

1 **Subsidence mechanisms and sedimentation in alluvial sinkholes**  
2 **inferred from trenching and ground penetrating radar (GPR).**  
3 **Implications for subsidence and flooding hazard assessment**

4

5 Ivan Fabregat <sup>a</sup>, Francisco Gutiérrez <sup>a\*</sup>, Carles Roqué <sup>b</sup>, Mario Zarroca <sup>c</sup>, Rogelio  
6 Linares <sup>c</sup>, Xavier Comas <sup>d</sup>, Jesús Guerrero <sup>a</sup>, Domingo Carbonel <sup>a</sup>

7

8 <sup>a</sup> Departamento de Ciencias de la Tierra; Universidad de Zaragoza; C/. Pedro Cerbuna 12; 50009 Zaragoza;  
9 Spain

10 <sup>b</sup> Àrea de Geodinàmica Externa i Geomorfologia; Universitat de Girona; Campus Montilivi; E-17003  
11 Girona; Spain

12 <sup>c</sup> Departamento de Geología; Universidad Autónoma de Barcelona; 08193 Barcelona; Spain

13 <sup>d</sup> Department of Geosciences, Florida Atlantic University, Davie, FL, USA

14

15 \* Corresponding author Tel: +34 976 761090; Fax: +34 976 761106

16 E-mail address: [fgutier@unizar.es](mailto:fgutier@unizar.es) (F. Gutiérrez)

17

18 **Abstract**

19 Sinkholes function as small sediment traps that may host valuable stratigraphic records  
20 for paleoenvironmental reconstructions and hazard assessments (e.g., subsidence, floods,  
21 hurricanes, tsunamis, tephra fall-out). The sedimentological features and completeness of  
22 such archives are influenced by the sedimentation and subsidence patterns and rates.  
23 However, karst depressions are frequently treated as static basins unaffected by  
24 settlement. This work illustrates the practicality of integrated studies combining  
25 trenching, numerical dating and shallow geophysical techniques (GPR) for characterizing  
26 the subsurface subsidence structure associated with sinkholes and reconstructing their  
27 deformational and sedimentary history. The approach is applied in two collapse sinkholes  
28 located in contrasting geomorphic settings (relict terrace and floodplain) related to deep-  
29 seated interstratal karstification of evaporites. The analysis of the sinkholes, particularly  
30 the trenching technique, provides practical information for assessing the associated  
31 subsidence hazard, including the presence of larger cavities at depth, the kinematic regime  
32 (episodic versus progressive), evidence of catastrophic displacement (fluidization

Field Code Changed

33 structures) and the magnitude and timing of collapse events, especially the most recent  
34 one. The sinkhole located in the floodplain offers the opportunity of analyzing the  
35 possibilities and limitations of subsidence sinkholes as recorders of past floods in alluvial  
36 environments. This depression shows a largely incomplete record attributable to the high  
37 frequency of flood events compared to that of the collapse events, which create the  
38 accommodation space for sediment deposition. These limitations could be partially  
39 overcome by selecting old sinkholes situated in low terraces and/or affected by rapid  
40 subsidence.

41 **Keywords:** sinkhole sedimentation; subsidence history; sinkhole hazard; paleofloods

42

### 43 **1. Introduction**

44 Sinkholes or dolines are internally drained depressions characteristic of karst terrains  
45 underlain by carbonate or evaporite rocks. Two main groups of sinkholes can be  
46 differentiated from the genetic perspective (Gutiérrez, 2016): solution sinkholes  
47 generated by differential lowering of the ground by surficial dissolution, and subsidence  
48 sinkholes related to subsurface karstification and downward displacement of the  
49 overlying undermined material. Three main types of subsidence mechanisms may operate  
50 in the latter group: downward migration of cover deposits through subsurface voids and  
51 settling of the ground surface (suffosion); brittle deformation through the development of  
52 well-defined failure planes and/or brecciation (collapse); and downward bending of  
53 sediments above a karstification zone (sagging). The latter mechanisms typically occurs  
54 in evaporitic karst environments underlain by high solubility and ductile bedrock.

55 Sinkholes are enclosed depressions that function as sediment traps. They may host the  
56 only available stratigraphic record in erosional karst landscapes, typically dominated by  
57 internal drainage. Moreover, subsidence, either progressive or episodic, may favour the  
58 preservation of relatively long and complete stratigraphic records. The infill of adequately  
59 selected sinkholes and sinkhole lakes may provide valuable information for Quaternary  
60 studies and hazard analyses. Sinkholes have been widely used in retrospective  
61 investigations for (1) reconstructing paleoenvironmental and paleoclimatic variability  
62 (e.g., Laury, 1980; Whitmore et al., 1996; Hyatt and Gilbert, 2004; Hoddell et al., 2005;  
63 Morellón et al., 2009; Barreiro-Lostres et al., 2014; Perrotti, 2018; van Hengstum et al.,  
64 2018); (2) deciphering sea-level changes (Kovacs et al., 2013); (3) inferring the impact

65 of past human activity on the landscape (Kulkarni et al., 2016); (4) studying  
66 paleontological and archaeological sites as well as faunal changes (Carbonell et al., 2008;  
67 Calvo et al., 2013; Zaidner et al., 2014; Gutiérrez et al., 2016); (5) inferring  
68 geoscientific landscapes (Siart et al., 2010); (6) estimating long-term erosion rates  
69 and their temporal variability (Turnage et al., 1997; Hart, 2014). Sinkholes can be also  
70 used as a source of objective information for prognostic hazard assessments, including  
71 subsidence associated with the development of the sinkhole (Carbonel et al., 2014; Sevil  
72 et al., 2017; Gutiérrez et al., 2018); paleofloods (Gutiérrez et al., 2017); hurricanes  
73 (Gischler et al., 2008; Lane et al., 2011; Brown et al., 2014); tephra fall-out (Siart et al.,  
74 2010).

75 Sinkhole sedimentation is strongly influenced by the geomorphic setting (e.g., relict  
76 versus active geomorphic surface) and the subsidence patterns and rates. However,  
77 Quaternary studies focused on sinkhole-fill deposits frequently do not explore the impact  
78 of geomorphic factors on sedimentation. Moreover, in most cases sinkholes are  
79 considered as static basins in which variations in the position of their bottom is  
80 exclusively related to aggradation, and not to subsidence. However, subsidence events or  
81 temporal variations in the subsidence rates may induce significant changes in  
82 sedimentation regardless of the external environmental conditions. Previous  
83 investigations illustrate how detailed sinkhole-specific investigations, combining  
84 trenching, geochronological analyses and shallow geophysics provide critical data for  
85 assessing the subsidence hazard associated with them (Carbonel et al., 2014; Fabregat et  
86 al., 2017; Sevil et al., 2017). This work expands the practicality of applying those methods  
87 for unravelling the impact of various factors on sinkhole sedimentation and the  
88 characteristics of the associated stratigraphic archives, including the geomorphic setting  
89 and the subsidence patterns and rates. Two collapse sinkholes located in an interstratal  
90 karst environment and contrasting geomorphic settings (relict terrace; floodplain affected  
91 by frequent floods) were selected and studied combining detailed mapping, trenching,  
92 radiocarbon dating, and ground penetrating radar (GPR). The characterisation of the  
93 sinkholes and their comparison allows us to illustrate: (1) the practicality of combining  
94 trenching and GPR for resolving subsidence structures associated with sinkholes; (2) how  
95 the trenching technique, in combination with geochronological data and retrodeformation  
96 analyses can be used to reconstruct the deformation and depositional history of sinkholes  
97 and infer critical subsidence hazard parameters; (3) the impact of the geomorphic setting

98 along with subsidence patterns and rates on sinkhole sedimentation and the completeness  
99 of the stratigraphic record; (4) the possibilities and limitations of sinkholes as recorders  
100 of past floods for flood-frequency analyses.

101

## 102 **2. Geological, geomorphological and hydrological setting**

103 The two investigated sinkholes, named the Anfiteatro sinkhole and the Fares sinkhole, are  
104 located in the middle reach of the Fluvia River valley, NE Spain (**Fig. 1**). This valley  
105 section has been excavated along the boundary between two major geological units  
106 separated by the E-W-trending and S-verging Vallfogona Thrust: the Pyrenean orogen to  
107 the north and the Ebro Cenozoic basin to the south (**Fig. 1B**). The hanging wall of the  
108 Vallfogona Thrust corresponds to the so-called Cadi Unit, which is the youngest and  
109 lowest thrust sheet of the eastern South Pyrenean Zone ([Martínez et al., 1997](#); [Barnolas  
110 and Pujalte, 2004](#)). This structural unit includes formations of the Eocene South Pyrenean  
111 foreland basin that were incorporated into the orogenic wedge through a piggy-back  
112 propagation sequence ([Puigdefàbregas et al., 1986](#)). The footwall of the Vallfogona  
113 Thrust, where the two analysed sinkholes are located, corresponds to the northern sector  
114 of the Ebro Cenozoic basin (**Fig. 1B**). Here, the exposed bedrock is an autochthonous  
115 succession deposited in the Eocene South Pyrenean foreland basin and affected by gentle  
116 E-W-oriented folds ([Martínez et al., 2000](#); [Carrillo et al., 2014](#)). The formations exposed  
117 in the footwall of the Vallfogona Thrust include the following Early-Middle Eocene  
118 stratigraphic units from base to top, recording an overall regressive trend (**Fig. 1C**)  
119 ([Carrillo et al., 2014](#); [Gutiérrez et al., 2016](#)): (1) Beuda Gypsum (Early-Middle Eocene):  
120 this is an evaporitic unit up to 130 m thick deposited in a relatively shallow platform-like  
121 basin, mainly composed of white massive and crudely bedded gypsum (selenitic; nodular)  
122 with interbedded marls. The exposed secondary gypsum grades into anhydrite at depth.  
123 This is the soluble formation responsible for the generation of the studied sinkholes. (2)  
124 Banyoles Formation (Middle Eocene marls): This unit, locally more than 400 m thick,  
125 consists of poorly stratified bluish grey marls. It records relatively deep sedimentation in  
126 a pro-delta environment. (3) Bracons (or Coubet) Formation (Middle Eocene sandstone):  
127 This is a deltaic succession designated as Coubet Formation in the Pyrenees and Bracons  
128 Formation in the Ebro Basin. It consists of tabular well-bedded grey sandstones and  
129 claystones with some limestone intercalations. The Banyoles and Bracons formations are

130 interdigitated, recording a S-directed fluvial system (Bracons/Coubet Fm.) that graded  
131 into deeper pro-delta environments with marl sedimentation to the south (Banyoles Fm.).  
132 (4) Besalu Gypsum (Middle Eocene): This is an evaporitic unit 5-25 m thick dominated  
133 by white gypsum and intercalated within the interfingered Banyoles and Bracons  
134 formations. (5) Bellmunt Formation (Middle Eocene): This is a red conglomeratic unit  
135 several hundred meters thick deposited in alluvial environments. Additional details on the  
136 geology of the study area can be found in [Gutierrez et al. \(2016, 2019\)](#).

137 A total of eight stepped terrace levels were mapped by [Gutiérrez et al. \(2016\)](#) in the  
138 middle reach of the Fluvia valley (T1: +91-97 m; T2: +75-82 m; T3: +47-53 m; T4: +36-  
139 45 m; T5: +28-32 m; T6: +19-25 m; T7: +8-12 m; T8: +3-7 m; height above channel), as  
140 well as five mantled pediments correlative to some of the oldest terraces (P1, P2, P3, P4,  
141 P6). The terrace T3 of the Fluvia River connects with the Sant Jaume lava flow, which in  
142 turn matches to the west with the upper basalt flow of Castellfollit, dated at 217-167 ka  
143 by Ar40/Ar39 ([Lewis et al., 1998](#)) (**Fig. 1B**). The two investigated sinkholes are situated  
144 at the southern bank of the Fluvia River on contrasting morpho-stratigraphic settings. The  
145 apparently inactive Anfiteatro sinkhole occurs on the Pleistocene terrace T5, whereas the  
146 active Fares sinkhole lies on the active floodplain (T8). The latter sinkhole functions as a  
147 sediment trap during flood events.

148

149 The Fluvia River catchment has an area of 974 km<sup>2</sup> and a relief of 1514 m, from the  
150 highest point of the divide to the river mouth in the Mediterranean Sea. It is characterised  
151 by a Mediterranean climate with subalpine features in the northern mountainous sectors.  
152 The average precipitation in the watershed ranges from 1165 mm to 630 mm and the  
153 mean annual temperature in the Olot meteorological station is 15.5°C ([Linares et al.,  
154 2017](#)). The Fluvia River has an average discharge of 8 m<sup>3</sup>/s at the Esponella gauging  
155 station, located ca. 5 km downstream of the investigated area and with a contributing area  
156 of 804 km<sup>2</sup>. This unregulated fluvial system with no reservoirs experiences frequent  
157 floods, mainly concentrated in autumn when frontal rainfall events are more frequent  
158 ([Meteocat, 2018](#)). Table 1 includes a compilation of historical damaging floods recorded  
159 in the middle reach of the Fluvia River from the Middle Ages.

160

### 161 **3. Methodology**

162 Initially, detailed geological-geomorphological maps of the sinkhole sites were produced  
163 using previous cartographic works (Mató et al., 1996; Roqué et al., 1999; Martínez et al.,  
164 2000; Carrillo et al., 2014; Gutiérrez et al., 2016) and conducting thorough field surveys.  
165 Available borehole data provided some information on the position of the alluvium-  
166 bedrock contact and the top of the evaporites (e.g., Barberà and Buxó, 1998; Roqué et al.,  
167 1999; ICC, 2001, 2010; ICGC, 2018). The water-table depth was measured in some wells  
168 with a portable water level logger. Historical imagery available in Google Earth Pro and  
169 the viewer of the Institut Cartogràfic i Geològic de Catalunya were also used to recognize  
170 recent variations of the analysed sinkholes, chiefly related to human activity.

171 GPR data was acquired along one line across the Anfiteatro sinkhole and two  
172 perpendicular lines centered in the Fares sinkhole. Each line was replicated with a RIS  
173 system (Ingegneria dei Sistemi) using bistatic 40 MHz (unshielded), 100 MHz (shielded)  
174 and 200 MHz (shielded) antennas in common offset mode. One Common-Midpoint  
175 (CMP) profile was acquired at the Anfiteatro site and two in the Fares site by separating  
176 stepwise the transmitter and receiver 100MHz antennas. These data were used to estimate  
177 subsurface velocities (e.g., Annan, 2009). GPR data was processed with the software  
178 ReflexW 8.5 (by Sandmeier geophysical research; [www.sandmeier-geo.de](http://www.sandmeier-geo.de)) applying the  
179 following work flow: (1) a one-dimensional dewow to eliminate low frequency (wow)  
180 components; (2) static correction to compensate for the time delay of the first arrival; (3)  
181 background removal to remove high-frequency noise; (4) a time-varying gain to amplify  
182 late travel-time signals; (5) bandpass frequency filter to increase the signal-to-noise ratio;  
183 (6) Stolt migration using a single velocity of 0.095 m ns<sup>-1</sup> and 0.105 m ns<sup>-1</sup> for the  
184 Anfiteatro and Fares sites, respectively, derived from CMP data; (7) topographic  
185 correction.

186 Backhoe trenches 32 m and 47 m long were excavated in the Anfiteatro and the Fares  
187 sinkholes, respectively, which were partially coincident with GPR profiles for direct  
188 comparison. The Anfiteatro trench was extended from the center to the margin of the  
189 depression, whereas the Fares sinkholes covered the full collapse structure. The trench  
190 walls were cleaned and one of them was gridded with horizontal and vertical strings. The  
191 selected wall was logged on graph paper at a scale of 1:50 after marking stratigraphic  
192 contacts and faults with color pins. A total of 13 samples from key stratigraphic units  
193 were sent for AMS radiocarbon dating, of which 3 had insufficient charcoal for dating.  
194 The obtained conventional radiocarbon ages were calibrated to calendar ages using the

Field Code Changed

195 Calib7.1 software (Stuiver and Reimer, 1993; Stuiver et al., 2017) and the IntCal 13.14c  
196 calibration data set (Reimer et al., 2013) (Table 2).

