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Pharmacological management of Lewy body dementia: A systematic review and metaanalysis

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## Abstract

**Objective:** We examined effects, costs, and patient/carer views of pharmacological management strategies for Lewy body dementia. Method: Studies were identified through bibliographic databases, trials registers, grey literature, reference lists and experts. We searched the terms 'Lewy OR parkinson' AND 'dementia' until May 2015 using the following inclusion criteria: participant diagnoses of Lewy body dementia, dementia with Lewy bodies or Parkinson's disease dementia (or their carers); investigation of pharmacological management strategies; outcome measures and test scores reported. Data extraction and quality assessment were conducted by at least two authors. We undertook meta-analyses or provided summaries when studies could not be combined. Results: Forty-four studies were included in the review, examining 22 strategies. Meta-analysis indicated beneficial effects of donepezil and rivastigmine for cognitive and psychiatric symptoms. Rivastigmine, but not donepezil, was associated with greater risk of adverse events. Meta-analysis of memantine suggests it is well tolerated but with few benefits. Descriptive summaries provide some evidence for galantamine, modafinil, levodopa, rotigotine, clozapine, duloxetine, clonazepam, ramelteon, gabapentin, zonisamide, and yokukansan. Piracetam, amantadine, selegiline, olanzapine, quetiapine, risperidone, and citalopram do not appear to be effective. **Conclusions:** High level data regarding pharmacological strategies for managing Lewy body dementia is rare. Strategies for important areas of need in Lewy body dementia such as autonomic symptoms and carer burden have not been investigated, nor have the views of patients and carers about pharmacological strategies.

#### Introduction

Lewy body dementia is a common cause of degenerative dementia in older people, accounting for 3.2 – 15.4% of cases (1, 2). It is characterised by impairments and fluctuations in cognition, recurrent visual hallucinations, and motor features of parkinsonism. Other significant features include sleep disorders, depression, delusions, and autonomic dysfunction (3, 4). The term Lewy body dementia is used here to include two related disorders: dementia with Lewy bodies (DLB) and Parkinson's disease dementia (PDD). Comparisons suggest broad overlap clinically, though executive impairment, delusions, and hallucinations might be more common in DLB (5, 6). For diagnosis, PDD is applied when motor symptoms occur at least one year before dementia, and DLB when dementia precedes or is closely followed by motor symptoms (4).

While a range of pharmacological strategies are used in an attempt to ameliorate the symptoms of Lewy body dementia, and clinical guidelines have recommended cholinesterase inhibitors for cognitive and psychiatric symptoms, the current evidence for management options for the range of symptoms is limited and there remains no unified evidence-based management care pathway (7 - 10).

To develop effective approaches to care it is necessary to establish which strategies are effective and to determine whether DLB and PDD are amenable to the same treatments. In this paper we review pharmacological management strategies examining three questions: (1) what are their benefits, harms and costs in the disorders, (2) what views do patients and carers have of these strategies, (3) how, when and where should they be implemented?

## Methods

The review protocol is registered at PROSPERO (registration number CRD42014007180, http://www.crd.york.ac.uk/PROSPERO/display\_record.asp?ID=CRD42014007180).

### Search strategy

Studies were identified through bibliographic databases, trials registers and, grey literature (see published protocol for full details). Reference lists of relevant studies and previously systematic reviews were also examined and input sought from Lewy body dementia experts. We used the keywords "Lewy or parkinson" and "dementia", conducting searches until May 2015, without restrictions on time or language.

## Study selection

Titles and abstracts were screened independently by LL, EMa, EMi and CS, with non-English language papers screened by native speakers. Discrepancies were resolved through discussion between screeners. Potentially relevant studies were obtained in full and examined in detail by CS against the following criteria: (1) participants had a diagnosis of DLB, PDD, or Lewy body dementia (or were their carers), (2) studies examined pharmacological strategies, and (3) outcome measures and scores were specified. No restrictions were placed on study designs but opinion papers were excluded (see online supplementary figure S1 for study flowchart).

## Data extraction

Data were extracted by EMi and CS and recorded in an Excel database. We collected information relating to participant demographics, selection criteria, study design, management strategies, outcome measures, adverse events, and withdrawals.

## Data synthesis

Studies were grouped and analysed according to pharmacological strategy. For each strategy, studies of the highest level of evidence were included in the review. Classification of level of evidence was determined using guidelines from the Oxford Centre for Evidence-Based Medicine (11).

## Methodological quality

Methodological quality was assessed by VC, EMi and CS using the Quality Assessment Tool for Quantitative Studies (QATQS, <u>www.ephpp.ca/tools.html</u>) (12). This tool examines selection bias, study design, confounders, blinding, data collection methods, withdrawals and dropouts. Domains are rated as weak, moderate or strong quality, which feed into an overall rating of study quality. Reliability and validity of the QATQS have been demonstrated (13). The tool was developed to assess quality across study designs, aiding consistency and clarity of reporting.

## Statistical analysis

Meta-analysis was conducted using Revman 5.3 (Cochrane Collaboration, www.tech.cochrane.org/revman/download), employing the inverse variance method. Heterogeneity was assessed using chi-squared and I-squared ( $I^2$ ) statistics and considered significant with chi-squared p value < .10 and  $I^2$  > 40%. We employed random-effects

models when there was significant study heterogeneity and fixed-effect models when heterogeneity was not significant. Missing data were sought from study authors and estimated using methods outlined in the Cochrane Handbook for Systematic Reviews of Interventions if not obtainable (14). We estimated risk ratio with 95% confidence intervals (CI) for dichotomous outcomes and weighted mean difference or standardised mean difference with 95% CI for continuous outcomes. Descriptive summaries were provided when studies could not be combined.

