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Abstract:	The main field activities of the CAST (Co-ordinated Airborne Studies in the Tropics) campaign took place in the West Pacific in January/February 2014. The field campaign was based in Guam (13.5°N, 144.8°E) using the UK FAAM BAe-146 atmospheric research aircraft and was coordinated with the ATTREX project with the Global Hawk and the CONTRAST campaign with the Gulfstream V aircraft. Together, the three aircraft were able to make detailed measurements of atmospheric structure and composition from the ocean surface to 20 km. These measurements are providing new information about the factors influencing halogen and ozone levels in the tropical West Pacific as well as the importance of trace gas transport in convection for the upper troposphere and stratosphere. The FAAM aircraft made a total of 25 flights between 1°S-14°N and 130°-155°E. It was used to sample at low altitudes (<8 km) with much of the time spent in the marine boundary layer. It measured a range of chemical species and so the region of main inflow into the strong convection was sampled thoroughly. The CAST team also made ground-based measurements of a number of species (including daily ozonesondes) at the Atmospheric Radiation Measurement program site on Manus Island, Papua New Guinea (2.1°S, 147.4°E). This article presents an overview of the CAST project focussing on the design and operation of the West Pacific experiment. It additionally discusses some new developments in CAST,			
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36 Abstract

37 The main field activities of the CAST (Co-ordinated Airborne Studies in the Tropics) campaign took place in the West Pacific in January/February 2014. The field campaign was based in Guam 38 (13.5°N. 144.8°E) using the UK FAAM BAe-146 atmospheric research aircraft and was 39 40 coordinated with the ATTREX project with the Global Hawk and the CONTRAST campaign with the Gulfstream V aircraft. Together, the three aircraft were able to make detailed measurements of 41 42 atmospheric structure and composition from the ocean surface to 20 km. These measurements are 43 providing new information about the factors influencing halogen and ozone levels in the tropical 44 West Pacific as well as the importance of trace gas transport in convection for the upper 45 troposphere and stratosphere. The FAAM aircraft made a total of 25 flights between 1°S-14°N and 46 130°-155°E. It was used to sample at low altitudes (<8 km) with much of the time spent in the 47 marine boundary layer. It measured a range of chemical species and so the region of main inflow 48 into the strong convection was sampled thoroughly. The CAST team also made ground-based 49 measurements of a number of species (including daily ozonesondes) at the Atmospheric Radiation 50 Measurement program site on Manus Island, Papua New Guinea (2.1°S, 147.4°E). This article 51 presents an overview of the CAST project focussing on the design and operation of the West Pacific 52 experiment. It additionally discusses some new developments in CAST, including flights of new 53 instruments on the Global Hawk in February/March 2015.

54

55 **Capsule:** The Co-ordinated Airborne Studies in the Tropics (CAST) project is studying the

56 chemical composition of the atmosphere in the Tropical Warm Pool region to improve

57 understanding of trace gas transport in convection.

58

59 Introduction

60 Co-ordinated Airborne Studies in the Tropics (CAST) is a large multi-institutional project funded by the UK Natural Environment Research Council (NERC) and Science and Technology Facilities 61 62 Council (STFC). CAST has two overall goals. The first goal is to improve understanding of the tropical atmosphere and how gases move from the surface to the stratosphere. To achieve this, the 63 64 Facility for Airborne Atmospheric Measurements (FAAM) BAe-146 atmospheric research aircraft 65 was deployed in Guam in January and February 2014 alongside the NASA Global Hawk, a high 66 altitude autonomous aircraft used in the NASA Airborne Tropical Tropopause Experiment 67 (ATTREX) project, and the NSF/NCAR Gulfstream V (GV) in the NSF Convective Transport of 68 Active Species in the Tropics (CONTRAST) project, as described in the companion papers, Jensen

et al. (2015) and Pan et al. (2015b). The measurements are being jointly used to diagnose how air is

carried high into the atmosphere. The second CAST goal is to develop the UK capability to use
autonomous aircraft for atmospheric research. Here, in addition to learning about deploying the

72 Global Hawk and using the data collected, CAST scientists have produced two new instruments for

vise on the Global Hawk which flew over the East Pacific in February/March 2015.

The value inherent in having the three aircraft flying together was to be able to measure from the surface up into the stratosphere (see Figure 1 in Pan et al., 2015b). The instrument payloads on the three aircraft made many common measurements which together have combined to provide a comprehensive data set for interpretative studies. However within this larger picture, each aircraft had its own scientific aims and objectives which were appropriate to the specific aircraft capabilities. In the case of the FAAM aircraft, the aims were to (i) investigate halocarbon production in the marine boundary layer, and (ii) characterise the composition of air in the main

81 convective inflow.

82 The Tropical Tropopause Layer (TTL) is the region of the tropical atmosphere between the main 83 convective outflow at ~12-13 km and the base of the stratosphere at 17-18 km and is a very important region for composition-aerosol-climate interactions (Randel and Jensen, 2013). Its overall 84 85 structure is intermediate between the troposphere and stratosphere, with a lapse rate smaller than the 86 saturated adiabatic up to the cold point (Fueglistaler et al., 2009). This is caused by the combined 87 effect of slow radiative processes and the infrequent penetration of convective turrets to high altitude. There is a marked longitudinal asymmetry in TTL temperatures, with a minimum in the 88 89 region 130-180°E at all times of the year. This minimum corresponds to the warm waters of the 90 Tropical Warm Pool (TWP) beneath, and there is an associated similar feature in convection 91 (Gettelman et al., 2002). The TTL is the predominant route for troposphere to stratosphere 92 transport, so that conditions in the TTL set the entry concentrations at the base of the stratosphere 93 for, e.g., stratospheric water vapour and very short-lived halogen species. Knowledge of the input 94 into the TTL is a pre-requisite for correct modelling of TTL (and hence stratospheric) composition 95 and yet many aspects are poorly constrained (Levine et al., 2007; Heyes et al., 2009). The coupling 96 between the various processes are important. For example, improving the treatment of TTL water 97 vapour and cirrus in global climate models requires a better understanding of convective transport 98 and radiative transfer in the TTL, as well as improved model descriptions of the key processes.

99 We are still unclear about the entry and exit routes for the TTL, including how much material is

100 transported quasi-horizontally into the extratropical lowermost stratosphere (Levine et al, 2008).

101 What is the average residence time in the TTL? What is the nature, and importance for composition,

102 of longitudinal variability within the TTL? How much of the very short-lived (lifetimes < 6 months

103 – VSL) halogen species can pass through the TTL and so affect stratospheric ozone concentrations?

