

1 Brain potentials evoked by intraepidermal electrical stimuli
2 reflect the central sensitization of nociceptive pathways

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9 **Running head:** IES brain potentials reflect secondary hyperalgesia

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25 G.D.I. collected the data. M.L. and M.C.L. analysed the data. M.L., M.C.L., J.O.N., A.H.D. and

26 G.D.I. discussed the results. M.L., M.C.L. and G.D.I. wrote the paper.

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28

29 **Abstract**

30 Central sensitization (CS), the increased sensitivity of the central nervous system to
31 somatosensory inputs, accounts for secondary hyperalgesia, a typical sign of several painful
32 clinical conditions. Brain potentials elicited by mechanical punctate stimulation using flat-tip
33 probes can provide neural correlates of CS, but their signal-to-noise ratio is limited by poor
34 synchronisation of the afferent nociceptive input. Additionally, mechanical punctate
35 stimulation does not activate nociceptors exclusively. In contrast, low-intensity intra-
36 epidermal electrical stimulation (IES) allows selective activation of type-II A δ mechano-heat
37 nociceptors (II-AMHs), and elicits reproducible brain potentials. However, it is unclear
38 whether hyperalgesia from IES occurs and co-exists with secondary mechanical punctate
39 hyperalgesia, and whether the magnitude of the EEG responses evoked by IES within the
40 hyperalgesic area is increased. To address these questions, we explored the modulation of
41 the psychophysical and EEG responses to IES by intra-epidermal injection of capsaicin in
42 healthy human subjects. We obtained three main results. First, the intensity of the
43 sensation elicited by IES was significantly increased in participants who developed robust
44 mechanical punctate hyperalgesia after capsaicin injection (i.e., responders), indicating that
45 hyperalgesia from IES co-exists with punctate mechanical hyperalgesia. Second, the N2 peak
46 magnitude of the EEG responses elicited by IES were significantly increased after the intra-
47 epidermal injection of capsaicin in responders only. Third, a receiver-operator
48 characteristics analysis showed that the N2 peak amplitude is clearly predictive of the
49 presence of CS. These findings suggest that the EEG responses elicited by IES reflect
50 secondary hyperalgesia, and therefore represent an objective correlate of CS.

51

52 **New & Noteworthy**

53 Secondary mechanical punctate hyperalgesia is a cardinal sign of central sensitization (CS),
54 an important mechanism for chronic pain. Our study demonstrates that hyperalgesia from
55 intra-epidermal electrical stimulation coexists with mechanical punctate hyperalgesia and
56 elicits electroencephalographic (EEG) potentials that predict the robust occurrence of
57 punctate hyperalgesia in a human experimental model of CS. These findings inform clinical
58 development of EEG-based biomarkers of CS.

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62 **Keywords:**

63 central sensitization; secondary hyperalgesia; mechanical punctate stimulation;
64 intraepidermal electrical stimulation; EEG.

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67 **Introduction**

68 Central sensitization (CS) refers to the increased sensitivity of the central nervous system to
69 somatosensory inputs. CS accounts for the enhanced painful percepts elicited by nociceptive
70 stimulation of the skin surrounding a site of tissue injury (secondary hyperalgesia)
71 (Ringkamp et al. 2013), and it has been suggested to be an important contributor to several
72 chronic pain states (Ji et al. 2003; Latremoliere and Woolf 2009). A cardinal sign of CS is
73 secondary hyperalgesia to nociceptive punctate mechanical stimuli, also known as
74 secondary mechanical punctate hyperalgesia. Such punctate stimuli, when delivered using
75 flat-tip probes, preferentially activate the free-nerve endings of type-I A δ mechano-heat
76 nociceptors (I-AMH) (Magerl et al. 2001). CS is typically established by an intense activation
77 of C-fibre skin nociceptors: the resulting afferent barrage to the dorsal horn results in a
78 hetero-synaptic facilitation of I-AMH inputs, which substantiates secondary mechanical
79 punctate hyperalgesia (Geber et al. 2007; Ziegler et al. 1999).

80 Secondary mechanical punctate hyperalgesia has been quantified by measuring the brain
81 activity using non-invasive functional neuroimaging techniques, like functional magnetic
82 resonance imaging (fMRI) (Lee et al. 2008) and magnetoencephalography (MEG) (Maihofner
83 et al. 2010). Given that secondary hyperalgesia is a well-established surrogate model for
84 centrally generated hyperalgesia in chronic pain patients, such neural correlates have
85 potential clinical and pharmaceutical applications. However, fMRI and MEG are costly and
86 not readily available. In contrast, electroencephalography (EEG) is more affordable and
87 routinely used in clinical practice. Moreover, previous studies have shown that punctate
88 stimulation causing pin-prick-like pain can elicit EEG potentials, whose amplitudes reflect
89 subjective reports of secondary mechanical punctate hyperalgesia (Davies et al. 2010;

90 Iannetti et al. 2013). However, there are technical and physiological constraints that may
91 hamper clinical translation of pinprick-evoked potentials. First, the mechanical stimulus is
92 generated by hand-held probes. The use of hand-held probes is operator dependent, which
93 limits reproducibility of stimulus delivery. Second, given that the force exerted is driven
94 passively by a weighted cylinder (Magerl et al. 2001), the probe needs to be held
95 perpendicularly to both the skin and the ground, in order to ensure that a consistent force is
96 applied. This limits the number of body territories that can be effectively stimulated.
97 Pneumatically driven (Kohlhoffel et al. 1991) or solenoid-powered (Davies et al. 2010)
98 mechanical devices have also been described: they circumvent some of the difficulties
99 associated with the use of hand-held probes. However, any device that relies on mechanical
100 stimulation to activate cutaneous nociceptors remains limited by a crucial factor, the
101 variability in skin compliance. This limits the synchronicity of nociceptor activation,
102 introduces high variability of spatial and temporal summation at central synapses, and thus
103 makes the estimation of response latency and amplitude difficult. Third, when using
104 mechanical probes, the spatial location of the stimulated spot is typically changed between
105 trials, which further increases the variability of the afferent nociceptive input. Lastly, and
106 most importantly, mechanical punctate stimulation activates intra-epidermal nociceptive
107 nerve endings *preferentially*, but not selectively. Indeed, at higher stimulus intensities the
108 dermis and subcutaneous tissues are more likely to become temporarily deformed, which
109 may result in a certain degree of activation of deeper A β afferents (Treede et al. 2002).

110 A possible alternative to punctate stimulation is the selective activation of A δ nociceptors by
111 simple and affordable concentric electrodes that are designed to deliver currents exclusively
112 to the epidermal skin layers, where the free nerve-endings of nociceptors ramify (Inui and

113 Kakigi 2012; Inui et al. 2002). Psychophysical, behavioural and electrophysiological data
114 indicate that, when used at low-intensity of current, intra-epidermal electrical stimulation
115 (IES) activates A δ nociceptors *selectively*, i.e. without coactivating A β afferents (Mouraux et
116 al. 2010). Still, it remains to be determined whether the psychophysical and EEG responses
117 evoked by IES are increased in the presence of secondary mechanical punctate hyperalgesia.
118 This question is physiologically pertinent: given the evidence that IES predominantly activate
119 type-II AMHs (Mouraux et al. 2010; Treede and Magerl 2000), the observation that EEG
120 responses to IES are increased would imply that hyperalgesia from IES is also mediated by
121 this class of nociceptive afferents.

122 Here, we explored whether IES evoked potentials hold promise as an objective neural
123 correlate of secondary hyperalgesia. We intra-epidermally injected capsaicin in right hand of
124 healthy subjects to induce a state of CS. Participants were classified in responders and non-
125 responders based on whether or not they developed robust secondary mechanical punctate
126 hyperalgesia. We then tested (1) whether subjects who developed secondary mechanical
127 hyperalgesia also developed secondary hyperalgesia from nociceptive-specific IES. We also
128 (2) explored whether the magnitude of the EEG responses to nociceptive IES delivered to
129 the secondary hyperalgesic area was significantly increased and (3) quantified the sensitivity
130 and specificity of the EEG responses elicited by IES for detecting the presence of secondary
131 hyperalgesia in our study cohort.

132

133 **Materials and Methods**

134 ***Participants***

135 Fourteen healthy right-handed volunteers participated in this study. All participants were
136 pain-free, not taking any medication and did not have any history of severe allergic
137 reactions to chilli peppers at the time of testing. They all gave signed written informed
138 consent, and the experimental procedures were approved by the UCL Research Ethics
139 Committee. Before the electrophysiological recording, the experimental setup and the
140 psychophysical rating task were clearly explained to the participants, who were also
141 familiarized with the sensation elicited by IES. Data from two participants were discarded
142 because no clear event-related potential (ERP) could be identified, and the data from the
143 remaining twelve participants (22-39 years, 7 female) were analysed.

144 ***Experimental design***

145 The experimental design is summarised in Figure 1 (upper panel). Experiments were
146 conducted in a silent and temperature-controlled room. Throughout the experiment
147 participants sat on a comfortable chair with the hands resting on a table in front of them.
148 Participants were instructed to keep their gaze fixed on a black cross (2 × 2 cm) placed
149 centrally in front of them, at a distance of 1.5 m, ~20° below eye level. To induce CS,
150 capsaicin was injected intra-epidermally on the right hand dorsum (Ziegler et al. 1999). IES
151 were delivered in two separate blocks, one before ('pre-capsaicin') and one after capsaicin
152 injection ('post-capsaicin'). In the post-capsaicin block, IES were delivered only after
153 capsaicin-induced spontaneous pain had resolved. In each block we delivered 20 stimuli on
154 the left hand dorsum and 20 stimuli on the right hand dorsum, in pseudo-random order,
155 with an inter-stimulus interval (ISI) of 8-12 s (rectangular distribution). Therefore, there
156 were four conditions: (1) Pre-capsaicin, right hand (PreRH); (2) Pre-capsaicin, left-hand
157 (PreLH); (3) Post-capsaicin, right-hand (PostRH); and (4) Post-capsaicin, left-hand (PostLH).

