

# Primitivity, freeness, norm and trace

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## Abstract

Given the extension  $E/F$  of Galois fields, where  $F = \text{GF}(q)$  and  $E = \text{GF}(q^n)$ , we prove that, for any primitive  $b \in F^*$ , there exists a primitive element in  $E$  which is free over  $F$  and whose  $(E, F)$ -norm is equal to  $b$ . Furthermore, if  $(q, n) \neq (3, 2)$ , we prove that, for any nonzero  $b \in F$ , there exists an element in  $E$  which is free over  $F$  and whose  $(E, F)$ -norm is equal to  $b$ . A preliminary investigation of the question of determining whether, in searching for a primitive element in  $E$  that is free over  $F$ , both the  $(E, F)$ -norm and the  $(E, F)$ -trace can be prescribed is also made: this is so whenever  $n \geq 9$ . © 2000 Elsevier Science B.V. All rights reserved.

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## 1. The problems PFN, FN and PFNT

To any pair  $(q, n)$ , where  $q > 1$  is a prime power and  $n \geq 1$  is an integer, there corresponds the extension  $E/F$  of the Galois fields  $F = \text{GF}(q)$  and  $E = \text{GF}(q^n)$ . It is well known that the multiplicative group  $E^*$  of  $E$  is cyclic; each generator is called a *primitive element* of  $E$ . It is also a classical result that there exists an  $F$ -basis of  $E$  of the form  $\{w, w^q, \dots, w^{q^{n-1}}\}$  (for some  $w \in E$ ). Such a basis is called a *normal basis of  $E$  over  $F$* ;  $w$  is called *free in  $E$  over  $F$* .<sup>1</sup> In completion of previous work of Carlitz [1] and Davenport [5] it was proved only in 1987, by Lenstra and Schoof [10] that there always exists a primitive element  $w$  in  $E$  which is also free over  $F$ , i.e., a *primitive normal basis for  $E$  over  $F$*  always exists. Equivalently, for every pair  $(q, n)$ , there exists a monic polynomial  $\mu = x^n + \mu_{n-1}x^{n-1} + \dots + \mu_1x + \mu_0$  of degree  $n$

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<sup>1</sup> In [4] and several other papers  $w$  is called *normal over  $F$* , but we here prefer the term *free*.

in  $\text{GF}(q)[x]$  which is irreducible over  $\text{GF}(q)$  and whose roots are primitive in  $\text{GF}(q^n)$  and linearly independent over  $\text{GF}(q)$ .  $\mu$  is therefore called a *primitive free polynomial for  $\text{GF}(q^n)$  over  $\text{GF}(q)$* .

In [4] the authors proved a conjecture of Morgan and Mullen [12] which states that, for every pair  $(q, n)$  and for every nonzero  $a \in F = \text{GF}(q)$ , there exists a primitive element  $w$  in  $E = \text{GF}(q^n)$  which is free over  $F$  and whose  $(E, F)$ -trace  $\text{Tr}_{E, F}(w) := \sum_{i=0}^{n-1} w^{q^i}$  is equal to  $a$ . As  $\mu_{n-1} = -\text{Tr}_{E, F}(w)$  if  $w$  is a root of  $\mu$ , this is equivalent to the fact that the coefficient  $\mu_{n-1}$  of a primitive-free polynomial for  $E$  over  $F$  can be prescribed as long as it is nonzero (of course, the trace of a free element is always nonzero). (This solved what might be described as the PFT-problem.) It is natural to ask whether certain other coefficients of a primitive-free polynomial can also be prescribed. An obvious choice is  $\mu_0$ , since  $\mu_0 = (-1)^n N_{E, F}(w)$  where  $N_{E, F}(w) = \prod_{i=0}^{n-1} w^{q^i}$  denotes the  $(E, F)$ -norm of  $w$ . As the  $(E, F)$ -norm of a primitive element of  $E$  is always primitive in  $F$ , we are therefore led to the following problem.

**Problem PFN.** *Given a finite extension  $E/F$  of Galois fields and a primitive element  $b$  in  $F$ , does there exist a primitive element  $w$  in  $E$  which is free over  $F$  and whose  $(E, F)$ -norm is equal to  $b$ ?*

*If the answer is ‘yes’ for each primitive  $b$ , then the pair  $(q, n)$  corresponding to  $E/F$  is called a PFN-pair.*

One of the main results of the present paper is the solution of the PFN-problem.

