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## Multi-objective Layout Optimization of a Generic Hybrid-cooled Data Centre Blade Server

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# 1 Multi-objective Optimization of a Generic Hybrid-Cooled 2 Data Centre Single Blade Server

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8

9 **Abstract:** The rapid global increase in energy demand for data centres requires the  
10 continuous improvement of cooling solutions and techniques implemented. In a standard data  
11 centre approximately a third of the total power consumption can be attributed to the cooling  
12 infrastructure, resulting in raised power usage effectiveness (PUE) values. The main culprits  
13 of raised PUE are outdated legacy (air cooled) data centres, where only low grade waste heat  
14 is available and its capture and re-use remains a challenge. This study investigates numerically  
15 the potential for energy recuperation by a server-level internal layout optimization for a hybrid  
16 air/liquid cooled server. The study uses multi-objective genetic algorithms (MOGA) and  
17 entropy generation minimization (EGM) techniques to incorporate the multiple objectives  
18 involved in solving this problem, and examines the cooling performance and waste heat  
19 recovery potential. In order to evaluate the potential of the waste heat recovery the term  
20  $\dot{S}_{\Delta T,ext}$  is introduced in this study. Effect of modified server component layout on pressure drop  
21 and maximum outlet temperature were of main interest, due to their role in fan power usage  
22 and energy recuperation potential. The base unmodified configuration CFD models were  
23 validated through experimental pressure measurements conducted on a real server blade  
24 module. The research concluded that a basic server layout optimization such as changing the

25 memory module angles and spacing could not only enhance the cooling efficiency but also  
26 improve the potential for higher grade waste heat recovery. Overall the decrease in EGM due  
27 to server layout optimization could be as high as 15%, while the quality of the waste heat due  
28 to temperature uniformity might reach up to 42%.

29 **Keywords:** Data centre; thermal management; server cooling; multi-objective genetic  
30 algorithm optimization; entropy generation minimization; waste heat recovery

### 31 **Nomenclature**

$A$	area (m <sup>2</sup> )
$D_h$	hydraulic diameter (m)
$h$	heat transfer coefficient (W/m <sup>2</sup> K)
$m$	mass flow rate (kg/s)
$\Delta p$	pressure drop (Pa)
$P_{pump}$	pumping power (W)
$P$	perimeter (m)
$Re$	Reynolds number
$\dot{S}_b$	entropy generation rate of the baseline server (W/K)
$\dot{S}_{\Delta T,int}$	entropy generation rate due to heat transfer from DIMM's surface temperature to air (W/K)
$\dot{S}_{\Delta T,ext}$	entropy generation rate due to heat transfer in an external air/liquid heat exchanger (W/K)

$\dot{S}_{\Delta T,int} + \dot{S}_{\Delta T,ext}$	entropy generation rate due to heat transfer (W/K)
$\dot{S}_{\Delta p}$	entropy generation rate due to fluid friction (W/K)
$\dot{S}_{gen}$	total entropy generation rate (W/K)
$\Delta T$	temperature difference (K)
$u$	velocity (m/s)

### Subscripts

av	average
b	baseline
in	inlet
max	maximum value
out	outlet
R	ratio
w	wall

## 33 **1. Introduction**

34 Data centre electricity consumption has been steadily increasing in recent years due  
35 technological advancements in the semiconductor industry and persistent growth in  
36 information technology demands. Industry surveys show no slowdown in the power demand  
37 for data centre facilities world-wide with an estimated tripling in the next decade [1]. This  
38 increasing trend requires improved data centre thermal management solutions to ensure their  
39 power consumption is maintained within sustainable limits. The latest summary of the thermal  
40 management techniques of data centres from the chip to the cooling system is presented by  
41 Khalaj and Halgamuge [2]. Their research investigates state-of-the-art multi-level hybrid  
42 thermal management systems, which employ both air and water as the working fluid.

43 The majority of the studies related to data center thermal management have been  
44 concerned with the room or rack level configurations. The existing cooling strategy includes  
45 air cooling techniques with limited cooling ability and liquid cooling as highly efficient thermal  
46 management technique.

47 IBM Corporation has played an important role in an industrial research on the advanced  
48 electronics cooling. Iyengar and Schmidt [3] developed a model which predicted the energy  
49 consumption and the heat transfer characteristics in a data centre. They considered the case  
50 study with the load of 5.88MW and concluded the chiller energy is the highest consumer of  
51 total cooling energy. In 2008 IBM introduced the water cooling Power 775 Supercomputing  
52 system. Ellsworth *et al.* [4] gave an overview of the water cooling unit and rack manifold.  
53 They also highlighted the techniques to improve the cooling performance and enhance the  
54 energy efficiency. David *et al.* [5] presented the experimental characterization of the chiller-  
55 less air cooled data centre. The paper discussed the thermal, hydraulic characteristics of the air-  
56 to liquid and liquid-to-liquid rack heat exchanger cooling performance. Schmidt and Cruz [6]

57 numerically investigated the effect of the chilled air exiting the hot aisle of a raised floor data  
58 centre. The results could be used as a guidance for the data centre layout design. Schmidt [7]  
59 described the set of measurement of data centre thermal profile above the raised floor. Schmidt  
60 *et al.* [8] stated that a significant amount of energy could be saved by preventing the mixing of  
61 cold and hot air streams. To implement the technique, they introduced separate hot and cold  
62 aisles with exhaust chimneys, resulting in energy savings of up to 59%.

63 Among academic studies, Kumar and Joshi [9] experimentally investigated the effect of  
64 tile air flow rate on the server air distributions located at different places in the rack. They came  
65 to the conclusion that increasing the perforated tile air flow rate is not the best way to provide  
66 cooling to high density racks. Khalifa and Demetriou [10] developed a simplified analytical  
67 model in order to identify the optimum energy-efficient design for air cooled data centres. The  
68 methodology also showed the trade-off between the cooling infrastructure and performance  
69 characteristics. Karki and Patankar [11] numerically examined the flow field and pressure  
70 distribution in the under-floor plenum of the raised-floor data centre within a one-dimensional  
71 framework. They also compared the results between a one-dimensional model with that of a  
72 three-dimensional model and found a good agreement. Fouladi *et al.* [12] demonstrated a novel  
73 hybrid modelling strategy for data centre cooling system optimization. The employment of  
74 proper orthogonal decomposition (POD) airflow modelling scheme demonstrated 23% and  
75 43% energy and exergy savings, respectively. Shah *et al.* [13] proposed the exergy-based  
76 approach for data centre thermal management and energy efficiency evaluation. The proposed  
77 model quantifies the amount of energy utilized from other components for thermal  
78 management purposes. Samadiani *et al.* [14] developed a simulation-based design approach to  
79 achieve an adaptable energy efficient data centre design. This approach helps to investigate the  
80 effect of the design parameters in terms of reliability and power consumption minimization.  
81 Alkharabsheh *et al.* [15] summarized the recent advancement in the data centre modelling and

82 energy optimization. The paper presented the areas of the potential research for data centre  
83 thermal management. Song [16] examined the use of organized fan-assisted tile systems and  
84 investigated their impact on the cooling effectiveness. The author came to the conclusion that  
85 the technique can significantly improve the cooling performance and might be an interesting  
86 research direction in the future.