158 Three seconds after the stimulus onset, subjects were asked to state whether the stimulus
159 was delivered on the right or the left hand, and to provide ratings of the perceived intensity
160 of pinprick pain, using a numerical scale ranging from 0 (no pinprick sensation) to 100 (the
161 most intense pinprick sensation imaginable).

162 ***Intra-epidermal electrical stimulation (IES)***

163 IES consisted of two constant-current square-wave pulses delivered in rapid succession, as
164 described in (Inui et al. 2002; Mouraux et al. 2010). Each pulse lasted 500 μ s, and the inter-
165 pulse interval was 10 ms (DS7, Digitimer, UK). Stimuli were delivered using a stainless steel
166 concentric bipolar needle electrode, consisting of a needle cathode (length: 0.1 mm, \varnothing : 0.2
167 mm) surrounded by a cylindrical anode (\varnothing : 1.4 mm) (Inui et al. 2002; Mouraux et al. 2010).
168 By gently pressing the device against the skin, the needle electrode was inserted into the
169 epidermis. Two electrodes were applied, one on the dorsum of each hand. Once the
170 electrodes were fixed, the thresholds for stimulus perception were determined for each
171 hand and each subject, using an adaptive staircase procedure. The final intensity of the IES
172 for the experiment was set to twice the perceptual threshold, to ensure selective
173 stimulation of skin nociceptors (Mouraux et al. 2010).

174 After the thresholding procedure, we delivered a few stimuli at the intensity determined
175 above, to familiarize the participant with the elicited sensation. If the participant reported a
176 different perceived intensity on two hands, the location of the electrodes were adjusted on
177 each participant until the reported intensities on both hands were similar, and then the
178 thresholding procedure was repeated and the new stimulus intensity was determined.

179 ***Capsaicin injection***

180 To induce CS, we injected intra-epidermally a 10 mM solution of capsaicin (40 μ g in a 12.5 μ l
181 volume of normal saline containing 0.16% Tween 80; for details, see (LaMotte et al. 1991).
182 The capsaicin solution was injected at an angle of approximately 15° to the skin surface,
183 using a 27-gauge disposable needle. The injection site was ~1.5 cm away from the IES
184 electrode on the right hand dorsum. Therefore, IES was delivered on the skin area of
185 secondary hyperalgesia away from the injection site where the skin would have been
186 numbed by the local neurotoxic effects of capsaicin (LaMotte et al. 1992).

187 ***Capsaicin-induced spontaneous pain and secondary hyperalgesia assessment***

188 Spontaneous pain intensity after capsaicin injection was recorded using a numerical rating
189 scale ranging between 0 (no pain) and 100 (worst pain imaginable). Participants were
190 required to rate verbally the intensity of spontaneous pain every 10 s during the first 3
191 minutes and then every 30 s until the pain intensity ratings were less than 5 out of 100.

192 The development of mechanical hyperalgesia in the skin area surrounding the injection site
193 was confirmed by punctate mechanical stimulation of the skin adjacent (within 1 cm) to the
194 external circumference of the concentric IES electrode, using a flat-tip punctate probe (256
195 mN). This probe comprises a stainless steel wire tip (\varnothing : 0.25 mm) attached to a mounted
196 weight (256 mN) that glides smoothly within a hollow handheld cylindrical tube. When
197 applied perpendicularly to the skin, the weight of the probe rested entirely on the wire tip,
198 thus exerting a constant force of 256 mN. More details and a depiction of the punctate
199 probe can be found in (Iannetti et al. 2013), as well as in the manufacturer website (MRC
200 Systems GmbH; <http://www.mrc-systems.de/en/products/pinprick>). The same mechanical
201 stimulus was applied to the corresponding position of the left hand, to obtain a baseline for
202 quantifying the effect of secondary hyperalgesia, as follows. Participants were asked to

203 report the intensity of punctate stimulation of the right hand (capsaicin-injected) and of the
204 left hand (control), using a numerical rating scale that ranged between 0 (no pinprick
205 sensation) and 100 (the most intense pinprick sensation imaginable). For each hand,
206 punctate stimuli were applied three times with an inter-stimulus interval of approximately 5
207 sec, after the spontaneous pain induced by the capsaicin injection in the right hand had
208 decreased to less than 5 out of 100 (Figure 2). For every individual, the mean ratings of the
209 sensations elicited by the three stimuli was obtained for each hand and condition. The
210 intensity of secondary hyperalgesia was quantified as the ratio of the subjective ratings of
211 the pinprick sensation elicited by mechanical stimulation of the right and the left hands
212 (Right/Left). Participants were considered to have developed robust secondary hyperalgesia
213 from punctate stimuli if the ratio was ≥ 2 , and were thus classified as responders. All other
214 participants were classified as non-responders. This ratio was chosen based on a previous
215 EEG study, which showed that an approximately two-fold increase (+93%) in pinprick
216 sensation elicited by punctate stimulation after capsaicin sensitisation was associated with
217 significant increases in the evoked EEG response (Iannetti et al. 2013).

218 ***EEG recording***

219 The EEG was recorded using 31 Ag-AgCl electrodes placed on the scalp according to the
220 International 10-20 system, and referenced to the nose. Ocular movements and eye blinks
221 were recorded using two surface electrodes, one placed over the right lower eyelid, the
222 other placed approximately 1 cm lateral to the lateral corner of the right orbit. Signals were
223 amplified and digitized using a sampling rate of 1,024 Hz (SD32, Micromed, Italy).

224 ***Behavioural data analysis***

225 Single trial ratings of the sensation elicited by IES were first normalized between 0 and 100,
226 for each participant (the minimum value was set to 0 and the maximum value was set to
227 100). This procedure mitigates the differences in the range of values on the numerical rating
228 scale with which individuals reported the intensity of pinprick pain elicited by IES (Huang et
229 al. 2013). Normalized stimulus intensity ratings were subsequently averaged across trials for
230 each condition, resulting in four average values for each participant (PreRH, PreLH, PostRH,
231 PostLH).

232 To test whether capsaicin injection had an effect on the perceived IES intensity, we
233 performed a three-way ANOVA, with the following experimental factors: Group (two levels:
234 Responders, Non-Responders), Session (two levels: Pre-capsaicin, Post-capsaicin), and Hand
235 (two levels: Injected [Right], Control [Left]).

236 Where effects were significant, post-hoc analyses were performed to define their direction
237 and possible interactions. Two-way repeated-measures ANOVA for the main and interaction
238 effects of Session and Hand were performed to define the effects of capsaicin injection on
239 the intensity of the sensation elicited by IES, within each group. The statistical threshold of
240 the post hoc analyses was Bonferroni corrected accounting for the number of comparisons
241 ($P = 0.05/2 = 0.025$).

242

243 ***EEG data analyses***

244 EEG data analyses were performed using Letswave (www.nocions.org) (Mouraux and
245 Iannetti 2008) and Matlab (The MathWorks, Natick, MA). Continuous EEG recordings were
246 segmented into epochs using a time window of 2 s (-0.5 to 1.5 s relative to the stimulus

247 onset). Each epoch was baseline corrected (baseline interval ranging from -0.2 to 0 s), and
248 band-pass filtered (1–30 Hz). Artefacts produced by eye blinks or eye movements were
249 subtracted using a validated method based on independent component analysis (Jung et al.
250 2000). In all data sets, independent components related to eye movements had a large
251 electrooculogram channel contribution and a frontal scalp distribution. In addition, epochs
252 with amplitude values exceeding $\pm 100 \mu\text{V}$ were rejected from further analysis. These epochs
253 constituted $0.6 \pm 1.8\%$ (mean \pm SD across all conditions and participants) of the total number
254 of epochs. Remaining epochs were then averaged for each condition, resulting in four
255 average ERP waveforms for each participant.

256 The N2-P2 complex was measured at the vertex (Cz), and it was defined as the largest
257 negative-positive deflection occurring after stimulus onset. The amplitude of both the N2
258 and P2 peaks were calculated for each condition and participant, and tested for the effect of
259 capsaicin injection, using the same three-way ANOVA described for the behavioural data
260 (Figure 1, lower panel). As two peaks (N2 and P2) were tested, the statistical threshold, $P =$
261 $0.05/2 \text{ peaks} = 0.025$, was determined by Bonferroni correction accounting for the number
262 of peaks. Where effects were significant, the same post-hoc analyses described for the
263 behavioural data (i.e., two-way repeated measures ANOVA) were performed for each group,
264 and the same statistical threshold, Bonferroni corrected ($P = 0.05/2 \text{ groups} = 0.025$), was
265 used to determine the significance of the post-hoc results. The latency of the N2 and P2
266 peaks were analysed using the same procedure.

