1	Title:	
2	Antimicrobial activity of suspensions and nanoemulsions of citral in combination with	
3	heat or pulsed electric fields	
4		
5	Authors: Pagán, E. <sup>1</sup> , Berdejo, D. <sup>1</sup> , Espina, L. <sup>1</sup> , García-Gonzalo, D. <sup>1</sup> and Pagán R. <sup>1</sup>	
6		
7	Corresponding author: Dr. Rafael Pagán Tomás <sup>1</sup>	
8		
9	Affiliation:	
10	<sup>1</sup> Departamento de Producción Animal y Ciencia de los Alimentos, Facultad de	
11	Veterinaria, Instituto Agroalimentario de Aragón-IA2 (Universidad de Zaragoza-CITA),	
12	Zaragoza, Spain	
13		
14	Address:	
15	<sup>1</sup> Departamento de Producción Animal y Ciencia de los Alimentos, Facultad de	
16	Veterinaria, Universidad de Zaragoza. C/ Miguel Servet, 177. Zaragoza, Spain	
17		
18	<b>Tel. number:</b> 34-976-762675	
19	Fax. number: 34-976-761590	
20	E-mail: pagan@unizar.es	
21		
22	Running head: Nanoemulsions of citral in combined treatments	
23		
24	Keywords: Antimicrobials, cell injury, food preservation, non-thermal processes,	
25	thermal processes	

# 26 SIGNIFICANCE AND IMPACT OF THE STUDY

The exploration of different delivery systems of antimicrobial compounds such as citral 27 in aqueous food products aids in the establishment of successful combined treatments 28 for food preservation. While at room temperature, citral in form of a nanoemulsion 29 shows a higher antimicrobial activity; its combination with heat would imply a partial 30 loss of the outstanding synergistic lethal effect achieved when added in suspension 31 form. Therefore, the most suitable procedure to magnify the synergism between heat 32 and citral when processing juices would merely require an intense homogenization step 33 prior to the combined treatment. 34

35

#### 36 ABSTRACT

The application of essential oils in form of nanoemulsions has been proposed as a 37 38 method to improve their solubility in aqueous solutions, and hence their antimicrobial activity. The objective of this study was to evaluate the antimicrobial activity of citral, 39 40 applied directly or in combined treatments with heat or pulsed electric fields (PEF), as a function of the inoculation procedure assayed: (i) a simple, vigorous shaking method by 41 vortex agitation (suspension of citral; s-citral) or (ii) the previous preparation of 42 nanoemulsions by the emulsion phase inversion (EPI) method (nanoemulsion of citral; 43 n-citral). N-citral was more effective in either inhibiting or inactivating Escherichia coli 44 O157:H7 Sakai than s-citral. However, when combined with heat, a greater synergistic 45 effect was observed with s-citral rather than with n-citral, either in lab media (pH 7.0 46 and 4.0) or apple juice. For instance, while almost  $5 \log_{10}$  cell cycles were inactivated in 47 apple juice after 15 min at 53°C in the presence of 0.1 µl ml<sup>-1</sup> of s-citral, the use of n-48 citral required 30 min. The use of nanoemulsions did not modify the slight synergism 49 observed when citral and mild PEF were combined (150 µs, 30 kV cm<sup>-1</sup>). 50

#### 52 INTRODUCTION

53 The design of successful food preservation processes relies on the establishment of those treatment conditions which guarantee the innocuity of the product with minimal 54 detriment of nutritional and sensory parameters. A way of achieving such effective 55 treatment conditions is through the combination of antimicrobial agents and physical 56 methods, following the hurdle theory proposed by Leistner and Gorris (1995). Over the 57 last few decades, essential oils (EOs) extracted from aromatic and medicinal plants or 58 citrus fruits, as well as their individual constituents, have been tested as antimicrobial 59 agents in combination with heat or pulsed electric field pulses (PEF). In many cases, 60 remarkable synergistic effects in the lethality of these combinations allowed to decrease 61 treatment temperatures and/or antimicrobial doses, or to potentiate the inactivation 62 achieved by PEF (Corbo et al. 2009; Espina et al. 2010, 2012; de Souza et al. 2016). 63 64 Among EO constituents, citral has displayed a broad-spectrum antimicrobial activity and has been shown to be one of the most effective antimicrobials applied either directly 65 or in combined treatments. For instance, very low doses of citral (0.018-0.2  $\mu$ l ml<sup>-1</sup>) in 66 combination with heat were capable of inactivating five  $\log_{10}$  cell cycles of *Escherichia* 67 coli O157:H7, showing promising results for the preservation of apple juice (Espina et 68 al. 2010). 69

