

# The effects of dosage and production process on the mechanical and physical properties of natural hydraulic lime mortars

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## ABSTRACT

Natural Hydraulic Lime (NHL) mortars are well-extended in restoration works presently. However, there is still a lack of standardization on their dosage methodology. Thus, seven types of mortar were fabricated and five factors which have an influence on their properties have been studied, in particular the water-binder ratio, the mold material, the aggregate size and type and the different curing conditions. Furthermore, an advanced mechanical characterization has been performed on these mortars, including the measurement of the fracture energy. Finally, some empirical equations for determining the relationships between these mechanical properties were proposed, which could be helpful when simulating the numerical models of historical constructions.

**KEYWORDS:** NHL mortar, Dosage, Mechanical Characterization, Fracture Energy, Empirical equations.

## 1. INTRODUCTION

Due to their good compatibility with the original material of ancient constructions and to their durability, lime-based mortars are used extensively in restoration works [1-4]. Despite their long track record, there is still a lack of standardization insofar as their dosage methodology and production process. Traditionally, the expression “1:3” has been used to define the dosage of a lime mortar. This expression is related with the binder-aggregate ratio by apparent volume and is also mentioned in the old treatises of Vitruvio, Alberti, Palladio and Benito Bails [5, 6]. This dosage method is the one traditionally used in construction due to its facility for measuring in blocks, buckets, or any other measuring instruments. It was not until the 19th century, that industrialization made the relationship between volume and weight proportions possible [6].

However, the matter of dosage has not been well-defined. For example, the amount of water was never indicated, despite its strong influence on mechanical properties. The physical properties, especially the density, of the materials in use (binder and aggregate) were not explained either. This fact absolutely complicates the matter of the dosage of lime

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33 mortars. The expression “1:3” can refer to slaked lime (aerial or hydraulic), lime putty, with river or crushed aggregate,  
34 and result in different weights [6].

35       Regarding the dosage or the factors affecting the fabrication process of lime mortars, Moropoulou *et al.* [7]  
36 recommended that the appropriate binder-aggregate ratio for restoration synthesis could be 1:3 by volume. Lanas and  
37 Alvarez [1] prepared aerial lime mortars with different binder-aggregate ratios, ranging from 1:1 to 1:5 by volume and  
38 studied their influence on the mechanical properties. In order to obtain normal consistency and good workability, the  
39 corresponding water-binder ratios were ranged from 0.5 to 1.2. They observed a correlation between binder amount and  
40 mortar strength. However, in the case of high binder contents, the increase in voids led to strength reduction. They also  
41 concluded that angular limestone improved the strength of the mortar. Gameiro *et al.* [8] studied the influence of  
42 binder-aggregate ratio on the physical and chemical properties of air lime-metakaolin mortars. The water-binder ratios  
43 were also varied to get adequate workability (consistency range: 129 mm -144 mm, from dry to plastic lime mortars).  
44 They found that mortars with low binder-aggregate ratio (1:3 by volume) seemed to develop carbonation sooner and  
45 therefore reach their highest strength relatively early while mortars with higher binder-aggregate ratio (1:1) presented  
46 lower carbonation rates. The latter is not appropriate for use in conservation works due to its high shrinkage and strong  
47 mechanical properties, which is incompatible with substrate material.

48       Referring to NHL mortars, Kalagri *et al.* [9] investigated the effect of aggregate size and the binder type on  
49 microstructure and mechanical properties, the water-binder ratio by weight was between 0.49 and 0.61, the consistency  
50 was 160 mm for all the mixes. The experimental results showed that coarse aggregates enhanced the compressive and  
51 flexural strengths, increased the packing density, decreased the water demand and consequently, reduced the open  
52 porosity. They also proposed an equation in regard to the compressive strength and the median pore radius. Moreover,  
53 Lanas *et al.* [10] studied the influence of binder-aggregate ratios and aggregate attributes on the mechanical properties  
54 of NHL mortars. They prepared five different binder-aggregate ratios from 1:1 to 1:5 in terms of volume and four  
55 different types of aggregates. The consistencies were from 128 mm to 159 mm by varying the water-binder ratio. They  
56 observed that specimens with more binder content had higher compressive and flexural strengths and, additionally, the  
57 highest strengths were reached with limestone aggregates.

58       As for the influence of water content, a general tendency was observed by Papayianni and Stefanidou [11], Xu *et al.* [12]. As the water-binder ratio increases, the porosity increases and as a consequence, the mechanical properties  
59 decrease, that is to say, the mortar becomes weaker.  
60

61       Furthermore, there are other aspects, such as the material of the molds used and the different curing conditions,  
62 which also affect the fabrication process of NHL mortars. No research has been performed on the former. However, for

63 the latter, Lanas *et al.* [13] fabricated the aerial and hydraulic lime-based mortars and subjected them to different  
64 environments. They concluded that, in general, higher relative humidity (RH) increased the mechanical properties of  
65 NHL mortars. Grilo *et al.* [14] studied the mechanical and mineralogical properties of natural hydraulic-metakaolin  
66 mortars under different curing conditions. They observed that lower humid conditions favored a carbonation reaction  
67 (which governed aerial lime mortars), while high humid curing aided a hydration reaction (which partially governed  
68 NHL mortars). Thus, they concluded that humid conditions ( $95 \pm 5\%$ ) favored compound hydration and pozzolanic  
69 reactions, which were relevant for the development of mechanical properties of NHL mortars. Grilo *et al.* [15] also  
70 agreed that higher RH curing regimes benefited these processes and also contributed to void infilling.

71 However, the study of the influence of all these factors on the fracture properties of NHL mortars, like fracture  
72 energy and characteristic length, is not so well-documented. In our previous work [16], the effect of two water-binder  
73 ratios (0.8 and 1.1) on mechanical properties of NHL mortars were studied alongside with the influence of shape and  
74 size. The results show that there was an apparent size effect on the compressive strength, that is, the value measured  
75 from prism was much larger than that from cylinder, the ratio could reach 1.6. In addition, there are no empirical  
76 equations for the mechanical properties of these mortars as the ones proposed by the FIB Model Code [17] and ACI  
77 Building Code [18] for concrete. Thus, the aim of the paper is to determine the influence of different factors  
78 (water-binder ratio, type and size of aggregate, curing condition and material of mold) affecting the dosage and  
79 fabrication process of NHL mortars on the mechanical properties, including the compressive strength of prisms and  
80 cylinders, the flexural strength, the splitting tensile strength, the elastic modulus and the fracture energy. Furthermore,  
81 some empirical formulas determining a relationship between these properties and the compressive strength are proposed  
82 for the first time.

83 The rest of the paper is organized as follows. The next section describes the experimental procedure. In Section 3 a  
84 thorough analysis of the results and discussion are provided in addition to formulas which establish relationships  
85 between the mechanical properties of NHL mortars. Finally, our conclusions are presented in Section 4.

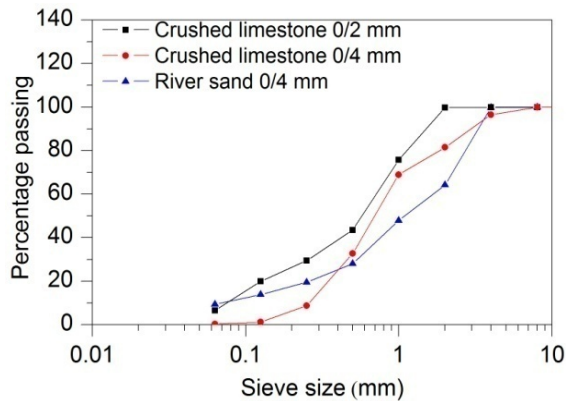
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## 87 **2. EXPERIMENTAL PROCEDURE**

### 88 **2.1. Raw materials**

89 The binder used for all seven types of NHL mortar was a commercial lime of class NHL 3.5, in accordance with  
90 EN 459-1 [19] and was supplied by “Socli, Italcementi Group” (France). It had a density of  $2580 \text{ kg/m}^3$  and an apparent  
91 density of  $850 \text{ kg/m}^3$ . Different aggregates were used as well. The common one was a commercial crushed limestone  
92 with a maximum grain size of 4 mm. In addition, crushed limestone with a maximum grain size of 2 mm and river sand

93 with a maximum grain size of 4 mm were also used in the fabrication of various mortars. The particle-size distribution  
 94 curve of aggregates, determined according to EN 1015-1 [20], is presented in Fig.1.



102 Figure 1. Aggregates grading curves.

103 The apparent particle density and the apparent density of each type of aggregate are listed in Table 1, in accordance  
 104 with the standards EN 1097-6 [21] and EN 1097-3 [22], respectively.

105 Table 1. Apparent particle density and apparent density of each type of aggregate.

	Apparent particle density (kg/m <sup>3</sup> )	Apparent density (kg/m <sup>3</sup> )
Standards	EN 1097-6 [21]	EN 1097-3 [22]
Crushed limestone 0/4 mm	2680	1820
Crushed limestone 0/2 mm	2740	1810
River sand 0/4 mm	2590	1460

106

## 107 2.2. NHL mortar preparation

108 In total, seven types of NHL mortar were prepared and tested (see Table 2). First, as a reference material, a NHL  
 109 mortar with a binder-aggregate ratio of 1:3 by volume was fabricated according to the traditional treatises and the  
 110 recommendations of the references mentioned in Section 1 [5, 7, 11, 14]. A water-binder ratio of 0.9 by volume for the  
 111 mortar was selected to obtain a plastic consistency from 140 mm to 200 mm, determined by the flow table test, in  
 112 accordance with the standards EN 1015-3 [23] and EN 1015-6 [24]. It should be noted that the volume proportions of  
 113 the compounds were converted to weight in order to obtain a convenient measurement for the mixing process. In  
 114 addition, a crushed limestone aggregate with a maximum grain size of 4 mm and a metallic mold were used. For  
 115 purpose of simplification, this benchmark NHL mortar was labeled NHL09C04M. The other mortar compositions were  
 116 obtained by modifying an aspect of this material. For instance, NHL08C04M and NHL11C04M were achieved by  
 117 changing the water-binder ratio of the benchmark to 0.8 and 1.1, respectively. Thus, dry, plastic and fluid mortars were  
 118 obtained.

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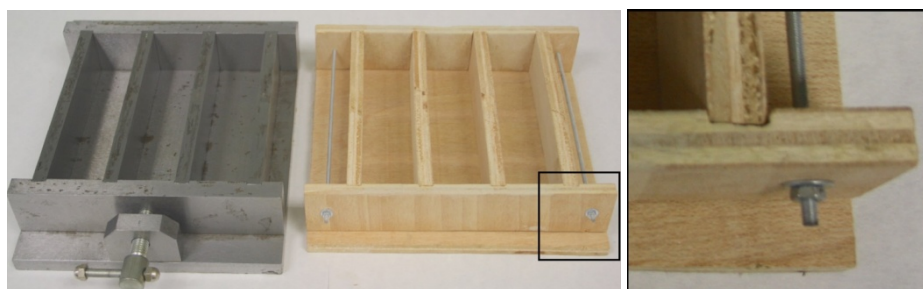
121 In order to disclose the influence of the type and size of sand, materials of mold and curing conditions on the  
 122 mechanical properties of mortars, the water-binder ratio was kept constant as 0.9 to isolate and quantify the function of  
 123 each factor. It is worth noting that we did not follow the conventional ideas for studying the effect of the type and size  
 124 of sand, that is, maintaining the consistencies of mortars approximately constant by varying the water-binder ratios [1,  
 125 8-10, 13]. NHL09C04W was fabricated in wooden (plywood) molds (see Fig. 2) instead of the metallic one required by  
 126 the standard EN 1015-11 [25]. NHL09C02M had the same type of crushed limestone aggregate, but with a maximum  
 127 grain size of 2 mm. NHL09R04M was prepared with river sand. NHL09C04MA had the same composition as  
 128 NHL09C04M, but was cured under the ambient laboratory conditions (RH of 50% ± 10% and 23°C ± 3°C) until the day  
 129 of testing, after an initial seven days curing period in a climatic chamber at RH of 97% ± 0.5% and 20°C ± 0.5°C in  
 130 accordance with the standard EN 1015-11 [25]. The remainder were cured in the climatic chamber until the day of  
 131 testing.

132 The mixing process was performed according to the standard EN 1015-2 [26]. For each NHL mortar, 18 prisms  
 133 (40 mm × 40 mm × 160 mm) and 6 cylinders (75 mm in diameter and 150 mm in height) were fabricated, followed by  
 134 126 prismatic specimens and 42 cylinders in total. All the molds were previously lubricated with mineral oil to prevent  
 135 the mortar from adhering to the mold walls. The mortar was poured in two layers when using the prismatic molds and in  
 136 three layers instead when using the cylindrical ones and each was compacted with 25 strokes of the tamper. All the  
 137 specimens were removed from the molds in two days after the fabrication following the standard EN 1015-11 [25].

138 Table 2. Characteristics of the seven mortar compositions.

Mortar composition	Water-binder ratio (by volume)	Type of aggregate	Maximum grain size (mm)	Material of the mold	Curing conditions
NHL09C04M	0.9	Crushed limestone	4	Metallic	Climatic chamber
NHL08C04M	0.8	Crushed limestone	4	Metallic	Climatic chamber
NHL11C04M	1.1	Crushed limestone	4	Metallic	Climatic chamber
NHL09C04W	0.9	Crushed limestone	4	Wooden	Climatic chamber
NHL09C02M	0.9	Crushed limestone	2	Metallic	Climatic chamber
NHL09R04M	0.9	River sand	4	Metallic	Climatic chamber
NHL09C04MA	0.9	Crushed limestone	4	Metallic	Ambient laboratory conditions

139 Note: Climatic chamber (RH: 97% ± 0.5%, 20°C ± 0.5°C), Ambient laboratory conditions (RH: 50% ± 10%, 23°C ± 3°C)



145 (a) Metallic mold

146 (b) Wooden mold

147 Figure 2. Different types of molds for the fabrication of mortar.

148

149 **2.3. Test of the NHL mortar in a fresh state**

150 In a fresh state, the apparent density was measured following the standard EN 1015-6 [24]. The water-retention  
151 capacity was obtained according to the standard EN 459-2 [27], which was expressed as the percentage of water that  
152 remained in the mortar after a short suction time on a filter paper. In addition, the consistency was measured using the  
153 method mentioned in Section 2.2.

154

155 **2.4. Mechanical tests on the NHL mortars**

156 All the specimens were weighed and measured prior to testing. The flexural, compressive and splitting tensile  
157 strengths, the elastic modulus and fracture energy were obtained through various types of tests as shown in Fig. 3, at an  
158 age of 56 days.

159

160 **2.4.1 Flexural and compressive strengths**

161 The flexural and compressive strengths were determined according to the standard EN 1015-11 [25] by using an  
162 Instron 1011 testing machine. The flexural strength was measured by a three point-bending test on three beams (40 mm  
163 × 40 mm × 160 mm) at a loading rate of 10 N/s and a span of 100 mm, see Fig. 3(a). It is worth noting that the beam  
164 rests on two rigid-steel cylinders placed on two supports which permit rotation out of the plane of the beam and rolling  
165 along the longitudinal axis of the beam with negligible friction. That is, the anti-torsion supports were used for the test,  
166 which is specially important for quasi-brittle materials, like NHL mortars.

167 The compressive tests were conducted on the six half-prisms remaining from the bending tests at a loading rate of  
168 50 N/s, as shown in Fig. 3(b). The load was centered in the middle of the longest side by using a steel plate (40 mm ×  
169 40 mm × 10 mm). Moreover, an individualized ball-and-socket joint over the steel plate was used to reduce the  
170 eccentricity during the loading process.

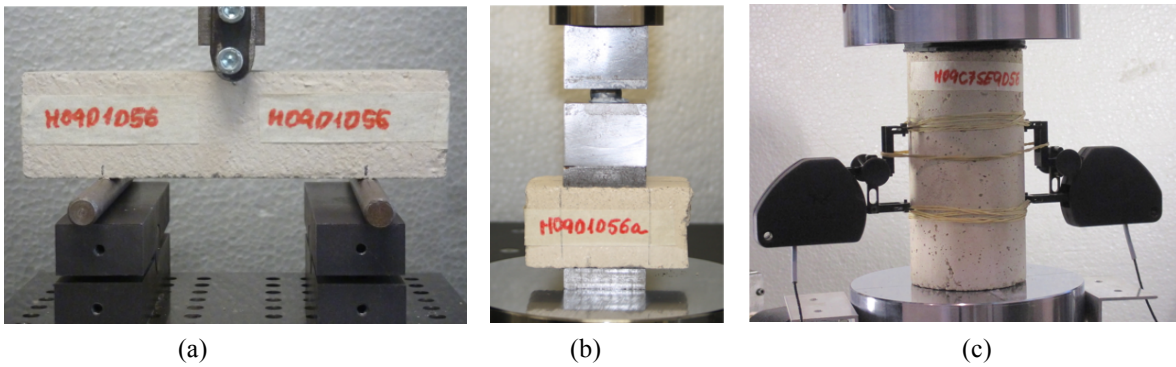
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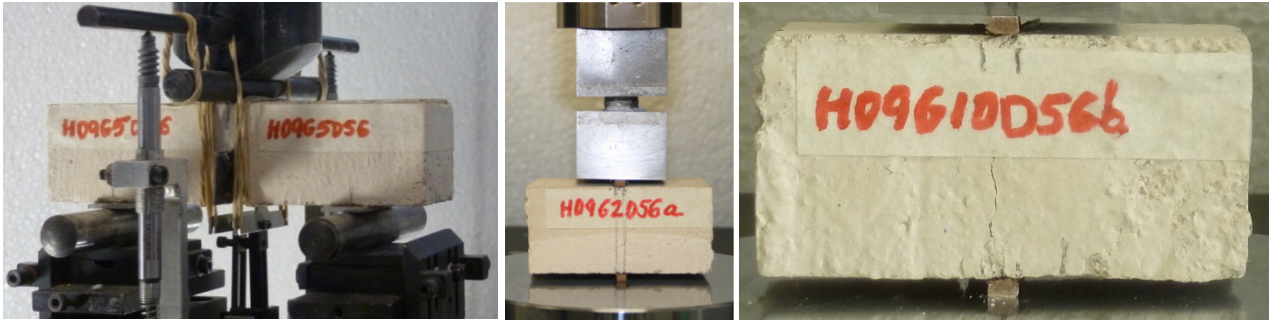


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(d) (e) (f)  
Figure 3. Test for: (a) flexural strength (b) compressive strength (c) elastic modulus and compressive strength (d) fracture energy (e) splitting tensile strength. (f) Crack pattern after splitting tensile test.

#### 189 2.4.2 Compressive strength on cylinders and elastic modulus

190 In order to study the size and shape effects on compressive strength, compressive tests were also carried out on  
191 four cylinders (75 mm in diameter and 150 mm in height) at a loading rate of 10 N/s by using an Instron 8805 testing  
192 machine. In addition, the elastic modulus was measured in accordance with the principles of the standard EN 12390-13  
193 [28], see Fig. 3(c). Two clips (strain gauge extensometers Instron 2630) centered on opposite generatrices were used to  
194 measure the axial deformation. The clips were placed covering a span of 50 mm so that local constrictions caused by the  
195 friction between the steel platens and the cylinder surface did not influence the measurement of the elastic modulus.  
196 Two rubber layers with 2 mm thickness each were used between the upper surface of the sample and the steel platen to  
197 avoid contact problems due to the irregular roughness of the sample. After measuring the elastic modulus, the  
198 specimens were broken to obtain the compressive strength.

199

#### 200 2.4.3 Fracture energy

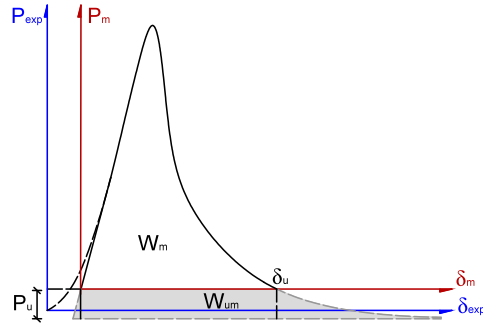
201 The fracture energy,  $G_F$ , was measured by a three-point bending test following the procedure recommended by  
202 RILEM [29] and the improvements proposed by Planas, Guinea and Elices [30-32]. For sake of convenience, the prisms  
203 were the same size as those used for the flexural tests. A pre-cast notch in the middle of the specimens was introduced  
204 by using a cardboard piece (2 mm in width and 20 mm in depth) during the fabrication. Four tests were conducted for  
205 each mortar.

