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COMPARISON OF CEREBROCORTICAL MICROVASCULAR EFFECTS OF DIFFERENT HYPOXIC-ISCHEMIC INSULTS IN PIGLETS: A LASER-SPECKLE IMAGING STUDY

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The newborn pig is a widely accepted large animal model of hypoxic/ischemic (H/I) encephalopathy (HIE) of the term neonate appropriate for translational research. The methodology of the induction of H/I stress shows extensive variability of the literature, and little is known how these affect study outcome. The purpose of the present study was to determine the cerebrocortical microvascular effects of different H/I insults used in current HIE piglet models. For the semiquantitative study of cerebrocortical blood flow, we developed a methodological innovation: an operating microscope was converted into a custom-designed laser-speckle imager. Anesthetized, air-ventilated newborn pigs (n=7) were fitted with a closed cranial window. Speckle image series (2 ms, 1 Hz) were collected during baseline conditions, during transient bilateral carotid artery occlusion (BCAO), hypoxic (FiO₂=0.1) hypoxia, hypoxia + BCAO, and asphyxia induced by suspending ventilation. Laser-speckle contrast analysis was performed off-line over parenchymal and arteriolar regions of interests, and pial arteriolar diameters were also determined for detailed analysis of cortical perfusion changes. Under normoxic conditions, transient BCAO did not affect parenchymal perfusion or pial arteriolar diameters. Hypoxia induced marked cortical hyperemia in 5 out of 7 piglets, with simultaneous increases in pial arteriolar diameters and arteriolar flow velocity, however, BCAO could not even affect these hypoxia-induced perfusion changes. In contrast to hypoxia or hypoxia + BCAO, asphyxia inevitably led also to severe cerebrocortical ischemia. In summary, acute reversible BCAO does not reduce cerebrocortical blood flow in the piglet, and thus it likely does not exacerbate the effect of hypoxic ventilation. Asphyxia elicits not only severe hypoxia, but also severe brain ischemia. These microcirculatory effects must be taken into consideration when assessing results obtained in the various HIE piglet models.

Key words: *carotid artery, cranial window, cerebrovascular reactivity, autoregulation, pial arterioles, brain ischemia*

INTRODUCTION

The newborn piglet is an accepted large animal model to study the pathophysiology of hypoxic/ischemic (H/I) encephalopathy (HIE) of term infants, and to test putative neuroprotective strategies. HIE has multiple aetiology, and similar to this clinical heterogeneity, there have been numerous experimental methods in use to induce H/I stress in the newborn piglet. Although in the last three decades many experimental approaches have been tested ranging from acute total global cerebral ischemia induced by elevation of intracranial pressure above arterial pressure (1) to asphyxia induced by bilateral pneumothorax (2), however, more recently H/I stress is elicited by ventilating the piglets with hypoxic gas mixtures with (3-5) or without (6-8) bilateral occlusion of the common carotid arteries, suspending ventilation by occluding the endotracheal tube to elicit asphyxia (9), or sequential combination of the two methods (hypoxia/reoxygenation followed by asphyxia/reventilation) (10, 11). Understandably, all research groups adhere to their preferred H/I induction

method in order to inflict consistent neurological damage and to be able to compare their results with the outcome of previous experiments. Therefore, direct comparisons between these H/I models are very scarce in the literature, and there is virtually no information to which extent these models actually elicit blood flow decreases in the brain, although the degree of ischemia is indeed a key determinant of neuronal damage.

In the present study, we set out to investigate the cerebrocortical microcirculatory effects of H/I insults that are often used in piglet models of HIE. More specifically, we were interested (I) how bilateral carotid artery occlusion (BCAO) affected the cortical perfusion in normoxic and in hypoxic conditions, and (II) how cerebrocortical perfusion altered during asphyxia/reventilation. We used the closed cranial window/laser-speckle imaging (LSI) technique that produces vivid two-dimensional perfusion maps, and these images were then evaluated with laser speckle contrast analysis (LASCA) allowing simultaneous assessment of parenchymal perfusion, pial arteriolar diameter and arteriolar flow velocity changes as shown previously (12).

MATERIALS AND METHODS

Animals

Newborn (1–2 days old, body weight: 1.5–2.5 kg) male Large-White piglets (n=7) were obtained on the day of experimentation from a local company (Pigmark Ltd. Co., Szeged, Hungary). All procedures were approved by the Animal Care and Use Committee of the University of Szeged.