Nevertheless, many authors observe that the hydrophobicity of EOs may hamper their homogenous dispersion in aqueous food products (Maswall and Dar 2013; Piorkowski and McClements 2014). As an effective approach to improve the dispersion of EOs into food products and minimize the phase separation, the formation of food-grade emulsions using low-energy preparation methods is a field of great interest (Komaiko and McClements 2016). Procedures such as the emulsion phase inversion (EPI) method generate metastable oil-in-water nanoemulsions, are simple to implement, and no
expensive equipment is required.

While the effect of emulsification on the antimicrobial activity of EOs has been studied in depth (Donsi *et al.* 2011; Maswall and Dar 2013; Moghimi *et al.* 2016; Zhang *et al.* 2017), few studies have evaluated their influence when applied in combination with other treatments such as heat or PEF. In this regard, to the best of our knowledge, there are no documented studies on the behavior of EPI nanoemulsions of citral under heat or PEF treatments.

The first objective of this study was to evaluate the antimicrobial activity of citral against *Escherichia coli* O157:H7 Sakai, applied directly or in combined treatments with heat or PEF, as a function of the citral preparation procedure used: (i) a simple vigorous shaking method by vortex agitation (suspension of-citral; s-citral), and (ii) the previous preparation of nanoemulsions by the EPI method (nanoemulsion of citral; ncitral). As a second objective, the combination of heat with s- and n-citral to inactivate *Escherichia coli* O157:H7 Sakai was assayed in apple juice.

91

92 **RESULTS AND DISCUSSION** 

93

# 94 **Droplet size and stability of nanoemulsions**

Nanoemulsions of citral were prepared by the EPI method and characterized during a period of storage under refrigeration. As shown in Table 1, droplet size remained below 200 nm during the 4 months of storage. On the other hand, there were no significant differences (p>0.05) among survival curves of *E. coli* O157:H7 Sakai obtained in the presence of 0.6 µl ml<sup>-1</sup> at pH 4.0, either from different nanoemulsion preparations and different storage times, which indicates that the EPI method assayed allows the obtention of reproducible and stable nanoemulsions of citral. Figure 1A shows the mean values and the standard deviation of nine survival curves corresponding to different emulsions and storage times. To the best of our knowledge, there are no documented studies on the production and characterization of nanoemulsions of citral using the EPI method. The stability of nanoemulsions of D-limonene obtained with the same methodology has already been shown by Zhang *et al.* (2014) and Mate *et al.* (2016) for 6 months.

108

# 109 Effect of citral as a suspension or nanoemulsion on antimicrobial activity

The use of citral in the form of a nanoemulsion decreased the MIC from 0.8 (s-citral) to 0.7 (n-citral)  $\mu$ l ml<sup>-1</sup> (p<0.05) against *E. coli* O157:H7 Sakai. This result reveals that ncitral can inhibit microbial growth more efficiently than s-citral. Nevertheless, this increase in the antibacterial efficacy of citral after its emulsification was much lower than that observed for other antimicrobials in previous works (Komaiko and McClements 2016). For example, Moghimi *et al.* (2016) demonstrated a 4-fold reduction of the MIC value with a nanoemulsion of sage oil.

