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1 **Quantifying CD138+ cells in the endometrium to assess chronic endometritis in**  
2 **women at risk of recurrent pregnancy loss: a prospective cohort study and rapid**  
3 **review.**

4  
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17  
18 **Running Head:** CD138+ cells predict pregnancy loss

19  
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22

23

24 **Abstract**

25 **Objective:** To determine the value of uterine CD138+ cells, as a marker of chronic  
26 endometritis, in predicting subsequent reproductive outcome in women with history of  
27 recurrent pregnancy loss.

28 **Design:** A prospective longitudinal study.

29 **Setting:** Tertiary specialised clinic.

30 **Patients:** Women with history of recurrent pregnancy loss or implantation failure over a  
31 12-months follow-up period.

32 **Intervention:** We quantified the CD138+ cells/high powered field (hpf) using  
33 immunohistochemistry and image analysis of endometrial biopsies obtained during the  
34 secretory stage post ovulation.

35 **Main Outcome Measures:** live birth and subsequent pregnancy loss. We calculated the  
36 receiver operator curve for predicting subsequent pregnancy loss and reported using  
37 relative risk (RR) and 95% confidence intervals (CI).

38 **Results:** We enrolled 344 women of whom eighty-eight became pregnant (88/344,  
39 25.5%). Half of them had a subsequent live birth (47/88, 53%) and the rest lost their  
40 pregnancy (41/88, 46%). The median CD138+ score was significantly lower in the live  
41 birth group ( $p < 0.005$ ) and women with a CD138+ score  $\geq 16$ /hpf had a higher risk of  
42 subsequent miscarriage (RR 10.0, 95% CI 2.78-36.02). CD138+ cells count showed a  
43 good prediction for subsequent pregnancy loss in high-risk women with an area under  
44 the curve of 0.75 (95% CI 0.59-0.82,  $p = 0.01$ ). A cut-off value of 4-6 cells/hpf offered  
45 the best predictive accuracy with higher scores predicting worse reproductive outcome.  
46 Our findings are limited by the small event rate and the sample size of our cohort.

47 **Conclusion:** Quantifying CD138+ cells by immunohistochemistry in women with a  
48 history of recurrent pregnancy loss is helpful to diagnose chronic endometritis and  
49 predict subsequent reproductive outcome.

50

51 **Keywords:** CD138+, Chronic endometritis, endometritis, recurrent pregnancy loss,  
52 Syndecan-1

53

54

## 55 **Introduction**

56           Chronic endometritis (CE) is a common condition leading to long-term  
57 inflammation of the endometrial cells affecting 15 to 50% of women (1-7) and often  
58 associated with a high risk of recurrent pregnancy loss (RPL) and implantation failure  
59 (1, 3, 8-11). The majority of affected women remain asymptomatic or present with  
60 subtle and non-specific manifestations such as pelvic pain, dysfunctional uterine  
61 bleeding, dyspareunia and leukorrhea (9) making its diagnosis very challenging in  
62 practice. Accurate and effective screening methods are therefore, needed to detect  
63 affected women and facilitate treatment provision.

64

65           Several diagnostic methods have been suggested to detect CE, including video  
66 hysteroscopy (12) and histopathological examination of endometrial biopsies to  
67 identifying inflammatory plasma cells infiltration (13). More recently, the use of  
68 immunohistochemistry with specific cell markers for CD138+ cells has been suggested  
69 as a more accurate test for CE (14). However, only a handful of small studies have  
70 evaluated its role in screening and mitigating the risks of RPL in subsequent pregnancy  
71 (15-17). We aimed to determine the value of uterine CD138+ cells, as a marker to  
72 diagnose chronic endometritis and to predict subsequent reproductive outcome in  
73 women with history of recurrent pregnancy loss.

74

## 75 **Methods**

### 76 *Study Design*

77           We undertook an observational prospective longitudinal study at a tertiary  
78 referral centre with a dedicated 'implantation clinic' caring for women with a history of

79 recurrent pregnancy loss and implantation failure. The study ran from January 2014  
80 until December 2016 and was approved by the NHS National Research Ethics  
81 Committee (Ref 1997/5065).

82

### 83 *Participants*

84 We enrolled women with a history of reproductive failure (recurrent pregnancy  
85 loss defined as two or more consecutive miscarriages and/or implantation failure (no  
86 pregnancy after three or more embryo transfers) and women with a history of one  
87 pregnancy losses after a period of subfertility). There were no restrictions on the  
88 participants' age, body mass index (BMI) or medical comorbidities at the time of  
89 inclusion. All participants provided informed written informed consent before  
90 enrolment in accordance with the Declaration of Helsinki.

91

### 92 *Procedures*

93 Following consent, we asked participants to monitor their ovulation using home  
94 luteinising hormone (LH) surge detection strips. When positive, all participants attended  
95 a transvaginal ultrasound scan between days 4 -14 post-LH surge to ensure that  
96 endometrial biopsies were taken during the secretory stage. All samples were obtained  
97 using the Wallach Endocell® endometrial sampler and then were fixed in 10% neutral  
98 buffered formalin and labelled with unique participant identifiers. Samples were stored  
99 overnight at 4°C and then were wax embedded in Surgipath® Formula 'R'™ paraffin  
100 using the Shandon Excelsior ES Tissue Processor (ThermoFisher). Sampled tissues  
101 were sliced into 3 µM sections on a microtome and adhered to coverslips by overnight  
102 incubation at 60 °C. Deparaffinization, antigen retrieval (pH 6), antibody staining,

103 hematoxylin counterstain and DAB colour development were fully automated in a Leica  
104 BondMax autostainer (Leica BioSystems). Tissue sections were stained for Syndecan-  
105 1/CD138 (a plasma cell-specific cell surface antigen) using a 1:300 dilution of  
106 concentrated CD138 antibody (ab34164, Abcam, Cambridge, UK). Stained slides were  
107 dehydrated, cleared and cover-slipped in a Tissue-Tek<sup>®</sup> Prisma<sup>®</sup> Automated Slide  
108 Stainer, model 6134 (Sakura Finetek Inc. CA, USA) using DPX coverslip mountant.  
109 Bright-field images were obtained on a Mirax Midi slide scanner using a 20x objective  
110 lens and opened in Panoramic Viewer v1.15.4 (3DHISTECH Ltd, Budapest, Hungary)  
111 for analysis.

112

113         A trained assessor (MPR) reviewed each image containing the entire stained  
114 sample. The most heavily stained area was selected. The number of CD138+ stromal  
115 cells were counted in a set area of tissue measured using a panoramic viewer. Epithelial  
116 staining, on the surface of the endometrium and glands was not assessed. A total area of  
117 1 high powered field (hpf) equating to 0.125 mm<sup>2</sup> was counted for each patient and a  
118 score was calculated as the number of CD138+ cells/hpf of the stroma. Where doubts  
119 arose, two senior assessors reassessed the count to reach consensus (KF and SQ).

120

#### 121 *Data collection*

122         We collected the baseline characteristics of included women from their  
123 electronic hospital records and input data onto a dedicated anonymised secure electronic  
124 dataset. We collected data on the women's age, BMI, ethnicity, obstetric history and  
125 reproductive outcome at 12-month post-biopsy. Women self-reported pregnancies after  
126 the biopsy and those who reported pregnancy within 12 months of the biopsy were

127 followed up until delivery. The women and clinicians caring for them were blinded to  
128 the CD138+ score.

129

### 130 *Statistical Analysis*

131 We included all enrolled women in our analysis including those with no  
132 detectable CD138+ cells in their endometrial biopsies. We tabulated the CD138+ score  
133 per pregnancy outcome and categorised women into four categories (0-5, 6-10, 11-15  
134 and  $\geq 16$ /hpf). We evaluated the distribution of the CD138+ score/hpf outcome using the  
135 Chi-Square test and reported the relative risk (RR) of subsequent miscarriage in each  
136 score category with 95% confidence intervals (CI). We compared the medians of  
137 multiple groups using the Kruskal-Wallis test for non-parametric data and the t-test  
138 comparing means for parametric data.

139

140 We generated a receiver operating characteristic (ROC) curve and reported on  
141 the Area Under the Curve (AUC) with 95% confidence intervals (CI) for the accuracy  
142 of CD138+ count to predict the risk of subsequent pregnancy loss and evaluated  
143 potential thresholds, reporting on their sensitivity and specificity. All analyses were  
144 conducted in Prism (V8, GraphPad Software, La Jolla California USA) and SPSS (v22,  
145 SPSS Inc. Chicago, USA).

146

### 147 **Results**

148 We enrolled 344 women into our study, of whom 88 became pregnant within 12  
149 months from biopsy (88/344, 25.5%). Women included in the study had a history of  
150 recurrent miscarriage (194/344, 56.4%), implantation failure (87/344, 25.3%) or a mixed history



151 of both recurrent miscarriage and implantation failure (63/344, 18.3%). The median CD138+  
152 score was similar in those who had a history of miscarriage after embryo transfer  
153 compared to those who had a history of implantation failure (13 vs 15,  $p=0.6$  *ns*). The  
154 CD138+ score was also similar in those with a severe history of recurrent pregnancy  
155 loss (>5 losses) compared to those with 1-3 losses (Figure 1). Of those who were  
156 pregnant at 12-months follow-up, 75% (66/88) conceived spontaneously and a 25%  
157 (22/88) conceived following embryo transfer. Of those pregnancies, almost half resulted  
158 in live birth (47/88, 53%) and 46% resulted in miscarriage (41/88, 46%) (Table 1).

