

Article

## Vibration Modelling and Control Experiments for a Thin-Walled Cylindrical Rotor with Piezo Patch Actuation and Sensing

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Abstract. This paper describes a dynamic model formulation and control experiments concerning the vibration behaviour of a thin-walled cylindrical rotor with internal piezoelectric patch transducers. Model development, validation and controller design procedures were undertaken for an experimental rotordynamic system comprising a tubular steel rotor (length 0.8 m, diameter 0.166 m and wall-thickness 3.06 mm) supported by two radial active magnetic bearings. Analytical solutions for mode shapes and natural frequencies for free vibration were first derived using a shell theory model, and these used to construct a speed-dependent parametric model for the rotor structure, including piezo patch actuators and sensors. The results confirm that the developed shell theory model can accurately capture the rotating frame dynamics and accounts correctly for frequency splitting from Coriolis effects. The model is also shown to be suitable for active controller design and optimization. Model-based H<sub>2</sub> feedback control using the rotor-mounted actuators and sensors is shown to achieve vibration suppression of targeted flexural modes, both with and without rotation.

Keywords: Rotordynamics, thin-walled structure, smart structure, optimal control, piezoelectric patch.

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## 1. Introduction

In rotating machine design, the use of rotors having a hollow thin-walled structure can provide benefits from reduced material usage, low weight and high natural frequencies for beam bending. However, the potential excitation and instability of elastic vibration within the rotor wall must be considered and, if necessary, actively or passively suppressed.

Several previous studies have dealt with bending vibration control using rotor-mounted actuators and sensors. Horst and Wolfel [1] demonstrated a reduction in lateral vibration of a rotating shaft using piezoceramic (PZT) patch actuators bonded to the surface. Finite element modelling and optimal feedback control based on linear quadratic regulation were adopted. Lees and Friswell [2] considered the general problem of strainbased rotor actuation to compensate for imbalance by inducing a rotor bend. Sloetjes and Boer [3] used surfacemounted piezoceramic sheets and strain sensors to control the first bending mode of a helicopter tail drive shaft. Modal control methods were used to suppress resonance and unstable vibration for arbitrary imbalance conditions. Cupial and Koziol [4], [5] examined the compensation of internal damping using piezoelectric patch sensors and actuators fixed to a rotor shaft. Simulation results indicated that the stable speed range could be extended using feedback of the time derivative of the piezo sensor voltage. Przybylowicz [6] considered the active stabilization of a hollow shaft made with piezoelectric fiber composite. Numerical results with PD feedback of strain values showed that instability caused by internal damping could be mitigated with suitable gain values.

Active vibration control of cylindrical shells has been considered previously for non-rotating structures such as bodies of aircrafts, submarines and rockets/missiles e.g. [7-14]. There have been relatively few studies on active control of rotating shell structures. Kumar and Ray [15] studied the performance of Active Constrained Layer Damping (ACLD) applied to a rotating conical shell. A simple velocity feedback control law was adopted and evaluated by numerical simulation. Model development and experiments on control of circumferential vibration for an annular rotor with magnetic bearing support was presented in [16] and a technique for multi-harmonic vibration suppression proposed in [17]. The optimization of piezo patch actuation and sensing for vibration suppression of a rotating ring was examined in [18] and [19].

This paper considers the active control of circumferential vibration for a thin-walled cylindrical rotor with piezo patch actuators and sensors. A 3-D multi-mode dynamic model based on Love's shell theory [20] is adopted that accounts for the moment distribution from surface mounted piezoelectric actuators under activation. The main contributions from this paper are the model description, its validation, and the experimental results confirming its suitability for optimal

controller design. Accurate account of the effects of rotation distinguish the work form previous studies that consider non-rotating thin-wall cylinders only [11–13].

## 2. Active Thin-Walled Rotor-Bearing System

An active thin-walled rotor system has been created to enable testing of rotordynamic behaviour and the investigation of different types of vibration control strategies, as shown in Fig. 1. The rotor is supported by two radial active magnetic bearings (AMBs). Piezo patch actuators and sensors can be mounted on the inner surface of the rotor at any position (precluding patch overlap). For the present work, a total of four actuator/sensor pairs were fitted (two pairs at each end of the rotor). The AMBs are a specialized design that employs a distributed actuation scheme to minimize the interaction of the bearing forces with flexural distortion/vibration of the rotor wall [16]. The horizontal rotor has radius 81.5 mm, wall thickness 3.06 mm and axial length 800 mm. The rotor was machined from seamless steel tubing and has the mechanical properties listed in Table 1. The rotor was coupled to a driving disk by four flexible foam polymer straps. This architecture was designed to have minimal effect on the radial dynamics of the rotor. The driving disk was coupled to a brushless dc motor.

The AMBs were operated closed-loop with a control system implemented digitally on PC-based hardware. Current amplifiers (Maxon ESCON 48/5) provided electrical energy to the AMBs, commanded by the control system. Four displacement sensors were used to measure the rotor position for the AMB system. The AMB system provided low stiffness contact-free support of the rotor via the actively controlled magnetic field [21].