197

## 198 4. The Anfiteatro sinkhole

### 199 4.1. Description of the sinkhole and setting

200 The apparently inactive Anfiteatro sinkhole covers 3,908 m<sup>2</sup> and has a NE-oriented  
201 elongated shape, 79 m long and 67 m wide (Fig. 2). It is a scarped edge depression ca. 15  
202 m in maximum depth, with a flat floor used for cultivation and an estimated volume of  
203 41 10<sup>3</sup> m<sup>3</sup>. The margins of the sinkhole show a sequence of benches that led some  
204 archeologists to interpret the enclosed depression as the ruins of a Roman amphitheater  
205 with a capacity for 4000 people (Burch et al., 2014). They ascribed the staircased margins  
206 to annular corridors of a supposed grandstand (*praecinto*), and the more degraded low-  
207 relief NE edge to the access to the central arena. However, a recent archeological  
208 investigation, including the excavation of test pits, ruled out the Roman amphitheater  
209 interpretation and proposed that the stepped margins correspond to artificial terraces with  
210 masonry walls aimed at improving the stability of the slopes (Casas et al., 2016).

211

212 The Anfiteatro collapse sinkhole formed on the tread and riser of terrace T5 of the Fluvia  
213 River, dominated by gravel facies and perched around 30 m above the river channel  
214 (Roqué et al., 1999; Gutiérrez et al., 2016) (Fig. 2). This terrace is younger than 217-167  
215 ka, which is the age ascribed to the older terrace T3 on the basis of Ar<sup>40</sup>/Ar<sup>39</sup> dating of  
216 basalts in Castellfollit village (Lewis et al., 1998; Gutiérrez et al., 2016). The exposed  
217 bedrock in nearby outcrops to the south corresponds to the Banyoles Marls (Martínez et  
218 al., 2000). A borehole drilled on terrace T4 west of the sinkhole penetrated Banyoles  
219 Marls up to a depth of 93 m without reaching the top of the Beuda Gypsum. This  
220 information indicates that the Anfiteatro sinkhole is related to deep-seated interstratal  
221 karstification of the Beuda Gypsum beneath a thick caprock of Banyoles Marls mantled  
222 by Quaternary alluvium.

223

224 The Anfiteatro sinkhole was depicted in an old topographic map produced by Papell i  
225 Llenas (1862) for the Besalu City Hall at 1:2,000 scale, providing a minimum bracketing

226 age for the depression. Old grey-scale aerial photographs from 1946 suggest that the  
227 sinkhole floor is affected by ponding. This can be attributed to perched ephemeral water  
228 accumulated on the clayey bottom of the depression (**Fig. 2B**). Here, the depth of the  
229 water table was measured in June and July 2016 at 15 m and 20 m, respectively.

230

231

#### 232 **4.2. The Anfiteatro trench**

233 A 32 m long and 4.4 m deep trench was excavated in the Anfiteatro sinkhole. The trench,  
234 with a N34E orientation, was dug from the NE margin of the depression towards its center  
235 (**Fig. 2**). It crossed the degraded marginal scarp associated with the riser of terrace T5 and  
236 was extended radially across the subcircular crop field situated in the bottom of the  
237 depression, with a gentle inward slope of 2°. The excavation exposed an inward-dipping  
238 collapse fault and three main sedimentary packages, two of them faulted and a younger  
239 unconformable one that truncates the fault (**Fig. 3**):

240 (1) Package I (units 1 to 5), only exposed in the footwall, consist of faulted indurated  
241 gravelly deposits of terrace T5.

242 (2) Package II (units 6 to 9), with an exposed thickness of 3.3 m, corresponds to faulted  
243 sinkhole-fill deposits confined to the downthrown block. This package is dominated by  
244 tabular clay units, and includes poorly exposed gravelly colluvial facies juxtaposed to the  
245 fault (unit 8). The upper clayey unit 9 shows a gravel pocket 0.5 m across situated 1 m  
246 apart from the collapse fault. This feature is indicative of penecontemporaneous soft-  
247 sediment deformation, probably related to liquefaction ([Postma, 1983](#); [Johnson, 1986](#);  
248 [Nocita, 1988](#)). Three charcoal samples collected from this package at 15 cm, 75 cm and  
249 140 cm below the top of the package yielded ages in correct stratigraphic order of 80-  
250 231, 330-433, and 599-668 cal. yr AD (age ranges at 2 sigma) (**Table 2**).

251

252 (3) Package III (units 10 to 15), 2 m thick in the trench, is a non-deformed sinkhole fill  
253 that onlaps the collapse fault and package I. This package consists of interbedded and  
254 interdigitated gravelly and fine-grained units, and is capped by a laterally continuous  
255 anthropogenic unit (unit 15, agricultural soil). In the fault zone, this package lies on a  
256 subtle angular unconformity over packages I and II, the latter affected by drag folding.



257 Towards the central sector of the sinkhole, the units of packages II and III show an  
258 apparent conformable relationship (parallel unconformity) related to limited internal  
259 deformation in the lower package. Two charcoal samples collected from this package at  
260 60 cm and 240 cm below the ground surface yielded ages of 663-777, and 1274-1391 cal.  
261 yr AD, respectively (age ranges at 2 sigma) (**Table 2**).

262 Interestingly, the observable deformation is concentrated within a narrow zone around 3  
263 m wide associated with the marginal collapse fault (**Fig. 3**). In the rest of the trench the  
264 strata show an apparent subhorizontal attitude. The fault juxtaposes the terrace deposits  
265 and the older sinkhole fill and shows an inward dip of 75°. It is expressed as a shear zone  
266 20 cm wide in which the clasts show reoriented fabrics. The sediments abutting the fault  
267 are affected by drag folding. The terrace deposits in the footwall are bent downwards in  
268 a 1.3 m wide drag fold with a throw of 0.25 m and a local dip of 15°. The drag fold in the  
269 older sinkhole fill is not fully exposed.

270 The available numerical ages, all of them in correct stratigraphic order, allow the  
271 estimation of various sedimentation rates considering the thickness of the deposits  
272 between the sampling points and maximum and minimum time spans given by the  
273 corresponding age ranges. The oldest and youngest ages from package II (1.5 m) yield a  
274 sedimentation rate of 2.5-4.1 mm/yr. The oldest (package II) and the youngest ages  
275 (package III) of the trench (3.2 m) provide a deposition rate of 2.4-2.7 mm/yr (**Fig. 4**).  
276 An aggradation rate of 1.8-2.0 mm/yr can be also estimated considering the oldest age  
277 and the thickness of the overlying sediments up to the surface (3.6 m).

278

279 The stratigraphic and structural relationships observed in the trench allow us to infer the  
280 following stepwise evolution for the Anfiteatro collapse sinkhole, which is illustrated in  
281 the retrodeformation sequence shown in **figure 5**: (1) Initiation of the formation of the  
282 Anfiteatro sinkhole by a collapse developed on terrace T5. This event generated the  
283 accommodation space for deposition of package II, more than 3.3 m thick. Probably, the  
284 sinkhole has been affected by more than one collapse event before the sedimentation of  
285 package II. However, the trench does not have sufficient depth to expose the base of the  
286 sinkhole fill, providing an incomplete record. (2) Deposition of package II. (3) New  
287 collapse event that faulted and downdropped package II, creating additional  
288 accommodation space for deposition of package III. Most probably the gravel pocket

289 mapped next to the fault and in the upper part of package II was formed during this event,  
290 due to local dynamic loading induced by a rapid collapse. The exposed thickness of  
291 package II provides a minimum estimate of 3.3 m for the vertical displacement achieved  
292 in this event. The timing of this event can be constrained within 599-777 cal yr AD with  
293 samples collected just beneath and above the event horizon. (4) Coeval degradation of the  
294 marginal scarp and deposition of package III, which includes material derived from the  
295 sinkhole edge. The lack of a well-developed colluvial wedge at the base of this package  
296 and next to the fault may be attributed to the high resistance of the hardened terrace  
297 deposit, with a repose angle higher than the slope of the scarp generated by the collapse  
298 event. During this final stage human activity may have contributed to modify the  
299 topography. especially at the trench site, which is used as the access point to the crop  
300 field.

301

#### 302 **4.3. GPR survey at the Anfiteatro sinkhole**

303 A total of three GPR profiles were acquired across the Anfiteatro sinkhole using two  
304 shielded antennas (100 MHz and 200 MHz) and an unshielded antenna (40 MHz). The  
305 profiles were partially coincident with the trench for direct comparison. Overall, the GPR  
306 results were rather poor, mainly due to signal attenuation caused by the electrically  
307 conductive clayey sinkhole fill. Moreover, the GPR data obtained with the 40 MHz  
308 unshielded antenna were strongly contaminated by above-surface reflections, notably  
309 those derived from a high-voltage cable located over the NE margin of the sinkhole. In  
310 this work, for brevity, we show the radargram obtained with the 100 MHz antenna, which  
311 vaguely images some of the geometrical features observed in the trench (**Fig. 6**). This  
312 profile shows a penetration depth of around 5 m, slightly higher than the trench. The  
313 inward-dipping marginal collapse fault is expressed by lateral interruptions of some  
314 reflections and a lateral change in the reflection pattern. The stratified terrace deposits in  
315 the footwall (package I) produce strong and laterally continuous reflections. They  
316 apparently show an inward dip next to the fault, coherent with the drag fold observed in  
317 the trench. In contrast, the massive and clay-rich faulted sinkhole fill (package II) is  
318 expressed by attenuated, discontinuous and wavy reflections. The non-faulted gravelly  
319 and crudely bedded sinkhole fill is shown as well-defined, laterally continuous reflections  
320 along the whole profile and across the fault zone. This package displays a concave base,  
321 with a maximum thickness of 3-4 m in the sinkhole center. Despite the data being

322 acquired with a shielded antenna, a diffraction hyperbola derived from the high-voltage  
323 cable partially contaminates the profile in the margin of the sinkhole.

324

325

## 326 **5. The Fares sinkhole**

### 327 **5.1. Description of the sinkhole and setting**

328 The Fares sinkhole is located on the lowermost terrace (T8) of the Fluvia River, around  
329 150 m distant from the active channel (**Fig. 7**). This terrace lies at around 3 m above the  
330 gravelly river channel on its southern bank, and is periodically affected by flooding  
331 (floodplain). The sinkhole is expressed at the surface of a crop field as a subcircular  
332 depression with vaguely-defined edges, it is 30 m in diameter and 0.4 m deep, apparently  
333 resembling a sagging sinkhole related to the downward flexure of the alluvial cover.  
334 Historical imagery available at some cartographic viewers (e.g., Google Earth Pro,  
335 Institut Cartogràfic de Catalunya) reveals that the sinkhole already existed in 2006 shown  
336 by a subcircular area in the crop field with a different tone).

337

338 According to the available cartographic data, the Fares sinkhole is located in the footwall  
339 of the Vallfogona Thrust (**Fig. 7**), and the bedrock underlying the Quaternary alluvium  
340 corresponds to marls of the Banyoles Formation, like in the Anfiteatro sinkhole (**Martínez**  
341 **et al., 2000; Gutiérrez et al., 2016**). The Banyoles Marls are exposed in an outcrop located  
342 500 m to the SE of the sinkhole. This exposure shows a caprock and cover sagging  
343 paleosinkhole more than 300 m across, affecting to both the Banyoles Marls and the  
344 Quaternary alluvium. Here, the sagged Quaternary cover is anomalously thick (ca. 50 m)  
345 and includes a unit of palustrine marls tens of meters thick, recording synsedimentary  
346 subsidence that generated palustrine environments in the valley floor (**Gutiérrez et al.,**  
347 **2016**). These data indicate that karstic subsidence in the Fares site is related to interstratal  
348 dissolution of the Beuda Gypsum beneath a caprock of Banyoles Marls, extensively  
349 mantled by an alluvial cover.

350

### 351 **5.2. The Fares trench**

352 A 47 m long and 2.6 m deep trench was excavated across the Fares sinkhole (**Figs. 8, 9**).  
353 The trench had an E-W orientation approximately parallel to the E-directed Fluvia River  
354 valley, with the western and eastern sectors associated with the upstream and downstream  
355 edges of the depression, respectively (**Fig. 7**). The excavation exposed an entire collapse  
356 structure 24 m across bounded by well-defined dip-slip faults. The recent sinkhole fill  
357 comprises various flood sequences that show an overall asymmetric synformal structure  
358 with upward-dip attenuation. The exposed sedimentary units can be grouped into four  
359 packages bounded by failure planes and major depositional discontinuities (i.e., sequence  
360 boundaries):

361 (1) Package I (terrace deposit; units 1 and 2), exposed on the margins of the sinkhole (in  
362 situ) and in the western edge of the collapse structure (downthrown), consists of rounded  
363 polymictic gravels (unit 1, channel facies) overlain by a laterally discontinuous bed of  
364 bioturbated silty sands with granules. A charcoal sample collected from unit 1, 0.3 m  
365 below the top of the unit, has yielded a calibrated radiocarbon age of 1296-1403 cal. yr  
366 AD.

367 (2) Package II (1st flood sequence; units 3 to 5), located at the sinkhole margins and  
368 within the collapse structure, corresponds to a fining-upwards flood sequence 0.7-0.9 m  
369 thick comprising distinctive beds. On the western (upstream) margin of the sinkhole, this  
370 package includes from base to top (1) horizontally laminated coarse sand with granules  
371 (unit 3); (2) massive medium sand (unit 4); and (3) massive, bioturbated sandy silt with  
372 abundant snails (unit 5). The lower laminated coarse sand bed of the flood sequence is  
373 missing within the collapse structure and on the downstream margin of the depression. A  
374 charcoal sample collected from unit 4 in the foundered succession has provided a  
375 calibrated age of 1445-1631 cal. yr AD.

376 (3) Package III (2nd flood sequence; units 6 to 8) is a flood sequence more than 1.7 m  
377 thick restricted to the sinkhole fill. It shows an asymmetric facies distribution, with units  
378 6 and 7 grading into unit 8 towards the downstream sector of the sinkhole through a  
379 gradational lateral facies change. Overall, this package displays upward- and  
380 downstream-fining trends. The basal unit 6 is made up of coarse sand with scattered  
381 granules, whereas units 7 and 8 consist of sandy silt. A charcoal sample collected 70 cm  
382 below the top of the package has yielded calibrated radiocarbon ages of 1690-1925 cal.  
383 yr AD.

384 (4) Package IV (3rd flood sequence; units 9 and 10) is also confined to the sinkhole and  
385 is the youngest flood sequence reaching 1.9 m in thickness. Its lower unit 9 consists of  
386 multiple sets of low-angle cross-laminated sand dipping downstream. The upper unit  
387 comprises a bed of massive fine sand overlain through a gradational contact by massive,  
388 bioturbated silt. Two samples collected 5 cm and 55 cm above the base of the package  
389 have provided calibrated ages of 1653-1952 cal. yr AD and 1660-1953 cal. yr AD,  
390 respectively. The ground surface along the entire length of the trench is underlain by a  
391 0.2-0.5 m thick agricultural soil disturbed by tillage (unit 11).

392

393 The collapse structure is bounded by well-defined normal faults that most likely  
394 correspond to a single annular collapse fault (ring fault). Interestingly, the loose outer  
395 sediments of the collapse (footwall) show a horizontal attitude and are barely affected by  
396 fault dragging. The eastern fault is defined by a subvertical shear zone with reoriented  
397 fabrics as much as 50 cm wide, with an associated fissure 30 cm wide in the upper part.  
398 The fissure is filled by a chaotic admixture from units 5 and 10b and truncated by the  
399 agricultural soil. The fault, with a minimum throw of 2.4 m, clearly offsets packages I to  
400 III, whereas package IV seems to be solely affected by the horizontal separation of the  
401 fissure.

