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# Airborne observation of mixing across the entrainment zone during PARADE 2011

F. Berkes<sup>1,2,a</sup>, P. Hoor<sup>1</sup>, H. Bozem<sup>1</sup>, D. Kunkel<sup>1</sup>, M. Sprenger<sup>3</sup>, and S. Henne<sup>4</sup>

<sup>1</sup>Institute for Atmospheric Physics, Johannes Gutenberg University Mainz, Mainz, Germany

<sup>2</sup>Department of Atmospheric Chemistry, Max Planck Institute for Chemistry, Mainz, Germany

<sup>3</sup>Institute for Atmospheric and Climate Science, ETH Zurich, Zürich, Switzerland

<sup>4</sup>Empa Swiss Federal Laboratories for Materials Science and Technology, Dübendorf, Switzerland

<sup>a</sup>now at: Institute of Energy and Climate Research-8: Troposphere, Forschungszentrum Jülich GmbH, Jülich, Germany

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Correspondence to: F. Berkes (f.berkes@fz-juelich.de)

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## Abstract

This study presents the analysis of the structure and air mass characteristics of the lower atmosphere during the field campaign PARADE (PARTICLES and RADICALS: DIEL observations of the impact of urban and biogenic Emissions) on Mount Kleiner Feldberg in southwestern Germany during late summer 2011. We analysed measurements of meteorological variables (temperature, moisture, pressure, wind speed and direction) from radio soundings and of chemical tracers (carbon dioxide, ozone) from aircraft measurements. We focus on the thermodynamic and dynamic properties, that control the chemical distribution of atmospheric constituents in the boundary layer. We show that the evolution of tracer profiles of CO<sub>2</sub> and O<sub>3</sub> indicate mixing across the inversion layer (or entrainment zone). This finding is supported by the analysis of tracer–tracer correlations which are indicative for mixing and the relation of tracer profiles in relation to the evolution of the boundary layer height deduced from radio soundings. The study shows the relevance of entrainment processes for the lower troposphere in general and specifically that the tracer–tracer correlation method can be used to identify mixing and irreversible exchange processes across the inversion layer.

## 1 Introduction

The evolution of the planetary boundary layer (PBL) from stably stratified in the morning to well mixed in the afternoon is a multifaceted process and potentially leads to enhanced mixing between the PBL and the free troposphere (FT) (Stull, 1988). At sunset, a stable boundary layer (SL) with low turbulence intensity grows near the surface (Mahrt, 2014). Between this layer and the FT, a neutrally stratified layer remains resulting from the decay of turbulence of the convective PBL during daytime. This layer, called the residual layer (RL), maintains the chemical composition of the original convective PBL (Stull, 1988). The turbulent-convective PBL and the overlaying FT are separated by the capping inversion, which often acts as a transport barrier. This barrier,

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which is indicated by changes of the vertical gradients of temperature, humidity, aerosol content and chemical constituents, can be overcome by frontal activity (Sinclair et al., 2010). Frontal systems ahead of the respective cold and warm sectors of a cyclonic depression lead to vertical exchange between the PBL and the FT and potentially mix both air masses (Donnell et al., 2001). This is also the case for shallow and deep convection (e. g. Flaty et al., 1995; Hauf et al., 1995). The so called entrainment zone (EZ) is a layer of intermittent turbulence at the top of the PBL where air masses from the FT are entrained into the capping inversion and thus can interact with convective thermals from the PBL (e. g. Angevine, 2007; Bange et al., 2007). Based on LIDAR (Träumner et al., 2011), wind profiler (Cohn and Angevine, 2000) and ceilometer measurements (Eresmaa et al., 2006), the extent of the EZ was estimated to 20–40 % of the PBL depth (Martin et al., 2014).

Airborne flux measurements of heat and moisture were used to determine the impact of entrainment on their budgets (e. g. Driedonks and Tennekes, 1984; Canut et al., 2010), and to understand the influence of entrainment on the development of boundary layer clouds (Stevens et al., 2003) and the decay of stratocumulus clouds (e. g. Lilly, 1968). Malinowski et al. (2013) showed that turbulent mixing between the cloud top and the FT depends on the thermodynamic properties (static stability) and wind shear (dynamic instability). Moreover, radiative effects can change the entrainment flux at the cloud tops and lead to exchange and mixing as well between the FT and PBL (Moeng et al., 1999). Modelling studies revealed that changes of the (static) stability, low-level wind shear, and turbulent motions affect not only the growth of the boundary layer but also the entrainment at the PBL top (e.g. Driedonks and Tennekes, 1984; Sullivan et al., 1998; Cohen, 2000). Lock et al. (2000) showed that mixing and entrainment at the top of the PBL depend on the surface buoyancy flux, the inversion strength and on the influence of wind shear (Conzemius and Fedorovich, 2006).

In recent years substantial efforts have been made to understand the driving physical processes of temperature and humidity transport during the growth or decay of the PBL as summarized by Lothon et al. (2014). It is still difficult to quantify the role of

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the EZ for the mixing of trace gases, because of its high spatial, vertical and temporal variability (Martin et al., 2014). Vila-Guerau de Arellano (2004) was able to show that the downward transport of CO<sub>2</sub> from the FT into the PBL can enhance or reduce CO<sub>2</sub> mixing ratios within the PBL which then can lead to a misinterpretation of possible CO<sub>2</sub> sources. Vertical gradients of ozone exist as well between the PBL and FT, and they are strongly affected by transport processes and chemical reactions. Measurements and modelling studies of O<sub>3</sub> revealed that convective transport of ozone precursors lead to chemical ozone formation in the FT and enhances the background concentrations (Hov and Flato, 1997; Henne et al., 2005). Moreover, downward transport of ozone rich air from the FT can enhance the ozone mixing ratio in the PBL, too (Beck et al., 1997; McKendry and Lundgren, 2000).

Neuman et al. (2012) used tracer–tracer (CO–O<sub>3</sub>) correlations to identify the mixing of ozone from the FT into the PBL. This approach is commonly used to identify stratosphere–troposphere exchange (e.g., Fischer et al., 2000; Hoor, 2002). Generally the approach makes the assumption of two well-mixed reservoirs, which give rise to linear tracer–tracer relationships in the case of mixing. However, the inversion layer acts as a barrier for mixing and often exhibits strong trace gas gradients. Therefore the distribution of data points in tracer–tracer scatter plots subdivide into distinct groups depending on the mixing ratios of the respective well mixed reservoirs. Mixing between these air masses leads to linear connections between these groups (mixing lines).

Handisides (2001) presented ozone and peroxy radical observations from the local valley and from Mount Kleiner Feldberg and linked his findings to transport within different boundary layer structures in summertime. Moreover during winter, Wetter (1998) observed on the top of Mount Kleiner Feldberg CO plumes transported out of the stable boundary layer below the summit. In both studies the PBL structure was not investigated in detail. However, this is necessary to understand and interpret the temporal evolution of the spatial distribution of atmospheric trace species measured within and aloft the PBL, especially over complex terrain where handover processes between the

PBL and the FT are additionally triggered by thermally induced flow systems (Kossmann et al., 1999; Henne et al., 2004).

In this study, we will use CO<sub>2</sub> and O<sub>3</sub> to study mixing across the EZ with the tracer-tracer correlation method. Both trace species have been measured by aircraft during the field campaign PARADE at Mount Kleiner Feldberg in late summer 2011, and are used for the first time together to identify mixing and transport within this atmospheric region. Additionally we discuss possible processes which lead to mixing across the entrainment zone. In this context we focus on the boundary layer development and the weather conditions. We will first present the synoptic condition during the day of measurements and put it into perspective with the entire situation of the measurement campaign. We focus on the diurnal evolution of the boundary layer which we determined with balloon and ceilometer measurements. We then present the results of the aircraft trace species measurements. Finally, we discuss possible processes which may be responsible for the measured distribution of CO<sub>2</sub> and O<sub>3</sub> and its influence from local mixing and long-range transport.