Piezoelectric patch actuators and sensors have been mounted internally on the rotor wall for the purpose of stabilization and suppression of flexural vibration occurring within the rotor wall. Laminar composite patch actuators of model P-876A15 from Physik Instrumente were bonded to the inside surface of the cylinder. Four smaller patches (PI P-876SP1) were used as sensors and were glued adjacent to the actuators, as shown in Fig. 2. These patches have polarization in the z-axis direction, giving unimorph mode of operation. Two sensor/actuator pairs (1 and 2) were bonded near to (driven) end A and two sensor/actuator pairs (3 and 4) bonded at end B, as indicated in Table 2. Four piezo driver modules (PI E-835) provided power to the actuators, commanded by a digital control system. All electrical connections to the piezo transducers were through sliprings. The data acquisition, analysis and feedback control algorithms for the piezo-electrics were implemented digitally with PCbased hardware and with a sampling frequency of 8000 Hz.

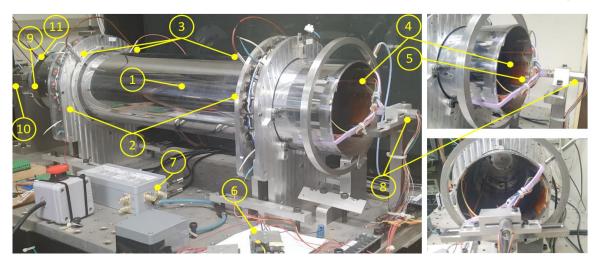


Fig. 1. Experimental active thin-walled rotor system. Main components: 1. steel rotor, 2. radial active magnetic bearings, 3. displacement sensors, 4. piezo patch actuators, 5. piezo patch sensors, 6. piezo driver module, 7. piezo signal conditioning, 8. slip ring, 9. flexure coupling, 10. motor, 11. driving disk.

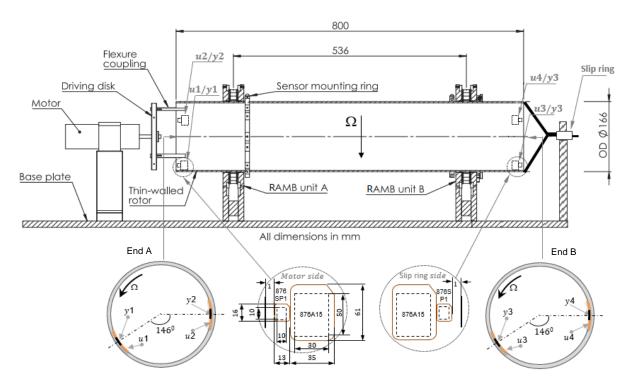


Fig. 2. System cross section showing actuator and sensor patch locations.

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Table 1.	Rotorn	roperties.
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parameter	symbol	value	units
cylinder radius	R	81.5	mm
wall thickness	h	3.06	mm
rotor axial length	L	800	mm
material density	ρ	7850	kg/m <sup>3</sup>
Rotor mass		9.61	kg
Young's modulus	Ε	$2.08 \times 10^{11}$	$N/m^2$
Poisson's ratio	ν	0.3	

Table 2. Configuration of piezo patch actuator/sensor pairs.

end	Pair j	type	$\phi_j$	<sup>Z</sup> j (mm)	Input/output signal
А	1	actuator	0°	368.5	$u_1$
А	1	sensor	0°	392.5	$y_1$
А	2	actuator	146°	368.5	$u_2$
А	2	sensor	146°	392.5	$y_2$
В	3	actuator	0°	-368.5	$u_3$
В	3	sensor	0°	-392.5	$y_3$
В	4	actuator	146°	-368.5	$u_4$
В	4	sensor	146°	-392.5	$\mathcal{Y}_4$

## 3. Rotor 3-D Flexural Dynamic Model and Experimental Verification

#### 3.1. Rotating Cylindrical Shell Model

For the described system, a rotordynamic model has been derived by applying Love's shell theory, as for the case of a uniform cylindrical shell. A homogeneous, isotropic and linearly elastic cylindrical shell is considered as shown in Fig. 3a. The radius, axial length and thickness are denoted by R, L and h, respectively. Let xyz be local Cartesian rotating coordinate systems fixed at the middle surface within the cylinder wall. Considering an infinitesimal shell element at cylinder coordinates  $(R, \phi, z)$  at time t, the displacements in the undeformed xyz axes directions are defined as  $u(\phi, z, t), v(\phi, z, t)$ and  $w(\phi, z, t)$ , respectively. Rotation at constant angular speed  $\Omega$  about the undeformed z-axis direction is assumed. Figure 3b shows the internal forces and couples acting on a shell element, which are aligned with deformed coordinate axes.

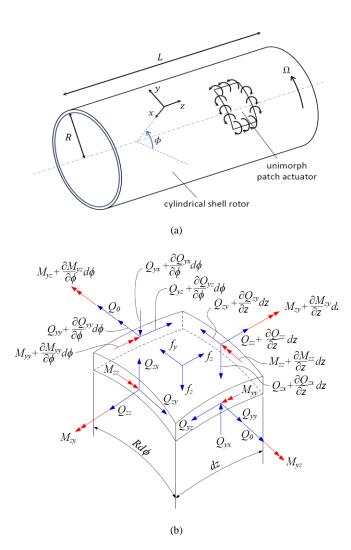


Fig. 3 Thin-walled cylindrical rotor model: (a) Rotor geometry showing line moments due to a unimorph piezo patch actuator (b) Internal forces/moments acting on shell element.