Moreover, as shown in Figure 1, n-citral was also more effective than s-citral in the 117 inactivation of E. coli O157:H7 Sakai in both pH 4.0 and 7.0 treatment media. While 118 119 the kinetics of inactivation obtained with s-citral showed a prolonged shoulder followed by a rapid decrease, those obtained with n-citral were approximately linear. The greater 120 antimicrobial activity of EOs in the form of nanoemulsions has been associated with 121 their increased polarity, thanks to the coating of the surfactants that reduces surface 122 tension of the oil droplets (Piorkowski and McClements, 2014). Thus, the 123 emulsification of hydrophobic substances might reduce their immiscibility in aqueous 124 solutions, making them readily dispersible in the treatment media. In this regard, 125

Moghimi *et al.* (2016) proved that conversion of sage oil into a nanoemulsion improved its antibacterial activity by enhancing its ability to promote the destruction of bacterial cell membranes.

Therefore, the preparation of a nanoemulsion of citral seems to be the best option in treatments applied at room temperature. In addition, the nanoemulsion would also provide the chemical stability required for prolonged inhibitory or bactericidal treatments (Maswall and Dar 2013).

On the other hand, the comparison of survival curves shown in Figures 1A and 1B confirms the exceptional higher resistance of *E. coli* O157:H7 Sakai in acid than in neutral pH, already described by Somolinos *et al.* (2010), and shows that this phenomenon also occurs when citral is applied as a nanoemulsion.

137

# Effect of citral as a suspension or nanoemulsion on microbial inactivation in combined treatments

140 The synergism observed when combining heat or PEF with EOs has been directly 141 related to the detection of injured cells in the cytoplasmic and outer membranes of Gram-negative bacteria after the application of physical technologies as a single agent 142 (Mackey 2000; Somolinos et al. 2009; Arroyo et al. 2010; Espina et al. 2012). In those 143 studies, heat and PEF treatments were applied, and survivors were recovered in non-144 selective and selective media (Figure 2). Results obtained in the non-selective medium 145 show that heat and PEF treatments acting as single agents inactivated less than  $1 \log_{10}$ 146 cell cycle. Based on the differences in the  $log_{10}$  cycles of inactivation achieved when 147 comparing the non-selective with selective media, heat treatments at pH 4.0 (Figure 2A) 148 injured more survivors in the outer than in the cytoplasmic membrane (p < 0.05), 149 whereas PEF treatments (Figure 2C) did the opposite. Under these treatment conditions, 150

at least 90% of survivors were injured and susceptible to a citral attack during thecombined treatments.

Regarding the combination of heat and citral, a remarkable synergism was observed: 153 154 almost 5  $\log_{10}$  cells cycles of inactivation were achieved at both pH as a function of the citral addition procedure (s- or n-citral) and the antimicrobial concentration. In contrast 155 with the results shown when citral was acting as a single agent (Figure 1), the addition 156 of s-citral was to some extent more effective than n-citral either at pH 7.0 or at pH 4.0, 157 showing the greatest difference in the presence of 0.1  $\mu$ l ml<sup>-1</sup> at pH 7.0 (p<0.05) (Figure 158 2B). Thus, maintaining the synergism when using n-citral would require higher 159 160 concentrations of the hydrophobic compound to achieve the desired level of microbial inactivation. It should be noted that the high levels of inactivation achieved with the 161 combined treatment might correspond to the tail of the survival curves. As a 162 163 consequence, greater differences in the antimicrobial efficacy between s- and n-citral might be expected at lower concentrations or shorter treatment times of the combined 164 165 processes. Further experiments should be performed to explain this unexpected inversion of the compared activity of s-citral and n-citral when increasing the treatment 166 temperature up to 53°C. Possible hypotheses to consider include the increased solubility 167 of s-citral under mild thermal treatments, providing the optimum dispersion in the 168 treatment medium, and a greater availability of s-citral to interact with microbial cells in 169 the short time span of the treatment in comparison with n-citral, which might be 170 partially retained by the surfactant. 171

Nevertheless, these results differ from those obtained with the EPI method, using propylene glycol instead of ethanol as co-surfactant, to obtain nanoemulsions of Dlimonene for the inactivation of *Listeria monocytogenes* (Mate *et al.* 2016). Therefore, it should be considered that the result of using nanoemulsions in combined treatments

with heat is likely to vary as a function of the type or complexity of the antimicrobialmolecules and/or the microorganism investigated.