267 To test the predictive value of ERP amplitude for the presence of central sensitisation, we
268 plotted the receiver operating characteristic (ROC) curves obtained using the interaction
269 term (i.e. (PostRH-PreRH)-(PostLH-PreLH)) calculated for the N2-wave and P2-wave peak

270 amplitudes. The true positive rate (Sensitivity) is plotted against the false positive rate (100-
271 Specificity) for different cut-off values of the interaction terms. Each point on the ROC curve
272 represents a sensitivity/specificity pair corresponding to a particular decision threshold for
273 the interaction term. Above each of these thresholds the individual is predicted to be a
274 responder, and vice versa. If interaction terms had perfect classification performance, their
275 ROC curves would pass through the upper left corner (100% sensitivity, 100% specificity).
276 The closer the ROC curve is to the upper left corner, the higher the overall accuracy of the
277 interaction term is in distinguishing responders and non-responders (Zweig and Campbell
278 1993). The Area Under Curve (AUC) is typically used to quantify the classification
279 performance. An AUC value of 0.5 corresponds to a random classification (i.e. to a useless
280 test), whereas an AUC of 1.0 indicates that the test performs perfectly. We calculated the
281 AUC for the interaction terms obtained from the amplitude of the N2 and P2 peaks, to
282 assess their sensitivity and specificity for detecting the presence of a CS state. We tested
283 whether the AUC size of each measure was significantly greater than 0.5 (Hanley and McNeil
284 1982).

285

286 **Results**

287 Six out of twelve participants developed robust secondary hyperalgesia on the capsaicin-
288 treated hand and were therefore classified as responders (Figure 2, upper panel).

289 ***Capsaicin-induced spontaneous pain***

290 The time courses of the capsaicin-induced pain for all subjects are shown in the lower panel
291 of Figure 2. In the first few seconds after the injection, capsaicin induced a very intense

292 sensation of burning pain, which decreased exponentially over time (Lee et al. 2008; Magerl
293 et al. 1998). The time course of spontaneous pain ratings for each subject was summarised
294 as area-under-curve (AUC). The AUC for responders and non-responders were compared
295 using a two-sample t test. The result showed no significant difference in capsaicin-induced
296 spontaneous pain between the two groups ($T_{10} = 0.39$, $P = 0.70$). This observation suggests
297 that both groups perceived the conditioning stimulus (i.e. the intra-epidermal injection of
298 capsaicin) similarly.

299

300 ***Psychophysics of intra-epidermal stimulation of the area of secondary mechanical***
301 ***punctate hyperalgesia***

302 All subjects correctly reported whether the IES was delivered to the right or to the left hand,
303 in all trials. The three-way ANOVA on the subjective ratings of perceived IES intensity
304 showed a two-way interaction between Group and Hand ($F_{1,10} = 9.02$, $P = 0.01$), and more
305 importantly, a clear three-way interaction between Group, Session and Hand ($F_{1,10} = 59.27$,
306 $P = 0.000016$) (Figure 3). No other significant effects were detected (Table 1). This finding
307 indicates that right hand stimulation was perceived as more painful than left hand
308 stimulation in the responders, but only after capsaicin was injected in the right hand. The
309 results of all post hoc two-way ANOVAs are shown in Table 2. Both responders ($F_{1,5} = 49.79$,
310 $P = 0.001$) and non-responders ($F_{1,5} = 15.19$, $P = 0.01$) showed significant interactions
311 between Session and Hand, but in opposite directions – the responders had clearly
312 increased ratings on their treated hand after capsaicin injection, while the non-responders
313 showed mildly decreased ratings on their treated hand after capsaicin injection (Figure 3).

314 The results demonstrate a clear secondary hyperalgesia from both IES and mechanical
315 punctate stimulation after capsaicin injection.

316

317 ***ERP waveforms***

318 ERPs elicited by IES stimuli showed a clear N2-P2 complex maximal at electrode Cz, in all
319 four conditions of each group. Grand-average waveforms and scalp maps at N2 and P2 peak
320 latencies are shown in Figure 4. The ERP amplitude increased after capsaicin injection in the
321 right hand of the responders, compared with all other conditions. Statistical comparisons of
322 peak amplitude and latency of the N2 and P2 waves across different conditions and groups
323 are reported below, and summarized in Tables 1 and 2.

324 *N2 peak amplitude.* The three-way ANOVA of N2 peak amplitudes showed a three-way
325 interaction between Group, Session and Hand ($F_{1,10} = 7.84, P = 0.019$). No other significant
326 effects were detected (Table 1). Hence, N2 peak amplitudes at Cz were greater following
327 right-hand IES compared to left-hand IES in the responders, but only when IES were
328 delivered to the hand where capsaicin had been injected (i.e. the right hand). Post hoc two-
329 way ANOVAs (Table 2) revealed that only responders showed an interaction between
330 Session and Hand ($F_{1,5} = 15.15, P = 0.011$) indicating increased N2 amplitudes on their
331 treated hand after capsaicin injection. Figure 5 shows the single-subjects N2 peak
332 amplitudes, as well as the statistical results.

333 *P2 peak amplitude.* The three-way ANOVA of P2 peak amplitudes showed that there was a
334 two-way interaction between Group and Session ($F_{1,10} = 11.13, P = 0.008$). This effect was
335 caused by an overall increased P2 amplitude in the post-capsaicin session of responders, but

336 a decreased P2 amplitude in the post-capsaicin session of non-responders. No other
337 significant effects were detected (Table 1). Post hoc two-way ANOVAs (Table 2) showed that
338 there was a trend for an interaction between Session and Hand which, however, did not
339 survive correction for multiple comparisons in responders ($F_{1,5} = 9.77, P = 0.026$): in this
340 group, P2 amplitudes in the post-capsaicin session were, compared to the pre-capsaicin
341 session, increased following right hand stimulation and slightly decreased following left
342 hand stimulation.

343 *N2 peak latency.* The three-way ANOVA of N2 peak latencies showed a main effect of Hand
344 ($F_{1,10} = 7.41, P = 0.022$). No other significant effects were detected (Table 1). Post hoc two-
345 way ANOVAs (Table 2) fail to detect any effects in either responders or non-responders that
346 survived correction for multiple comparisons.

347 *P2 peak latency.* The three-way ANOVA on the P2 peak latencies did not detect any
348 significant effect. Therefore, post hoc analyses were not performed.

349 *ROC curves.* The ROC curves obtained from N2 and P2 peak amplitudes are plotted in Figure
350 6. The AUC (\pm standard error) for N2 and P2 were 0.92 ± 0.09 and 0.72 ± 0.16 , respectively.
351 Only the AUC for N2 was significantly greater than 0.5 (N2: $P = 0.016$; P2: $P = 0.200$). This
352 suggests that the N2 peak amplitude has adequate sensitivity and specificity for detecting
353 the presence of CS induced by intra-epidermal injection of capsaicin.

354

355

356 **Discussion**

357 Developing a biomarker for secondary hyperalgesia, a cardinal symptom of central
358 sensitization (CS), would be useful for both drug discovery and clinical therapy. Such a
359 biomarker would help analgesic drug discovery in early phase trials, facilitate diagnosis of
360 neuropathic pain, and allow objective monitoring of drug treatments in patients.

361 IES is a technically simple and inexpensive method to selectively stimulate type II A δ skin
362 nociceptors (Inui and Kakigi 2012; Inui et al. 2002; Mouraux et al. 2010). Importantly, IES
363 elicits clear time-locked EEG responses, thus allowing quantification of CS. However,
364 mechanical punctate hyperalgesia is known to be mediated by I-AMH units, rather than II-
365 AMH units (Magerl et al. 2001). Given that IES selectively activates II-AMH units (Mouraux et
366 al. 2010), we tested (1) whether secondary hyperalgesia from IES co-exists with secondary
367 mechanical punctate hyperalgesia, and (2) whether such hyperalgesia is reflected in a
368 corresponding increase in EEG responses.

369 We obtained several interesting results. First, the intensity of the sensation elicited by IES
370 was significantly increased after intra-epidermal injection of capsaicin in those participants
371 who developed robust mechanical punctate hyperalgesia – clearly showing that
372 hyperalgesia from IES occurs and coexists with mechanical hyperalgesia. Second, the peak
373 amplitude of the N2 wave elicited by IES was significantly increased in responders, similarly
374 to the intensity of the sensation elicited by IES. This increased response only occurred when
375 IES were delivered to the hand where capsaicin was injected. Third, ROC analysis showed
376 that the N2 peak amplitude offers the ability to predict the presence of CS with high
377 sensitivity and specificity. These findings suggest that the EEG responses elicited by IES
378 reflect secondary hyperalgesia and thus are a reliable neural correlate of CS.

379

380 *Peripheral afferents mediating secondary hyperalgesia from IES*

381 Whilst our observations clearly indicate that secondary hyperalgesia elicited by IES appears
382 to co-exist with secondary hyperalgesia elicited by mechanical punctate stimuli, it remains
383 unclear whether the two phenomena are mediated by similar populations of A δ
384 nociceptors. There is strong physiological evidence that secondary mechanical punctate
385 hyperalgesia is mediated by I-AMH nociceptors. For example, Magerl and colleagues (2001)
386 demonstrated that secondary mechanical punctate hyperalgesia still occurs in skin that was
387 rendered devoid of II-AMH epidermal terminals by application of high concentrations of
388 topical capsaicin (Magerl et al. 2001). In contrast, Mouraux and colleagues (2010) showed
389 that both sensations and EEG responses elicited by IES were abolished in skin that was
390 similarly treated with high-concentration capsaicin, suggesting that IES activates mostly II-
391 AMH nociceptors (Mouraux et al. 2010). It follows that the secondary hyperalgesia from IES
392 observed in this study is likely to be mediated mainly by II-AMH, rather than I-AMH
393 nociceptors. However, further experiments are required to confirm whether hyperalgesia
394 from IES and mechanical punctate stimulation are truly mediated by different populations of
395 A δ afferents. Nonetheless, it is plausible that, after capsaicin injection, inputs from both I-
396 AMH and II-AMH nociceptors are heterosynaptically facilitated via a common central
397 mechanism, and account for the co-existence of secondary hyperalgesia from IES and
398 mechanical punctate stimulation (Ziegler et al. 1999).

