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## Mobile Applications for Diabetes Self-Management: Status and Potential

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## Abstract

## Background:

Advancements in smartphone technology coupled with the proliferation of data connectivity has resulted in increased interest and unprecedented growth in mobile applications for diabetes self-management. The objective of this article is to determine, in a systematic review, whether diabetes applications have been helping patients with type 1 or type 2 diabetes self-manage their condition and to identify issues necessary for large-scale adoption of such interventions.

## Methods:

The review covers commercial applications available on the Apple App Store (as a representative of commercially available applications) and articles published in relevant databases covering a period from January 1995 to August 2012. The review included all applications supporting any diabetes self-management task where the patient is the primary actor.

#### Results:

Available applications support self-management tasks such as physical exercise, insulin dosage or medication, blood glucose testing, and diet. Other support tasks considered include decision support, notification/alert, tagging of input data, and integration with social media. The review points to the potential for mobile applications to have a positive impact on diabetes self-management. Analysis indicates that application usage is associated with improved attitudes favorable to diabetes self-management. Limitations of the applications include lack of personalized feedback; usability issues, particularly the ease of data entry; and integration with patients and electronic health records.

## Conclusions:

Research into the adoption and use of user-centered and sociotechnical design principles is needed to improve usability, perceived usefulness, and, ultimately, adoption of the technology. Proliferation and efficacy of interventions involving mobile applications will benefit from a holistic approach that takes into account patients' expectations and providers' needs.

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Abbreviations: (AAS) Apple App Store, (EMR) electronic medical record, (FDA) Food and Drug Administration, (HbA1c) hemoglobin A1c, (HIPAA) Health Insurance Portability and Accountability Act, (PHR) Patient Health Record

Keywords: glucose monitoring, mobile applications, self-care, telemedicine

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## Introduction

Diabetes is a chronic illness that requires continuing medical care and ongoing patient self-management education and support to reduce the risk of long-term disability and prevent complications.<sup>1</sup> In this review, self-management refers to "tasks that an individual must undertake to live well with one or more chronic conditions. These tasks include gaining confidence to deal with medical management, role management, and emotional management."<sup>2</sup> Self-management processes are inherently data intensive, requiring acquisition, storage, and analysis of large amounts of data on a regular basis.

Advances in smartphone technology and wireless networks have resulted in increased adoption and enhanced capability, leading to opportunities for improved diabetes self-management. Arsand and coauthors<sup>3</sup> reviewed tools for management of diabetes as well as other chronic diseases that were reported in the literature or publicly available on the Internet. The study listed the tools but did not provide a detailed discussion of their functionality and how they compared with clinical guidelines. Chomutare and coauthors<sup>4</sup> reviewed the functionality of diabetes applications available commercially as well as reported in the literature. Whereas, Martin and coauthors<sup>5</sup> reviewed the diabetes applications available on the Apple App Store (AAS), with a particular emphasis on usability.

This article complements existing reviews by evaluating functional, nonfunctional requirements together with related issues necessary for large-scale adoption of such interventions. Specifically, we review mobile applications available commercially from the AAS (as a representative of commercially available mobile applications) and/or reported in peer-reviewed articles. The objective is to determine, in a systematic review, whether diabetes applications have been helping patients with diabetes self-manage their condition. From a practical perspective, the results of the analysis highlight key features and requirements for diabetes self-management applications. From a theoretical perspective, the results identify research gaps and help outline an agenda for research related to various factors for improving the adoption, usability, and integration of diabetes self-management applications in the patients' daily routine and in the context of the larger health care organization.

## Methods

## Data Source

Our search is based on the AAS (as a representative of commercially available applications) and journal databases. We scanned the AAS during August 2012 for a diabetes self-management application with keyword "diabetes." We identified peer-reviewed articles by searching in PubMed, Web of Science, IEEE, and ACM databases using the keywords (Mobile OR PDA OR smartphone) AND (diabetes OR insulin OR blood glucose), covering a period from January 1995 to August 2012. Next, we reviewed the title, abstract, and full text (for peer-reviewed articles) using the following criteria.

#### Inclusion and Exclusion Criteria

To be included in the review, an application had to exhibit the following characteristics:

- Support for blood glucose monitoring as a minimum requirement,
- The patient as the intended primary user of the application, and
- The application to be used as an enabler for diabetes self-management by supporting one or more of the selfmanagement tasks.

Applications excluded from the review exhibited any of the following characteristics:

• Duplicate applications,

- Applications where the sole purpose is to educate the patient about the disease,
- Applications without an English-language user interface, and
- Applications intended exclusively for health care professionals.