The equations of motion for the shell element, accounting for rotation, can be derived as [22]:

$$\frac{1}{R}\frac{\partial Q_{yx}}{\partial \phi} + \frac{\partial Q_{zx}}{\partial z} + \frac{Q_{yy}}{R}$$
$$= \rho h \left[ \ddot{u} + 2\Omega \dot{v} - \Omega^2 \left( \frac{\partial^2 u}{\partial \phi^2} + u - \frac{\partial v}{\partial \phi} \right) \right]$$
(1)

$$\frac{1}{R}\frac{\partial Q_{yy}}{\partial \phi} + \frac{\partial Q_{zy}}{\partial z} - \frac{Q_{yx}}{R} = \rho h \left[ \ddot{v} - 2\Omega \dot{u} + \Omega^2 \frac{\partial u}{\partial \phi} \right]$$
(2)

$$\frac{1}{R}\frac{\partial Q_{yz}}{\partial \phi} + \frac{\partial Q_{zz}}{\partial z} = \rho h \left[ \ddot{w} - \Omega^2 R \frac{\partial^2 w}{\partial \phi^2} \right]$$
(3)

$$Q_{zx} = -\frac{1}{R} \frac{\partial M_{yz}}{\partial \phi} - \frac{\partial M_{zz}}{\partial z}$$
(4)

$$Q_{yx} = \frac{\partial M_{zy}}{\partial z} + \frac{1}{R} \frac{\partial M_{yy}}{\partial \phi}$$
(5)

Typical values of the Young's modulus for piezoceramic materials are about 30 GPa, which is small compared with the typical value for steel. Hence, any contribution from the actuator and sensor patches to the internal restoring forces may be neglected. Under this assumption, their contribution to the internal moments can be related to the internal patch forces (per unit length)  $p_{yy}$  and  $p_{zz}$  due to activation:

$$M_{yy} = M_{yy}^{0} - \frac{h}{2} H(\varphi, z) p_{yy}(t),$$
  

$$M_{zz} = M_{zz}^{0} + \frac{h}{2} H(\varphi, z) p_{zz}(t),$$
  

$$M_{yz} = M_{yz}^{0}.$$
(6)

A rectangular patch located at position  $(z_i, \phi_i)$  is then accounted for by the rectangular function

$$H(\varphi, z) = [\mathcal{H}(\varphi - \phi_i + a/R) - \mathcal{H}(\varphi - \phi_i - a/R)] \times [\mathcal{H}(z - z_i + b) - \mathcal{H}(z - z_i - b)]$$
(7)

where  $\mathcal{H}$  denotes the Heaviside function and the active area of the patch is  $2a \times 2b$  (in  $y \times z$ ). The patch internal forces are assumed to be equal to the blocked forces and linearly dependent on the applied voltage e:

$$p_{yy}(t) = K_y e(t), \qquad p_{zz}(t) = K_z e(t)$$
 (8)

Here,  $K_z$  and  $K_y$  are the electromechanical coupling coefficients for strain in z and y directions, respectively. For this model, the effect of piezo activation is equivalent to line-moments acting along the four edges of the patch, as illustrated in Fig. 3a. The internal forces/moments due to deformation of the cylindrical shell may be described by the constitutive equations from Kirchhoff-Love theory [20]:

$$\begin{bmatrix} Q_{yy}, Q_{zz}, Q_{zy} \end{bmatrix} = C \begin{bmatrix} \epsilon_y + \nu \epsilon_z, \ \epsilon_z + \nu \epsilon_y, \ \frac{1-\nu}{2} \gamma_{yz} \end{bmatrix}, \tag{9}$$

$$\begin{bmatrix} M_{yy}^{0}, M_{zz}^{0}, M_{yz}^{0} \end{bmatrix} = D \begin{bmatrix} -\frac{1}{R} \frac{\partial \varphi_{z}}{\partial \phi} - \nu \frac{\partial \varphi_{z}}{\partial z}, & \frac{\partial \varphi_{z}}{\partial z} + \frac{\partial \varphi_{z}}{R} \frac{\partial \varphi_{z}}{\partial \phi}, \\ \frac{1-\nu}{2R} \begin{pmatrix} \frac{\partial \psi_{z}}{\partial \phi} + R \frac{\partial \psi_{z}}{\partial z} \end{pmatrix} \end{bmatrix},$$
(10)

with  $C = \frac{Eh}{1-\nu^2}$  and  $D = \frac{Eh^3}{12(1-\nu^2)}$  and with internal strain variables

$$\epsilon_{y} = \frac{1}{R} \frac{\partial v}{\partial \phi} - \frac{u}{R}, \qquad \epsilon_{z} = \frac{\partial w}{\partial z}, \qquad \gamma_{yz} = \frac{\partial v}{\partial z} + \frac{1}{R} \frac{\partial w}{\partial \phi} \qquad (11)$$

$$\psi_x = -\frac{1}{R}\frac{\partial w}{\partial \phi}, \qquad \psi_y = \frac{\partial u}{\partial z}, \qquad \psi_z = \frac{1}{R}\frac{\partial u}{\partial \phi} + \frac{v}{R}$$
(12)