**Theorem 1.1.** *Let  $q > 1$  be a prime power and  $n \geq 1$  be an integer. Then  $(q, n)$  is a PFN-pair.*

The proof of Theorem 1.1 comprises two parts. In Section 2 (see Theorem 2.1), we first characterize those pairs  $(q, n)$  for which the existence of a primitive free element is already sufficient for the pair to be a PFN-pair, i.e., when the PFN-problem can be reduced to the *Primitive Normal Basis Theorem* of Lenstra and Schoof [10] (for simplicity, we denote the latter as Problem PF). The reduction applies to all cases where  $n$  is *small*, e.g., for all pairs  $(q, n)$  where  $n \leq 15$  and  $n \neq 9$ . This is an important step, since possible exceptions are expected for extensions of small degrees rather than large degrees. In Section 3, we complete the proof of Theorem 1.1 by solving Problem PFN for all pairs  $(q, n)$ , where  $n = 9$  or  $n \geq 16$ . We shall achieve the latter through consideration of the following stronger problem.

**Problem PFNT.** *Given a finite extension  $E/F$  of Galois fields, a primitive element  $b$  in  $F$  and a nonzero element  $a$  in  $F$ , does there exist a primitive element  $w$  in  $E$  which is free over  $F$ , whose  $(E, F)$ -norm is equal to  $b$  and whose  $(E, F)$ -trace is equal to  $a$ ?*

*If the answer is ‘yes’ for each pair  $(a, b)$ , then the pair  $(q, n)$  corresponding to  $E/F$  is called a PFNT-pair.*

In Section 4, we will characterize those instances  $(q, n)$  for which Problem PFNT can be reduced to Problem PFN. Together with the results obtained in Section 3, this yields the second main result of the present paper.

**Theorem 1.2.** *Let  $q > 1$  be a prime power and  $n \geq 7$  be an integer. Assume that  $(q, n)$  does not belong to the following list of pairs:*

$$(89, 8), (41, 8), (25, 8), (17, 8), (13, 8), (7, 8), (64, 7), (4, 7).$$

*Then  $(q, n)$  is a PFNT-pair.*

In a further paper [3], sieve-methods are employed in order to handle Problem PFNT for the possible exceptions occurring in Theorem 1.2 as well as for the cases  $n = 6$  and 5. In particular, it is proved that  $(64, 7)$  and  $(4, 7)$  are PFNT-pairs, whence, by Theorem 1.2,  $(q, r)$  is a PFNT-pair for each prime power  $q > 1$  and each prime  $r \geq 7$ . The latter result is important for [7], where, in order to demonstrate the existence of trace- and norm-compatible sequences of primitive (completely) free elements for prime power extensions, a generalization of the PFNT-problem, is considered.

Finally, in Section 5, we study Problem FN, which is not merely a relaxation of Problem PFN, since  $b$  is assumed to be any nonzero element of  $F$ , not necessarily primitive.

**Problem FN.** *Given a finite extension  $E/F$  of Galois fields and a nonzero element  $b$  in  $F$ , does there exist a free element  $w$  in  $E$  whose  $(E, F)$ -norm is equal to  $b$ ?*

*If the answer is ‘yes’ for all  $b$ , then the pair  $(q, n)$  corresponding to  $E/F$  is called an FN-pair.*

The third main result is the following.

**Theorem 1.3.** *Let  $q > 1$  be a prime power and  $n \geq 1$  be an integer. Assume that  $(q, n)$  is not equal to  $(3, 2)$ . Then  $(q, n)$  is an FN-pair. With regard to the case  $(q, n) = (3, 2)$ , if  $w \in \text{GF}(9)$  is free over  $\text{GF}(3)$ , then the  $(\text{GF}(9), \text{GF}(3))$ -norm of  $w$  is  $-1$ .*

We remark that the existence of primitive elements with arbitrary trace (which would be Problem PT in our notation) was completely solved in Cohen [2]: if  $n \geq 3$  and  $(q, n) \neq (4, 3)$ , then, for every  $a \in F$ , there exists a primitive element  $w \in E$  such that  $\text{Tr}_{E,F}(w) = a$ . Moreover, if  $n = 2$  or  $(q, n) = (4, 3)$ , then, for every nonzero  $a \in F$ , there exists a primitive element  $w \in E$  such that  $\text{Tr}_{E,F}(w) = a$ . (Concerning primitive elements with nonzero trace, for  $n \geq 3$  the latter result was independently proved by Jungnickel and Vanstone [9] (see also Section 7.5 in [8]).)