## **Evaluation** Approach

For the purpose of evaluation, a set of 50 applications were randomly selected and independently reviewed by the authors with a joint probability of agreement of 0.98. We resolved any conflict by discoursing about the disputed applications and by revisiting the aforementioned criteria of inclusion and exclusion. We then independently reviewed another set of 50 randomly selected applications with a joint probability of agreement of 1.0. The remaining apps were reviewed by one of the authors; however, for each application review, another author did random crosschecking and validation.

## Result

**Figure 1** outlines the article selection process and results. Overall, 71 applications and 16 articles were included in the final review. A total of 39% (27/71) of the commercially available applications supported self-management tasks such as physical exercise, timely insulin dosage or medication, frequent blood glucose testing, and suitable diet. Out of 16 articles, 38% (6/16) supported all four tasks. Additional self-management tasks reported in the literature include self-management education and personalized feedback, weight management, blood pressure monitoring, and communication and patient monitoring by the clinicians.<sup>1,4,6,7</sup> Other support tasks/features considered include decision support, notification/alert, tagging of input data, and integration with social media such as Facebook, twitter, MySpace, and Security. Secondary features and characteristics include average consumer rating (as reported on the AAS), latest version, reported research studies about the application, and cost. **Figure 2** illustrates the primary features, secondary features, and characteristics of the applications. **Table 1** reports the percentage of applications supporting each of the diabetes self-management features, while **Tables 2** and **3** list all applications and their respective functionalities and characteristics. The following subsection further describes our findings with respect to a variety of features representing patients' expectations and provider needs.

Table 1.

## Additional Support Features

#### Data Entry Automation

Data entry automation has been employed primarily for uploading blood glucose levels, blood pressure levels, and physical exercise.9,16 When comparing manual to automated data entry, automated is more often desirable and is likely to result in more data captured.<sup>24</sup> Moreover, eliminating the extra step of transferring data from the measurement device to the phone would also increase patients' satisfaction.<sup>25,26</sup> It simplifies self-management tasks for elderly or non-tech-savvy patients. A total of 4% (3/71) of commercial applications and 57% (9/16) of research articles employed some type of data entry automation. Commercial applications available on the AAS showed that data entry automation has been employed only for blood glucose level transmission.27,28 A custom-developed chipset,27,28 Bluetooth technology (wireless), and a special cable are used to connect the meter to the iPhone.

Diabetes Self-Man	agement Tasks	8
Function	AAS (%)	Literature (%)
Blood glucose	100	88
Medication	76	38
Diet	68	75
Physical exercise	41	50
Education	18	31
Weight	25	6
Blood pressure	23	16
Communication	83	69
PHR	21	63
Decision support	17	19
Data entry automation	4	57
Security	11	38
Social networking	7	Not discussed

Percentage of Applications Supporting Various

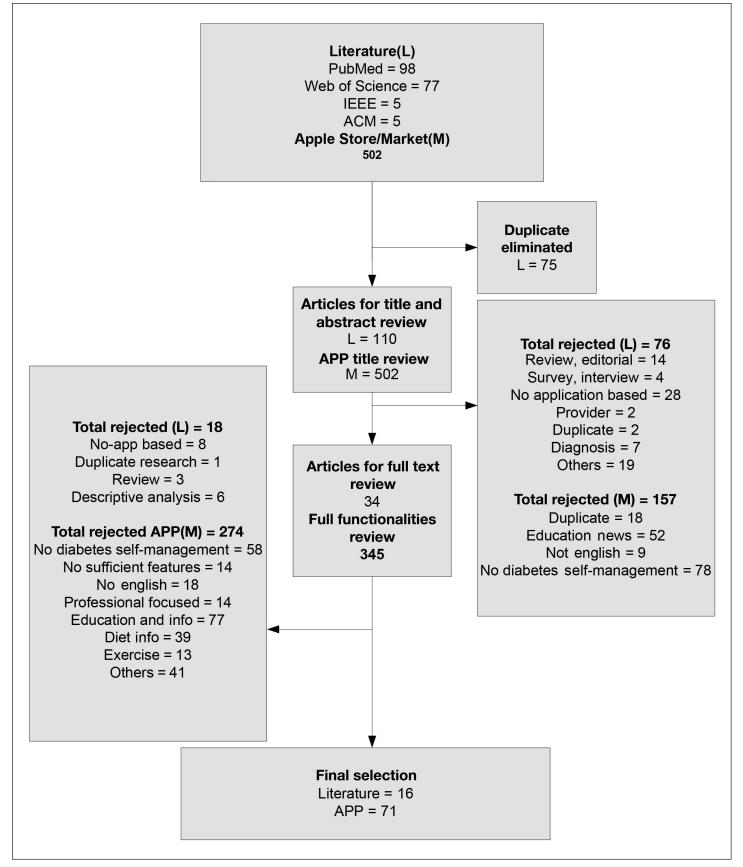


Figure 1. Applications selection process and result. APP, application.