402

403 The western fault has an inward dip of  $70^\circ$  and a throw of 1.3 m as measured at the top  
404 of unit 1. This western fault also offsets packages I to III and is overlapped by package  
405 IV. The fault shows a shear zone and a splay of steeper microfaults that terminate at the  
406 base of package III. The internal structure of the foundered block displays an asymmetric  
407 synform with a steeper eastern limb and the axis situated at 4 m from the eastern fault.  
408 This inner folding structure corresponds in 3D to a basin structure with centripetal dips.  
409 Overall, the dip of the sediments of the sinkhole fill attenuates upwards. Although the  
410 trench does not expose the base of the sinkhole fill, the structural relief of the synform  
411 can be constrained between 2.1 m and 5.1 m extrapolating the top of unit 1 to the hinge  
412 of the synform with the geometry of the base of unit 9 and with the dip of unit 1 in the  
413 downthrown block, respectively.

414

415 A retrodeformation analysis carried out with the geometrical and geochronological data  
416 obtained from the trench, and assuming that deposition during each flood event tends to  
417 completely fill the sinkhole, indicates the following sequence of subsidence and  
418 depositional events (Fig. 10):

419 (1) Deposition of package I in the medieval period sometime around 1296-1403 cal. yr.  
420 AD, when the active Fluvia River channel used to be situated at the sinkhole site. (2 and  
421 3) Probable initiation of the sinkhole and deposition of the first flood sequence (package  
422 II) across the depression at 1445-1631 cal. yr AD. The presence of a depression during  
423 this depositional event is weakly supported by the fact that the horizontally laminated  
424 sand (unit 3) only occurs on the upstream margin of the sinkhole, suggesting that the  
425 depression locally changed hydraulic conditions due to flow separation. Potential  
426 thickness changes within this package are not observable due to the limited depth of the  
427 trench. (4 and 5) Subsidence event by collapse faulting and internal sagging with a vertical  
428 displacement greater than 1.7 m and deposition of the second flood sequence (package  
429 III) restricted to the sinkhole depression. The depth of the sinkhole at this stage was  
430 significant enough to control the depositional style and lateral facies changes across the  
431 sinkhole. The timing of the deposition event can be roughly situated at 1690-1925 cal. yr  
432 AD. (6 and 7) Additional subsidence event with a magnitude of 1.9 m at the trench, and  
433 deposition of the flood sequence corresponding to package IV sometime within the age  
434 range 1690-1953 cal. yr AD. (8) Opening of an extensional fissure on the eastern margin  
435 of the sinkhole and its infill. This local surface deformation was not necessarily related  
436 to the reactivation of the sinkhole. It could be caused by shallow compaction and  
437 contraction of the sinkhole-fill deposits, which reach higher thickness on the eastern half  
438 of the depression. (9) Development of an agricultural soil by tillage and obliteration of  
439 the upper part of the fissure.

440

441 Overall, the trench records two subsidence mechanisms: (1) vertical displacement on the  
442 marginal collapse fault, with a minimum throw of 2.4 m on the eastern edge; and (2)  
443 downward flexure within the foundered block with a loosely estimated structural relief of  
444 2.1-5.1 m. Several options can be considered for the timing of the sinkhole initiation: (1)  
445 before deposition of package II, sometime after 1296 yr AD; (2) after deposition of  
446 package II, whose age is bracketed at 1445-1631 cal. yr AD. With these poorly  
447 constrained numerical ages and considering a cumulative vertical subsidence between 4.5

448 m and 7.5 m, we can calculate long term subsidence rates ranging between 7.8 mm/yr -  
449 96 mm/yr, much higher than those estimated in the Anfiteatro sinkhole. Stratigraphic and  
450 structural relationships indicate a minimum of two subsidence episodes, each with  
451 vertical displacements  $\geq 1.7$  m. The sinkhole formation/reactivation episodes created the  
452 accommodation space for the accumulation of deposits confined to the depression during  
453 two subsequent flood events. Unfortunately, the available radiocarbon ages, due to the  
454 shape of the calibration curves do not allow precise determination for the timing of these  
455 palaeofloods, both occurred within a time interval of 263 yr (1690-1953 cal. yr BP).

456

### 457 **5.3. GPR survey at the Fares sinkhole**

458 A total of six GPR profiles were acquired across the Fares sinkhole along two lines. One  
459 of the them 480 m long and parallel to the trench, and the other one 240 m long and  
460 perpendicular to the trench (**Fig. 7**). The profiles were replicated along these lines using  
461 two shielded antennas (200 MHz and 100 MHz) and an unshielded antenna (40 MHz).  
462 All the radargrams clearly capture the sinkhole, offering better results than at the  
463 Anfiteatro sinkhole. The highest-quality profiles are those obtained with the 200 MHz  
464 antenna due to their higher resolution. The potential higher penetration of the lower  
465 frequency antennas was limited by the shallow water table in this floodplain environment,  
466 situated at 3.5 m depth, as measured in an adjacent water well and imaged in the  
467 radargrams. **Figure 11** illustrates the central portion of the 200 MHz profile acquired  
468 parallel to the trench and slightly displaced to the north. The margins of the collapse  
469 structure can be recognized through laterally truncated reflections and by an abrupt  
470 change from subhorizontal to dipping reflections. These inward dipping reflections and  
471 the associated collapse faults can be traced up to the bottom of the profile. Within the  
472 subsidence structure, consistently with the geometries observed in the trench, the  
473 reflections show an asymmetric synform with a steeper and shorter eastern limb. The  
474 inclined reflections show upward-attenuating dips, and are overlapped by shallow  
475 subhorizontal reflections. This is coherent with the inferred episodic evolution of the  
476 sinkhole, in which older sediments have accommodated a higher amount of deformation.  
477 Moreover, the profile shows slightly lower penetration in the sinkhole zone, where the  
478 fined-grained sinkhole fill, with higher electrical conductivity than the marginal gravels,  
479 attenuates the electromagnetic waves. The apparent smaller size of the sinkhole imaged

480 in this GPR profile (15-20 m) compared to that measured in the trench (24 m) can be  
481 attributed to the fact that the GPR line was displaced a few meters from the major axis of  
482 the depression.

483

## 484 **6. Discussion**

### 485 **6.1. Subsidence structure and deformation history**

486 Detailed geological mapping and local borehole data indicate that the two investigated  
487 sinkholes are related to the interstratal karstification of the Beuda Gypsum beneath a  
488 caprock a few tens of meters thick of Banyoles Marls, which is mantled by Quaternary  
489 alluvium. The sinkholes are located in markedly different geomorphic settings that  
490 strongly control sedimentation processes and rates, and probably also subsidence  
491 mechanisms. The Anfiteatro sinkhole occurs on an indurated terrace perched around 30  
492 m above the Fluvia River (**Fig. 2**), whereas the Fares sinkhole lies on the floodplain,  
493 underlain by soft recent alluvium (**Fig. 7**).

494

495 Trenching and geophysical data indicate that the 80 m long Anfiteatro sinkhole does not  
496 correspond to a Roman amphitheater, as initially proposed ([Burch et al., 2014](#)), but to a  
497 caprock and cover collapse sinkhole following the classification of [Gutiérrez et al. \(2008\)](#).  
498 The collapse structure is controlled by a steep, inward-dipping and well-defined annular  
499 fault and the downthrown block shows very limited internal deformation within the  
500 investigation depth of the trench (**Fig. 3**). In this sinkhole, the performance of the GPR  
501 technique was very poor due signal attenuation related to the presence of a highly  
502 electrically conductive clayey fill, and did not allow to obtain information on the total  
503 thickness of the sinkhole-fill deposits (**Fig. 6B**).

504

505 The trench excavated across the Fares sinkhole revealed a full collapse structure bounded  
506 by a well-defined and steeply-dipping ring fault ca. 24 m wide (**Figs. 8, 9**). However, in  
507 this case, the soft alluvium displayed significant internal ductile deformation,  
508 accommodated through the development of an eccentric basin structure with centripetal  
509 dips. Both collapse faulting and sagging substantially contribute to the overall subsidence  
510 magnitude, loosely constrained at 4.5-7.5 m. This sinkhole can be classified as a caprock



511 and cover collapse-sagging sinkhole (Gutiérrez et al., 2008; Gutiérrez, 2016). In the Fares  
512 sinkhole the GPR profiles, especially those acquired with the high-frequency antennas,  
513 which yield higher resolution, imaged the collapse and sagging structures, revealed by  
514 lateral truncations, sharp dip changes and the synform (Fig. 11A). The better performance  
515 of this method compared with the Anfiteatro sinkhole can be attributed to the lower  
516 amount of clay in its fill and the dominance of gravels in the encasing sediments.

517

518 The stratigraphic and structural relationships observed in the trenches provide some  
519 practical information on the kinematic style of the sinkholes and their subsidence history.  
520 Both sinkholes show unambiguous evidence of episodic displacement, revealed by  
521 upward fault truncations (Anfiteatro trench; Fig. 3) and different generations of faults  
522 overlain by successively younger non-faulted units (western margin of the Fares sinkhole;  
523 Figs. 8, 9D). Similar geometrical relationships indicative of stick-slip displacement have  
524 been documented in other sinkholes (e.g., Gutiérrez et al., 2009; Youssef et al., 2016;  
525 Fabregat et al., 2017). This kinematic regime, whereby relatively long periods of  
526 quiescence are punctuated by subsidence episodes, is the expected behavior for sinkholes  
527 in which deep-seated cavities are propagated through a thick and relatively rigid caprock.  
528 The gravel pocket observed in the collapsed sinkhole-fill deposit within the Anfiteatro  
529 sinkhole provides evidence of catastrophic displacement (Figs. 3, 5). This type of soft-  
530 sediment deformation has been documented in various depositional environments and  
531 attributed to fluidization processes favored by various factors, such as high sedimentation  
532 rates, poor sorting and granular deposits with contrasting permeability and density  
533 (Postma, 1983; Johnson, 1986; Nocita, 1988). In the Anfiteatro sinkhole, the pore-fluid  
534 overpressure that led to the fluidification of the water-saturated unconsolidated deposit  
535 was most probably induced by local dynamic loading associated with a sudden collapse  
536 event. Geometrical relationships observed in the Anfiteatro trench indicate a minimum of  
537 two subsidence events: the event that generated the sinkhole and the accommodation  
538 space for sedimentation and the most recent event (MRE) responsible for the fluidization  
539 structure, whose timing is constrained at 599-777 cal. yr AD (Fig. 5). Most probably, the  
540 actual number of subsidence events is much larger considering minimum subsidence  
541 magnitude given by the depth of the sinkhole (15 m) and the minimum thickness of the  
542 sinkhole fill (4.3 m). Interestingly, this sinkhole was not reactivated during the 1427-1428  
543 Olot seismic crisis, which killed around 800 people in the region and included an event

544 (2 February, 1428) felt at the site with EMS-98 intensity VIII (Martínez-Solares and  
545 Mezcua, 2002).

546

547 The Fares sinkhole, developed in the floodplain of the Fluvia River valley is much  
548 younger. The trench records 2 or 3 subsidence events and the total subsidence has been  
549 estimated within 4.5-7.5 m (Figs. 8, 10). The timing of the putative oldest event, based  
550 on relatively weak evidence (i.e., facies change in the first flood sequence), can be  
551 constrained at 1296-1631 cal. yr AD. This age range includes the 1427-1428 seismic  
552 crisis, caused by the Amer Fault situated 20 km to the west; a coseismic sinkhole? (Perea,  
553 2009). The age of the penultimate event (PE) and the most recent event (MRE), with  
554 displacements per event higher than 1.7 m, are loosely bracketed with the available  
555 radiocarbon ages at 1445-1925 cal. yr AD and 1690-1953 cal. yr AD, respectively.

556

## 557 **6.2. Sinkhole sedimentation**

558 The two investigated sinkholes display contrasting sedimentation styles conditioned by  
559 the geomorphic setting and the subsidence/aggradation ratio, which in the case of the  
560 Fares sinkhole is determined by the temporal frequency of the collapse and flooding  
561 events. The Anfiteatro sinkhole, situated on a perched terrace, behaves as a starved basin  
562 with a subsidence/aggradation ratio  $>1$ . In this depression, with a depth of around 15 m,  
563 slow deposition dominated by rather continuous accumulation of clayey facies by sheet  
564 wash is unable to counterbalance the accommodation space formed by subsidence. The  
565 chronological model constructed with five numerical ages indicates a sedimentation rate  
566 ranging between 1.8-4.1 mm/yr.

567

568 In the Fares sinkhole, located in the floodplain, the stratigraphy and retrodeformation  
569 sequence indicate episodic sedimentation during flood events. Here, the sedimentation  
570 rate (7.8-11.5 mm/yr) is limited by the creation of accommodation space by subsidence  
571 events. The depositional events are recorded by stacked fining-upward flood sequences  
572 1-2 m thick that tend to be confined to the sinkhole depression. The basal unit may consist  
573 of low-angle cross-laminated sand, horizontally laminated sand or structureless and  
574 graded coarse sand with scattered granules. These facies are related to rapid deposition

575 by sediment-laden traction currents, whose velocity sharply decreases at the sinkhole due  
576 to local flow depth increase and flow separation. Deposition of horizontally laminated  
577 sand and low-angle cross-laminated sand occurs under upper plane bed conditions with  
578 high-velocity flow, at the transition from the subcritical to supercritical regime (Ashley,  
579 1990; Miall, 1996). These basal units are overlain by massive and strongly bioturbated  
580 silt- and clay-rich facies deposited during the waning stage of the floods by suspended  
581 load fall-out and some traction. The high concentration of low-density buoyant particles  
582 (e.g., snails, charcoal) indicates very low flow velocity and probably stagnation. These  
583 sediments are subjected to bioturbation until the subsequent aggradational flood event.  
584 Despite the limited size of the Fares sinkhole, the trench, excavated parallel to the flow  
585 direction, shows facies changes across the sinkhole, with coarser-grained and better  
586 stratified deposits in the upstream sector. Similar flood sequences have been documented  
587 in ponded zones of backflooded bedrock tributaries affected by high-stage floods (Benito  
588 et al., 2003).

589

590 Three flood events have been identified and dated in the infill of the Fares sinkhole (**Fig.**  
591 **10**): (1) the first flood recorded by package II deposited across the sinkhole (1445-1631  
592 cal. yr AD); (2) the second flood corresponding to package III, confined to the sinkhole  
593 (1690-1925 cal. yr AD); and (3) the third flood whose deposits are also restricted to the  
594 sinkhole (package IV; 1690-1953 cal. yr AD). The timing of the floods is poorly  
595 constrained by the available geochronological data and the determined age ranges for the  
596 two youngest events have overlapping ages, with higher probabilities according to the  
597 calibration curves for the period 1722-1879 cal. yr AD (see probabilities in **Table 2**).  
598 These data roughly indicate a recurrence for the stratigraphically recorded flood events  
599 of more than 100 years (three events since 1445-1631). However, historical data indicate  
600 that the site has been affected by a much larger number of flood events (see **Table 1**).  
601 Moreover, hydraulic modelling indicates that the sinkhole is located within the area  
602 affected by 40 yr return-period floods (ACA, 2018). This supports the interpretation that  
603 the recorded floods were those that occurred when there was accommodation space in the  
604 sinkhole created by a subsidence event. The topographic depression functions as a  
605 sediment trap that disturbs hydraulic conditions (i.e. flow separation) favoring rapid  
606 deposition. Flood events may not be recorded if they occur when the sinkhole is buried  
607 by deposits accumulated during a previous flood. This indicates that the completeness of

608 the flood record depends on the relative recurrence of subsidence and flood events. The  
609 flood record in the Fares sinkholes is largely incomplete because subsidence events are  
610 less frequent than flood events.