Anesthesia was induced with intraperitoneal injection of sodium thiopental (45 mg/kg; Sandoz, Kundl, Austria) followed by intubation through tracheotomy and artificial ventilation with warmed and humidified medical air (21% O₂, balance N₂), using a pressure-controlled ventilator. The ventilation rate (25–35/min) and the peak inspiratory pressure (100–125 mmH₂O) were set to keep blood gases and oxygen saturation in the physiological range. To elicit transient BCAA, remotely controlled vascular occlusion cuffs (OC 2A, In Vivo Metric, Healdsburg, CA, USA) were secured around both exposed common carotid arteries. The right femoral artery and vein were catheterized to monitor arterial blood pressure, pH, pCO₂, pO₂, glucose, and to inject drugs and fluids, respectively. Anesthesia/analgesia was continued with intravenous bolus injection of morphine (100 µg/kg; Teva, Petah Tikva, Israel), and midazolam (250 µg/kg; Torrex Pharma, Vienna, Austria) then maintained with intravenous infusion of morphine (10 µg/kg/h), and midazolam (250 µg/kg/h) along with fluids (5% glucose, 0.45% NaCl, 2–5 ml/kg/h), with additional boluses if necessary. Body temperature was kept in the physiological range (38.5±0.5°C) using an electric heating pad.

The animals were then placed in a prone position with the head fixed in a stereotactic frame. Oxygen saturation, heart rate, arterial blood pressure, and body temperature were monitored with a Hewlett-Packard M1094 monitor (Palo Alto, California, USA); the data were on-line recorded using a PC (MecivView, Arlington, MA, USA). After retraction of the scalp, a ~20 mm diameter circular craniotomy was made in the left parietal bone, where a stainless steel closed cranial window with three needle ports was inserted after careful removal of the dura mater. The cranial window was sealed with bone wax, cemented in place with dental acrylic, and was filled with artificial cerebrospinal fluid (aCSF; containing KCl 220, MgCl₂ 132, CaCl₂ 221, NaCl 7710, urea 402, dextrose 665, and NaHCO₃ 2066 mg/l, warmed to 37°C and equilibrated with a gas mixture containing 6% O₂, 6.5% CO₂ and 87.5% N₂). There was a 60 min stabilization period allowed after the implantation of the cranial window before commencing the experiments.

The experimental protocol was the following: after obtaining 2 min baseline, transient BCAA was elicited by inflating the occluders with air to 280 mmHg for 2 min. 5 min after the termination of the first BCAA, FiO₂ was reduced to 0.1. Two more BCAOs (2–2 min) were elicited at the 5th and 30th minutes of hypoxic period. Five min after the last occlusion period, the animals were reoxygenated by ventilating them again with air for 5 min, followed by 7 min asphyxia induced by halting artificial ventilation and blocking the endotracheal tube. After asphyxia, the animals were reventilated with air for 5 min then the anesthetized animals were euthanized to obtain biological zero measurements. During these interventions LSI was performed, and the obtained images were analyzed by LASCA as described in the following section.

Laser-speckle imaging and contrast analysis

The cranial window was illuminated by the polarized light of a near infrared diode laser (λ=808 nm, 200 mW; DL-8141-002 SANYO Electric Co., Japan) through an optical polarizing cube

beamsplitter (850 nm, 35 mm; Edmund Optics Ltd, York, UK) approximately perpendicular to its surface (Fig. 1). The speckle images were recorded through the same polarizing cube that was attached through a custom-made bayonet adaptor to the objective of an operating microscope (Wild, Heerburg, Switzerland), coupled to a 1280×1024 pixel monochrome camera (PL-B741F; PixeLINK® Ottawa, Canada). Speckle images were recorded with 2 ms exposure time with 1 frame/s rate, and stored on a personal computer. Speckle contrast analysis was performed off line using custom-designed software written in LabVIEW (National Instruments Co., Austin, TX, USA). The local contrast maps were calculated from the recorded speckle images using rolling windows of 5×5 pixel areas. For each measurement in each animal, 4–4 regions of interests (ROIs) having an area of 5×5 pixels (~100 µm²) on the raw speckle images were identified over the cortical parenchyma and over pial arterioles. The τ correlation times were calculated using eq.1, where K is the average speckle contrast of the corresponding ROI, T is the exposure time and β is the coherence factor:

$$K(T) = \sqrt{\beta} \left\{ \frac{\tau^2}{2T^2} \left[\exp\left(-\frac{2T}{\tau}\right) - 1 + \frac{2T}{\tau} \right] \right\}^{1/2} \quad 1.$$

The β coherence factor was previously determined from the speckle contrast of images recorded from a white Teflon® sheet as proposed in the literature (13). The 1/τ values were normalized to the respective baseline values as presented in the Results. 1/τ over parenchymal ROIs were determined in every image, however, in order to obtain better spatial resolution of arterioles, 1/τ values of ROIs over arterioles were determined at selected timepoints representing steady states of 30 s periods after averaging the contrast map of 31 consecutive images in order to decrease the native statistical noise of the images. On these images the internal diameter of the pial arterioles was also determined.