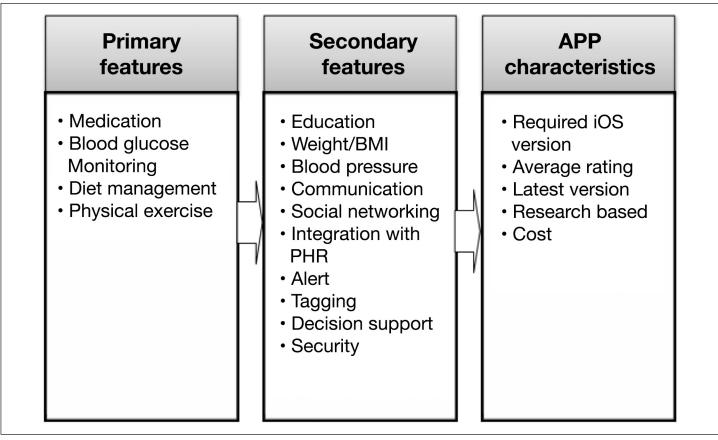


Figure 2. Application features for diabetes self-management. APP, application; BMI, body mass index; IOS, iPhone operating system.

Application	Version	Blood glucose	Exercise	Diet	Medi- cation	Blood pressure	Weight	Educa- tion	Communi- cation	Decision support	PHR	Auto- matic data entry	Cost	Average rating
bant: a diabetes applica- tion for the ePatient	1.4.3	Y	N	N	N	Ν	N	General	Email	N	Microsoft Health- Vault	Ν	Free	2+(All)
Diagnosis Manage- ment of Gestational Diabetes	1.1	Y	N	N	N	Ν	N	General	N	Calcula- tion of body mass index, calorie intake, and dos- age	Ν	Ν	Free	NA
Diabetes Life Harmonized	1	Y	Y	N	N	Y	Y	General	N	N	N	N	Free	NA
Glucose Buddy: Diabetes Helper	3.6.5	Y	Y	Y	Y	N	N	N	Print	N	glucose- buddy. com	N	Free	4+

Table 2. (	Table 2. Continued													
Application	Version	Blood glucose	Exercise	Diet	Medi- cation	Blood pressure	Weight	Educa- tion	Communi- cation	Decision support	PHR	Auto- matic data entry	Cost	Average rating
Track3: Diabetes Planner and Car- bohydrate Counter	4	Y	Y	Y	Y	N	Y	Person- alized	Email; spread- sheet	Ν	Y	N	4.9	4+
Diabetes Buddy Lite: Control Your Blood Sugar	1.4	Y	Y	Y	Y	N	Y	General	Email	Ν	Ν	N	Free	4
Wave Sense Diabetes Manager	1.3	Y	Ν	Y	Y	N	N	N	Email	N	Ν	N	Free	3+
Diabetes Companion	1.0.8	Y	Y	Y	Y	N	N	General	Email	N	N	N	Free	3+
Diabetes Pilot	5.1.1	Y	Y	Y	Y	Y	Y	N	Email; sync with PC/Mac	Estimated HbA1c	N	N	14.99	3+ ( All)
Islet- Diabetes Assistant	2.2.3	Y	Y	Y	Y	N	N	N	Email; CSV	N	N	N	0.99	3+
Blood Sugar Diabetes Control	1.2	Y	Y	Y	Y	N	N	N	Screen shoot; email	N	N	N	0.99	3
Diabetes Log	0.97.5	Y	Ν	Y	Y	N	N	N	Email; CSV	N	N	N	Free	3
Diabetes Personal Calculator	1.2	Y	Ν	Y	Y	N	N	N	Email	Insulin dose	N	N	Free	3+(All)
Diabetes Glucose Tracker Application (iDiabetes)	3.1.0	Y	Y	Y	Y	N	N	N	Email	N	N	N	1.99	2+(All)
Diabetes Pal by Telcare: Blood Glucose Manager	1.1.4	Y	Ν	Y	Y	N	N	N	Sync with Mac/PC	Ν	mytelcare. com	BG	Free	4.5
Vree For Diabetes	2.1.4	Y	Y	Y	Y	Y	Y	General	Email	N	N	N	Free	3(All)
Diabetes Diary	1.8.2	Y	Ν	Y	Y	N	N	N	Email; CSV	N	N	N	2.99	3.5
GlucoMo	1.0.4	Y	Y	Y	Y	Y	Y	N	Forum	N	N	N	0.99	3
LogFrog DB-A Leap in Diabetes	1.7	Y	Y	Y	Y	Y	Y	N	Export spread- sheet	N	N	N	2.99	4+(all)
Diabetes in Pregnancy	1	Y	Ν	Y	Y	Y	N	N	Email	N	N	N	5.99	NA
													Co	ntinued→