## 2 Measurement and methods

### 2.1 PARADE 2011

The PARADE (PARTicles and RADicals: Diel observations of the impact of urban and biogenic Emissions) field campaign took place in August and September 2011 located at the Taunus Observatory at the summit of Mount Kleiner Feldberg (825 m a.s.l.), about 20 km northwest of Frankfurt am Main in southwestern Germany. The area around Mount Kleiner Feldberg is characterized by either pristine or anthropogenically polluted air conditions depending on the prevailing wind direction. The goal of PARADE was to study the impact of summertime biogenic and urban emissions in a semi-remote environment. A comprehensive set of measurements of several reactive species and meteorological variables were conducted during PARADE, also including in situ mea-

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surement of ozone, and carbon dioxide (Phillips et al., 2012; Bonn et al., 2014; Li et al., 2015).

Mount Kleiner Feldberg is mostly covered with coniferous forest, except on the top where the observations took place during PARADE. Meteorological measurements for temperature, wind, humidity, global radiation are performed by a permanently operating observatory of the German Weather Service (DWD) and of the State of Hessen environmental agency (HLUG) (Crowley et al., 2010). The station is known for its quite remote character under prevailing northwesterly winds (Klein et al., 2010). However, this rural region is affected by pollution from the Rhein-Main area (including the cities of Frankfurt/Main, Wiesbaden, and Mainz) when wind directions have a southerly component. The area north of Mount Mount Kleiner Feldberg (50–100 km) is less populated and without major industry. In the direct vicinity of Mount Kleiner Feldberg are few main roads, some small towns within 5 km, and two similar sized mountain peaks are located: Großer Feldberg (878 m) and Altkönig (798 m).

During this field campaign the synoptic conditions involved predominately south westerly winds, mainly related to troughs over the northeastern Atlantic and to high pressure systems over Central Europe. The south westerly winds advected enhanced levels of anthropogenic pollution to Kleiner Feldberg and were interrupted by northern to north easterly winds several times. In these cases the probed air masses showed rather low levels of anthropogenic pollution (Phillips et al., 2012). We will present additional details on the synoptic conditions below.

## 2.2 Measurements

### 2.2.1 Aircraft instrumentation

A P68D Partenavia turbo prop aircraft operated by Enviscope GmbH (www.enviscope.de, last access: 12 November 2014) was equipped with several instruments to perform measurements in and above the planetary boundary layer on three days (31 August, 2 September, 6 September 2011) during the campaign. The aircraft flew 5 research

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flights from Mainz to Mount Kleiner Feldberg. Ascending profiles were flown up and downstream of the surface station, while descending profiles were performed directly over Mount Kleiner Feldberg. The ascending flight legs were conducted in different regions and are not included in the averaged profiles of the following analysis. The maximum flight altitude was three km and the flight time was approximately two to three hours. A typical flight track is shown in Fig. 1 and illustrates the sampling strategy. In total, 13 descent profiles were obtained at different locations and times for each variable.

CO<sub>2</sub> was measured with a modified commercial CO<sub>2</sub>/H<sub>2</sub>O analyser (LI-6262, LI-COR Inc.) with a total uncertainty of 0.55 ppmv (= one standard deviation  $\sigma$ ) determined from regular in-flight calibrations and a time resolution of 1 Hz (Gurk et al., 2008). Ozone (O<sub>3</sub>) was measured by UV absorption with the commercial gas analyser O342M (Environnement S.A.) with a total uncertainty of 1.3 ppbv for a moist air mass with less than 10 g kg<sup>-1</sup> specific humidity (Köllner, 2013). The ambient temperature and moisture were measured with a commercial HMP230 (Vaisala) with an accuracy of two to three percent for relative humidity and 0.5 °C for temperature. The ambient pressure (SETRA Model 270) was measured with accuracy of 0.55 hPa (pers. com., Enviscope GmbH). The particle number concentration was measured by the Optical Particle Counter (Grimm SKY-OPC 1.129) for a size range of 0.25–32 µm (32 channels). Additionally, particle number concentrations of particles with diameters between 10 nm and 5 µm were measured with a modified condensation particle counter (CPC-Modell 3007, TSI). These measurements are reported for standard temperature (273.15 K) and pressure (1013.25 hPa) conditions (STP).

Three-second average measurements are analysed corresponding to a spatial resolution of about 150 m at an average aircraft velocity of 50 m s<sup>-1</sup>. The aircraft descent took about 10 min, so that the 3 s data yielded a vertical resolution of three to four meters. For further analysis of the vertical structure of the trace gases measurements between 1000 and 3000 m a.s.l. Kleiner Feldberg (approx. 150 m over the mountain

summit), each vertical profile is linearly interpolated to a uniform vertical grid with 10 m resolution.

## 2.2.2 Surface-based and radiosonde measurements

Vertical profiles of temperature, relative humidity and position were obtained from 174 radiosondes (GRAW DFM-06, www.graw.de, last access: 12 November 2014). Four to ten radiosondes were launched each day, starting usually one hour before sunrise and ending one hour after sunset. The sampling rate of 1 Hz results in a vertical resolution of about 1–2 m. The data has been interpolated onto a equidistant vertical grid with 20 m resolution. The pressure is obtained from these observations. Wind speed and wind direction are calculated from temporal changes of the current position of the balloon relative to the surface station. The near surface temperature measurements were compared to the temperature measurement from the local observatory. The comparison revealed a high positive correlation with an  $r^2 = 0.99$  and a mean absolute deviation of  $|T_{RS} - T_{DWD}| = 0.56 \pm 0.50^\circ\text{C}$ .

The Ceilometer CHM 15 k-x from Jenoptik (www.jenoptik.com, last access: 12.11.2014) is primarily designed to determine the cloudbase from 200 m to 15 km above ground. In the absence of clouds, the backscatter signal can also be used for detecting aerosol layers (Münkel et al., 2007). The laser emits a light pulse with a repetition rate of 5–7 kHz, a pulse time of 1 ns and a wavelength of  $\lambda = 1064\text{nm}$ , with a vertical resolution of 15 m. Thus, every 30 s a vertical backscatter profile is obtained.

Surface based  $\text{CO}_2$  measurements were performed with a commercial  $\text{CO}_2/\text{H}_2\text{O}$  analyser (LI-6262, LI-COR Inc.) with a total uncertainty of 2 ppmv determined from regular calibrations and a time resolution of 1 Hz. Additionally  $\text{CO}_2$  was measured from the mobile laboratory (MoLa) (Drewnick et al., 2012) with an commercial  $\text{CO}_2/\text{H}_2\text{O}$  analyser (LI-840, LI-COR Inc.) without regular calibrations and a separate inlet line. This data was provided as 10 min mean values and is only used here to illustrate the diurnal cycle of  $\text{CO}_2$ . Surface based ozone measurements were performed by various instruments with different techniques at different locations during this campaign. At Mount

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Kleiner Feldberg O<sub>3</sub> was measured by UV absorption with a commercial O<sub>3</sub> analyser (Model 49, Thermo Environmental Instruments Inc.). The total uncertainty was 5% (two  $\sigma$ ) and the data were provided in 10 min average (Li et al., 2015). HLUG provides 30 min averaged O<sub>3</sub> measurements using a commercial UV absorption instrument (API 400) (Crowley et al., 2010).