Following from Eqs. (1)-(12), a complete description for the shell dynamics is obtained in the form

where

$$L_{x} = C \left[ \frac{1}{R^{2}} \frac{\partial v}{\partial \phi} - \frac{u}{R^{2}} + \frac{v}{R} \frac{\partial w}{\partial z} \right] - D \left[ \frac{\partial^{4} u}{\partial z^{4}} + \frac{1}{R^{2}} \frac{\partial^{3} v}{\partial \phi \partial z^{2}} + \frac{2}{R^{2}} \frac{\partial^{4} u}{\partial \phi^{2} \partial z^{2}} + \frac{1}{R^{4}} \frac{\partial^{4} u}{\partial \phi^{4}} + \frac{1}{R^{4}} \frac{\partial^{3} v}{\partial \phi^{3}} \right]$$
(16)

$$L_{y} = C \left[ \frac{1-v}{2} \frac{\partial^{2} v}{\partial z^{2}} + \frac{1}{R^{2}} \frac{\partial^{2} v}{\partial \phi^{2}} - \frac{1}{R^{2}} \frac{\partial u}{\partial \phi} + \frac{1+v}{2R} \frac{\partial^{2} w}{\partial \phi \partial z} \right] + D \left[ \frac{1-v}{2R^{2}} \frac{\partial^{2} v}{\partial z^{2}} + \frac{1}{R^{4}} \frac{\partial^{2} v}{\partial \phi^{2}} + \frac{1}{R^{4}} \frac{\partial^{3} u}{\partial \phi^{3}} + \frac{1}{R^{2}} \frac{\partial^{3} u}{\partial \phi \partial z^{2}} \right]$$
(17)

$$L_{z} = C \left[ \frac{\partial^{2} w}{\partial z^{2}} + \frac{1 - v}{2R^{2}} \frac{\partial^{2} w}{\partial \phi^{2}} - \frac{v}{R} \frac{\partial u}{\partial z} + \frac{1 + v}{2R} \frac{\partial^{2} v}{\partial \phi \partial z} \right]$$
(18)

#### 3.2. Free Vibration Solutions

The natural frequencies and mode shapes for free vibration of the cylindrical shell may be obtained analytically by assuming a solution to Eqs. (13)-(15) in the form

$$u = \alpha e^{\lambda z} \cos(m\phi + \omega t),$$
  

$$v = -\beta e^{\lambda z} \sin(m\phi + \omega t),$$
  

$$w = \gamma e^{\lambda z} \cos(m\phi + \omega t)$$
(19)

with integer value m. For the case with  $\Omega = 0$ , substituting Eq. (19) in Eqs. (13)–(15), yields

$$\begin{array}{cccc} a_4\lambda^4 & +a_2\lambda^2 + a_0 + a_\omega\omega^2 & d_2\lambda^2 + d_0 & e_1\lambda \\ & d_2\lambda^2 + d_0 & b_2\lambda^2 + b_0 + b_\omega\omega^2 & f_1\lambda \\ & -e_1\lambda & -f_1\lambda & c_2\lambda^2 + c_0 + c_\omega\omega^2 \end{bmatrix} \\ & \times \begin{bmatrix} \alpha \\ \beta \\ \gamma \end{bmatrix} = 0 \\ (20) \end{array}$$

where the coefficient values are given in the appendix. Using a given (assumed) natural frequency value  $\omega$  allows Eq. (20) to be solved by setting the determinant of the matrix to zero. This gives eight solutions for  $\lambda$  and eight corresponding solutions for  $(\alpha, \beta, \gamma)$ . The z - dependent mode-shape functions are then given by

$$U(z) = \sum_{i=1}^{8} C_i \alpha_i e^{\lambda_i z}, \qquad V(z) = \sum_{i=1}^{8} C_i \beta_i e^{\lambda_i z},$$

$$W(z) = \sum_{i=1}^{8} C_i \gamma_i e^{\lambda_i z}$$
(21)

A correct natural frequency value  $\omega$  is that which (through some choice of coefficients  $C_i$ ) allows the boundary conditions to be exactly satisfied. For a cylindrical shell with free ends, the boundary conditions are [22]

$$\frac{\partial w}{\partial z} + v \left( \frac{\partial v}{\partial \phi} - u \right) \Big|_{z=\pm \frac{L}{2}} = 0,$$
  
$$\frac{\partial^2 u}{\partial z^2} + \frac{v}{R^2} \left( \frac{\partial^2 u}{\partial \phi^2} + \frac{\partial v}{\partial \phi} \right) \Big|_{z=\pm L/2} = 0,$$
  
$$\frac{1}{R^2} \frac{\partial^2 v}{\partial \phi \partial z} + \frac{2 - v}{R^2} \frac{\partial^3 u}{\partial \phi^2 \partial z} + \frac{\partial^3 u}{\partial z^3} \Big|_{z=\pm \frac{L}{2}} = 0,$$
  
$$\frac{\partial v}{\partial z} + \frac{1}{R} \frac{\partial w}{\partial \phi} + \frac{h^2}{12R^2} \left( \frac{\partial v}{\partial z} + 2 \frac{\partial^2 u}{\partial \phi \partial z} \right) \Big|_{z=\pm L/2} = 0.$$
  
(22)

A general (numerical) approach involves performing a direct search over a range of possible natural frequency values. Care must be taken to avoid numerical errors and conditioning problems. Successful application of this procedure is described in the literature [21], [23] and is not elaborated further here.