- 104 Large discrepancies exist between models and measurements even for long-lived tracers. Some of
- 105 these are due to transport sharp horizontal gradients are observed in atmospheric tracers at
- 106 boundaries between mid-latitude, subtropical and tropical airmasses which are not well represented
- 107 by models (Wofsy et al., 2011) and some to limited information on emissions, e.g. N_2O and CH_4
- 108 in this region (Ishijima et al., 2010). These issues are likely more important for shorter-lived
- species, including halogen-containing VSLS with their poorly understood sources, atmospheric
- transformations and geographic distribution (Carpenter, Reimann et al., 2014).
- 111 Knowledge of the distributions of trace gases in the boundary layer and lower troposphere is needed
- to estimate the flux of these gases into the TTL. The role of the FAAM research aircraft was to fly
- 113 over the tropical West Pacific and to measure the low level concentrations. These measurements
- 114 characterise the air masses in the region of the main convective inflow and so are valuable in
- interpreting the higher altitude measurements of the Global Hawk and the GV made in the same
- 116 period. They can also be used to improve understanding of marine halocarbon production and to
- 117 investigate the influence of polluted outflow from south east Asia.
- 118

119 CAST measurements

- 120 Measurements were made on two main platforms in the West Pacific. The FAAM BAe-146
- 121 research aircraft was based at the A.B. Won Pat International Airport, Guam (13.5°N, 144.8°E).
- 122 The FAAM aircraft was co-located with the NCAR Gulfstream while the NASA Global Hawk was
- 123 based at Andersen Air Force Base approximately 30km to the north east. A suite of ground-based
- 124 instrument systems was based at the Atmospheric Radiation Measurement (ARM) facility at
- 125 Manus, Papua New Guinea (2.1°S, 147.4°E), in order to characterise the tropospheric composition
- 126 beyond the range of the FAAM aircraft.
- 127

128 Flight planning

129 The goal of the CAST FAAM flights was to characterise the inflow to convection in the lower

- troposphere in the West Pacific. In order to extend the range of the aircraft so that it could reach
- into the upwelling area nearer the equator, overnight stops were planned at the islands of Palau
- 132 (Roman Tmetuchl International Airport, Babeldaob island, Republic of Palau; 7.4°N 134.5°E) and
- 133 Chuuk (Chuuk International Airport, Weno Island, Federated States of Micronesia; 7.5°N,
- 134 151.8°E). When conditions allowed, transects were made at 100 feet (with occasional dips down to
- 135 50 feet) over the open ocean to give the opportunity to sample air influenced by fresh ocean
- emissions. Stacked runs with horizontal legs at different altitudes were planned where possible to
- 137 provide information about the vertical profile of the short-lived species in the lower troposphere. A

large part of the flight planning for the FAAM research aircraft was to ensure a good coverage ofthe lower troposphere within range from Guam.

- 140 Chemical forecast products were also provided by the Monitoring Atmospheric Composition &
- 141 Climate (MACC) project in support of the field campaigns. MACC assimilates comprehensive
- 142 global observations of chemical composition into the ECMWF meteorological forecasting system
- 143 (Flemming et al., 2015). The operational MACC system runs at 80 km horizontal resolution (T255)
- 144 with 60 vertical levels. During the campaign, forecast plots for the operation domain were provided
- 145 for a number of chemical species, including the FAAM measurements O₃, CO, CH₄, black carbon,
- 146 NO, and NO₂. In addition, a number of hypothetical tracers were included to track air originating
- 147 from different locations, e.g. regional emissions from China or India. A coastal emission tracer was
- 148 used since CHBr₃ and other short-lived halocarbons are preferentially released in coastal regions
- 149 (Carpenter et al., 2009; Ashfold et al., 2014).
- 150

151 FAAM BAe-146 aircraft

152 The FAAM BAe-146 has a science payload of up to 4 tonnes designed according to the objectives 153 of a particular campaign. The chemical composition of the tropical atmosphere is the focus of 154 CAST and this dictated the scientific payload. The chemical species and physical parameters 155 measured on the FAAM aircraft, along with the instruments used, are summarised in Table 1. Trace 156 gases with a wide range of atmospheric lifetimes, sources and sinks were measured in order to 157 provide information about the origin and fate of the air masses encountered as well as about the 158 atmospheric timescales involved. In many cases these species were also measured by the Global 159 Hawk and/or the GV aircraft giving good synergy between the three datasets. Understanding the 160 distribution and chemistry of halogen species is a special focus for all three campaigns and this is 161 reflected in the FAAM payload.

162 Whole air samples (WAS) were collected as described in Andrews et al. (2013). Analysis of WAS

163 canisters was carried out in the aircraft hangar, usually within 72 hours of collection. Two litres of

sample air were pre-concentrated using a thermal desorption unit (Markes Unity2 CIA-T) and

- analysed with gas chromatography, mass spectrometry (GC-MS, Agilent 7890 GC, 5977 Xtr MSD).
- 166 Halocarbons were quantified using a NOAA calibration gas standard, dimethylsulphide was
- 167 quantified using a secondary standard prepared and referenced to a primary KRISS standard. The
- 168 full method is detailed in Andrews et al. (2015).
- 169 Measurements of a subset of halocarbons and other volatile organic compounds (VOCs) were made
- 170 in-flight using a new thermal desorption (TD) GC-MS system. 1 L of sample air, drawn from a
- 171 window blank inlet, pressurised to 2.5 atm and dried using a multi-core counter-current Nafion drier

- 172 was alternately pre-concentrated or analysed from two parallel adsorption traps (Tenax TA) of
- a two channel TD system (Markes International, model TT 24/7). Analytes were refocussed at the
- head of the column using liquid CO₂ prior to separation (10 m, 180 micron I.D., 1 micron film,
- 175 Restek RTX502.2 column; 40 to 150 °C at 40 °C/min) by GC (Agilent 6850) and detection by
- electron impact MS single ion monitoring (Agilent 5975C), calibrated pre-flight against the WAS
- 177 gas standard (NOAA, SX-3581). Instrument temporal resolution, and associated sample integration
- 178 period, was 5 min.
- 179 The chemical ionisation mass spectrometer (CIMS) from the Georgia Institute of Technology was 180 configured similarly to previous deployments (Le Breton et al., 2012; 2013). The I⁻ ionization 181 scheme was used to detect inorganic halogens, carboxylic acids, HCN and other trace species. For 182 CAST, the CIMS made simultaneous measurements of BrO, BrCl, Br₂ and HOBr. The 1 Hz data 183 were averaged to 30 s for analysis. Pre campaign and post flight laboratory calibrations were used 184 relative to in-flight formic acid calibrations to quantify the sensitivities and limits of detection for 185 the inorganic halogens, similar to that used for dinitrogen pentoxide (Le Breton et al., 2014). The sensitivities ranged from 1 to 50 ion counts $ppt^{-1} s^{-1}$ determined by in-flight and post campaign 186 187 calibrations. The limits of detection for species varied from 0.36 ppt to 37 ppt for 30 s averaged 188 data. (All mixing ratios given in this paper are by volume.) An acid scrubber was used to quantify 189 background signal in the instrument and inlet line.
- A broadband cavity enhanced absorption spectrometer (BBCEAS) was adapted to measure IO in the 410-482 nm wavelength region using. No clear absorption feature was observable from spectra by eye with up to 100 s averaging, pointing to very low mixing ratios (<~0.5 ppt) of IO over the sampled area. When using averaged data, a small positive bias (~0.3 ppt) of IO was observed with respect to the zero. These observations appear to support the existence of IO in the remote marine boundary layer at sub-ppt levels, but the limited sensitivity precludes robust identification of spatial gradients.
- 197 NO was measured using the well known chemiluminescence technique. NO₂ was quantified using a 198 second channel, with NO₂ being converted to NO using a blue light LED converter centred at 395 199 nm. The NO₂ mixing ratio is derived from the difference between total NO_x and NO mixing ratios. 200 The instrument is calibrated via addition of 5 sccm of known NO concentration to the ambient 201 sample. The conversion efficiency of the LED converter is measured in each calibration using gas 202 phase titration of the NO to NO₂ on addition of O₃. In flight calibrations were conducted above the 203 boundary layer to ensure stable low levels of NO_x with before and after flight calibrations made 204 using an overflow at the inlet of zero grade air. A more detailed description of a similar system can
- be found in Lee et al. (2009).