399 *Variability in capsaicin-induced secondary hyperalgesia*

400 We observed considerable variability in the degree of punctate hyperalgesia that developed
401 after intra-epidermal capsaicin injection. Only half of the subjects developed robust

402 punctate hyperalgesia (i.e. a two-fold increase of pain ratings when stimulating the injected
403 hand with respect to the control hand; Figure 2).

404 It is unlikely that this difference between responders and non-responders was related to the
405 strength of conditioning stimulus, i.e., the activation of C-nociceptors by intra-epidermal
406 injection of capsaicin. Indeed, both groups reported similar intensities and durations of
407 burning pain following intra-epidermal injection of capsaicin, which suggests that the
408 conditioning stimulus was similar for both groups. We note that the development of
409 secondary hyperalgesia can be highly variable even with a highly standardized electrical
410 conditioning stimulus, which suggests considerable differences in the development of CS
411 responses between individuals (Pfau et al. 2011). Furthermore, there is clear evidence that
412 genetic variability contributes to variability in hyperalgesic response following intra-
413 epidermal capsaicin injection (Tegeeder et al. 2008).

414 *Brain potentials evoked by IES and central sensitization: advantages and limitations*

415 Previous studies have suggested that brain potentials elicited by punctate mechanical
416 stimulation may be recorded and employed as a potential objective correlates of the CS
417 states (Davies et al. 2010; Iannetti et al. 2013; Kohlloffel et al. 1991). However, as detailed in
418 the Introduction, evoked potentials elicited by punctate mechanical stimuli have significant
419 technical and physiological constraints that may hamper clinical translation.

420 In contrast, IES have several advantages over mechanical punctate stimulation. When
421 delivered at low currents, they are fully selective for A δ nociceptors, and allow for accurate
422 timing and standardization of stimuli. The stimulating electrode is affordable and can be
423 affixed to any part of the body without difficulty.

424 The current results show that the amplitude of the ERP elicited by IES of the skin with
425 secondary hyperalgesia clearly reflects that the somatosensory system is centrally
426 sensitised. The amplitude of the N2 wave was significantly larger when IES were delivered to
427 the hand in which capsaicin injection resulted in a clear secondary hyperalgesia (Figures 4
428 and 5, Tables 1 and 2). Moreover, the areas under the ROC curves indicate that the change
429 in N2 peak amplitude was significantly predictive of the presence of secondary hyperalgesia
430 (Figure 6). This result suggests that the changes in N2 amplitude may be developed as a
431 potentially useful biomarker of CS.

432 Several limitations to IES remain. First, we were unable to isolate the early, contralateral N1
433 wave typically observed in the brain potentials evoked by nociceptive laser stimuli (Treede
434 et al. 1988; Valentini et al. 2012), most likely because of its lower signal-to-noise ratio.
435 Compared to the subsequent N2-P2 complex, the N1 wave has been shown to better reflect
436 the afferent nociceptive drive (Lee et al. 2009) and appears less susceptible to top-down
437 modulation, for example placebo manipulation (Martini et al. 2015). These characteristics
438 make the N1 wave a potentially more robust marker for central sensitisation. Second, the
439 selective activation of A δ nociceptors by IES relies on the use of strictly low-intensity
440 currents. This limitation prevents recording stimulus response functions, as higher-intensity
441 currents necessarily entail a coactivation of tactile A β afferents, and therefore a loss of
442 specificity for A δ fibre stimulation (Mouraux et al. 2010). Stimulus response functions are
443 particularly useful when assessing the analgesic potential of novel drugs as they can divulge
444 interactions between stimulus or pain intensity and dose effects. Recording of stimulus
445 response function using the brain response elicited by mechanical punctate stimuli is
446 similarly problematic because, as detailed earlier, when high forces are exerted the

447 mechanical punctate stimulus becomes less selective for A δ fibre activation (Treede et al.
448 2002; van den Broeke et al. 2015). More recent data reveal that stimulus response functions
449 can be constructed using IES, by varying the number of pulses delivered in quick succession
450 (5 ms intervals) to normal skin – increasing the number of pulses increases the intensity of
451 sensation and EEG amplitudes without changing reaction times or response latencies
452 (Mouraux et al. 2014). Further experiments are required to ascertain if this remains the case
453 after capsaicin-induced hyperalgesia. Moreover, although our present results suggest the
454 potential usefulness of EEG responses to IES as an objective measure of CS, the small sample
455 size used in the present study limits statistical power for detection of smaller effects. Future
456 studies with large samples are needed to confirm the predictive value of IES brain potentials
457 for the state of CS.

458 *Conclusion*

459 Our study demonstrates that secondary hyperalgesia to IES occurs in a well-recognized
460 experimental model of CS, and that the subjective report was corroborated by increased
461 evoked EEG responses. These findings suggest that EEG responses elicited by low-intensity
462 IES, particularly the change in the peak amplitude of the N2 wave, can be used as an
463 objective, physiological correlate of secondary hyperalgesia. Hence, IES evoked potentials
464 hold promise as a low-cost non-invasive biomarker for CS that can be translated for clinical
465 use with relative ease compared to existing techniques.

466

467

468

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474

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549

550 **Figure legends**

551 **Figure 1. Upper panel.** Experimental design. The state of central sensitization was induced
552 by intra-epidermal injection of capsaicin (red arrow on the timeline). Capsaicin-induced
553 spontaneous pain lasted between 8 and 35 minutes, during which pain ratings were
554 collected every 10 s during the first 3 minutes and then every 30 s until the pain intensity
555 ratings were less than 5 out of 100 (red box). Psychophysical and EEG responses to IES were
556 collected before capsaicin injection (i.e., pre-capsaicin session, green box) and after
557 capsaicin induced spontaneous pain had disappeared (i.e., post-capsaicin session, blue box).
558 The development of secondary hyperalgesia to punctate mechanical stimuli was assessed by
559 the ratio of the subjective intensity ratings of the sensation evoked by stimulation of the
560 right and the left hand (Right/Left) (purple arrow on the timeline). Participants were
561 considered responders if the ratio was ≥ 2 , and non-responders otherwise. *Lower panel.*
562 Schematic of the statistical analysis. A three-way ANOVA, with the factors of Group
563 (responders, non-responders), Session (pre-capsaicin, post-capsaicin) and Hand (left, right),
564 was used to analyse both psychophysical and ERP responses. The three-way interaction
565 (Group x Session x Hand) indicated the effect of central sensitization on these responses.
566 Further *post hoc* two-way ANOVAs with the factors of Session and Hand were performed to
567 define the effect within each group.

568

569 **Figure 2. Upper panel.** Participants were divided into two groups according to the ratio of
570 probe ratings to punctate stimulation of the right and left hands: participants who rated the
571 intensity of right hand stimulation as at least twice that of the left hand stimulation were

572 classified as responders. Participants were sorted by the ratio of reported intensity ratings,
573 in descending order. *Lower panel.* Time course of capsaicin-induced pain ratings. Single
574 participants are colour coded. Solid lines indicate responders. All participants rated the pain
575 intensity between 90 and 100 at the moment of the injection. Pain ratings decreased fairly
576 quickly over time. *Upper-right inset.* The comparison of the mean area under curve (AUC)
577 between responders and non-responders revealed no significant difference ($T_{10} = 0.39$, $P =$
578 0.70). Coloured symbols indicate single-subject AUC data.

579

580 **Figure 3.** Subjective intensity ratings of the sensation elicited by the IES (Intra-epidermal
581 Electrical Stimulation) of responders (left column) and non-responders (right column).
582 *Upper panel:* to highlight the interaction between the factors Session and Hand, the
583 subtracted ratings (Post *minus* Pre capsaicin injection) are shown for each hand. Colored
584 dots indicate single subjects, and the black dots indicate the group average of each
585 condition. Two-way ANOVA revealed that responders had a highly significant interaction
586 between the factors Session and Hand. This reveals a capsaicin-induced increase of IES
587 ratings (Post-Pre) on the right hand. In contrast, in non-responders the two-way ANOVA
588 revealed a decrease of IES ratings on the right hand compared to those on the left hand.
589 These differences in the capsaicin effect on IES ratings between responders and non-
590 responders were confirmed by the three-way ANOVA, which revealed a highly significant
591 triple interaction (Group x Session x Hand; the comparison between the left and the right
592 columns). LH: left hand; RH: right hand. Lower panel: individual values (colored dots) and
593 mean value (the black dots) of each condition. PreLH: Pre-capsaicin, left hand; PostLH: Post-
594 capsaicin, left hand; PreRH: Pre-capsaicin, right hand; PostRH: Post-capsaicin, right hand.

595

596 **Figure 4.** Group-average ERP waveforms and scalp maps elicited by IES in responders (left
597 panel) and non-responders (right panel). Waveforms at the channel Cz in different
598 conditions are shown in different color. The ERP elicited by IES stimuli clearly increased after
599 capsaicin injection only on the right hand in responders. Scalp maps at the N2 peak latencies
600 show a central distribution, slightly lateralized to the hemisphere contralateral to the
601 stimulated hand, maximal at the vertex (upper part of each panel). Scalp maps at the P2
602 peak latencies show a central distribution, maximal at the vertex (lower part of each panel).
603 The color bar shows the ERP amplitude in scalp maps.