611

### 612 **6.3. Hazard implications**

613 The presented analysis illustrates how the integrated analysis of specific sinkholes  
614 combining detailed mapping, trenching and GPR provide relevant information for hazard  
615 assessment. Trenching allows the precise identification of the edge of the subsidence  
616 structures. In some evaporitic areas subsidence structures tend to have much larger  
617 dimensions than the sinkhole depressions mapped on the basis of geomorphic criteria,  
618 due to the presence of a difficult-to-recognize aureole affected by subtle downward  
619 bending (Gutiérrez et al., 2018). However, in the Anfiteatro and Fares sinkholes, both  
620 involving the foundering of a thick competent caprock, subsidence is restricted to the  
621 collapse structure defined by a well-defined ring fault.

622

623 A relevant component of the severity (i.e., capability of a hazardous process to cause  
624 damage) associated with subsidence sinkholes is their kinematic regime, either  
625 progressive or episodic. Both sinkholes are characterized by episodic subsidence, and the  
626 Anfiteatro sinkhole shows evidence of catastrophic events recorded by sediment  
627 fluidization. Nonetheless, the geochronological data provide a valuable quantitative basis  
628 for assessing the probability of new subsidence events. The Anfiteatro sinkhole can be  
629 considered as an inactive or dormant depression since the MRE occurred around 1500  
630 years ago (599-77 cal. yr AD) and it was not reactivated during the 1427-1428 Catalan  
631 seismic crisis that had a maximum epicentral intensity VIII at the site. The Fares sinkhole  
632 can be considered as active, although with a relatively low annual probability of being  
633 affected by new collapse events. This sinkhole has experienced two events with poorly  
634 constrained ages younger than 1445 cal. yr AD, both with vertical displacement higher  
635 than 1.7 m.

636

637 The Fares sinkhole provides the opportunity to assess the potential of sinkhole fills as  
638 archives of past flood histories in alluvial systems. Sinkholes are potentially suitable

639 recorders of paleofloods since they behave as sediment traps, modify hydraulic conditions  
640 favoring rapid deposition; sediments may include abundant datable material, and  
641 subsidence contributes to the preservation of the flood deposits (Gutiérrez et al., 2017).  
642 However, the available historical and geological data illustrates that they may have  
643 significant limitations: (1) sinkhole depressions tend to be obliterated by rapid deposition  
644 during floods; (2) the flood record may be largely incomplete when the sinkholes are not  
645 affected by continuous subsidence or the recurrence of the subsidence events is lower  
646 than that of the floods; (3) the temporal length of the flood histories is restricted by the  
647 age of the sinkhole; (4) the resolution of radiocarbon ages may be insufficient to resolve  
648 the timing of flood events and estimate their temporal frequency. Some of these  
649 limitations can be partially reduced for the investigation of large low-frequency  
650 paleofloods by selecting old sinkholes located in low terraces and preferably affected by  
651 continuous subsidence.

652

## 653 **7. Final considerations**

654 Karst sinkholes function as sediment sinks and their stratigraphic record may provide  
655 valuable information for Quaternary studies (e.g., paleoenvironmental variability, fossil  
656 and archaeological sites) and hazard assessments. Subsidence, either progressive or  
657 episodic, may favour the preservation of relatively long, datable and continuous  
658 successions in areas dominated by erosion and with limited stratigraphic archives. These  
659 depressions may be used as recorders not only of the local subsidence associated with  
660 them, but also of other regional hazardous processes such as floods, tsunamis, hurricanes  
661 or tephra fall.

662

663 The completeness of the stratigraphic record in subsidence sinkholes, and consequently  
664 their usefulness for paleoenvironmental and hazard analyses, depends on the ratio  
665 between the aggradation and subsidence rates and their patterns. Sinkholes located on  
666 relict surfaces characterised by low sedimentation rates and aggradation/subsidence ratios  
667  $<1$  (starved basins) (e.g., Anfiteatro sinkhole), may host long and continuous stratigraphic  
668 records. In contrast, sinkholes lying on active geomorphic surfaces with high aggradation  
669 rates (e.g. Fares sinkhole) will tend to have short and discontinuous stratigraphic records  
670 (aggradation/subsidence $>1$ ), unless they are affected by rapid subsidence. These

671 sinkholes (overfilled basins) are rapidly obliterated by accumulation and erosion  
672 processes. These factors should be taken into account when selecting sinkholes for  
673 Quaternary and hazard studies and interpreting their sedimentary fill.

674 The investigation conducted in two collapse sinkholes located in contrasting geomorphic  
675 settings (i.e., perched terrace and floodplain) and related to interstratal karstification of  
676 evaporites illustrates that GPR and trenching in combination with geochronological  
677 analyses, are suitable complementary techniques for unravelling the origin of karst  
678 depressions, determining their shallow subsurface structure and reconstructing their  
679 deformational and sedimentary history. The application of this integrated approach can  
680 be highly useful for paleoenvironmental studies focused on sinkhole-fill deposits. GPR is  
681 frequently adversely affected by the presence of electrically conductive clayey deposits,  
682 which cause severe attenuation of the signal limiting the effective investigation depth.  
683 However, under adequate conditions it allows the collection of spatially-dense  
684 information on subsurface stratigraphic and structural features in a non-intrusive fashion  
685 and with limited effort.

686

687 Trenching is a very advantageous method for sinkhole characterisation. A straightforward  
688 application of this technique yields abundant objective information on the stratigraphy  
689 and subsidence phenomenon, including the limits of the deformation zone, subsidence  
690 magnitude, subsidence mechanisms and their share, or the kinematic regime, either  
691 progressive or episodic. Both the Anfiteatro and the Fares trenches show geometrical  
692 relationships indicative of episodic displacement (e.g., upward fault truncations), and a  
693 fluidization structure exposed in the Anfiteatro trench points to a catastrophic  
694 displacement event capable of creating local pore-fluid overpressure conditions. A more  
695 elaborate and costly application of the trenching technique, including numerical dating  
696 and retrodeformation analyses, provides additional data on critical hazards parameters,  
697 including long-term subsidence rates, the timing of subsidence events, especially the  
698 MRE, and recurrence. This information provides an objective basis for assigning activity  
699 classes (e.g., active, dormant, relict) and forecasting the future behaviour of specific  
700 problematic sinkholes.

701

702 Generally, alluvial environments are unsuitable settings for the preservation of long and  
703 complete geological records of paleofloods. Subsidence sinkholes in alluvial settings  
704 could serve as potential recorders of flood events and could be used for flood-frequency  
705 analysis. The study of the Fares sinkhole via trenching illustrates the main limitations of  
706 sinkholes located in floodplains as archives for floods. (1) incomplete records: rapid  
707 aggradation by frequent floods overwhelms subsidence, limiting the potential for the  
708 accumulation of flood deposits unless sinkholes are affected by high subsidence rates;  
709 and (2) radiocarbon dating does not have sufficient resolution for resolving the timing of  
710 floods with decadal recurrence periods. These problems could be partially overcome by  
711 selecting relatively old sinkholes located in low terraces or benches where, fine-grained  
712 slackwater deposits may accumulate during low-frequency high-stage floods. These flood  
713 deposits may not be suitable as paleostage indicators due to section instability and post-  
714 sedimentary subsidence, but could provide insights into the timing and recurrence of  
715 large-magnitude floods

716

#### 717 **Acknowledgements**

718 This work has been supported by project CGL2017-85045-P (Ministerio de Ciencia,  
719 Innovación y Universidades, Gobierno de España). We would like to thank Isidre Xiqués  
720 and Juan Planes from Cal Tit for giving permission to excavate trenches in the Fares and  
721 Anfiteatro sinkholes, respectively. Also to Institut Cartogràfic i Geològic de Catalunya  
722 (ICGC) and Agència Catalana de l'Aigua (ACA) for providing copies of unpublished  
723 geotechnical reports. I.F. has a FPI grant (BES-2014-068836) of the Spanish  
724 Government. M.Z. has a Serra Hünter fellowship at the Universitat Autònoma de  
725 Barcelona (UAB).

726

#### 727 **References**

728 ACA., 2011. Avaluació preliminar del risc d'inundació del districte de conca fluvial de  
729 Catalunya (APRI). Annex 4: Anàlisi de les inundacions històriques. Apèndix 4A02:  
730 Llistat inundacions històriques a nivell de conca. Generalitat de Catalunya, Departament  
731 de Territori i Sostenibilitat. Barcelona. (60 p). Retrieved from [http://aca-](http://aca-web.gencat.cat/aca/documents/ca/publicacions/espais_fluvials/estudis/CICP0037/09038_04A02_Llistat_conca_vd.pdf)  
732 [web.gencat.cat/aca/documents/ca/publicacions/espais\\_fluvials/estudis/CICP0037/09038](http://aca-web.gencat.cat/aca/documents/ca/publicacions/espais_fluvials/estudis/CICP0037/09038_04A02_Llistat_conca_vd.pdf)  
733 [\\_04A02\\_Llistat\\_conca\\_vd.pdf](http://aca-web.gencat.cat/aca/documents/ca/publicacions/espais_fluvials/estudis/CICP0037/09038_04A02_Llistat_conca_vd.pdf). Consulted the [06/17/2018].

734 ACA., 2018. Catàleg de descàrrega cartogràfica. Consulta de dades. Agència Catalana de  
735 l'Aigua. Generalitat de Catalunya. Departament de Territori i Sostenibilitat. Barcelona.  
736 Consulted the [06/17/2018].

737 Annan, A., 2009. Electromagnetic principles of Ground Penetrating Radar. In: Hol. J.M.  
738 (Ed.), *Ground Penetrating Radar: Theory and Applications*. Springer, pp. 3-40.

739 Ashley, G.M., 1990. Classification of the large-scale subaqueous bedforms: a new look  
740 at an old problema *Journal of Sedimentary Petrology* 60, 160-172.

741 Barberà, M., Buxó, P., 1998. Estudi sobre la possibilitat de moviments del terreny a la  
742 població de Besalú i les rodalies (Garrotxa). Institut Cartogràfic de Catalunya. AR-083-  
743 98. (40 pp.). (Unpublished report).

744 Barnolas, A., Pujalte, V., 2004. La Cordillera Pirenaica. Definición, límites y división.  
745 In: Vera, J.A. (Ed.), *Geología de España*. Sociedad Geológica de España – IGME,  
746 Madrid, pp. 233–241.

747 Barreiro-Lostres, F., Moreno, A., Giralt, S., Caballero, M., Valero-Garcés, B., 2014.  
748 Climate, palaeohydrology and land use change in the Central Iberian Range over the last  
749 1.6 kyr: The La Parra Lake record. *The Holocene* 24, 1177-1192.

750 Benito, G., Sánchez-Moya, Y., Sopena, A., 2003. Sedimentology of high-stage flood  
751 deposits of the Tagus River, Central Spain. *Sedimentary Geology* 157, 107-132.

752 Burch, J., Casas, J., Castanyer, P., Costa, A., Nolla, J.M., Palahí, L., Sagrera, J., Simon,  
753 J., Tremoleda, J., Varena, A., Vivó, D., Vivo, J., 2014. L'Alt Imperi al nord-est del  
754 "Conuentus Tarraconensis": Una visió de conjunt. *Documenta Universitaria*, Institut de  
755 Recerca Històrica, Laboratori d'Arqueologia i Prehistòria, Universitat de Girona, Girona.  
756 (495 pp.). (Unpublished report).

757 Brown, A.L., Reinhardt, E.G., van Hengstum, P.J., Pilarczyk, J.E., 2014. A coastal  
758 Yucatan sinkhole records intense hurricane events. *Journal of Coastal Research* 30, 418-  
759 428.

760 Calvo, J.P., Pozo, M., Silva, P.G., Morales, J., 2013. Pattern of sedimentary infilling of  
761 fossil mammal traps formed in pseudokarst at Cerro de los Batallones, Madrid Basin,  
762 central Spain. *Sedimentology* 60, 1681-1708.



763 Carbonel, D., Rodríguez, V., Gutiérrez, F., McCalpin, J.P., Linares, R., Roqué, C.,  
764 Zarroca, M., Guerrero, J., Sasowsky, I., 2014. Evaluation of trenching, ground penetrating  
765 radar (GPR) and electrical resistivity tomography (ERT) for sinkhole characterization.  
766 *Earth Surface Processes and Landforms* 39, 214-227.

767 Carbonell, E., Bermúdez de Castro, J.M., Parés, J.M., Pérez-González, A., Cuenca-  
768 Bescos, G., Ollé, A., Mosquera, M., Huguet, R., van der Made, J., Rosas, A., Sala, R.,  
769 Vallverdú, J., García, N., Granger, D.E., Martínón-Toeers, M., Rodríguez, X.P., Stock,  
770 G.M., Vergès, J.M., Allué, E., Burjachs, F., Cáceres, I., Canals, A., Benito, A., Díez, C.,  
771 Lozano, M., Mateos, A., Navazo, M., Rodríguez, J., Rosell, J., Arsuaga, J.L., 2008. The  
772 first hominid of Europe. *Nature* 452, 465-469.

773 Carrillo, E., Rosell, L., Ortí, F., 2014. Multiepisodic evaporite sedimentation as an  
774 indicator of paleogeographical evolution in foreland basins (South-eastern Pyrenean  
775 basin, Early-Middle Eocene). *Sedimentology* 61, 2086–2112.

776 Casas, J., Nolla, J.M., Prat, M., 2016. Memòria d'excavació al Camp de l'Olla. Laboratori  
777 d'Arqueologia i Prehistòria del Institut de Recerca Històrica, Universitat de Girona,  
778 Girona (20 pp.). (Unpublished report).

779 CTEI., 1985. Estudio de inundaciones históricas: Mapa de riesgos potenciales. Comisión  
780 Técnica de Emergencia por Inundaciones, Comisión Nacional de Protección Civil,

781 Fabregat, I., Gutiérrez, F., Roqué, C., Comas, X., Zarroca, M., Carbonel, D., Guerrero, J.,  
782 Linares, R., 2017. Reconstructing the internal structure and long-term evolution of  
783 hazardous sinkholes combining trenching, electrical resistivity imaging (ERI) and ground  
784 penetrating radar (GPR). *Geomorphology* 285, 287–304.

785 Gischler, E., Shinn, E.A., Oschmann, W., Fiebig, J., Buter, N.A., 2008. A 1500-yr  
786 Holocene Caribbean climate archive from the Blue Hole, Lighthouse Reef, Belize.  
787 *Journal of Coastal Research* 24, 1495-1505.

788 Gutiérrez, F., 2016. Sinkhole hazards. *Oxford Research Encyclopedia of Natural Hazard*  
789 *Science*. Oxford University Press, Oxford.

790 Gutiérrez, F., Guerrero, J., Lucha, P., 2008. A genetic classification of sinkholes  
791 illustrated from evaporite paleokarst exposures in Spain. *Environmental Geology* 53,  
792 993–1006.

793 Gutiérrez, F., Galve, J.P., Lucha, P., Bonachea, J., Jordá, L., Jordá, R., 2009. Investigation  
794 of a large collapse sinkhole affecting a multi-storey building by means of geophysics and  
795 the trenching technique (Zaragoza city, NE Spain). *Environmental Geology* 58(5), 1107-  
796 1122.

797 Gutiérrez, F., Zarroca, M., Castañeda, C., Carbonel, D., Guerrero, J., Linares, R., Roqué,  
798 C., Lucha, P., 2017. Paleoflood records from sinkholes using an example from the Ebro  
799 River floodplain, northeastern Spain. *Quaternary Research* 88(1), 71–88.