Finally, on the philosophy of tackling problems in this series, we comment that, although in every case, the number of relevant objects can be expressed in terms of character sums of various kinds (thereby yielding a solution for all but finitely many values of  $q$  and  $n$ ), it is by exploiting non-counting theoretical arguments (such as links between the problems) that we can obtain complete solutions without excessive computation or direct verification.

## 2. Reducing Problem PFN to Problem PF

In the present section we consider those pairs  $(q, n)$  for which Problem PFN can be reduced to Problem PF.

**Theorem 2.1.** *Let  $q > 1$  be a prime power and  $n \geq 1$  an integer. Let  $q - 1 = 2^\alpha A$  and  $n = 2^\beta B$  where  $AB$  is odd. Assume that  $\alpha \geq \beta - 1$  if  $\alpha \geq 2$  and that  $\gcd(A, B/\gcd(A, B)) = 1$ . Then  $(q, n)$  is a PFN-pair.*

**Corollary 2.2.** *Assume that  $n = 2^e n_0$ , where  $n_0$  is odd and square-free and where  $e \leq 3$ . Then  $(q, n)$  is a PFN-pair for all prime powers  $q > 1$ .*

**Example 2.3.** Let  $q > 1$  be a prime power and

$$n \in \{1, 2, 3, \dots, 31\} \setminus \{9, 16, 18, 25, 27\}.$$

Then  $(q, n)$  is a PFN-pair.

For the proof of Theorem 2.1, we quote a result from [10]. Given the pair  $(q, n)$ , let again  $E = \text{GF}(q^n)$  and  $F = \text{GF}(q)$ . For a divisor  $d$  of  $q^n - 1$ , let  $C_d$  be the unique subgroup of order  $d$  of  $E^*$ ; furthermore, let  $\Gamma_d$  be the set of generators of  $C_d$ , i.e., the set of all  $x \in E^*$  having multiplicative order  $\text{ord}(x)$  equal to  $d$ . There are exactly  $\varphi(d)$  such elements, where  $\varphi$  denotes Euler's totient function. The following result, drawn from [10] (see (1.12)), characterizes the largest subgroup of  $E^*$  which leaves the set of free elements over  $F$  invariant under multiplication. Throughout, given  $(q, n)$ , we let  $\delta := (q - 1) \cdot \gcd(q - 1, n)$ : observe that  $\delta$  divides  $q^n - 1$ .

**Proposition 2.4.** *For a given pair  $(q, n)$  let  $E, F, \delta$  be as above. Assume that  $\lambda \in C_\delta$  and that  $y \in E$  is free over  $F$ . Then  $\lambda y$  likewise is free in  $E$  over  $F$ .*