- 206 O₃ was measured by a UV absorption photometer (Thermo Fisher, model 49C), traceable to the UK
- 207 National Physical Laboratory primary ozone standard with an uncertainty of 2%, and a precision of
- 208 1 ppb for 4 s measurements.
- 209 CO was measured by a vacuum UV fluorescence analyser (Aero Laser GmbH, model AL5002,
- 210 Gerbig et al., 1999). The instrument was calibrated in-flight every ~45 minutes using a synthetic air
- 211 working standard (Air Liquide, ~500 ppb), traceable to the NOAA-Earth System Research
- 212 Laboratory (GMD-CCGG) surveillance standard and the World Meteorological Organisation CO
- scale X2004. 1Hz CO measurements have a 2% uncertainty and 3 ppb precision.
- 214 CO₂ and CH₄ were measured by a cavity-enhanced IR absorption spectrometer (Los Gatos Research
- 215 Inc. Fast Greenhouse Gas Analyser, model RMT-200). The instrument was customised for airborne
- 216 operation (O'Shea et al, 2013), so CO_2 and CH_4 dry mole fractions can be linearised in-flight using
- 217 natural air working standards, traceable to the World Meteorological Organisation CO₂ scale X2007
- and CH_4 scale X2004. The performance of the system is estimated from the 1σ standard deviation
- of all in-flight 'target' calibration data. The 1Hz measurement precisions are estimated at 0.7 ppm
- and 2.5 ppb for CO₂ and CH₄. Through the addition of all known uncertainties we estimate a total
- accuracy of ± 1.3 ppb for CH₄ and ± 0.2 ppm for CO₂.
- The Passive Cavity Aerosol Spectrometer Probe 100-X (PCASP), upgraded with the SPP-200
- 223 electronics package from Droplet Measurement Technologies (DMT), measures aerosol particles
- with nominal diameters 0.1 to 3 μ m. Light from a 0.6328 μ m laser is scattered by the particles and a
- photodetector sums the forward (over solid angles subtended by 35°-120°) and backward (60°-
- 226 145°) scattered light. The probe is canister-mounted under the wing and was operated at 1 Hz. The
- instrument was calibrated for particle size before and after the campaign. Uncertainties exist in boththe sizing and counting of particles and these are discussed, along with the calibration procedure, in
- 229 Rosenberg et al. [2012].
- The DMT Cloud Droplet Probe (CDP; Lance et al., 2010) was flown on the same under-wing pylon as the PCASP. The CDP is an open path instrument that measured the forward scattered light (over solid angles nominally subtended by $1.7^{\circ}-14^{\circ}$) from the 0.658 µm incident laser beam. Particles are assigned to one of thirty size bins over the nominal size range 3-50 µm. Calibration with certified diameter glass beads was carried out before each flight (Rosenberg et al., 2012). The sample rate of the CDP was the same as for the PCASP, 1 Hz.
- 236
- 237 *Manus*

238 Observations started at the ARM Climate facility on Manus Island in October 1996 (Mather et al,

239 1998) and continued until August 2014. These observations provided the basis for many studies of

the climate in the West Pacific (e.g., Long et al., 2013 and references therein). In February 2014, a

suite of ground-based instruments was deployed as part of CAST to make measurements of ozone

- 242 (ground and profile), short-lived halocarbons, carbon dioxide, carbon monoxide and methane. The
- 243 instruments used are now described and are summarised in Table 2.

Ozone profiles were measured using ozonesondes. Air is pumped through a KI solution in a cathode

half-cell, with two electrons produced for each ozone molecule; the cell current is directly

proportional to the flow of ozone through the cell. Ozonesondes have a typical response time of ~ 1

247 minute at the tropopause level, with a precision of a few ppb. In the TTL the accuracy of the

248 measurement is dominated by the background current (Newton et al 2015 and references therein).

249 Simultaneous vertical profiles of ozone, temperature, humidity, wind and pressure are measured

using a radiosonde.

251 Ground-level ozone was measured by a Thermo-Electric Corporation TE49C which is a dual-

channel ultraviolet photometer measuring ozone through absorption of radiation at 254 nm. The

incoming air stream is split between two identical cells, with a scrubber removing ozone from one

of the streams. The TE49C provides a measurement every 10 s and has a 20 s response time.

255 Ground-level trace gas concentrations were measured by a Picarro Cavity Ring-Down Spectrometer

256 G2401 (CRDS) (Crosson, 2008). The sample air inlet was at ~8 m above ground level with a rain

cover and a 2 μm particulate filter. Water vapour in the instrument was kept below 1.5 ppm and was

258 controlled by passing the sample flow (~250 mL min⁻¹) through a chiller at ~5 °C and then through

a dessicant based nafion drier. CO_2 and CH_4 concentrations were recorded every 5 s, with

260 precisions of ~1 ppb and ~200 ppb respectively. Calibrations were achieved using a target gas

261 (CH₄, 2024 ppb; CO₂, 390 ppm) measured every 2 days for 10 minutes with low / high calibration

- runs on intermediate days (low/high: CH₄, 1919/2736 ppb; CO₂, 360/495 ppm). The calibration
- 263 gases are linked to the NOAA/WMO calibration scale.

 $264 \qquad Surface \ concentrations \ of \ short-lived \ halocarbons \ were \ measured \ using \ a \ \mu Dirac \ instrument, \ a \ gas$

chromatograph with electron capture detector (GC-ECD) based on that described in Gostlow et al

- 266 (2010) but with a 10 m separation column. The instrument sampled ambient air from the ~ 8 m
- high mast, with a 10-20 ml min⁻¹ flow dried using a counter flow nation drier. Calibration runs,
- 268 using a NOAA-ESRL air cylinder spiked with the target compounds, were conducted regularly
- 269 (every 3 samples). The calibration volumes ranged from 3 to 50 ml to allow correction for drifts in
- instrument sensitivity and linearity. Measurement precision is species dependent, typically 2-10 %
- 271 (± 1 sd), with accuracy in the range 5-10 % (± 1 sd).