800 Gutiérrez, F., Zarroca, M., Linares, R., Roqué, C., Carbonel, D., Guerrero, J., McCalpin,  
801 J.P., Comas, X., Cooper, A.H., 2018. Identifying the boundaries of sinkhole and  
802 subsidence areas and establishing setback distances. *Engineering Geology*, 233, 255-268.

803 Gutiérrez, F., Fabregat, I., Roqué, C., Carbonel, D., Guerrero, J., García-Hermoso, F.,  
804 Zarroca, M., Linares, R., 2016. Sinkholes and caves related to evaporite dissolution in a  
805 stratigraphically and structurally complex setting, Fluvia Valley, eastern Spanish  
806 Pyrenees. Geological, geomorphological and environmental implications.  
807 *Geomorphology* 267, 76–97.

808 Gutiérrez, F., Fabregat, I., Roqué, C., Carbonel, D., Zarroca, M., Linares, R., Yechieli, Y.,  
809 García-Arnay, J., Sevil, J. 2019. Sinkholes in hypogene versus epigene karst systems,  
810 illustrated with the hypogene gypsum karst of the Sant Miquel de Campmajor Valley, NE  
811 Spain. *Geomorphology* 328, 57–78.

812 Hart, E.A., 2014. Legacy sediment stored in sinkholes: a case study of three urban  
813 watersheds in Tennessee, United States of America. *Physical Geography* 35, 514-531.

814 Hoddel, D.A., Brenner, M., Curtis, J.H., Medina-González, R., 2005. Climate change on  
815 the Yucatan Peninsula during the Little Ice Age. *Quaternary Research* 63, 109-121.

816 Hyatt, J.A., Gilbert, R., 2004. Subbottom acoustic and sedimentary records of past surface  
817 water-groundwater exchange through sinkhole lakes in South Georgia, USA.  
818 *Environmental Geology* 46, 32-46.

819 ICC., 2001. Avaluació i zonació de la perillositat d'esfondraments al municipi de Besalú.  
820 Informe ICC AR-085-01. Institut Cartogràfic de Catalunya, Generalitat de Catalunya.  
821 291p. (Unpublished report).

822 ICC., 2010. Estudi de perillositat enfront esfondraments a Beuda (Garrotxa). Informe IGC  
823 AP-043/10. Institut Cartogràfic de Catalunya, Generalitat de Catalunya. 142p.  
824 (Unpublished report).

825 ICGC., 2018. Visualitzador Geoíndex Sondejós. Institut Cartogràfic i Geològic de  
826 Catalunya, Generalitat de Catalunya [<http://www.icgc.cat/geoindex>]

827 Johnson, S.Y., 1986. Water-scape structures in coarse-grained, volcanoclastic, fluvial  
828 deposits of the Ellensburg Formation, South-Central Washington. *Journal of Sedimentary*  
829 *Petrology* 56, 905-910

830 Kovacs, S.E., van Hengstum, P.J., Reinhardt, E.G., Donnelly, J.P., Albury, N.A., 2013.  
831 Late Holocene sedimentation and hydrologic development in a shallow coastal sinkhole  
832 on Great Abaco Island, The Bahamas. *Quaternary International* 317, 118-132.

833 Kulkarni, C., Peteet, D., Boger, R., Heusser, L., 2016. Exploring the role of humans and  
834 climate over the Balkan landscape: 500 years of vegetational history of Serbia.  
835 *Quaternary Science Reviews*, 144, 83-94.

836 Lane, P., Donnelly, J.P., Woodruff, J.D., Hawkes, A.D., 2011. A decadal-resolved  
837 paleohurricane record archived in the late Holocene sediments of a Florida sinkhole.  
838 *Marine Geology* 287, 14-30.

839 Laury, R. L., 1980. Paleoenvironment of a late Quaternary mammoth-bearing sinkhole  
840 deposit, Hot Springs, South Dakota. *Geological Society of America Bulletin* 91, 465-475.

841 Lejarza, J.R., (2017, April 15). El Pont Medieval de Besalú compleix sis-cents anys. El  
842 Garrotxí.cat, Diari digital de la Garrotxa. Retrieved from [http://www.elgarrotxi.cat/el-](http://www.elgarrotxi.cat/el-pont-medieval-de-besalu-compleix-sis-cents-anys/)  
843 [pont-medieval-de-besalu-compleix-sis-cents-anys/](http://www.elgarrotxi.cat/el-pont-medieval-de-besalu-compleix-sis-cents-anys/)

844 Lewis, C.J., Balbridge, W.S., Asmerom, Y., 1998. Neogene asthenosphere derived  
845 volcanism and NE-directed extension in NE Spain: Constraints on the geodynamic  
846 evolution of the western Mediterranean. *Eos Trans. AGU* 79(17), Spring Meeting Suppl.,  
847 S336-S337.

848 Linares, R., Roqué, C., Gutiérrez, F., Zarroca, M., Carbonel, D., Bach, J., Fabregat, I.,  
849 2017. The impact of droughts and climate change on sinkhole occurrence. A case study  
850 from the evaporite karst of the Fluvia Valley, NE Spain. *Science of The Total*  
851 *Environment* 579, 345-358.

Field Code Changed

852 Llansana i Benaiges, E., 2013. La Santíssima Veracreu de Besalú. Història d'un mil·lenari  
853 (1017-2017). Biblioteca Municipal de Besalú.

854 Martínez, A., Rivero, L., Casas, A., 1997. Integrated gravity and seismic interpretation of  
855 duplex structures and imbricate thrust systems in the southeastern Pyrenees (NE Spain).  
856 *Tectonophysics* 282, 303–329.

857 Martínez, A., Samsó, J.M., Zamorano, M., Picart, J., Solà, J., Montaner, J., Mató, E.,  
858 2000. Besalú (76-22). Mapa Geològic de Catalunya 1:25.000. Institut Cartogràfic de  
859 Catalunya.

860 Martínez-Solares, J.M., Mezcuca, J., 2002. Catálogo sísmico de la Península Ibérica (880  
861 a.C.-1900). Instituto Geográfico Nacional. Madrid, 253 p.

862 Mató, E., Saula, E., Picart, J., Solà, J., Montaner, J., Viñals, E., Samsó, J.M., Serra, J.,  
863 Llenas, M., Agustí, J., Mallarach, J., 1996. Banyoles (76-23). Mapa Geològic de  
864 Catalunya 1:25.000. Institut Cartogràfic de Catalunya. Barcelona.

865 Meteocat., 2018. Atlas Climàtic de Catalunya. Servei Meteorològic de Catalunya.  
866 Generalitat de Catalunya. Ed. 2008. Consulted the [07/04/2018].

867 Miall, A.D., 1996. *The Geology of Fluvial Deposits*. Springer, 582 p.

868 Morellón, M., Valero-Garcés, B., Anselmetti, F., Ariztegui, D., Schnellmann, M.,  
869 Moreno, A.N.A., Mata, P., Rico, M., Corella, J.P., 2009. Late Quaternary deposition and  
870 facies model for karstic Lake Estanya (North-eastern Spain). *Sedimentology* 56, 1505-  
871 1534.

872 Nocita, B.W., 1988. Soft-sediment deformation (fluid escape) features in a coarse-grained  
873 pyroclastic-surge deposit, north-central New Mexico. *Sedimentology* 35, 275-285.

874 Papell i Llenas, J., 1862. Provincia de Gerona. Partido judicial de Olot. Plano geométrico-  
875 topográfico de los terrenos que constituyen el distrito municipal de Besalu. 1:2000.  
876 Ayuntamiento de Besalú (Besalú). 1 mapa en 1 hoja.

877 Perea, H., 2009. The Catalan seismic crisis (1427 and 1428; NE Iberian Peninsula):  
878 geological sources and earthquake triggering. *Journal of Geodynamics* 47, 259–270.

879 Perrotti, A.G., 2018. Pollen and Sporormiella evidence for terminal Pleistocene  
880 vegetation change and megafaunal extinction at Page-Ladson, Florida. *Quaternary*  
881 *International* 466, 256-268.

882 Postma, G., 1983. Water escape structures in the context of a depositional model of a  
883 mass flow dominated conglomeratic fan-delta (Abrijoja Formation, Pliocene, Almeria  
884 Basin, SE Spain). *Sedimentology* 30(1), 91–103.

885 Puigdefàbregas, C., Muñoz, J.A., Marzo, M., 1986. Thrust belt development in the  
886 Eastern Pyrenees and related depositional sequences in the southern foreland basin. In:  
887 Allen, P.A., Homewood, P. (Eds.), *Foreland Basins*. International Association of  
888 Sedimentologists Special Publication 8, 229–246.

889 Reimer, P. J., Bard, E., Bayliss, A., Beck, J. W., Blackwell, P. G., Bronk Ramsey, C.,  
890 Grootes, P. M., Guilderson, T. P., Haflidason, H., Hajdas, I., HattĹ, C., Heaton, T. J.,  
891 Hoffmann, D. L., Hogg, A. G., Hughen, K. A., Kaiser, K. F., Kromer, B., Manning, S.  
892 W., Niu, M., Reimer, R. W., Richards, D. A., Scott, E. M., Southon, J. R., Staff, R. A.,  
893 Turney, C. S. M., and van der Plicht, J., 2013. IntCal13 and Marine13 Radiocarbon Age  
894 Calibration Curves 0-50,000 Years cal BP. *Radiocarbon* 55, 1869–1887.

895 Roqué, C., Pallí, L., Capellà, I., Linares, R., Brusi, D., 1999. Els esfondraments per  
896 carstificació al terme municipal de Besalú. *La Punxa* 28, 42–53.

897 Sevil, J., Gutiérrez, F., Zarroca, M., Desir, G., Carbonel, D., Guerrero, J., Linares, R.,  
898 Roqué, C., Fabregat, I., 2017. Sinkhole investigation in an urban area by trenching in  
899 combination with GPR, ERT and high-precision leveling. Mantled evaporite karst of  
900 Zaragoza city, NE Spain. *Engineering Geology*, 231, 9-20.

901 Siart, C., Hecht, S., Holzhauser, I., Altherr, R., Meyer, H.P., Schukraft, G., Eitel, B.,  
902 Bubenzer, O., Panagiotopoulos, D., 2010. Karst depressions as geoarchaeological  
903 archives: The palaeoenvironmental reconstruction of Zominthos (Central Crete), based  
904 on geophysical prospection, sedimentological investigations and GIS. *Quaternary*  
905 *International*, 216, 75-92.

906 Stuiver, M., Reimer, P.J., 1993. Extended 14C database and revised CALIB 3.0 14C age  
907 calibration program, *Radiocarbon* 35, 215–230.

908 Stuiver, M., Reimer, P.J., Reimer, R.W., 2017, CALIB 7.1 (www program) at  
909 <http://calib.org>.

910 Turnage, K.M., Lee, S.Y., Foss, J.E., Kim, K.H., Larsen, I.L., 1997. Comparison of soil  
911 erosion and deposition rates using radiocesium, RUSLE, and buried soils in dolines in  
912 East Tennessee. *Environmental Geology* 29, 1-10.

913 Van Hengstum, P.J., Maale, G., Donnelly, J.P., Albury, N.A., Onac, B.P., Sullivan, R.M.,  
914 Winkler, T.S., Tamalavage, A.E., MacDonald, D., 2018. Drought in the northern  
915 Bahamas from 3300 to 25000 years ago. *Quaternary Science Reviews* 186, 169-  
916 185. Whitmore, T.J., Brenner, M., Curtis, J.H., Dahlin, B.H., Leyden, B.W., 1996.  
917 Holocene climatic and human influences on lakes of the Yucatan Peninsula, Mexico: an  
918 interdisciplinary, palaeolimnological approach. *The Holocene* 6, 273-287.

919 Youssef, A.M., Al-Harbi, H.M., Gutiérrez, F., Zabramwi, Y.A., Bulkhi, A.B., Zahrani,  
920 S.A., Bahamil, A.M., Zahrani, A.J., Otaibi, Z.A., El-Haddad, B.A., 2016. Natural and  
921 human-induced sinkhole hazards in Saudi Arabia: distribution, investigation, causes and  
922 impacts. *Hydrogeology Journal* 24(3), 625-644.

923 Zaidner, Y., Frumkin, A., Porat, N., Tsatskin, A., Yeshurun, R., Weissbrod, L., 2014. A  
924 series of Mousterian occupations in a new type of site: The Nesher Ramla karst  
925 depression, Israel. *Journal of Human Evolution* 66, 1-17.

926

927 **Figure captions**

928

929 Figure 1. General geological and geomorphological setting of the study area. (A):  
930 Location of the study area within the geological context of the Pyrenees. (B): Shaded  
931 relief model showing the position of the analysed sinkholes in the northern sector of the  
932 Ebro Cenozoic Basin, south of the N-dipping Vallfogona Thrust. (C): Synthetic  
933 stratigraphic section of the Eocene stratigraphic units exposed in the area. The studied  
934 sinkholes are related to interstratal karstification of the Beuda Gypsum underlying a thick  
935 caprock of Banyoles Marls.

936

937 Figure 2. Geomorphologic setting of the Anfiteatro sinkhole, trenching and GPR investigation  
938 layout. A: Annotated oblique aerial view of the Anfiteatro sinkhole. B: Detailed  
939 geomorphological map of the sinkhole site.

940

941 Figure 3. Log and description of stratigraphic units of the Anfiteatro trench. Inset  
942 photograph shows the marginal collapse fault that juxtaposes packages I and II and that  
943 is overlapped by package III. Note gravel pocket in the upper part of package II. Numerical  
944 ages are calibrated radiocarbon dates with 2 sigma uncertainty.

945

946 Figure 4. Chronological model generated with the numerical age ranges (2 sigma  
947 uncertainty) and the overlying sediment thickness.

948

949 Figure 5. Retrodeformation analysis of the Anfiteatro trench. For simplicity, it assumes  
950 that the collapsed terrace deposit in the downthrown block is situated just beneath the  
951 base of the trench. See explanation in the text.

952

953 Figure 6. Geophysical data from the Anfiteatro sinkhole. See location of profiles in figure  
954 2. A: Structural sketch of the trench. B: GPR profile acquired with the 100 MHz shielded  
955 antenna.

956

957 Figure 7. Geomorphic setting of the Fares sinkhole and layout of the trenching and GPR  
958 investigation. The figure indicates the trace of the GPR profile presented in figure 11A.

959

960 Figure 8. Log and description of stratigraphic units of the Fares trench. Numerical ages  
961 are calibrated radiocarbon dates with 2 sigma uncertainty.

962

963 Figure 9. Images of the Fares trench. A: General view of the southern wall of the trench  
964 exposing a collapse sinkhole with internal sagging deformation. B: Fining-upward flood  
965 sequence corresponding to package II on the western, upstream margin of the sinkhole.  
966 C: Close-up view of the eastern margin of the collapse. D: Close-up view of the western  
967 margin of the collapse.