Statistical analysis

Parametric data are expressed as mean ±S.E.M. Data were analyzed with statistical software (SigmaPlot 11, Systat Software Inc., San Jose, CA, USA). Data were analyzed with one-way repeated measures ANOVA, followed by the Student-Newman-Keuls *post hoc* test where appropriate. P values <0.05 were considered statistically significant.

RESULTS

The assessed physiological parameters were in the normal range in all animals before the onset of hypoxia, the values were: MABP: 79±3 mmHg; heart rate 163±11 1/s; core body temperature 38.5±0.1°C; pH: 7.42±0.03; pCO₂: 38±3 mmHg, pO₂: 79±8 mmHg, oxygen saturation: 94±1%; glucose: 5.4±0.6 mmol/L. Hypoxia decreased oxygen saturation to 37±4%, and after 30 min blood gas values were: pH: 7.29±0.04; pCO₂: 37±2 mmHg, pO₂: 22±2 mmHg. The systemic cardiovascular response to hypoxia was quite variable: MABP was maintained or even increased in 5 piglets (from 78±12 to 85±19 mmHg), however, in two animals, MABP was severely depressed: from 84 to 51 and from 71 to 39 mmHg, respectively. Asphyxia resulted in severe acidosis, hypercapnia, hypoxia: the values were: pH: 6.73±0.02*; pCO₂: 87±6* mmHg, pO₂: 14±3* mmHg, respectively (* significantly different from baseline values).

BCAO did not affect cortical parenchymal perfusion in either normoxic conditions (Figs. 2A, 3A, 3B), or the early/late phase of hypoxic ventilation (Fig. 2B, 2C). Hypoxia elicited in

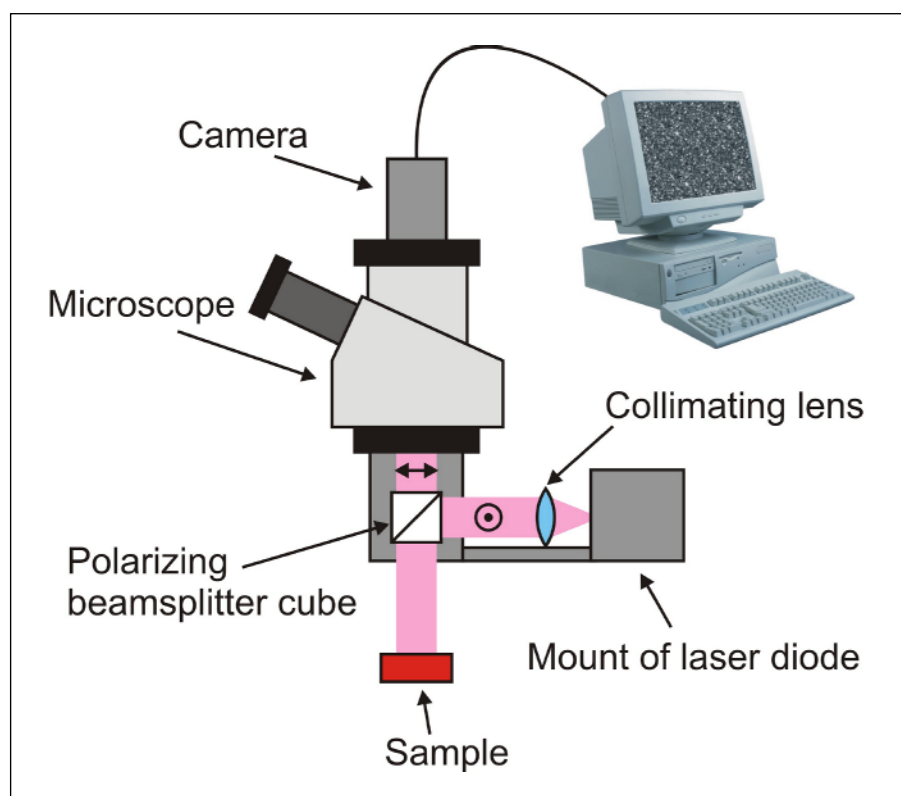


Fig. 1. The technical setup of the LSI device. The S-polarized light (direction of polarization is indicated by \odot) of the laser diode was collimated, and then reflected towards the sample by the polarizing beamsplitter cube. The polarizing beamsplitter was designed as to reflect one polarization direction (S) while to transmit the other one (P-polarized light indicated by \leftrightarrow). The light which was backscattered from the sample was depolarized (non-polarized) due to the multiple scattering, however, only its P-polarized components could reach the microscope and the camera through the cube. This enhanced the contrast of the speckle images by cutting off any laser photons suffering direct reflection from the optical components, while allowing only the light scattered from the monitored sample towards the microscope.