273 **Overview of measurements**

The FAAM BAe-146 made a total of 25 science flights with 90 flight hours during the CAST deployment in the West Pacific (Figure 1). Brief summaries of the flights are given in Table 3. The flight tracks are shown in Figure 1, with the altitude represented by the colour of the line. The large majority of the flights were below 5 km altitude, with a significant fraction in the marine boundary layer, with good coverage between 130°E-160°E and 2°S-14°N.

279 The vertical distribution of the science flights can also be seen in Figure 2 which shows O₃ and CO 280 concentrations as a function of altitude and latitude. In general lower O₃ values are found in the 281 marine boundary layer and at lower latitudes, while high values are found at higher altitudes and at 282 higher latitudes. There is no obvious correlation with CO. However when the O₃ and CO data are 283 plotted against each other (Figure 3), a bimodal relationship emerges. Further, the lower ozone 284 values (10-40 ppb) occur when the relative humidity is high (Figure 3, top panel). This finding 285 reinforces that of Pan et al. (2015a) who report this bimodality throughout the altitude range 286 covered by the NCAR GV. They argue that the CONTRAST measurements reveal a bimodal 287 distribution of tropospheric ozone with a background mode of nearly constant (~20 ppb) values 288 throughout the troposphere with a secondary mode of higher ozone in layers with lower relative 289 humidity consistent with advection and mixing from outside the deep tropics. Our measurements 290 also show that high ozone and lower relative humidity often occurs with higher NO and CO 291 concentrations. Preliminary analysis of the high NO measurements indicates that the air masses 292 encountered had previously been in regions close to anthropogenic activities and/or biomass 293 burning. The MACC forecasts also show transport of biomass burning and SE Asian tracers to the 294 West Pacific.

295 The CHBr₃ concentrations measured with the Whole Air Sampler and the on-board GC-MS are 296 shown in Figure 4. In general the values are low with even the higher values not far above the 297 background values seen in this region (Brinckmann et al., 2012). The lower amounts of CHBr₃ were 298 encountered out of the boundary layer (Figure 4b). The background in Figure 1 shows that the 299 Chlorophyll-a concentrations in the surface waters of the West Pacific were low in this period. 300 Higher Chl-a values are seen in the shallower waters approaching the islands of the Maritime 301 Continent. The lagoon inside Chuuk atoll is relatively shallow (<60 m) and is embedded in much deeper ocean waters. It has a circumference of ~200 km and an area of ~3000 km². If halocarbons 302 303 are emitted preferentially in shallow waters (Carpenter et al., 2009), then it should be discernible as 304 an emission hotspot. The influence of short-lived halocarbon emissions from shallower waters was 305 investigated in the FAAM flights by circling Chuuk atoll at low altitudes. The inset of Figure 4a

shows the CHBr₃ observed on these flights as well as the instantaneous wind speed observed by the
FAAM aircraft. Higher concentrations of CHBr₃ (red) are found when air has previously passed
over the atoll, indicating that the atoll is a source of CHBr₃.

309 CHBr₃ was also observed at the ARM facility in Manus (Figure 5). The median value in this period 310 was 0.81 ppt, about half what has been observed at a coastal site in Malaysian Borneo (Robinson et 311 al., 2014) and similar to the values observed on the FAAM aircraft (Figure 4). A strong diurnal 312 cycle is seen in early February in several trace gases measured at Manus with increased nocturnal 313 amounts providing evidence for local night-time sources of CO₂, CH₄, CHBr₃ and CH₃I. This 314 diurnal behaviour was seen when the winds were low and a stable boundary layer was able to form. 315 Ozone, by contrast, showed decreases at night in this period from a peak daytime value of 10 ppb to 316 sub 5 ppb levels which are consistent with oxidative uptake to the local vegetation. This is the only 317 time such low values of ozone were seen in CAST. In the absence of local sources, C₂Cl₄ is a good 318 tracer of large scale transport, and its concentrations in this period were generally in the range 1-1.5 319 ppt which are typical of those seen in the clean West Pacific (Ashfold et al., 2015). Manus was 320 mainly influenced by flow from the north in this period

321 A total of 39 ozonesondes were launched from Manus in February 2014, with 34 sondes providing 322 good ozone profiles (Figure 6(a); Newton et al., 2015). These measurements are hardest in the 323 tropics as the ozone concentrations are low, so that any error in estimating the background current is 324 important. Particular attention was therefore paid to measurements of the background current, 325 leading to recommendations for changes to the standard operation procedures used in the sonde 326 preparation. Support for this approach is provided by good agreement in a coordinated ozonesonde / 327 GV flight (see Figure 14 in Pan et al., 2015b). The ozone measurements are shown in Figure 6 328 alongside the corresponding MACC 1 and 4 day forecasts. The forecasts predicted the main 329 characteristics of the observations such as increased ozone at about 400 hPa from 14-16 Feb and the 330 low concentrations near the TTL from 19-23 Feb. The minimum reproducible ozone concentration 331 measured in the TTL was 12 ppb, consistent with the minimum of 13 ppb measured by the GV 332 during CONTRAST (Pan et al., 2015).

333

334 Linking measurements

In order to have near-real-time information about the air reaching the TTL from the lower

troposphere, the trajectory-based approach of Ashfold et al (2012) was adapted to meet the needs of

a multi-aircraft campaign. In this, the Numerical Atmospheric-dispersion Modelling Environment

338 (NAME) was run as an adjunct to the Met Office operational forecasting model so that it could

access meteorological forecasts on a timescale quick enough to provide useful flight planning

340 information. The starting grid for the trajectories covered a large area of the West Pacific (Figure 341 7), with trajectories being released at altitudes between 8 and 18 km. Twelve day backward 342 trajectories were then calculated using a mixture of Met Office analyses and forecasts, so that 343 information was available about the possible influence of lower tropospheric air in the regions which could be sampled by the Global Hawk and the GV. Each day, trajectories were produced for 344 1, 2, 3 and 5 days in the future. In each 2 km altitude layer, 5,000 particles were released in each 10° 345 346 $x 10^{\circ}$ box. During the campaign, these calculations were made for a larger area at higher altitudes to 347 reflect the larger range of the Global Hawk. The horizontal resolution of the Met Office operational 348 model was 25 km in early 2014.

349 An example is shown in Figure 7 for three altitude ranges (12-14 km, 14-16 km, and 16-18 km). 350 Each point is the end-point of each parcel of air that had crossed below 1 km in the preceding 12 351 days. For graphical clarity, only a fraction of the trajectories are shown at each level. Thus the 352 stronger the predicted low level influence is shown in total by the higher the percentage in each box 353 (shown by the number), and at a given level by the denser clouds. These maps were routinely 354 checked against flight plans for the Global Hawk and the GV to ensure that a wide range of low 355 level influence was sampled. In general, most flight plans met this criteria due to the proximity of 356 the aircraft to the main convective region. A similar approach using Met Office analysed fields is 357 being used to interpret the measurements of the Global Hawk and GV and to link them back to the 358 lower altitude measurements made by the FAAM aircraft (e.g., Navarro et al., 2015).