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Table 2.	Continu	ed												
Application	Version	Blood glucose	Exercise	Diet	Medi- cation	Blood pressure	Weight	Educa- tion	Communi- cation	Decision support	PHR	Auto- matic data entry	Cost	Average rating
Easy Diabetes	1.0.2	Y	Ν	Y	Y	Ν	N	N	Export CSV	N	N	N	Free	4
Diabetes Manager	1.3	Y	N	Y	Y	N	N	N	Export CSV	Insulin dose	N	N	4.99	3(All)
dbees.com: diabetes under	0.9.5	Y	Y	Y	Y	Y	N	N	Online	N	Sync online	N	Free	5(All)
Diamedic	3.1.1	Y	Y	Y	Y	Y	Y	N	Email	N	N	N	5.99	3+(All)
Diabetes UK Tracker	1.4	Y	Ν	Y	Y	Y	Y	N	Email	N	N	N	Free	ND
Glooko Logbook	1.4.4	Y	Y	Y	Y	N	N	General	Email	N	N	Blood glucose	Free	4
Onsync Diabetes Manager	1	Y	Ν	Y	Y	Ν	N	N	Email	N	N	N	Free	ND
Sugars: A Diabetes Blood Glucose	2.1	Y	Ν	Y	Y	Ν	N	N	Email; short message service	N	N	N	3.99	ND
Gestational Diabetes Manage- ment	1	Y	Y	Y	Y	Ν	Y	Y	Email	N	N	N	2.99	NA
myDiabetes	1.0.2	Y	Y	Y	Y	Y	N	N	Email	N	N	N	Free	NA
RapidCalc Insulin Dose	1.4.1	Y	Y	Y	Y	N	N	N	Email	Insulin dose	N	N	7.99	ND
Glucose Tracker: Simple and Complete Application to track Diabetes Mellitus	1	Y	Ν	Ν	Ν	Ν	N	Y	Email	Ν	N	N	Free	NA
Blood Sugar Monitor	1	Y	Ν	N	Ν	N	N	N	Email	N	N	N	5.99	NA
Simple Diabetes	1	Y	N	N	Y	N	N	N	CSV	N	N	N	Free	NA
IBGSTAR Diabetes Manager	2.1	Y	Ν	Y	Y	Ν	N	N	Email; CSV	N	N	BG	Free	4
Diabe	1	Y	N	N	N	Y	Y	N	Sync online	N	Y	N	Free	NA
DF Diary	2.4.1	Y	N	Y	Y	N	N	N	Email	Insulin dose	diabet- icfriend. co.uk	N	1.99	NA
Diabetes- Plus	2.3	Y	Y	Y	Y	Y	Y	N	Email; CSV	N		N	4.99	4(All)

Table 2. (	Continu	ied												
Application	Version	Blood glucose	Exercise	Diet	Medi- cation	Blood pressure	Weight	Educa- tion	Communi- cation	Decision support	PHR	Auto- matic data entry	Cost	Average rating
SiDiary	1.0.9	Y	N	Y	Y	Y	Y	N	Sync SiDiary online	N		N	5.99	NA
Gluco- Record	1.1	Y	Y	Y	Y	N	N	N	Email; CSV N			N	2.99	2
BlueLoop	1.1	Y	N	Y	Y	N	N	N	Sync N Online N			N	Free	NA
Diabetes- Diary	1.8.2	Y	N	Y	Y	N	N	N	Email	N		N	2.99	3+(all)
Diabetes Teams Lite	1	Y	Y	Y	Y	N	N	N	Email	N		N	Free	NA
Your Diabetes Guardian	1	Y	Y	Y	Y	N	N	N	Parent's phone	N		N	4.99	NA
Insulin Dose Advi- sor	2.61	Y	Y	Y	Y	N	N	N	Sync online	Insulin dose		N	2.99	NA
Glucose Pro	1.0.4	Y	Y	Y	Y	N	Y	N	Sync Mac/PC; CSV	N		N	€2.99	NA
Blood Glucose (Sugar) Tracker	3.1	Y	Y	Y	Y	N	N	N	Email	N		N	Free	NA
DAFNE Online	1.6.2	Y	Ν	Y	Y	N	N	N	Sync online	Insulin dose sugges- tion		N	Free	4+(All)
GlucoPluse	1	Y	N	Y	Y	N	N	General	Google Health	N		N	3.99	NA
Glucose Tracker: Log and Moni	1.1	Y	N	N	Y	N	N	General	N	Ν		N	0.99	3
Diabetes Office Visit	1.5	Y	N	N	N	N	N	General	N	N		N	3.99	3(All)
Blood Sugar Tracker by healthy- clouding	1.3	Y	Ν	N	N	Ν	N	N	CSV	N		N	Free	4+
Diabetic Tracker Unlimited: Track	5	Y	N	N	N	N	N	N	Email	N		N	1.99	2(All)
Glucose	0.7.3	Y	N	N	Y	N	N	N	Spread- sheet	N		N	0.99	2+(All)
Glucose Companion Free	1.6	Y	N	N	N	N	Y	N	Email; CSV; PDF	N		N	Free	4+(All)
Diabetes Tracker	1	Y	N	N	N	N	N	N	N	N		N	1.99	NA
													Co	ntinued→