604

605 **Figure 5.** ERP amplitudes (N2) of IES of responders (left column) and non-responders (right
606 column). *Upper panel:* to highlight the interaction between Session and Hand in each group,
607 the subtracted ERP amplitudes (Post *minus* Pre capsaicin injection) are shown for each hand.
608 Colored dots indicate single subjects, and the black dots indicate the group average of each
609 condition. Two-way ANOVA revealed that responders had a significant interaction between
610 the factors Session and Hand. This reveals a capsaicin-induced increase of IES ERP
611 amplitudes (Post-Pre) on the right hand. In contrast, in non-responders the two-way ANOVA
612 did not show any significant effect. These differences in the capsaicin effect on ERP
613 amplitudes between responders and non-responders were confirmed by the three-way
614 ANOVA, which revealed a significant triple interaction (Group x Session x Hand; the
615 comparison between the left and the right columns). LH: left hand; RH: right hand. Lower
616 panel: individual values (colored dots) and mean value (the black dot) of each condition.

617 PreLH: Pre-capsaicin, left hand; PostLH: Post-capsaicin, left hand; PreRH: Pre-capsaicin, right
618 hand; PostRH: Post-capsaicin, right hand.

619

620 **Figure 6.** ROC curves and their corresponding area under curve (AUC) obtained using the
621 interaction term for N2 peak amplitude (left panel) and P2 peak amplitude (right panel) as
622 the predictive factor. Although both measures show predictive ability, only the AUC of N2
623 ROC was significantly greater than 0.5, indicating that it is therefore a predictor for the state
624 of central sensitisation.

625 **Table 1.** Results of the three-way ANOVA of the psychophysical and EEG responses elicited
 626 by intraepidermal electrical stimulation (IES).

3-way ANOVA	Pain intensity ratings	ERP peak amplitude		ERP peak latency	
		N2	P2	N2	P2
Main effect of Group	$F_{1,10} = 0.20$ $P = 0.665$	$F_{1,10} = 0.08$ $P = 0.778$	$F_{1,10} = 0.15$ $P = 0.705$	$F_{1,10} = 0.008$ $P = 0.930$	$F_{1,10} = 1.51$ $P = 0.247$
Main effect of Session	$F_{1,10} = 0.05$ $P = 0.833$	$F_{1,10} = 0.26$ $P = 0.618$	$F_{1,10} = 2.65$ $P = 0.134$	$F_{1,10} = 0.50$ $P = 0.498$	$F_{1,10} = 0.38$ $P = 0.553$
Main effect of Hand	$F_{1,10} = 4.52$ $P = 0.059$	$F_{1,10} = 1.60$ $P = 0.234$	$F_{1,10} = 0.52$ $P = 0.487$	$F_{1,10} = 7.41$ $P = 0.022$	$F_{1,10} = 0.11$ $P = 0.742$
2-way interaction Group x Session	$F_{1,10} = 3.04$ $P = 0.112$	$F_{1,10} = 1.40$ $P = 0.265$	$F_{1,10} = 11.13$ $P = 0.008$	$F_{1,10} = 0.05$ $P = 0.827$	$F_{1,10} = 0.53$ $P = 0.484$
2-way interaction Group x Hand	$F_{1,10} = 9.02$ $P = 0.013$	$F_{1,10} = 0.45$ $P = 0.517$	$F_{1,10} = 1.42$ $P = 0.261$	$F_{1,10} = 0.11$ $P = 0.751$	$F_{1,10} = 0.0003$ $P = 0.987$
2-way interaction Session x Hand	$F_{1,10} = 4.31$ $P = 0.065$	$F_{1,10} = 0.19$ $P = 0.674$	$F_{1,10} = 1.27$ $P = 0.286$	$F_{1,10} = 4.33$ $P = 0.064$	$F_{1,10} = 1.19$ $P = 0.301$
3-way interaction Group x Session x Hand	$F_{1,10} = 59.27$ $P = 0.000016$	$F_{1,10} = 7.84$ $P = 0.019$	$F_{1,10} = 2.04$ $P = 0.184$	$F_{1,10} = 0.37$ $P = 0.559$	$F_{1,10} = 0.06$ $P = 0.813$

627 Significant effects are highlighted in bold.

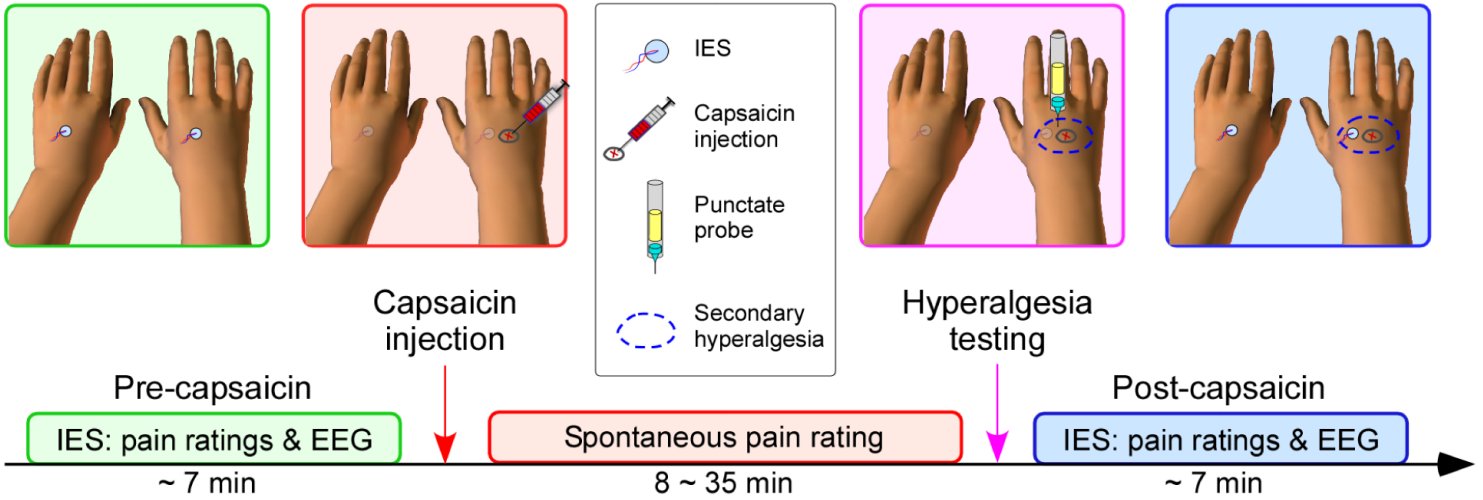
628 **Table 2.** Psychophysical and EEG responses elicited by IES for each condition, and results of
 629 the post hoc two-way ANOVAs for each group.

Group	PreRH (Mean ±SD)	PostRH (Mean ±SD)	PreLH (Mean ±SD)	PostLH (Mean ±SD)	Main Effect of Session (Pre vs. Post)	Main effect of Hand (LH vs. RH)	Interaction Session x Hand
Pain intensity ratings							
Responders	39.0 ±21.3	73.3 ±15.9	41.5 ±27.1	29.0 ±16.3	$F_{1,5} = 0.87$ $P = 0.394$	$F_{1,5} = 11.59$ $P = 0.019$	$F_{1,5} = 49.79$ $P = 0.0009$
Non- Responders	61.5 ±15.7	34.1 ±20.0	51.6 ±23.6	51.1 ±25.3	$F_{1,5} = 2.90$ $P = 0.150$	$F_{1,5} = 0.44$ $P = 0.535$	$F_{1,5} = 15.19$ $P = 0.011$
N2 peak amplitudes							
Responders	-8.6 ±4.4	-14.0 ±6.4	-9.9 ±5.4	-8.9 ±5.1	$F_{1,5} = 1.66$ $P = 0.254$	$F_{1,5} = 2.55$ $P = 0.171$	$F_{1,5} = 15.15$ $P = 0.012$
Non- Responders	-12.9 ±5.5	-9.7 ±5.1	-10.0 ±6.2	-11.5 ±2.1	$F_{1,5} = 0.20$ $P = 0.676$	$F_{1,5} = 0.14$ $P = 0.723$	$F_{1,5} = 1.69$ $P = 0.250$
P2 peak amplitudes							
Responders	7.9 ±2.3	10.6 ±2.5	8.3 ±3.5	7.6 ±1.4	$F_{1,5} = 4.32$ $P = 0.092$	$F_{1,5} = 1.87$ $P = 0.230$	$F_{1,5} = 9.77$ $P = 0.026$
Non- Responders	10.7 ±3.6	7.4 ±4.2	10.8 ±3.2	7.9 ±3.8	$F_{1,5} = 7.42$ $P = 0.042$	$F_{1,5} = 0.11$ $P = 0.755$	$F_{1,5} = 0.03$ $P = 0.875$
N2 peak latency							
Responders	165 ±29	136 ±16	168 ±29	178 ±47	$F_{1,5} = 0.68$ $P = 0.447$	$F_{1,5} = 8.75$ $P = 0.032$	$F_{1,5} = 5.94$ $P = 0.059$
Non- Responders	162 ±38	147 ±47	169 ±44	175 ±39	$F_{1,5} = 0.08$ $P = 0.783$	$F_{1,5} = 1.95$ $P = 0.221$	$F_{1,5} = 0.78$ $P = 0.417$

