

GOPEN ACCESS

Citation: Garcia-Fayos P, Monleon VJ, Espigares T, Nicolau JM, Bochet E (2020) Increasing aridity threatens the sexual regeneration of *Quercus ilex* (holm oak) in Mediterranean ecosystems. PLoS ONE 15(10): e0239755. https://doi.org/10.1371/ journal.pone.0239755

Editor: Angelina Martínez-Yrízar, UNAM, MEXICO

Received: July 2, 2020

Accepted: September 13, 2020

Published: October 14, 2020

Peer Review History: PLOS recognizes the benefits of transparency in the peer review process; therefore, we enable the publication of all of the content of peer review and author responses alongside final, published articles. The editorial history of this article is available here: https://doi.org/10.1371/journal.pone.0239755

Copyright: This is an open access article, free of all copyright, and may be freely reproduced, distributed, transmitted, modified, built upon, or otherwise used by anyone for any lawful purpose. The work is made available under the <u>Creative</u> Commons CC0 public domain dedication.

Data Availability Statement: The data files have been deposited in the Figshare digital repository: https://doi.org/10.6084/m9.figshare.12855170.

Funding: This work was supported by Ministerio de Economía, Industria y Competitividad, Gobierno

RESEARCH ARTICLE

Increasing aridity threatens the sexual regeneration of *Quercus ilex*(holm oak) in Mediterranean ecosystems

Patricio Garcia-Fayos^{1*}, Vicente J. Monleon², Tiscar Espigares³, Jose M. Nicolau⁴, Esther Bochet¹

1 Desertification Research Centre (CIDE, CSIC-UV-GV), Moncada, Valencia, Spain, 2 US Forest Service Pacific Northwest Research Station, Corvallis, Oregon, United States of America, 3 Department of Life Sciences, Faculty of Sciences, University of Alcalá, Alcalá de Henares, Madrid, Spain, 4 Technical School and Environmental Sciences Institute, University of Zaragoza, Huesca, Spain

* patricio.garcia-fayos@ext.uv.es

Abstract

Knowledge of the recruitment of dominant forest species is a key aspect for forest conservation and the ecosystem services they provide. In this paper, we address how the simultaneous action of climate change and the intensity of land use in the past influence the recruitment of a forest species that depends on the provision of nurse plants to recruit. We compared the number of saplings (up to 15 years old) and juveniles (16 to 50 years old) of Quercus ilex in 17, 5.3 ha plots in the Iberian System (eastern Spain). We used a gradient of past deforestation intensity crossed with two levels of average annual precipitation, one of them at the lower limit of the species' precipitation niche (semi-arid) and the other at the optimum (sub-humid). We also examined the association between recruits and nurse plants and the effect on this association of plot-scale factors, such as seed abundance (reproductive Q. ilex), microsites (nurse species and soil availability), and large herbivores. The increase in aridity in the last decades has drastically reduced the recruitment of new individuals in the forests of Q. ilex located in the lower limit of their precipitation niche, regardless of the intensity of past deforestation that they suffered. Recruitment in these climatic conditions depends almost exclusively on large trees and shrubs whose abundance may also be limited by aridity. The lack of regeneration guestions the future of these populations, as the number of individuals will decrease over time despite the strong resistance of adult trees to disturbance and drought.

Introduction

Climate projections indicate that the Mediterranean is one of the regions most vulnerable to global change [1]. The western Mediterranean is experiencing two large-scale processes that can have profound influence in the dynamics of its forest ecosystems. While humans have affected the landscapes of this region for millennia, there has been a sharp decline in human population in rural areas starting on the second half of the 20th century, which has resulted in

de España, CGL2013-42213-R, to Dr Esther Bochet; Ministerio de Ciencia, Innovación y Universidades and European Regional Development Fund, RTI2018-095037-B-I00 to EB; Conselleria d'Educació, Investigació, Cultura i Esport, PROMETEO 2016/021 to PG-F. The CSIC Open Access Publication Support Initiative gave support of the publication fee through its Unit of Information Resources for Research (URICI). The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

Competing interests: The authors declare that no competing interests exist

the recovery of vegetation and increase of forest area [2]. Concurrently, mean annual temperature has increased at an estimated rate of 0.30 °C per decade [3] and precipitation decreased at a rate of 18.7 mm per decade, leading to an intensification of aridity (+24.4 mm of annual evapotranspiration per decade) and drought severity [4]. Since changes in both human pressure and climatic conditions have occurred simultaneously, it is imperative to understand how those two processes and their interaction influence forest dynamics and, specifically, regeneration.

Quercus ilex L. is an evergreen oak that constitutes a keystone species for biodiversity and ecosystem services in western Mediterranean countries [5]. Their great ability to sprout after fires, logging, browsing and droughts has allowed their populations to persist for a long time despite intense human activity [6]. Like other Quercus species, it is a renowned seed mast species [7]. Acorns are an important food resource for many animals, so after falling to the ground, those that are hidden or stored and not consumed by their dispersers are the ones that are more likely to escape predation [8, 9]. Seeds, but also seedlings, are very sensitive to dehydration and rely mostly on shelter against desiccation to survive and establish [10, 11]. In Q. *ilex*, recruitment occurs in full sun only when annual precipitation is 700 mm or more [10], but as precipitation decreases, seedlings need shade to compensate for evapotranspiration, until reaching a lower limit of annual precipitation, around 250 mm, when the water deficit can no longer be compensated by the shade [10, 12]. In the wild, nurse plants facilitate the establishment of seedlings in many Quercus species under Mediterranean climate conditions because they improve soil conditions, reduce predation on seeds and seedlings, and provide shade that favors a positive water balance of seeds and young plants [8, 13–18]. However, Quercus seedlings need light to grow, so the intense shade that favors recruitment under high evaporative demand makes their subsequent development to seedlings and juveniles difficult or even impossible [19, 20].

While the scale of the interactions between the nurse and the facilitated plant is local [21], the strength of this relationship may be affected by factors operating at larger scales, such as topography, climate, seed availability, abundance of seed dispersers, herbivore pressure, and abundance of microsites favoring germination and survival (i.e. nurse plants) [11, 13, 22–25]. Moreover, all those factors not only can affect seedling establishment and survival directly, but they can also interact with the effect of the nurses.