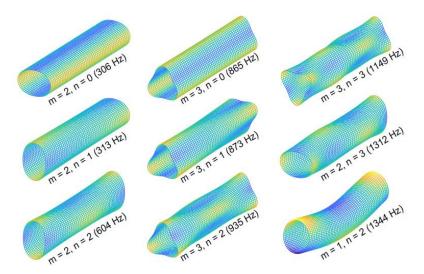


Fig. 4. Rotor mode shapes and natural frequencies for first 9 flexural modes.

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Subsequently, the notation  $U_{mn}(z)$ ,  $V_{mn}(z)$ , and  $W_{mn}(z)$  is adopted for the z-dependent mode-shape functions. To identify a given mode, two indices are used: m is the circumferential wavenumber, and n is equal to the number of nodes (zero-crossings) of  $U_{mn}(z)$ . Free vibration with natural frequency  $\omega_{mn}$  can be expressed as a summation of two degenerate modes:

$$\begin{bmatrix} u_{mn}(\phi, z, t) \\ v_{mn}(\phi, z, t) \\ w_{mn}(\phi, z, t) \end{bmatrix} = \mathbf{H}_{m}^{p}(\phi) \boldsymbol{G}_{mn}(z) P \cos \omega_{mn} t + \mathbf{H}_{m}^{q}(\phi) \boldsymbol{G}_{mn}(z) Q \sin \omega_{mn} t$$
(23)

where  $G_{mn}(z) = [U_{mn}(z) \ V_{mn}(z) \ W_{mn}(z)]^T$ , and the two circumferential waveforms are out-of-phase by a quarter wavelength:

$$\mathbf{H}_{m}^{p}(\phi) = \operatorname{diag}(\sin m\phi, \cos m\phi, \sin m\phi), \\ \mathbf{H}_{m}^{q}(\phi) = \operatorname{diag}(\cos m\phi, -\sin m\phi, \cos m\phi).$$

The natural frequencies and mode shapes, calculated for the described rotor system, are shown in Fig. 4. The plots show the first eight low frequency wall-flexure modes and first beam bending mode (1344 Hz).

#### 3.3. Parametric Model for Piezo-Actuated Rotor

The general solution for forced vibration may be expressed as a superposition of the free vibration modes:

$$\begin{bmatrix} u_{mn}(\phi, z, t) \\ v_{mn}(\phi, z, t) \\ w_{mn}(\phi, z, t) \end{bmatrix} = \sum_{m=1}^{M} \sum_{n=1}^{N} \mathbf{H}_{m}^{p}(\phi) \mathbf{G}_{mn}(z) \ p_{mn}(t) \\ + \ \mathbf{H}_{m}^{q}(\phi) \mathbf{G}_{mn}(z) \ q_{mn}(t)$$
(24)

where  $p_{mn}(t)$  and  $q_{mn}(t)$  form the set of modal response variables. Substituting in Eqs. (13)-(15), premultiplying by  $\begin{bmatrix} G_{mn}^T(z)\mathbf{H}_m^p(\phi) \\ G_{mn}^T(z)\mathbf{H}_m^q(\phi) \end{bmatrix}$  and integrating over  $\phi \in (0,2\pi]$  and  $z \in \left(-\frac{L}{2}, \frac{L}{2}\right)$  yields  $\begin{bmatrix} \ddot{p}_{mn} \end{bmatrix} + \left(\mathbf{D}_{mn} + 20^{\frac{b_{mn}}{2}} \begin{bmatrix} 0 & -1 \end{bmatrix}\right) \begin{bmatrix} \dot{p}_{mn} \end{bmatrix}$ 

$$\begin{bmatrix} \ddot{q}_{mn} \end{bmatrix} + \left( \mathbf{D}_{mn} + 2\Omega \frac{1}{a_{mn}} \begin{bmatrix} 1 & 0 \end{bmatrix} \right) \begin{bmatrix} \dot{q}_{mn} \end{bmatrix} \\ + \left( \omega_{mn}^2 - \Omega^2 \left( m^2 - \frac{c_{mn}}{a_{mn}} + 2m \frac{b_{mn}}{a_{mn}} \right) \right) \begin{bmatrix} p_{mn} \\ q_{mn} \end{bmatrix}$$

$$= \frac{1}{\mu_{mn}} \begin{bmatrix} f_p(t) \\ f_q(t) \end{bmatrix}$$

$$(25)$$

where the orthogonality properties of the mode shapes must be exploited to obtain

$$a_{mn} = \int_{-\frac{L}{2}}^{\frac{L}{2}} \boldsymbol{G}_{mn}^{T} \, \boldsymbol{G}_{mn} \, dz,$$
  
$$b_{mn} = 2 \int_{-\frac{L}{2}}^{\frac{L}{2}} U_{mn} V_{mn} \, dz,$$
  
$$c_{mn} = 2 \int_{-L/2}^{L/2} (U_{mn} + m V_{mn})^{2} \, dz$$

Also,  $\mu_{mn} = \rho \pi R h a_{mn}$  and  $\mathbf{D}_{mn}$  is a diagonal positive definite damping matrix that will be set to match the

experimentally determined damping levels for each mode (as in ref. [21]). The piezo actuation forcing terms are

$$f_{p,q}(t) = e(t) \iint \boldsymbol{G}_{mn}(z)^T \mathbf{H}_m^{p,q}(\theta) \frac{h}{2} \begin{bmatrix} \frac{K_y \partial^2 H}{R^2 \partial \phi^2} + K_z \frac{\partial^2 H}{\partial z^2} \\ -\frac{K_y \partial H}{R^2 \partial \phi} \\ 0 \end{bmatrix} dz \, d\phi. \quad (26)$$