### 2.3 Determination of the planetary boundary layer height

The planetary boundary layer height (PBLH) was calculated from the radio soundings using the surface based bulk Richardson number  $Ri_B$  (Vogelezang and Holtslag, 1996; Seibert et al., 2000). The dimensionless bulk Richardson number  $Ri_B$  is defined as the ratio of the vertical stability and the vertical shear of the horizontal wind:

$$Ri_B = \frac{g}{\theta_v} \frac{\Delta\theta_v \Delta z}{(\Delta u)^2 + (\Delta v)^2} \quad (1)$$

with  $g$  the gravitational acceleration,  $\theta_v$  the virtual potential temperature,  $z$  the altitude, and  $u$ ,  $v$  the horizontal wind components (Vogelezang and Holtslag, 1996). The differences  $\Delta$  are obtained from the respective values at the surface and the layers above.  $Ri_B$  provides an appropriate measure to characterize the stratification of the atmosphere and to determine the PBLH. The thermal stratification is determined from the sign of the bulk Richardson number. The flow is statically stable if  $Ri_B$  is greater than zero, else statically unstable or neutral. Furthermore, if  $Ri_B$  is below a critical value, the flow will become dynamically unstable and marks the altitude between the turbulent boundary layer and the stable stratified free troposphere. We used a threshold value of  $Ri_B = 0.38$  which distinguish between a turbulent (low values of  $Ri_B$ ) and a stable (large values of  $Ri_B$ ) boundary layer. The same value is also used in the mesoscale model that we will introduce later (Fay, 1998). For clarity, the threshold value has been varied between 0.2 and 0.5 following suggestions from Zilitinkevich and Baklanov (2002) and Zhang et al. (2014), however, with a negligible effect on the results.

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The PBLH has further been determined with the ceilometer, following the approach from Schneider and Eixmann (2002), to observe the height of the aerosol layer more frequently than with the radio soundings. The method is based on iterative averaging of the backscatter profile. Once the mean value has decreased to half the maximum average value inside the PBL, this altitude is designated to be the PBLH. Additionally, the height of the residual layer can be determined with this method at night. For our analysis we excluded all backscatter profiles which were observed during cloudy conditions. The determined boundary layers of the remaining backscatter profiles are averaged on an hourly basis.

### 2.4 Lagrangian air mass analysis

For the surface observations 24 h backward trajectories were calculated using LAGRANTO (Wernli and Davies, 1997; Sprenger and Wernli, 2015) from the position of Mount Kleiner Feldberg at 200 m above surface level (approximately between the modeled and actual mountain height) at 12:00 UTC for each day of the campaign. For the aircraft observations 24 h backward trajectories were calculated every 10 s along the flight path for each individual flight using LAGRANTO. In both cases, the trajectories were driven by model wind fields from the COSMO-7 analysis (Doms, 2011; Doms et al., 2011), operated by Swiss National Weather Service (MeteoSwiss). The meteorological fields from COSMO-7 are available every hour, the horizontal resolution is about 7 km, and 61 vertical levels are used from the surface up to 20 hPa. The trajectories are calculated from the three dimensional, kinematic wind field, not taking into account additional parametrisations for convection or turbulence.

### 2.5 Synoptic conditions during PARADE 2011

The synoptic conditions were alternating mainly between two kinds of weather patterns. Prior to the start of the measurement period (15–18 August 2011) the situation was dominated by a low pressure system over northwestern Europe and a high pressure

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system over central Europe. On 19 August 2011 a cold front related to a low pressure system over the north sea passed over the measurement area. This was then followed by high pressure conditions over central Europe (20–26 August 2011) with very warm and humid temperatures. This period was again succeeded by the passage of a low pressure system late in the day on 26 August 2011 which formed over the eastern Atlantic. This led to relatively low temperatures with  $T_{\max} < 14^{\circ}\text{C}$  and continued until the end of August (31 August 2011). Similar conditions were found during the last days of the campaign, only interrupted by fair weather between the end of August and 4 September 2011.

This synoptic pattern is well reflected by the daily mean carbon dioxide ( $\text{CO}_2$ ) mixing ratio observed at the surface for the entire campaign (Fig. 2). High  $\text{CO}_2$  values showed larger values during high pressure conditions (gray area), while lower  $\text{CO}_2$  values were observed during low pressure conditions (white area). Figure 3 shows that the near surface based LAGRANTO backward trajectories match well with these synoptic conditions. Clean air masses from the north-west to westerly direction over the North Atlantic are linked to low  $\text{CO}_2$  mixing ratios, while enhanced  $\text{CO}_2$  values are related to the potentially polluted continental regions in southerly wind directions or related to local fair weather conditions. Note that biogenic uptake of  $\text{CO}_2$  through photosynthesis was of minor importance at the end of the European growing season and that the  $\text{CO}_2$  flux was probably dominated by anthropogenic emissions (Rivier et al., 2010).

### 3 Results

#### 3.1 Synoptic situation on 6 September 2011

In the following we focus on one particular day (6 September 2011) when two research flights were completed. The two flights were flown in a prefrontal high pressure zone with a cyclonic system in northern Europe and high pressure in the south (Fig. 4). The approaching frontal system was associated with high wind speeds over Central Europe,



to flight two, since the first flight took place before the two layers merged, while the second occurred after this event.

### 3.3 Vertical profiles of trace gases during the boundary layer growth

Figure 6 also shows CO<sub>2</sub> profiles for the morning (07:27 UTC), at noon (11:42 UTC) and afternoon (13:12 UTC). The profiles are color-coded with specific humidity along the flight leg. The PBLH (black dashed line) and residual layer height (gray line), derived from the gradient of the humidity profiles, correspond well to the derived boundary layer heights from the radiosonde measurements.

In the morning the vertical profiles of CO<sub>2</sub> clearly show the three-layer structure of the PBL (Fig. 6a). Within the stable layer we observed a large variability of CO<sub>2</sub> mixing ratios ranging between 380.0 ppmv and 394.3 ppmv below 1000 m altitude. Between 1000 and 2300 m a.s.l. the vertical profile of CO<sub>2</sub> in the residual layer is almost constant with mean values ( $\overline{\text{CO}_2}$ ) of 380.5 ( $\pm 0.16$ ) ppmv, indicating that the residual layer is well mixed. A strong CO<sub>2</sub> gradient at 2300 m a.s.l. reveals a sharp separation between the PBL and the FT which has a mean CO<sub>2</sub> of about 383.0 ( $\pm 0.58$ ) ppmv. In the afternoon (13:12 UTC) CO<sub>2</sub> is again well mixed within the PBL with  $\overline{\text{CO}_2} = 382.1 (\pm 0.35)$  ppmv (see Fig. 5). A clear separation to the FT is evident again, with mean FT-CO<sub>2</sub> mixing ratios of 383.7 ( $\pm 0.38$ ) ppmv.

The transition period between the measurements of these two profiles is characterized by a much more variable CO<sub>2</sub> profile, especially above the PBLH (Fig. 6b). CO<sub>2</sub> decreases within the PBL with a mean value of 382.9 ( $\pm 0.49$ ) ppmv. Above 1500 m a.s.l. in the FT mean CO<sub>2</sub> mixing ratios show a double peaked structure with maximum values of almost 386 ppmv and minimum values of 382 ppmv. Thus, a large variability with altitude is evident. Above 2300 m a.s.l. CO<sub>2</sub> mixing ratios are more comparable again to mixing ratios observed in the morning and the afternoon with a mean value of 383.9 ( $\pm 0.61$ ) ppmv.

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The vertical profiles of  $O_3$  show a similar temporal evolution development (Figs. 6 and 7). Largest  $O_3$  values are found in the FT above the boundary layer throughout the day and exceed local PBL values by typically 10 ppbv. The only exception is the transition period at 11:42 UTC, when  $O_3$  shows a large variability. As for  $CO_2$  a double peak structure is evident, however, the maxima and minima are anti-correlated between  $CO_2$  and  $O_3$ . Furthermore,  $O_3$ -minima and  $CO_2$ -maxima show large values of water vapor mixing ratio  $q_v$ . These values exceed the commonly measured humidity values (less than  $2\text{ g kg}^{-1}$ ) in the FT. Since the aircraft was allowed only to operate in cloud-free conditions, these large values are not directly related to clouds. We will now analyse in detail how this complex structure is related to the observed development of the boundary layer structure.