marked increases in cortical perfusion in the animals that maintained or increased their MABP after the initiation of hypoxia (Figs. 2B, 3C, 3D). In these animals, the hyperaemic response to hypoxia reached a steady state approximately after ~10 min and the dynamics of this blood flow increase appeared unaffected by the onset of the 2nd BCAO period elicited 5 min after the induction of hypoxia (Fig. 2B). In the two animals responding with hypotension, at the 10th minute of hypoxia cortical perfusion was reduced to 63% and 62% of baseline values, respectively. After the restoration of normoxia, elevated cortical perfusion corresponding with reactive hyperemia was observed, however, asphyxia quickly resulted in severe, but upon reventilation reversible cortical ischemia (Figs. 2D, 3E).

BCAO did not significantly affect pial arteriolar diameters, but it elicited a small increase in the velocity of arteriolar flow (Fig. 4A, 4B). In contrast to the minor effect of BCAO on arterioles, in the animals that maintained/increased MABP to hypoxia, hypoxia elicited a robust sustained vasodilation and a parallel increase in arteriolar flow velocity (Fig. 4A, 4B). In the two animals responding with hypotension, hypoxic vasodilation was less prominent, and arteriolar $1/\tau$ values were also reduced to 56% and 57% of baseline values (at 10th min of hypoxia), respectively. Asphyxia elicited a ~90% but upon ventilation reversible decrease in arteriolar flow velocities again corresponding well with the ischemia/reperfusion observed in the cortical parenchyma.

DISCUSSION

The major novel finding of the present study is that BCAO does not significantly reduce cerebrocortical perfusion, and it does not even reduce hypoxia-induced hyperemia in the cortical microcirculation. We also demonstrated that asphyxia indeed elicits severe cerebrocortical ischemia.

Choosing an appropriate animal model is of vital importance for successful translational research. In rodents, unilateral carotid artery occlusion combined with hypoxia produces robust, unilateral infarction that has been widely utilized (14-16). In contrast to the neonatal rodents with premature lysencephalic brains, the newborn pig has long been recognized as one of the best relatively easily available large animal model of the term human neonate to study HIE (17). This statement, however, refers primarily to the piglet's brain, but not to its supplying arteries. In addition to differences in the anatomy of the origin of the common carotid arteries, there is a so-called *rete mirabile* at the base of the brain providing extensive anastomoses among all major extracranial arteries that serve the brain. The compensatory capacity of the *rete mirabile* in newborn pig HIE models remained undetermined, although in adult swine it has become an important neurosurgery model of arteriovenous malformations (18). Haaland *et al.* published a detailed angiographic study of the piglet extracerebral vasculature, in which they carefully proposed that ligation of any extracranial arteries likely would not induce severe focal cerebral ischemia (19). In agreement with this suggestion, unilateral carotid artery occlusion was indeed demonstrated not to affect cerebral perfusion (20), but the efficacy of BCAO to elicit cerebral ischemia augmenting the insult of simultaneous hypoxic ventilation was not questioned previously. Even very recently, a series of very important high-impact studies have been published using hypoxic ventilation with BCAO in the piglet (21-24), however, in these studies cerebrocortical blood flow was not assessed.

In our present paper, we utilized LSI/LASCA, a very sensitive novel method to detect cerebrocortical perfusion changes triggered by BCAO, hypoxia, hypoxia + BCAO, and asphyxia. We demonstrated previously that LSI/LASCA could record cerebrocortical parenchymal perfusion increases or decreases to a number of vasoactive stimuli that match blood flow changes

measured by other methods (12). The present study yields novel insight on the unexpected inability of BCAA to reduce cerebrocortical perfusion, although it is well-known that in rats BCAA leads to chronic cerebral hypoperfusion (25, 26). In fact, BCAA did not even blunt the hyperemic response to hypoxia. In this study, we performed relatively short duration transient BCAOs, not as long ones used to induce HIE. However, this is clearly not a limitation, since our purpose was to test the microcirculatory effect of a sudden drop in perfusion pressure

induced by BCAA. The ischemia induced by such vascular occlusion is expected to take place immediately and reach its maximum within a few seconds before the perfusion would start to recover due to the activation of autoregulation mechanisms (27). However, we saw no signs of this sudden decrease in any of our experiments. We repeated the BCAA challenge after 30 min of hypoxia, where conceivably due to the hypoxic vasodilation the compensatory capacity of the microcirculatory bed can be impaired to withstand a drop in perfusion pressure, however,