In this study, we analyse data on Q. *ilex* plants that established over the last 50 years in forest remnants dominated by this species in the Iberian Range (Eastern Spain). This period corresponds with a very intense rural exodus followed by a recovery of the vegetation cover [26], but also with an increase in temperature and aridity [4]. We hypothesized that both intense past deforestation and increasing aridity during forest recovery would impose restrictions on Q. *ilex* recruitment, and that these restrictions would be greater in areas where the species was on the edge of the precipitation niche. To test these hypotheses, we compared the recruitment in sites selected along a deforestation gradient with contrasting mean annual precipitation, while keeping all other environmental and human use factors similar. Mean annual precipitation at the beginning of the recruitment period considered here was at the lower limit for the species range in some of these sites and close to the mode of the distribution in the rest [27]. We used cover of Q. *ilex* trees as a surrogate of human-caused deforestation, with a deforestation intensity gradient that goes from plots with a single tree to plots with the highest possible tree cover of the species under the climatic conditions of the area where the plot is located.

In addition, we explored the association of *Q. ilex* recruits to individuals of plant species potentially acting as nurses. We first examined the spatial association between recruits and potential nurse species at the microscale. Then, we examined the effect of several factors at a broader scale, including precipitation and stand attributes (past deforestation intensity,

abundance of reproductive *Q*. *ilex*, abundance of nurse plants, soil availability and herbivory pressure) on the strength of this association.

Material and methods

Plot selection and characteristics

We selected 17 plots of 231 x 231 m in a 130 km east-west transect in the Iberian Mountain Range from Vilafranca del Cid (Castellón province) to Traid (Guadalajara province) (Eastern Spain). These plots are a subsample of the 138 plots used in a previous study by the authors [28] designed to minimize the variations between sites in the magnitude of the factors that affect the development of *Quercus ilex* that were not those we want to study.

The altitude of the plots ranged between 1060 and 1400 m a.s.l., and all of them were located in high and flat areas with a slope angle less than 6 ° (S1 Table). Parent lithology was massive limestone from the Cretaceous and Jurassic periods and the soil type was Molllic Haploxeralfs. The mean annual temperature varied between 9.8 ° and 12 °C and dry and wet years affected similarly all the area during the period 1700–2012 [29]. We visited all the plots to confirm that *Q.ilex* was the dominant tree species and if there were only a few individuals left, we confirmed their dominance in the adjoining plots.

Since we selected plots without signs of having been cultivated, we assumed that the variation in *Q. ilex* cover between plots was an indication of the intensity of deforestation suffered (firewood, wood and feed for domestic livestock). In support of this idea, we previously verified that the distance to the closest human settlement explained the variation in the tree cover of *Q. ilex* in the study area, while the geomorphological characteristics of the landscape, such as slope and aspect that inform on fertility and water availability in soils, they did not [28].

The human population density in the entire study area fell from 20 inhabitants per square meter in 1960 to five in 2015 (Spanish Statistical Office: https://www.ine.es/en/, last consulted on 01 / 02/2020), which led to a decrease in livestock's density of an order of magnitude and an increase of wild herbivore abundance, which did not compensate for this reduction in density (S1 Appendix). These processes allowed for the recovery of forest vegetation in the region [26]. However, we assumed that this recovery would not have modified the observed deforestation pattern, given the insignificant temporal variations in the tree cover of *Q. ilex* between 2004 and 2012 in all plots (<5%, [28]). It is coherent with the slow increase in the growth and density of the forests of this species in the Iberian Peninsula in the period studied [30].

Eleven of the plots located in an area with mean annual precipitation between 400 and 450 mm (semi-arid hereafter) and the other six in an area with mean annual precipitation between 600 and 650 mm (sub-humid hereafter) (<u>S1 Table</u>). We obtained values of mean annual precipitation for each plot from the Digital Climatic Atlas of the Iberian Peninsula [<u>31</u>] (data from 1951–1999 with 200 m spatial resolution). The difference in the number of plots between semi-arid and sub-humid was a consequence of the number of plots available [<u>28</u>] and the very low recruitment density in the semi-arid.

Average daily temperatures in the study area increased 0.54 $^{\circ}$ C (95% CI 0.38 to 0.68) per decade between 1973 and 2005 [32], which in itself it has meant a significant increase in the demand for water by plants and, therefore, an increase in climatic aridity in all the plots [4].

Within each precipitation level, we selected plots to represent the full range of past deforestation values, from the densest *Q. ilex* forests to areas where only one tree remained. Because precipitation influences the maximum possible of tree cover value of *Q. ilex* in each precipitation level, we developed a standardized deforestation index within precipitation levels (S1 Table). This index is the ratio of the difference between the observed maximum *Q. ilex* cover value within a precipitation level and the value in the plot, divided by the maximum observed value in the region, and takes values from one (treeless areas) to zero (maximum potential tree cover). We obtained the maximum value in each precipitation level from a data set of 374 plots in the semi-arid and 209 plots in the sub-humid that includes all the plots in the studied region in which *Q. ilex* is the dominant species under the climatic conditions considered [28].

We measured stand attributes that can affect recruitment and the strength of the association between nurses and recruits, including precipitation, past deforestation intensity, relative abundance of reproductive Q. ilex and nurse plants, herbivory pressure, and soil availability for the acorns to germinate and establish. Within each plot, we established two 231 x 14 m parallel subplots separated 100 m from each other. We estimated the relative cover of reproductive Q. ilex and of nurse plants in spring and autumn of 2016, with the line intercept method. We used a 14 m long tape arranged transversally every 10 m along the subplots (a total of 644 m per plot). We defined reproductive Q. ilex as trees with presence of acorns or their remains, and those without them but with DBH > 20 cm [33]. We defined a potential nurse as plant species whose morphological characteristics (plant size and shape, leaf size and leaf density and layout, presence of thorns and spines, etc.) are attractive to the acorn dispersers or can provide protection to the acorns and seedlings against drying or herbivory (S2 Table). As an indication of soil availability, we estimated the probability that an acorn that reached the ground could germinate and root, that is, where there were no rock or stones in the first 5 centimetres of soil. We estimate this index as the proportion of 500 points, distributed in 10 transects of 10 m each, distributed evenly in the plot, where a metal rod 5 mm in diameter could be introduced into the ground up to 5 cm deep. Since we did not observe direct evidence of herbivory in seeds, seedlings, saplings, juveniles and adults of this species at any of the sites studied, we decided to use indirect evidence to determine herbivory pressure. To obtain an indication of the current pressure of herbivory on the plots, we used the proportion of forty-six 10 x 14 m sections per plot where we could find faecal pellets of domestic or wild herbivores, footprints, wool or hairs attached to bushes and trees.