### 3.4 Mixing deduced from correlations

After discussing the vertical profiles of  $O_3$  and  $CO_2$  and their temporal evolution over the course of the day, we use these information to study a potential mixing event on 6 September 2011 above Mount Kleiner Feldberg. For this we now apply tracer–tracer correlations (e. g., Fischer et al., 2000; Hoor, 2002). Figure 8 shows the scatter plot between  $O_3$  and  $CO_2$  in the morning (07:27 UTC). Using the moisture information and mean values of  $CO_2$  and  $O_3$  it is possible to identify two separated air masses. The air mass in the residual and stable layers has low  $O_3$  values and variable values of  $CO_2$  and  $q_v$ . The air mass in the FT is rather characterized by larger values of  $O_3$ , low values of  $q_v$  and less variability in  $CO_2$ .

At 11:42 UTC the appearance of the scatter plot changes significantly (Fig. 9). The chemical characteristics of two different air masses still can be identified, of which one has the characteristics of a boundary layer air mass (larger values for  $q_v$ ) and one of a FT air mass (lower values of  $q_v$ ). However, the air mass in the FT shows now also a larger variability in  $CO_2$  which we see as an indication of a PBL air mass that has entered the FT. Moreover, the  $O_3$  mixing ratios in the PBL are also slightly increased which could be related to downward transport of an FT air mass.

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Using now also the temporal and spatial information of the measured data points, a linear relationship between the FT and PBL air masses in the tracer–tracer scatter plot becomes evident (Fig. 9). This line is highlighted with the black boxes. These data points have been measured consecutively in an altitude range between 1640–2200 m, which is just above the PBLH at 11:42 UTC (Fig. 5). In this altitude the EZ was situated during this time. Thus we regard these data points as the result of mixing, and the observed linear tracer–tracer relationship as mixing line, since it relates to the evolution of the boundary layer structure during this day. The specific humidity of this mixing line shows a slightly larger variability than the variability of the FT background (Fig. 7). This further supports our hypothesis of measuring a rather fresh mixing event. Note further that during this time clouds were present in the observation area with the cloud tops at around 2400 m a.s.l., according to the on board observations and a post flight analysis of photos taken during the flight. However, the strong variations of ozone, moisture and CO<sub>2</sub> for the profile at 11:42 (Fig. 6) have been observed exclusively in between clouds.

In short, we identify local mixing between air masses from the PBL and the FT from the results of the tracer tracer correlation technique for the trace gases CO<sub>2</sub> and O<sub>3</sub>. Additionally, this mixing is supported by a larger variability of the specific humidity within the mixing region. In the following subsections, we will discuss processes which might have contributed to this mixing event as well as if this mixing event was influenced by long-range transport.

## 4 Discussion

### 4.1 Impact of long-range transport?

The origin of the probed air masses is investigated with 24 h kinematic backward trajectories using LAGRANTO (see Sect. 2.4) for this one-day period. Figure 10 shows the zonal position of the backward trajectories along the flight path at release time (0 h), –12 and –24 h prior to the measurements. Additionally, the trajectories are color-coded





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in the morning, as identified from the ceilometer and secondary temperature inversions from the radio soundings, reaches 2100 m. From 11:42 to 13:12 UTC the PBL has grown to 2000 m a.s.l. and reaches a temperature inversion strength of 8 to 10 K. On the one hand, the growth of the boundary layer since 11:42 UTC is an indication for further entrainment of the overlying air masses into the PBL, which lead to further mixing. On the other hand, the increase of the inversion strength limits the entrainment from air above and also the upward transport to the FT and reduced mixing (Angevine, 2007).

As shown in Fig. 5 the boundary layer top during the measurements was affected by the occurrence of clouds. These clouds were aligned along straight lines (Fig. 11), which is also evident from satellite images (not shown). The presence of clouds can affect the strength of the inversion layer as well as of the mixing between PBL and FT. Stevens (2007) and Malinowski et al. (2011) showed that radiative cooling at the upper edge of the clouds promotes a downward mixing of air and thus influences the inversion strength and might also contribute to the observed mixing event by entrainment of dry air from the FT. This can be seen by the decrease of the mean specific humidity between 1640 and 2200 m a.s.l. from the morning ( $\overline{q_v} = 2.8 (\pm 1.2) \text{ g kg}^{-1}$ ) to noon time ( $\overline{q_v} = 2.1 (\pm 0.7) \text{ g kg}^{-1}$ ).

An important feature of these cloud structures is the formation of roll vortices, which are aligned along the axis of the cloud bands. The cloud bands can be regarded as an indicator of shallow convection within the boundary layer. Moist air is lifted from near the surface up to the top of the PBL where the clouds form and the air descends in-between the clouds. The indicated air motion contributes especially to a further mixing of the PBL air mass, but can also increase the mixing potential between the PBL and the FT. This can then result in the vertical profiles of  $\text{CO}_2$  as observed between the morning and the afternoon on 6 September 2011 (see Fig. 6). Hägeli et al. (2000) observed similar relationships for temperature and for moisture profiles within the cloud free area between the cloud streets. It should be noted, that many experimental studies are restricted to cloud-free conditions as summarised by Kalthoff et al. (2013).

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So we suggest that the mixing event is linked to the evolution of shallow convection and consecutive cloud formation at the top of the PBL. Therefore, we will discuss the three CO<sub>2</sub> profiles during this day (Fig. 6), which reflects the suspected dynamic processes from theoretical approaches (e.g. Etling and Brown, 1993; Lock et al., 2000; Stevens et al., 2003). The descending air in between the clouds can be affected by air, which has been uplifted by convective motions within the PBL. As discussed before (Figs. 5 and 6), in the afternoon (11:42 UTC) the CO<sub>2</sub> profiles between 1600 to 2300 m show CO<sub>2</sub>-mixing ratios around ~ 386 ppmv, which were only present near the surface in the morning. Since there are no significant sources or sinks of CO<sub>2</sub> in the FT, these enhanced values indicate that surface air has been lifted locally. This is also the case for specific humidity and ozone at this height, which exhibit exactly the same air mass composition as previously observed at the surface.

In principle, high ozone values can also be the result of photochemical ozone production, but ozone production would not add more than a few ppb per day (Morris et al., 2010). However, the high ozone values are accompanied by low humidity, which indicates potential downward transport from higher altitudes. This is confirmed by 5 days backward trajectories (calculated with ECMWF analysis (0.5°C horizontal resolution, 90 vertical layer, 3 h time resolution)), which show that these air masses reached a maximum height of about 6 km over the Atlantic ocean, without any surface contact (not shown). Finally, during the entire day the specific humidity in the free troposphere showed very low mean values of 1.4 to 2.0 g kg<sup>-1</sup>. This indicates that the free troposphere was dominated by an air mass of similar chemical characteristics during the measurements on the 6 September 2011. Between 2200–2500 m a.s.l. the ozone rich air mass is also observed with higher humidity. The variations of O<sub>3</sub>, CO<sub>2</sub> and q<sub>v</sub> above 2000 m clearly indicate layers of different origin in the region between 1600 and 2300 m a.s.l. in the cloud interstitial regions between the roll clouds.

Therefore, the growth of the PBL is an indication of entrainment from the FT into the PBL. On the other hand the increase of the temperature inversion (cause from large-

scale subsidence) provides strengthening of the inversion layer and limited the further boundary layer growth and thus the entrainment (Arya, 2001).