**Proof of Theorem 2.1.** Consider a prime divisor  $r$  of  $q - 1$ , and denote by  $r^a$  and  $r^b$ , respectively, the largest power of  $r$  dividing  $q - 1$  and  $n$ , respectively. We assume first that either  $r$  is odd, or that  $r = 2$  and  $q - 1$  is divisible by 4. Then (see e.g., Lemmas 19.4 and 19.5 in [6]),  $R := r^{a-b}$  is the largest power of  $r$  dividing  $q^n - 1$ , i.e.,  $C_R$  is the Sylow- $r$ -subgroup of  $E^*$ . Assume further that  $b \leq a$ . Then  $R$  divides  $\delta$  and thus, if  $y \in E$  is any primitive element which is free over  $F$ , Proposition 2.4 implies that  $yC_R$  entirely consists of elements which are free in  $E$  over  $F$ . We write  $y$  in the form  $y_1 y_2$ , where  $y_1 \in \Gamma_R$  and where  $\text{ord}(y_2) = (q^n - 1)/R$  is relatively prime to  $R$ . If  $\zeta \in C_R$ , then  $\zeta y$  is primitive in  $E$  if and only if  $\zeta y_1 \in \Gamma_R$ . Since  $\Gamma_R = C_R \setminus C_{R/r}$  the latter holds if and only if  $\zeta$  is not contained in the coset  $y_1^{-1} C_{R/r}$  of  $C_{R/r}$  in  $C_R$ . This allows exactly  $R - R/r = \varphi(R)$  choices for  $\zeta$  in  $C_R$  such that  $\zeta y$  remains primitive. We next consider properties of the  $(E, F)$ -norm (which for simplicity is denoted by  $N$  throughout). Let  $\lambda := N(\zeta)$  and  $x := N(y) = y^{(q^n - 1)/(q - 1)}$ . Similar to the above, we write  $x = x_1 x_2$  with  $x_1 \in \Gamma_{r^a}$  being equal to  $N(y_1)$  and with  $\text{ord}(x_2) = (q - 1)/r^a$  being indivisible by  $r$ .

Then  $\lambda x$  is primitive in  $F^*$  if and only if  $\lambda$  does not belong to the coset of  $x_2^{-1}C_{r^{a-1}}$  of  $C_{r^{a-1}}$  in  $C_{r^a}$ , which gives  $r^a - r^{a-1} = \varphi(r^a)$  suitable choices for  $\lambda$ . Since  $r^b$  is the largest power of  $r$  dividing  $(q^n - 1)/(q - 1)$  (see Lemmas 19.4 and 19.5 in [6] and their proofs), the restriction of  $N$  to  $C_R$  gives an epimorphism onto  $C_{r^a}$  with kernel  $C_{r^b}$ , and the preimage of  $\lambda$  in  $C_R$  under  $N$  is thus equal to  $\zeta C_{r^b}$  which has cardinality  $r^b$ . Similarly, the restriction of  $N$  to  $C_{R,r}$  gives an epimorphism onto  $C_{r^{a-1}}$  with kernel  $C_{r^b}$ . We therefore conclude that

$$\{N(\zeta y_1) : \zeta \in C_R \setminus y_1^{-1}C_R\} = \{\lambda x_1 : \lambda \in C_{r^a} \setminus x_1^{-1}C_{r^{a-1}}\} = \Gamma_{r^a},$$

which means that each element of  $\Gamma_{r^a}$  occurs as the  $C_{r^a}$ -part of the norm of some primitive element in  $E$  which is free over  $F$ .

Assume next that  $b > a$ . (By our assumptions, this case is needed only if  $r = 2$ .) Then  $r^{2a}$  is the largest power of  $r$  dividing  $\delta$ , whence the Sylow- $r$ -subgroup  $C_{r^{2a}}$  of  $C_\delta$  is a proper subgroup of  $C_R$ . As  $N(C_{r^{2a}}) = C_{r^{2a-b}}$ , the Primitive Normal Basis Theorem of Lenstra and Schoof even guarantees the existence of  $r^{2a-b} \leq r^{a-1} \leq \varphi(r^a)$  elements occurring as the  $C_{r^a}$ -part of a primitive element of  $E$  which is free over  $F$ . Thus, if  $b = a + 1$  and  $r = 2$ , then  $r^{2a-b} = \varphi(r^a)$  and therefore, as above,

$$N(y_1 C_{2^{2a}}) = x_1 C_{2^{a-1}} = \Gamma_{2^a}.$$

We finally consider the case where  $r = 2$  and where  $q \equiv 3 \pmod{4}$  (i.e.,  $a = 1$ ). But here, the  $C_2$ -part of the norm of each primitive element in  $E$  is always equal to  $-1$  (no matter how large  $b$  is). This completes the study of the Sylow subgroups of  $E^*$  belonging to prime divisors of  $q - 1$ .