87 The internal flow inside a server considering temperature and airflow characteristics has  
88 not received much attention, although a detailed analysis addressing the thermal challenges at  
89 each level starting from the chip to the entire system could lead to an overall efficiency  
90 improvement. Some of the server studies are highlighted as follows. Han and Joshi [17]  
91 numerically developed the server CPU and heat sink by using POD which provided faster  
92 simulation time with acceptable accuracy. This was used together with the fan controller in  
93 order to study the energy consumption reduction in server CPU fans. Sarma and Ambali [18]  
94 numerically investigated the thermal design and pressure drop across the 2U configuration  
95 computing server. They concluded that all server components remained under the allowable  
96 limit and operated without any failures. Iyengar *et al.* [19] experimentally studied the concept  
97 of hybrid cooling systems, which incorporates air cooling flow low power components (power  
98 supplies, storage disk drives, printed circuit board) and water cooling for higher power  
99 components (microprocessors and memory cards). Hybrid cooled systems have demonstrated  
100 energy savings of up to 30%. According to Garimella *et al.* [20] the shift from air to liquid  
101 cooling will happen in the near future, which will significantly improve the energy efficiency  
102 of next generation data centre facilities.

103 One underexplored approach to conserving energy is the optimization of the internal layout  
104 of the server components, whether by rearranging the components themselves or using baffles  
105 to redirect air flow. Here, the former more invasive approach will be taken. Entropy generation



106 minimization is one of the techniques to carry out the optimization and evaluate the cooling  
107 performance [21]. Server optimization with multiple design variables and global solutions is  
108 the aim of this study. Multi-objective genetic algorithm is a proven powerful approach capable  
109 of finding trade-off solutions to multiple objective problems.

110 Due to limited supply of fossil fuels and negative consequences of its usage, waste heat  
111 recovery as a method to conserve energy is gaining more attention nowadays [22, 23]. The  
112 main challenge in this field consists of capturing and transferring the waste heat in order to  
113 increase the recovery system efficiency. Almost 100% of all electrical power supplied to the  
114 data centre server is converted to the heat, which requires a cooling system capable of  
115 maintaining the server components below their maximum allowable temperatures. Some  
116 research is ongoing to reuse the waste heat in order to reduce data centre operational costs [24].  
117 The author proposed the approach which is able to measure the exergy destruction and  
118 eliminate irreversibility in the thermal path. Data centre companies such as Facebook and  
119 Google with up to 300 MW of power usage are making an effort to reduce energy usage and  
120 the waste heat decrease their facilities rate of power waste [25]. Even though most of the data  
121 centres operate at 20% of the maximum load and rarely operates at full load, the total  
122 requirement for heat dissipation continues to increase [26]. This indicates that waste heat  
123 recovery has the capability to decrease the electricity consumption in data centres.

124 In many studies, for the sake of simplicity in the theoretical calculation, the air temperature  
125 and flow distribution at the inlet of the heat exchanger is by default assumed to be uniform  
126 [27]. However, in reality the air temperature and flow is generally non-uniform. The non-  
127 uniformity significantly degrades both the air-side thermal and hydraulic performance [28].  
128 Therefore, temperature and airflow non-uniformity is important and cannot be neglected by the  
129 assumption of a uniform distribution.

130 In this study, the optimisation of a generic hybrid cooled single blade server is investigated  
131 to improve the potential for air-side heat waste recovery, taking into account the outlet air flow  
132 non-uniformity. This work extends an earlier study reported on by Sakanova et al. [29] towards  
133 a larger parameter space. Also it gives more in-depth insights into the optimization process  
134 with a detailed analysis of the effect of the design parameters. At the end the optimized server  
135 is compared with the baseline server in terms of the improved ability to recuperate waste heat.

## 136 **2. Flow simulation and optimization methodology**

### 137 *2.1. CFD model setup and grid independency*

138 An Intel server board S2600TP with two Intel Xeon E5-2600 v3 processors and 16 DDR3  
139 dual in-line memory modules (DIMMs) is the baseline server under investigation in this study,  
140 with a CPU thermal design power (TDP) of 145W [30] and DIMM TDP of 6W per module  
141 [19] (Fig. 1). A computational fluid dynamics (CFD) model is developed for both 2D and 3D  
142 investigations of the internal server flow. An implicit solver is used to solve governing  
143 equations. The second order upwind scheme is applied for energy and momentum  
144 discretization. The standard interpolation scheme is adopted for pressure discretization. The  
145 pressure-velocity coupling is solved by the SIMPLE algorithm. The convergence criteria are  
146 at  $10^{-4}$  and  $10^{-7}$  for velocity and energy, respectively. The  $\kappa$ - $\omega$  SST turbulence model is applied.  
147 According to the  $\kappa$ - $\omega$  SST model requirements, the  $y^+$  value is kept below 1 with the number  
148 of prismatic layers of 15.

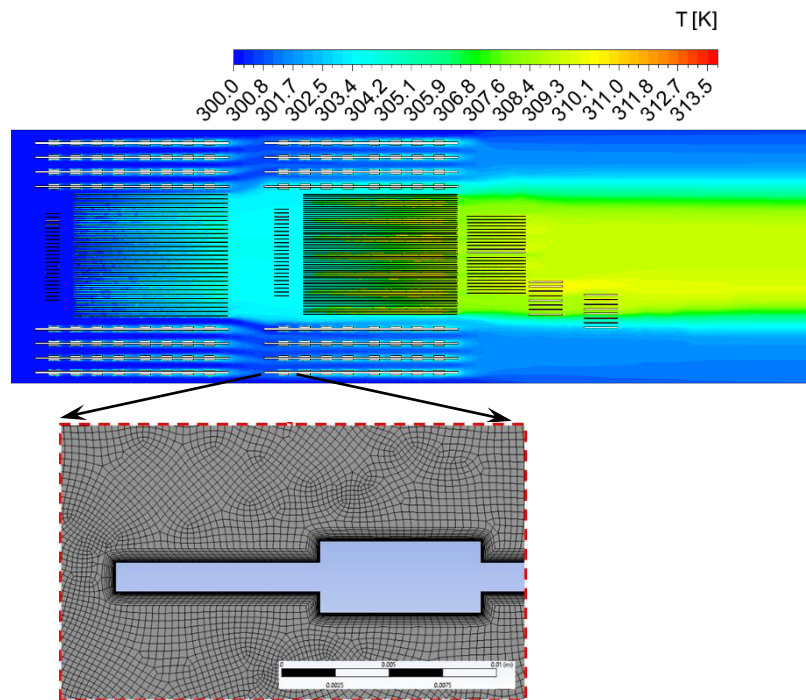
149 The detailed mesh independence study is performed and summarized in Table 1. The grid  
150 independence studied based on five consequentially refined mesh generated from the baseline  
151 mesh 1. The next following mesh is refined by refinement factor of 1.32-1.36. The effect of  
152 the refining mesh grid is presented in terms of pressure drop across the server. There is a  
153 negligible difference (less than 1%) in pressure drop between mesh 4 and 5. The discretization