Permits were not required to sample the study plots since they were not located in areas with restricted access and the type of sampling was neither extractive nor destructive, except in the case of *Q*. *ilex* individuals necessary to establish age relationships with diameter (see Age determination for further information).

Recruit sampling and measurement

In the spring and autumn of 2017, we tallied all recruits of Q. *ilex* that originated from sexual reproduction in two 231 x 14 m subplots per plot. We defined a recruit as any Q. *ilex* plant that had a root collar diameter equal to or less than 50 mm and was not connected to any surrounding Q. ilex adult by the roots. To avoid tallying vegetative shoots of adult plants, we discarded potential recruits that were one meter or less from the stem of an adult. We excavated the remaining potential recruits and examined their root system carefully until we had full evidence that they were not connected to any adult plant. Sometimes, we found vegetative patches of Q. ilex with dozens of stems less than 1.5 m tall, densely sprouting from a common root network, a consequence of repeated logging in the past [6]. These stems generally do not produce acorns and have a greater competitive advantage over recruits that originated from sexual reproduction, because their connection to the mother plant provides them with water and carbohydrates. The possibility of survival of any seedling established under these vegetative patches is negligible [34], and together with the effort it would take to discriminate any recruit of sexual origin in those patches, led us to exclude those areas from sampling. We measured the diameter of the root collar of each recruit with callipers to the nearest 0.01 mm in two perpendicular directions and calculated the mean diameter (see "age determination" section).

To study the association between potential nurse plants and recruitment of *Q. ilex*, we used a retrospective sampling design (microsite-level sampling) [35]. We recorded the presence of individuals of those species potentially acting as nurses within 50 cm around every *Q. ilex* recruit. We also sampled 46 additional random, recruit-free points per plot (every 10 m along the east edge of each subplot) and checked them for the presence of nurse plants in the same way.

Age determination

Initially, we attempted to determine the age of each recruit from its basal area. However, we were unable to apply this procedure because more than 85% of the recruits had two or more stems. The plants of *Q. ilex* have the potential to produce secondary stems when the primary stem is damaged, and to do it repeatedly after each disturbance [6]. The size of these secondary stems does not reflect the age of the recruit, but only that of the sprout. Since all sprouts originate from the same root system, we built models relating the diameter of the root collar to the age of the plant. We sampled 171 recruits and determined their age from tree-ring counts in the laboratory (see S2 Appendix for details on tree-ring determination and model construction and [36] for a similar age determination procedure). We then used those models to estimate recruit age for all the tallied recruits.

The collection of *Q*. *ilex* is not subject to any prohibition by laws or regulations of a national, regional or local nature that affect the study territory except for the use of firewood, which, given the size of the individuals sampled for this research, it would not apply.

Data analyses

To examine the effect of precipitation and past deforestation intensity on the number of *Q. ilex* recruits at the plot level, we fitted Poisson log-linear models. We used precipitation and deforestation intensity as fixed variables and included the interaction term between them to assess whether the effect of past deforestation on the number of recruits was different for the semiarid and sub-humid sites. We kept the interaction in the final model only when it was significant at the 0.05 level. We used site as a random effect, because the 17 plots where clustered in six different municipalities (S1 Table). Since the effect of deep shade on recruits switches from positive to negative at approximately 15 years of age [19], we grouped the recruits into two age classes: saplings (15 years old or less) and juveniles (between 16 and 50 years old).

To examine the association to nurse plants at the microsite level, and how variables at the stand level modify this effect, we used hierarchical logistic regression models (S3 Appendix). We started with a logistic regression that related the log of the odds of *Q. ilex* recruit presence to the presence of a nurse plant within 50 cm around the recruit or random point. Because of the retrospective nature of the sampling, only the slope of this model has a meaningful interpretation [35]. We included random plot effects for both the intercept and slope parameters. Next, we modelled the slope parameter as a function of the plot-level variables, including precipitation level, past deforestation intensity, relative cover of reproductive *Q. ilex*, relative cover of nurse plants, soil availability, and abundance of herbivores and used the AIC criteria to select the best fitted model.

We used the glmer function (*lme4* package, v 1.1–20 in R 3.6.1) for the analyses.

Results

Relationship between root-collar diameter and age

The youngest plant sampled in the semi-arid plots, based on the ring count, was seven years old and had an average root-collar diameter of 3.92 mm. The youngest plant in the sub-humid

plots was two years old and had a diameter of 2.89 mm. Fifty-year-old plants in the semi-arid and sub-humid plots had root-collar diameter of 28.52 and 37.60 mm, respectively. Models relating age to diameter of the root-collar of recruits explained most of the variation of data within each precipitation level, $R^2 = 0.48$ for the semi-arid and $R^2 = 0.65$ for the sub-humid (S2 Appendix).

Recruit characteristics and age structure

We found 1697 recruits, but their density differed hugely between precipitation levels. The maximum density of recruits was almost twenty times higher in the plots of the sub-humid precipitation category than in those of the semi-arid category, 974.0 plants ha⁻¹ and 52.6 plants ha⁻¹ respectively. The minimum density was very low in both aridity categories, 4.6 and 1.6 plants ha⁻¹, respectively, and corresponded to the plots with the highest values of deforestation intensity. Among the recruits in the semi-arid, 22 were saplings (15 years old or younger) and 159 juveniles (16 to 50 years old), compared with 592 saplings and 924 juveniles in the sub-humid (See <u>S3</u> Table for detailed information). By examining the age structure in more detail, by 10-year cohorts, recruitment patterns differed markedly between precipitation levels (Fig 1). In the semi-arid, the less numerous cohorts were the youngest classes (up to 20 years old) and the most numerous was the 31–40-year-old class. On the contrary, in the sub-humid, the least numerous cohort was the oldest class (41–50 year-old) and the most numerous was the 11–20 year-old class.