## Results

The titles and abstracts of 28,568 records were screened; 26,966 did not meet inclusion criteria. Assessment of 633 full articles was conducted; 197 met our inclusion criteria and 44 were included as the highest level of evidence for 22 pharmacological strategies (see online supplementary table S1 for characteristics of included studies).

### **MANAGEMENT STRATEGIES**

#### **Donepezil and Rivastigmine**

Data from studies of donepezil and rivastigmine were combined and examined using metaanalysis to obtain an estimate of their combined and separate effects (no randomised controlled trials were identified for galantamine; discussed later). The highest level of evidence for donepezil was randomised controlled trials, two for DLB and four for PDD (15-20); for rivastigmine there was one randomised controlled trial each for DLB and PDD (21-22). The highest level of evidence of donepezil for visual hallucinations (a key symptom of Lewy body dementia) was an uncontrolled trial (23). The highest level of evidence for withdrawal of donepezil was an open label study (24). We identified three ongoing studies examining donepezil for cognition, psychiatric symptoms, and functional ability (clinicaltrials.gov, trial numbers: NCT00776347, NCT01014858 umin.ac.jp, trial number: UMIN000010752, and one study to develop a predictive test to identify which cholinergic medication should be prescribed first (clinicaltrials.gov, trial number: NCT01944436).

Five studies examined clinician impression of change of global outcomes; four trials examined improvement figure 1a) (15 – 17, 22), three examined absence of deterioration (figure 1b) (15, 17, 22), and four trials examined impression of change as a continuous outcome measure (16, 17, 19, 22) (see online supplementary figure S2a). Scores were estimated for one trial (15). Analysis of improvement data indicated that participants treated with cholinesterase inhibitors were more frequently rated as improved than those receiving placebos (45% vs 34%; risk ratio 1.37, 95% CI 1.15, 1.62). Subgroup analyses indicated that compared to placebo improvement was more likely for donepezil in DLB (64% vs. 33%, risk ratio 1.93, 95% CI 1.08, 3.43) and PDD (49% vs. 38%, risk ratio 1.29, 95% CI 1.02, 1.63), and rivastigmine in PDD (40% vs. 29%, risk ratio 1.37, 95% CI 1.05, 1.79). Analysis of absence of deterioration data indicated that participants treated with cholinesterase inhibitors were more frequently rated as not deteriorating than those receiving placebos (71% vs 62%; risk ratio 1.26, 95% CI 1.01, 1.57), but with considerable heterogeneity (I<sup>2</sup> = 76%). Subgroup analyses indicated that absence of deterioration was more common in participants with DLB treated with donepezil (96% vs. 50%, risk ratio 1.93, 95% Cl 1.34, 2.78), but with no between group difference in PDD for donepezil (p = 0.18) or rivastigmine (p = 0.07). Analysis of continuous data indicated that mean change scores were 0.55 points lower (suggesting improvements) in participants treated with cholinesterase inhibitors (95% CI -0.82, -0.28), but with significant heterogeneity ( $I^2 = 52\%$ ). Subgroup analysis indicated benefits of

donepezil in DLB (weighted mean difference -1.13, 95% CI -1.66, -0.60) and PDD (weighted mean difference -0.37, 95% CI -0.60, -0.14), and rivastigmine in PDD (weighted mean difference -0.50, 95% CI -0.77, -0.23).

Eight studies assessed cognition using the MMSE (15 – 22) (figure 1c). Standard deviations (SD) were estimated in three trials (17, 18, 21). Mean change scores were 1.26 points higher (suggesting improvements) in participants receiving cholinesterase inhibitors (95% CI 0.66, 1.86). In subgroup analysis benefits were seen for donepezil in DLB (weighted mean difference 1.93, 95% CI 1.01, 2.85) and rivastigmine in PDD (weighted mean difference 1.00, 95% CI 0.33, 1.67), but not for rivastigmine in DLB (p = 0.11) or donepezil in PDD p = 0.52).

Six studies used the Neuropsychiatric Inventory (NPI-10) to assess psychiatric symptoms (15, 17, 18, 20 - 22) (figure 1d). SD were estimated in two trials (17, 18). There were no significant between group differences (p = 0.46), but significant heterogeneity (i<sup>2</sup> = 79%). Subgroup analyses indicated benefits on total psychiatric symptoms in PDD of donepezil (weighted mean difference -1.17, 95% CI –2.26, -0.08) and rivastigmine (weighted mean difference -2.00, 95% CI -3.91, -0.09), but not for donepezil (p = 0.52) or rivastigmine (p = 0.17) in DLB. Two studies assessed psychiatric symptoms in DLB using the NPI-4 (the sum of scores of apathy, delusions, depression, and hallucinations) (15, 21). A significant effect, favouring cholinesterase inhibitors, was observed (weighted mean difference -3.36, 95% CI -8.63, -0.97). Subgroup analysis indicated a benefit for participants receiving donepezil (weighted mean difference -4.80, 95% CI -8.63, -0.97) but not rivastigmine (p = 0.17) (see online supplementary figure S2b). Generally, subscales scores for the NPI have not been reported. McKeith and colleagues and Mori and colleagues presented these graphically.

From 95% CI, rivastigmine does not appear to have beneficial effects on subscale items in DLB, but donepezil may be beneficial for delusions, hallucinations, and cognitive fluctuations. In PDD, donepezil was not beneficial in the treatment of hallucinations, hostility, suspiciousness, or unusual thought content (19). In an uncontrolled trial examining donepezil for hallucinations in DLB (n = 13), scores were 4.6 points lower on the BEHAVE-AD following treatment, suggesting improvements (23).