154 error is also estimated using a method of grid convergence index (GCI) which is performed  
155 based on four mesh grids. GCI indicated the maximum uncertainty at each mesh grid stating  
156 that the solution are within asymptotic range of convergence [31]. Mesh 4 is chosen as a good  
157 trade-off between accuracy and computational time.



158  
159

(a)



160  
161

(b)

162 **Fig. 1.** (a) Intel server board S2600TP and (b) sample CFD simulation temperature  
163 distribution (air-cooled situation) at 20500 RPM, heat power at CPU, RAM modules, chipset  
164 and BMC is 145W, 100.8W (6.3W per each), 7W and 1.5W accordingly and simulation grid.

**Table 1.** Mesh independence study results

Grid number	Element numbers (mln)	Refinement factor	Output quantity (server pressure drop) (Pa)	Grid convergence index (GSI) (%)	Asymptotic convergence check
1	1	N/A	28		
2	1.36	1.36	30.6	9.1	
3	1.8	1.32	31.8	4.04	1.039
4	2.45	1.36	36.5	1.09	
5	3.29	1.34	36.8	0.07	1.008

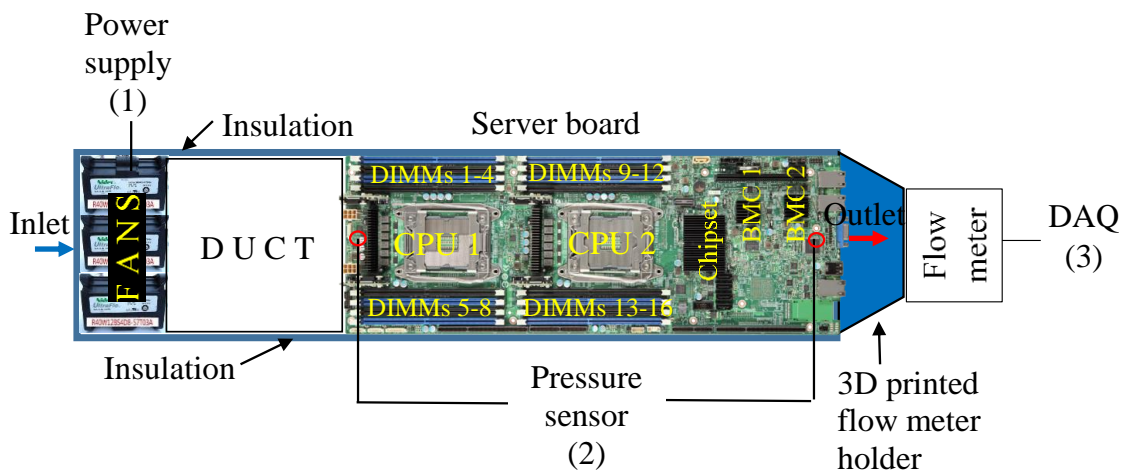
166

167 *2.2. Experimental validation*

168 The experimental validation aims to check the global quantities such as the pressure drop  
169 and flow rate and not the local quantities such as velocity fields. The validation is strictly  
170 hydraulic in isothermal conditions. The experimental set up consists of a power supply (1),  
171 server board, differential pressure transducer (2), flow rate sensors, and a data acquisition  
172 system (DAQ) (3) as shown in Fig. 2. The internal three fans [32] boost the room temperature  
173 air through the server board at rotational speed of 2,500-20,500 RPM which corresponds to  
174 flow rate of 8.8-72.4 cubic feet per meter (CFM). In order to measure the pressure drop across  
175 the channel, two pressure taps are installed at the inlet and outlet of the server. The flow sensor  
176 is mounted at the server outlet and fixed by means of a 3D-printed mount. The data is logged  
177 through National Instruments DAQs controlled through LabVIEW. Additionally, a 70 cm long  
178 smooth duct is attached at the server inlet to establish hydrodynamically fully developed flow  
179 at the server inlet. According to [33] the hydrodynamic entrance length in the turbulent flow  
180 becomes insignificant beyond a pipe length of 10 times the hydraulic diameter ( $D_h =$   
181  $4A/P=6.5\text{cm}$ ). At the end, the full system is properly insulated to prevent any additional air to

182 be sucked in. The obtained experimental results are compared with 2D and 3D simulations as  
183 well as with the server fan data sheets [32], as shown in Fig. 3. 2D and 3D simulations and fan  
184 server data sheet results show a reasonable agreement with experimental data, with a maximum  
185 deviation of 22%, 23% and 18%, respectively.

186 Maximum deviation of 23% between both 3D and 2D simulation, and experimental results  
187 occurs at the lowest flow rate. This increased error can be contributed to a number of different  
188 factors. Firstly the differential pressure transmitter/transducer performance is expressed as a  
189 percentage of max calibrated span or full scale, which states that the error contribution of the  
190 differential pressure transmitter increases as the flow rate/differential pressure drops. For  
191 example, according to data sheet, the differential pressure transmitter uncertainty is +/-0.20%  
192 of flow rate at maximum rate; it changes to +1.27/-1.28% of flow rate at the minimum rate. At  
193 the lowest flow rate, the pressure discrepancy between simulations and experiments (23%) was  
194 found to be below 2 Pa, well within a reasonable range. Secondly, the simulations cannot  
195 capture the complex and rather rough surface of the server board which would contribute to an  
196 increased pressure drop.