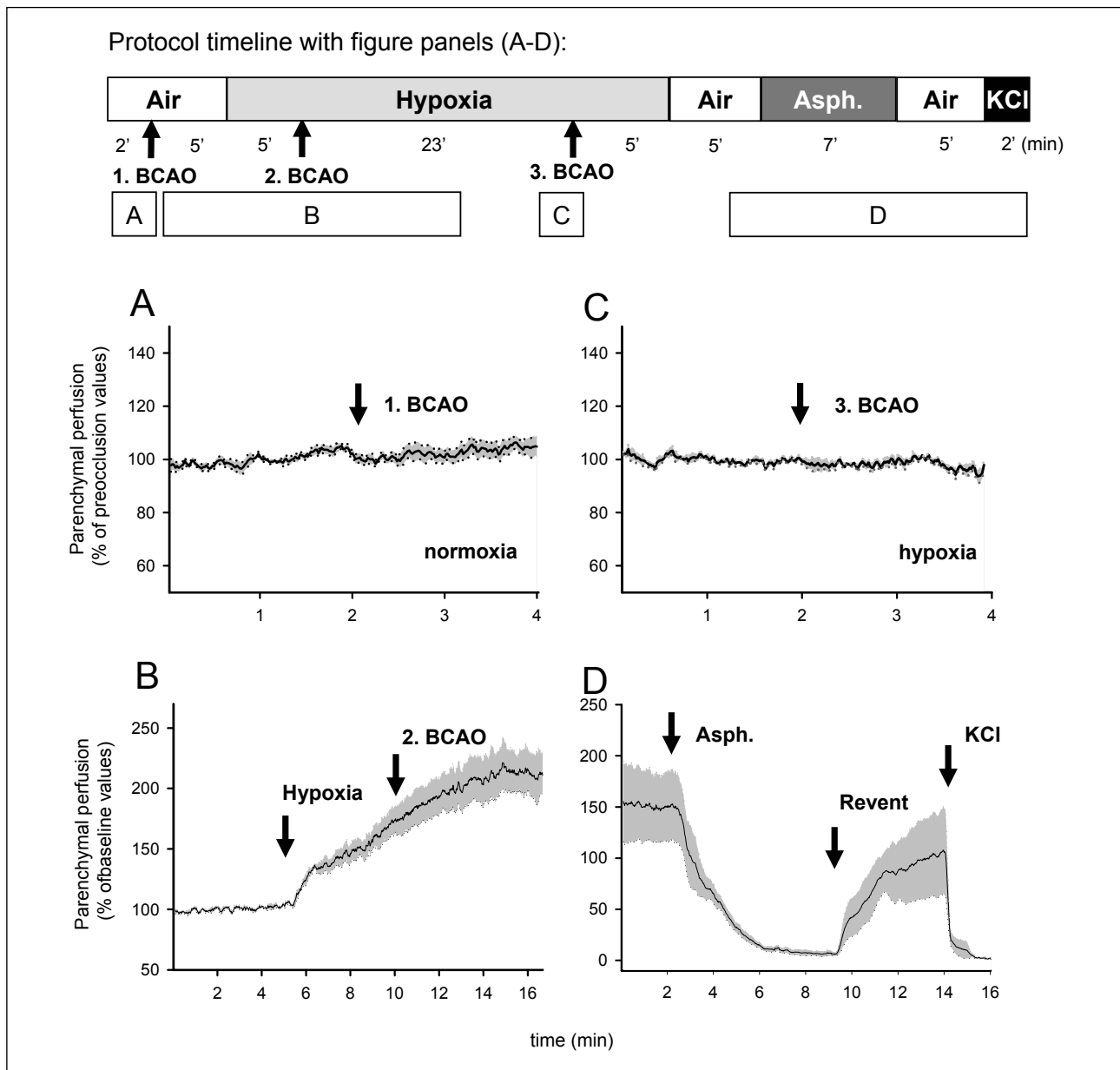


Fig. 2. Cortical parenchymal perfusion assessed with LASCA. The timeline of the experimental protocol is shown together with the time periods plotted on Panels A–D. Transient BCAA was performed three times, once under mechanical ventilation with air (Air), and twice during ventilation with 10% O₂ (hypoxia). After 5 min reoxygenation mechanical ventilation was suspended to induce asphyxia (Asph.). After reventilation biological zero was obtained after iv KCl solution (KCl). Cortical parenchymal perfusion is expressed as the % change in normalized $1/\tau$ values compared to the respective baseline (Panels A, B, D) or before BCAA (Panel C) measurements. The mean is plotted as the solid line, the S.E.M. as the grey shaded area. BCAA did not affect cortical perfusion during steady states either under normoxic (Panel A) or hypoxic (Panel C) conditions. Furthermore, BCAA did not modify the development of hyperemia after the induction of hypoxia in the 5 animals that could maintain their MABP during the hypoxic period (Panel B). Reoxygenation lead to variable (~50%) reactive hyperemia, then asphyxia resulted in severe but reversible ischemia that developed over the first 4–5 min of the period. Cardiac arrest resulting in the loss of perfusion pressure resulted in an instant complete ischemia (Panel D).

BCAO was also remarkably ineffective in this condition as well. This intriguing independence of the piglet cerebral circulation from the patency of the common carotid arteries likely stems principally from the *rete mirabile* anastomotic network, but also the extracranial branches of the common carotid/internal carotid arteries (more specifically the external carotid, occipital and condylic arteries) can retrogradely fill the internal carotid artery after BCAO that is typically performed at the level of the 4th cervical vertebra (22) thus proximal from these branches (19). Although it might be possible to obtain a “modified” carotid artery

by ligating all these branches (19), this would require quite extensive surgery and have not been utilized in any piglet HIE models yet. Our present findings in the piglet are consistent with those demonstrated in other ungulates, specifically ruminants: sheep and calves (28). These species also have a *rete mirabile* and an extensive extracranial (occipitovertebral) anastomotic network, and BCAO was shown to be compensated by flow increases in remaining patent arteries (28). Importantly, in fetal sheep HIE models, the ligation of occipitovertebral anastomoses is routinely used to enable BCAO to elicit brain ischemia (29).