968

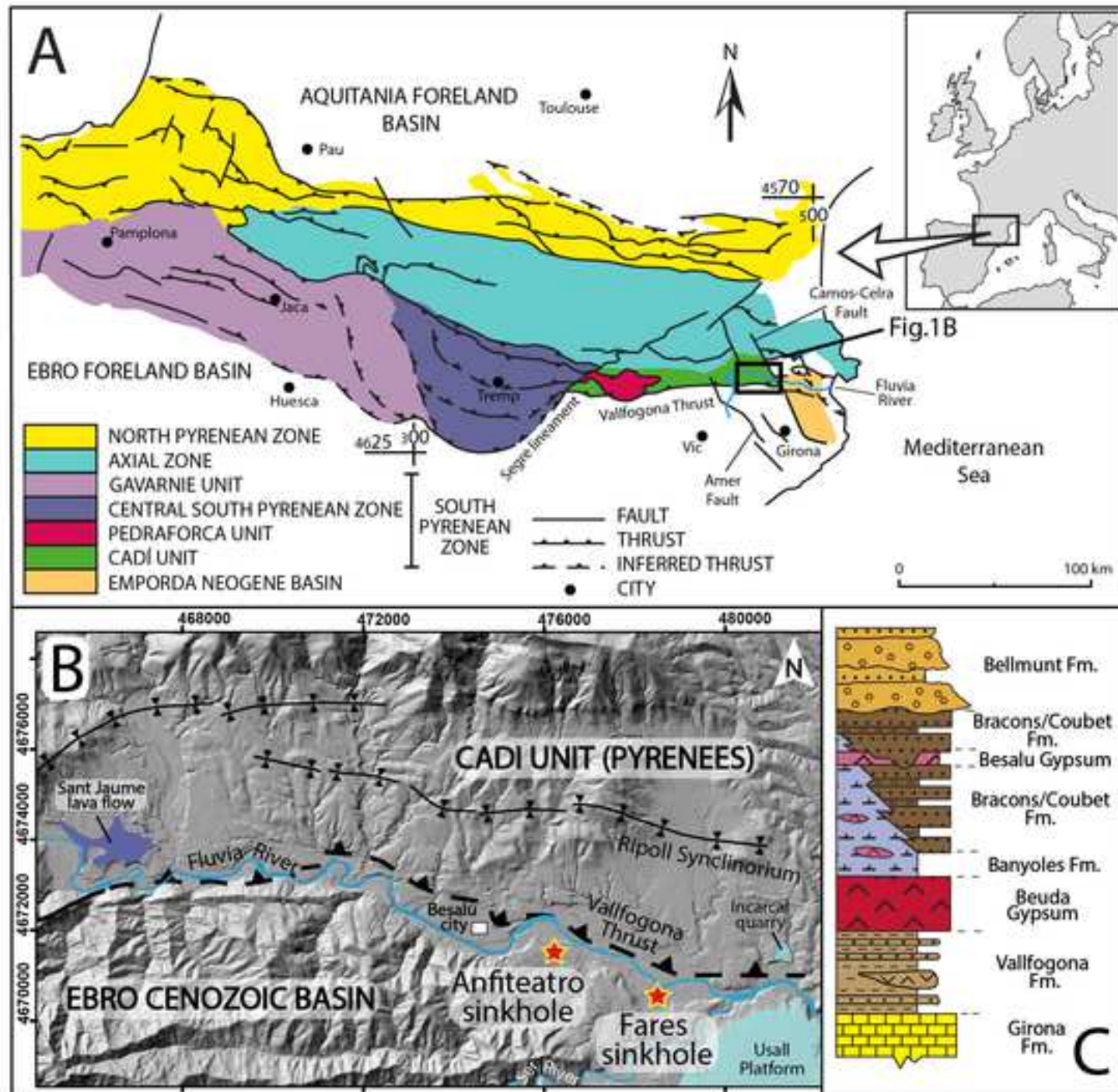
969 Figure 10. Retrodeformation analysis of the Fares trench.

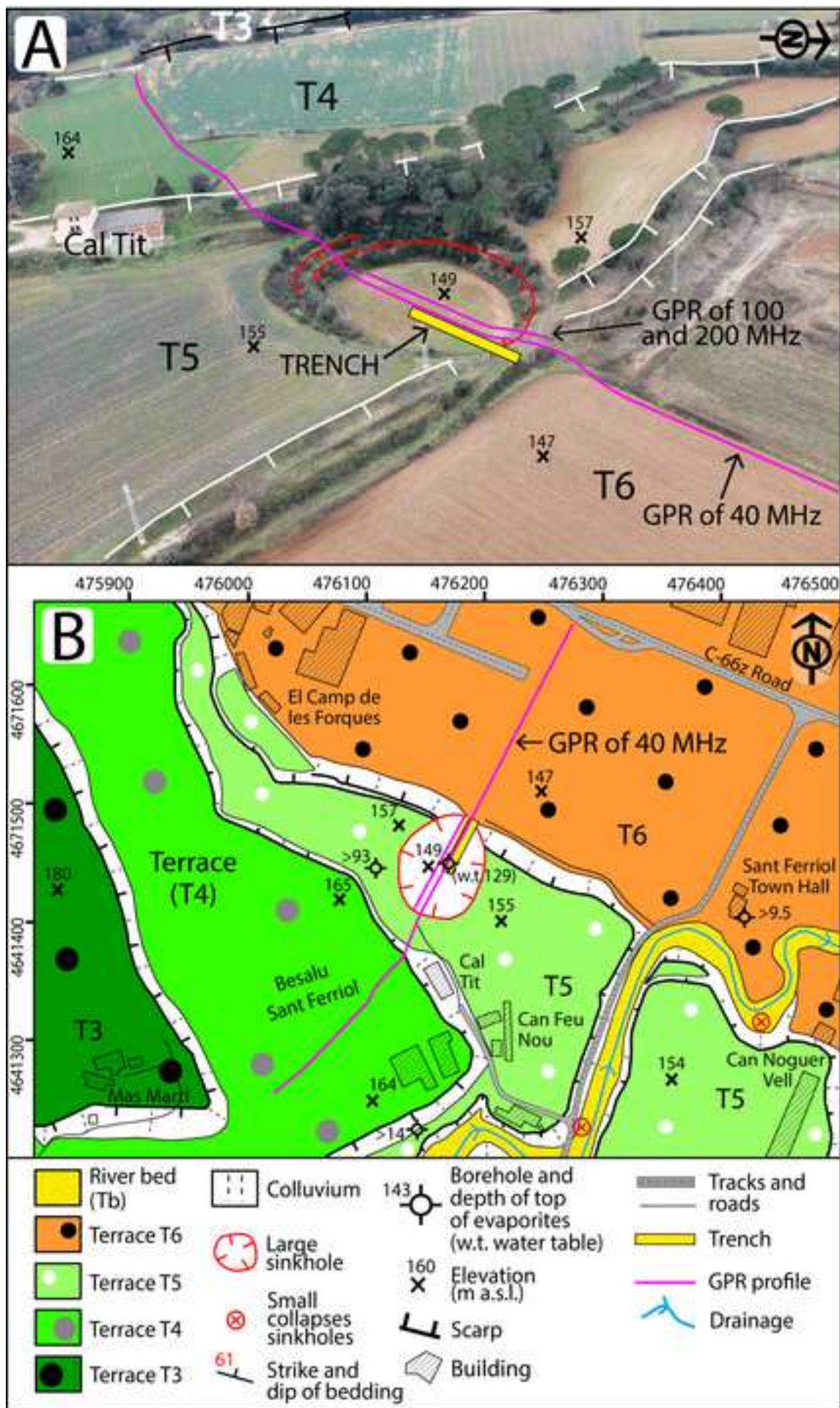
970

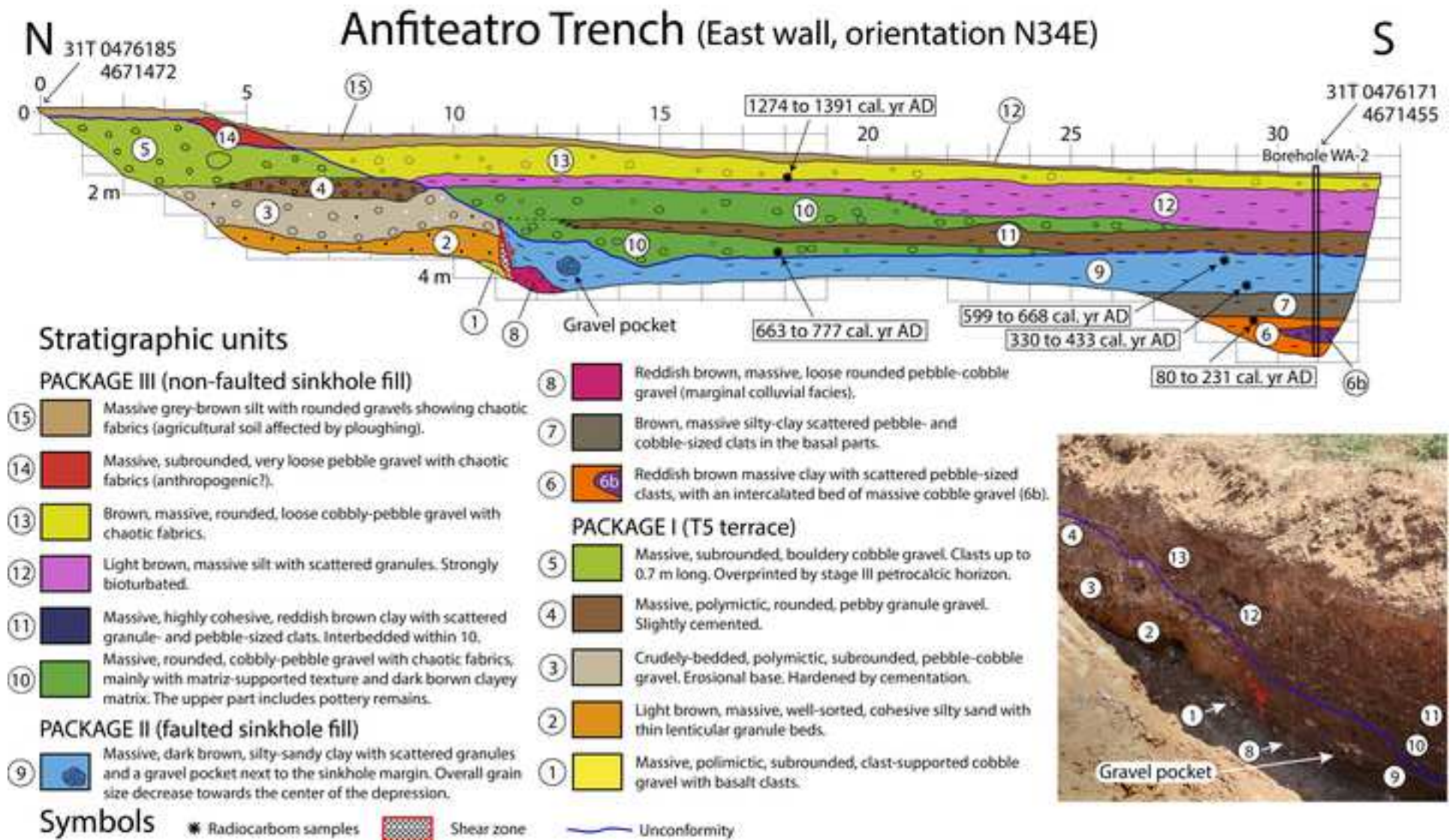
971 Figure 11. Geophysical data of the Fares sinkhole. A: GPR profile acquired with the 40  
972 MHz unshielded antenna. Location of the trench on the left and interpretation of the  
973 radargram on the right. B: Stratigraphic and structural sketch of the Fares trench

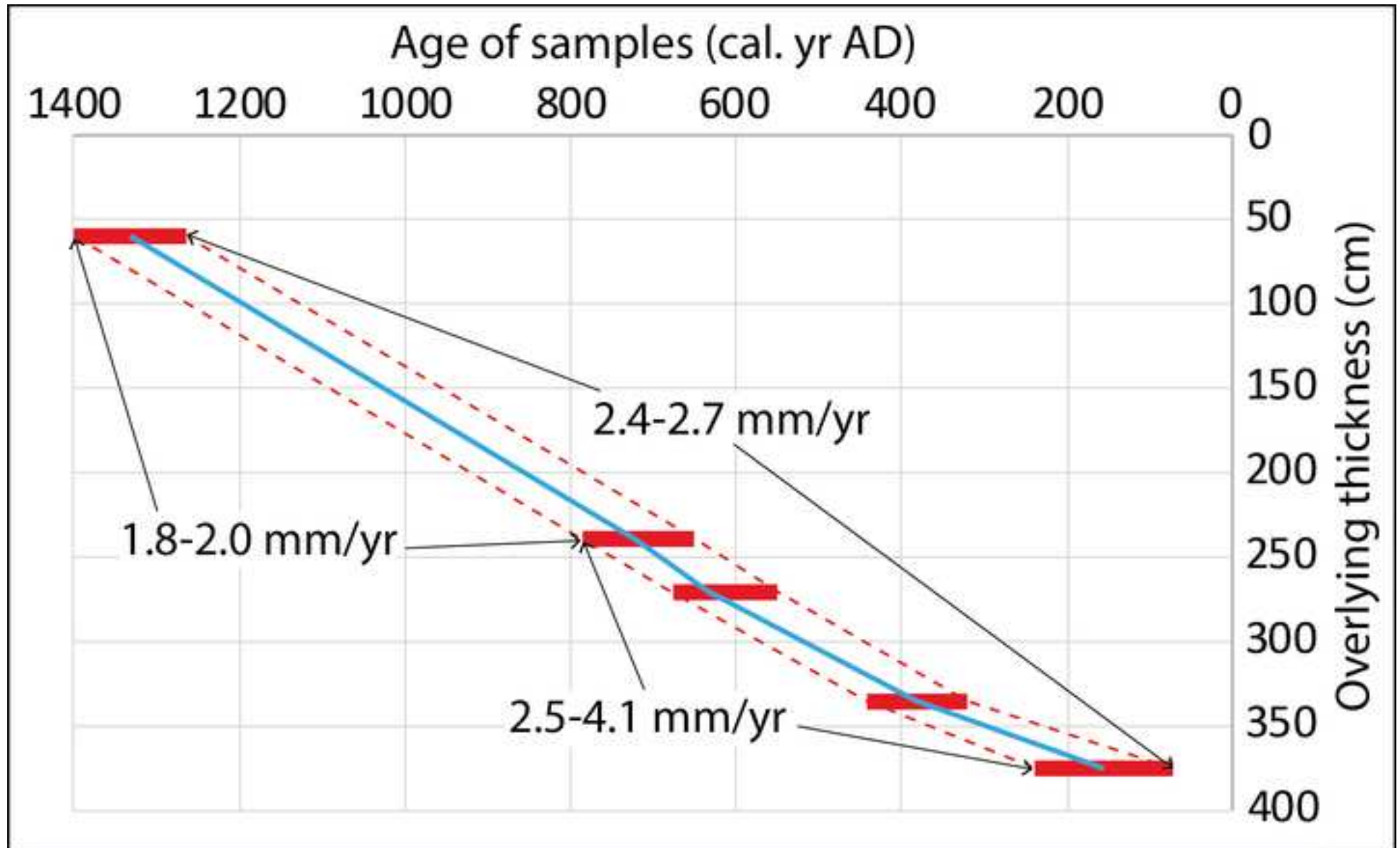
974



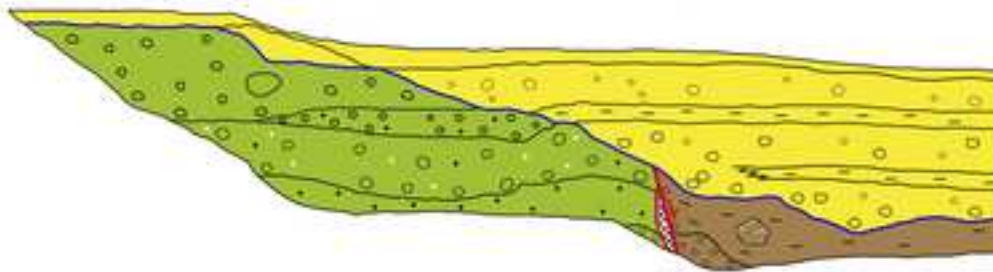




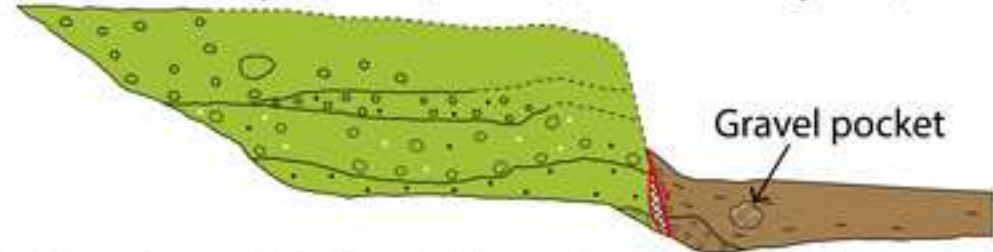




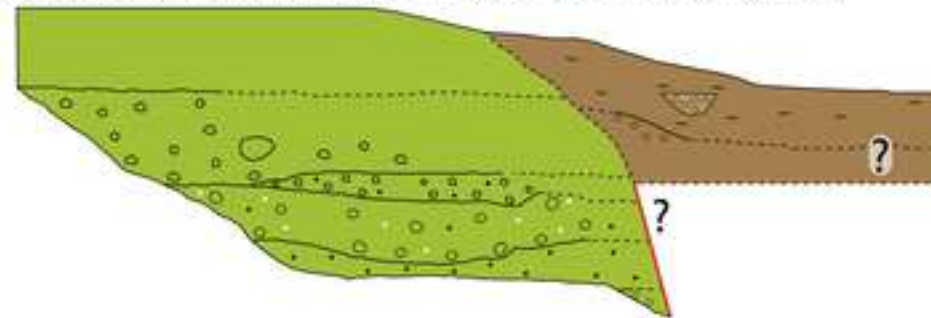
(4) Deposition of package III (599-668 cal. yr AD to present)