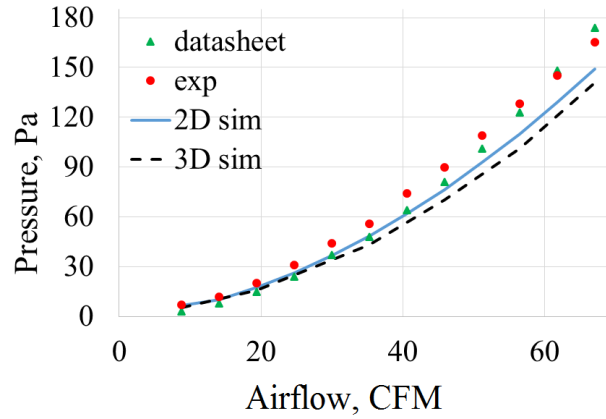


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198

Fig. 2. Experimental setup

199



200

201

*Fig. 3. Experimental validation results (for fans speed ranges 2,500-20,500 RPM)*

202

### 2.3. Numerical optimization approach

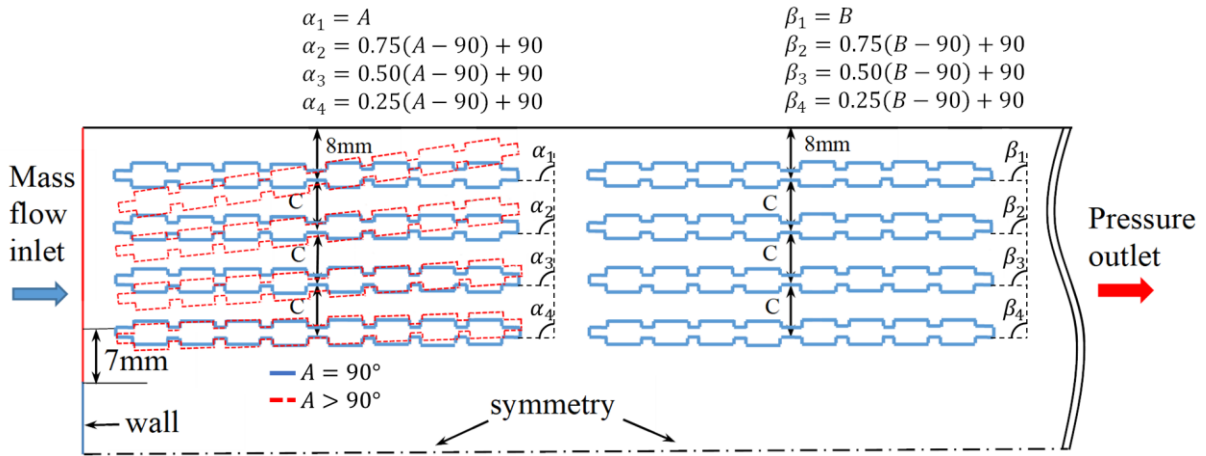
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In the layout optimization scenario, the CPUs are assumed to be liquid cooled and therefore thermally excluded from the simulation. The aim here is to optimize the air cooling of the remaining components, i.e. the DIMM modules. The CPU heatsinks are removed, and the presence of the liquid-cooled cold plates is assumed to have no significant effect on the air flow due to their significantly reduced profile.

208

The optimization process consists of the variation of four parameters, such as the top upstream DIMM angle  $A$ , downstream DIMM angle  $B$ , the cross-stream distance between DIMMs  $C$  and the mass flow rate (parameter  $D$ ), as shown on Fig. 4. At the inlet to the server, air is blocked from entering the central region (containing the CPUs) by a deflection wall (Fig. 4). The open inlet area varies with the angle  $A$  since the deflection wall ends at a distance of 7 mm from the leading edge of the bottom upstream DIMM, as illustrated in Fig. 4. The dashed red lines indicate the DIMM direction when  $A > 90^\circ$ . The range of the parameters is summarized in Table 2.

215



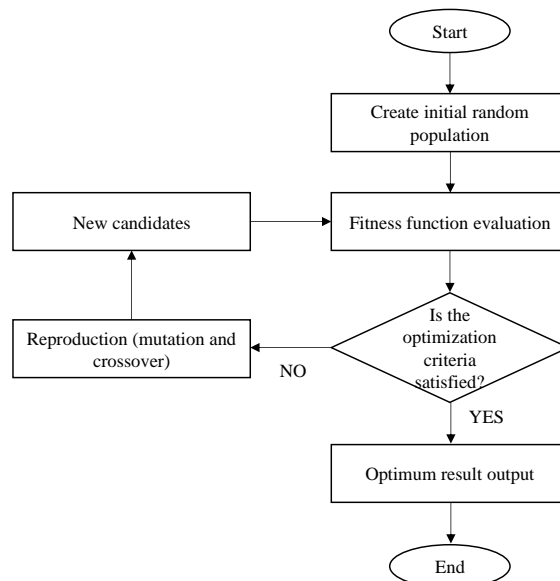
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217

*Fig. 4. Numerical optimization parameter definition*

218 **2.4. MOGA optimization procedure and EGM technique**

219        Figure 5 depicts the block diagram of MOGA execution. Firstly, the initial population  
 220 containing  $Npop=50$  is generated. Then the values of the objective functions are obtained for  
 221 the initial population and evaluated based on the optimization criteria. If the criteria is satisfied  
 222 then MOGA produces a set of optimal Pareto solitons. However, if the optimization criteria are  
 223 not met, then based on the reproduction, which includes the crossover and mutation, a new set  
 224 of candidates is created for evaluation. The procedure is repeated until the optimum solution is  
 225 found.



226

227

*Fig. 5. The flow chat of optimization procedure*

228

229

230

231

Table 2 includes the design parameters range at which the MOGA optimization analysis is considered. Also the maximum allowable temperature constraint of 90°C for DIMM modules is introduced according to [34]. The referenced values of the baseline parameters are taken from the relevant Intel datasheet [30].

232

**Table 2.** Overview of the design parameters and thermal constraints

Parameter	Range	Reference (baseline server)
Upstream/downstream DIMM angle $A$ and $B$	85-95°	90°
DIMM spacing $C$	10-15mm	10mm
Mass flow rate (parameter $D$ )	20-24CFM (0.15-0.18kg/s)	up to 90CFM (0.675kg/s)
Maximum DIMM temperature	90°C	90°C

233

234

235

236

The optimization process in Table 3 includes the following goals, constraints and corresponding objective functions, aimed at maximizing the waste heat recovery potential and the coefficient of performance while safeguarding reliability.