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Table 2. Continued														
Application	Version	Blood glucose	Exercise	Diet	Medi- cation	Blood pressure	Weight	Educa- tion	Communi- cation	Decision support	PHR	Auto- matic data entry	Cost	Average rating
Diabetes 360	1.5.1	Y	Y	Y	Y	Ν	Ν	Ν	Email; CSV	Insulin calculator		N	1.99	4+(All)
Diabetes Grapher Free	1.1	Y	N	N	Y	Ν	Ν	Ν	Graph	Ν		N	Free	2
Blood Pal Free: Glucose Tracker	1.2	Y	N	N	Y	Ν	Ν	Ν	Email	Ν		N	Free	3+
Glucose Monsters	1.0.1	Y	N	N	N	Y	Y	Ν	Ν	Ν		N	Free	2
AT&T mHealth Solutions Presents Diabetes- Manager	1.3.1	Y	Y	Y	Y	N	Ν	Ν	Y	Y		N	Free	NA
Diabetes Manage- ment	1	Y	N	Y	N	Ν	N	Ν	Ν	Ν		N	4.99	NA
MyBlood- Works	1.3	Y	N	N	Y	Y	Y	Ν	N	N		N	3.99	5(All)
iTeam- Agenda Diabetes	1.0.1	Υ	N	N	Ν	Ν	N	Ν	Ν	Ν		N	0.99	NA
Glucose Manager Patients	1	Y	N	Y	N	Ν	N	Ν	Email	Ν		N	3.99	NA
Dia-Log	1.4	Y	Y	Y	Y	N	N	Ν	Email; CSV	N		N	Free	NA
GliControl	3.2	Y	N	N	Y	N	N	Ν	Email; CSV	Insulin calculator		N	Free	NA
Diabeto- phone	1.1	Y	N	N	Y	N	N	Ν	N	N		N	2.99	NA
myBolus	2	Y	N	Y	Y	N	N	Ν	Sync iCloud	Insulin calculator		N	1.99	NA
DM graph	1	Y	N	Ν	N	N	N	Ν	N	N		N	0.99	NA
Health Garden: Diabetic Edition	1	Y	Y	N	N	Y	Y	Ν	Email	Ν		N	0.99	NA

Table 3 Data A	3. Abstraction f	or Literatu	re <sup>a</sup>										
Author	Application	Medication	Exercise	Blood glucose	Diet	Blood pressure	Weight	PHR	Education	Auto- matic data entry	Decision support	Metrics	Outcome
Preuve- neers <sup>8</sup>	ND	Y	Y	Y	Y	Ν	Ν	N	N	N	Drug dose	Satis- faction; usability	Improvement
Arsand <sup>9</sup>	Few Touch	N	Y	Y	Y	N	N	N	N	BG; exer- cise	N	Usability; healthy behavior	Usability, 84%
For- juoh <sup>10</sup>	Diabetes Pilot	Y	Y	Y	Y	N	N	N	Food informa- tion	N	N	HbA1c	-1.7
Farmer <sup>11</sup>	ND	Y	Y	Y	Y	N	Ν	Y	N	BG	N	HbA1c	-0.7
Harris <sup>12</sup>	HealthReach- Mobile	N	Ν	Y	N	Ν	N	Y	N	BG	N	App function- alities	Automated upload, good; graphi- cal feed- back, good; automated feed-back, not useful
Istepan- ian <sup>13</sup>	ND	N	Ν	Y	N	Y	Ν	Y	N	BG; BP	Ν	Blood pressure; glucose level	Decrease 7.76% in inter- vention versus 8.4% in con- trol group
Lyles <sup>14</sup>		N	N	Y	N	N	N	Y	N	G	N	Satisfac- tion	Smartphone is frustrating
Ma <sup>15</sup>	Glycemic index meal planner	Ν	N	N	Y	N	Ν	Y	Food informa- tion	N	N	HbA1c; satisfac- tion; carbo- hydrate intake	HbA1c, -0.5%; easy, 95%; useful, 63%; carbohydrate intake, -40 g/day
Mohan <sup>16</sup>	Window Mobile 6	N	Ν	Y	N	Y	N	Y	N	BG; BP	N	Planned for future testing	
Quinn <sup>17</sup>	WellDoc Diabetes- Manager	N	N	Y	Y	N	N	Y	Specific	BG	Y	HbA1c	(-)2.03% compared with (-)0.68 in control group
Quinn <sup>18</sup>	ND	N	Ν	Y	Y	N	N	Y	Specific	BG	Y	HbA1c	(-)1.9% com- pared with (-)0.7% in control group
Koll- mann <sup>19</sup>	Diab-Mw- mory	Y	Y	Y	Y	N	N	Y	N	N	N	HbA1c	(-)0.6%
Sultan <sup>20</sup>	ND	N	Y	Y	Y	Y	Y	N	N	N	Ν	Satisfac- tion	95.2% of patients explained they would use such system
Nes <sup>21</sup>	ND	Y	Y	Y	Y	N	Ν	Ν	N	Ν	N	Lifestyle	Improvement
													Continued→