According to Arroyo et al. (2010), the detection of sublethal injury, specifically on the 178 outer membrane of Gram-negative bacteria after PEF, is the key when identifying 179 treatment conditions under which PEF may act synergistically with citral. Thus, the 180 scarce presence of sublethally injured cells on the outer membrane of E. coli 0157:H7 181 Sakai after PEF (Figure 2C and D) might justify the limited synergism observed with s-182 citral. To the best of our knowledge, the bacterial inactivation of PEF treatments with 183 EPI nanoemulsions has never been previously tested. In the present study, no significant 184 differences were found between the efficacy of the combined treatments using PEF and 185 s- or n-citral, and no worsening or improvement of the overall lethality of the combined 186 treatment was observed when emulsifying citral prior to its incorporation. Further 187 188 experiments combining s-citral or n-citral with PEF applied at higher temperatures could be conducted to further explore the effect of emulsification on each one of these 189 190 physical preservation treatments.

191

# 192 Effect of citral in the form of suspension or nanoemulsion on microbial 193 inactivation by combined treatments applied to apple juice

In order to validate in a food model the results obtained with citral in form of suspension or nanoemulsion in lab media, apple juice was contaminated with *E. coli* O157:H7 Sakai and treated with a combined treatment of mild heat and citral (s- and ncitral) (Figure 3). Again, the combined treatment with s- or n-citral was more effective than the use of mild heat as a single agent, showing a remarkable synergistic effect. In addition, the main conclusion obtained in lab media was confirmed in apple juice: scitral was more effective than n-citral when applied at mild temperature at any treatment

time. For instance, while almost 5  $log_{10}$  cell cycles were inactivated in apple juice after 201 15 min at 53°C in the presence of 0.1 µl ml<sup>-1</sup> of s-citral, the use of n-citral required 202 doubling the treatment time. Thus, the vigorous agitation in vortex method for 203 suspending citral, which might simulate the action of the actual industrial homogenizers 204 employed as a previous stage in the pasteurization process of liquid foods, seems to be 205 sufficient to disperse the oil correctly and favor its antimicrobial action in apple juice, 206 providing enough stability at least during the short duration of the combined treatment. 207 In this regard, the treatment time required to comply with FDA regulation (FDA 2001), 208 which recommended that juices should be hygienized reaching 5-log<sub>10</sub> reductions 209 (99.999%) of pathogens of concern such as E. coli O157:H7, would be approx. 2 times 210 shorter when using s- than n-citral. Nevertheless, it should be highlighted that citral in 211 212 the form of nanoemulsion also attained the  $5 - \log_{10}$  reductions of the pathogen. Thus, if its use represented any advantage - for instance, for the purpose of limiting the 213 modification of the flavor in food due to high EO concentrations in comparison with 214 non-encapsulated ones - then it would be interesting to reconsider its use in the 215 216 development of combined treatments for food preservation. Further studies are required in order to evaluate the influence of this and other encapsulation methods on the 217 efficacy of combined processes with heat, PEF, or other successful emerging 218 technologies, such as high hydrostatic pressure, and other EOs and EO constituents. 219