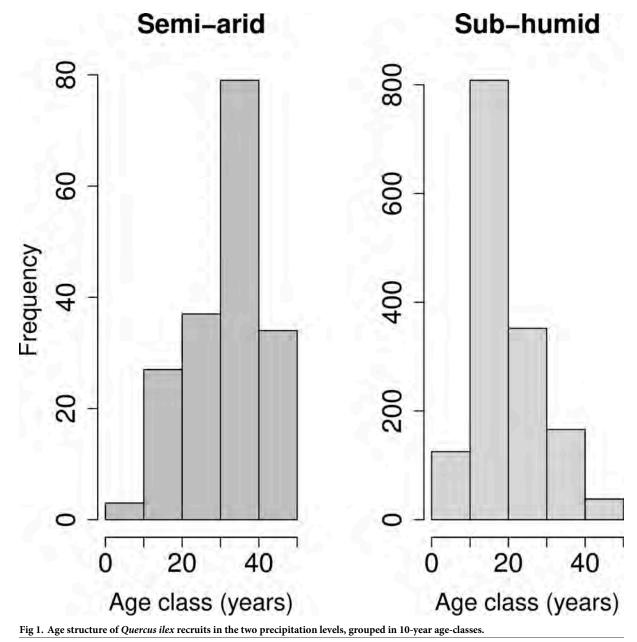
Effect of precipitation level and deforestation intensity on recruitment

Our data show that there is a negative relationship between the intensity of deforestation in the past and the number of recruits per plot at both levels of precipitation (Fig 2). The data also indicate that even at low deforestation level, the number of recruits is very small. For saplings, the effect of past deforestation intensity on the number of recruits differed between precipitation levels, as indicated by the significant interaction term (p-value < 0.0001, Table 1). In the sub-humid, the number of saplings per plot was very high at the minimum deforestation level, but it halved for each 0.1 unit increase in deforestation intensity (95% confidence interval (CI), 0.47 to 0.55). In the semi-arid, however, the number of saplings per plot was so low in all plots that there was no evidence of an effect of past deforestation intensity (p-value = 0.87, Table 1; Fig 2A).

In contrast, for saplings, the interaction between precipitation and deforestation was not significant (p-value = 0.59), indicating that the rate of change in the number of juveniles per plot as deforestation intensity increased was the same for both precipitation levels (Fig 2B). Thus, a 0.1 increase in past deforestation intensity was associated with a 0.72-fold (95% CI, 0.69 to 0.75) decrease in the mean number of juveniles in both precipitation levels. Even though the effect of past deforestation intensity on the rate of change of the number of juveniles was not significantly different between precipitation levels, the total number of juveniles per plot differed notably between precipitation levels. On average, plots in the sub-humid had 14 times more juveniles than plots in the semi-arid at comparable values of past deforestation intensity (95% CI, from 3.85 to 50.56) (Table 1).

Association with nurse plants

We found 17 shrub and tree species in our study area with the potential to act as nurse plants (S2 Table). However, only seven of them, all trees and large-size shrubs, were significantly and positively associated with *Q. ilex* recruits. Species like *Buxus sempervirens*, *Arctostaphyllos uva-ursi*, the very common Leguminosae shrub *Genista scorpius*, and all the other medium and small-size shrubs, were not positively associated with recruits in our study area.



https://doi.org/10.1371/journal.pone.0239755.g001

While most recruits of *Q. ilex* associated with nurse species, there were important differences between age classes of recruits and precipitation levels. In the semi-arid, 20 (91%) of the saplings and 57 (36%) of the juveniles were associated with nurse plants. In the sub-humid, both saplings and juveniles were strongly associated with nurses, 824 (89%) and 569 (96%) respectively. The analysis confirmed the strong association between the presence of saplings and nurse species (p-value < 0.0001; Table 2). The odds of finding a sapling associated with a nurse species were 28.6 times as large as the odds of finding a sapling associated with bare soil and no-nurse plants (95% CI for the odds ratio, between 18.0 and 45.4) and there was no evidence that this odds ratio differed between the semiarid and sub-humid (p-value for the interaction = 0.56).

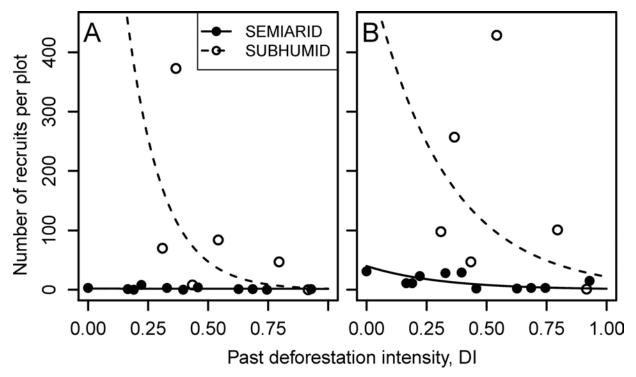


Fig 2. Effect of intensity of past deforestation on the number of recruits of *Quercus ilex* **per plot in each precipitation level.** The lines are the estimated models from the Poisson regression, (A) for saplings (recruits 15 years old or younger) and (B) for juveniles (recruits between 16 and 50 years old).

https://doi.org/10.1371/journal.pone.0239755.g002

For juveniles, the association between nurses and recruits differed between semi-arid and sub-humid (p-value for the interaction = 0.0021; Table 2). Juveniles in the semi-arid were not significantly associated to nurses (p-value = 0.15, Table 2). In contrast, the odds of finding a juvenile associated with a nurse species in the sub-humid were 6.7 times as large as the odds of finding a juvenile associated with bare soil and no-nurse plants (95% CI for the odds ratio, between 3.4 and 12.9).

Hierarchical analyses show that none of the examined characteristics of the plot (past deforestation intensity, relative cover of reproductive *Q*. *ilex* and nurse plants, soil availability and

Table 1. Parameter estimates from the best fitting Poisson regression models of the effect of precipitation level and intensity of past deforestation on the number
of recruits of Quercus ilex per plot.