### 4.3 Impact of mixing on surface measurements

It has previously been shown that boundary layer air trapped within the stable layer can suddenly be mixed in the newly growing PBL as soon as the PBL becomes unstable (Stull, 1988). In addition, large scale synoptic situations can lift these air masses out of the PBL into the FT (Sinclair et al., 2010). Vice versa, polluted plumes from the FT can enter the PBL by downward mixing and potentially lead to an increase of the pollution levels near the surface in initially clean regions (McKendry and Lundgren, 2000; Neuman et al., 2012).

Figure 12 shows the ozone mixing ratios onboard the aircraft and the time series at the surface. The airborne measurements below 800 m a.s.l. agree well with the surface observations of about 30 ppb. The higher values around 07:30 UTC observed onboard the aircraft can be explained by the fact, that the measurements directly at the surface are affected by deposition and ozone loss processes within the stable nocturnal boundary layer, which was not accessible for the aircraft. As shown in Fig. 5, around 09:00 UTC at the end of the first flight the stable layer of the lowest level disappears and is then mixed with the newly growing boundary layer. At this time, between the two flights, there occurs an increase of  $O_3$  of 6 ppbv per hour up to a maximum mixing ratio of 43 ppbv at noon. The increase of ozone can be attributed to photochemical ozone production and downward mixing from the free troposphere. McKendry and Lundgren (2000) showed that an increase of the ozone mixing ratio larger than  $5 \text{ ppbv h}^{-1}$  has been related to downward transport from the FT and not to photochemical production alone. As mentioned earlier, clouds formed initially between 09:00–10:00 UTC. For that reason the global radiation decreased and hence the photochemical ozone production efficiency has been reduced (Fig. 5).

Figure 13 shows the  $CO_2$  mixing ratio onboard the aircraft and near the surface. The airborne  $CO_2$  measurements show low mixing ratios of 381 ppmv (8:00 UTC) compared

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5 mixing across the entrainment zone. The planetary boundary layer height was determined using the Bulk-Richardson-number ( $Ri_B$ ) from measurements taken from radio soundings. This approach can be used both under stable and unstable conditions using the same criteria. The boundary layer top grew from 860 m a.s.l. in the morning  
10 up to 2000 m a.s.l. in the afternoon. During this growth it merged with the residual layer (2100 m a.s.l. at 06:00 UTC). Negative  $Ri_B$  values indicated that the PBL is convectively driven and turbulent during that day, and thus well mixed. Additionally, airborne measurements of various trace gases ( $CO_2$  and  $O_3$ ) and particle number concentrations were performed in cloud-free areas during that day.

15 The temporal evolution of the measured vertical trace gas profiles showed the evolution of the PBL as observed with the radio soundings. The merging of the stable boundary layer, residual layer and free troposphere with the convective driven boundary layer is involved with mixing of the trace gases from these different atmospheric layers, and lead to complex vertical profiles of the trace gases. The tracer–tracer correlations have been applied to identify this mixing event and the effect on the trace gas distribution  
20 change across the transport barrier at the boundary layer top. This mixing line is probably formed by vertical uplift of  $CO_2$  rich and  $O_3$  poor air masses from the morning stable boundary layer. The strong western wind transported cold air masses from the Atlantic Ocean and caused the development of cloud streets. The structure of the trace gas profiles resembles the vertical cloud structure. We found the mixed air masses in the cloud interstitial space. This is consistent with convective uplifting and mixing with FT air at the cloud top within the clouds and subsidence of outside the clouds. It is suggested that these conditions forced mixing between the FT and the PBL. It cannot be excluded that the mixing event was affected by long-range transport. The analysis  
25 of the local meteorological situation and the trace gas observations, however provide strong evidence, that the local boundary layer growth lead to mixing at the PBL top. This is supported by the specific humidity, which shows on the mixing line a slightly larger variability than the variability of the FT background humidity. The additional for-

mation of shallow convective clouds at the PBL top (and roll convection) probably led to an efficient exchange between the boundary layer and the free troposphere.

We conclude, that rapid boundary layer growth and its interaction with convective clouds at the PBL top can efficiently mix both air masses and lead to the occurrence of mixing lines. Moreover, the analysis of mixing lines provides a powerful tool to identify the occurrence and the effect of processes, which lead to a constituent exchange and mixing across the inversion layer.

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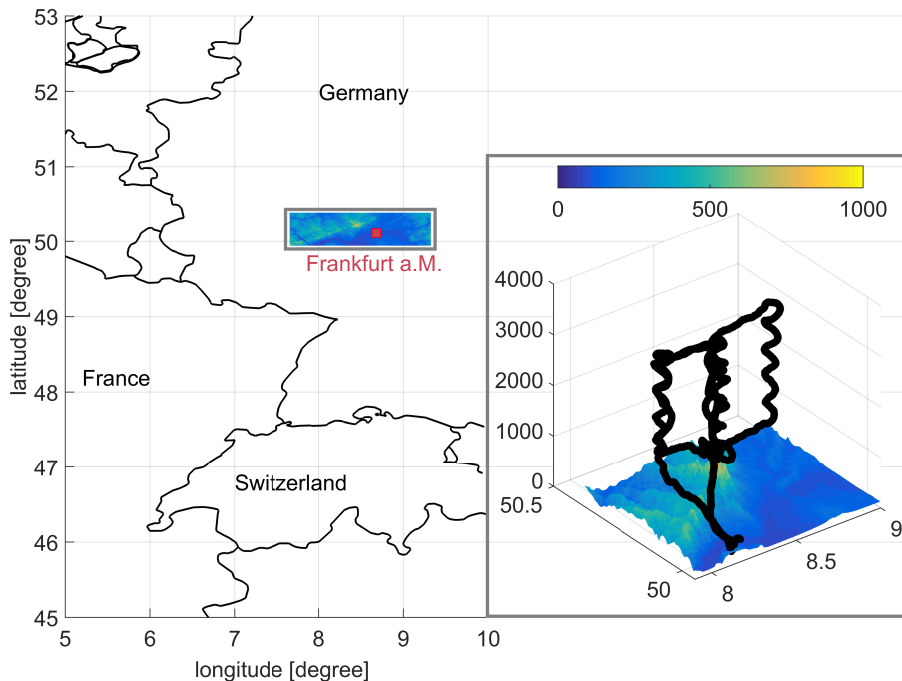
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**Figure 1.** Topographic map (colorbar in m) of the Rhein-Main Area in southwest Germany near Frankfurt am Main including the flight pattern on 6 September 2011 (black line). Vertical profiles are indicated by the flight track spirals. All descending profiles were flown near the summit of Mount Kleiner Feldberg, while ascending profiles have been taken up- and downwind.