Substituting for H using Eq. (7) and integrating by parts gives

$$f_p(t) = \sin m\phi_i E(z_i) e(t),$$
  

$$f_q(t) = \cos m\phi_i E(z_i) e(t).$$
(27)

where the *z*-dependent coupling coefficient is

$$E(z_{i}) = \frac{h \sin(mb/R)}{m} \left[ K_{z} [U'(z_{i} + a) - U'(z_{i} - a)] - \frac{K_{y}}{R^{2}} \int_{z_{i} - a}^{z_{i} + a} m^{2} U(z) + mV(z) dz \right]$$
(28)

The full set of uncoupled modal response equations in the form of Eq. (26), together with Eq. (28), provide a (rotating-frame) multi-mode model for flexural vibration of the piezo-actuated rotor. For piezo patch sensors on the surface of the rotor, the output voltage is proportional to the surface strain:

$$y_j \propto \left[ K_z \left( \frac{\partial^2 u}{\partial z^2} \right) + \frac{K_y}{R^2} \left( \frac{\partial^2 u}{\partial \phi^2} + \frac{\partial v}{\partial \phi} \right) \right]$$
 (29)

Consequently, the amplified output voltage can be expressed

$$y_j(t) = \mathcal{K}_{\text{sens}} E(z_j) \sum_{n,m} [\sin m\phi_j \quad \cos m\phi_j] \begin{bmatrix} p_{mn}(t) \\ q_{mn}(t) \end{bmatrix}$$
(30)

Table 3. System natural frequencies and damping  $(\Omega = 0)$ .

Mode shape indices	$\omega_{mn}$ (Hz) Theoretical	$\omega_{mn}$ (Hz) Experiment	$\zeta_{mn}$ Experiment
mulces			
m = 2, n = 0	306	304	3.82e-4
m = 2, n = 1	313	309	6.15e-4
m = 2, n = 2	604	596	5.24e-4
m = 2, n = 3	1312	1301	3.75e-4
m = 3, n = 0	865	863	6.61e-4
m = 3, n = 1	873	870	4.36e-4
m = 3, n = 2	935	931	3.82e-4
m = 3, n = 3	1149	1140	3.91e-4
m = 3, n = 4	1564	1550	4.32e-4
m = 4, n = 0	1658	1652	1.05e-4
m = 4, n = 1	1665	1663	2.47e-4
m = 4, n = 2	1704	1702	1.95e-4
m = 4, n = 3	1793	1786	2.11e-4
m = 4, n = 4	1963	1949	2.68e-4

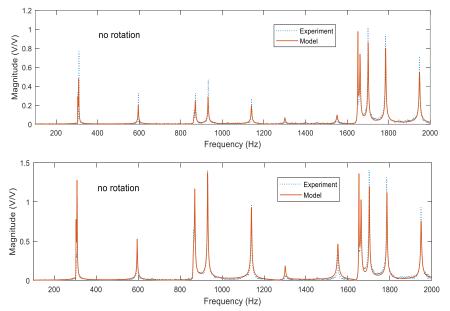


Fig. 5. Measured frequency response of rotor from  $u_4$  to  $y_1$  and  $u_4$  to  $y_2$  for cases without rotation:  $\Omega/2\pi = 0$  Hz. Theoretical data for reduced order system model is also shown.

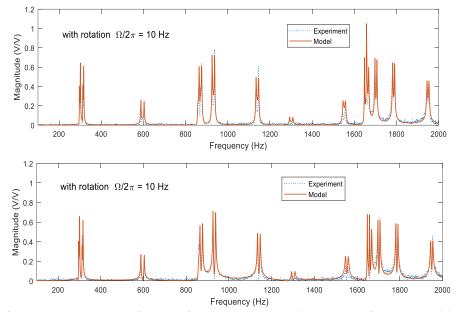


Fig. 6. Measured frequency response of rotor from  $u_4$  to  $y_1$  and  $u_4$  to  $y_2$  for cases with  $\Omega/2\pi = 10$  Hz. Theoretical data for reduced order system model is also shown.