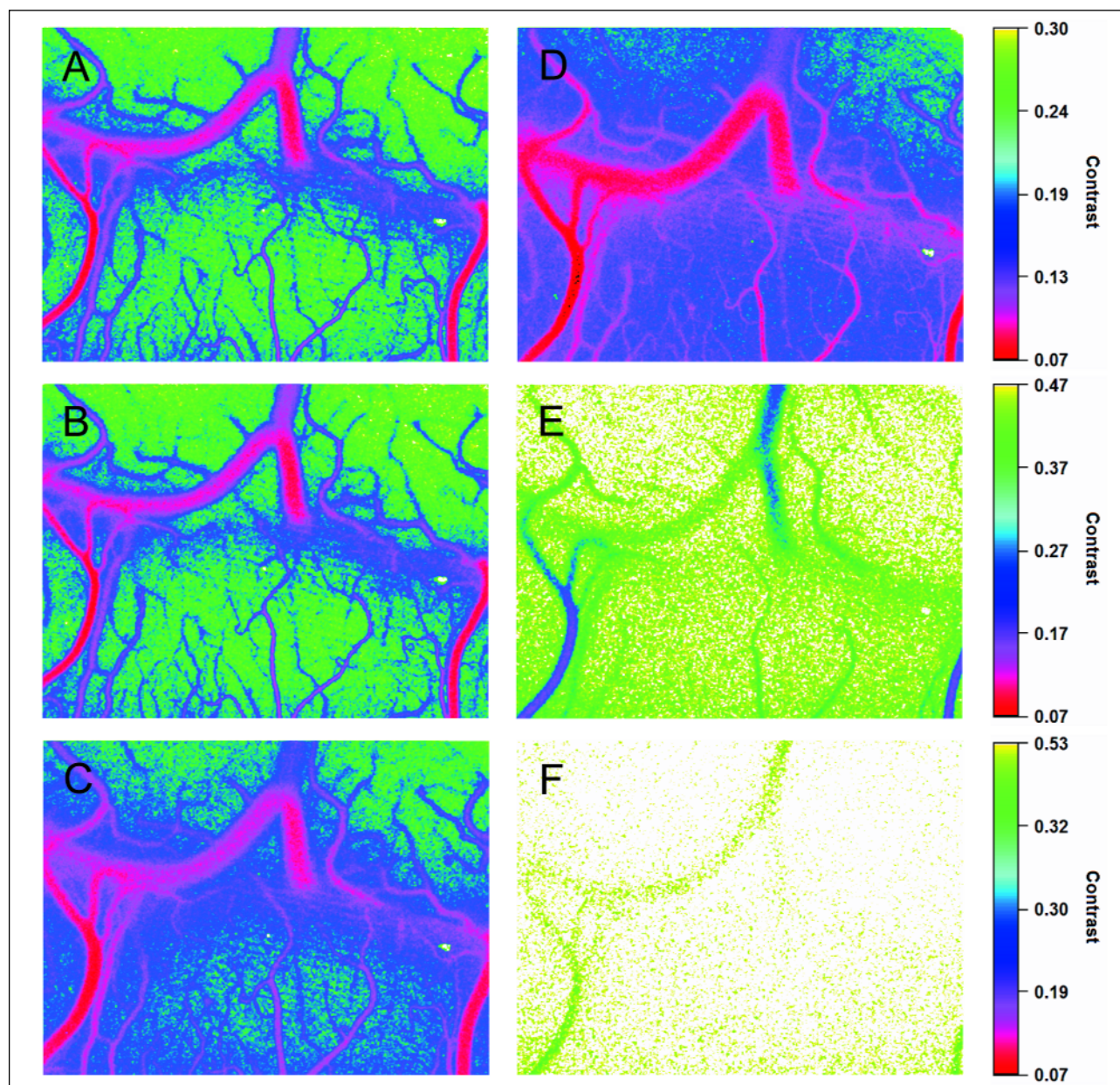


Fig. 3. Representative laser-speckle contrast images obtained through a closed cranial window from piglet experiment #802 (A–F). Speckle contrast images provide vivid perfusion maps of the cerebrocortical surface, with lower contrast values in the high velocity pial vessels, and higher contrast values for the parenchyma representing slower velocity capillary flow. Corresponding contrast scales on the right: Panels A–D: upper, Panel E: middle, Panel F: lower scale. Panel A: baseline condition. Panel B: BCAO does not substantially change the perfusion map. Panels C–D: 10–30 min after the initiation of hypoxia, reduction in parenchymal speckle contrast, and arteriolar vasodilation can be easily observed representing maintained hypoxic hyperemia. Panel E: asphyxia (7 min) will result in severe ischemia shown by the robust increase in speckle contrast. In order to visualize the remnant perfusion, the contrast scale had to be reset. Panel F: after euthanasia, the speckle contrast further increases with the simultaneous disappearance of the perfusion map.

