( \frac{U_{n} \psi_{n}}{1 - \frac{i \alpha_{n}^{4}}{\frac{4}{3\pi} C_{D} CaL \left( \int_{0}^{1} a_{u} dz_{\nu*} - \int_{0}^{1} a_{\nu} dz_{\nu*} \right)} \right) \right| dz_{\nu*}.$$
 (B.1)

967 968

The stem-averaged magnitude of the vegetation velocity  $\int_0^1 a_v dz_{v*}$  is bound by  $[0, \int_0^1 a_u dz_{v*}]$ . The 969 lower bound denotes no vegetation motion and the upper bound represents full velocity transfer 970 from water to vegetation motion. The left-hand side monotonically increases and the right-hand side 971 monotonically decreases for increasing  $\int_0^1 a_v dz_{v*}$  within its range. Therefore, there is at most one 972 973 solution of Eq. B.1.

974

We evaluate  $\int_0^1 a_v dz_{v*}$  at its lower and upper bound. If  $\int_0^1 a_v dz_{v*} = 0$ , the left-hand side is smaller 975 than the right-hand side of Eq. B.1. If  $\int_0^1 a_v dz_{v*} = \int_0^1 a_u dz_{v*}$ , then Q = 0 and the right-hand side of 976 Eq. B.1 approaches 0. Yet  $\int_0^1 a_v dz_{v*} > 0$  at its upper bound when wave forcing is present. Thus, the 977 left-hand side is larger than the right-hand side at the upper bound. As both sides of Eq. B.1 are 978 continuous functions of  $\int_0^1 a_v dz_{v*}$ , there is at least one solution of Eq. B.1. As we showed before 979 that there is at most one solution, there must be exactly one solution of Eq. B.1 and Eq. 22. 980

Dear Professor Losada,

We would like to highlight three key results from our manuscript *Modelling wave attenuation by quasi-flexible coastal vegetation.* 

- New mathematical model for simulating wave damping by coastal vegetation under quasiflexible vegetation conditions based on the key physics in the wave-vegetation interaction.
- Wave energy dissipation over flexible vegetation is controlled by the velocity transfer from water to stem as function of wave and vegetation conditions.
- Wave damping is successfully reproduced for five vegetation species that differ in flexibility without the calibration of a drag coefficient.

Yours sincerely,

Thomas van Veelen

Journal Pre-proof

#### **Declaration of interests**

 $\boxtimes$  The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: