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1	Short Communication
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4	The behavioral and physiological effects of dog appeasing pheromone upon canine
5	behavior during separation from the owner
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#### 18 Abstract

19 Behavioral problems in the domestic dog (*Canis familiaris*) increase the likelihood of the dog 20 being rehomed or relinquished to a rescue shelter. Problem behaviors that result in 21 relinquishment include unwanted elimination, destructive behavior and excessive vocalization 22 when owners are absent. Dog Appeasing Pheromone (DAP) is currently marketed via 23 veterinarians as a stress relief product and purported to help dogs cope in stressful situations 24 and as a potential solution to reduce anxiety. This study aimed to investigate if a DAP diffuser 25 affected behavioral and physiological stress parameters in 10 dogs in a laboratory environment. A repeated measures design with and without the use of DAP, and in the presence and absence 26 27 of the owner was used. Behavioral responses, such as barking, passive behavior, scratching, 28 whining, oriented behavior, exploration and locomotion, were recorded in real time and video 29 recorded using a focal instantaneous sampling technique. In order to control for potential bias, 30 10% of the videos were scored using a second blinded scorer to assess inter-rater reliability. 31 Heart rate (HR), heart rate variability (HRV) using Standard Deviation of Normal to Normal 32 beats (SDNN), eye temperature and ear temperature (°C) were also collected to assess dogs' 33 physiological state. When dogs were separated from their owner, there was a significant 34 increase in oriented behavior during both the DAP and without DAP application trial phase 35 suggesting arousal due to owner absence rather than any discernible effect of DAP. A 36 significant increase was recorded in core eye temperature when the owner was absent and the 37 DAP diffuser was not switched on however, eye temperature also increased when the owners were present after the DAP condition suggesting that it may be the owner's presence and the 38 39 dogs arousal levels that affect core eye temperature rather than any effect of DAP. There was 40 no significant effect of DAP on HR or ear temperature. Overall, our results suggest that the 41 application of a DAP diffuser did not markedly influence the behavior, heart rate, eye or ear 42 temperature of dogs. Further investigation using a greater sample size and the use of further

- 43 physiological stress indicators is recommended in order to further explore the potential
- 44 application of DAP as a stress relief product for dogs.
- 45
- 46 *Keywords:* Dog Appeasing Pheromones; DAP Diffuser; Dog Behavior; Heart Rate; Ear and
- 47 Eye Temperature
- 48

## 49 **Introduction**:

50 Dogs are commonly kept as pets, with 9 million dogs recorded as companion animals in the 51 United Kingdom (UK) (PFMA, 2019) and over 76 million in the United States of America 52 (USA) (AVMA, 2019). Work and lifestyle commitments for owners can often result in dogs 53 being left at home for extended periods of time (Rehn and Keeling, 2011). Most dog owners 54 work full time (Rehn and Keeling, 2011), with 73% of Swedish dog owners reporting they 55 leave their dog at home during working hours (Norling and Keeling, 2010) and 39% of British 56 owners leaving their dogs alone for at least seven hours (RSPCA, 2019). Dogs hospitalized in 57 veterinary clinics can also result in separation from their owner (Kim et al., 2010). These 58 absences can lead to behavioral conditions such as separation anxiety, one definition being 59 distress caused when left or separated from a key person (Herron et al., 2014). Separation 60 anxiety is prevalent in dogs (Dinwoodie et al., 2019; Tiira et al., 2016) and can result in 61 problem behaviors such as inappropriate elimination, destructive behavior and distress 62 vocalizations which occur when an owner is absent or perceived as absent, including when the 63 owner is at home but the dog does not have access to them (Landsberg et al., 2013; Sherman 64 and Mills, 2008; Ogata et al., 2016). Problem behaviors are a leading cause of dogs being rehomed or euthanized and can result in a loss of the human-animal bond (Sherman and Mills, 65 66 2008; Hargrave, 2014; Hewson, 2014), subsequently compromising welfare in dogs (Kim et 67 al., 2010).

68

One approach which has been suggested to be efficacious in mediating the distress caused by separation of dogs from their owners is the use of Dog Appeasing Pheromone (DAP) (Adaptil, 2020). Dog Appeasing Pheromone is a commercially available product, which is advertised by the manufacturer to promote calm behavior in dogs (Adaptil, 2020). Dog Appeasing Pheromone is a combination of fatty acids synthetically created to replicate the pheromones released by a bitch during nursing to reassure young (Riemer, 2020). It can be dispersed via 75 collar, diffuser, spray or tablet (Adaptil, 2020) and has been reported to reduce behavioral signs 76 of anxiety in dogs in environments such as kennels (Amaya et al., 2020), veterinary facilities 77 (Kim et al., 2010) and in the home environment when separated from the owner (Gaultier et al., 78 2008). However, the efficacy of DAP is difficult to gauge as there are a number of 79 methodological issues inherent in the field. Poor inclusion criteria, unclear randomization 80 methods and non-reporting of dogs with treatment failure have been commonly found in 81 studies that tested the efficacy of DAP for the treatment of undesirable behavior in dogs (Frank 82 et al., 2010).

83

84 To date, research has largely reported behavioral responses of dogs in response to DAP however physiological stress responses are not widely reported in DAP studies. Physiological 85 parameters have been successfully used within other behavior studies to assess fear and anxiety 86 in dogs (e.g. Brugarolas et al., 2015; Mariti et al., 2018). As behavioral signs can be non-87 specific and are often context based (Horwitz and Pike, 2014), behavioral states are inherently 88 89 difficult to interpret. The inclusion of physiological stress responses is important for future 90 studies investigating the efficacy of DAP to assess inner states which are often reflected in 91 alterations at the behavioral level (Broach and Dunham, 2016; Grigg and Piehler, 2015). 92 Physiological measures of stress include the use of heart rate variability (HRV) which is 93 considered a useful tool for indicating fluctuations in the autonomic system that are indicative 94 of stress responses (Brugarolas et al., 2015) and is unaffected by posture (Maros et al., 2008). Therefore, HRV is useful when paired with behavioral observations which may involve 95 96 postural changes (Travain et al., 2016). Infrared thermography (IRT) is non-invasive and can 97 be used to measure changes in core eye and ear temperature (Travain et al., 2015; Riemer et al., 98 2016) and can be paired with HRV as an indicator of psychological stress (Squibb et al., 2018). 99 Heart rate and IRT measures offer supplementary physiological data to further support

behavioral indicators of stress and can be used to assess stress response in dogs subjected toDAP application.

102

103 The aim of this study was to determine whether a DAP diffuser reduced behavioral and 104 physiological stress responses in dogs when separated from their owners. As DAP is widely 105 used in the clinical setting, the results are applicable to canine welfare and veterinary industries 106 to inform clinical practice in relation to the efficacy of synthetic pheromones in reducing stress 107 behaviors of dogs. They can also be used to assess whether DAPs are a worthwhile investment 108 in reducing behavioral and physiological indicators of stress.

109

#### 110 Materials and Methods

111 Subjects and Study Site

112 Participants were recruited over a two week period via social media (Facebook and Twitter) 113 and encouraged to forward details of the study to interested parties. Whilst social media allows 114 rapid dissemination of information, it is also possible that this method introduced demographic 115 selection bias by recruiting younger and more internet active participants. To participate, dogs 116 were required to be over 12 months of age, could be any breed or sex and did not demonstrate 117 aggression towards strangers. Owners were asked whether their dog was clinically diagnosed 118 with separation anxiety by a veterinarian or had any physical or behavioral disorders. None of 119 the dogs were clinically diagnosed with separation anxiety or reported to have any physical or 120 behavioral disorders. To address any welfare concerns, dogs were excluded from the study if 121 they showed a high stress response during the experimental procedure (e.g. hyper-salivating, 122 excessive panting). No dogs were withdrawn from the current study.

123

Ten dogs, 7 males (all neutered) and 3 females (2 spayed, 1 entire) of variable ages (8.1±4.1
years) were used in this study. Two of the dogs were cross breeds, other breeds included Jack

Russell Terriers (n=3), Beagles (n=2) and one of each of the following breeds; Springer
Spaniel, Cocker Spaniel and Labrador Retriever. All dogs were recruited from a family home
environment.

129

130 The study took place in a laboratory (7.3m x 6.4m) at Suffolk One Sixth Form centre, Ipswich. The laboratory temperature was set at 20°C±2. The laboratory contained no furnishings except 131 132 for four diffusers (which were either switched on to emit DAP or left switched off depending 133 on the condition). The diffusers were plugged into electrical sockets close to the corner of each 134 of the four corners of the laboratory. The laboratory contained a glass door with a silver 135 reflective one way mirror window film (T60-EV, Funime; EKA Home, China) which was used 136 to enable real time behavioral observations by the experimenter, whilst maintaining visual 137 separation from the dog. Behavior was scored real time by the experimenter and also video 138 recorded to assess scoring reliability.

139

#### 140 Procedure

A blinded, placebo-controlled, repeated measures design was deployed in order to account for
individual variation in coping styles, sex, size and experience effects and any potential
observer bias in scoring subjective behaviors. Activation of diffusers was completed by an
independent party so the primary researcher was unaware of the conditions when scoring
blinded behavioral videos (e.g. DAP, no DAP) to minimise bias. Owners and a second scorer
for the behavioral videos were also unaware of conditions.

147

148 Dogs visited the study site on two occasions (approximately two days apart and at different 149 times between 09.00h and 17.00h) and were exposed to a 45 minute procedure on each visit. 150 The control condition consisted of owners placing the dog alone in the laboratory and going to 151 a room approximately 10m from the laboratory for 5 minutes. Condition A (baseline) then 152 began when the owner returned to the laboratory and stayed with the dog for 5 minutes. 153 Condition B (trial) then began with the owner leaving again so the dog was alone in the 154 laboratory for 30 minutes, with either DAP switched on or DAP switched off. Condition C 155 (reunite) then began when the owner was reunited with the dog in the laboratory where they 156 stayed with them for 5 minutes. The owners then removed their dog from the laboratory. 157 Where owners were unavailable to participate in the trials, a familiar person was used instead. 158 A familiar person (known to the dog and who engaged with the dog on a regular basis) was 159 considered a suitable alternative to the owner as Riemer et al., (2016) observed no significant difference between dog responses when owners and strangers returned to the dog. 160

161

162 Dog Appeasing Pheromone was the condition which was presented first, with DAP being 163 switched off on the second visit. In the DAP switched on condition, the diffuser was switched 164 on prior to the focal dog entering the room for the trial phase (condition B). The conditions 165 were not counterbalanced as it was not feasible due to the constraints of access to the facilities 166 and the time period available however, to ensure the behaviors observed related to DAP, a one 167 day "washout period" was instigated after the DAP switched on condition to allow the product 168 to dissipate fully from the room and was aided by a built-in air conditioning system (EU200, 169 Flaktwoods; Colchester, UK) which was switched on overnight. Four 48ml DAP refill 170 diffusers (Adaptil; Ceva Santé Animale) were plugged into electrical sockets in each of the 171 four corners of the laboratory to ensure even dispersal in to the surrounding environment. Each 172 refill contained 2% DAP mixed in Isoparaffinic Hydrocarbon with each diffuser being replaced 173 with a new refill for each subject.

174

175 Behavioral measures

The dogs' behavior was filmed throughout the 45 minute procedure using a GoPro video
camera set at 60fps medium field of vision (GoPro Hero 3, GoPro Inc; San Mateo, California).

178 The video camera was located at the front of the laboratory on the work surface to enable full

179 view of the laboratory. The observer was screened behind the mirror door at all times in order

180 to reduce effects on the dogs' behavior. Focal instantaneous observations every 30 seconds

181 were conducted, with behaviors recorded as present or absent using an adapted ethogram which

- 182 included stress-related behaviors (Table 1). Video footage was analyzed at a later date to assess
- 183 rater reliability.
- 184

# 185 **Table 1**

- 186 Ethogram providing definition of behaviors sampled in the DAP study (adapted from Beerda et
- 187 al., 1999; Tod et al., 2005; Palestrini et al., 2010; Cannas et al., 2014).
- 188

Behavior	Definition
Exploration	Motor activity directed toward physical aspects of the environment, including sniffing and gentle licking
Locomotion	Walking or running around without exploring environment
	Excess visible drool around the mouth
*Hyper salivating	
Passive behavior	Lying down with head on ground without any obvious orientation toward physical or social environment
Orientated behavior	Sitting, standing or lying down (without head on the ground). Obvious orientation to the physical or social environment including sniffing, close or distant visual inspection
*Scratching environment	All handling with the forelimbs resulting in physical contact with the doors or walls, including jumping up
Oral behavior	Any vigorous behavior directed toward the environment using the mouth
*Panting	Mouth opens with tongue extended accompanied with rapid breathing and

	expansion/contraction of chest
Grooming	Action of cleaning the body surface by licking, nibbling, picking, rubbing, scratching towards the animal's own body
*Barking	"Rough" sound often repeated in quick succession
*Whining	Whining
*Howling	Howling
*Trembling	Shaking movements of the body/head
Paw up	A front limb raised
Circling	Movement of the dog in circles
*Yawning	Mouth opens wide for a period of a few seconds and then closes
*Lip licking	Part of the tongue is shown and moved along the upper lip
Elimination urine	Elimination of urine
Elimination faeces	Elimination of faeces

189 \*indicates stress-related behaviors

190

191	Behavioral data for 10% of the videos were also scored using a second blinded scorer (Cannas
192	et al., 2014) to ensure inter-rater reliability using Spearman correlations and Cohen's kappa.
193	There was a strong correlation between experimenter and second naïve independent rater when
194	scoring behavioral data (Test 1: rs(14) = 0.811, P<0.001, Test 2: rs(14) = 0.858, P<0.001, Test
195	3: $rs(14) = 0.756$ , <i>P</i> <0.001). Inter-rater reliability was found to show between fair and
196	moderate agreement between the experimenter and the independent, blinded second scorer and
197	behavior scores (Cohen's $\kappa$ : Test 1: $\kappa = 0.289$ , 95% CI 0.142 to 0.436, P<0.01, Cohen's $\kappa$ : Test
198	2: $\kappa = 0.471$ , 95% CI 0.210 to 0.732, <i>P</i> <0.001, Cohen's $\kappa$ : Test 3: $\kappa = 0.279$ , 95% CI 0.101 to
199	0.457, P<0.05) (Altman, 1991; Landis and Koch, 1977). Both the primary researcher and

- independent scorer were unaware of conditions allocated at the time of scoring. Behavioralobservations scored by the experimenter were used during data analysis.
- 202

#### 203 *Physiological measures*

204 Heart rate variability (HRV) was recorded throughout all conditions of the 45 minute 205 procedure with standard deviation of normal to normal R-R intervals (SDNN) recorded. A 206 RS800CX Polar heart rate monitor (Polar Electro UK Ltd, Warwick, UK) with elasticated strap 207 was fitted to the dog prior to entering the laboratory (Travain et al., 2016; Wormald et al., 208 2017). 3M Vetrap (3M Vetrap, 3M animal Care Products, St-Paul MN, USA) was fitted over 209 the strap to ensure the monitor was secure and to maintain conduction. Warm water was 210 applied to the dogs coat until wet through to the skin and was applied behind the legs from the 211 sternum up to and level with the point of the shoulder to aid conduction. Ultrasound gel was 212 also applied liberally to the electrode extensions of the sensor to improve conduction 213 (Jonckheer-Sheehy et al., 2012; King et al., 2014). The sensor was placed in the left axillary 214 region and was fitted snuggly (two fingers under the strap). The transmitter and receiver were 215 checked to be connected prior to entering the room. Dogs were considered habituated to 216 wearing the monitor when moving forward and not scratching or biting it (Rehn and Keeling, 217 2011).

218

Infrared Thermography (IRT) was used as a non-invasive stress assessment method to record
core eye temperature (Stewart et al., 2005; Travain et al., 2015) and ear pinnae temperature
(Riemer et al., 2016) using a portable IRT camera (FLIR One iOS plug-in Thermal Imaging
Camera, USA, FLIR<sup>™</sup>). Five thermal image readings took place between conditions e.g.
immediately before and after the control condition and also immediately post conditions A, B
and C. Thermographic measurements measured temperature (°C) in the lacrimal caruncle of
the eye, since this has been shown to represent the core body temperature in dogs (Travain et

al., 2015). Measurements of the left or right eye temperature were randomly assigned. Ear
pinnae temperature (°C) spots were added to the same image, and placed from tip to base to
form a triangle to measure dynamic changes in ear temperature (Riemer et al., 2016). Dogs
were gently restrained by their collar. Images were taken at 1m from the subject and at an angle
of 90° (Travain et al., 2015). All photos were taken within the laboratory room. All the images
were analyzed using thermal imaging analysis software (FLIR Tools 1.8.2(46)).

232

## 233 Data analysis

234 The total frequency each dog was observed performing each behavior was summed, providing 235 an overall frequency count per dog per behavior during each condition with DAP application or 236 without DAP switched on. Where behaviors were exhibited at very low levels (mean 237 occurrence < 1), they were omitted from the analysis as statistical analyses are not robust at 238 such low levels. Mean heart rate, heart rate variability, eye and ear temperature were analyzed 239 per dog, per condition with DAP application or without DAP switched on. The significance 240 level was set a priori at P<0.05 and all statistical analyses were performed using SPSS v.26 241 (IBM SPSS Statistics, 2019). Friedman ANOVA tests were used to determine whether DAP 242 application significantly affected the dogs' behavior across the conditions. Where these tests 243 found significant differences, post hoc Wilcoxon's signed-rank tests were used to determine 244 where differences existed between conditions. Two-way Repeated Measures ANOVA tests 245 were used to determine if there was a statistically significant interaction effect between the 246 factors of condition and pheromone on the dependent variables measured (e.g. heart rate, heart 247 rate variability, eye and ear temperature), across all conditions observed. The Mauchly 248 sphericity test was used for within-subject effect. For cases that did not meet the sphericity 249 condition (P < 0.05), the Greenhouse– Geisser correction was applied. Where these tests found 250 significant differences, post hoc pairwise comparisons were used to identify where differences 251 existed between factors. To control for potential type 1 errors due to the repeated measures

designs, a Bonferroni adjustment was applied for all post-hoc analyses with the revised alpha

set at *P*<0.01. Inter-rater reliability was assessed using Spearman correlations and Cohen's

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255

#### 256 **Results**

257 *Effect of DAP application on dog behavior* 

There was a significant effect of DAP application on barking behavior ( $\chi^2(7, n = 10) = 29.556$ , 258 259 P < 0.001), passive behavior ( $\gamma^2$ (7, n = 10) = 15.626, P < 0.05), scratching behavior ( $\gamma^2$ (7, n = 10) = 19.948, P<0.01) whining behavior ( $\chi^2(7, n = 10) = 37.823$ , P<0.001) and oriented behavior 260 261  $(\chi^2(7, n = 10) = 42.742, P < 0.001)$  with overall higher levels of barking and oriented behaviors 262 being exhibited in the DAP application condition and overall higher levels of passive, 263 scratching and whining behaviors being exhibited in the without DAP condition 264 (Supplementary File 1). However after the Bonferroni correction (adjusted alpha: P<0.01), 265 there was only a significant difference in oriented behavior between conditions (without DAP 266 Reunite and without DAP Trial: *P*=0.001; without DAP Reunite and DAP application Trial: 267 P<0.001; DAP application Baseline and without DAP Trial: P=0.007; DAP application Baseline and DAP application Trial: *P*=0.001; without DAP Baseline and without DAP Trial: 268 269 P=0.009; without DAP Baseline and DAP application Trial: P=0.002) (Supplementary file 2). 270

Median frequency of oriented behavior was higher during conditions when the owner was not present and DAP was not switched on (trial: 43.5) than when the owner was present and DAP was not switched on (reunite: 4.0) (Figure 1). Oriented behavior was also higher during conditions when the owner was not present and DAP switched on (trial: 56.0) than when the owner was present and DAP not switched on (reunite: 4.0). Oriented behavior was higher during conditions when the owner was not present and DAP was not switched on (trial: 43.5) than when the owner was present (baseline: 4.0). Oriented behavior was higher during conditions when the owner was not present and DAP was not switched on (trial: 43.5) than
when the owner was present and DAP was not switched on (baseline: 5.0). Oriented behavior
was higher during conditions when the owner was not present and DAP was switched on (trial:
56.0) than when the owner was present and DAP was not switched on (baseline: 5.0). Oriented
behavior was also higher during conditions when the owner was not present and DAP switched
on (trial: 56.0) than when the owner was present (baseline: 4.0).

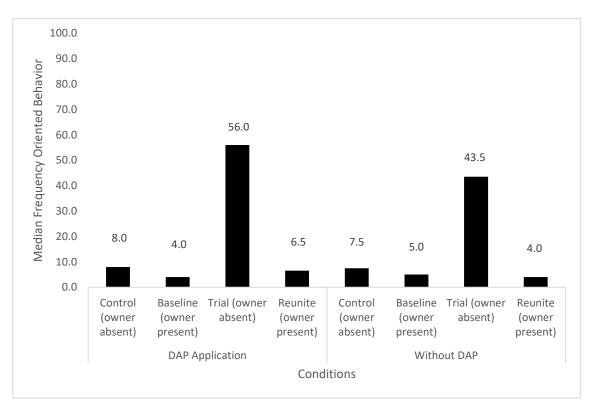


Figure 1: Median frequency of oriented behavior and conditions with DAP application andwithout DAP during the trial condition.

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284

288 There was no significant effect of DAP application on exploration behavior ( $\chi^2(7, n = 10) =$ 

289 3.467, *P*>0.05) and locomotion behavior ( $\chi^2(7, n = 10) = 8.023, P>0.05$ ). Hyper-salivating

- 290 behavior, oral behavior, panting, grooming, howling, trembling, paw up and circling occurred
- at very low levels and therefore these behaviors were omitted from the statistical analyses.
- 292

# 293 Effect of DAP application on dog heart rate

294 There was no significant main effect for DAP (F(1,9) = 1.196, P > 0.05) or conditions (F(3,24)) = 2.441, P>0.05) for mean heart rate. There were no significant interactions between the 295 296 variables (e.g. interaction effect between the factors of condition and pheromone on the 297 dependent variable heart rate) (F(3,24) = 0.351, P > 0.05). There was no significant main effect 298 for DAP (F(1,9) = 1.679, P > 0.05) or conditions (F(3,24) = 0.236, P > 0.05) for heart rate 299 variability (SDNN). There were no significant interactions between the variables (e.g. interaction effect between the factors of condition and pheromone on the dependent variable 300 301 heart rate variability) (F(3,24) = 1.200, P > 0.05). 302

# 303 *Effect of DAP application on dog eye temperature*

There was no significant main effect for DAP (F(1, 9) = 0.033, P>0.05) however, there was a significant main effect for the conditions baseline and reunite (F(4, 32) = 0.023, P<0.01). Prior to the Bonferroni adjustment there was a significant difference between the conditions (start and baseline: P=0.017; start and reunite: P=0.030), however after the Bonferroni adjustment there was only a significant difference between the baseline and trial conditions (P=0.005).

Mean eye temperature was higher during conditions when the owner was absent and DAP was not switched on (trial 34.92°C) than when owners were present prior to the DAP application (baseline 29.50°C) (Figure 2). There was no interaction between the variables (e.g. interaction effect between the factors of condition and pheromone on the dependent variable eye temperature) (F(4, 32) = 0.345, *P*>0.05).

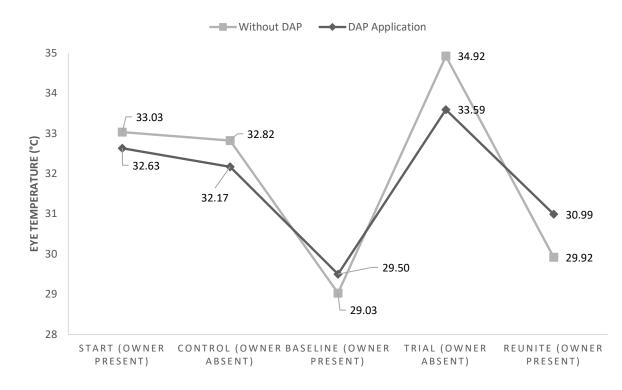


Figure 2: Mean Eye Temperature (°C) across conditions with DAP application and without
DAP during the trial condition

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# 319 *Effect of DAP application on dog ear temperature*

There was no significant main effect for DAP (F(1, 9) = 0.747, P > 0.05) however, there was a significant main effect for the conditions start, baseline and trial (F(4, 36) = 5.147, P < 0.05).

322 Prior to the Bonferroni adjustment there was a significant difference between the conditions

323 (start and baseline: *P*=0.018; baseline and trial: *P*=0.031), although after the Bonferroni

- 324 adjustment ear pinnae temperature did not differ significantly across conditions (*P*>0.01).
- 325 There was no interaction between the variables (e.g. interaction effect between the factors of
- 326 condition and pheromone on the dependent variable ear temperature) (F(4, 36) = 0.227,
- 327 *P*>0.05).
- 328



330 The findings from this study suggest that DAP does not have a marked influence upon the 331 behavior of dogs in a laboratory environment. When dogs were separated from their owner, 332 there was an increase in oriented behavior during both the DAP application trial phase and 333 without DAP suggesting that exposure to DAP does not significantly influence oriented 334 behavior in the dogs observed in this study but rather the absence of the owner. There was no 335 effect of DAP found for other behavioral measures. Eye temperature overall was lower when 336 owners were absent and DAP was switched on however, eye temperature increased when the 337 owners were present after the DAP application suggesting that it may be the owner's presence that affects eye temperature rather than any discernible effect of DAP. These findings cast 338 339 doubts on the efficacy of DAP use as an adjunct therapy to relieve stress-related behavior and 340 physiological responses to stress in dogs.

341

342 Our data did not show a reduction in stress-related behavior; it is possible that DAP diffusers 343 may simply not have been effective in this context. For example, the laboratory environment 344 may have been too stressful for DAP to have a marked effect. Environments that are both 345 uncontrollable and unpredictable can be a stressor for dogs (Tuber et al., 1999). Given that the 346 owners left their dogs on multiple occasions throughout the study, the stress incurred may have 347 been too great for DAP to have an effect during the trial condition. In addition, the process of 348 pheromone processing is not entirely understood (Broach and Dunham, 2016), and it may be 349 possible that pheromonal analog products produce only mild effects (Hermiston et al., 2018).

350

The small sample size may have contributed to these findings. While further research repeating this study with a larger sample size would be of value, other explanations need to be considered for the lack of observable differences in behavior and eye temperature seen in this study. Changes in oriented behavior appear to relate to arousal levels during the presence or absence of the owner during the different conditions. This supports others who have found increased orientation towards the door during owner absence (e.g. Topál et al., 1998;

357 Parthsarathy and Crowell-Davis, 2006; Schwab and Huber, 2006; Fallani et al., 2007; Palestrini 358 et al., 2010). Schwab and Huber (2006) also found dogs have a larger variation in position, 359 latency and proportion of response when the owner is absent. Dogs are also reported to differ 360 in their behaviors in novel environments unless accompanied by their owner (Palmer and 361 Custance, 2008). This could account for behavioral changes when the owner left the dog during 362 the baseline and trial phases and also reunite stages when the owner returned to accompany 363 their dog (for example, an increase in arousal when separated and reduced arousal and reduced 364 oriented behavior when owner returned).