359

360 New technology developments

361 As part of the collaboration with ATTREX, three new developments were included in CAST: two 362 instruments for use on the Global Hawk, the Aerosol-Ice-Interface Transition Spectrometer (AIITS) 363 and the GreenHouse gas Observations in the Stratosphere and Troposphere (GHOST); and a 364 software tool, Real-time Atmospheric Science Cluster AnaLysis (RASCAL), designed to assist 365 aircraft scientists by performing real-time data analysis during flights. The two new instruments 366 were flown for a total of 40 hours in one test flight and two science flights in February-March 2015 367 from the NASA Armstrong Flight Research Center, California. They were part of a payload which 368 also included Hawkeye, the NOAA H₂O and O₃ instruments, the Global Hawk Whole Air Sampler

- 369 (GWAS), and Microwave Temperature Profiler (MTP) (see Jensen et al, 2015 for more details).
- 370 The Aerosol-Ice-Interface Transition Spectrometer (AIITS) was designed to probe different cirrus
- 371 regimes in the TTL in order to understand fundamental nucleation and sublimation processes
- influencing the stratospheric water budget and fluxes, as well as the potential impact of biomass
- 373 burning on cirrus ice crystal activation and growth. It is the next instrument in the Small Ice

374 Detector (SID) family (Hirst et al., 2001; Kave et al., 2008). AIITS acquires 2-D forward scattering 375 patterns from particles in the size range from about one to a few hundred micrometres and can 376 measure the depolarisation in backward and forward scattering. The patterns allow quantification of 377 the phase, habit and fine surface features of large aerosol and small ice crystals in the size range 2-378 100 µm (Cotton et al., 2010; Ulanowski et al., 2014). Unique results were obtained by AIITS during 379 cirrus penetrations at 16.5 km and at temperatures down to -80°C (Figure 8). These revealed a 380 transition to smooth quasi-spherical ice particle regimes in specific regions of TTL layers in 381 response to changing supersaturation regimes. The impact on the radiative scattering properties of 382 cirrus in these regimes is being investigated.

383 GHOST is a novel grating spectrometer designed for remote sensing of greenhouse gases from 384 aircraft (Humpage et al., 2014). It measures spectrally-resolved shortwave-infrared radiance across 385 four spectral bands from 1.27 μ m to 2.3 μ m, with a spectral resolution between 0.1 and 0.3 nm. An 386 optical gimbal underneath the aircraft is programmed to pass solar radiation reflected from the 387 ocean surface through a fibre optic bundle into the spectrometer with a single grating and detector 388 for all 4 bands. The bands are chosen to include absorption bands for CO₂ and CH₄ as well as CO₃. 389 H₂O and O₂. O₂ is used to infer information on the scattering contributions towards the measured 390 light. The third Global Hawk flight of the CAST/ATTREX campaign targeted the overpasses of 391 two greenhouse gas observing satellites during clear sky conditions over the Eastern Pacific (Figure 392 9); the NASA Orbiting Carbon Observatory (OCO-2) and the JAXA Greenhouse gas Observing 393 SATellite (GOSAT). This Global Hawk flight therefore provides a very useful validation dataset for 394 these satellites, since they both make greenhouse gas measurements using a similar spectral range to 395 GHOST.

396 As real-time data becomes increasingly available, mission scientists are faced with a potentially 397 overwhelming data torrent from which they are required to find the information on which to base 398 decisions. At present, mission scientists often focus on a subset of the data stream, limiting the 399 depth of the analysis which can be carried out. As part of CAST, a new software framework, 400 RASCAL, has been developed. It interfaces intuitively with mission scientist expert knowledge and 401 provides real-time on-the-fly cluster and anomaly detection (i.e. for real-time diagnosis of structures 402 such as those diagnosed in Figure 3, for example, but tested simultaneously across many chemical 403 'dimensions'). The data stream can be separated in real-time, without a priori assumptions about 404 parameter relationships, to reveal different data groups and hence isolate specific regions of interest 405 that can be revisited virtually post-flight. In combination with the expert knowledge of the mission 406 scientists, support tools like RASCAL have the potential to be used on many research aircraft, 407 potentially adding significant value to the results achieved in field measurement campaigns.

409 Summary

410 Based in Guam as part of a joint deployment with the NASA ATTREX Global Hawk and the NSF

411 CONTRAST GV, the FAAM research aircraft deployment in CAST has provided an excellent

412 characterisation of the lower tropospheric atmospheric composition in the Tropical Warm Pool

region. The majority of the FAAM aircraft flights were below 5 km altitude, and a significant

414 fraction was in the marine boundary layer with good coverage in 130°E-160°E and 2°S-14°N. A

suite of organic and inorganic halogen compounds was measured, with the bromine-containing

416 species particularly well covered.

417 Ground-based measurements were made at the ARM facility on Manus Island, Papua New Guinea

418 during February 2014. These measurements characterise the tropospheric composition just south of

the equator in a region inaccessible to the FAAM aircraft in this deployment. The Manus

420 ozonesonde measurements are a valuable resource, providing a good picture of the vertical

421 distribution of ozone in the Tropical Warm Pool region during February with a minimum ozone

422 concentration in the TTL of 12 ppb.

423 These measurements are being interpreted by CAST scientists in conjunction with measurements

424 from ATTREX and CONTRAST using a range of modelling and data analysis approaches. The

425 CAST data are stored at the British Atmospheric Data Centre (http://badc.nerc.ac.uk/), and

426 interested parties are encouraged to use them for their own studies. All users are strongly

427 encouraged to involve the responsible instrument scientists in these studies in order to have insight

428 into the strengths and weaknesses of these data.

429

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- 451

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Table 1: Instruments and measurements made on the BAe 146 (FAAM) aircraft during the CAST project. The table also indicates the synergy with
 other aircraft from the CONTRAST (Gulfstream-V (GV)) and ATTREX (Global Hawk (GH) projects.