Saplings (1–15 years old)	Estimate	Standard Error	p-value
Intercept	0.5718	0.7250	0.4290
Precipitation level (sub-humid)	6.6533	1.0291	<0.0001
Deforestation intensity	-0.2166	1.2904	0.8670
Precipitation level: Deforestation intensity	-6.5162	1.3398	<0.0001
Juveniles (16–50 years old)			
	Estimate	Standard Error	p-value
Intercept	3.6856	0.3500	<0.0001
Precipitation level (sub-humid)	2.6361	0.5907	<0.0001
Deforestation intensity	-3.2351	0.1802	<0.0001

https://doi.org/10.1371/journal.pone.0239755.t001

Saplings (1-15 years old)			
	Estimate	Standard Error	p-value
Intercept	-6.1466	0.5277	<0.0001
Nurse plants	3.3544	0.2356	<0.0001
Precipitation level (sub-humid)	2.6306	0.7220	0.0003
Juveniles (16–50 years old)			
	Estimate	Standard Error	p-value
Intercept	-2.4085	0.3464	<0.0001
Nurse plants	0.4721	0.3274	0.1493
Precipitation level (sub-humid)	0.8917	0.5737	0.1201
Precipitation level: Nurse plants	1.4263	0.4636	0.0021

https://doi.org/10.1371/journal.pone.0239755.t002

density of herbivores) affect the strength of the association between recruits and nurse plants (Table 3). This result persists even after including precipitation level in the models. As an exception, there is a significant and positive interaction (p-value = 0.0013) between the presence of nurses at the microsite and the relative cover of nurses in the plot for juvenile plants. In other words, there are relatively more juveniles associated with nurses as the cover of nurses in the plot increases. There is also moderate evidence that herbivory affects the association between juveniles and nurses (p-value = 0.0636), suggesting that increasing herbivory tends to decrease the strength of this association.

Discussion

The age structure of the population indicates that during the last thirty years, there was a strong reduction in the recruitment of *Q. ilex* in the semi-arid, being very accentuated in the last decade, but in the sub-humid, this reduction only occurred in the last ten years.

As expected both, precipitation level and the intensity of past deforestation, strongly affected the recruitment of *Q. ilex*. The highest recruit density in the sub-humid population

Saplings (1–15 years old)			
	Estimate	Standard Error	p-value
Deforestation intensity	-0.2678	1.2562	0.8310
Availability of reproductive Q. ilex	0.0262	1.7793	0.9880
Nurse availability	0.5495	1.9175	0.7744
Soil availability	-0.1577	4.0173	0.9687
Abundance herbivores	-1.3560	1.3450	0.3134
Juveniles (16–50 years old)			
	Estimate	Standard Error	p-value
Deforestation intensity	-0.7507	1.2741	0.5557
Availability of reproductive Q. ilex	1.1988	2.1334	0.5740
Nurse availability	5.5797	1.7323	0.0013
Soil availability	4.2820	3.5760	0.2312
Abundance herbivores	-2.4172	1.3033	0.0636

Table 3. Estimates of the interaction parameter (β 11, S3 Appendix) between the indicator of the presence of nurse plants at the microsite level and the plot-level variables.

Lack of significance implies that the plot-level variable under consideration did not modify the strength of the association between recruits of *Quercus ilex* and presence of nurse plants.

https://doi.org/10.1371/journal.pone.0239755.t003

was almost twenty times more than in the semi-arid population, and in both populations, density decreased rapidly as deforestation intensity increased.

In the semi-arid population, recruit density was very low across all levels of deforestation, less than 51 plants ha⁻¹. The increase in deforestation intensity imposed only an additional weak limitation on the density of juveniles (recruits aged 16 to 50), although it had no discernible influence on the density of saplings (recruits aged 1 to 15 years) in these populations.

Our results are consistent with the reported generalized dependence on facilitation of recruitment in *Quercus* species in ecosystems stressed by climate and herbivores [8, 10, 13, 14, 18]. Although we did not studied the facilitation mechanisms of nurses, our results showed that positive association of recruits with nurse plants exists, and that this association was stronger for saplings than for juveniles, as occurs in other species [13]. In fact, we found no evidence of an association between nurses and juveniles in the semi-arid population.

Plot scale factors, such as abundance of nurses, herbivory, soil depth, etc. did not modified the strength of the association between saplings and nurses, reinforcing that is the microsite effect produced by nurses against seed and seedling consumers and providing shade who explain the recruitment pattern. However, for juveniles, the cover of nurses present in the plot positively interact on the association between recruits and nurses, perhaps as a consequence of the correlated positive effect that rural abandonment had on the recovery of all species during the last decades, including both, *Q. ilex* and nurse species.

The reduction in recruitment of *Q. ilex* in the last decades occurred despite the significant decrease in human population and livestock pressure (S1 Appendix), which lead to an increase in both forest species recruitment and forest cover in the western Mediterranean [26, 37]. Average daily temperature between 1901 and 1949 increased at a rate of 0.13° C per decade, accelerating to more than 0.45° C per decade since the 1970s to 2012 [3, 32], which includes the recruitment period analysed in this study. The rise in maximum temperatures in mainland Spain from 1951 to 2010 happened mostly in late winter, early spring and summer, while minimum temperature increased in summer, spring and autumn, all the seasons that are most sensitive for photosynthesis, reproduction, growth and seedling survival in this species [38, 39]. Consistently, it has been identified a prolonged period of canopy defoliation of *Q. ilex* and many other forest species across the Iberian Peninsula between 1987 and 2007 [40], which could have also negatively affected the recruitment of *Q. ilex*.

In the semi-arid populations, the low overall low recruitment of Q. ilex occurred regardless of the degree of deforestation, suggesting that common mechanisms operated on all sites of this climate category, such as reduced seed production, scarcity of nurse plants, high acorn predation, or limitations to seed germination and seedling survival under those precipitation conditions. On the one hand, seed production in Q. ilex is strongly dependent upon water availability in spring and summer [41], and water deficit in the entire region increased along the last decades because the decrease in precipitation and the increase of temperatures [4]. In an accompanying study, P. Garcia-Fayos followed acorn production for ten years (2004-2013) in 150 adults in a Q. ilex population located in the semi-arid sites of Santa Eulalia and Cella. During the entire period, seed production was very low. In a scale ranging from complete lack of fruit (0) to mast-fruiting (5), the maximum value assigned to a tree in any given year was 3, while the maximum average value for the population was only 0.9. Low acorn production associates to high rates of predation [12] and, on the other hand, acorn survival is higher under the protection of shrubs and tree species than under the canopy of adults of Q. ilex [7, 8]. In our study, we only found two species positively associated to recruits in the semi-arid, and they appeared in a very low frequency, less than half of the plots, and never exceeding 15% of coverage. In contrast, in the sub-humid, we found up to six species potentially acting as nurses, which were present in all plots with a cover equal to or exceeding that of the semi-arid plots (15–39%).