365

366 Limited control over access to the facilities and time constraints meant that counterbalancing 367 the conditions and ensuring dogs visited the site at the same time was not possible in the 368 current study. Whilst a wash out period was instigated to try to reduce potential confounding 369 effects, learning may have occurred and non-randomising of order and time effects are 370 potential confounding factors. As such, our results should be interpreted with caution when 371 attempting to draw conclusions regarding the efficacy of DAPs. The DAP application condition 372 was the first condition dogs were exposed to and as such the laboratory environment that the 373 study took place in would have been considered a novel environment for the dogs. This may 374 have instigated an increase in oriented behavior compared to the without DAP condition where 375 dogs will have been more familiar with the environment which may have accounted for a 376 decrease in oriented behavior during the without DAP condition. It has also been reported that 377 responses can change in relation to the novelty of the environment, and are relative to where a 378 response has been learnt (Braem and Mills, 2010). It may be that as the current study 379 incorporated a short control period to account for the novel environment, that dogs did not 380 habituate in the time given, and so the lack of significant differences in the majority of the 381 physiological parameters indicated the novel environment was stressful throughout (Palmer

and Custance, 2008). Further research is required using a larger sample size and evaluation of
other indicators of stress in dogs (such as cortisol) in order to further explore the potential of
DAP as a stress relief product for dogs.

385

386 In the current study, eye temperature increased when owners were absent. As the environment 387 was novel and many dogs find owner separation stressful (Topál et al., 1998; Prato-Previde et 388 al., 2003), there is strong support for the assumption that the dogs in the current study had 389 increased eye temperature in response to stress caused from owner separation. Nonetheless, 390 changes in eye temperature may simply reflect change in arousal rather than emotional valance 391 (Travain et al., 2016) and therefore these results should be interpreted with caution. IRT is a 392 relatively young field when used to assess stress responses in dogs. More studies are needed to 393 investigate if there are any eve temperature changes in relation to canine stress responses, if 394 this change in eye temperature is always in one direction and if this response is lateralised, 395 which was not evaluated in the current study.

396

397 No significant difference was found in cardiac data or core ear temperature relating to owner 398 presence and absence across conditions. Other studies have reported the absence of the owner 399 did not have an effect on dogs' mean HR (e.g. Maros et al., 2008; Gasci et al., 2013). Our 400 results also support other studies where variables such as HRV or cortisol were examined to 401 evaluate the hypothalamic-pituitary-adrenal axis (HPA), and no significant effects of 402 pheromone application were found (e.g. Berger et al., 2013; de Paula et al., 2019). However, 403 large individual variation was found with regard to the HR and HRV by Maros et al., (2008). 404 Future studies should therefore include larger sample sizes, to reduce the impact of individual 405 variation. Future research is required to further validate IRT methodology such as obtaining correlations with heart rate, cortisol levels and behavior. 406

407

## 408 **Conclusions**

409 Our results indicate that the application of a DAP diffuser did not markedly influence the 410 behavior, heart rate, eye or ear temperature of dogs in a laboratory environment. Increases in 411 oriented behavior in both the DAP and without DAP conditions may indicate arousal due to 412 owner absence. Moreover, it may be the owner's presence that affects changes in core eye 413 temperature rather than any discernible effect of DAP. Although our results should be 414 interpreted with caution, veterinary professionals should be cautious about recommending such 415 products to clients until there is a stronger evidentiary basis supporting the use of DAP. Further 416 investigation using a greater sample size, longer duration of DAP exposure and testing within 417 the home environment would be of value. Use of physiological stress indicators, such as 418 cortisol alongside behavioral indicators would also be beneficial. In addition, future research is 419 required to further validate IRT methodology such as obtaining correlations with heart rate, 420 cortisol levels and behavior.

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#### **Conflict of interest statement**

The authors have no conflict of interests to declare. None of the authors of this paper have a financial or personal relationship with other people or organisations that could inappropriately influence or bias the content of the paper.

425

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431

## 432 **Ethical considerations**

Approval for the study was not needed under the Animals Scientific Procedures Act 1986 or
the European Union Directive 2010/63/European Union. The study abided by the guidelines of
the Institutional Research Ethics Committee.

436

#### 437 Authorship

438 The idea for the article was conceived by Lucy Webb and Jane Williams. The experiments

439 were designed by Lucy Webb and Jane Williams. The experiments were performed by Lucy

- 440 Webb. The data were analyzed by Lucy Webb and Sienna Taylor. The article was written by
- all the authors.

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