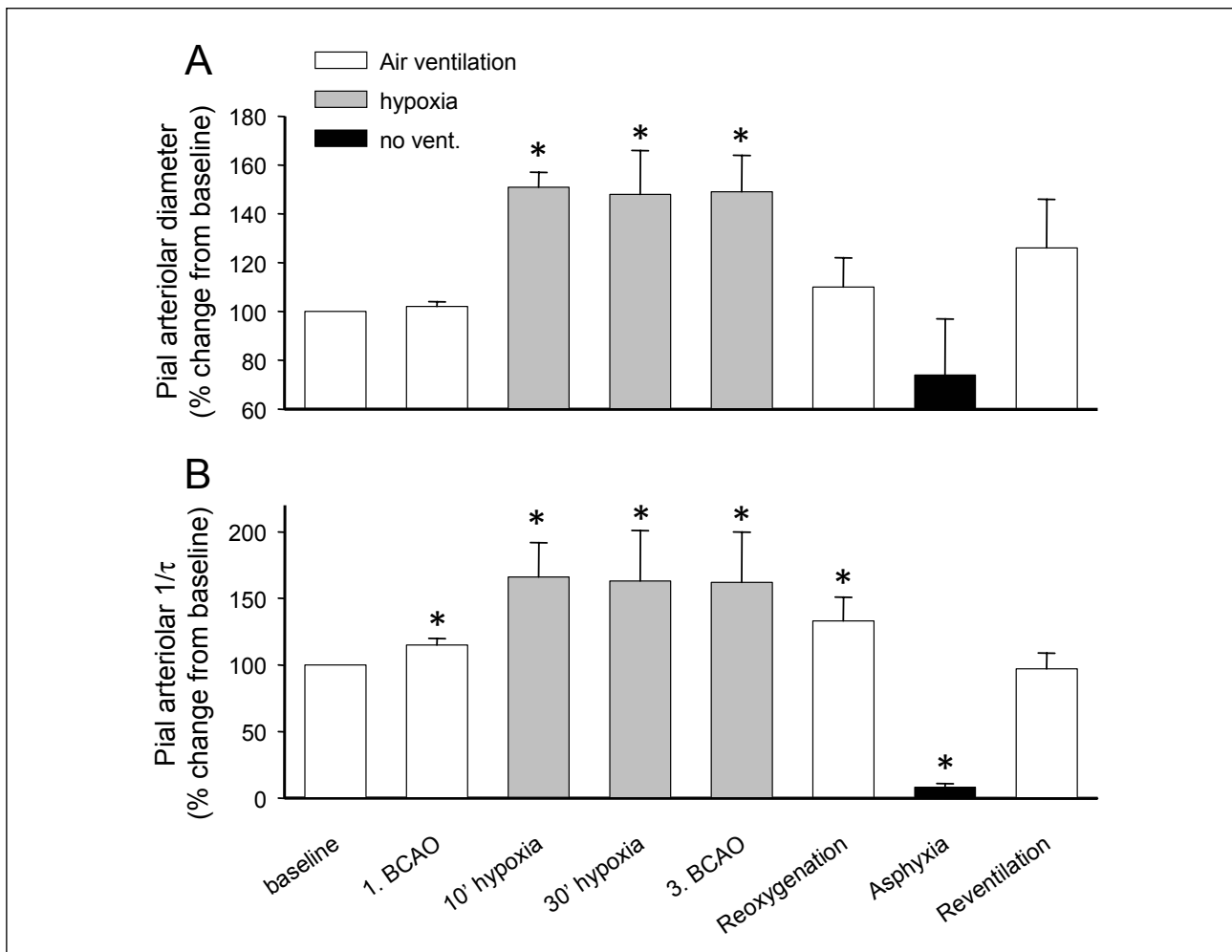


Fig. 4. Pial arteriolar diameters determined on laser-speckle contrast images (Panel A) and arteriolar flow velocities (Panel B) assessed with LASCA at steady state time points. Data are expressed as the % change from baseline. Under normoxic conditions, BCAA did not affect pial arteriolar diameters but resulted in a small increase in arteriolar flow velocity. Hypoxia, however, elicited robust, maintained arteriolar vasodilation accompanied by increased arteriolar flow velocities that was not modified by BCAA. Asphyxia resulted in cortical ischemia, with barely detectible arteriolar flow. * $p < 0.05$, significantly different from baseline, $n = 5-7$.

A certain limitation of the present study is that perfusion of subcortical structures was not assessed, however, it is well known that subcortical forebrain and brainstem structures have even better autoregulation than the cortex (30). Since the cortical blood flow change was virtually zero after BCAA, we can safely expect the same for the other brain regions as well. This conclusion is also supported by a magnetic resonance imaging study, where reduction in regional cerebral blood flow in response to hypoxic ventilation with BCAA was found not to correlate with the success of BCAA (31). Accordingly, BCAA should not be expected to worsen the neurological damage induced by hypoxic ventilation alone, and although such comparative studies are very scarce in the literature, at least one previous study found no difference between these models concluding that BCAA was not necessary (32). Our present findings fully support this notion providing hemodynamic evidence on the lack of developing ischemia during hypoxic ventilation + BCAA resulting in a H/I stress of similar severity to hypoxic ventilation alone.

In contrast to hypoxia + BCAA, asphyxia induced by suspended ventilation results in severe ischemia, perfusion decreases by 80–90% in accordance with our previous study with laser-Doppler flowmetry (LDF) (33). We would like to