237

**Table 3.** Overview of the optimization goals, objective functions and constraints

Goal	Objective function	Constraint
1. Minimise DIMMs surface temperature	minimize $\dot{S}_{\Delta T,int}$	
2. Minimize the entropy generation rate in an external air/liquid heat exchanger at the outlet of the server	minimize $\dot{S}_{\Delta T,ext}$	Keep the maximum DIMM
3. Minimize the pumping power	minimize $\dot{S}_{\Delta p}$	temperature within the margin
4. Maximize the average outlet temperature	maximize $T_{out,av}$	of 90°C

238

239

240

241

EGM is an established method of the optimization, where the objective function is the sum of the entropy generation rate due to heat transfer  $\dot{S}_{\Delta T,int} + \dot{S}_{\Delta T,ext}$  and the entropy generation rate due to the fluid friction  $\dot{S}_{\Delta p}$ , as follows:



$$\dot{S}_{\Delta T,int} \cong \sum_{k=1}^{N_{DIMM}} \sum_{j=1}^{N_{chip}} \frac{q_{j,k}(T_{w,j,k} - T_{in})}{T_{in}^2} \quad (1)$$

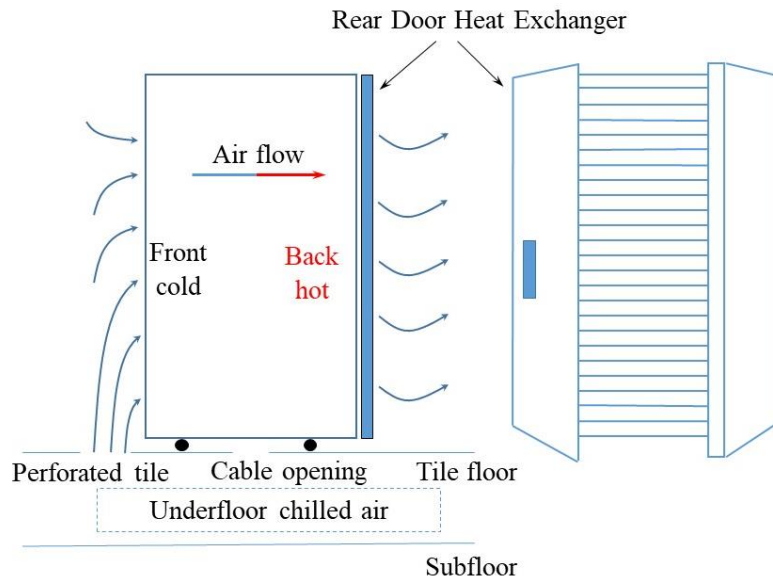
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243 where  $N_{DIMM}$  is the number of DIMMs ( $N_{DIMM}=16$ ) and  $N_{chip}$  is number of chips per  
 244 DIMM ( $N_{chip}=16$ ).  $T_{in} = 300\text{K}$ .  $T_w$  is the wall temperature.

245 The entropy generation rate due to fluid friction  $\dot{S}_{\Delta p}$  is defined as

$$\dot{S}_{\Delta p} = \frac{\Delta p u A}{T_{in}} \quad (2)$$

246 A rear door heat exchanger is assumed in this work to be mounted on the back of the server  
 247 to capture the heat of the hot air coming out from the board server and transports it to the chilled  
 248 water, as shown in Fig.6. This rear door heat exchanger could be a finned coil air/liquid heat  
 249 exchanger where the fins help to increase the air-side heat transfer area [35].



250

251

**Fig. 6.** Rear door heat exchanger

252 To evaluate the potential of heat recovery from the air stream, the term  $\dot{S}_{\Delta T,ext}$  is  
 253 introduced by this study, representing entropy generation rate in an external air/liquid heat  
 254 exchanger at the outlet of the server:

$$\dot{S}_{\Delta T,ext} \cong \sum_i \frac{q_i(T_{out,i} - T_{HEX})}{T_{HEX}^2} \quad (3)$$

255 The outlet location is divided into a total of  $N = 29$  parallel plates, with the distance  
 256 between plates equal to 0.003m. At each plate  $i = 1 \dots N$ , a part of the air stream transfers its  
 257 heat  $q_i$  at the temperature  $T_{out,i}$  to the heat exchanger which is at a constant temperature  
 258  $T_{HEX} = 291K$  [35].  $T_{out,i}$  is the local air temperature, evaluated from CFD results at the outlet  
 259 location  $y_i$ . Where  $q_i = hA\Delta T$  and  $h = Nu \cdot k/D_h$ , where  $Nu$ , Nusselt number, is for laminar  
 260 flow between two parallel surfaces is defined from [36] with  $D_h$  as twice the distance between  
 261 the plates. The total entropy generation rate is the sum of the above mentioned components of  
 262 entropy generation:

$$\dot{S}_{gen} = \dot{S}_{\Delta T,int} + \dot{S}_{\Delta p} + \dot{S}_{\Delta T,ext} \quad (4the)$$

### 263 3. Results

#### 264 3.1. NLPQL and MOGA comparison

265 Both non-linear programming by quadratic Lagrangian (NLPQL) and the MOGA method  
 266 were employed to optimize the server parameters with the single objective of minimizing  $\dot{S}_{gen}$   
 267 together with the constraint of keeping the DIMM temperature below 90°C. NLPQL is a  
 268 gradient-based method which might not find the global optimum solution without a good  
 269 starting point. As such, the Screening Direct optimization is performed first and then the results  
 270 are used as the starting point in NLPQL [10]. The best candidate solutions from both methods  
 271 are highlighted in Table 4. The solutions from both optimization approaches are in reasonable

272 agreement with each other. This verifies the potential of the MOGA method, which will be  
273 used in the remainder of this article for a multi-objective optimization.

274 **Table 4.** Candidate solution comparison for single objective optimization

Parameters and outputs	Candidate solutions	
	NLPQL	MOGA
Flow rate $D$ , kg/s	0.179	0.169
Spacing $C$ , mm	10.14	10.13
$\dot{S}_{gen}$ , W/K	0.216	0.228
$T_{max}$ , K	362.1	361.6

### 275 3.2. Local and global sensitivity analysis

276 The sensitivity analysis reflects the parameter influence on the optimization targets. The  
277 higher the parameter sensitivity coefficient, the greater the impact it has on the output. Hence,  
278 the sensitivity analysis helps to determine the dominant parameters. There are two types of  
279 sensitivity charts: local and global. The local sensitivity calculates the outputs based on the  
280 change of inputs independently. The local parameter sensitivity is based on the difference  
281 between the minimum and maximum values obtained by varying one of the input parameter  
282 while holding the remaining parameters constant. It means that the local sensitivity depends on  
283 the input parameters which are held constant. In this study, the input parameters which are held  
284 constant are the manufacturing values of the baseline server. The global sensitivity is based on  
285 the correlation analysis of the generated sample point which are located throughout the entire  
286 space of input parameters. It means the global sensitivity does not depend on the input  
287 (manufacturing) value since all possible values of the inputs have been considered during the  
288 analysis.