220

#### 221 MATERIALS AND METHODS

222 Bacterial strain and cultures

*E. coli* O157:H7 Sakai *stx* 1A<sup>-</sup>/*stx* 2A<sup>-</sup> was kindly provided by Kyu-Tae Chang (The National Primate Research Center, KRIBB, Ochang, South Korea). This strain was isolated from an outbreak involving white radish sprout (Michino *et al.* 1999). During

this investigation, the cultures were maintained and kept frozen at -80 °C in cryovials. 226 Broth subcultures were prepared by inoculating one single colony from a plate into a 227 test tube containing 5 mL of sterile tryptic soy broth (Biolife, Milan, Italy) with 0.6% 228 yeast extract added (Biolife) (TSBYE). After inoculation, the tubes were incubated 229 overnight at 37 °C. Along with these subcultures, 250-ml Erlenmeyer flasks containing 230 50 mL of TSBYE were inoculated to a final concentration of 10<sup>4</sup> cells ml<sup>-1</sup>. These flasks 231 were incubated under agitation (130 rpm; Selecta, mod. Rotabit, Barcelona, Spain) at 232 the appropriate temperature until the stationary growth phase was reached  $(24 \pm 2 h)$ . 233

234

# 235 Antimicrobial and direct addition procedure

Citral was obtained from Sigma Aldrich Chemie (Steinheim, Germany). Following the
procedure described by Friedman *et al.* (2002), a vigorous shaking method was used to
prepare citral suspensions (s-citral) in the treatment media: citrate-phosphate buffer
(McIlvaine's buffer) at pH 7.0 and pH 4.0 (Dawson *et al.* 1974) and apple juice.

240

# 241 **Preparation of nanoemulsions**

The preparation of nanoemulsions of citral (n-citral) was based on the catastrophic 242 phase inversion method (Zhang et al. 2014, 2017), also known as the emulsion phase 243 inversion (EPI) method. The aqueous phase was prepared by mixing 1.5 ml of ethanol 244 (Sigma) with 40.5 ml of sterile distilled water. The oily phase was prepared by mixing 3 245 ml of Tween 80 (Panreac, Barcelona, Spain) with 5 ml of citral. Nanoemulsions were 246 prepared from a mixture of oily phase by slowly adding aqueous phase with gentle 247 magnetic agitation. The addition rate of aqueous phase was kept constant at 248 approximately 1.0 ml min<sup>-1</sup>. A water-in-oil (W/O) emulsion with a high oil-to-water 249 ratio was formed, and then increasing amounts of water were added to the system with 250

continuous stirring. The amount of water added to a W/O emulsion was progressively
increased, until a phase inversion occurred and an oil-in-water (O/W) emulsion was
formed. Final concentration of citral in the nanoemulsion was 587 mM.

254

#### 255 **Droplet size and stability of nanoemulsions**

The emulsion droplet size and size distribution (polydispersity index-PDI) was 256 determined using a particle size analyzer (Brookhaven, 90 Plus, New York, USA). 257 Droplet size was analyzed using dynamic light scattering (DLS) technique. Prior to all 258 the experiments, the nanoemulsion formulations were diluted with water to eliminate 259 the multiple scattering effects. Emulsion droplet size was estimated by an average of 260 three measurements and is presented as the mean diameter of volume distribution. 261 Droplet size was evaluated after fresh preparation, and then after 1 month of storage 262 263 under refrigeration. The reproducibility of the protocol for preparing nanoemulsions and their stability during 30 days was also evaluated by comparing the survival curves of E. 264 coli O157:H7 Sakai in the presence of 0.6 µl ml<sup>-1</sup> of n-citral at pH 4.0, as described 265 below. 266

267

#### 268 **Evaluation of the antimicrobial activity of citral**

Citral (s-citral and n-citral) was evaluated to determine the minimum inhibitory
concentration (MIC) and to obtain survival curves against *E. coli* O157:H7 Sakai.

Regarding the MIC, tubes containing 5 mL of TSBYE and different concentrations of citral (0.5–1  $\mu$ l ml<sup>-1</sup>) were inoculated to a final concentration of 10<sup>5</sup> cells ml<sup>-1</sup>. Negative control (without microorganisms), positive control (without citral), and diluent control (the amount of ethanol corresponding to the maximum n-citral concentration assayed -1  $\mu$ l ml<sup>-1</sup>) were also prepared. After 24 h of incubation at the appropriate temperature in a shaking thermostatic incubator (Bunsen, mod. BTG, Madrid, Spain), survivors were
enumerated, as described below. The MIC was the lowest concentration of citral at
which bacteria failed to grow, showing counts equal to the initial concentration.