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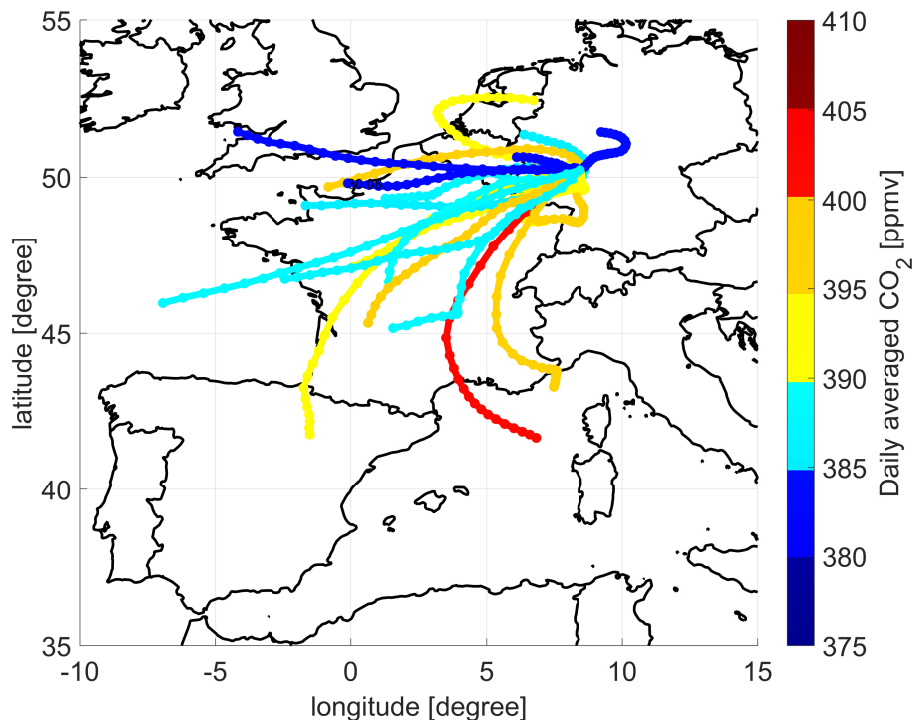
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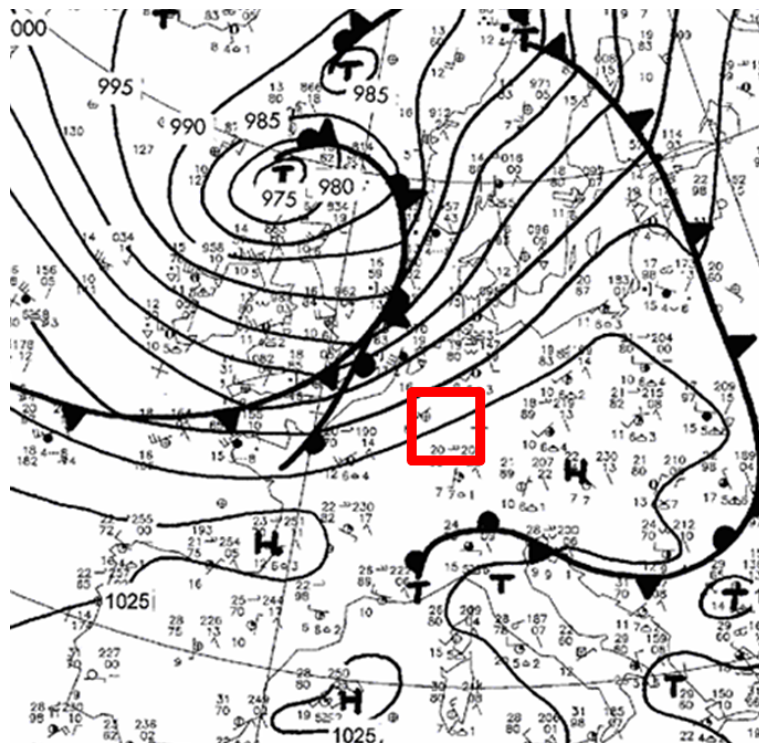
**Figure 3.** Backward trajectories, starting from the Mount Kleiner Feldberg at 200m altitude over the surface for each day at 12:00 UTC up to 24h based by wind fields from COSMO-7. The colors represent the daily averages of CO<sub>2</sub> measurements from Mount Kleiner Feldberg (Fig. 2).

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**Figure 4.** Surface pressure chart provided from the German Weather Service (DWD) over Europe at the 6 September 2011, 12:00 UTC. The red box indicates the measurement area.

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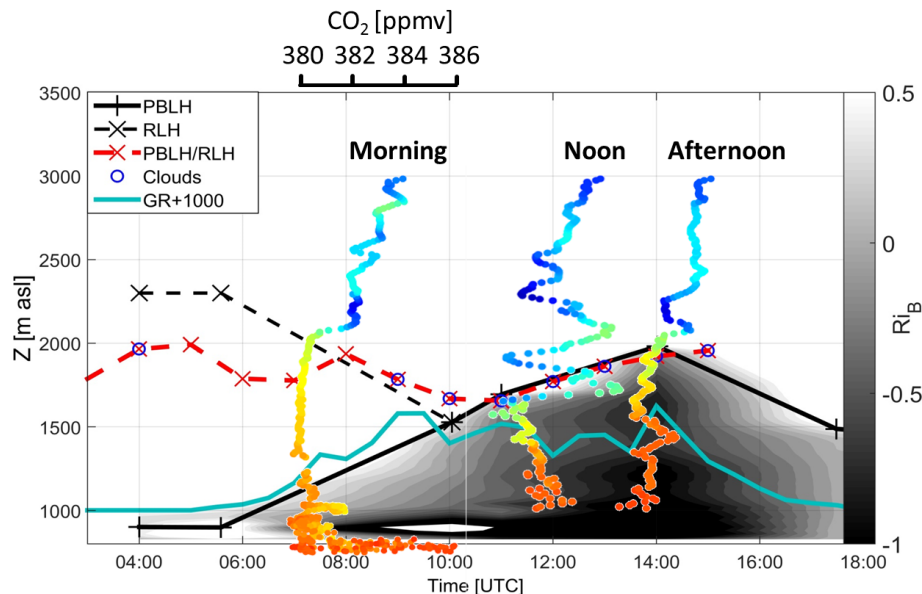
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**Figure 5.** Diurnal variation of the residual layer height (RLH) and planetary boundary layer height (PBLH) derived from radiosondes (black) and ceilometer (red, hourly averaged). The distinction between the turbulent boundary layer and the stable FT is shown by the bulk Richardson number  $Ri_b$  (gray scale). RLH is derived from the radiosonde temperature profiles (black dashed) and from one hour averaged aerosol layer heights calculated from the ceilometer backscatter profiles (red dashed). Blue circles indicate the occurrence of clouds during that day. The global radiation (GR, blue line) is provided from HLUG in  $\text{W m}^{-2}$ . Vertical profiles of  $\text{CO}_2$  are shown for different aircraft flights over Mount Kleiner Feldberg during this day in rain bow colors, for details see Figs. 6 and 7.