289

#### 290 3.2.1 Local sensitivity of input parameters on optimization targets

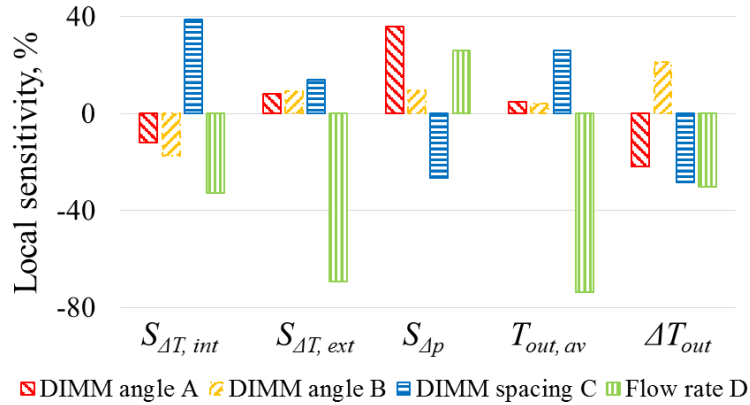
291 Figure 7 shows the local sensitivity trend while Fig. 8 shows the detailed analysis of the  
292 local sensitivity stating the actual values of the input/output parameters at the different points.  
293 In order to see the impact of input parameters on air flow temperature uniformity at the outlet  
294 of the server, the term  $\Delta T_{out}$  is introduced and added to Fig. 7-9. From Fig. 8 DIMM angle  $A$   
295 has a noticeable positive impact on  $\dot{S}_{\Delta p}$  and less significant influence on  $\dot{S}_{\Delta T,ext}$ . The positive  
296 impact means that  $\dot{S}_{\Delta p}$  and  $\dot{S}_{\Delta T,ext}$  increases with  $A$ . These impacts are opposite to our  
297 optimization goals.

298 An increase in DIMM angle  $B$  decreases  $\dot{S}_{\Delta T,int}$  more significantly with less penalty in  $\dot{S}_{\Delta p}$   
299 as compared with DIMM angle  $A$ . However as DIMM angle  $B$  increases it also contributes to  
300 the flow and temperature non-uniformity  $\Delta T_{out}$ . In a heat exchanger due to heat transfer, the  
301 temperature gradients take place between cold and hot fluids and in the wall separating the  
302 fluids. In most of the heat exchanger's heat transfer and pressure drop calculations, the inlet  
303 flow and temperature distribution are considered to be uniform, however this assumption could  
304 not be accepted as the realistic one. In practice, the air flow and temperature distribution are  
305 non-uniform. This non-uniformity has an impact on thermal and hydraulic deteriorations.  
306 Temperature uniformity is simply defined as difference between min and max temperatures at  
307 the outlet of the server or the inlet to heat exchanger.

308 The spacing  $C$  has a negative impact on  $\Delta T_{out}$  and a positive impact on  $T_{out,av}$  which is in  
309 line with the optimization targets. This happens while  $\dot{S}_{\Delta T,int}$  dramatically increases with  $C$   
310 which has an adverse effect on optimization targets.

311 Mass flow rate  $D$  has a considerable negative sensitivity coefficient on all objectives apart  
312 from  $\dot{S}_{\Delta p}$ . The higher the flow rate, the smaller  $\dot{S}_{\Delta T,int}$ ,  $\Delta T_{out}$  and  $\dot{S}_{\Delta T,ext}$  which corresponds to

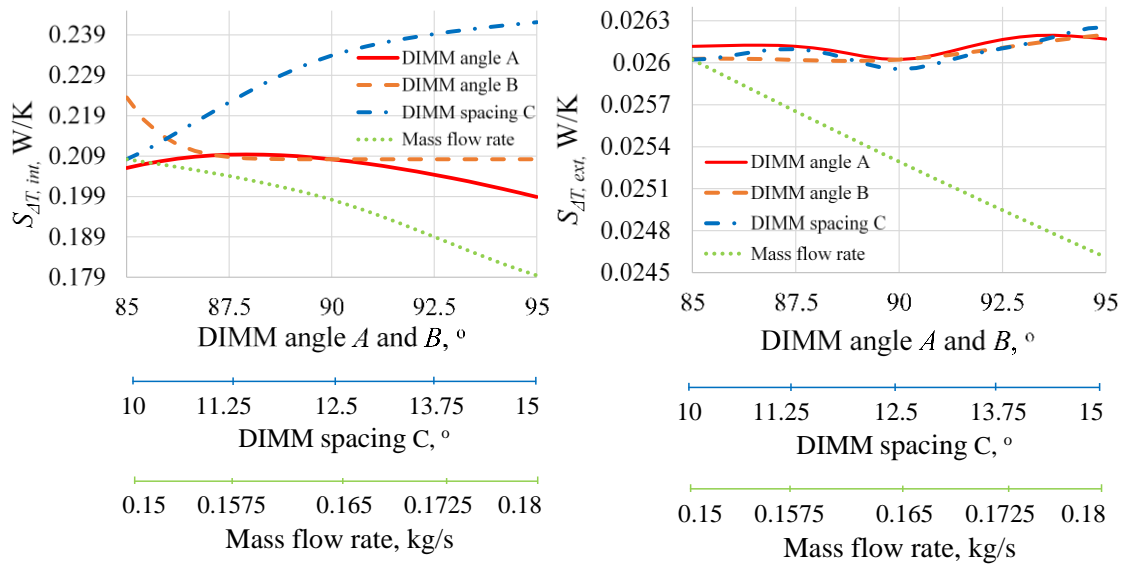
313 our optimization targets. Meanwhile it increases  $\dot{S}_{\Delta p}$  and decreases  $T_{out,av}$  which is against the  
 314 optimization targets.



315

316 **Fig. 7.** Local sensitivity of input parameters on optimization targets and  $\Delta T_{out}$  uniformity