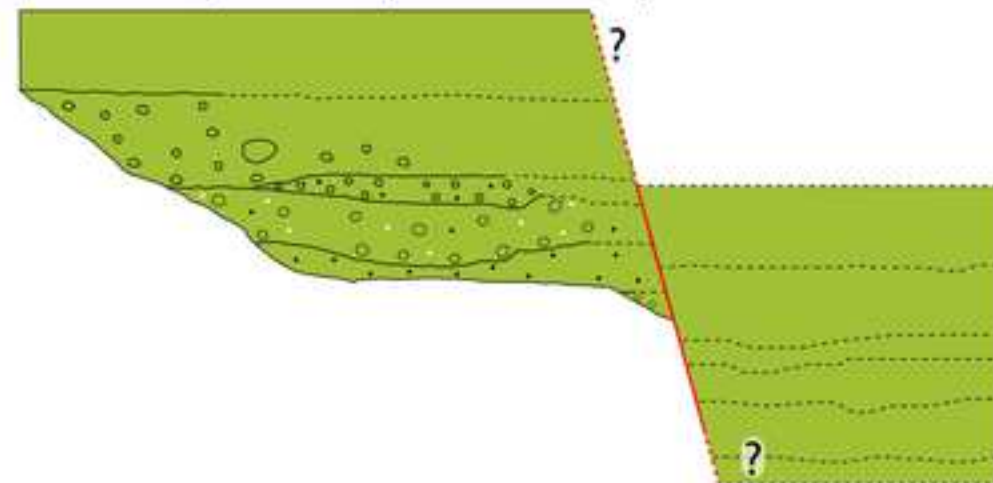
(3) Second collapse event (>3.3 m) (599-777 cal. yr AD)



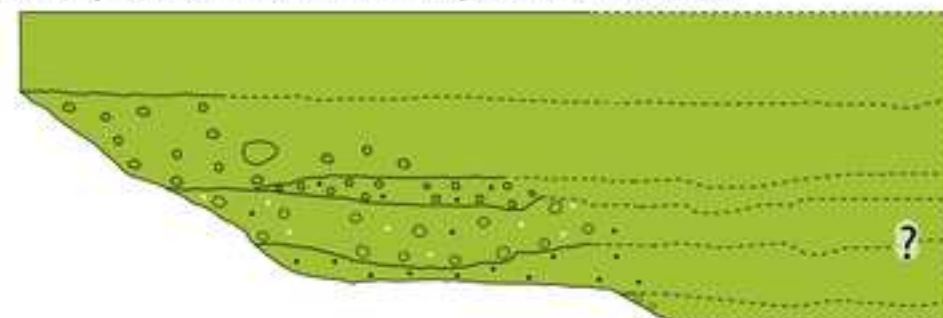
(2) Deposition of Package II (pre 663-777 cal. yr AD)

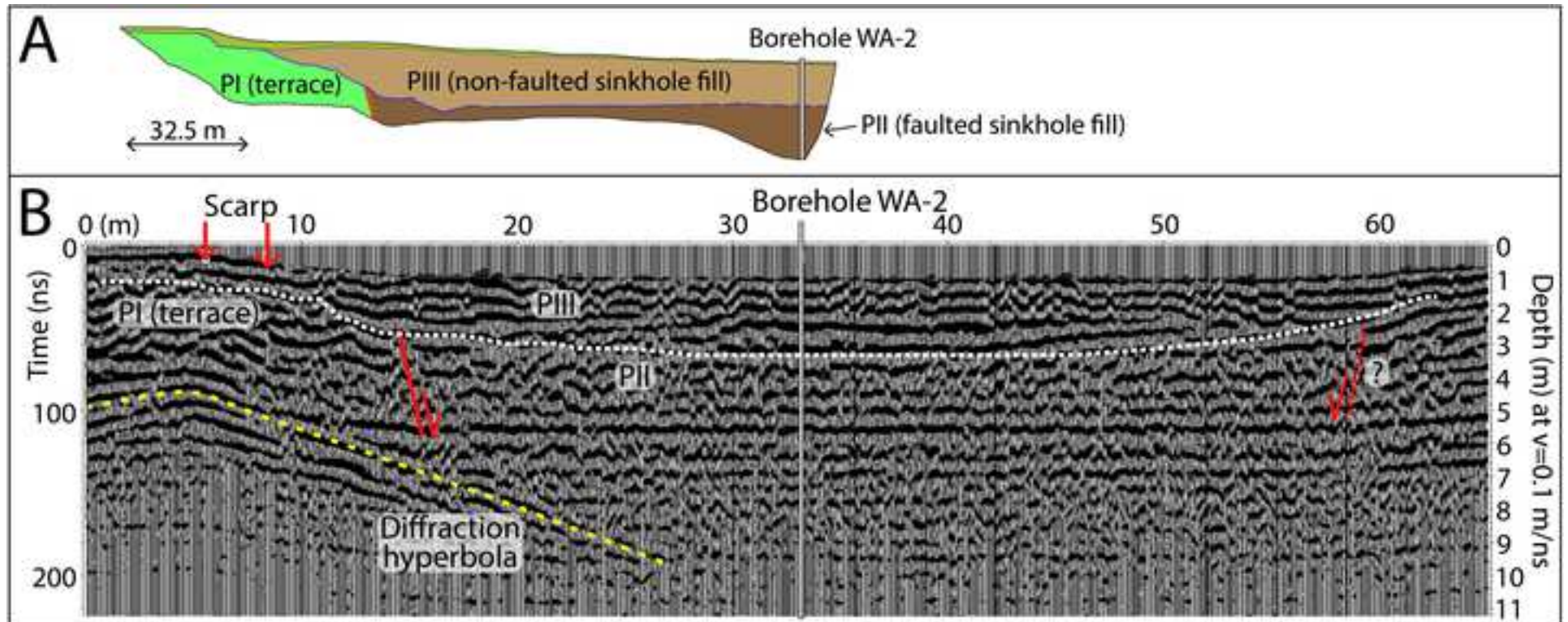


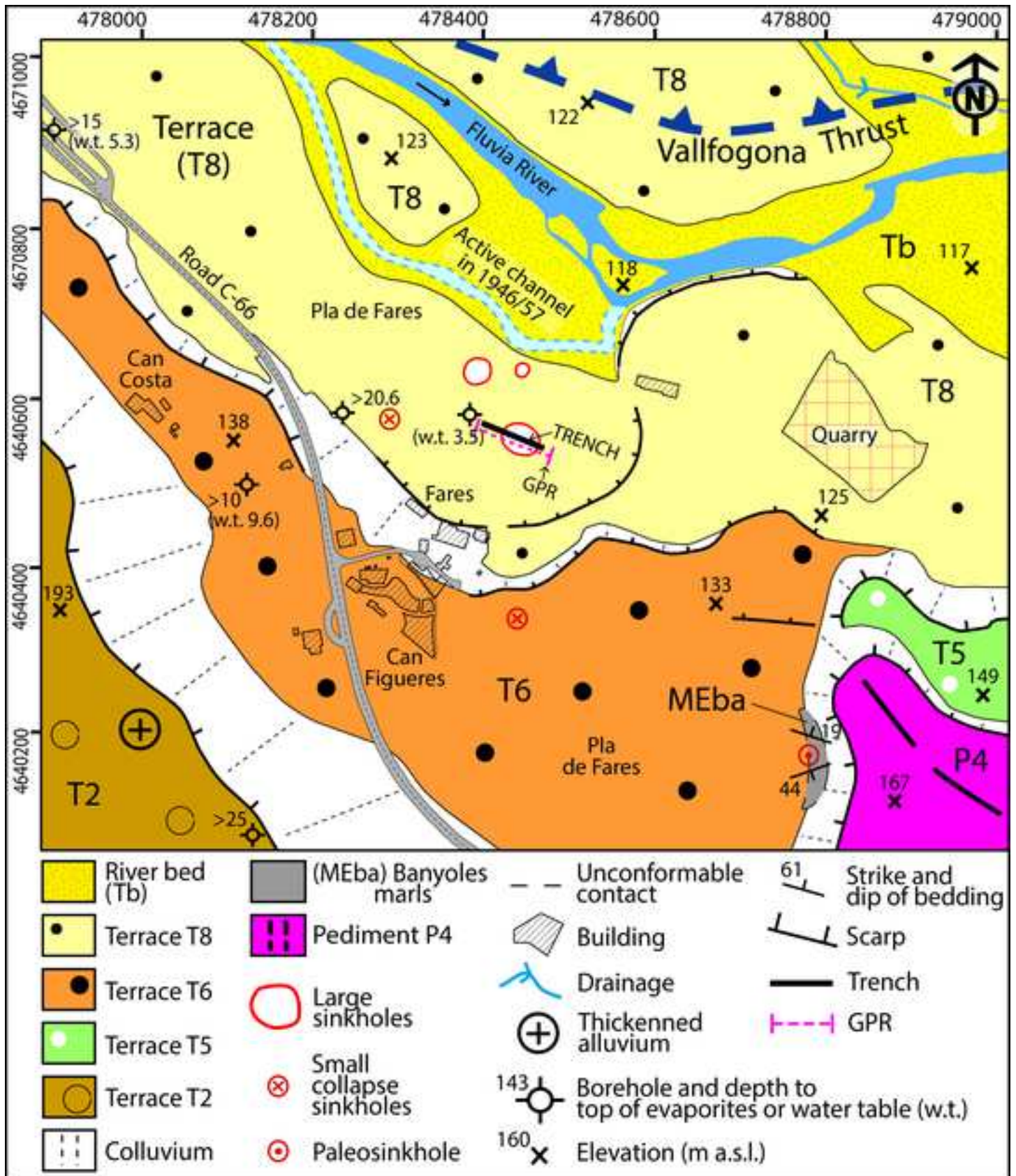
(1) First collapse event (pre 80-231 cal. yr AD)

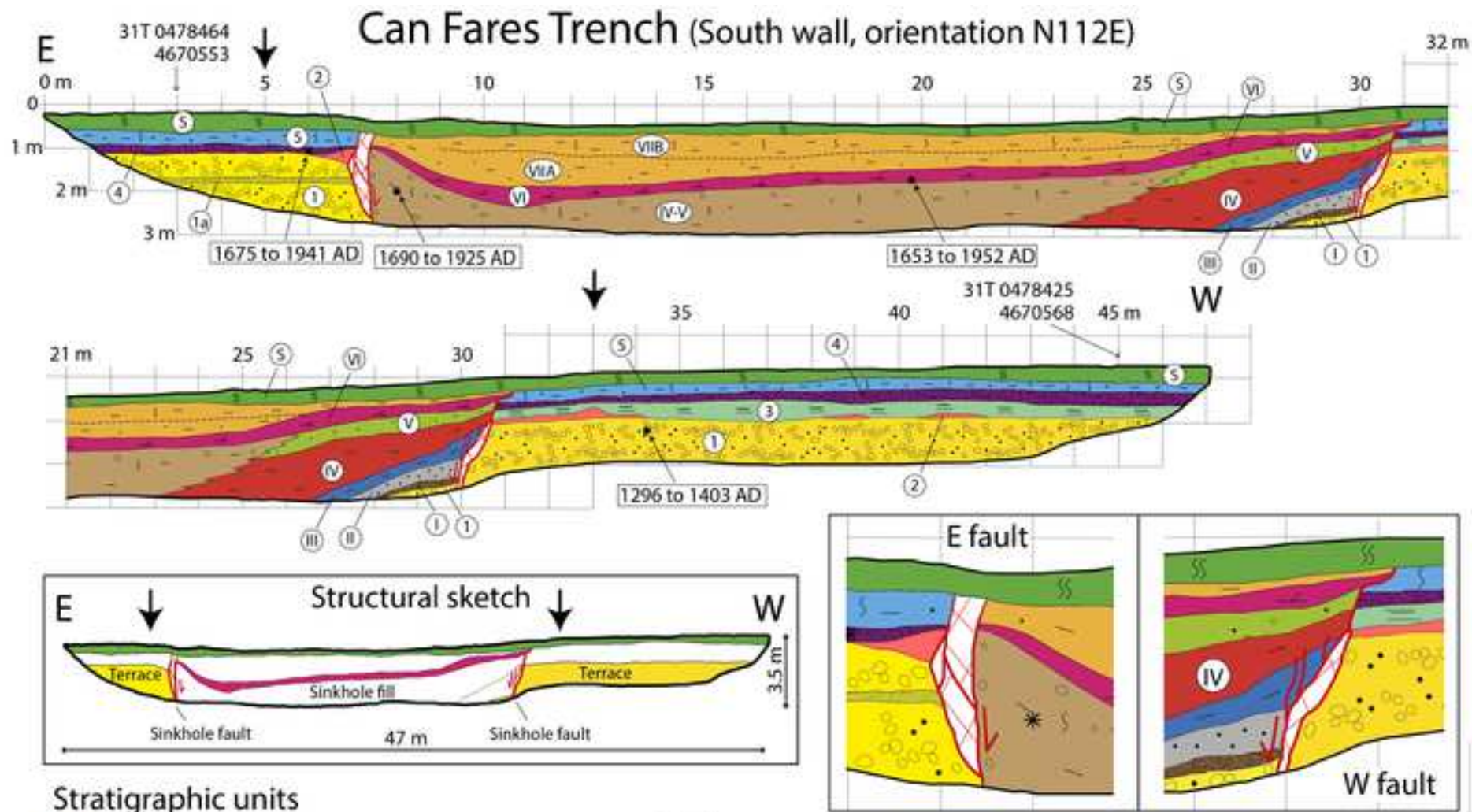


(0) Deposition of terrace T5 (post 217-167 ka)