Moreover, the antimicrobial properties of s-citral and n-citral were evaluated by 279 determining survival curves in treatment media of different pH. Cells from stationary-280 phase cultures were added at final concentrations of 10<sup>7</sup> cells ml<sup>-1</sup> to buffers with citral 281 (0.6 µl ml<sup>-1</sup> at pH 4.0 and 0.4 µl ml<sup>-1</sup> at pH 7.0). Buffer pH was not modified as a 282 consequence of adding antimicrobial compounds. Antimicrobial compound treatments 283 were carried out at room temperature ( $23 \pm 2$  °C). Samples were taken at preset intervals 284 285 and survivors were enumerated, as described below. Previous experiments showed that untreated cells of *E. coli* O157:H7 Sakai at concentrations of 10<sup>7</sup> cells ml<sup>-1</sup> were 286 insensitive to incubation at pH 7.0 or 4.0 for 1 h at room temperature (data not shown). 287

288

# **Evaluation of microbial inactivation by heat and heat combined with citral**

290 For the preparation of heat-treated samples, microorganisms were resuspended at a concentration of  $10^7$  cells ml<sup>-1</sup> in treatment media thermostated at 53  $\pm$  0.2°C (FX 291 Incubator, A.F. Ingeniería S. L., Valencia, Spain). Buffer of pH 7.0 and 4.0, as well as 292 the same treatment media with s-citral or n-citral to a final concentration of 0.1 and 0.2 293 µl ml<sup>-1</sup> were used. Antimicrobials were added once the treatment media were 294 thermostated, and prior to microbial inoculation. The actual temperature was controlled 295 with a thermocouple wire introduced in a 0.9 mL buffer test tube inside the incubator. 296 After 15 min at 53 °C, samples were taken, immediately placed on ice, and survivors 297 and sublethally-injured cells were evaluated, as explained below. 298

Following the same methodology, heat treatments were also carried out in apple juice
(Don Simón, Murcia, España), as well as in the presence of s-citral and n-citral (0.1 µl

301 ml<sup>-1</sup>). Samples were collected at pre-set intervals and survivors were evaluated to obtain
 302 survival curves.

303

# 304 Evaluation of microbial inactivation by PEF and PEF combined with citral

305 PEF treatments were carried out using ScandiNova equipment (Modulator PG,
306 ScandiNova, Uppsala, Sweden), described by Saldaña *et al.* (2010).

Before treatments, micro-organisms were centrifuged at 6000 x g for 5 min and 307 resuspended at a concentration of 10<sup>7</sup> cells ml<sup>-1</sup> in citrate–phosphate buffer of pH 7.0 308 and 4.0 (electrical conductivity was adjusted to 1 mS/cm), as well as in the same 309 treatment media with s-citral or n-citral to a final concentration of 0.2 µl ml<sup>-1</sup>. Then, 0.5 310 ml of the microbial suspensions was placed into the treatment chamber with a sterile 311 syringe. Exponential waveform pulses at an electrical field strength of 30 kV cm<sup>-1</sup> and a 312 313 pulse repetition rate of 1 Hz were used in this study. The specific energy input of each pulse 2.7 kJ kg<sup>-1</sup>. Cell suspensions were treated for 50 pulses (pulse width 3 µs). 314 315 Experiments started at room temperature  $(23 \pm 2 \text{ °C})$ . In all experiments, the temperature of the samples after the application of 50 pulses was lower than 35 °C. 316 After treatment, samples were taken, and survivors and sublethally-injured cells were 317 evaluated, as explained below. 318

319

#### 320 **Counts of viable cells**

After treatments, samples were adequately diluted in 0.1% w/v peptone water (Biolife). Subsequently, 0.1 ml samples were pour-plated onto Tryptic Soy Agar (Biolife) with 0.6% Yeast Extract added (Biolife) (TSAYE). Plates were incubated for 24 h at 37°C. Previous experiments showed that longer incubation times did not influence the surviving cell counts. After incubation, colonies were counted with an improved image analyzer automatic counter (Protos; Analytical Measuring Systems, Cambridge, United
Kingdom), as previously described (Condón *et al.* 1996).