Table 3	3. Continued	[											
Author	Application	Medication	Exercise	Blood glucose	Diet	Blood pressure	Weight	PHR	Education	Auto- matic data entry	Decision support	Metrics	Outcome
Frøis- land <sup>22</sup>	Diamob	Y	Y	Y	Y	Ν	Ν	N	Y	BG	Ν	HbA1c; satisfac- tion	HbA1c no improvement; knowledge of patient increases; patient favor application over short message service
Rollo <sup>23</sup>	Nutricam	N	Ν	N	Y	Ν	Ν	Y	Ν	N	Ν	Number of food record	Energy intake was under- recorded by 649 kJ (stan- dard deviation 810) using the mobile phone method
<sup>a</sup> ND, not	discussed; Y, y	yes; N, no; B	G, blood g	lucose; Bl	P, bloo	d pressure							

Automated entry of physical activity was found only in a study by Arsand and coauthors.<sup>9</sup> This was accomplished by using a physical activity sensor (step counter) that communicates wirelessly with the mobile phone using Bluetooth technology. Although patients were fairly satisfied with the system, they complained about the large size of the sensor and the fact that the sensor could not record activities such as skiing, cycling, and swimming. The use of the step counter resulted in a 20% increase in the number of steps from the first week to the last week of use.<sup>9</sup>

#### **Decision Support**

In addition to serving as an electronic logbook, automated analysis and rule-based interpretation of data on an individual basis would be helpful.<sup>19</sup> Primarily, these tools are used to process large and complex data and provide feedback relating to the insulin dosage, carbohydrate intake, and healthy behavior. In all, 19% (3/16) of research articles employed some form of decision support.<sup>8,17,18</sup> The decision support tool may be located in the patient's mobile device or in the provider's electronic medical record (EMR) to process data and provide feedback to the patient. For example, Preuveneers<sup>8</sup> reports a study where a patient entered data relating to carbohydrate intake, physical activities, and blood glucose level. An algorithm analyzed the data and provided insulin dosage suggestions. With respect to commercial applications, 17% (12/71) have decision support capabilities. All decision support functionality relate only to insulin dosage suggestion (as opposed to lifestyle change, exercise, and diet). For example, RapidCalc Diabetes Manager<sup>29</sup> provides insulin dosage suggestion based on blood glucose reading, carbohydrate intake, and insulin on board. The application can also adjust the insulin dosage based on the planned physical exercise on that day. Another application suggests insulin dose based on the glucose level, activities, carbohydrate intake, and carbohydrate/ insulin ratio.<sup>30</sup> Unfortunately, customer reviews seem to indicate problems with the application (bugs), a serious issue considering the potential ramifications of faulty or inaccurate decision support recommendations. Indeed, it is an issue that is prompting government guidelines and/or regulations. For example, in order to provide safety and assurance, the United States Food and Drug Administration (FDA) plans to provide regulation for standalone software, including applications for remote use of medical devices, software that uses mobile platforms as medical devices, and applications that process patient information and generate patient recommendations.<sup>31</sup> Of the reviewed applications, only one app had FDA clearance, AT&T mHealth Solutions Presents DiabetesManager.<sup>32</sup>

#### **Communication**

Mobile applications may offer some advantages to patients upgrading from a paper logbook to an electronic system. Data stored in mobile devices can be emailed to clinicians or certified diabetes educators for review. Providers should

be able to respond to patients easily, manually, and through automated alerts to assist patients in managing their condition.<sup>33</sup> Communication functionalities primarily allow patients to share their data with clinicians, peers, and families.