Three studies investigated activities of daily living in PDD using the Alzheimer's Disease Cooperative Study - Activities of Daily Living Inventory, Disability Assessment for Dementia, and Unified Parkinson's Disease Rating Scale – Activities of Daily Living (17, 18, 22) (see online supplementary figure S2c). SD were estimated in two trials (17, 18). A significant effect of cholinesterase inhibitors was observed (standardised mean difference 0.2, 95% CI 0.07, 0.34), with subgroup analysis indicating a benefit of rivastigmine (standardised mean difference 0.20, 95% CI 0.02, 0.40), but not donepezil (p = 0.06).

Eight studies reported adverse events and withdrawals (15 - 22; see online supplementary material S2d + e). Adverse events were more common in participants treated with cholinesterase inhibitors (80% vs 70%, risk ratio 1.13, 95% Cl 1.06, 1.21). Subgroup analysis indicated a greater risk ratio in DLB (91% vs. 75%, risk ratio 1.21, 95% Cl 1.03, 1.43) and PDD (83% vs. 70%, risk ratio 1.18, 95% Cl 1.06, 1.31) for rivastigmine, but not for donepezil in DLB (p = 0.25) or PDD (p = 0.31). Adverse events reported more frequently in treatment than placebo groups were nausea (rivastigmine: DLB and PDD; donepezil: PDD), vomiting (rivastigmine: DLB and PDD), anorexia (rivastigmine: DLB), tremor (rivastigmine: PDD), somnolence (rivastigmine: DLB), dizziness (rivastigmine: PDD), and insomnia (donepezil:

PDD). Further, 25% of participants treated with cholinesterase inhibitors withdrew from studies compared to 17% of those receiving placebos (risk ratio 1.47, 95% Cl 1.16, 1.85). Subgroup analysis indicated that the risk was significantly greater for participants with PDD treated with donepezil (23% vs. 15%, risk ratio 1.51, 95% Cl 1.03, 2.23) and rivastigmine (27% vs. 17%, risk ratio 1.55, 95% Cl 1.22, 1.97) but not for participants with DLB treated with donepezil (p = 0.68) or rivastigmine (p = 0.08). There were no between group differences in motor function (weighted mean difference -1.67, 95% Cl -4.02, 0.69), as assessed by four studies using the Unified Parkinson's Disease Rating Scale -III (15, 16, 18, 19) (see online supplementary figure S2f), with SD estimated in one trial (18).

#### ++ Figure 1 ++

Results of an uncontrolled trial suggest that sudden withdrawal of cholinesterase inhibitors might be associated with deteriorations in cognition in DLB and PDD (MMSE: -4.4 and -3.5 points, respectively), and increased psychiatric symptoms (NPI change = 22) for PDD (24).

### Galantamine

The highest level of evidence for galantamine was an uncontrolled trial in DLB and a controlled trial in PDD (25, 26). In DLB (n = 50), improvements were reported for cognitive fluctuations (1-day fluctuations scale change = -1.8), sleep disturbances (Pittsburgh Sleep Quality Index change = -3.2), and psychiatric symptoms (NPI change = -8.2) (25). Inconsistent results were reported for cognition. In PDD (galantamine n = 21, treatment as usual n = 20) improvements were reported for cognition (MMSE change difference = 4.9), total psychiatric symptoms (NPI change difference = 8.9), hallucinations (NPI hallucinations change difference

= 4.1), anxiety (NPI anxiety change difference = 0.7), apathy (NPI apathy change difference = 3.9), and sleep (NPI sleep change difference = 1.4), that favoured treatment with galantamine (26).

## Memantine

The highest level of evidence for memantine was one randomised controlled trial for PDD and two for mixed DLB and PDD samples (26-28). Three studies examined clinician impression of change; three examined improvement (figure 2a) (27 - 29), two absence of deterioration (27, 29) (see online supplementary figure S3a), and two continuous data see online supplementary figure S3b (27, 29). There were no between group differences for improvement (p = 0.09) or absence of deterioration (p = 0.07). Analysis of continuous data indicated mean change scores were significantly lower (0.40 points) in participants treated with memantine (95% CI -0.71, -0.10), suggesting improvements. Subgroup analysis indicated that this difference was significant for DLB (weighted mean difference -0.60, 95% CI -1.16, -0.04) but not PDD (p = 0.34).

Two studies assessed cognition using the MMSE (28, 29) (see online supplementary figure S3c, indicating no between group differences (p = 0.52). Three studies used the NPI to assess psychiatric symptoms (27 - 29) (see online supplementary figure S3d), with SD estimated in two trials (27, 28). There were no between group differences (p = 20). The effect of memantine on activities of daily living was investigated in two studies, one using the Alzheimer's Disease Co-operative Study - Activities of Daily Living Inventory and one the Disability Assessment for Dementia (see online supplementary figure S3e) (27, 28). There were no between group differences figure S3e) (27, 28). There

online supplementary figure S3f) and withdrawals (see online supplementary figure S3g) (27 - 29). There were no between group differences for adverse events (p = 0.94) or withdrawals (p = 0.68). Further, there was no difference between the groups on the Unified Parkinson's Disease Rating Scale -III (p = 0.34) (see online supplementary S3h).