Species / parameter	Method / instrument details	Averaging time	Precision, accuracy	Synergy with other aircraft	Affiliation, reference
Position, winds, u, v, w	INS, GPS, 5-port turbulence probe	0.1 s	$0.01 \Delta P/P_S$	GV, GH	FAAM Peterson and Renfrew (2009)
Humidity (Dew point T)	Hygrometer, General Eastern 1011b	0.25 s	\pm 0.5 - \pm 3 K dependent on dew point and ambient conditions	GV, GH	FAAM Ström et al. (1994)
Temperature	Rosemount Aerospace Ltd. sensor 102 AL	.05 s	± 0.3K	GV, GH	FAAM Lenschow (1986)
СО	VUV resonance / fluorescence, Aerolaser 5002	1 s	1 ppb, 3%	GV, GH	FAAM Gerbig et al. (1999)
O ₃	UV absorption, TEI 49C	4 s	1 ppb, ±5%	GV, GH	FAAM Wilson and Birks (2006)
CO ₂ , CH ₄	Cavity enhanced absorption spectrometer, Los Gatos Research Inc	1 s	CH ₄ : 2.5 ppb; 1.3 ppm CO ₂ : 0.7 ppm; 0.2 ppm	GV, GH	FAAM / U. Manchester O'Shea et al. (2013)
NO, NO ₂	Chemiluminescence with photolytic conversion for NO ₂ , Air Quality Design Inc.	10 s	5 pptv for NO and 15 pptv for NO ₂ (at 10 s averaging)	GV	FAAM / U. York Lee et al. (2009)
Halocarbons (Whole air samples (WAS)): (DMS, CHBr ₃ , CH ₂ Br ₂ , CHBr ₂ Cl, CH ₃ I, CH ₂ BrCl, CHBrCl ₂ , CH ₂ ICl, CH ₂ IBr, CH ₂ I ₂ , CH ₂ Cl ₂ , CHCl ₃)	TD-GC-MS, Markes	30 s fill time for WAS	Species dependent, typically 0.1 – 1 pptv.	GV, GH	U. York Andrews et al. (2013; 2015)
NMHCs (Whole air samples (WAS)): (C ¹ -C ⁷ NMHCs (alkanes, alkenes, aromatics); small o-VOCs (acetone, methanol, acetaldehyde, ethanol); DMS	GC-FID (flame ionization detector), Perkin Elmer	30 s fill time for WAS	Species dependent, typically 5 pptv	GV, GH	U. York Hopkins et al. (2003)
Halocarbons, VOCs (in situ)	GC-MS (Gas Chromatography – Mass Spectrometry), Agilent	300 s	Species dependent, typically 1 – 5 pptv.	GV	U. York
BrO, Br ₂ , HOBr, BrCl, HCOOH (formic acid), HCN, CINO ₂ , HNO ₃ , N ₂ O ₅ , CH ₃ COOH (acetic acid), CH ₃ CH ₂ COOH (propanoic acid), CH CH COCOL (putancia acid),	Chemical Ionisation Mass Spectrometer (CIMS)	30 s	Species dependent, typically 0.3 – 5 ppt	GV	U. Manchester Le Breton et al. (2012)
CH ₃ CH ₂ CH ₂ COOH (butanoic acid)	Droadband Cavity Enhanced	and tout	and tout	CV (IO	II Combridge

Aerosol	PCASP (Passive Cavity Aerosol Spectrometer Probe)	1s	See text	GV, GH	FAAM Rosenberg et al., (2012)
Cloud physics	CDP (Cloud Droplet Probe)	1s	See text	GV, GH	FAAM Rosenberg et al., (2012)