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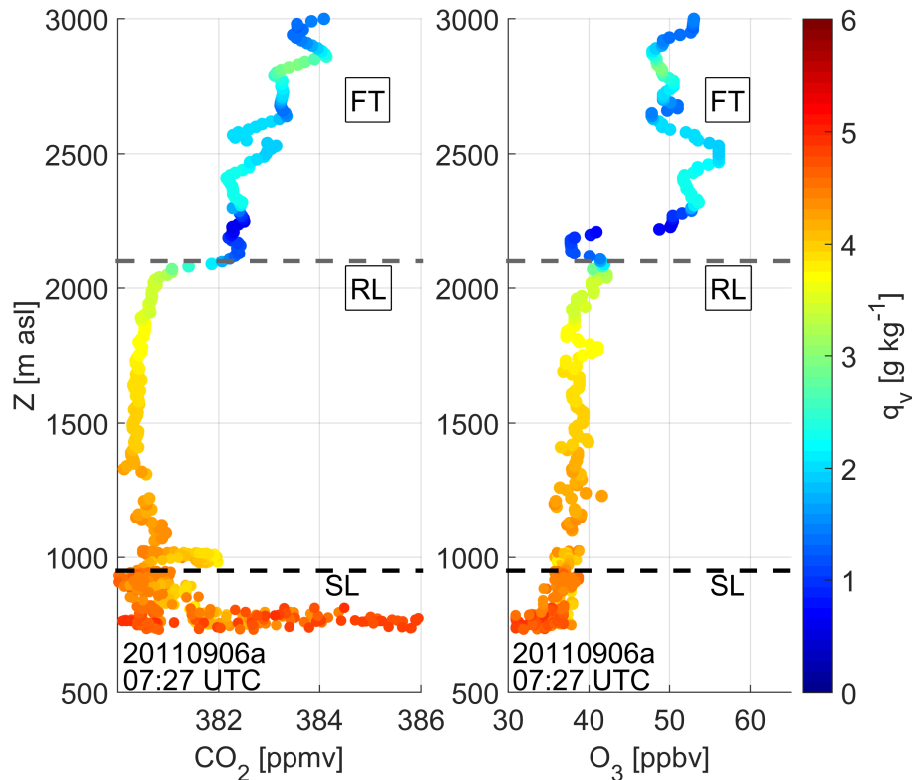
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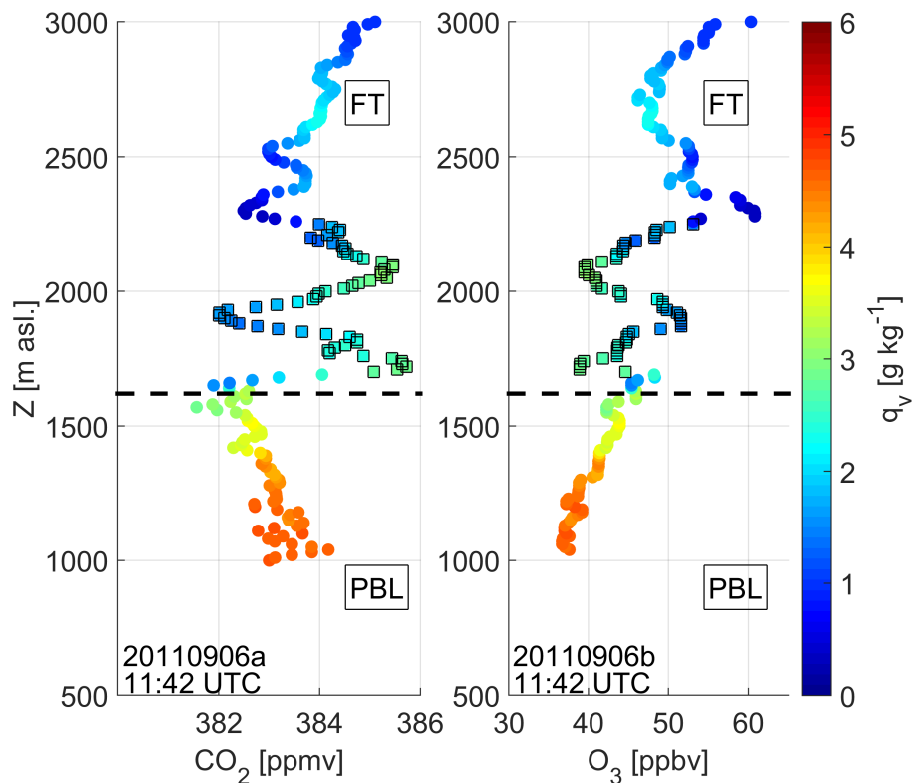
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**Figure 6.** Vertical profiles of CO<sub>2</sub> and of O<sub>3</sub> in the morning (07:27 UTC). The color shows the specific humidity. The boundary layer height (dashed black line) or residual layer (dashed gray line) height marks the air mass location within the lower atmosphere.

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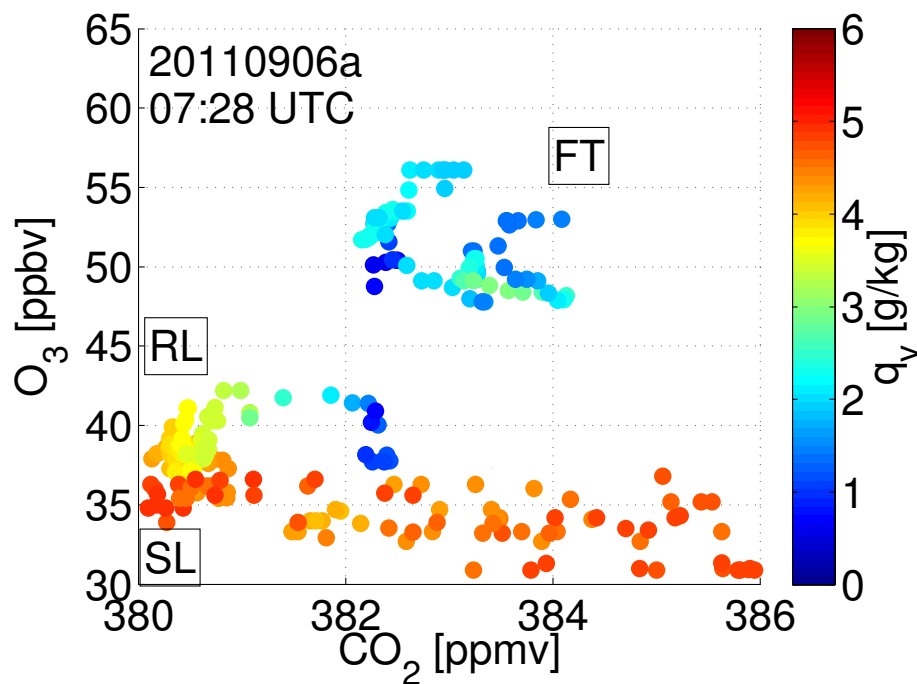


**Figure 7.** Same as Fig. 6 at noon. The measurement points between 1640 und 2200 m a.s.l. are related due to the mixed air masses from the SL and the FT.

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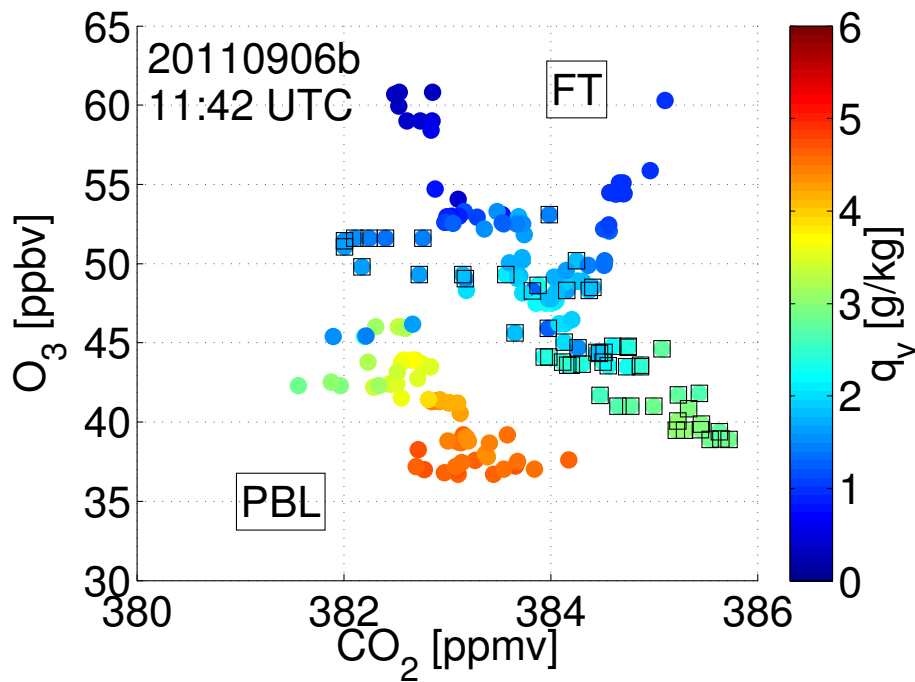


**Figure 8.** Correlations between CO<sub>2</sub> and O<sub>3</sub> during the observations at 11:42 UTC color-coded according to specific humidity measurements. The aircraft descended from the FT into the PBL, indicated by a clear separation from the chemical signature.

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**Figure 9.** Same as Fig. 8 at noon. The measurement points between 1640 and 2200 m.a.s.l. (black boxes) are related due to the mixed air masses from the SL and the FT.