Observe now that  $F^*$  is equal to the direct product of its Sylow- $r$ -subgroups  $C_{r^{a(r)}}$  (where now for  $r$  dividing  $q - 1$ ,  $r^{a(r)}$  denotes the largest power of  $r$  dividing  $q - 1$ ). Observe also that  $\Gamma_{q-1}$ , i.e., the set of primitive elements in  $F$ , is equal to the product of the sets  $\Gamma_{r^{a(r)}}$ . We are therefore able to combine the above results to deduce that each element of  $\Gamma_{q-1}$  occurs as the norm of some primitive element in  $E$  which is free over  $F$  provided the following conditions hold, where now  $r^{b(r)}$  denotes the largest power of  $r$  dividing  $n$ :

- (a)  $b(r) \leq a(r)$ , if  $r$  is an odd prime divisor of  $q - 1$ , and
- (b)  $b(2) \leq a(2) + 1$ , if  $q - 1$  is divisible by 4.

Since this is a reformulation of the contents of Theorem 2.1, everything is proved.  $\square$

### 3. PFNT-pairs and the solution of Problem PFN

In the present section we shall complete the proof of Theorem 1.1. For this purpose, we consider the stronger Problem PFNT.

Throughout, for a given pair  $(q, n)$ , let  $P = P(q, n)$  be the largest divisor of  $q^n - 1$  which is relatively prime to  $q - 1$ , and let  $\omega = \omega(P)$  be the number of distinct prime divisors of  $P$ . Furthermore, let  $t = t(q, n)$  be the largest divisor of  $x^n - 1$  which is relatively prime to  $x - 1$ , and let  $\Omega = \Omega(t)$  be the number of distinct monic divisors  $d \neq 1$  of  $t$  which are irreducible over  $F = \text{GF}(q)$ . The following result provides a sufficient criterion for  $(q, n)$  to be a PFNT-pair; it is a special case of Proposition 3.1 in [7] (which is proved by examining the characteristic functions of primitive and free elements with prescribed norm and trace which are given in terms of Gauss sums and other character sums). For the basic theory of such characters and sums over finite fields, we refer to [11, Chapter 5; 8, Chapter 7].

**Proposition 3.1.** *For a given pair  $(q, n)$  let  $P, \omega, t, \Omega$  be defined as above. Assume that*

$$\frac{q^{n-2}}{q(q-1)} > \left(2^\Omega - \frac{1}{q}\right) \left(2^\omega - \frac{1}{q-1}\right). \quad (3.1)$$

*Then  $(q, n)$  is a PFNT-pair.*

Using Proposition 3.1, we shall show that for  $n \geq 7$  there are at most 18 pairs  $(q, n)$  which fail to be a PFNT-pair. (In Section 4, this list of possible exceptions is eventually reduced to the 8 members listed in Theorem 1.2.)

In order to apply Proposition 3.1, it is useful to have upper bounds for the parameters  $\omega$  and  $\Omega$ . First, an application of Lemma 2.6 in [10] gives the following: if  $l > 1$  is an integer and  $A$  a set of primes  $s < l$  such that each prime divisor  $r < l$  of  $P$  is contained in  $A$ , then, with  $L = L(A) := \prod_{s \in A} s$  and  $|A|$  being the cardinality of  $A$  it holds that

$$\omega \leq \frac{\log P - \log L}{\log l} + |A|. \quad (3.2)$$

Since  $P$  is odd, we may always take  $A$  to be a set of odd primes. Secondly, we use upper bounds for  $\Omega$  which are given in the form

$$\Omega \leq \alpha n + \beta, \quad (3.3)$$

where, depending on the situation,  $\alpha > 0$  and  $\beta$  are suitable rational numbers (see e.g., Lemma 4.3 in [4]). Proposition 3.1 in combination with (3.2) and (3.3) yield the following equivalent sufficient criteria for  $(q, n)$  to be a PFNT-pair. We leave the simple calculations to the reader.

**Lemma 3.2.** *If for some choice of  $l, A, \alpha$  and  $\beta$  either (3.4) or (3.5) is true, then  $(q, n)$  is a PFNT-pair.*

$$\left(\frac{n-4}{\log 4} - \frac{n-1}{\log l}\right) \log q \geq \alpha n + \beta + |A| - \frac{\log L}{\log l}, \quad (3.4)$$

$$\left(\frac{\log q}{\log 4} - \frac{\log q}{\log l} - \alpha\right) n \geq \frac{2 \log q}{\log 2} - \frac{\log q}{\log l} + \beta + |A| - \frac{\log L}{\log l}. \quad (3.5)$$

We are now going to analyse these conditions for  $n \geq 7$ . The necessary calculations can be done with a computer algebra system (Maple for instance).