159  
160 The median CD138+ score in women with live birth was significantly lower  
161 compared to those with miscarriage or no pregnancy (5 vs 13 vs 14,  $p<0.0001$ ) (Figure  
162 2). There was no statistically significant difference in CD138+ score between women  
163 with a miscarriage and no pregnancy,  $p=0.9$ . Women with a CD138+ score  $\geq 16$ /hpf had  
164 a significantly higher risk of a miscarriage compared to those with a score 0-5 (RR 10.0,  
165 95%CI 2.78, 36.02). Women with lower CD138+ scores showed levels of relative risk  
166 which were not statistically significant at a P-value  $<0.05$  but were suggestive of  
167 increased risk with P-values  $<0.10$  (Table 2), in our analysis we identified a significant  
168 test for trend between rising CD138+ count and miscarriage ( $p<0.001$ ).

169  
170 Our ROC curve demonstrated a good performance of CD138+ score for the  
171 prediction subsequent pregnancy loss in high-risk women with an AUC of 0.75 (95%CI  
172 0.59-0.82,  $p=0.01$ ) (Figure 3). A cut-off value between 4-6 CD138+/hpf provided the  
173 optimal sensitivity and specificity to predict the risk of subsequent pregnancy loss.  
174 (Supplementary Table 1)

175

## 176 **Discussion**

### 177 *Summary of findings*

178           Our findings suggest an association between elevated CD138+ scores, as an  
179 objective marker for CE, and the risk of subsequent pregnancy loss following both  
180 assisted and spontaneous conception. Our ROC analysis suggests an overall good  
181 performance for CD138+ as a diagnostic test with scores above a cut-off value of 4 to 6  
182 cells/hpf suggesting higher risk for future RPL. Given the lack of a validated diagnostic  
183 criteria, the proposed threshold could help clinicians caring for women with history of  
184 RPL to offer guidance and mitigate their future risk. This however, should be  
185 interpreted this with caution due to the relatively small sample size of our cohort.

186

### 187 *Strengths and limitations*

188           Our study was conducted prospectively within a specialist clinic offering  
189 optimal care for women with a history of RPL. We adopted a well-established  
190 methodology to obtain samples prospectively and evaluate the results in the laboratory  
191 within a specific time frame of the menstrual cycle, thus reducing the risk of bias in our  
192 patient selection, sample acquisition and outcome assessment. Both the clinicians and  
193 the women involved in the study were blinded to the results with no antibiotics  
194 treatment to reduce performance bias. We included a large number of women compared  
195 to similar published studies (15-17) and followed them over 12 months to reduce  
196 detection bias. We were unable to complete the CD138+ scoring in duplicate due to  
197 funding limitations, however, we mitigated any perceived uncertainty by employing a  
198 priori regular quality assurance measures. Longer follow-up was not feasible which  
199 could have revealed recurrent pregnancy loss in the remainder of the cohort. In contrast

200 to other studies (16, 18, 19), we did not adopt a set cut-off to diagnose chronic  
201 endometritis or treat women with antibiotics. We also reported the outcomes of all  
202 included women regardless of their CD138+ score to reduce performance and reporting  
203 bias. There was a modest event rate of live births and miscarriage in our cohort at 12  
204 months, which may limit the generalisability of our findings.

205         The pathophysiology of miscarriage is multifactorial often persisting as a  
206 continuum causing further miscarriages and morbidity in future pregnancies (20, 21).  
207 Histopathological testing may help to identify reversible infectious causes, however,  
208 clinicians should consider the whole spectrum of contributing factors to recurrent  
209 miscarriage such anatomical and chromosomal abnormalities. Our study did not include  
210 a chromosomal analysis or other microarray investigations for failed pregnancies which  
211 may limit the generalisability of the findings to all groups of women at risk of recurrent  
212 miscarriage. We also did not carry out any assessment of the endometrial microbiome in  
213 our study. Assessment of known causes of miscarriage, such as chromosome  
214 abnormalities would have enabled us to define with greater certainty, the role of  
215 CD138+ cells in miscarriage.

216

### 217 *Wider Implications*

218         Our findings shed more light on two important questions: how to diagnose CE in  
219 affected women and what are its implications on their future reproductive outcomes if  
220 left untreated.

221         The cause of CE is poorly understood however a typical appearance of the  
222 endometrium has been reported. This was first published Greenwood and Moran (1981)  
223 who identified stromal oedema and inflammatory infiltrate dominated by lymphocytes

224 and plasma cells and has been reported in numerous subsequent studies (9, 22, 23).  
225 More recently numerous organisms have been identified within the endometrium,  
226 associated with poor pregnancy outcomes (24, 25).