++ Figure 2 ++

#### Armodafinil/modafinil

The highest level of evidence for armodafinil was an uncontrolled trial (sleep) and a case series (global impression) in DLB, a case series (global impression) in PDD, and an uncontrolled trial (attention) in Lewy body dementia (30, 31). Results for DLB (n = 17) suggest that treatment with armodafinil is associated with increased wakefulness (30). Data from self-reports and computer-based reaction tasks in Lewy body dementia (n = 7) suggest improvements in subjective alertness and reflexive attention (31). In a retrospective review of treatment with modafinil or armodafinil (DLB n = 2, PDD n = 4) 50% of individuals were rated by clinicians as minimally improved, 33% as much improved and 17% as not improved (31).

#### Piracetam

The highest level of evidence for piracetam in PDD was a randomised controlled trial (n = 20) (32). No between group differences were observed in terms of cognition, motor function, or functional ability, except on a single subscale (engagement in recreational activities) which favoured the piracetam group. No studies of piracetam for DLB were identified.

## **Discontinued drugs**

A small number of studies of metrifonate and tacrine were identified in our search. These drugs have been discontinued and are not discussed here.

#### Antiparkinsonian medications

## Levodopa

The highest level of evidence for levodopa in DLB and PDD was four uncontrolled trials (33 -36). The highest level of evidence for levodopa withdrawal in PDD was a randomised controlled trial (37). Examinations of acute and chronic effects of levodopa suggest improvements in motor functioning and reductions in tremor for individuals with DLB and PDD (33 - 35). Beneficial effects of levodopa (i.e. 10% or more improvement in Unified Parkinson's Disease Rating Scale -III score) were more common in PDD (65 – 70%) than DLB (32 – 50%) (33, 34, 36), though approximately one third of those who derive motor benefits experienced increases in psychotic symptoms (34).

A randomised controlled trial examined withdrawal of levodopa in PDD (n = 11) showing that removing levodopa did not result in worsening cognition, motor functioning or psychiatric symptoms (37).

## Amantadine

The highest level of evidence for amantadine in PDD was an uncontrolled trial (PDD n = 10, Parkinson's disease and cognitive impairment n = 15) (38). For participants with dementia, no significant effects of amantadine were observed on 13 out of 15 cognitive tests. Statistically significant improvements were observed on total Frontal Assessment Battery score (3 points) and the Inhibitory Control subscale (0.3 points). No studies of amantadine for DLB were identified.

### Rotigotine

The highest levels of evidence for rotigotine in PDD were one uncontrolled trial (Parkinson's disease severity) and one case series (anxiety) (39, 40). Degree of disability due to Parkinson's disease (n = 9) and anxiety (n = 2) were rated as less severe after treatment (Unified Parkinson's Disease Rating Scale change = -12; Hamilton Anxiety Rating Scale change = -23). No studies of rotigotine for DLB were identified.

## Selegiline

The highest level of evidence for selegiline in Parkinson's disease dementia was a cohort study (PDD n = 4, Parkinson's disease without dementia = 3) (41). No beneficial effects were observed for participants with dementia on measures of behaviour, cognition and motor function. No studies of selegiline for DLB were identified.

## Antipsychotics

## Clozapine

The highest level of evidence for clozapine in PDD was a chart review (PDD n = 8, 'other dementia' n = 8) (42). Scores on the Brief Agitation Rating Scale (-2.4) and Cohen-Mansfield Agitation Inventory (- 4.2) were significantly lower in the PDD group after treatment, with 62.5% of patients rated as much improved. Side effects included drooling, sedation, tremors, constipation and delirium. No studies of clozapine for DLB were identified.

### Olanzapine

The highest level of evidence for olanzapine was a secondary analysis of a randomised controlled trial of Alzheimer's disease, with participants retrospectively identified as meeting DLB criteria, and an uncontrolled trial in PDD (43, 44). In participants with possible DLB (n = 29), those treated with 5mg (n = 10) of olanzapine showed greater reductions in scores on the NPI subscales of delusions (-3.8 points) and hallucinations (-5.9 points) than those receiving placebo (n = 10). No significant differences were observed between placebo, 10mg and 15mg groups (43). While no side effects were reported in this study, other authors have suggested that around 38% of people with DLB do not tolerate olanzapine even at low doses (2.5mg) (45). In a sample of participants with PDD (n = 3), reductions in NPI delusion (-3.2 points), NPI hallucination (-0.97) and total psychiatric symptoms (NPI: - 15.1 points, Behavioural Pathology in Alzheimer's Disease: -15 points) have been reported (44). Worsening of motor functioning and psychiatric symptoms are reported in 33 – 80% of individuals with PDD following olanzapine treatment (44, 46).

## Quetiapine

The highest level of evidence for quetiapine was a case series in DLB, a retrospective chart review in PDD, and a randomised controlled trial in Lewy body dementia (47 - 49). Reductions in psychiatric symptoms were reported for 6 out of 9 individuals with DLB following treatment with quetiapine (change in sum of NPI delusions + hallucinations + agitation/aggression = 7.7) (47). However, 33% of participants withdrew due to adverse events. For individuals with PDD and drug-induced psychosis, quetiapine was associated with worsening cognition and motor functioning without improvements to psychiatric status (48). A randomised controlled trial of Lewy body dementia (DLB n = 23, PDD n = 9,

Alzheimer's disease with parkinsonian features n = 8) revealed no between group differences on measures of psychiatric symptoms, cognition, activities of daily living, motor function or clinician's impression of change (49).