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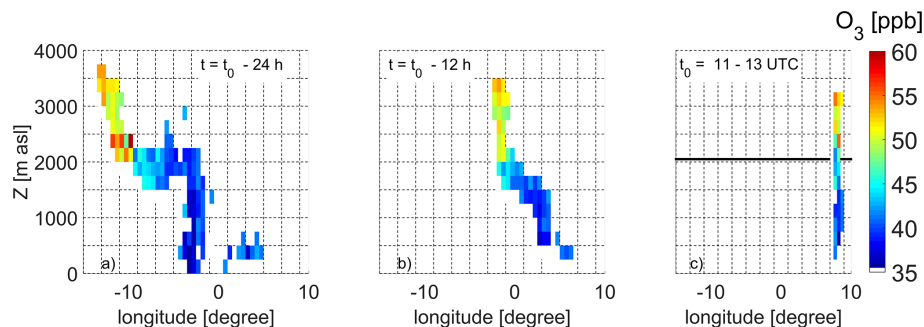
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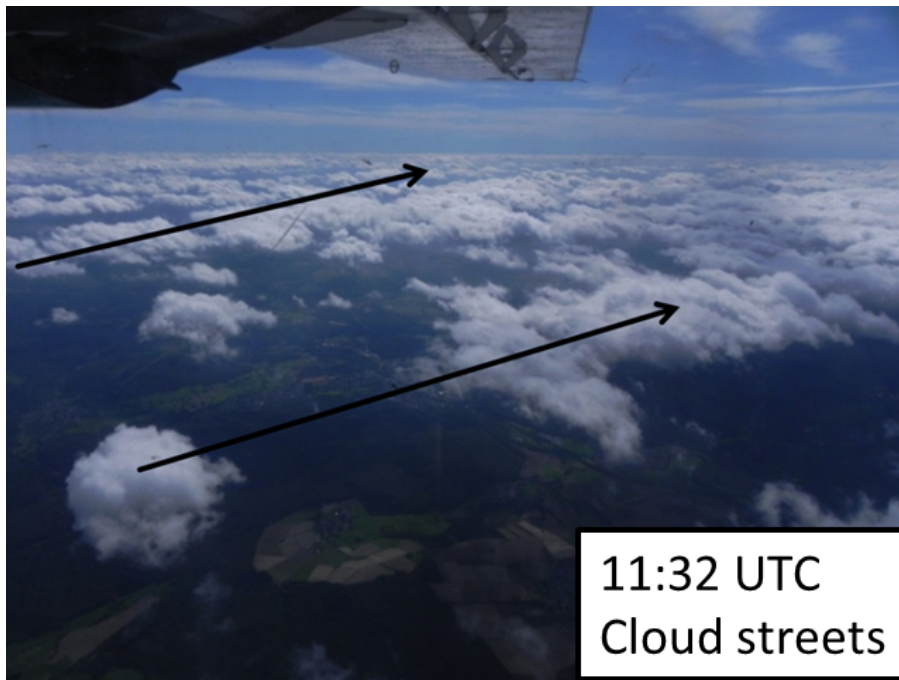
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**Figure 10.** Temporal evolution of air mass origins between 11:00 and 13:00 UTC on 6 September 2011. The trajectory positions are colour coded by mixing ratios of ozone as measured along the flight leg. The data are binned by  $0.5^\circ$  longitude and 250 m altitude (details see text). The black line marks the maximum PBLH during that time.



**Figure 11.** Cloud formation below the flight track at about 11:32 UTC. Just before measuring a profile by descending above Mount Kleiner Feldberg. The arrows shows the mean wind direction.

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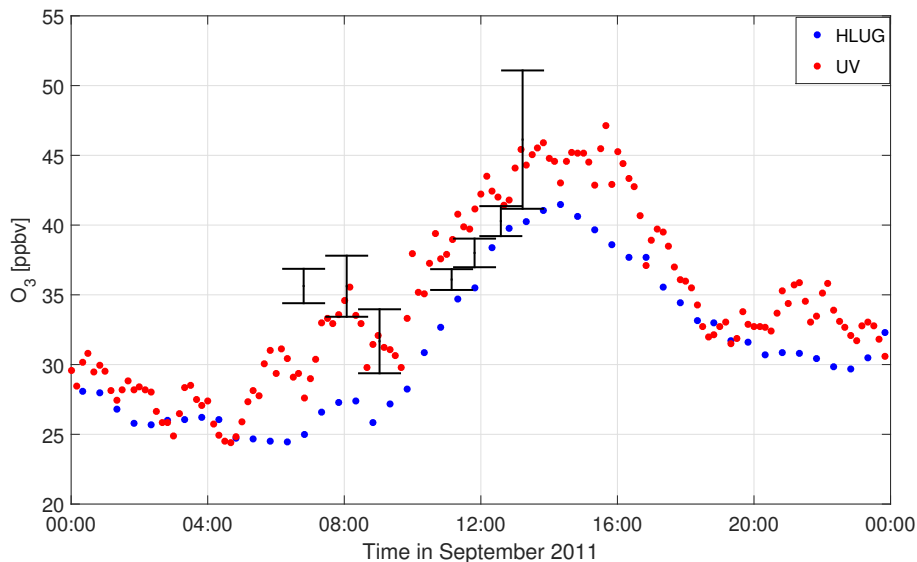
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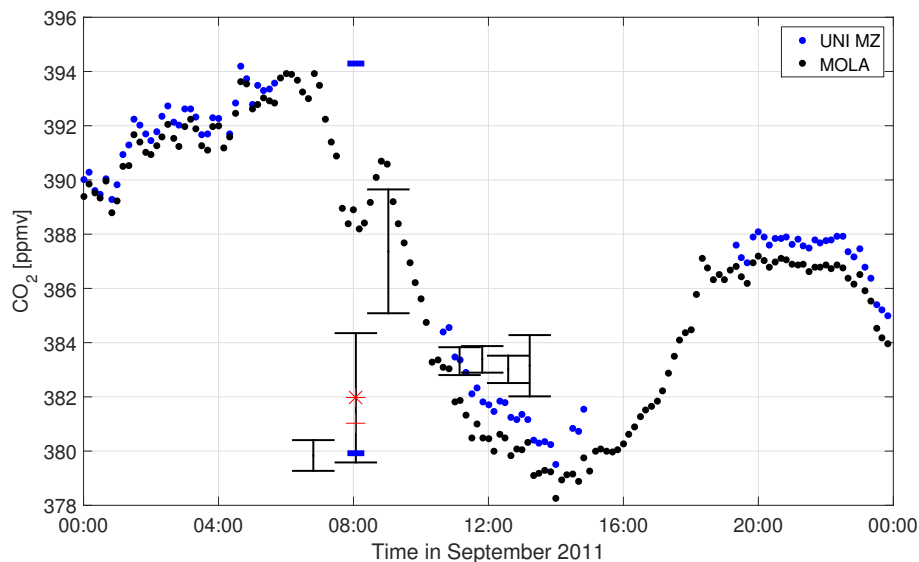


**Figure 12.** Surface ozone measurements of different instruments at different inlets (HLUG (blue, 30 min-mean) and UV absorption (red, 10 min-mean)) on Mount Kleiner Feldberg (825 m.a.s.l.), including the aircraft observations (mean and standard deviation between 1000 to 1600 m.a.s.l.) on 6 September 2011.

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**Figure 13.** CO<sub>2</sub> surface measurements (on Mount Kleiner Feldberg (825 m.a.s.l.), see Sect. 2.2), including the aircraft observations (mean and standard deviation between 1000 to 1600 m.a.s.l.) on 6 September 2011. The high CO<sub>2</sub> fluctuations at 08:00 UTC is demonstrated by the mean (red star), standard deviation (black error bars), median (red bar) and maximum/minimum values (blue bar).

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