227         The presence of these organisms subsequently leads to disruption of the  
228 *Lactobacillus spp.* endometrial flora, which following antimicrobial therapy both  
229 eliminates these organisms but also restores *Lactobacillus spp.* within the endometrium  
230 (24). These findings suggest that the role of CE on pregnancy outcomes may not simply  
231 be due to the presence of infection but a perturbation of the endometrial flora and  
232 disruption of the implantation window, a period characterised by pro-inflammatory  
233 changes (26, 27).

234         We suggest that Immunochemistry with CD138+ cell quantification could to  
235 help accurately diagnose women affected by CE and those at risk of future RPL,  
236 however, adopting this test in clinical practice is limited by several factors. A clear  
237 validated diagnostic criteria for CE remains unclear (14), histopathological examination  
238 is cumbersome and expensive (28), and lastly, there are wide variations in the clinical  
239 pathways for caring for those women.

240         Certain interventions have been proposed to facilitate the diagnostic process for  
241 CE such as using analytical software to streamline the histopathological examination  
242 (14), and hysteroscopic screening of affected women to reduce the number of  
243 endometrial biopsies (16). As such, there is a need to validate and streamline the clinical  
244 pathways to establish the criteria on one a immunochemistry testing is needed.

245 Our cohort offers a unique insight into the effect of CE on the longterm if left untreated.

246

247

248  
249  
250 To put our findings in context, we conducted a rapid systematic review and meta-  
251 analysis (CRD42016036949) to evaluate the reported longterm effects of CE on  
252 women's reproductive outcomes with and without treatment. We searched the major  
253 electronic databases (MEDLINE, EMBASE, Web of Science, CINAHL and Cochrane  
254 databases) using no search filters and conducted the study selection and data extraction  
255 process in duplicate (MPR & IH). We conducted a pair-wise meta-analysis using a  
256 random effect model (Ref) and reported using summary risk ratio (RR) with 95%  
257 confidence intervals (CI).

258 Out of 177 potentially relevant citations we screened 21 articles in full and  
259 included 13 studies (7 cohort and 6 case series) in the meta-analysis. All studies  
260 diagnosed CE using histology and were either case control or cohort studies of women  
261 with a history of implantation failure following embryo transfer or >2 pregnancy losses.  
262 Four studies conducted a test of cure following antibiotics, reporting pregnancy  
263 outcomes in women with both cured and persistent CE (22, 25, 29, 30). The remaining  
264 eight studies reported pregnancy outcomes in women with CE compared to no CE (22,  
265 24, 29-34).

266 There were higher live birth rates in women with resolved CE compared to those  
267 with persistent CE (RR 2.48, 95% CI 1.48-4.15,  $I^2=38.9\%$ ). However, the live birth rate  
268 was lower in women with treated CE compared to women with no evidence of CE (RR  
269 1.61, 95% CI 1.28-2.02,  $I^2=39.2$ ) (Figure 4).

270

271           Therefore, our findings compare well to the literature in supporting the  
272 importance of treating CE in affected women in order to reduce the risk of future RPL.  
273 The use of antibiotics over two-weeks seems to cure CE in over 90% of cases (22, 32,  
274 35) with some evidence suggesting long-term benefits in preventing RPL (19, 22, 32,  
275 34, 35). Our group is currently undertaking the CERM trial (36) aiming to address this  
276 evidence gap and provide more evidence on the effective treatment for this group of  
277 women.

278           Quantifying CD138+ cells by immunohistochemistry in women with a history of  
279 recurrent pregnancy loss is helpful to diagnose chronic endometritis and predict  
280 subsequent reproductive outcome.

281

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283

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289

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291

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408

## 409 **Figure Legends**

410 **Table 1:** Demographics of women included and the median/range of CD138+ score per  
411 subsequent pregnancy outcome at 12-month follow-up

412

413 **Table 2:** Relative risk with 95% confidence intervals of miscarriage compared to live  
414 birth per CD138+ score group.

415

416 **Figure 1:** Median and interquartile range for CD138+ score in women with a history of  
417 implantation failure, miscarriage after embryo transfer, 1-3 miscarriages, 4-5  
418 miscarriages and >5 miscarriages.

419

420 **Figure 2:** Median and interquartile range of CD138<sup>+</sup> score per pregnancy outcome at  
421 12-month follow-up

422

423 **Figure 3:** Receiver operating characteristic (ROC) curve for CD138<sup>+</sup> score to predict  
424 subsequent pregnancy outcome in women with history of recurrent pregnancy loss.

425

426 **Figure 4:** Forest plots of random effects meta-analysis for reported pregnancy outcomes  
427 in women with resolve CE vs persistent CE and treated CE vs no CE.

428

429 **Supplementary Table 1:** Cut-off points and the associated sensitivity and 1- specificity  
430 for CD138<sup>+</sup> scores to predict subsequent pregnancy loss in high risk women.

431