317

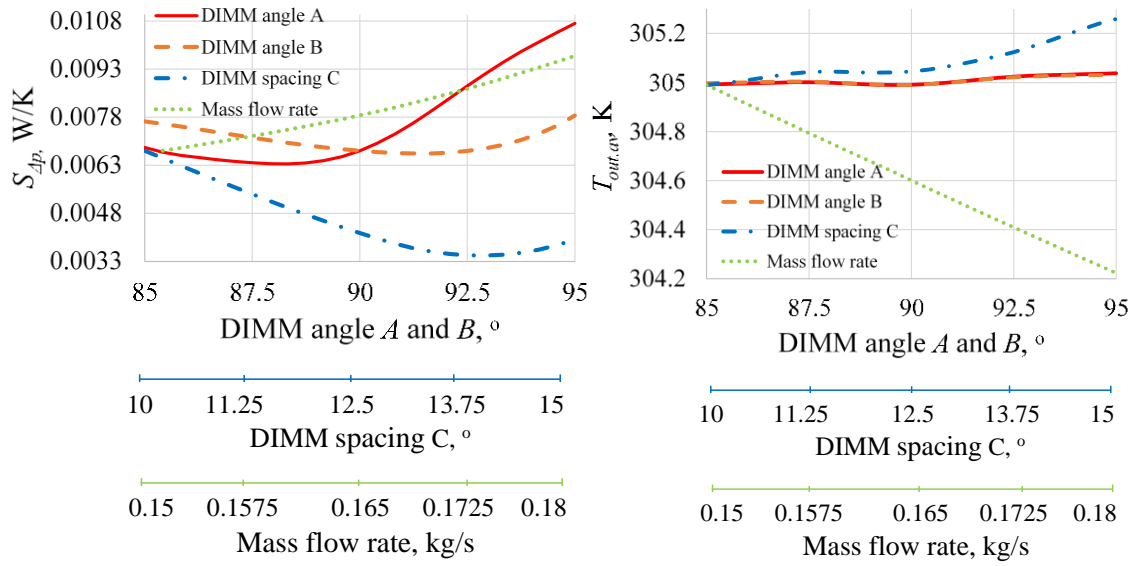


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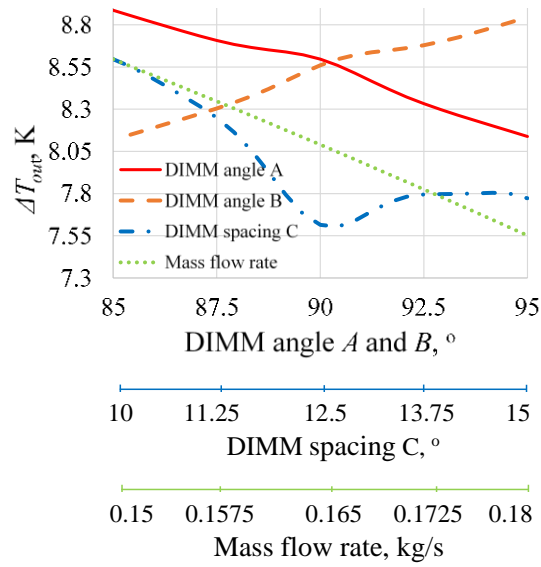
(a)

(b)



(c)

(d)

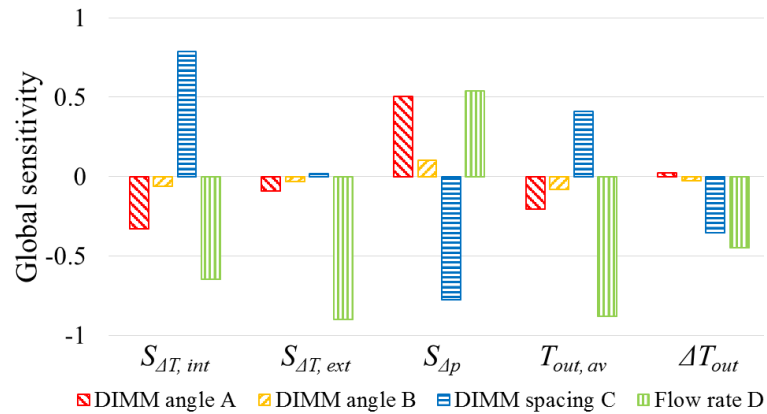


(e)

**Fig. 8.** The detailed local sensitivity analysis of input parameters on optimization targets

328 3.2.2 The global sensitivity of input parameters on optimization targets

329 The global sensitivity chart is slightly different from the local one (Fig. 9). However, the  
 330 main trends are kept the same. The highest effect among all input variables on the optimization  
 331 targets has mass flow rate  $D$  followed by DIMM spacing  $C$  and DIMM angle  $A$ . The least  
 332 influenced parameter is DIMM angle  $B$ . An increase in flow rate  $D$  is in line with all  
 333 optimization goals by neglecting the  $T_{out,av}$  due to small range of change. However the  
 334 increase in  $\dot{S}_{\Delta p}$  is a penalty for the improved optimization goals. An increase in DIMM spacing  
 335  $C$  can decrease this penalty but at the price of degrading other outputs.

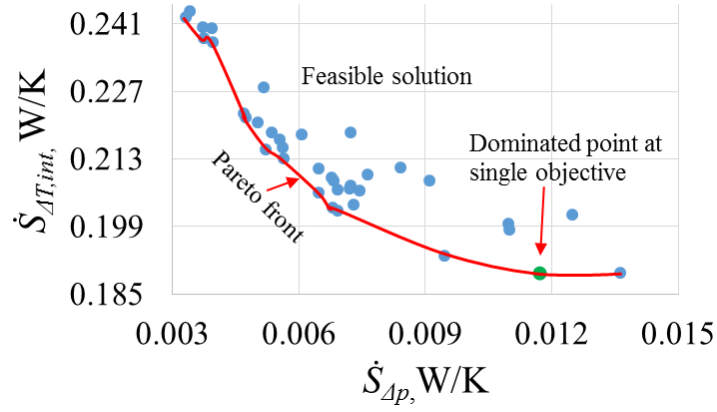


336

337 **Fig. 9.** Global sensitivity of input parameters on optimization targets

338 3.3. Optimization effect on EGM and  $T_{out,av}$

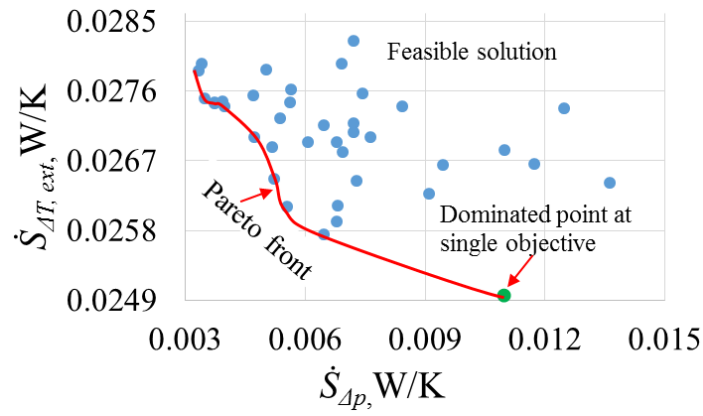
339 Fig. 10 illustrates the obtained Pareto-optimal front for the optimization goals. The Pareto-  
 340 optimal front is a set of non-dominated solutions where all solutions are considered equally  
 341 good and none of the objective functions can be improved without worsening the other one.  
 342 However, by including a subjective preference, i.e., using a single goal on any of the  
 343 optimization goals, the green dots would be considered the best compromise based on EGM  
 344 and  $T_{out,av}$  maximization targets.