*Case 1:* Assume first that  $n \geq 10$  and that  $q \geq 11$ . We choose  $l = 72$  and, observing that  $P$  is always odd, let  $A$  be the set of all odd primes less than 72.

*Case 1a:* Assume further that  $q$  is congruent to 1 mod  $n$ . Then  $\Omega = n - 1$ , whence, assuming that  $(q, n)$  is not a PFNT-pair, (3.4) implies  $n \leq 22$ . For each  $n \in \{10, 11, \dots, 22\}$  we use (3.5) to obtain a concrete upper bound for  $q$ , i.e.,  $q \leq 407$  if  $n = 10$ , or  $q \leq 231$  if  $n = 11$ , etc. For each pair in this range we either use (3.4) (yet with  $A$  being the set of all primes  $r$  less than 72 which are prime to  $q$  and for which  $n$  is divisible by the multiplicative order of  $q$  modulo  $r$ ) or Proposition 3.1. The assumption that  $(q, n)$  is not a PFNT-pair thus leaves the following pairs for which (3.1) fails:

$$(16, 15), (13, 12), (11, 10). \quad (3.6)$$

*Case 1b:* We assume next that  $q - 1$  is not divisible by  $n$ . If the characteristic  $p$  of  $F$  does not divide  $n$ , we may take  $\alpha = 3/4$  and  $\beta = -1$  (see Lemma 4.3 in [4]) and obtain  $n \leq 24$  by (3.4). An analysis analogous to Case 1 shows that all pairs under consideration are in fact PFNT-pairs. If  $p$  divides  $n$ , we may choose  $\alpha = 1/2$  and  $\beta = -1$  to satisfy (3.3) and to obtain  $n \leq 13$  from (3.4). Again, all pairs under consideration turn out to be PFNT-pairs.

*Case 2:* We now consider all cases where  $n \geq 10$  and where  $q \in \{9, 8, 7, 5, 4\}$ . For  $q = 9, 8$  we again choose  $l = 72$ , while for  $q = 7, 5, 4$  we choose  $l = 200$ . Furthermore, for  $q = 9, 8, 7$  we may take  $\alpha = 3/4$  and  $\beta = -1$ , while for  $q = 5$ ,  $\alpha = 1/3$  and  $\beta = 5$  are suitable (see again Lemma 4.3 in [4]). If  $q = 4$  and  $n \neq 15$ , let  $\alpha = 1/3$  and  $\beta = 1$ . If  $q = 9$  we may assume that  $3 \notin A$ . An application of (3.5) shows that the failing of  $(q, n)$  to be a PFNT-pair implies  $n \leq 125$ . As in the foregoing cases, we test all remaining pairs to determine whether condition (3.4) (using a modified choice of  $A$ ) or (3.1) is satisfied. It turns out that all pairs under consideration are PFNT-pairs. For  $q \in \{8, 7, 5, 4\}$  we proceed similarly: we may assume that  $7 \notin A$  if  $q = 8, 7$ ;  $3 \notin A$  if  $q = 7, 4$ ; and  $5 \notin A$  if  $q = 5$ . The only pairs  $(q, n)$  which do not satisfy (3.1) are the following three pairs:

$$(4, 15), (7, 12), (5, 12). \quad (3.7)$$

*Case 3:* We finally consider all cases where  $n \in \{9, 8, 7\}$  and where  $q \geq 4$ . Again, for a given  $n$ , we use (3.4) to get an upper bound for  $q$ , where, depending on  $q$  modulo  $n$ , we have various bounds for  $\Omega$ . We omit the routine details here, and simply report that the pairs  $(q, n)$  under consideration which do not satisfy (3.1) are precisely the following:

$$\begin{aligned} &(4, 9), \\ &(89, 8), (41, 8), (25, 8), (17, 8), (13, 8), (9, 8), (7, 8), (5, 8), \\ &(64, 7), (8, 7), (4, 7). \end{aligned} \quad (3.8)$$

We are now able to complete the proof of Theorem 1.1.