#### 3.4. Model Verification and Parameter Updating

The model Eqs. (26)–(30) were used to obtain frequency response data relating actuator input voltages to sensor output voltages. The adopted model included the first 14 pairs of flexural modes (within frequency range 300-2000 Hz). Note that the rigid body modes (m = 1, n = 0, 1) do not couple with the piezo transducers and so the corresponding states were omitted. Experimental frequency response data was obtained by applying a sinusoidal excitation voltage to a single piezo patch actuator ( $u_4$ ). Response measurements are presented for the piezo patch sensors  $y_1$  and  $y_2$ . The experimental and theoretical results for cases without rotation and with rotation at 10 Hz are shown in Fig. 5 and Fig. 6, respectively. Non-collocated input/outputs were selected to avoid crosstalk between the actuator and sensors. To obtain this high level of agreement, the natural frequencies  $\omega_{mn}$  and damping ratios  $\zeta_{mn}$  for the theoretical model were updated to match experimentally identified values, as shown in Table 3. The values of  $\mathbf{D}_{mn}$  and  $\omega_{mn}$  in Eq. (25) were adjusted manually so that all the resonance peaks in the theoretical frequency response matched with the experimental data (with rotation) as closely as possible. It was found that the theoretical values of  $\omega_{mn}$  were all slightly higher than the experimental values. This can be attributed to the added mass from the patches and electrical wiring. The updated theoretical model correctly predicts the splitting of natural frequencies for forward and backward wave modes (see Fig. 6). This occurs for non-zero rotational speed due to Coriolis forces [21]. Clearly, the

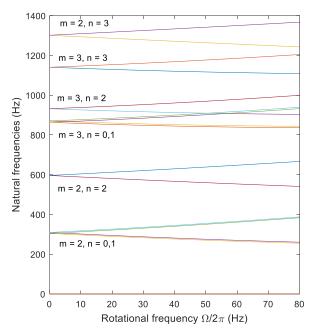


Fig. 7. Campbell diagram for flexural vibration modes of thin-walled rotor system.

speed-dependent dynamics of the rotating cylindrical shell must be accounted for correctly in the model-based controller design. The variation in natural frequencies with rotational speed can be calculated directly from Eq. (25) and depends on the mode-shape coefficients  $a_{mn}$ ,  $b_{mn}$  and  $c_{mn}$ . The corresponding Campbell diagram is shown in Figure 7. This aspect was investigated and verified in the study [21]. The results suggest that, in principle, the model and control methodology described here could be applied over a wider range of rotational speeds.

#### 4. Vibration Control Experiments

#### 4.1. Optimal $\mathcal{H}_2$ Feedback Controller Design

To assess the vibration control capabilities of the piezoelectrics, a feedback controller design was undertaken based on a state-space description of the flexural dynamics, in the form

$$\dot{x} = \mathbf{A}x + \mathbf{B}(u+d),$$
$$y = \mathbf{C}x + n,$$

The matrices **A**, **B** and **C** are obtained directly from Eqs. (26)–(30) by defining a state vector  $\mathbf{x}$  that includes the reduced set of modal response variables  $(\dot{p}_{mn}, \dot{q}_{mn}, p_{mn}, q_{mn})$ . The control inputs are the set of actuator voltages  $\mathbf{u} = [\mathbf{e}_1 \ \mathbf{e}_2 \ \mathbf{e}_3 \ \mathbf{e}_4]^T$  and the outputs are the sensor voltages  $\mathbf{y} = [\mathbf{y}_1 \ \mathbf{y}_2 \ \mathbf{y}_3 \ \mathbf{y}_4]^T$ . Process disturbances and sensor noise are represented by additive perturbations,  $\mathbf{d}$  and  $\mathbf{n}$ , acting on the system inputs and outputs respectively.

To achieve active damping of multiple modes, a cost function is defined based on the  $\mathcal{H}_2$  norm of the closed loop system. The  $\mathcal{H}_2$  norm allows the minimization of

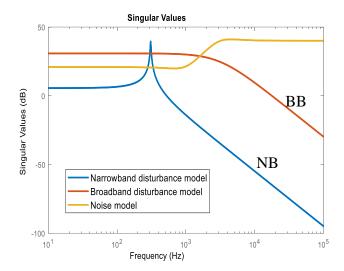


Fig. 8. Singular value plots for disturbance and noise weighting transfer functions ( $W_d$  and  $W_n$  respectively).

the "area" below the frequency response curves, and so can be adopted in order to suppress resonance over a specified bandwidth for control. In this framework, the problem is to obtain a controller  $K_c = C_c(sI - A)^{-1}B_c + D_c$  that minimizes the  $\mathcal{H}_2$  cost function [24]:

$$J^* = \min_{K_c} \left\| \begin{matrix} \boldsymbol{T}_{yd} \boldsymbol{W}_d & \boldsymbol{T}_{yn} \boldsymbol{W}_n \\ \boldsymbol{\epsilon} \boldsymbol{T}_{ud} \boldsymbol{W}_d & \boldsymbol{\epsilon} \boldsymbol{T}_{un} \boldsymbol{W}_n \end{matrix} \right\|_2^2, \tag{31}$$

Here, the closed loop transfer function matrices are  $T_{yd} = G(I - K_c G)^{-1}$ ,  $T_{yn} = G(I - K_c G)^{-1} K_c$ ,  $T_{ud} = (I - K_c G)^{-1} K_c G$ ,  $T_{un} = (I - K_c G)^{-1} K_c$ , where  $G(s) = C(Is - A)^{-1}B$  is the open loop transfer function matrix. The weighting transfer functions  $W_d$  and  $W_n$  are chosen to reflect the spectral characteristics of disturbance and sensor noise, respectively.

The optimal  $\mathcal{H}_2$  control solution then has the property that it achieved the minimum 2-norm (energy) of the weighted output variables  $[\mathbf{y}^T \ \epsilon \mathbf{u}^T]^T$ . The scalar parameter  $\epsilon > 0$  affects the bandwidth of the controller solution and should be chosen to prevent high frequency controller dynamics that might introduce noise and instability problems when applied to the real system, i.e. spillover [25]. The optimal solution for  $\mathbf{K}_c$  can be computed from the Riccati equations for separate state estimation and full information control problems [24].