345

346

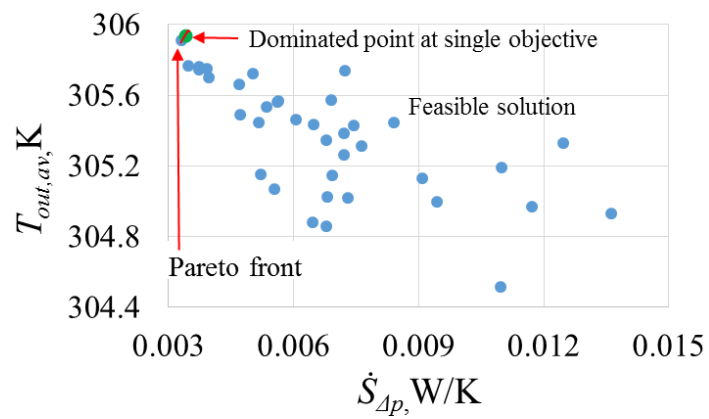
(a)



347

348

(b)



349

350

(c)

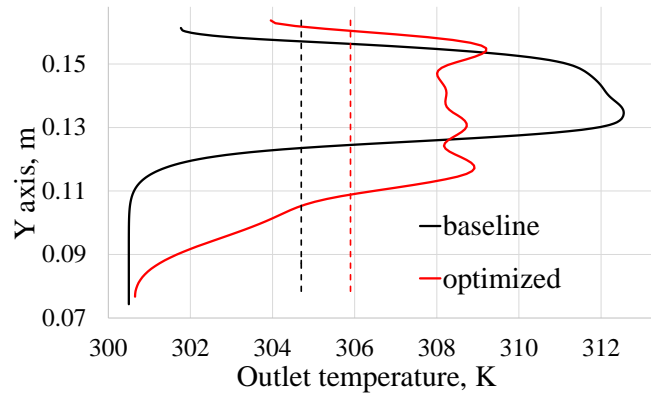
351

Fig. 10. Pareto-optimal front for the optimisation goals



352 3.4. Optimization effect on  $\Delta T_{out}$  uniformity

353 Fig. 11 shows the average outlet temperature  $T_{out,av}$  (dashed line) and  $\Delta T_{out}$  uniformity  
 354 (solid line) of the baseline and optimized server layout selected based on the highest possible  
 355  $\Delta T_{out}$  uniformity. Only a slight increase in  $T_{out,av}$  is observed in the optimized server layout,  
 356 while the  $\Delta T_{out}$  uniformity is more affected. In order to measure the thermodynamic effect of  
 357 the improved geometry of the server with respect to the baseline, the entropy generation ratio  
 358 and temperature uniformity ratio are introduced and defined as  $\dot{S}_R = 1 - \dot{S}_{tot}/\dot{S}_b$ ,  $\Delta T_{out,R} =$   
 359  $1 - \Delta T_{out}/\Delta T_{out,b}$ . Table 5 summarizes a few examples of some improved geometry  
 360 compared to the baseline. According to it,  $\dot{S}_R$  may decrease by up to 15% and the temperature  
 361 uniformity,  $\Delta T_{out,R}$  which defines the waste heat quality by up to 42%.



362

363 **Fig. 11.** Outlet temperature profiles showing  $T_{out,av}$  and  $\Delta T_{out}$  uniformity for the baseline  
 364 and optimized server

365

**Table 5.** Comparison of the optimized server with the baseline one

Geometry	Input parameters	$\dot{S}_{\Delta T,int}$ W/K	$\dot{S}_{\Delta p}$ , W/K	$\dot{S}_{\Delta T,ext}$ W/K	$\dot{S}_{gen}$ W/K	$\Delta T_{out}$ , K	$T_{out,av}$ , K	$\dot{S}_R$ , %	$\Delta T_{out,R}$ , %
Baseline	$A=90^\circ C$	0.22907	0.0058	0.0268	0.2677	12.0	304.25	-	-
	$B=90^\circ C$								

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	$C=10mm$								
	$D=0.2237kg/s$								
<i>Geometry 1</i>	$A=92.78^{\circ}C$	0.18926	0.01172	0.0266	0.22765	9.3	304.97	15	22.5
	$B=87.075^{\circ}C$								
	$C=10.284mm$								
	$D=0.1791kg/s$								
<i>Geometry 2</i>	$A=94.78^{\circ}C$	0.1996	0.0109	0.0249	0.2355	7.1	304.52	12	42
	$B=90.41^{\circ}C$								
	$C=12.784$								
	$D=0.1797kg/s$								
<i>Geometry 3</i>	$A=94.38^{\circ}C$	0.18945	0.0136	0.0264	0.2295	8.4	304.93	14	30
	$B=94.483^{\circ}C$								
	$C=11.066mm$								
	$D=0.1767kg/s$								

---

366

#### 367 4. Conclusions

368 In the present study, an internal layout optimization for a hybrid air/liquid cooled data  
369 centre server is performed using the MOGA approach. Entropy generation minimization and  
370 an average outlet temperature  $T_{out,av}$  maximization are specified as the optimization goal. The  
371 effect of DIMM angles  $A$  and  $B$ , cross-stream distance between DIMMs  $C$  and mass flow rate  
372  $D$  are also investigated. In order to evaluate the potential of the waste heat recovery the term  
373  $\dot{S}_{\Delta T,ext}$  was introduced in this study. The effect of air flow and temperature non-uniformity,  
374  $\Delta T_{out}$  is considered and monitored. The following conclusions are made during the analysis:

- 375           • The flow rate is found to be the most dominated input parameter, followed by  
376           DIMM spacing  $C$  and DIMM angle  $A$  accordingly. The least influenced parameter  
377           is DIMM angle  $B$ ;
- 378           • An increase in flow rate is in line with the optimization goals but it costs an  
379           essential increase in  $\dot{S}_{\Delta p}$ . An increase in DIMM angle  $A$  is also noticeably  
380           contribute to the  $\dot{S}_{\Delta p}$ ;
- 381           • An increase in DIMM spacing  $C$  can curb the growth of  $\dot{S}_{\Delta p}$  with increasing angle  
382            $A$ , but it markedly contributes to  $\dot{S}_{\Delta T, int}$  which is counter to the optimization goals;
- 383           • All input parameters do not significantly change the range of  $T_{out, av}$ . The  
384           difference in  $T_{out, av}$  is within few degrees between the baseline and optimized  
385           server;
- 386           • The visible contribution on  $\Delta T_{out}$  uniformity during the optimization are made by  
387           an increase in all parameters except DIMM angle  $B$ ;
- 388           • Overall the decrease in EGM due to server layout optimization ( $\dot{S}_R$ ) could be as  
389           high as 15%, while the quality of the waste heat due to temperature uniformity  
390            $\Delta T_{out, R}$  might reach up to 42%.

391           The MOGA approach shows a great potential, making it a suitable tool for hybrid-cooled  
392           data server layout optimization design. The server layout optimization design seems a  
393           promising way of improving the data centre cooling system reliability and also increasing the  
394           potentiality for waste heat recovery. The future research direction is considered as the  
395           investigation of the cooling optimization measures at the rack level.

396

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404

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