608 Table 2: Measurements made at the ARM site at Manus, Papua New Guinea during CAST

Species / parameter	Method / instrument details	Operation	Precision, accuracy	Affiliation, reference
O ₃ (profile)	Ozonesonde, ENSCI model Z	Daily	see Newton et al 2015	U. Manchester, NCAS
	from DMT			Newton et al 2015
O _{3 (} surface)	Thermo-49 analyser	Continuous (10 sec)	\pm 1 ppbv, precision-	NCAS, Atmospheric Measurement Facility
``````````````````````````````````````			limited	
CO ₂ , CH ₄	Picarro G2401 CRDS	Continuous (5 sec)	$CO_2$ precision 0.05 %,	U. Cambridge
	analyser		accuracy 0.05 % (±1sd);	Crosson (2008)
			CH ₄ precision 0.05 %,	
			accuracy 0.1 % (±1sd);	
Halocarbons: (CHBr ₃ , CHBr ₂ Cl, CH ₃ I,	Custom-built GC-ECD	Continuous (~50 minutes)	Species dependent,	U. Cambridge
$CH_2ICl, C_2Cl_4)$			typically $0.1 - 1$ pptv.	Gostlow et al, (2010), Robinson et al., (2014)

610 Information about the meteorological measurements from Manus can be found at <u>http://www.arm.gov/sites/twp/C1/instruments</u>.

Flight no.	Date	Route	Flight description and observations
B823	18/1/14	Kota Kinabalu - Palau - Guam	Measurements on last part of leg from KK to Palau. Flight mainly at low levels (in boundary layer) on Palau to Guam leg. $O_3$ and CO decreasing further North ( $O_3$ 30-12 ppb), higher (>35ppb) above boundary layer (BL).
B824	22/1/14	Guam – Guam	Heading SE from Guam, 4000 m then 2000 m, flight aborted early due to aircraft technical problem. GV followed around 30 minutes later. $O_3$ 15 ppb near Guam, falling to 10 ppb at 7°S.
B825	24/1/14	Guam – Chuuk	Mixed altitudes (lowest 300 m), mainly within BL. $O_3$ dropping from 15 ppb to 8 ppb towards Chuuk. CO ~105 ppb on whole flight. SE flow.
B826	25/1/14	Chuuk – Chuuk	Due South from Chuuk on 152°E to 2°N, back on 153°E. Start at 6000 m then step down to 300 m. O ₃ constant (~15 ppb) in boundary layer, 25 ppb above BL. Largely SE flow in BL, W-NW in free troposphere.
B827	26/1/14	Chuuk – Chuuk	Due South from Chuuk on 152°N to 1°N then return on same track. In BL to 1°N, 4000 m on return North. Well mixed boundary layer. 20 ppb O ₃ to 1°N. BrO and CH ₂ Cl ₂ observed. Largely SE flow in BL, W-NW in FT.
B828	26/1/14	Chuuk - Guam	Circled atoll at 100 m and 1500 m; then mixed altitude down to 300 m on way back to Guam. CO 100ppb round atoll in BL, O ₃ 15 ppb. O ₃ 10-13ppb as head North towards Guam.
B829	29/1/14	Guam - Palau	Mixed levels in BL down to 300 m. Low O ₃ (12 ppb) observed around island of Yap. Easterly flow.
B830	29/1/14	Palau – Palau	Flight East along 7°N; mixed altitude down to 300 m; 4 stacked runs above each other at easterly end. Profile of BrO observed on stacked runs - higher at surface. Same CO and O ₃ profile at all levels so well mixed BL. 45 ppb O ₃ and some NOx (25 ppt) seen at 4000 m. Higher N ₂ O at higher altitudes. Largely SE flow.
B831	30/1/14	Palau – Palau	Flight SE to Indonesian airspace (4°30'N, 141°30'E) then due South to 3°N. Mainly in BL, down to 300 m at most Southern point where $O_3$ was 25-30 ppb. Westerly flow so some Asian outflow observed (CO < 100 ppb).
B832	30/1/14	Palau – Guam	Low level runs in BL crossing day/night terminator. 30m in early part of flight before hitting low level convection. Above BL towards Guam. 15 ppb O ₃ during sunset - very constant as heading North. NW flow.
B833	1/2/14	Guam – Guam	1 st part of day/night chemistry flights. Stacked legs to E of Guam: 6000, 3000, 1500, 1000, and 300 m. NE flow. Followed GV for first half of flight (~30 minutes behind).
B834	1/2/14	Guam – Guam	2 nd part of day/night chemistry flights. Stacked legs to E of Guam: 6000, 3000, 1500, 1000, and 300 m. NE flow.