The authors classified any application as supporting patient–provider communication if the application consists of one of the following features: data can be emailed, data can be synchronized with patient's other devices such as a laptop or computer, data can be exported to portable document format, comma-separated values, or some other standard format that can then be transferred to other devices, and/or data can be transferred and integrated with a personal health record. For commercial applications, 83% (59/71) included at least one of the aforementioned communication functions. For example, Your Diabetes Guardian application allows a child to use his/her phone to record daily measurements as instructed on the schedule. The information is communicated in real time to the parent's phone. Failure by the child to execute a scheduled event will trigger an audible or visual alert to the parent's phone.<sup>34</sup>

## Integration with Patient Health Record/Web

A Patient Health Record system (PHR) is an electronic application that enables patients to aggregate, integrate, and share data across multiple health providers and self-manage their health better.<sup>35</sup>

Overall, 21% (15/71) of commercial applications support synchronization of data with PHRs. Generally, PHRs included in an application are online accounts that are specific to the application.<sup>36</sup> However, commonly available personal health records such as Microsoft Health Vault were also reported.<sup>27</sup> With respect to research articles, 63% (10/16) of included studies have data synchronization with an online system. Five studies have integrated PHR with EMR and provide both patient and physician Web portal,<sup>8,11,12,14,19</sup> whereas the remaining studies include either a patient view or a clinician view of the EMR.

### <u>Education</u>

While decision supports aims to provide explicit support for a particular diabetes self-management task, e.g., insulin dosage, diabetes education is the ongoing procedure of supporting patients by enhancing their knowledge, skill, and ability necessary to self-manage diabetes.<sup>37</sup> Insofar, research has provided adequate proof that self-management education has a positive impact on clinical outcome.<sup>38</sup> Of commercial applications, 18% (13/71) have some component of self-management education (in addition to supporting self-management tasks). The majority of applications provide general diabetes education, e.g., guideline for diabetes care, food information, videos about diabetes, and expert questions and answers.<sup>39–41</sup> However, only one application provides personalized education.<sup>42</sup> Personalization is defined as modification of the nature of the activity that a user is involved in or desires to be involved in, the unique characteristics of the user, and the circumstance within which the user currently resides.<sup>43</sup> Five (31%) research articles included an education component, of which three have provided personalized education.<sup>16–18</sup> We can personalize the education based on the patient's profile, the patient context and education, and the goals of the medical treatment process.<sup>16</sup> The mobile software of Quinn and coauthors<sup>18</sup> allows patients to enter diabetes self-care data on a mobile phone and receive automated, real-time educational, behavioral, and motivational messaging particular to the patient's condition.

## Security and Privacy

The FDA projects that, by 2015, 500 million people will use mobile health applications.<sup>44</sup> However, mobile devices used to access health records raised several security- and privacy-related issues, such as vulnerability to loss due to small size, authentication, encryption of data, and use of unsecure public Wi-Fi. The Health Insurance Portability and Accountability Act (HIPAA) includes a Privacy Rule protecting the privacy of individually identifiable health information. The act also includes a security rule, setting national standards for the security of electronic protected health information.<sup>45</sup> Most commercial applications do not explain their security features. Out of 71 applications, only 1 application (MyCareConnect LLC) has indicated HIPAA compliance.<sup>46</sup> Five applications are passcode protected,<sup>47–51</sup> and 2 applications have secure data transmission.<sup>52,53</sup> On the other hand, out of 16 applications reported in the literature, 5 indicate HIPAA compliance. Overall, our analysis indicates that further attention is warranted regarding securing and protecting patient information. Applications need to be explicit regarding the extent of HIPAA compliance.