630 Significant effects are highlighted in bold.

631

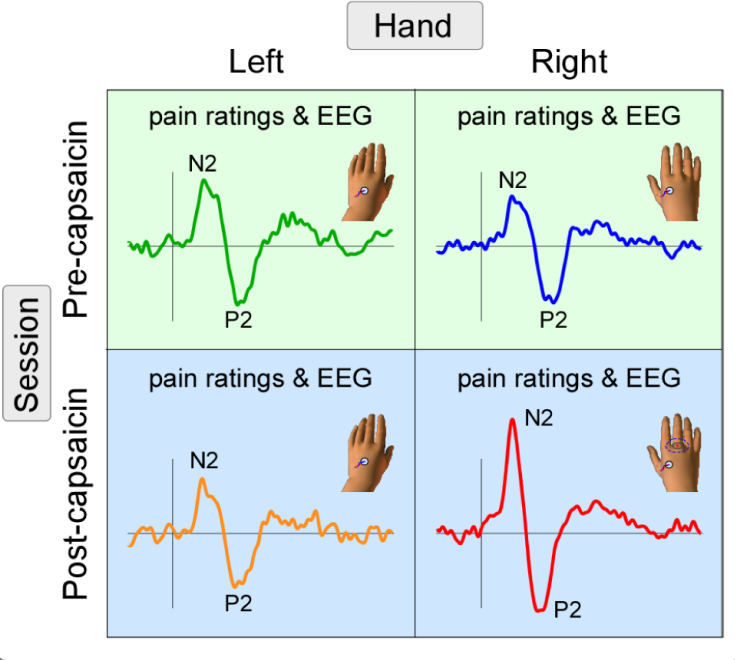
Experimental design



Group x Hand x Session (2x2x2) ANOVA

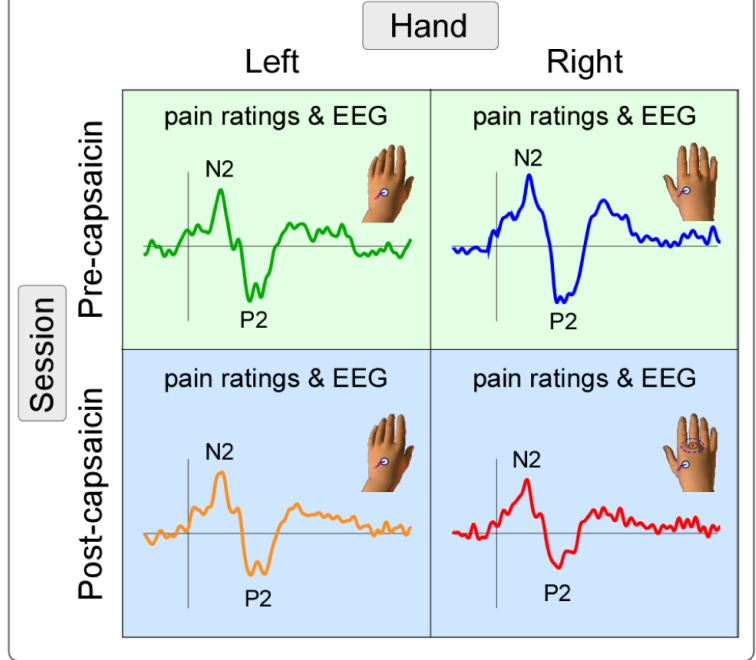
Responders

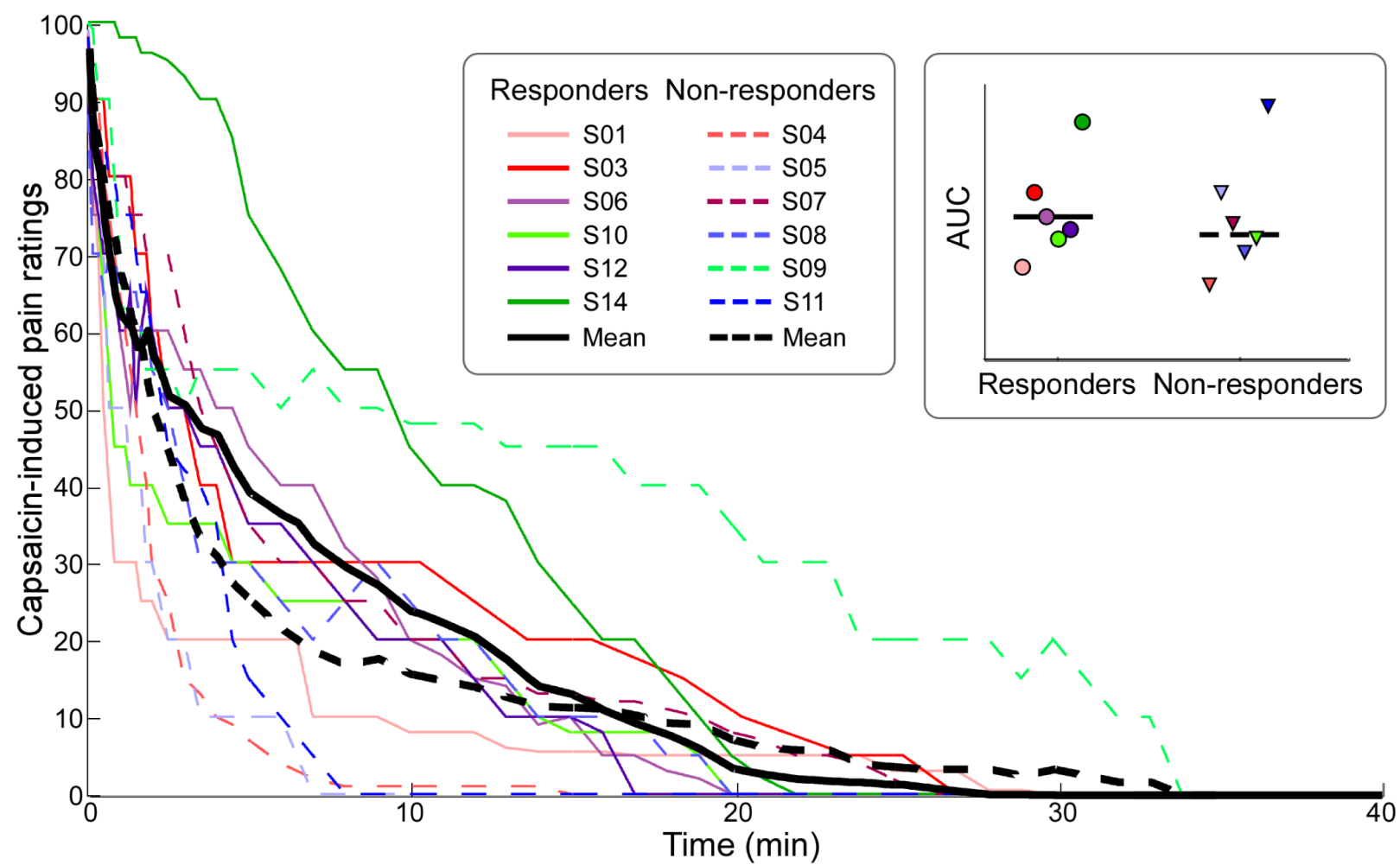
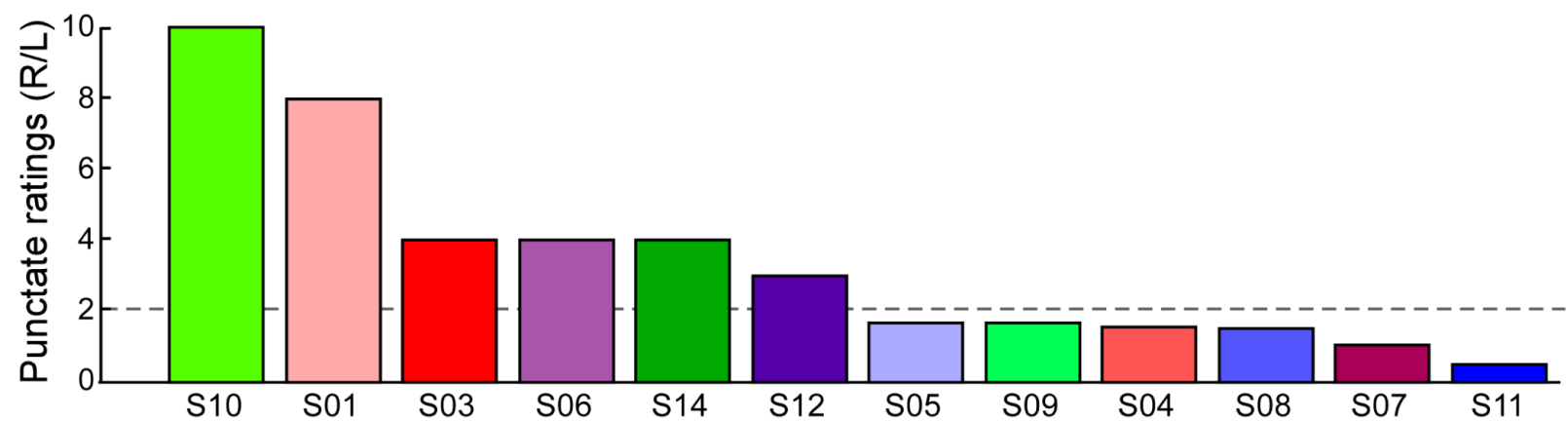
Hand x Session (2x2) ANOVA