B835	4/2/14	Guam – Chuuk	Fast transit to Chuuk above BL. 25 ppb O ₃ , 85 ppb CO at 6000 m, then O ₃ lower as dropping down to Chuuk (~13 ppb).

612 Table 3: Research flights made by the BAe 146 (FAAM) aircraft during the CAST project.

B836	4/2/14	Chuuk – Chuuk	Head S along 152°E at 7000 m, some low flying in BL to southern most point (1°S) before intermediate height (2000 – 4000 m) back to Chuuk. 18 ppb $O_3$ above BL to 1°N. Then profile down and less $O_3$ in BL (13 ppb), CO 70 ppb. At 1°S $O_3$ 9 ppb in NE flow.
B837	5/2/14	Chuuk – Chuuk	Low level flying in BL to southernmost point (~1°N) to complement B836), then climb back and return at ~5000 m. O ₃ decreasing in BL as head South. 20 ppb at 7°N, 11 ppb at 1°N. All in NE flow.
B838	6/2/14	Chuuk – Chuuk	Round Chuuk atoll at 3 altitudes in BL (150, 500, and 1000 m). CO higher to East of Islands (Easterly flow). Could be storms over the islands bringing elevated CO to the upwind side.
B839	12/2/14	Chuuk – Guam	SE of Guam at low level (500 m in BL), then above BL (5000 m) before descending down at lower levels in BL into Guam. O ₃ spikes in profiles up to 7500 m (Asian outflow). 75 ppb seen at 7000 m.
B840	13/2/14	Guam – Palau	Start in FT (~6500 m), then low nearer Palau (1500 m); head to 4°N, 137°E before heading NW; same region as GV and GH. O ₃ 30 ppb in FT, 12 ppb in BL, very stable. Easterly flow.
B841	14/2/14	Palau – Palau	Flight to SW of Palau with stacked legs in BL parallel to ATC boundary. O ₃ 15 ppb in BL. Easterly flow.
B842	14/2/14	Palau - Guam	Reverse flight to B840. Similar flow and O ₃ .
B843	16/2/14	Guam – Guam	S from Guam to 7°N then E towards Chuuk before heading back to Guam; low latitude parts at low altitudes (<1000 m in BL) under convective band. O ₃ 10-15 ppb in BL (E flow), elevated at higher levels (70-90 ppb), concurrent with elevated NO (30 ppt) (N flow).
B844	17/2/14	Guam – Guam	SSE from Guam to fly under convective band (to 4°N) with low level runs (< 1000 m in BL). GV and GH flying nearby. Layers of elevated $O_3$ and $NO_x$ at ~6000m (westerly flow).
B845	17/2/14	Guam – Guam	S from Guam to be West of convective band (to 6°N). Low level legs (< 1000 m in BL) at Southern end. Layers of elevated $O_3$ and $NO_x$ at ~6000 m (westerly flow).
B846	18/2/14	Guam - Palau	Start in FT (~6500 m), then low nearer Palau (1500 m); head to 4°N, 137°E before heading NW; same region as GV and GH. O ₃ 30 ppb in FT, 12 ppb in BL, very stable. Easterly flow.
B847	18/2/14	Palau – Kota Kinabalu	Steady ascent toward KK. Some Asian outflow observed on initial ascent (CO ~ 140 ppb). Westerly flow.

## 614 Figure Caption List

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  tracks are coloured by altitude. The islands of Guam, Palau and Chuuk are marked. The
  background shows Jan-Feb averaged Chlorophyll-a concentrations, measured by the
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- Figure 5: Surface observations of wind, O₃, CO₂, CH₄, C₂Cl₄, CHBr₃ and CH₃I at the ARM
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655		spherical ice particle, (middle) a columnar crystal, and (right) a pristine hexagonal plate.
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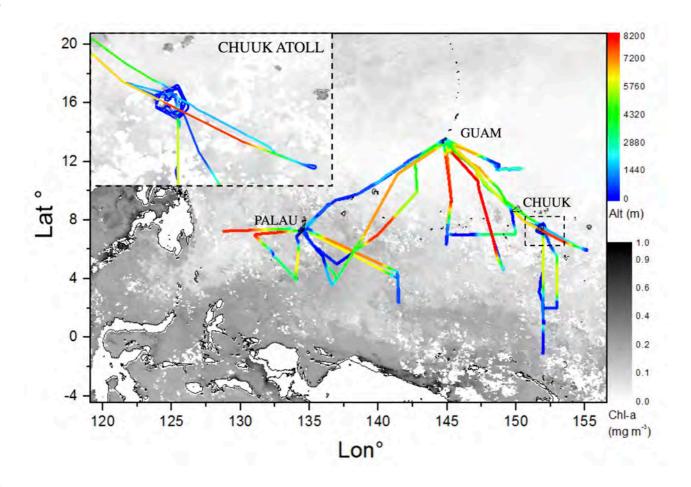
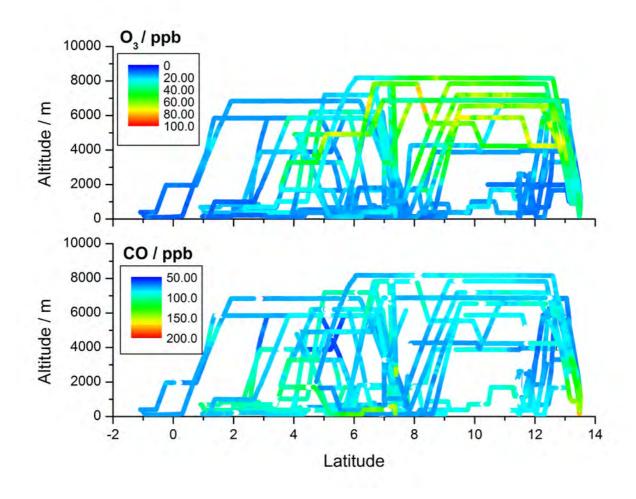


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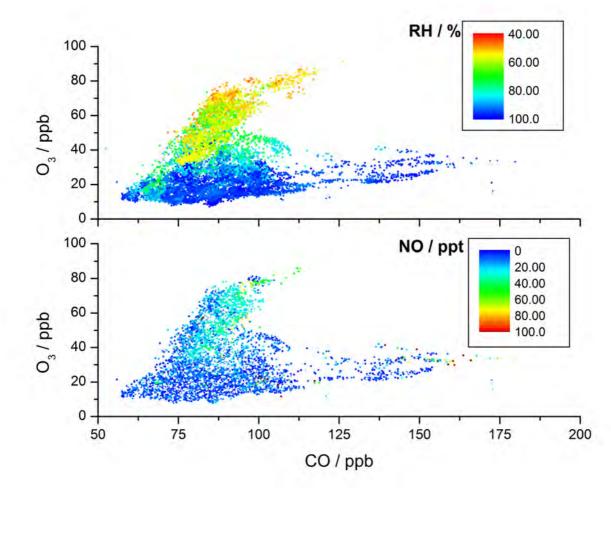


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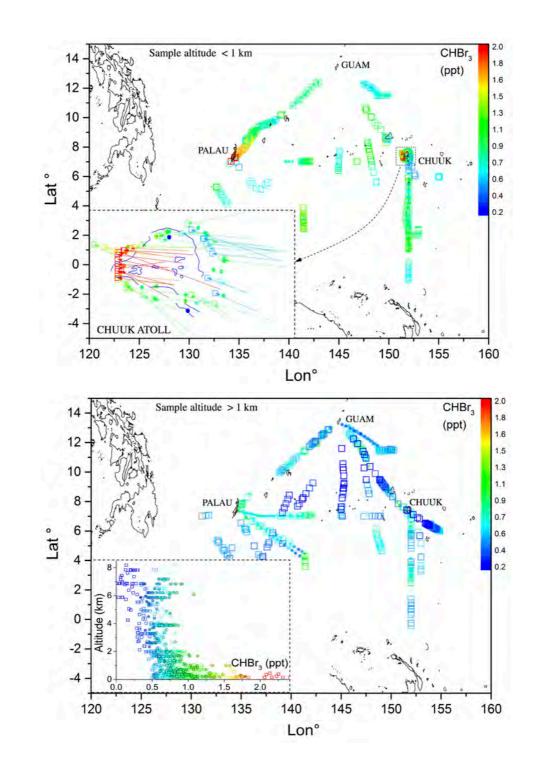
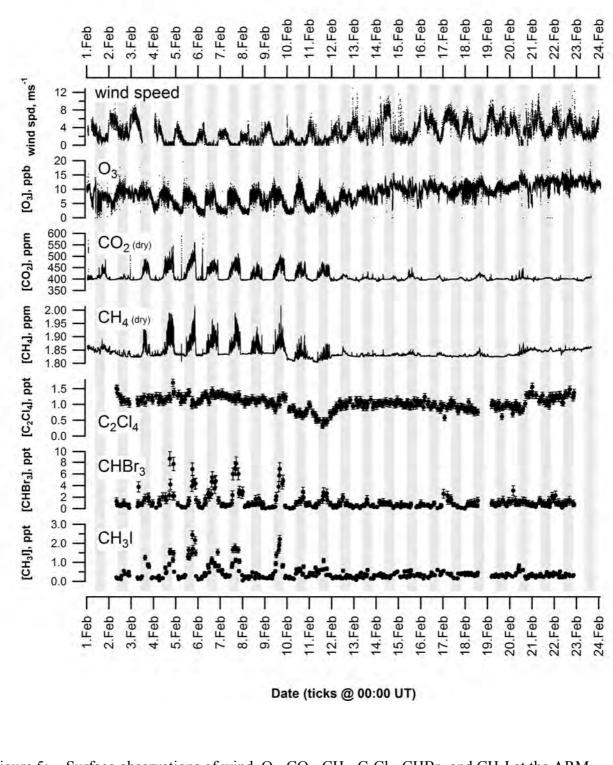
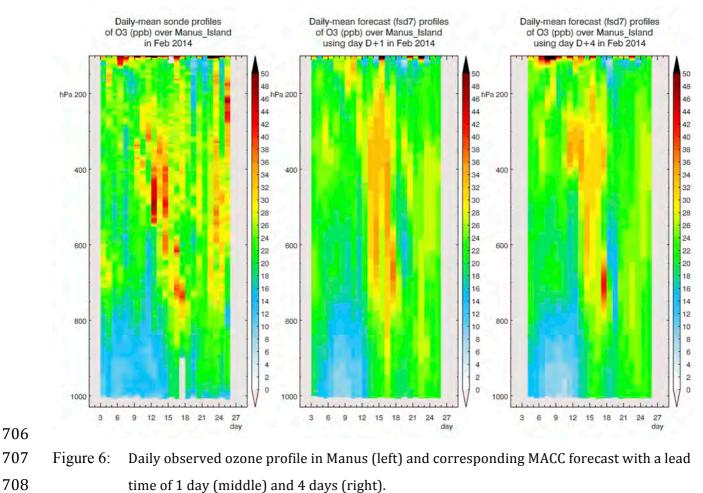


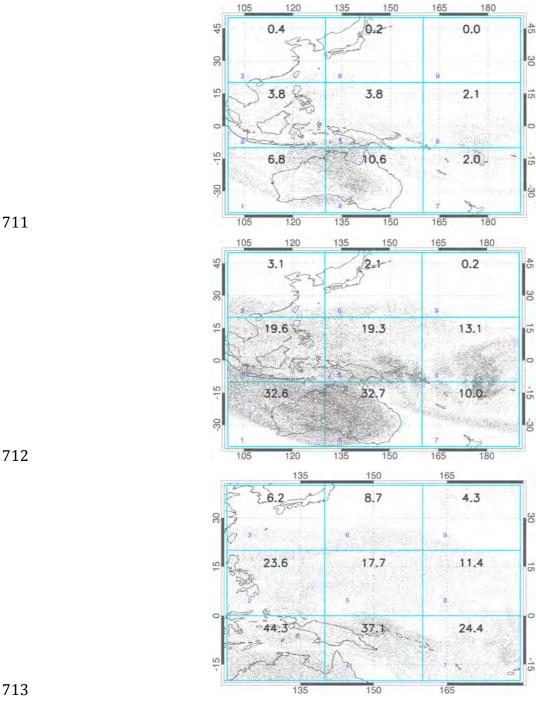


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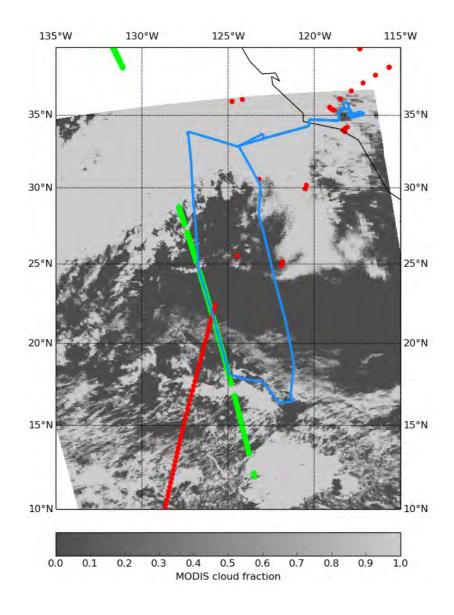


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