## Evaluation

Fifteen out of 16 articles experimentally tested their application. Two studies found no added benefit provided by the use of the application,<sup>14,23</sup> whereas the remaining articles reported some type of added benefit. Quinn and coauthors<sup>17</sup> showed the highest improvement in hemoglobin A1c (HbA1c; -2.03%). They recruited 30 patients with type 2 diabetes for 3 months and evenly randomized the set. In their study, patient-entered data was sent to the provider where it was analyzed by computational algorithms as well as by a health care team. Feedback was transmitted back to the patient's mobile application.<sup>17</sup> The application is now available commercially in iTunes as AT&T mHealth Solutions Presents Diabetes Manager.<sup>32</sup> In another study, where the application was not integrated with the provider, Forjuoh and coauthors<sup>10</sup> reported a 1.7% improvement in HbA1c. In this study, the application had the capability to record medication, blood glucose measurement, meals, exercise, test results, and other notes.<sup>10</sup> Research studies have shown that use of mobile applications for self-management has positive impacts on developing health behavior through active monitoring of diet intake over time.<sup>9,15</sup> However, not all studies report positive outcomes. Lyles and coauthors<sup>14</sup> reported that some study participants found smartphones frustrating to use. Two out of eight participants mentioned that they spent hours learning the basic features of the phone, and they continued to launch unwanted programs and end calls accidentally.<sup>14</sup> Despite receiving a smartphone and a data plan for free as an incentive, two participants returned the smartphone and signed off from the program.<sup>14</sup> This is a concern for large-scale adoption, particularly where such incentives may not even be possible.

## Discussion

Overall, the review indicates that mobile applications can be viable tools for diabetes self-management. Mobile applications are generally preferred to Web- or computer-based systems when it comes to usability.<sup>18,19</sup> Regimen adherence can be managed through the use of mobile applications, which affects self-efficacy and other enabling factors. The review also seems to point out that diabetes self-management applications are useful to patients as well as providers. Application usage was reported to result in improved health habits such as following a healthy diet, increased physical activities, and increased blood glucose testing.<sup>9</sup> Applications proved particularly useful for patients with very high blood sugar or for those having difficulty controlling it. Interestingly, some applications reported use by patients with prediabetes (blood glucose levels that are higher than normal but not yet high enough to be diagnosed as diabetes, i.e., HbA1c 5.7–6.4%<sup>1</sup>).

Nevertheless, the review also identified a number of issues and limitations with the current state of the art. For example, while clinical guidelines emphasize the role of self-management education as an integral part of diabetes care,<sup>1</sup> most diabetes self-management applications that aim to support self-management tasks do not integrate educational information. When available, such information is often generic and is not personalized to the individual patient. This is more prominent in commercial applications. Furthermore, patients tend to perceive data entry automation as an important driver for ease of use and, ultimately, for satisfaction with the technology. Yet among 71 included applications from the AAS, only 3 applications were featured with automatic data upload. Another important missing element in many applications was integration with the personal health record. This may be due to the fact that patients are resistant to pay for a PHR or possibly due to the lack of integration with the EMRs of patients (creating a disincentive to patients and providers alike). Further work is needed to integrate the PHR with EMRs.<sup>54</sup> Applications, in many cases, appeared as an electronic version of paper-based logbook systems, reducing the perceived usefulness to patients (particularly less tech-savvy ones). There is a distinct need to include value-added features such as decision support capabilities. Current capabilities are limited to insulin dosage suggestions. The rapid growth in smartphone computing capabilities coupled with cloud computing provide unprecedented opportunities to expand decision support and analytics capabilities into the hands of the end user.<sup>55</sup> Such capabilities can be employed to provide personalized education, intelligent feedback based on the input data, medication suggestions based on the multiparameter analysis, and motivational tips based on the patient's condition. It was not clear whether the applications were designed based on the behavioral science theory; no applications mentioned any specific detail of behavioral theory model in their design. While there is no sufficient evidence on recommended theory or intervention models, the transtheoretical model,<sup>56</sup> the social cognitive theory,<sup>57</sup> self-efficacy,<sup>58</sup> and the health belief model<sup>59</sup> were all found to deliver positive outcome.

There are also nonfunctional requirements to consider. One of the major issues in diabetes applications is security. We found only one application that is HIPAA compliant.<sup>46</sup> Usability is another consideration, e.g., limited data entry options.<sup>60</sup> This is consistent with the findings of Martin and coauthors,<sup>5</sup> emphasizing not just data entry, but also usability issues with adjusting personal settings along with learnability, aesthetics, and error management. There is a distinct need to employ a user-centered design that will take into account the needs and characteristics of the individual patient. The usability of mobile applications can be further enhanced as implantable sensors become common, accurate, and safe to use.<sup>3</sup>

## Conclusion

In conclusion, the review attests to the value and potential for such applications to improve diabetes self-management. However, it is important to note that mobile applications-based self-management is not a "silver bullet," and it is critical to understand that its effect is based on strong behavioral change theory. Such interventions may not be suitable for all patients with diabetes.<sup>61</sup> Some patients abandon use due to technical problems,<sup>14</sup> and others cannot afford the cost (phone and associated data plans). Work by Torsi and coauthors<sup>62</sup> further highlights the need for a user-centered<sup>63,64</sup> design approach. Along these lines, the application of sociotechnical design principles<sup>65–68</sup> can significantly improve the adoption and wide-scale use of such technology.

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