Non-responders

Hand x Session (2x2) ANOVA



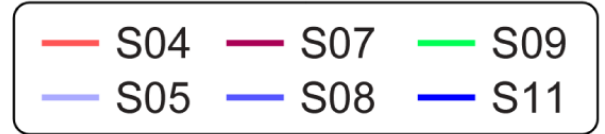
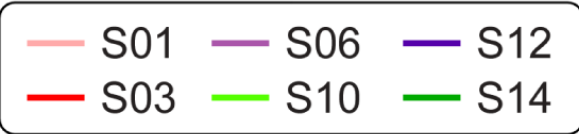
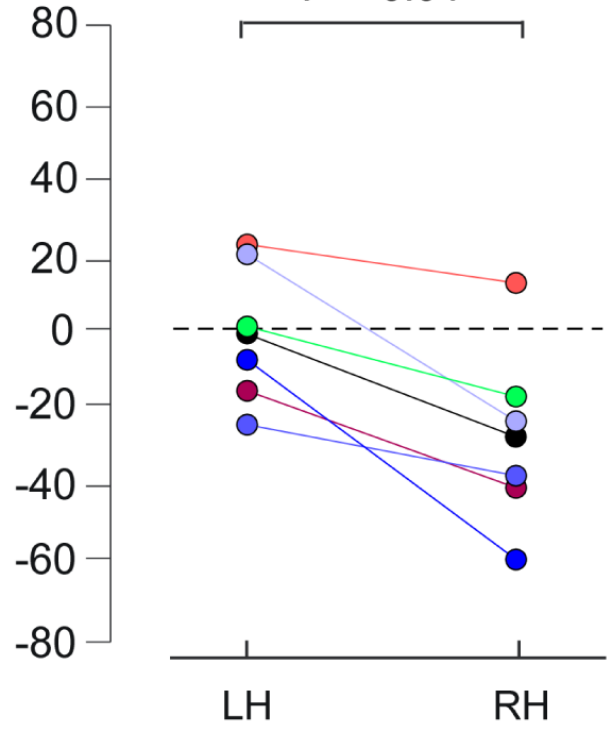
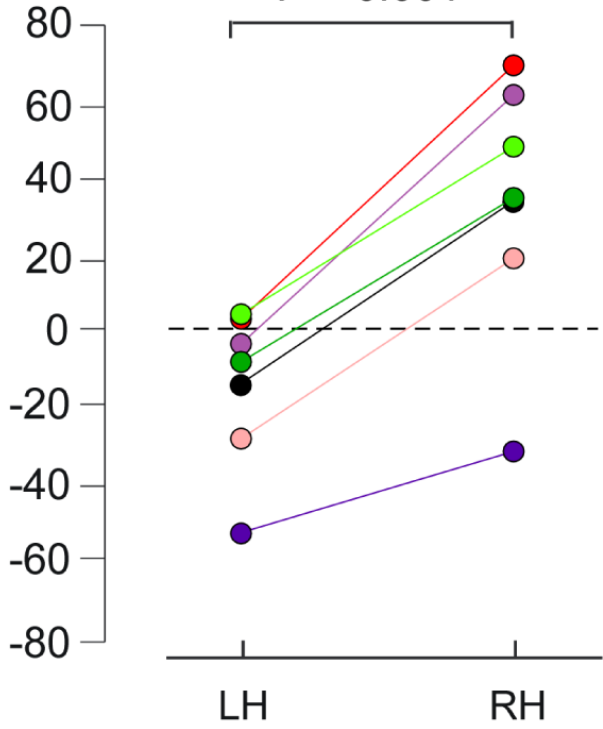


$P = 0.000016$

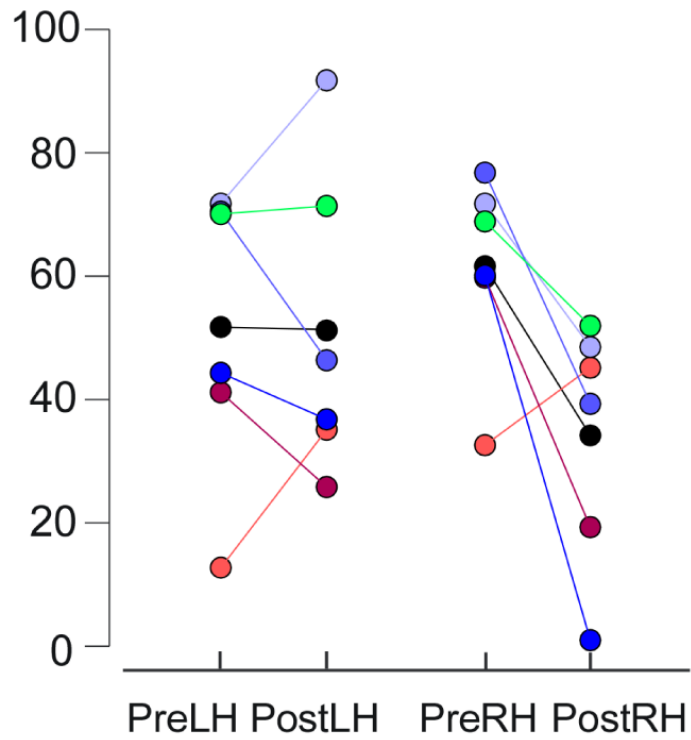
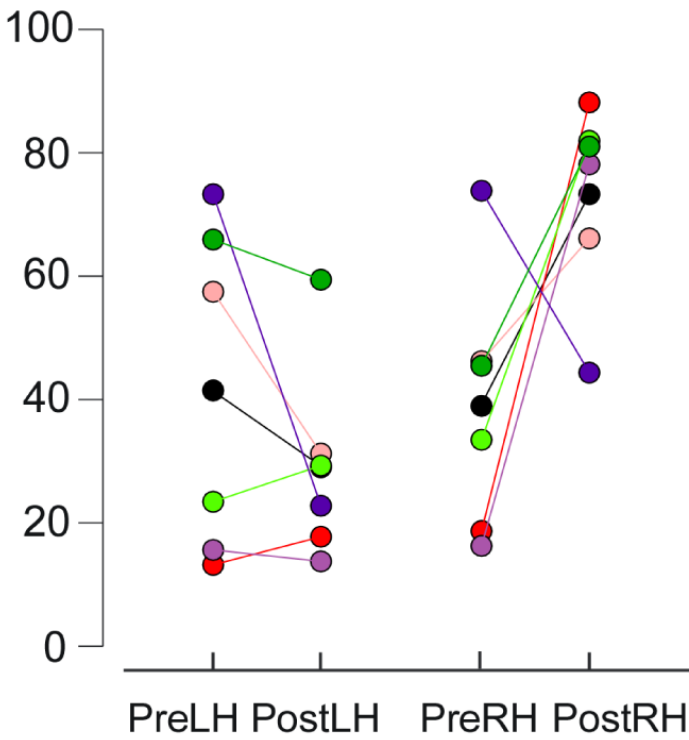
$P = 0.001$

$P = 0.01$

IES Ratings (Post-Pre)



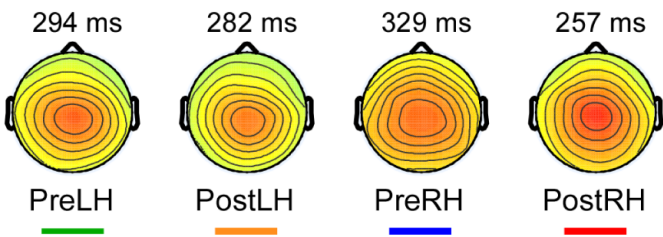
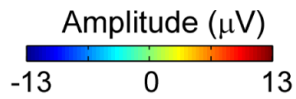
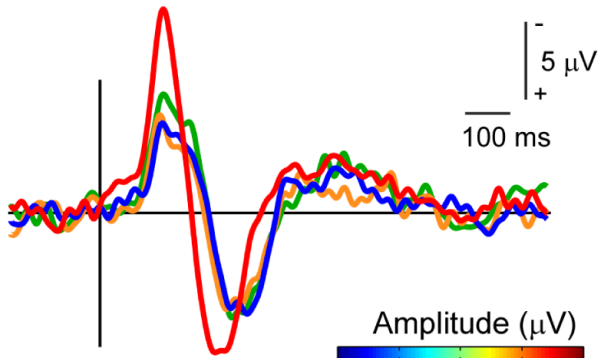
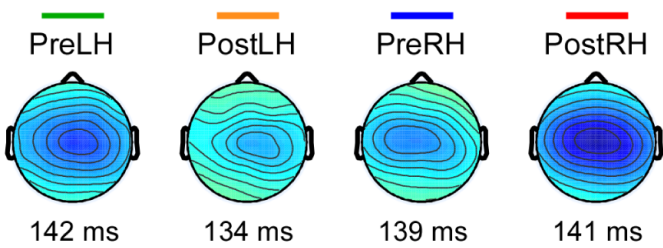
IES Ratings



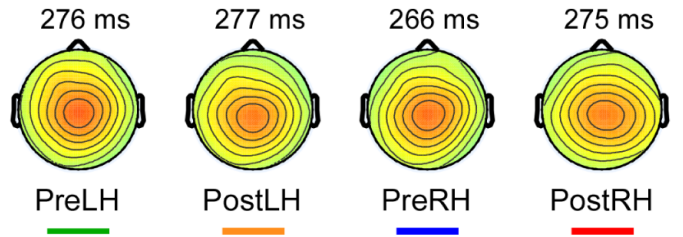
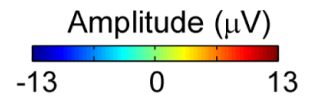
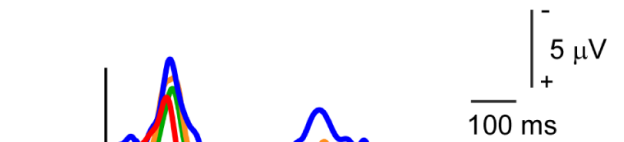
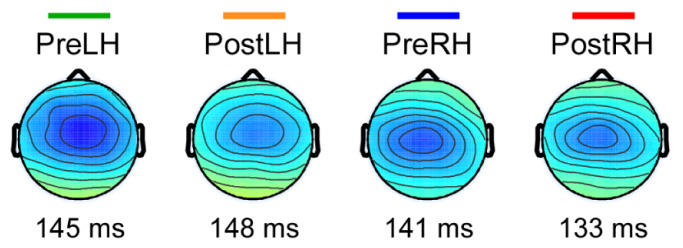
Responders