206 The tests were performed by using an Instron 8805 testing machine as shown in Figure 3(d).  $G_F$  was obtained as:

$$207 \quad G_F = \frac{W_m + W_{um}}{B(D-a)} \quad (1)$$

208 where  $W_m$ , measured energy, is the area under the experimental load–displacement curve ( $P_m-\delta_m$ ), and  $W_{um}$  is the  
209 unmeasured energy that corresponds to the portion of the ligament that is still unbroken when the test is stopped.  $B$  and  
210  $D$  are the specimen width and depth, respectively.  $a$  is the notch depth.

211 We assume that the crack propagation obeys a cohesive model, which leads to a hyperbolic tail in the  $P$ - $\delta$  curve  
 212 when displacement is very large and the ligament is very short [31, 33, 34]. Figure 4 shows the process used to obtain  
 213 complete fracture energy, where  $\delta_u$  and  $P_u$  correspond to the termination point of the bending test. It should be  
 214 emphasized that the kinetic energy of the specimen is very small and insignificant compared with the fracture energy in  
 215 our tests [34]. The procedure described above allows getting a size independent value for  $G_F$  [33].



222 Figure 4. Determination of the fracture energy.

223 The weight-compensation technique was followed during the test in order to obtain complete failure information  
 224 from the specimen, i.e. rubber bands were used to hold the specimen at all times, as shown in Figure 3(d). The specimen  
 225 was placed over two rigid steel cylinders that could roll along the longitudinal axis of the specimen over supports that  
 226 permit rotation out of the plane of the specimen. These supports were affixed to a steel beam attached to the machine  
 227 frame. The loading point displacement in relation to this steel beam was measured by using two LVDTs (linear variable  
 228 differential transducers) affixed to it. The tests were performed in position-control at a loading rate of  $5.0 \times 10^{-4}$  mm/s  
 229 until a displacement equal to 0.3 mm and at  $2.5 \times 10^{-3}$  mm/s during the rest of the test (until reaching a displacement of 3  
 230 mm in total).

231 In addition, an extensometer (strain gauge extensometer Instron 2620) attached to the lower surface of the beam  
 232 was used to obtain the crack-mouth opening displacement (CMOD). For span/depth ( $S/D$ ) ratios ( $\beta$ ) between 2.5 and  
 233 16, the elastic modulus obtained from prisms ( $E_{pr}$ ) could be calculated by general Eqs. (2) and (3) according to the  
 234 reference [35].

$$235 \quad E_{pr} = 6 \frac{S\alpha}{C_i B D^2} v_\beta(\alpha) \quad (2)$$

$$236 \quad v_\beta(\alpha) = v_\beta(a/D) = 0.8 - 1.7\alpha + 2.4\alpha^2 + \frac{0.66}{(1-\alpha)^2} + \frac{4}{\beta} (-0.04 - 0.58\alpha + 1.47\alpha^2 - 2.04\alpha^3) \quad (3)$$

237 where  $C_i$  is the initial compliance determined from Load-CMOD curve,  $v_\beta(\alpha)$  is a dimensionless shape function  
 238 depending on  $\beta$  and the relative notch/depth ratio  $\alpha$ . The other parameters of the beam have been previously defined.

239 It is worth noting that Eq. (3) changes to Eq. (4), which is recommended by RILEM TC 89-FMT for calculating  
 240 the shape parameter [36] when the span/depth ratio  $\beta$  equals 4.



241 
$$v_4(\alpha) = v_4(a/D) = 0.76 - 2.28\alpha + 3.87\alpha^2 - 2.04\alpha^3 + \frac{0.66}{(1-\alpha)^2} \quad (4)$$

242

243 **2.4.4 Splitting tensile strength**

244 Splitting tensile strength (indirect tensile strength) was measured through quasi-static splitting tensile tests  
 245 (Brazilian tests) on four prismatic halves resulting from the preceding bending test for measuring fracture energy, in  
 246 accordance with the procedures recommended by the standard EN 12390-6 [37]. To perform the test, the Instron 1011  
 247 testing machine was used, and the loading rate was set at 50 N/s. The proportion between the load-bearing width and  
 248 the height of the specimens was maintained as low as 1/10 following the recommendations in [38-40]. The bearing  
 249 strips were made of plywood, and they were placed in the middle of the longest side of the halves. The splitting tensile  
 250 strength is obtained as:

251 
$$f_t = 2F/\pi BD \quad (5)$$

252 where  $f_t$  is the splitting tensile strength,  $F$  is the maximum load,  $B$  and  $D$  are the specimen width and depth,  
 253 respectively, as mentioned previously.

254

255 **2.4.5 Characteristic length**

256 Once splitting tensile strength ( $f_t$ ), elastic modulus from cylinders ( $E_{cy}$ ) and fracture energy ( $G_F$ ) are obtained, the  
 257 characteristic length,  $l_{ch}$ , can be calculated according to Eq. (6). It is a parameter proposed by Hillerborg *et al.* [41] for  
 258 fracture behavior. It is related to the length of the Fracture Process Zone and could be used to predict the brittleness of a  
 259 material. As it decreases, brittle nature dominates and vice versa [40].

260 
$$l_{ch} = E_{cy}G_F/f_t^2 \quad (6)$$

261

262 **2.5. Porosity and capillary water absorption measurements**

263 Porosity is a key parameter for the evaluation of compatibility between original materials and restoration materials  
 264 due to the fact that it mainly affects water movement and evaporation [42]. In the study, the open porosity at an age of  
 265 56 days was measured by a hydrostatic method following the standard UNE 83980 [43].

266 Furthermore, by means of the Mercury Intrusion Porosimetry (MIP) method, the pore-size distribution was  
 267 obtained by using a Micromeritics 9500 Poresizer mercury porosimeter. This technique is based on the principle that a  
 268 sample surrounded by mercury, a non-wetting liquid, fills its pores with mercury by applying pressure. The volume of  
 269 the intruded mercury is subsequently recorded. At the lowest filling pressure, intrusion is considered zero and no pore

270 volume of interest is filled. The volume of mercury required to fill all accessible pores is considered the total pore  
271 volume [44]. The percentage of porosity is obtained as Eq. (7).

$$272 \quad P = 100(V_{Pt}/V) \quad (7)$$

273 where  $V$  is the bulk volume of a sample (obtained from the bulk density) and  $V_{Pt}$  is the total intrusion volume [44].  
274 Moreover, the pore size ranging from 0.003 to 300  $\mu\text{m}$  can be detected by the MIP method.

275 The capillary water-absorption coefficient was measured according to the standard EN 1015-18 [45]. This test  
276 consists of drying halves of the prismatic samples, then, painting the four lateral surfaces with paraffin wax, and finally,  
277 immersing the cut surface in water for a period of time. Thus, the water-absorption coefficient  $C$  can be calculated  
278 according to Eq. (8):

$$279 \quad C = 0.1 (M2-M1) \quad (8)$$

280 where  $M1$  and  $M2$  are the mass in grams of the sample after 10 and 90 minutes of immersion, respectively. The unit of  
281  $C$  is  $\text{kg}/(\text{m}^2\text{min}^{0.5})$ .