## Risperidone

The highest level of evidence for risperidone was a randomised trial in DLB and an uncontrolled trial in Parkinson's disease dementia (50, 51). In participants with PDD and psychosis (n = 9) significant reductions were observed on the total score of the Brief Psychiatric Rating Scale (-9.5 points) and Cohen-Mansfield Agitation Inventory (-9.6 points), and improvements in social, occupational and psychological functioning (Global Assessment of Functioning change = 17 points) (50). No side effects were reported. In DLB, risperidone does not appear to be well tolerated; results of a randomised controlled trial (n = 31) suggest deterioration in cognition (MMSE change = -2.3 points), worsening psychiatric symptoms (NPI change = 17.3 points) and study withdrawal (65%) (51).

## Antidepressants

### Citalopram/escitalopram, duloxetine and trazodone

The highest level of evidence for antidepressants was a randomised trial of citalopram in DLB (51) and an unpublished trial of duloxetine, escitalopram, and trazodone in PDD (52).Citalopram does not appear to be efficacious or well tolerated in DLB, with 10 out of 14 participants (71.4%) withdrawing due to adverse effects (51). In a trial of antidepressants for depression in PDD, participants treated with duloxetine (n = 8) experienced reductions in total Montgomery Asberg Depression Rating Scale score (-18.6 points) (52). Individuals

receiving escitalopram, (n = 7) and trazodone (n = 8) were reported to have reductions in depressive symptoms, but scores were not provided.

## Sedatives

### Clonazepam

The highest level of evidence for clonazepam in DLB was a case series in which two out of three participants experienced reductions in the number of nights on which episodes of sleep disturbance occurred (53). No studies of clonazepam for PDD were identified.

## Ramelteon

The highest level of evidence for ramelteon in DLB was a case series (54). Descriptive statistics indicated reductions in neuropsychiatric symptoms, sleep disturbances and self-reported carer burden, with no adverse effects. No studies of ramelteon for PDD were identified.

## Anticonvulsants

### Gabapentin

The highest level of evidence for gabapentin was case reports, one for DLB (55) and one for PDD (56). Following treatment, reductions were reported in symptoms of restless leg syndrome (DLB) and agitation (PDD).

## Zonisamide

The highest levels of evidence for zonisamide were case series for DLB (57) and PDD (58). Descriptive reports of DLB (n = 3) suggest improvements in daily living skills, motor, and carer burden in mild dementia and a reduction in psychiatric symptoms in severe dementia (57). Similar results were reported in a single case of PDD (58). Randomised controlled trials of zonisamide for motor function (clinicaltrials.jp, trial number: JapicCTI-122040) and psychiatric symptoms (umin.ac.jp, trial number: UMIN000010631) are currently underway.

## Herbal medicines

#### Yokukansan

The highest level of evidence for yokukansan was a randomised crossover trial in DLB and an uncontrolled trial in PDD (59, 60). In participants with DLB randomised to receive yokukansan followed by no treatment (group A, n = 9) or no treatment followed by yokukansan (group B, n = 6) reductions were reported in psychiatric symptoms in both groups (NPI change Group A = -10.1 points; Group B = -12.4 points); this was statistically significance in group A only (59). In a trial of participants with PDD (n = 7) there were significant difference in pre- and post-treatment scores for total psychiatric symptoms (-6 points) and visual hallucinations (-2.6 points), but no differences on any other NPI subscales (60).

## PATIENT AND CARER VIEWS OF MANAGEMENT STRATEGIES

No studies were identified that examined the views of patients/carers about pharmacological strategies for Lewy body dementia.

## **COST-EFFECTIVENESS ANALYSIS**

Two studies reported economic data (61, 62). Estimates of cost per quality of life year gained from treatment with cholinesterase inhibitors in Alzheimer's disease and DLB suggested

lower costs in the DLB group (61). However, in the DLB group there was considerable variability in the estimates of three models (micro-simulation = £2,706, Markov = £35,922, Southampton Health Technology Assessment Centre = £46,794). In a study of rivastigmine for PDD, no significant between group differences were reported for quality-adjusted life days or total costs (62).

### Discussion

We conducted a systematic review of pharmacological strategies for Lewy body dementia, identifying 28,568 potentially relevant papers. Forty-four papers were included, examining 22 pharmacological strategies. High level evidence was rare, with only 17 randomised controlled trials. Methodological quality was rated as weak for 41% of included studies, moderate for 39%, and strong for 20%.

Data from controlled trials were available for donepezil, rivastigmine, galantamine, memantine, olanzapine, risperidone, piracetam, quetiapine, citalopram, and yokukansan. Meta-analyses indicated improvements with donepezil and rivastigmine for cognition, global psychiatric symptoms (PDD only), hallucinations, delusions, and activities of daily living (without worsening motor symptoms of parkinsonism) but with adverse events. This is consistent with prior reviews of cholinesterase inhibitors for Lewy body dementia (7, 9). Evidence for galantamine suggests potential benefits for psychiatric symptoms and possibly cognition, but data are limited. Memantine appears to be well tolerated, but with few benefits to participants. Consistent with previous meta-analyses, memantine was only superior to placebo in terms of impression of change when analysed as a continuous outcome measure; this advantage was not observed when analysed as categorical data in

terms of improvement or absence of deterioration. Recently published secondary analyses of memantine suggest some statistical advantages of memantine over placebo in relation to aspects of attention, sleep, carer burden, aspects of quality of life, and goal attainment (63 -66). For olanzapine and quetiapine, reductions in psychiatric symptoms appear limited by high levels of adverse events. Citalopram, piracetam, and risperidone do not appear to be beneficial. Data regarding yokukansan for psychiatric symptoms is mixed.