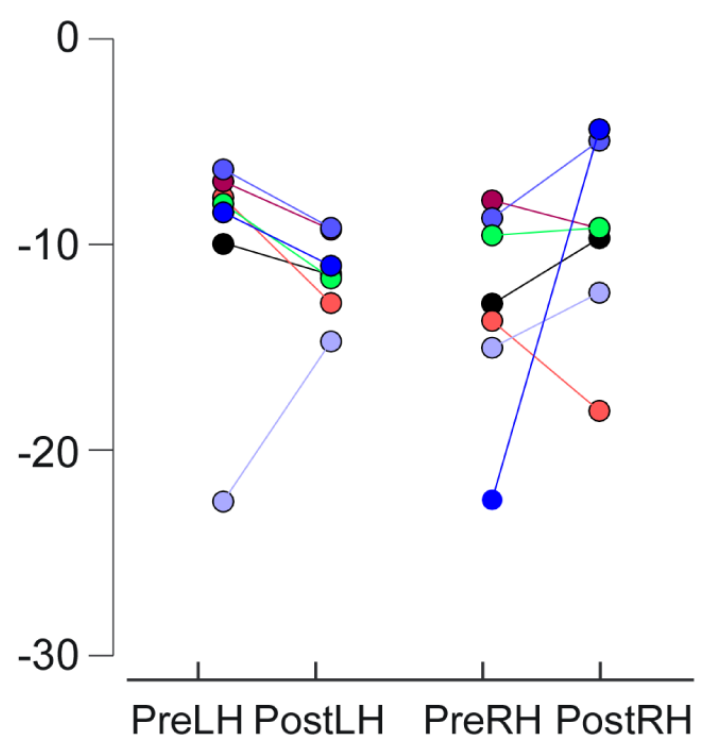
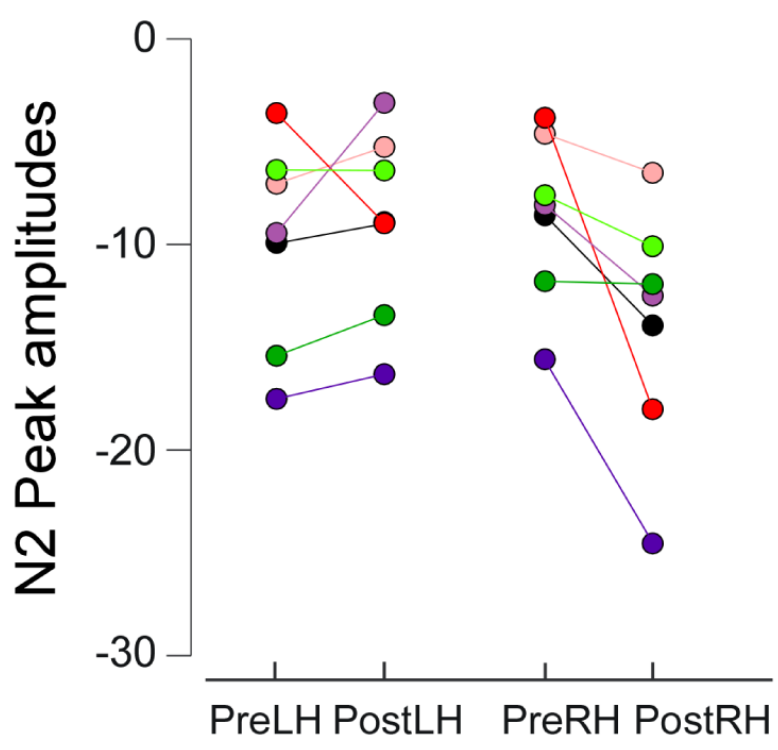
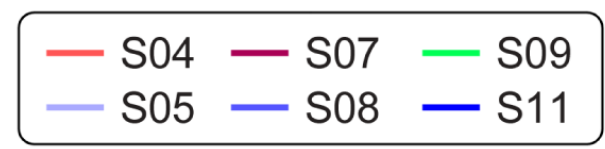
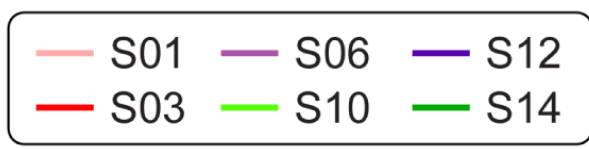
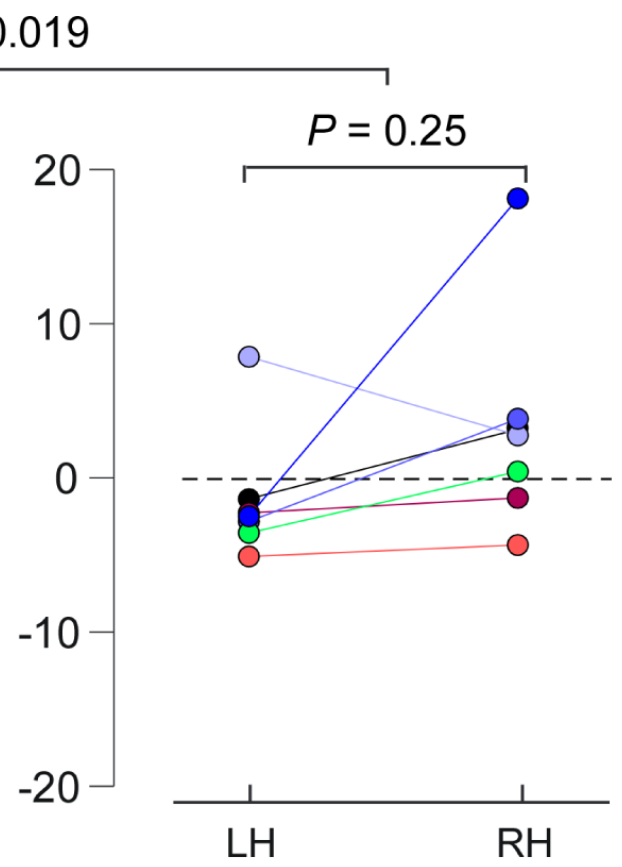
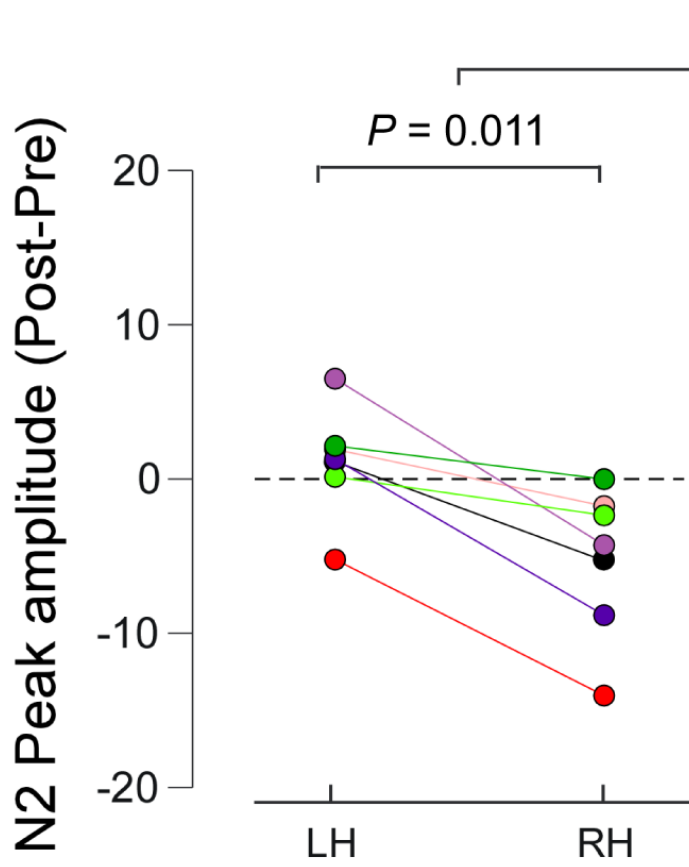
Non-Responders

Responders



Non-Responders

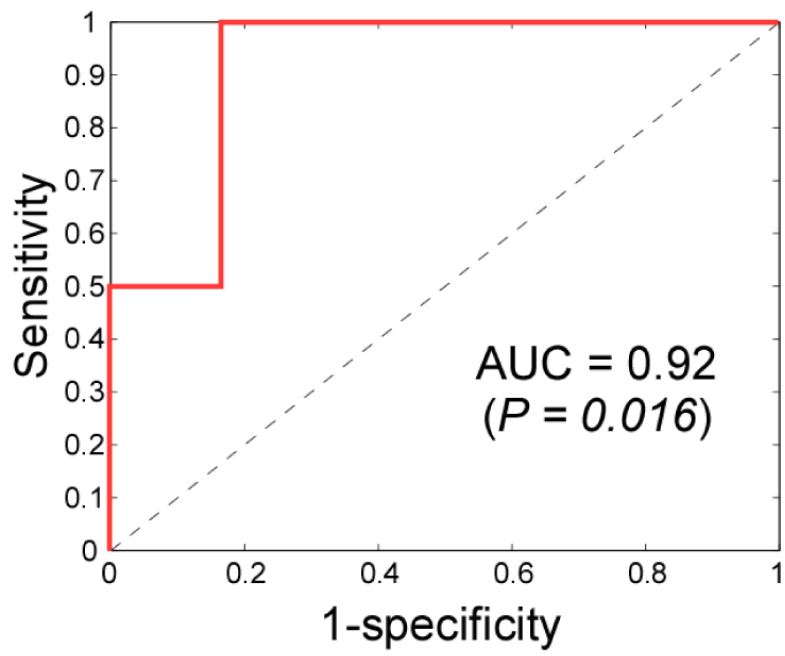




Responders

Non-Responders

N2 peak amplitude



P2 peak amplitude