Consequently, the increase in aridity experienced in the region during the last decades [3, 4] suppose a serious problem for the regeneration of the populations of *Q. ilex* in the semi-arid edge of its territory. It is not saying that recruitment of this species is impossible in the semiarid edge. Models using field evidence of recruitment of Quercus species in California, showed an important probability of successful recruitment events after events of several consecutive years wetter than average, even under scenarios of strong aridity [42]. In the semi-arid area studied, without significant regeneration, the current populations of Q. ilex can become relict forests, in which long-established trees will be able to survive for some time longer thanks to their ability to sprout after repeated disturbances. In the long term, however, if these adult plants do not have enough time to replenish their carbohydrate stores between disturbance events [43, 44], tree density will decrease and populations will eventually disappear. In subhumid populations, where conditions are still favorable for recruitment, the increase in temperature and decrease in rainfall predicted by climate change models [45] could also put their future at risk. All of these results highlight the need to incorporate demographic processes, such as recruitment, and field-based evidence into models to predict the effects of climate change on species distribution [42, 46].

In conclusion, the apparent long-term stability of adults of long-lived species that can regrow after stress and disturbance events should not hide that, in some cases, climate change and increased aridity may prevent the recruitment of new individuals. The scarcity of regeneration questions the future of these populations, since the number of individuals will decrease over time, while the ability to adapt to changes will also decrease, since there will be no incorporation of new genetic recombinations in them.

Supporting information

S1 Table. Geographical and environmental characteristics of the study plots. MAP = Mean Annual Precipitation, PET = Potential Evapotranspiration and MAT = Mean Annual Temperature. Plot identification codes follow Moreno-de-las-Heras et al. 2018. Ecosystems, 21: 1295–1305.

(DOCX)

S2 Table. List of plant species with potential to act as nurse for *Quercus ilex* recruits in our **study area.** Bold letters indicate that we found association of that species with recruits of Q. ilex in our study area. Life form: T = tree, LS = large size shrub, MS = medium size shrub, SS = small size shrub and PS = prostrate shrub. Precipitation level: SA = semi-arid, SH = subhumid, B = both. (*) Several species of the sectio *Rosa caninae*. (DOCX)

S3 Table. Summary of the measured variables in each plot. We consider saplings those recruits aged 15 and younger, and juveniles those aged 16 to 50 years. The values of intensity of past deforestation, area occupied by reproductive *Quercus ilex* and nurses, soil availability and herbivory pressure are ratios. The intensity of past deforestation indicates the loss of tree cover relative to the maximum tree cover in each precipitation level. The area of reproductive *Q. ilex* and nurse plants is the proportion of the area of the plot covered by the canopy of those species. The availability of soil is the proportion of points in the plot where an acorn could germinate and take root. Herbivore pressure is the proportion of subplots in each plot that showed signs of the presence of domestic and wild herbivores (See methods for a detailed variable description).

(DOCX)

S1 Appendix. Comparison of the abundance of herbivores at the study sites during the last century and at the time of sampling. (DOCX)

S2 Appendix. Modelling the relationships between the estimated age from ring counts and root collar diameter in the field of *Quercus ilex* recruits.

(DOCX)

S3 Appendix. Modelling Logistic hierarchical models (microsite models). (DOCX)

Acknowledgments

We want to thank Beatriz López-Gurillo for her enthusiastic and warm help with fieldwork. We are grateful to Manuel García-Hidalgo and Txemi Olano, of the Botany Laboratory of the University of Valladolid in Soria, for their aid in plant age determination and Juan Jiménez, head of the Servei de Vida Silvestre (Generalitat Valenciana, Spain), for kindly providing information on wild herbivore abundance in Vilafranca. The National Meteorological Agency (AEMET, Spain) kindly provided the climatic data of the meteorological stations. The manuscript benefited from discussions within the seminar of the Department of Ecology of CIDE and from the comments of reviewers.

Author Contributions

Conceptualization: Patricio Garcia-Fayos, Esther Bochet.

Formal analysis: Patricio Garcia-Fayos, Vicente J. Monleon.

Funding acquisition: Patricio Garcia-Fayos, Esther Bochet.

Investigation: Patricio Garcia-Fayos, Tiscar Espigares, Jose M. Nicolau, Esther Bochet.

Methodology: Patricio Garcia-Fayos, Vicente J. Monleon, Esther Bochet.

Project administration: Esther Bochet.

Writing - original draft: Patricio Garcia-Fayos.

Writing – review & editing: Patricio Garcia-Fayos, Vicente J. Monleon, Tiscar Espigares, Jose M. Nicolau, Esther Bochet.

References

- 1. Giorgi F, Lionello P. Climate change projections for the Mediterranean region. Global and Planetary Change. 2008; 63: 90–104. https://doi.org/10.1016/j.gloplacha.2007.09.005
- Pausas JG, Millán MM. Greening and Browning in a Climate Change Hotspot: The Mediterranean Basin, BioScience. 2019; 69: 143–151. <u>https://doi.org/10.1093/biosci/biy157</u>
- Vicente-Serrano SM, Camino ER, Castro FD, El Kenawy AM, Azorín-Molina C. An updated review on recent trends in observational surface atmospheric variables and their extremes over Spain. Cuadernos de Investigación Geográfica/Geographical Research Letters. 2017; 43: 209–232. https://doi.org/10. 18172/cig.3134
- Vicente-Serrano SM, Lopez-Moreno JI, Beguería S, Lorenzo-Lacruz J, Sanchez-Lorenzo A. García-Ruiz JM, et al. Evidence of increasing drought severity caused by temperature rise in southern Europe. Environmental Research Letters. 2014; 9(4): 044001. https://doi.org/10.1088/1748-9326/9/4/044001
- Marañón T, Ibáñez B, Anaya-Romero M, Muñoz-Rojas M, Pérez-Ramos I. Oak trees and woodlands providing ecosystem services in Southern Spain. In: Rotherham ID, Handley Ch, Agnoletti M, Samojlik T, editors. Trees beyond the wood: An exploration of concepts of woods, forests and trees. Wildtrack Publishing, Sheffield; 2012. pp. 291–299.