There was weak evidence for potential efficacy of armodafinil/modafinil, levodopa, zonisamide, ramelteon, clonazepam, gabapentin, rotigotine, duloxetine, escitalopram, trazodone, and clozapine. These studies did not include controls so we can only conclude that there could be an association between interventions and benefits to participants. Amantadine and selegiline do not appear to be effective for managing symptoms of Lewy body dementia, but data are available from only single trials and/or small samples. Overall, we must be cautious not to overstate the apparent effects/lack of effects given how few high levels studies are available for each strategy.

Limited data are available about costs of pharmacological strategies. We identified two studies indicating that donepezil and rivastigmine might not be cost effective as treatment costs are not significantly different compared to placebo groups, and estimates are typically above the threshold of £30,000 per QALY for treatment, a cut-off often considered costeffective. However, patents on these drugs have since expired and cheaper generic versions are now available. Associations between strategy efficacy and participant characteristics have rarely been investigated. To date, only a small number of studies has investigated associations between treatment efficacy and participant characteristics. Results, which must be treated with caution, suggest a better response to levodopa for younger participants with DLB (36), a greater benefit from rivastigmine for cognition (global cognition, attention) in those who hallucinate (67, 68), and that participants with elevated plasma homocysteine experience a greater benefit of rivastigmine on aspects of cognition and global neuropsychiatric symptoms (69). In general, there is insufficient evidence about how, when and where management strategies should be implemented.

Differing treatment effects between DLB and PDD have received little attention, but some differences are apparent. For example, benefits of quetiapine on psychiatric symptoms for some people with DLB compared to a general lack of efficacy and adverse effects in PDD (47, 48), while levodopa appears to be more beneficial for PDD than DLB (36). Our meta-analyses suggest that the effects of donepezil and rivastigmine are comparable for DLB and PDD. Other studies suggest similar effects of donepezil in both groups (70). Overall, a lack of direct comparison hampers our ability to clarify differences in treatment effects. An additional caveat is the uncertainty about whether DLB and PDD are separate diseases; the one-year rule for distinguishing the diagnoses is provided only as a guide for clinical practice (4).

A notable outcome from our review is a potential disconnect between research trials and the reality of clinical practice and patients/carers preferences. For example, patient-related outcome and symptom-specific measures were rarely used, and apparent benefits of strategies were typically determined on the basis of statistical rather than clinical

significance. Further, no studies were identified concerning the views that people with Lewy body dementia /their carers have of management strategies. Future research that focuses on areas of need reported by people with Lewy body dementia and their families may provide useful information about which strategies to employ.

This review has a number of limitations. First, the evidence-base is small. Even for the most well researched management strategies there were few randomised controlled trials. Second, there was a range of issues relating to risks of bias and study quality including open label study designs, no control groups, small samples (which might affect the power of studies to detect differences), and concurrent use of medications that might obscured the effects of study drugs. Furthermore, the accuracy of diagnostic criteria for DLB and PDD is not clear, with diagnoses in some cases applied retrospectively (43). Third, research into the management strategies for Lewy body dementia has usually taken the form of efficacy studies. While these are important in establishing therapeutic effects under highly controlled circumstances, the results are not necessarily generalisable to clinical practice. For example, in research trials samples are often homogeneous, interventions are standardised without scope for flexibility, concurrent treatments or co-morbid conditions are not allowed, and those with more severe difficulties might be less likely to participate/be recruited. Effectiveness studies provide a way to address some of these concerns. Fifth, it was necessary to estimate missing information for our meta-analyses as we were not able to obtain original data from study authors. Sixth, studies of management strategies that are used in clinical practice, and have been recommended in expert opinion reviews, were not included as data for DLB/PDD groups were not available. For example, in Parkinson's disease

there is evidence from randomised controlled trials that pimavanserin and clozapine might be useful in treating psychotic symptoms in people with lower cognition (71).

### **Concluding remarks**

In this comprehensive review of pharmacological strategies for DLB and PDD we have identified the current best evidence for many key areas of need. There remain substantial gaps in our knowledge of patient and carer experiences, cost effectiveness, how, when and with whom strategies should be implemented, and about how meaningfully the results of studies translate to clinical practice.

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## Figure 1. Forest plots for cholinesterase inhibitor trials

1a. Forest plot of global outcomes on the Alzheimer's Disease Cooperative Study - ClinicalGlobal Impression of Change (improvement): donepezil + rivastigmine vs. placebo. Fourstudies, n = 916

	Experim	ental	Contr	ol		Risk Ratio	Risk Ratio				
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% CI	M-H, Fixed, 95% CI				
1.2.1 DLB (donepezil)											
Mori2012	18	28	10	30	6.7%	1.93 [1.08, 3.43]					
Subtotal (95% CI)		28		30	6.7%	1.93 [1.08, 3.43]					
Total events	18		10								
Heterogeneity: Not applicable											
Test for overall effect: Z = 2.23 (P = 0.03)											
1.2.2 PDD (donepezil)	)										
Aarsland2002	5	12	2	12	1.4%	2.50 [0.60, 10.46]					
Dubois2012	85	170	68	170	46.9%	1.25 [0.99, 1.59]					
Subtotal (95% CI)		182		182	48.3%	1.29 [1.02, 1.63]	◆				
Total events	90		70								
Heterogeneity: Chi <sup>2</sup> =	0.88, df =	1 (P = 0	.35); I <sup>z</sup> = I	0%							
Test for overall effect:	Z = 2.10 (i	P = 0.04	)								
1.2.3 PDD (rivastigmi	ne)										
Emre2004	134	329	49	165	45.0%	1.37 [1.05, 1.79]					
Subtotal (95% CI)		329		165	45.0%	1.37 [1.05, 1.79]	◆				
Total events	134		49								
Heterogeneity: Not ap	plicable										
Test for overall effect:	Z = 2.31 (ł	P = 0.02	)								
Total (95% CI)		539		377	100.0%	1.37 [1.15, 1.62]	•				
Total events	242		129				-				
Heterogeneity: Chi <sup>2</sup> =	2.60. df =	3 (P = 0	.46); I <sup>z</sup> = I	0%							
Test for overall effect:	Z = 3.61 (I	= 0.00	03)				U.1 U.2 U.5 1 2 5 10				
Test for subgroup differences: Chi <sup>2</sup> = 1.63. df = 2 (P = 0.44). I <sup>2</sup> = 0%											