Since every PFNT-pair obviously is a PFN-pair, by Theorem 2.1 and the results of the present section, it suffices to show that  $(2, n)$  and  $(3, n)$  are PFN-pairs for each  $n \geq 1$  and that  $(4, 9)$  is a PFN-pair.

Firstly, it is clear that  $(2, n)$  is also a PFNT-pair for each  $n \geq 1$ : trivially, this follows already from [10] since trace and norm have to be equal to 1. Secondly, it follows from [4] that  $(3, n)$  is also a PFNT-pair for all  $n \geq 1$ , since each primitive element has fixed norm equal to  $-1$  in this case. It finally remains to show that  $(4, 9)$  is a PFN-pair. We will see in the next section that  $(4, 9)$  is also a PFNT-pair. Here, we shall prove directly that each pair  $(4, n)$  is a PFN-pair: if  $w$  is primitive and free in  $\text{GF}(4^n)$  over  $\text{GF}(4)$ , then  $w^2$  is likewise primitive and free in  $\text{GF}(4^n)$  over  $\text{GF}(4)$ , but  $w^2$  has a different norm from  $w$ . Since there are only two primitive elements in  $\text{GF}(4)$ , everything is proved.  $\square$

#### 4. Reducing Problem PFNT to Problem PFN

By Theorem 1.1, the following 10 pairs  $(q, n)$  (which are among the 18 pairs in (3.6), (3.7) and (3.8)) are all PFN-pairs.

$$(16, 15), (4, 15), (13, 12), (7, 12), (5, 12), (11, 10), (4, 9), (9, 8), (5, 8), (8, 7).$$

Since they fail (3.1) in Section 3, we were not able to show there that these are PFNT-pairs. In the present section we will see that all these pairs are in fact PFNT-pairs: this is a consequence of Proposition 4.1, which, under the assumption that  $q-1$  divides  $n$ , characterizes instances of Problem PFNT which can be reduced to Problem PFN. Together with the analysis of Problem PFNT in Section 3, this completes the proof of Theorem 1.2.

**Proposition 4.1.** *Let  $q > 1$  be a prime power and let  $n \geq 1$  be an integer. Assume that  $q-1$  divides  $n$ . Then  $(q, n)$  is a PFNT-pair if and only if  $(q, n)$  is a PFN-pair.*

**Proof.** Let  $F = \text{GF}(q)$  and  $E = \text{GF}(q^n)$ , let  $b \in F^*$  be primitive and  $a \in F$  be nonzero. Since  $(q, n)$  is a PFN-pair (by Theorem 1.1), there exists a primitive element  $y$  of  $E$  which is free over  $F$  and whose  $(E, F)$ -norm is equal to  $b$ . Let  $x := \text{Tr}_{E, F}(y)^{-1} a y$ . Then  $x$  is free in  $E$  over  $F$  and  $\text{Tr}_{E, F}(x) = a$ . Furthermore, [by Lemma 2.5 and Proposition 2.6 in 4],  $x$  is primitive (it is here used that the square-free part of  $q-1$  divides  $n$ ). Moreover, since  $q-1$  divides  $n$  by assumption, we have that  $q-1$  divides  $(q^n-1)/(q-1)$  (see e.g., Lemmas 19.4 and 19.5 in [6]). Therefore,

$$N_{E, F}(x) = (\text{Tr}_{E, F}(y)^{-1} a)^{(q^n-1)/(q-1)} \cdot b = b$$

and everything is proved.  $\square$



## 5. The solution of Problem FN

In this last section we consider Problem FN and prove Theorem 1.3. Given  $(q, n)$ , let  $\delta$  be as in Proposition 2.4, let  $P$  be as in Proposition 3.1 and let  $D := (q^n - 1)/P$  (whence  $\delta$  divides  $D$ ). Proposition 5.1 can be seen as an analogue of Theorem 2.1.