282

### 283 **3. RESULTS AND DISCUSSION**

284 In this section the results of the experimental campaign described in Section 2 are presented. Table 3 provides a  
285 comparison between some of the experimental results obtained from other researchers and the results of this paper.  
286 Table 4 exhibits the properties of NHL mortars in a fresh state, while Table 5 presents the mechanical and physical  
287 properties of the seven NHL mortars in a hardened state. Std. Dev. is the standard deviation, and CV is the coefficient  
288 of variation.

289 From Table 3, it is important to note that the experimental results are similar among mortars which resemble one  
290 another in composition. For instance, the mortars tested by Drougkas *et al.* [46] and the mortar NHL09C04MA in the  
291 current work, were both mainly cured under ambient laboratory conditions. For the former, the flexural strength and the  
292 compressive strength are 0.8 MPa and 1.9 MPa, respectively, while they are 0.91 MPa and 2.4 MPa for the latter. Only  
293 a small difference is observed, which could be due to the different curing conditions during the first 7 days of  
294 maturation. In our case, it was cured in a climate chamber instead of under laboratory conditions. Moreover, similar  
295 mechanical properties were obtained by Grilo *et al.* in [14] compared with NHL09R04M in the current work, both of  
296 which had the same type of siliceous river sand. Furthermore, Maravelaki-Kalaitzaki *et al.* [47] prepared and tested a  
297 NHL mortar with pozzolanic additions by reproducing the original mortars from a historic masonry in Crete, Greece.  
298 The overall similarities obtained on the mechanical and physical properties of NHL09C04M may show that the  
299 fabricated mortar is also suitable for repairing historic masonry.

Table 3. Comparison of experimental results.

	Drougkas <i>et al.</i> , 2015 [46]	Current work NHL09C04MA (ambient curing)	Grilo <i>et al.</i> , 2014, [14]	Current work NHL09R04M (river sand)	Maravelaki-Kalaitzaki <i>et al.</i> , 2005, [47]	Current work NHL09C04M (benchmark)
NHL type	NHL 3.5	NHL 3.5	NHL 3.5	NHL 3.5	NHL-Z 3.5*	NHL 3.5
Aggregate type	Crushed limestone	Crushed limestone	Siliceous river sand	Siliceous river sand	Siliceous sand	Crushed limestone
Maximum grain size (mm)	5	4	-	4	5	4
Binder-aggregate ratio	1:3 by volume	1:3 by volume	1:3 by volume	1:3 by volume	6:14 by weight	1:3 by volume
Consistence (mm)		150-155	151-153	180-187	155	150-155
Curing conditions	(70.2% , 22.5°C)	7 days at (RH 97±0.5%, 20±0.5°C) and (RH 50±10%, 23±3°C) until testing	(RH 95±5%, 20±3°C)	(RH 97±0.5%, 20±0.5°C)	3 days at (RH 95±1%, 20±1°C) and (RH 60±1%, 20±1°C) until testing	(RH 95±5%, 20±3°C)
Age of testing	49 days	56 days	90 days	56 days	31 days	56 days
Flexural strength, $f_{flex}$ (MPa)	0.8	0.91	1.2	0.96	-	1.3
Compressive strength, $f_{cpr}$ (MPa)	1.9	2.4	2.4	2.3	3.48	3.2
Elastic modulus, $E_{cs}$ (GPa)		1.5	-	4.2	7.12	5.0
Capillary water absorption coefficient (kg/(m <sup>2</sup> min <sup>0.5</sup> ))	-	1.57	-	1.69	1.87	1.36
Open porosity (hydrostatic) (%)	-	29.0	-	29.4	26.23 (at 365 days)	27.7
Open porosity (MIP) (%)	-	23.8	-	24.0	-	23.4

\*Natural hydraulic lime with pozzolanic additions.

Table 4. Properties of NHL mortars in a fresh state.

	NHL09C04M (benchmark)	NHL08C04M (water-binder: 0.8)	NHL11C04M (water-binder: 1.1)	NHL09C02M (maximum grain size: 2 mm)	NHL09R04M (river sand)
Flow diameter (consistence) (mm)	150-155	130-135	238-240	120-125	180-187
Category (consistence)	Plastic	Dry	Fluid	Dry	Plastic
Apparent density (g/cm <sup>3</sup> )	2.25	2.29	2.24	2.25	2.11
Water retention (%)	83.5	90.9	76.7	91.6	78.7

Table 5. Properties of NHL mortars in a hardened state at an age of 56 days.

		NHL09C04M (benchmark)	NHL08C04M (water-binder: 0.8)	NHL11C04M (water-binder: 1.1)	NHL09C04W (wooden mold)	NHL09C02M (maximum grain size: 2 mm)	NHL09R04M (river sand)	NHL09C04MA (ambient curing)
Flexural strength, $f_{flex}$ (MPa)	Mean	<b>1.3</b>	<b>1.3</b>	<b>0.89</b>	<b>1.7</b>	<b>1.1</b>	<b>0.96</b>	<b>0.91</b>
	Std. Dev.	0.1	0.1	0.04	0.1	0.1	0.06	0.02
	CV (%)	8	7	5	6	10	6	2
Compressive strength from prisms, $f_{cpr}$ (MPa)	Mean	<b>3.2</b>	<b>4.2</b>	<b>1.7</b>	<b>3.5</b>	<b>3.2</b>	<b>2.3</b>	<b>2.4</b>
	Std. Dev.	0.1	0.3	0.1	0.1	0.2	0.1	0.1
	CV (%)	3	6	4	4	6	6	5
Compressive strength from cylinders, $f_{cyl}$ (MPa)	Mean	<b>2.0</b>	<b>2.7</b>	<b>1.4</b>	-	<b>2.0</b>	<b>1.5</b>	<b>1.5</b>
	Std. Dev.	0.2	0.3	0.1	-	0.1	0.1	0.1
	CV (%)	9	12	8	-	7	8	3
Fracture energy, $G_F$ (N/m)	Mean	<b>12</b>	<b>13</b>	<b>4.9</b>	-	<b>12</b>	<b>10</b>	<b>8</b>
	Std. Dev.	3	1	0.8	-	1	2	1
	CV (%)	22	9	17	-	10	19	10
Splitting tensile strength, $f_t$ (MPa)	Mean	<b>0.39</b>	<b>0.51</b>	<b>0.24</b>	<b>0.57</b>	<b>0.49</b>	<b>0.38</b>	<b>0.34</b>
	Std. Dev.	0.02	0.01	0.03	0.05	0.05	0.03	0.03
	CV (%)	6	1	12	9	11	7	9
Elastic modulus from cylinders, $E_{cy}$ (GPa)	Mean	<b>5.0</b>	<b>5.4</b>	<b>2.8</b>	-	<b>4.6</b>	<b>4.2</b>	<b>2.8</b>
	Std. Dev.	0.2	0.6	0.7	-	0.2	0.2	0.4
	CV (%)	4	10	25	-	4	6	7
Elastic modulus from prisms, $E_{pr}$ (GPa)	Mean	<b>5.2</b>	<b>6.0</b>	<b>3.8</b>	-	<b>5.1</b>	<b>4.4</b>	<b>3.2</b>
	Std. Dev.	0.5	0.2	1.0	-	0.6	0.4	0.6
	CV (%)	11	3	27	-	11	8	18
Characteristic length, $l_{ch}$ (mm)		<b>390</b>	<b>260</b>	<b>240</b>	-	<b>220</b>	<b>280</b>	<b>190</b>
Capillary water absorption coefficient ( $\text{kg}/(\text{m}^2\text{min}^{0.5})$ )	Mean	<b>1.36</b>	<b>0.95</b>	<b>1.70</b>	<b>1.83</b>	<b>1.84</b>	<b>1.69</b>	<b>1.57</b>
	Std. Dev.	0.06	0.07	0.07	0.03	0.03	0.07	0.06
	CV (%)	4	7	4	1	1	4	4
Open porosity (hydrostatic) (%)	-	<b>27.7</b>	<b>25.0</b>	<b>29.9</b>	<b>24.1</b>	<b>27.8</b>	<b>29.4</b>	<b>29.0</b>
Open porosity (MIP) (%)	-	<b>23.4</b>	<b>19.7</b>	<b>24.3</b>	<b>22.5</b>	<b>24.8</b>	<b>24.0</b>	<b>23.8</b>
Median pore radius (MIP) ( $\mu\text{m}$ )	-	<b>0.36</b>	<b>0.28</b>	<b>0.66</b>	<b>0.39</b>	<b>0.31</b>	<b>0.66</b>	<b>0.52</b>