### Stratigraphic units

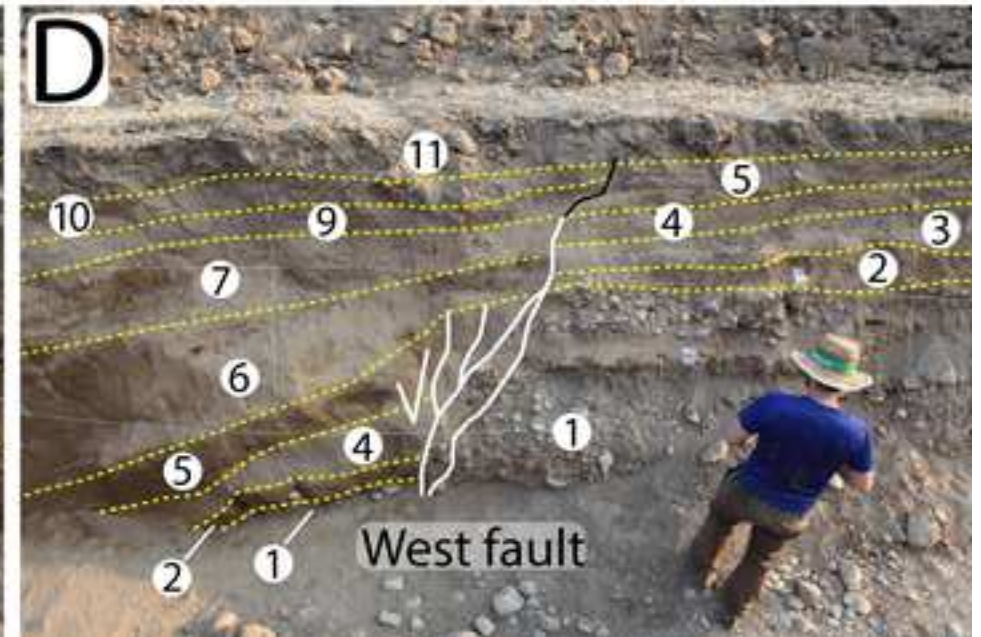
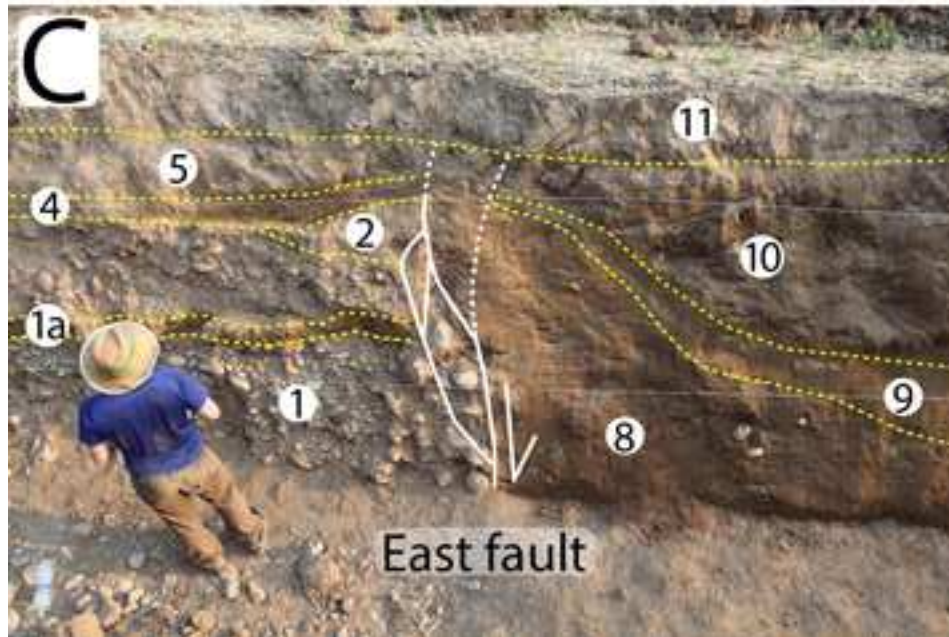
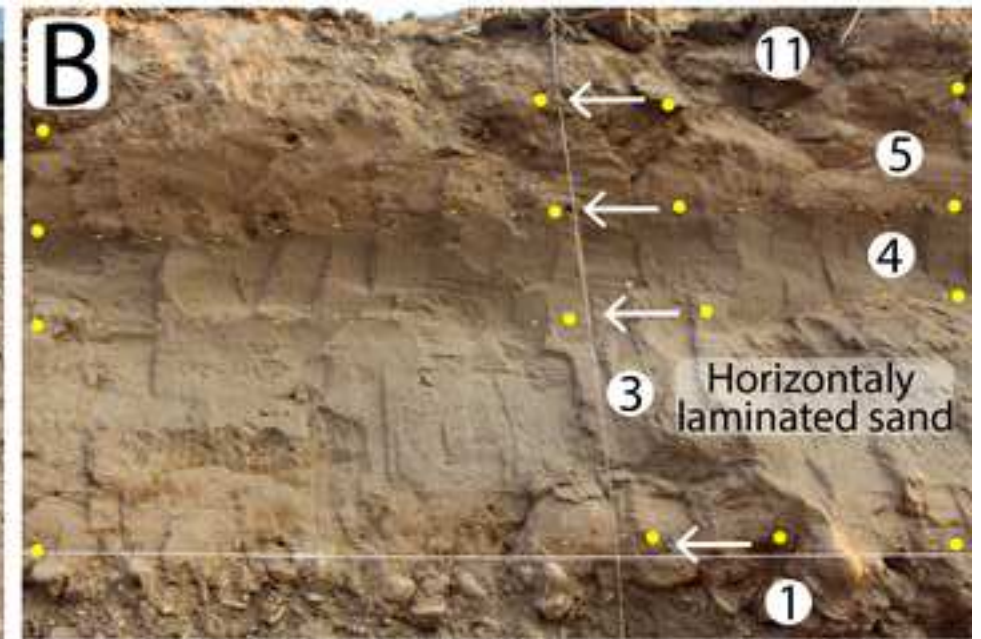
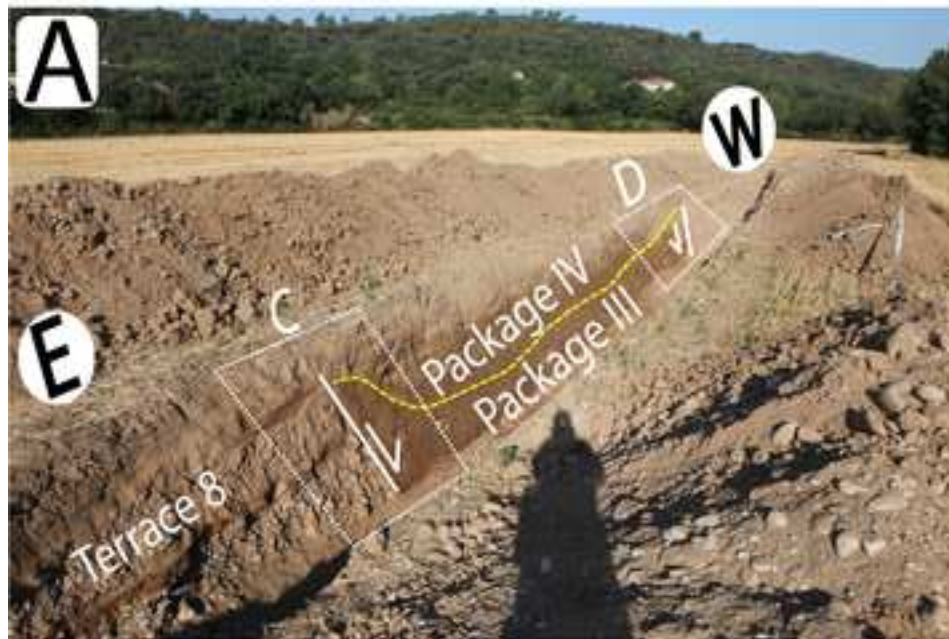
- (S) Very bioturbated. Agricultural soil.
- VII-A: Massive silt. Very bioturbated.
- VII-B: Brown massive fine sand with silt. Abundant charcoal. Corresponds to flood sequence deposits.
- (VI) Cross bedding sand. Locally presents sets with relationships of cut between sheets. In central zone there is a level, up to 30 cm, with a high concentration of charcoal and remains of snails and siltier granulometry.
- (IV-V) Light brown massive silt. Dispersed granules and abundant bioturbation.
- (V) Massive sandy loam with scattered pebbles. Granule-pebble. Abundant bioturbation.
- (IV) Light grayish brown, massive sand with dispersed granules. Abundant charcoal. Higher ratio of slime to ceiling (decreasing grain).
- (III) Brown sandy fibrous clay. Very bioturbated with snails.

- (II) Gray shades, massive medium graded sand. Presents granules.
- (I) Brown massive sand. It could be correlative to 2.
- (5) Beige grayish, massive silty-sandy. Bioturbated with snails.
- (4) Massive medium sand (finer than 3). Very well selected and with fewer granules than 3. The contact between laminated sand (3) and massive sand (4) is net.
- (3) Tick sand with parallel lamination and granules.
- (2) Massive silty-sand. Bioturbated with beige granules.
- (1) Polimictic. Gravel rounded grossly stratified with gravel levels. The cobble predominates. Matrix of sand and gravel loose. Remains of ceramic and brick. Intercalation in the E-margin, brownish-brown, massive sand (1a).

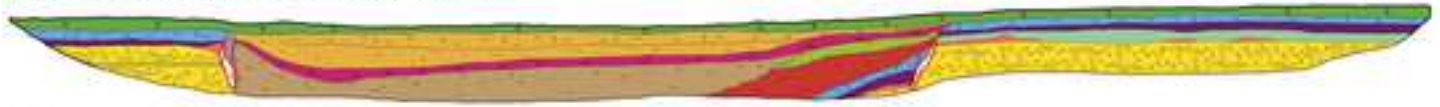
### Symbols

- Radiocarbon samples
- ⊗ Shear zone
- Horizon





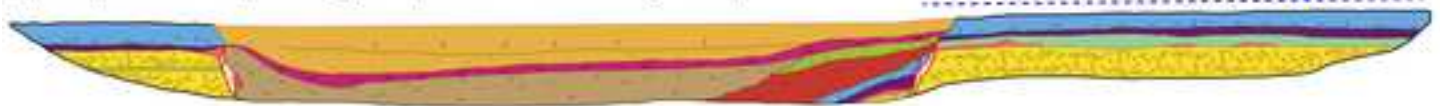
(9) Development of agricultural soil



(8) Opening of fissure



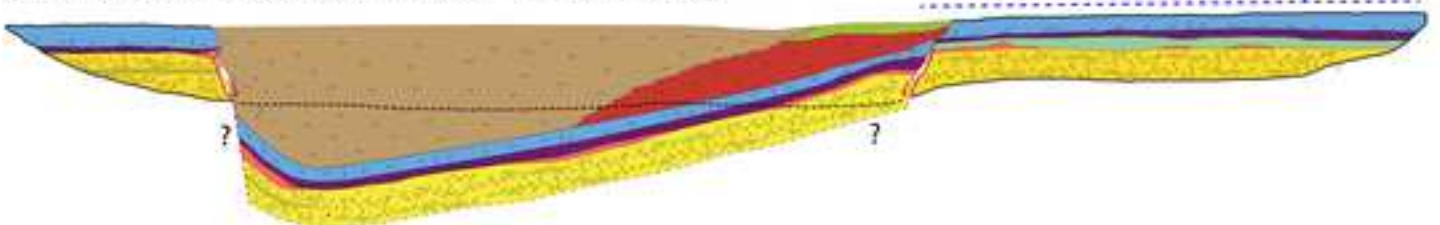
(7) Deposition of package IV (1960 - 1953 cal. yr AD)



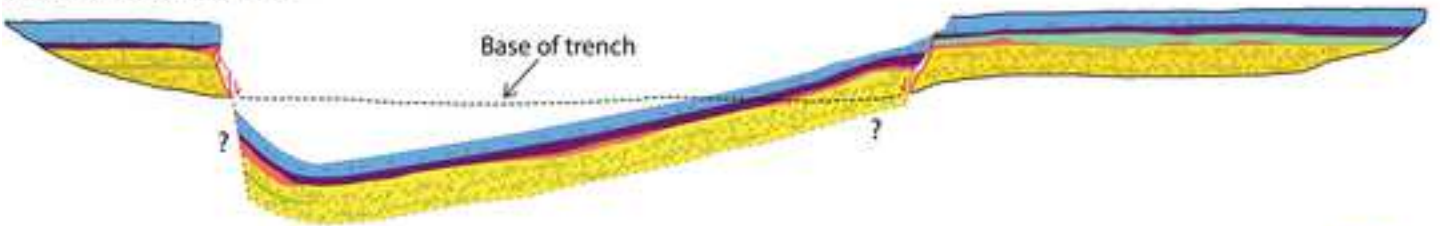
(6) Subsidence event



(5) Deposition of package III (1690 - 1941 cal. yr AD)



(4) Subsidence event



(3) Deposition of package II across the sinkhole (1445 - 1631 cal. yr AD)

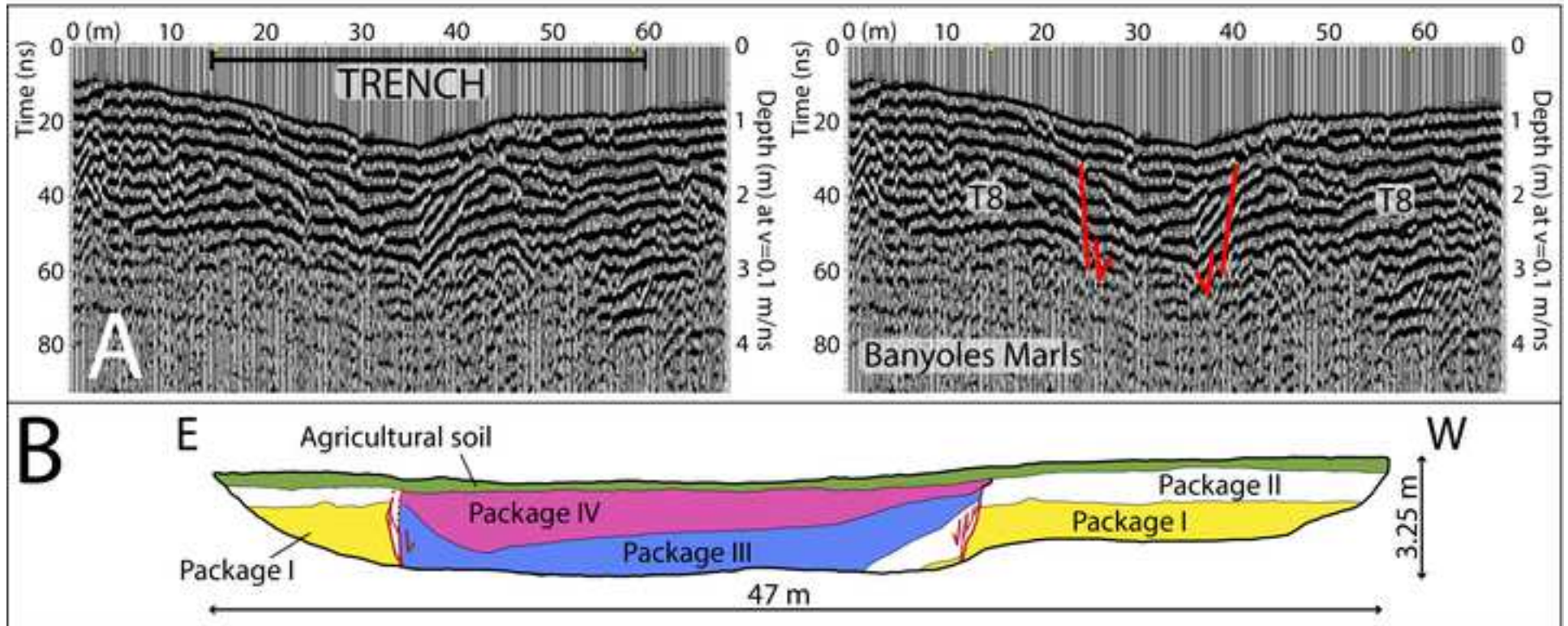


(2) Sinkhole initiation?



(1) Deposition of package I (1296 - 1403 cal. yr AD)







Click here to access/download

**Table**

Table 1.docx





Click here to access/download

**Table**

Table 2revised.docx