- Espelta JM, Sabaté S, Retana J. Resprouting dynamics. In: Rodà F, Retana J, Gracia CA, Bellot J, editors. Ecology of Mediterranean evergreen oak forests, Ecological Studies (Analysis and Synthesis), vol 137. Springer, Berlin, Heidelberg; 1999. pp. 61–73. https://doi.org/10.1007/978-3-642-58618-7_5
- Siscart D, Diego V, Lloret F. Acorn Ecology. In: Rodà F, Retana J, Gracia CA, Bellot J, editors. Ecology of Mediterranean evergreen oak forests, Ecological Studies (Analysis and Synthesis), vol 137. Springer, Berlin, Heidelberg; 1999. pp. 75–87. https://doi.org/10.1007/978-3-642-58618-7 6
- Gómez JM. Importance of microhabitat and acorn burial on *Quercus ilex* early recruitment: non-additive effects on multiple demographic processes. Plant Ecology. 2004; 172(2): 287–297. <u>https://doi.org/10.1023/B:VEGE.0000026327.60991.f9</u>
- Gómez JM, Schupp EW, Jordano P. Synzoochory: the ecological and evolutionary relevance of a dual interaction. Biological Reviews. 2019; 94(3), 874–902. <u>https://doi.org/10.1111/brv.12481</u> PMID: 30467946
- Retana J, Espelta JM, Gracia M, Riba M. Seedling recruitment. In: Rodà F, Retana J, Gracia CA, Bellot J, editors. Ecology of Mediterranean evergreen oak forests, Ecological Studies (Analysis and Synthesis), vol 137. Springer, Berlin, Heidelberg; 1999. pp. 89–103. https://doi.org/10.1007/978-3-642-58618-7_7
- López-Sánchez A, Peláez M, Dirzo R, Fernandes GW, Seminatore M, Perea R. Spatio-temporal variation of biotic and abiotic stress agents determines seedling survival in assisted oak regeneration. The Journal of Applied Ecology. 2019; 56(12): 2663–2674. https://doi.org/10.1111/1365-2664.13500
- Pérez-Ramos IM, Rodríguez-Calcerrada J, Ourcival JM, Rambal S. Quercus ilex recruitment in a drier world: a multi-stage demographic approach. Perspectives in Plant Ecology, Evolution and Systematics. 2013; 15: 106–117. https://doi.org/10.1016/j.ppees.2012.12.005
- Callaway RM, Davis FW. Recruitment of Quercus agrifolia in central California: the importance of shrub-dominated patches. Journal of Vegetation Science. 1998; 9(5), 647–656. https://doi.org/10. 2307/3237283
- Gómez-Aparicio L, Gómez JM, Zamora R, Boettinger JL. Canopy vs. soil effects of shrubs facilitating tree seedlings in Mediterranean montane ecosystems. Journal of Vegetation Science. 2005; 16: 191– 198. https://doi.org/10.1111/j.1654-1103.2005.tb02355.x
- Tyler CM, Kuhn B, Davis FW. Demography and recruitment limitations of three oak species in California. The quarterly review of biology. 2006; 81(2), 127–152. <u>https://doi.org/10.1086/506025</u> PMID: 16776062
- Gavinet J, Prévosto B, Fernandez C. Do shrubs facilitate oak seedling establishment in Mediterranean pine forest understory? Forest Ecology Management. 2016; 381: 289–296. https://doi.org/10.1016/j. foreco.2016.09.045
- Costa A, Villa S, Alonso P, García-Rodríguez JA, Martín FJ, Martínez-Ruiz C, et al. Can native shrubs facilitate the early establishment of contrasted co-occurring oaks in Mediterranean grazed areas? Journal of Vegetation Science. 2017; 28(5): 1047–1056. https://doi.org/10.1111/jvs.12550
- Davis FW, Tyler CM, Mahall BE. Demography of evergreen and deciduous oaks in a mixed oak savanna: insights from a long-term experiment. Ecosphere. 2019; 10(1), e02570. <u>https://doi-org/10.1002/ecs2.2570</u>
- Espelta JM, Riba M, Javier R. Patterns of seedling recruitment in West-Mediterranean Quercus ilex forest influenced by canopy development. Journal of Vegetation Science. 1995; 6(4): 465–472. https:// doi.org/10.2307/3236344
- 20. Koenig WD, Knops JM. Long-term growth and persistence of blue oak (*Quercus douglasii*) seedlings in a California oak savanna. Madroño. 2007; 54(4), 269–274. https://doi.org/10.3120/0024-9637(2007)54 [269:LGAPOB]2.0.CO;2
- Bulleri F, Bruno JF, Silliman BR, Stachowicz JJ. Facilitation and the niche: implications for coexistence, range shifts and ecosystem functioning. Functional Ecology. 2016; 30(1): 70–78. https://doi.org/10. 1111/1365-2435.12528
- Amat B, Cortina J, Zubcoff JJ. Community attributes determine facilitation potential in a semi-arid steppe. Perspectives in Plant Ecology Evolution and Systematics. 2015; 17: 24–33. https://doi.org/10. 1016/j.ppees.2014.10.001
- Gómez-Aparicio L, Zamora R, Gomez JM, Hodar JA, Castro J, Baraza E. Applying plant facilitation to forest restoration: A meta-analysis of the use of shrubs as nurse plants. Ecological Applications. 2004; 14: 1128–1138. https://doi.org/10.1890/03-5084
- 24. Plieninger T, Pulido FJ, Schaich H. Effects of land-use and landscape structure on holm oak recruitment and regeneration at farm level in *Quercus ilex* L. dehesas. Journal of Arid Environments. 2004; 57(3), 345–364. https://doi.org/10.1016/S0140-1963(03)00103-4