312

313 From Table 5, it is obvious that there is considerable difference between the compressive strength from prisms and  
314 cylinders. For example, for NHL09C04M, they are 3.2 MPa and 2.0 MPa, respectively. The ratio between prism and  
315 cylinder strengths is 1.6, which is much larger than that of concrete [48]. The variations of density and open porosity of  
316 both specimens are less than 0.4%, which confirms that the fabrication process should not result in a such large  
317 difference. It is due to geometry and size effects [16, 48]. Similar tendency was also observed by Haach et al. [49] for  
318 cement-lime mortars, the ratio could reach 1.9. Moreover, for NHL09C04M, the elastic moduli are 5.0 GPa and 5.2 GPa  
319 measured from cylinders and prisms, respectively. The variation of both measurements is only 4%. However, for

320 NHL11C04M, the difference is greater (2.8 GPa versus 3.8 GPa), which may be mainly due to the quality or  
321 imperfections of the pre-notches. Nevertheless, they are still of the same order.

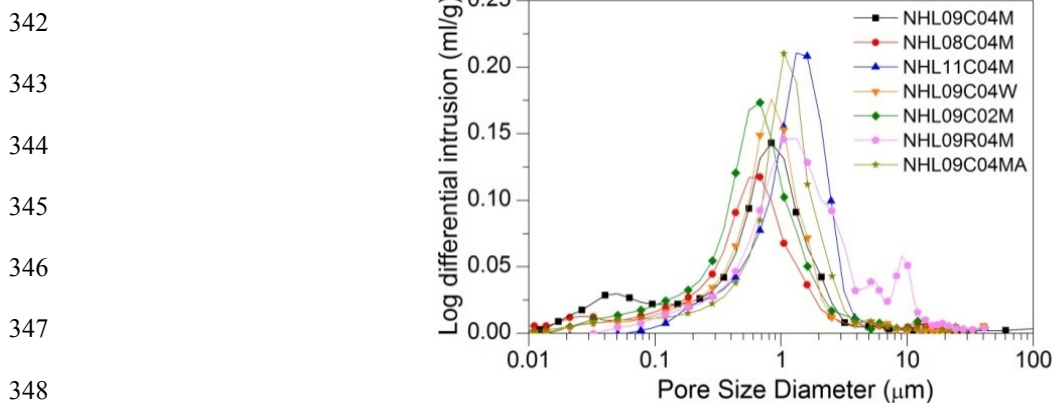
322 Regarding open porosity, due to the different ranges of pore-size detected, the values obtained by using the  
323 hydrostatic method are always greater than the ones measured by using the MIP method as presented in [50].

324

### 325 3.1 Influence of the water-binder ratio

326 Three types of mortars were tested in order to study the influence of the water-binder ratio on mechanical  
327 properties (NHL08C04M, NHL09C04M and NHL11C04M, with water binder-ratios of 0.8, 0.9 and 1.1, respectively).  
328 Their consistencies were dry, plastic and fluid, as shown in Table 4. In addition, the apparent density in a fresh state and  
329 the water-retention capacity increase as the water-binder ratio decreases. For example, for NHL08C04M, the apparent  
330 density and water-retention capacity are 2290 kg/m<sup>3</sup> and 90.9%, respectively, compared with 2240 kg/m<sup>3</sup> and 76.7% for  
331 NHL11C04M. In Table 5, it is observed that as the water-binder ratio increases, the open porosity increases as well,  
332 which causes a weakening of the material structure and its mechanical properties. This is attributed to the fact that both  
333 the carbonation rate of calcium hydroxide and calcium silicates hydrates in NHL paste present a downward tendency  
334 with an increase in the water-binder ratio [12].

335 Figures 5 and 6 show the pore-size distribution of seven types of NHL mortars measured by MIP in various ways.  
336 It is obvious that most of the mortars present a single narrow peak between 0.5 and 2 μm. A shift of the pore-size  
337 distribution towards a finer diameter is observed in mortar NHL08C04M, while in mortar NHL11C04M, there is a shift  
338 towards a larger one. Namely, with an increase in the water-binder ratio, the median pore radius also increases as shown  
339 in Table 5. This results in an increase of the capillary water-absorption capacity, which is the main controlling factor for  
340 determining service life. The higher the capillary absorption coefficients, the more vulnerable they are to the effect of  
341 ambient water and soluble salts [51, 52].



349 Figure 5. Pore-size distribution of NHL mortars as measured by MIP.

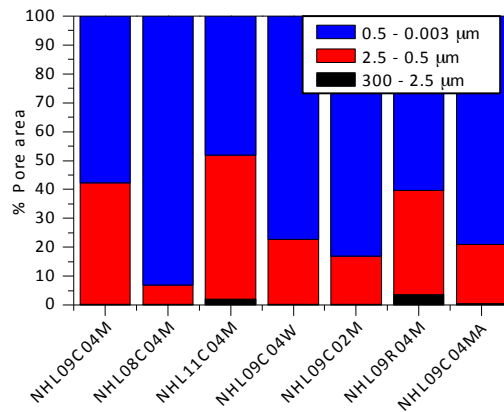


Figure 6. Pore-size distribution of NHL mortars as measured by MIP (percentage of pore area).