1b. Forest plot of global outcomes on the Alzheimer's Disease Cooperative Study - Clinical Global Impression of Change (absence of deterioration): donepezil + rivastigmine vs. placebo. Three studies, n = 892

		Experim	ental	Control		Risk Ratio		Risk Ratio		
_	Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% Cl	M-H, Random, 95% Cl		
	1.3.1 DLB (donepezil)									
	Mori2012 Subtotal (95% CI)	27	28 <b>28</b>	15	30 <mark>30</mark>	20.8% <mark>20.8%</mark>	1.93 [1.34, 2.78] <b>1.93 [1.34, 2.78]</b>			
	Total events	27		15						
	Heterogeneity: Not app	plicable								
	Test for overall effect: 2	Z = 3.53 (P	P = 0.00	04)						
	1.3.2 PDD (donepezil)									
	Dubois2012	128	170	117	170	40.5%	1.09 [0.96, 1.25]			
	Subtotal (95% CI)		170		170	40.5%	1.09 [0.96, 1.25]	•		
	Total events	128		117						
	Heterogeneity: Not app	plicable								
	Test for overall effect: .	Z = 1.33 (F	P = 0.18	)						
	1.3.3 PDD (rivastigmi	ne)								
	Emre2004	218	329	95	165	38.7%	1.15 [0.99, 1.34]	+ <b>-</b> -		
	Subtotal (95% CI)		329		165	38.7%	1.15 [0.99, 1.34]	◆		
	Total events	218		95						
	Heterogeneity: Not app	plicable								
	Test for overall effect: 2	Z = 1.81 (F	P = 0.07	)						
	Total (95% CI)		527		365	100.0%	1.26 [1.01, 1.57]	◆		
	Total events	373		227						
	Heterogeneity: Tau <sup>2</sup> =	0.03; Chi <sup>z</sup>	= 8.23,	df = 2 (P	= 0.02)	); <b>I</b> ² = 76%				
	Test for overall effect: 2	Z = 2.00 (F	P = 0.04	)				U.2 U.5 i 2 5 Eavours [control] Eavours [experimental]		
	Test for subgroup differences: Chi <sup>2</sup> = 8.21, df = 2 (P = 0.02), i <sup>2</sup> = 75.6%									

# 1c. Forest plot of cognitive functioning on the MMSE: donepezil + rivastgimine vs. placebo.

## Eight studies, n = 1202

	Ехре	erimen	ital	С	ontrol			Mean Difference	Mean Difference
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Random, 95% CI	IV, Random, 95% Cl
2.1.1 DLB (donepezil)									
lkeda2015	2.2	2.9	49	0.6	3	44	14.5%	1.60 [0.40, 2.80]	<b>_</b> _
Mori2012	2	3.3	36	-0.4	2.7	31	11.6%	2.40 [0.96, 3.84]	
Subtotal (95% CI)			85			75	26.2%	1.93 [1.01, 2.85]	-
Heterogeneity: Tau <sup>2</sup> =	0.00; C	hi <b>²</b> = 0	.70, df=	= 1 (P =	0.40);	I <sup>2</sup> = 0%			
Test for overall effect:	Z = 4.10	I (P < (	).0001)						
2.1.2 DLB (rivastigmi	ne)								
McKeith2000	0.67	4.26	59	-0.57	4.26	61	10.7%	1.24 [-0.28, 2.76]	<u> </u>
Subtotal (95% CI)			59			61	10.7%	1.24 [-0.28, 2.76]	★
Heterogeneity: Not ap	plicable								
Test for overall effect:	Z=1.59	(P = 0	).11)						
2.1.3 PDD (donepezil)	)								
Aarsland2002	22.8	3.7	12	21	5	12	2.7%	1.80 [-1.72, 5.32]	
Dubois2012	1.72	2.96	173	0.06	2.96	170	25.3%	1.66 [1.03, 2.29]	
Leroi2004	-0.67	1.67	9	0.12	1.67	7	9.6%	-0.79 [-2.44, 0.86]	
Ravina2005	22.5	6.9	19	24.4	9.4	19	1.3%	-1.90 [-7.14, 3.34]	
Subtotal (95% CI)			213			208	38.8%	0.57 [-1.21, 2.34]	
Heterogeneity: Tau <sup>2</sup> =	1.83; Cl	hi <b>=</b> 8	.94, df=	: 3 (P =	0.03);	l <sup>2</sup> = 669	%		
Test for overall effect:	Z = 0.63	(P = 0	).53)						
2.1.4 PDD (rivastigmi	ne)								
Emre2004	0.8	3.8	335	-0.2	3.5	166	24.3%	1.00 [0.33, 1.67]	
Subtotal (95% CI)			335			166	24.3%	1.00 [0.33, 1.67]	◆
Heterogeneity: Not ap	plicable								
Test for overall effect:	Z = 2.92	(P = 0	0.003)						
Total (95% CI)			692			510	100.0%	1.26 [0.66, 1.86]	◆
Heterogeneity: Tau <sup>2</sup> =	0.27; CI	hi <b></b> ² = 1	2.20, df	= 7 (P =	= 0.09)	); <b>I</b> ² = 43	3%		
Test for overall effect:	Z = 4.10	I (P < 0	).0001)			-			-4 -Z U Z 4 Equate [control] Equate [concrimentel]
Test for subgroup diff	erences	: Chi <b></b> ≇∘	= 3.19	df = 3 (f	P = 0.3	6), I <sup>z</sup> =	5.9%		