- 25. Leverkus AB, Rey-Benayas JM, Castro J. Shifting demographic conflicts across recruitment cohorts in a dynamic post-disturbance landscape. Ecology. 2016; 97(10): 2628–2639. <u>https://doi.org/10.1002/ecy.1527</u> PMID: 27859134
- Stellmes M, Röder A, Udelhoven T, Hill J. Mapping syndromes of land change in Spain with remote sensing time series, demographic and climatic data. Land Use Policy. 2013; 30(1): 685–702. <u>https:// doi.org/10.1016/j.landusepol.2012.05.007</u>
- Gastón A, García JI. El Estudio del hábitat climático para la selección de especies la restauración de la vegetaci6ón. In: Pemán J, Navarro RM, Nicolás JL, Prada MA, Serrada R, editors. Producción y manejo de semillas y plantas forestales Vol. 2, Organismo Autónomo Parques Nacionales & Ministerio de Agricultura, Madrid; 2013. pp. 615–730.
- Moreno-de-las-Heras M, Bochet E, Monleón V, Espigares T, Nicolau JM, Molina MJ, et al. Aridity induces nonlinear effects of human disturbance on Precipitation-Use Efficiency of Iberian woodlands. Ecosystems. 2018; 21: 1295–1305. https://doi.org/10.1007/s10021-017-0219-8
- Tejedor E, de Luis M, Cuadrat JM, Esper J, Saz MA. Tree-ring-based drought reconstruction in the Iberian Range (east of Spain) since 1694. International Journal of Biometeorolpgy. 2016; 60(3): 361–372. https://doi.org/10.1007/s00484-015-1033-7
- Coll M, Peñuelas J, Ninyerola M, Pons X, Carnicer J. Multivariate effect gradients driving forest demographic responses in the Iberian Peninsula. Forest Ecology and Management. 2013; 303: 195–209. https://doi.org/10.1016/j.foreco.2013.04.010
- Ninyerola M, Pons X, Roure JM. Digital Climatic Atlas of the Iberian Peninsula. 2005. (http://opengis.uab.es/wms/iberia/en_index.htm).
- **32.** Brunet M, Jones PD, Sigró J, Saladié O, Aguilar E, Moberg A, et al. Temporal and spatial temperature variability and change over Spain during 1850–2005. Journal of Geophysical Research.Atmospheres. 2007; 112(D12). https://doi.org/10.1029/2006JD008249
- Cierjacks A, Hensen I. Variation of stand structure and regeneration of Mediterranean holm oak along a grazing intensity gradient. Plant Ecology. 2004; 173(2): 215–223. https://doi.org/10.1023/B:VEGE. 0000029322.75004.ad
- **34.** Gracia M, Retana J, Picó FX. Seedling bank dynamics in managed holm oak (*Quercus ilex*) forests. Annals of Forest Science. 2001; 58(8): 843–852. https://doi.org/10.1051/forest:2001167
- McCullagh P, Nelder JA. Generalized linear models, 2nd ed. Chapman&Hall, CRC. 1989. <u>https://doi.org/10.1007/978-1-4899-3242-6</u>
- Cruz-Alonso V, Villar-Salvador P, Ruiz-Benito P, Ibáñez I, Rey-Benayas JM. Long-term dynamics of shrub facilitation shape the mixing of evergreen and deciduous oaks in Mediterranean abandoned fields. Journal of Ecology. 2019; 108: 1125–1137. https://doi.org/10.1111/1365-2745.13309
- Chauchard S, Carcaillet C, Guibal F. Patterns of land-use abandonment control tree-recruitment and forest dynamics in Mediterranean mountains. Ecosystems. 2007; 10: 936–948. <u>https://doi.org/10.1007/</u> s10021-007-9065-4
- Matías L, Gómez-Aparicio L, Zamora R, Castro J. Effects of resource availability on plant recruitment at the community level in a Mediterranean mountain ecosystem. Perspectives in Plant Ecology Evolution and Systematics. 2011; 13(4): 277–285. https://doi.org/10.1016/j.ppees.2011.04.005
- Alla AQ, Camarero JJ, Maestro-Martínez M, Montserrat-Martí G. Acorn production is linked to secondary growth but not to declining carbohydrate concentrations in current-year shoots of two oak species. Trees. 2012; 26(3): 841–850. https://doi.org/10.1007/s00468-011-0658-3
- 40. Carnicer J, Coll M, Ninyerola M, Pons X, Sanchez G, Peñuelas J. Widespread crown condition decline, food web disruption, and amplified tree mortality with increased climate change-type drought. Proceedings of the National Academy of Sciences U. S. A. 2011; 108: 1474–1478. <u>https://doi.org/10.1073/pnas.1010070108</u>
- Pérez-Ramos IM, Ourcival JM, Limousin JM, Rambal S. Mast seeding under increasing drought: results from a long-term data set and from a rainfall exclusion experiment. Ecology. 2010; 91: 3057–3068. https://doi.org/10.1890/09-2313.1 PMID: 21058565
- Serra-Diaz JM, Franklin J, Sweet LC, McCullough IM, Syphard AD, Regan HM, et al. Averaged 30 year climate change projections mask opportunities for species establishment. Ecography. 2016; 39(9): 844–845. https://doi.org/10.1111/ecog.02074
- Bond WJ, Midgley JJ. Ecology of sprouting in woody plants: the persistence niche. Trends in Ecology and Evolution. 2001; 16(1): 45–51. https://doi.org/10.1016/s0169-5347(00)02033-4 PMID: 11146144
- 44. Barbeta A, Peñuelas J. Sequence of plant responses to droughts of different timescales: lessons from holm oak (*Quercus ilex*) forests. Plant Ecology and Diversity; 2016; 9(4): 321–338. https://doi.org/10. 1080/17550874.2016.1212288

- **45.** IPCC. Climate Change 2013: The Physical Science Basis. Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change In: Stocker TF, Qin D, Plattner G-K, Tignor M, Allen SK, Boschung J, Nauels A, Xia Y, Bex V, Midgley PM, editors. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA; 2013. 1535 pp.
- 46. Petrie MD, Bradford JB, Hubbard RM, Lauenroth WK, Andrews CM, Schlaepfer DR. Climate change may restrict dryland forest regeneration in the 21st century. Ecology. 2017; 98(6): 1548–1559. https:// doi.org/10.1002/ecy.1791 PMID: 28266031