emphasize however, that LSI/LASCA compared to single-point LDF enabled us to select multiple and more precise parenchymal ROIs in each animal, thus resulting in less variable data while maintaining the excellent temporal resolution of the measurements. In addition LSI/LASCA also provides means to follow diameter changes of pial arterioles similar to intravital microscopy. Our present study showed that pial arteriolar diameter changes correspond well with parenchymal perfusion alterations indicating an important role for this segment of resistance vessels in regulating cortical blood flow.

It is noteworthy that we created a novel LSI imager for the present study. Our relatively simple technical innovation can transform virtually any operating microscope equipped with a sufficient video camera into a LSI device. The advantages of this arrangement are twofold (in addition to the low cost): (I) the light source is stabilized with respect to the objective, so the same illumination of the cranial window is assured in every experiment; (II) the attached optical cube can be quickly mounted/dismounted thus the traditional use of the microscope remains possible.

In conclusion, BCAA does not elicit cortical ischemia making this intervention unnecessary to be included in the methodology of piglet HIE models. HIE models that combine

hypoxic ventilation with systemic hypotension or induce asphyxia (11, 34), appear to better reproduce the ischemic aspect of HIE in this species.

FD and DZ-S contributed equally to this manuscript.

Acknowledgments: Financial support: National Scientific Research Fund of Hungary (OTKA, K81266, K100851). Orsolya Olah was supported through the TAMOP-4.2.2/B-10/1-2010-0012 grant. Ferenc Domoki was supported by the Janos Bolyai Research Scholarship of the Hungarian Academy of Sciences and through the TAMOP-4.2.2/A-11/1/KONV-2010-0052 grant.

Conflict of interest: None declared.

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Received: February 10, 2014

Accepted: July 22, 2014

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