**Proposition 5.1.** *Assume that for a given pair  $(q, n)$  it is the case that  $\delta = D$ . Then  $(q, n)$  is an FN-pair.*

**Proof.** We use the same notation as in the proof of Theorem 2.1. The restriction of  $N$  onto  $C_D$  gives an epimorphism onto  $F^*$  with kernel  $C_{D/(q-1)}$ . If  $y$  is free in  $E$  over  $F$ , then, under the assumption that  $D = \delta$ , by Proposition 2.4,  $yC_D$  consists entirely of elements which are free in  $E$  over  $F$ . As  $N(C_D y) = F^* y$ , the norm of a free element can be prescribed.  $\square$

**Corollary 5.2.** *If  $n$  is a square-free odd number, then  $(q, n)$  is an FN-pair for each  $q$ . Further, if  $(q, n)$  is an FN-pair, where  $n$  is odd and  $q \equiv 1 \pmod{4}$ , then  $(q, 2n)$  and  $(q, 4n)$  are FN-pairs.*

Proposition 5.3 below provides a sufficient criterion for  $(q, n)$  to be an FN-pair. It can be seen as an analogue of Proposition 3.1 and is likewise proved by examining the characteristic functions of free elements with prescribed norm given in terms of Gauss sums character sums. We omit the proof and refer to Proposition 4.1 in [4] and Proposition 3.1 in [7] for similar reasoning.

**Proposition 5.3.** *For a given pair  $(q, n)$ , let  $\Gamma$  be the number of distinct monic divisors  $d \neq 1$  of  $x^n - 1$  that are irreducible over  $F = \text{GF}(q)$ . Assume that*

$$q^{n-2} > (2^\Gamma - 1)(q - 2). \quad (5.1)$$

*Then  $(q, n)$  is an FN-pair.*

It is easy to see that each pair satisfying (3.1) likewise satisfies (5.1). Thus, for  $n \geq 7$ , in order to show that  $(q, n)$  is an FN-pair, it is sufficient to test (5.1) for the 18 pairs listed in (3.6), (3.7) and (3.8), which fail (3.1). Moreover, since 12 of these pairs fall within the scope of Proposition 5.1 and Corollary 5.2, for  $n \geq 7$ , it remains to check the following pairs:

$$(11, 10), (7, 12), (4, 9), (13, 8), (7, 8), (5, 8).$$

It is easy to see that (5.1) is satisfied in all six cases, whence Theorem 1.3 holds whenever  $n \geq 7$ .

In order to complete the proof of Theorem 1.3, using again Proposition 5.1 and Corollary 5.2, it remains to check the cases  $n = 6, 4, 2$  for  $q \not\equiv 1 \pmod{4}$ . Trivially, we may assume that  $q \neq 2$ . First let  $n = 6$ . Then  $q^3 > 63(q - 2)$  is satisfied for all  $q \geq 7$ ,

implying (5.1) for all  $q \geq 7$ . The pairs (4, 6) and (3, 6) likewise satisfy (5.1). Next let  $n = 4$ . Then  $q^2 > 15(q - 2)$  is satisfied whenever  $q \geq 13$ , and (5.1) is likewise satisfied for the pairs  $q = 11, 8, 7, 4, 3$ . This establishes Theorem 1.3 for  $n = 6$  and  $n = 4$ .

Finally let  $n = 2$ . Since  $q > q - 2$ , (5.1) is satisfied if  $q$  is even. Assume therefore that  $q$  is odd, indeed, by Corollary 5.2 that  $q \equiv 3 \pmod{4}$ . Evidently, an element  $w$  of  $E$  that is free over  $F$  and has  $(E, F)$ -norm equal to  $b \in F^*$ , is the root of an irreducible quadratic  $x^2 + ax + b$  ( $a \in F^*$ ) over  $F$ . If  $\chi$  is the quadratic character of  $F$ , the number of such irreducible quadratics is

$$\frac{1}{2} \sum_{a \in F^*, a^2 \neq 4b} (1 - \chi(a^2 - 4b)) = \frac{q-1}{2} - \chi(b),$$

because  $\sum_{a \in F} \chi(a^2 - 4b) = -1$  (see [11, Theorem 5.48]) and  $\chi(4b) = -\chi(-4b)$ , since  $q \equiv 3 \pmod{4}$ . The result follows and the proof of Theorem 1.3 complete.  $\square$

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