#### 4.2. Controller Test Results

Controllers were synthesized for application with two actuators (inputs  $e_1$  and  $e_2$ ) and two sensors (outputs  $y_1$  and  $y_2$ ), having the locations shown in Table 2. Two cases with different input disturbance models  $W_d$ having broadband and narrowband weighting characteristics (see Fig. 8) were considered. The broadband disturbance model was chosen to target circumferential vibration in the frequency range 0-1000 Hz, which involves circumferential modes with m = 2, 3and n = 0, 1, 2. The narrowband disturbance model was

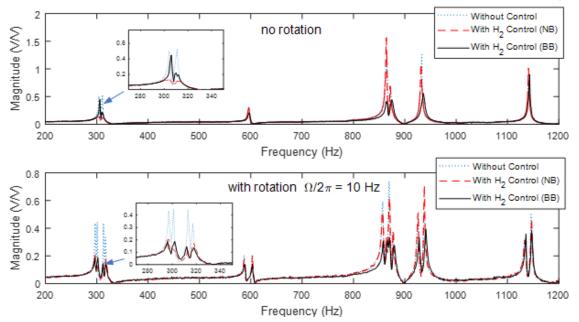


Fig. 9. Measured system frequency response from  $d_1$  to  $y_1$  with feedback control. Controller designs are for narrowband (NB) and broadband (BB) control.

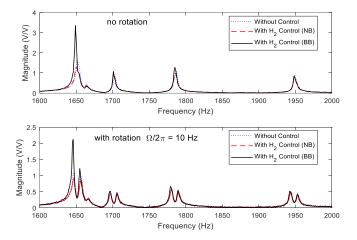


Fig. 10. Measured system frequency response from  $d_1$  to  $y_1$  with feedback control. Some high frequency modes not included in the system model exhibit amplification due to spillover.

chosen to target the lowest frequency circumferential modes (m = 2, n = 0 and m = 2, n = 1) with natural frequencies close to 300 Hz. The same noise model was adopted for both cases (see Figure 8). The model used for synthesis included all flexural modes up to 1400 Hz and therefore had 32 states.

The frequency response data, with and without control, is given in Fig. 9 and Fig. 10. These graphs show results from the same FRF test but for a low (<1200 Hz) and high (>1600 Hz) frequency range, respectively. The results confirm that flexural vibration can be reduced by the piezo-patch transducers. With use of the broadband disturbance model in the controller synthesis, suppression for elastic modes in the target domain could be achieved. However, amplification of resonance was prone to occur in the high frequency domain (>1400 Hz) caused by spillover problems, as these modes were not included in the model (see Fig. 10). Use of the narrowband disturbance model allowed efficient suppression of targeted elastic modes without spillover problems.

It is possible that, for the broadband controller, including higher frequency modes (>1400 Hz) in the model could help to avoid their destabilization. However, the controller would then be higher order and so could not be implemented with the high sampling frequency that is required to maintain control bandwidth and prevent phase lag that tends to destabilize high frequency modes, even when they are included in the model. As general practice, it is recommended to omit modes that fall in a frequency range that cannot be modelled sufficiently accurately for controller design purposes.

#### 5. Conclusions

From this study, the following conclusions can be made concerning the application of piezo-based vibration control with a thin-walled rotor:

1. The assumption that only the applied moments from the actuator patches need by considered appears satisfactory for modelling and control of low frequency wall bending modes (i.e. a surface-mounted unimorph patch has a similar control action as a bimorph bending actuator).

2. As the mass and stiffness of the patches were neglected in the structural model, an improved model could be obtained by calibrating the natural frequencies and damping ratios to match experimental results.

3. For the AMB-supported rotor, the effect of bearing stiffness on the flexural mode dynamics could be neglected in the initial model. For higher stiffness bearing types, the impact on the shell dynamics may require more explicit consideration.

4. For the  $\mathcal{H}_2$  control approach, careful selection of weighting functions is required to ensure closed loop

stability even if accurate models are available as errors from order-reduction arise prior to synthesis.

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# APPENDIX - Coefficients of characteristic equation

Defining  $\eta = \frac{\hbar^2}{12R^2}$ , the coefficients in Eq. (20) may be expressed:

$$a_4 = -\eta R^4, \qquad a_2 = 2\eta m^2 R^2, \qquad a_0 = -\eta m^4 - 1,$$
$$a_\omega = \frac{\rho h R^2}{c}, \qquad b_2 = \frac{1 - \nu}{2} (1 + \eta) R^2,$$

$$b_{0} = -(1 + \eta)m^{2}, \qquad b_{\omega} = \frac{\rho h R^{2}}{c}, \qquad c_{2} = R^{2},$$

$$c_{0} = -\frac{1-\nu}{2}m^{2}, \qquad c_{\omega} = \frac{\rho h R^{2}}{c},$$

$$d_{2} = \eta m R^{2}, \qquad d_{0} = -\eta m^{3} - m,$$

$$e_{1} = \nu R, \qquad f_{1} = \frac{1+\nu}{2}mR,$$



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