### 3.2. Influence of the material of the molds

In order to determine the influence of the material of the mold on the properties, a comparison was made between NHL09C04M and NHL09C04W mortars, fabricated with metallic and wooden molds respectively. The elastic modulus and the compressive strength of cylinders were not measured, as we did not make cylindrical wooden molds. In general, NHL09C04W exhibits a better mechanical behavior, see Table 5. For example, it has a flexural strength of 1.7 MPa and a compressive strength from prisms of 3.5 MPa. However for NHL09C04M they are instead 1.3 MPa and 3.2 MPa, respectively. This difference could be due to the fact that wooden molds absorb the excess of water from the mortar, and this absorption is local, which results in that the material is not going to be actually homogeneous. Accordingly, the water content of the specimens decreases compared with metallic molds. Thus, as mentioned in section 3.1, NHL09C04W has higher mechanical properties.

Moreover, due to the demolding process for the wooden molds (see Fig. 2), the nuts had to be removed from the steel wires, which caused damage in all the notched specimens. Thus, the fracture energy and the elastic modulus from prisms were not measured. Furthermore, all these molds were only used once for the fabrication of NHL mortars, as they supposedly change their absorption capability with each use, and therefore this would affect the comparison. Nevertheless, the influence of the material of the mold on the properties of mortars needs further study, research should examine the type of plywood used, the improvement of the demolding system and the possibility of reusing the molds.

### 3.3. Influence of the maximum aggregate size

Mortars NHL09C04M and NHL09C02M were prepared with the same composition but different maximum aggregate size (4 mm and 2 mm, respectively). The water-binder ratio was maintained at 0.9 for both. Thus, mortar

380 NHL09C02M had a lower consistency and a higher water demand in a fresh state, due to the fact that small aggregates  
381 could absorb more water during the fabrication process, i.e., it induces higher capillary water absorption coefficients in  
382 a hardened state [9, 53]. The influence of the aggregate size is coupled with the effect of the water-binder ratio.  
383 According to reference [9], larger coarse aggregates improve the resistance in a comparison among mortars with similar  
384 consistencies. However, this is achieved by means of adding water to mortars with smaller aggregates, as they have a  
385 higher water demand, which modifies the water-binder ratio. In our case, this proportion has been kept for  
386 NHL09C04M and NHL09C02M mortars, which results, respectively, in a plastic and a dry consistency in a fresh state  
387 (see Table 3). As both have similar mechanical properties, this could be explained by the positive effect of a lower  
388 water-binder ratio offsetting the possible lower capacity of smaller aggregates. Moreover, if more water were added to  
389 NHL09C02M to obtain a plastic mortar instead of a dry one, the mechanical properties should be weaker.

390

### 391 **3.4. Influence of the aggregate type**

392 The influence of two types of aggregate was studied by comparing NHL09C04M and NHL09R04M mortars,  
393 fabricated with crushed limestone and river sand, respectively. In a fresh state, NHL09R04M has a higher consistency  
394 (180-187 mm) than NHL09C04M (150-155 mm) for the same water-binder ratio. In addition, NHL09R04M has a lower  
395 apparent density and water-retention in a fresh state, see Table 4.

396 In a hardened state, NHL09R04M also presents lower mechanical properties than NHL09C04M (see Table 5).  
397 Moreover, the open porosity and the mean pore radius are higher for the former. Furthermore, NHL09R04M shows a  
398 high dispersion of the pore-size distribution with a broad curve (see Fig. 5) and presents the highest content of pores  
399 larger than 2.5  $\mu\text{m}$  as well (see Fig. 6). These differences in the mechanical behavior and the size of the pores are  
400 mainly due to the interlocking of aggregate particles. Crushed limestone aggregates exhibit better interlocking behavior  
401 than river sands with round particles [1]. Undoubtedly, if less water were added to NHL09R04M to get similar  
402 consistency as NHL09C04M, the mortar would be stronger.

403

### 404 **3.5. Influence of the curing conditions**

405 The influence of the curing conditions has been studied between mortars NHL09C04M, cured in the climatic  
406 chamber (RH:  $97\% \pm 0.5\%$  and  $20^\circ\text{C} \pm 0.5^\circ\text{C}$ ), and NHL09C04MA, cured under the ambient laboratory conditions (RH:  
407  $50\% \pm 10\%$  and  $23^\circ\text{C} \pm 3^\circ\text{C}$ ). It is observed in Table 5 and Fig. 5 that high RH favors lime hydration, which results in  
408 higher mechanical properties. NHL09C04MA shows 25% less compressive strength and 30% less flexural strength

409 compared with those of NHL09C04M. Moreover, it has higher open porosity and median pore radius, 5% and 31%,  
410 respectively. Similar tendencies are also found in [13-15].

### 411 3.6. Characteristic length

412 As mentioned in Section 2.4.5, characteristic length is an indicator of brittleness of quasi-brittle materials. The  
413 shorter a material is, the more brittle it is. For all mortars studied in this paper, their range is from 190 mm to 390 mm,  
414 which is quite similar to the one of normal strength concrete (250 mm to 300 mm). Moreover, maximum grain size has  
415 a great impact on the parameter. For example, NHL09C04M and NHL09C02M, which have different maximum grain  
416 sizes (4 mm and 2 mm, respectively), present characteristic lengths of 390 mm and 220 mm, see Table 5. It is obvious  
417 that the smaller the aggregate size, the more brittle the mortar. Furthermore, using river sand in fabrication and curing  
418 under ambient laboratory conditions also make the mortar more brittle.

### 419 420 3.7. Empirical equations among mechanical properties

421 For the principal construction material, concrete, there are some recognized codes, such as the FIB Model Code  
422 [17] and ACI Building Code [18], which present empirical formulas relating compressive strength to other mechanical  
423 properties. These equations are quite helpful for numerical simulation and structural design when only compressive  
424 strength is measured due to the convenience of conducting the test, although relative error may be as high as 90% [54].  
425 To our knowledge, there are still no empirical equations on mechanical properties of lime mortar, thus, according to the  
426 experimental results of seven types of mortar several Eqs. (9-14) are proposed as follows. It should be emphasized that  
427 the compressive strength of prisms is used as the basis of all empirical equations as it is a normalized property in lime  
428 mortars and easier to be measured.