## 1d. Forest plot of neuropsychiatric symptoms on the NPI-10: donepezil + rivastigmine vs.

## placebo. Six studies, n = 1118

	Experimental Control				ontrol			Mean Difference	Mean Difference			
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Random, 95% CI	IV, Random, 95% CI			
3.1.1 DLB (donepezil)												
lkeda2015	-5.5	1.4	49	-6.4	1.5	44	31.1%	0.90 [0.31, 1.49]	•			
Mori2012	-8	12.8	35	0.3	17.5	32	5.1%	-8.30 [-15.70, -0.90]				
Subtotal (95% CI)			84			76	36.2%	-2.93 [-11.82, 5.96]				
Heterogeneity: Tau <sup>2</sup> = 35.15; Chi <sup>2</sup> = 5.90, df = 1 (P = 0.02); l <sup>2</sup> = 83%												
Test for overall effect:	Z = 0.65	(P = 0.	52)									
3.1.2 DLB (rivastigmi	ine)											
McKeith2000	-5	16.2	47	-1.2	10.7	53	8.4%	-3.80 [-9.25, 1.65]				
Subtotal (95% CI)			47			53	8.4%	-3.80 [-9.25, 1.65]				
Heterogeneity: Not ap	oplicable											
Test for overall effect:	Z = 1.37	(P = 0.	17)									
3.1.3 PDD (donepezii	"								_			
Dubois2012	-1.49	5.17	172	-0.34	5.17	170	28.8%	-1.15 [-2.25, -0.05]				
Leroi2004	-6.1	10.58	470	-2.8	10.58	470	2.8%	-3.30 [-13.75, 7.15]				
Subiotal (95% CI)	0.00.01		1/9		0.00.17	1/9	31.0%	-1.17 [-2.20, -0.00]	•			
Heterogeneity: I auf =	= 0.00; Cr	ו.ט = <del>י</del> ור ריי	6, at =	1 (P = 0	.69); 1*:	= 0%						
Test for overall effect.	Z = 2.11	(P = 0.	03)									
3.1.4 PDD (rivastigm	ine)											
Emre2004		10	334	0	10/	166	22.0%	-2 00 6-2 01 -0 001				
Subtotal (95% CI)	-2	10	334	0	10.4	166	23.8%	-2.00 [-3.91, -0.09]	•			
Heterogeneity: Not ar	nlicable								•			
Tect for overall effort:	7 - 2.05	(P – 0	045									
restion overall effect.												
Total (95% CI)			644			474	100.0%	-1.36 [-3.20, 0.47]	•			
Heterogeneity: Tau <sup>2</sup> =	= 2.72: Cł	ni <b>²</b> = 23	.86. df=	= 5 (P =	0.0002	): $ \mathbf{r}  = 7$	3%					
Test for overall effect:	Z=1.46	(P = 0.	14)						-20 -10 0 10 20			
Test for subgroup dif	ferences:	Chi <sup>2</sup> =	1.38, d	lf = 3 (P	= 0.71)	, I <b>²</b> = 0%	6		Favours [experimental] Favours [control]			

**Figure 2.** Forest plot of global outcomes on the Alzheimer's Disease Cooperative Study -Clinical Global Impression of Change (improvement): memantine vs. placebo. Three studies, n =277

	Experim	ental	Contr	rol		Risk Ratio	Risk Ratio					
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% Cl	M-H, Fixed, 95% Cl					
1.1.1 DLB												
Emre2010	16	33	16	41	23.5%	1.24 [0.74, 2.09]						
Subtotal (95% CI)		33		41	23.5%	1.24 [0.74, 2.09]						
Total events	16		16									
Heterogeneity: Not applicable												
Test for overall effect:	Z = 0.82 (F	<sup>2</sup> = 0.41	)									
1.1.2 PDD												
Emre2010	31	60	28	56	47.8%	1.03 [0.72, 1.48]						
Leroi2009	6	10	6	14	8.2%	1.40 [0.64, 3.08]						
Subtotal (95% CI)		70		70	56.0%	1.09 [0.78, 1.51]	-					
Total events	37		34									
Heterogeneity: Chi² =	0.47, df = 1	1 (P = 0	.49); I <sup>z</sup> = I	0%								
Test for overall effect:	Z = 0.50 (F	P = 0.61	)									
1.1.3 LBD												
Aarsland2009	19	30	13	33	20.4%	1.61 [0.97, 2.66]						
Subtotal (95% CI)		30		33	20.4%	1.61 [0.97, 2.66]						
Total events	19		13									
Heterogeneity: Not ap	plicable											
Test for overall effect: Z = 1.85 (P = 0.06)												
Total (95% CI)		133		144	100.0%	1.23 [0.97, 1.57]	◆					
Total events	72		63									
Heterogeneity: Chi² =	2.10, df = 3	3 (P = 0	.55); I² = I	0%								
Test for overall effect:	Z = 1.68 (F	° = 0.09	)				Favours [control] Favours [experiemtnal]					
Test for subgroup diff	erences: C	Chi² = 1.	64. df = 2	(P = 0.	44), I <sup>2</sup> = 0	)%						