$$429 \quad f_{ccy} = 0.76f_{cpr}^{0.85} \quad (9)$$

$$430 \quad f_{flex} = 0.60f_{cpr}^{0.62} \quad (10)$$

$$431 \quad f_t = 0.18f_{cpr}^{0.78} \quad (11)$$

$$432 \quad G_F = 4.19f_{cpr}^{0.82} \quad (12)$$

$$433 \quad E_{cy} = 1.89f_{cpr}^{0.75} \quad (13)$$

$$434 \quad E_{pr} = 2.66f_{cpr}^{0.85} \quad (14)$$

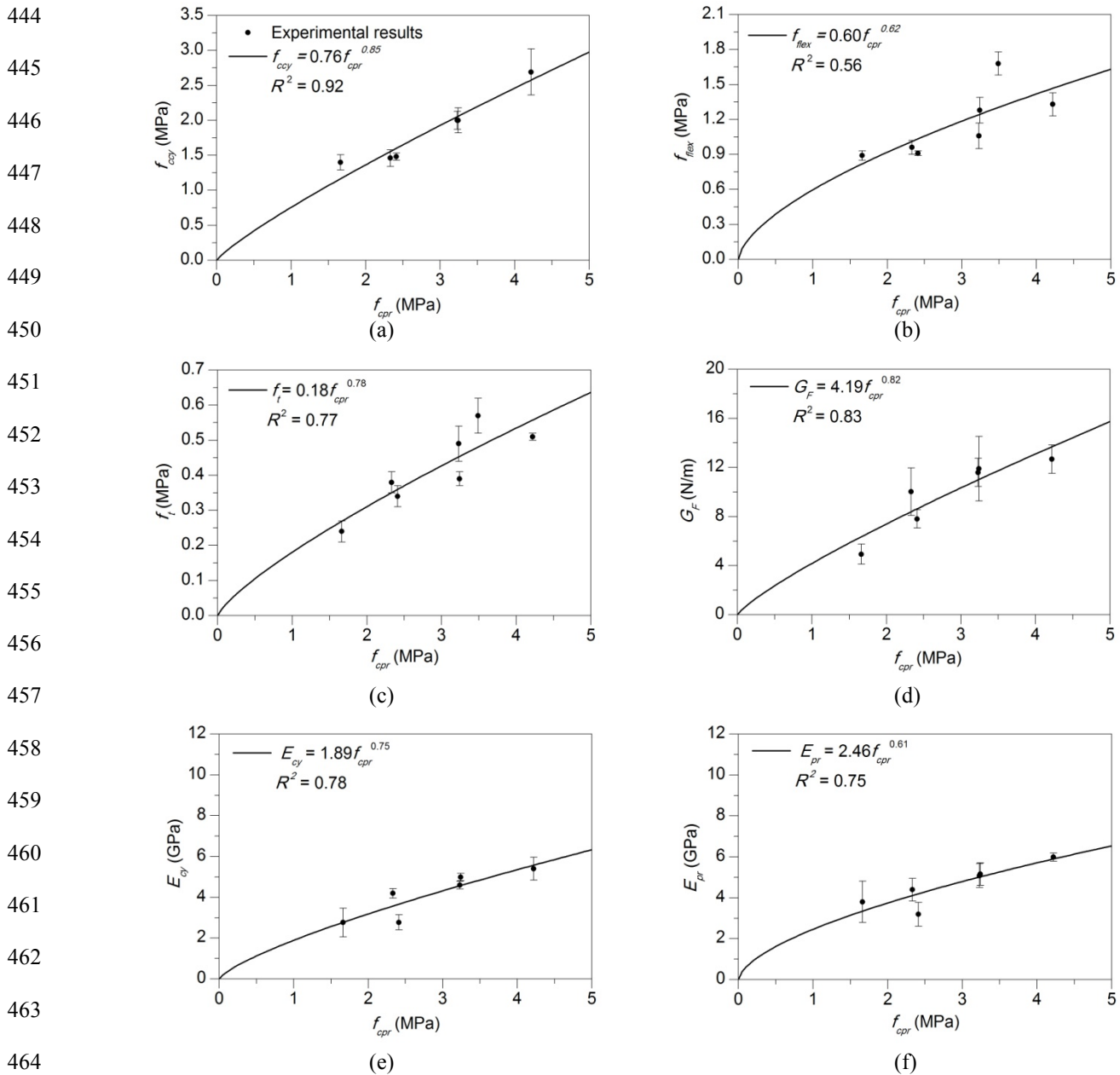
435 The determination coefficient,  $R^2$ , is calculated according to Eq. (15), where  $y_i$  is the  $i^{th}$  value of the variable to  
436 be predicted,  $x_i$  is the  $i^{th}$  value of the explanatory variable,  $f(x_i)$  is the predicted value of  $y_i$  and  $\bar{y}$  is the mean.

$$437 \quad R^2 = 1 - \frac{\sum_i (y_i - f(x_i))^2}{\sum_i (y_i - \bar{y})^2} \quad (15)$$



438 In most cases,  $R^2$  is over 75% while, for the flexural strength, it is only 56%, due to the fact that the result of the  
 439 specimens fabricated in the wooden mold does not follow the trend.

440 Figure 7 shows the relationship among the mechanical properties, such as the compressive strength from cylinders,  
 441 flexural strength, tensile strength, fracture energy and elastic modulus with respect to the compressive strength from  
 442 prisms. It is worth noting that only the flexural strength and the splitting tensile strength are included for the mortar  
 443 NHL09C04W, i.e., the specimen fabricated with wooden molds, as the rest were not measured.



465 Figure 7. Relationship between the compressive strength from prisms and other mechanical properties: (a) compressive  
 466 strength from cylinders, (b) flexural strength, (c) splitting tensile strength, (d) fracture energy,  
 467 (e) elastic modulus from cylinders and (f) elastic modulus from prisms.  
 468

469

#### 470 **4. CONCLUSIONS**

471 This work studied the influence of five factors affecting the dosage and fabrication process of NHL mortars on  
472 their mechanical and physical properties, such as water-binder ratio, wooden or metallic molds, aggregate type and size  
473 and curing condition. In total, seven types of NHL mortars have been fabricated and tested to obtain their mechanical  
474 properties, i.e., compressive strength from prisms and cylinders, flexural strength, elastic modulus from cylinders and  
475 prisms, fracture energy and splitting tensile strength. Moreover, some physical properties were also measured, such as  
476 open porosity, pore size distribution and capillary water absorption.

477 The experimental results show that high water-binder ratios produce structural weakening, increase the open  
478 porosity and reduce mechanical properties. High relative humidity ( $97\% \pm 0.5\%$ ) is more suitable than ambient  
479 laboratory conditions for the hydration of the compounds of NHL mortars and for the increase of its ductility.  
480 Moreover, it has been shown that the mortars fabricated with wooden molds obtain higher mechanical properties due to  
481 the fact that the molds absorb the excess of free water. However, this results in a non-homogeneous material, since the  
482 beneficial effect can be restricted to the material close to the mold surface.

483 When the water-binder ratio is fixed instead of maintaining the consistencies approximately constant by varying  
484 the water-binder ratios, the influence of type and size of aggregate on mechanical properties would be isolated and  
485 quantified. The mortar with an aggregate size of 2 mm has a lower consistency in a fresh state and smaller pore-sizes in  
486 a hardened state compared with the one with an aggregate size of 4 mm, due to the fact that small aggregates are more  
487 water demanding. Mortars with river sand have lower mechanical properties, higher pore radius and open porosity in  
488 comparison with the ones with crushed limestone aggregates. Undoubtedly, if the water-binder ratios varied as well, the  
489 tendency could be different.

490 Furthermore, some empirical equations which describe the relationship between the mechanical properties of the  
491 mortars and the compressive strength of the prisms are proposed. They are helpful for the characterization of lime  
492 mortars, as one of the main components of masonry, when simulating the mechanical behavior of historical  
493 constructions and monuments.

494

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