328

# 329 **Detection of sublethal injury**

In order to determine bacterial cell injury, treated samples were also plated onto TSAYE 330 with 4% sodium chloride (Panreac) added (TSAYE-SC) and onto TSAYE with 0.25% 331 bile salts (Oxoid, Hampshire, United Kingdom) added (TSAYE-BS) in order to evaluate 332 cytoplasmic membrane damage and outer membrane damage, respectively (Mackey, 333 2000). These levels of sodium chloride and bile salts were previously determined as the 334 maximum non-inhibitory concentrations for native cells (data not shown). Samples 335 recovered in selective media were incubated for 48 h. Previous experiments showed that 336 longer incubation times did not influence survival counts. 337

The proportion of sublethally injured cells was estimated by the difference in the number of log<sub>10</sub> cycles of colony forming units (CFU) obtained after plating treated cells in the nonselective (TSAYE) and selective (TSAYE-SC) media.

341

#### 342 Data analyses

The error bars in the figures indicate the mean  $\pm$  standard deviations from the data obtained from at least three independent experiments. All analyses were performed with GraphPad PRISM® software (GraphPad Software, Inc., San Diego, CA). Unpaired t-Student and one-way ANOVA tests were performed to test statistically significant differences among two or more groups, respectively (p = 0.05).

348

# 349 ACKNOWLEDGEMENTS

350	This study was financially supported by the CICYT (Spanish Interministerial
351	Commission of Science and Technology, Project No. AGL2015-69565-P), by FEDER,
352	the European Social Fund, and by the Aragonese Office of Science, Technology and
353	University Research.
354	
355	CONFLICT OF INTEREST
356	No conflict of interest declared.
357	
358	REFERENCES
359	
360	Arroyo, C., Somolinos, M., Cebrián, G., Condon, S. and Pagán, R. (2010) Pulsed
361	electric fields cause sublethal injuries in the outer membrane of Enterobacter
362	sakazakii facilitating the antimicrobial activity of citral. Lett Appl Microbiol 51,
363	525-531.
364	Condón, S., Palop, A., Raso, J., and Sala, F.J. (1996) Influence of the incubation
365	temperature after heat treatment upon the estimated heat resistance values of spores
366	of Bacillus subtilis. Lett Appl Microbiol 22, 149-152.
367	Corbo, M.R., Bevilacqua, A., Campaniello, D., D'Amato, D., Speranza, B. and
368	Sinigaglia, M. (2009) Prolonging microbial shelf life of foods through the use of
369	natural compounds and non-thermal approaches - a review. Int J. Food Sci.
370	Technol. <b>44</b> , 223-241.
371	Dawson, R.M.C., Elliot, D.C., Elliot, W.H. and Jones, K.M. (1974) Data for biochemical
372	research. Oxford: Clarendon Press.

373	de Souza, E.L., Almeida, E.T.D. and Guedes, J.P.D. (2016) The potential of the
374	incorporation of essential oils and their individual constituents to improve
375	microbial safety in juices: a review. Comp Rev Food Sci Food Safe 15, 753-772.

- Donsi, F., Annuziata, M., Sessa, M. and Ferrari, G. (2011) Nanoencapsulation of
  essential oils to enhance their antimicrobial activity in foods. *LWT-Food Sci Technol* 44, 1908-1914.
- Espina, L., Somolinos, M., Ouazzou, A.A., Condon, S., García-Gonzalo, D. and Pagán,
  R. (2012) Inactivation of *Escherichia coli* O157:H7 in fruit juices by combined
  treatments of citrus fruit essential oils and heat. *Int J Food Microbiol* **159**, 9-16.
- Espina, L., Somolinos, M., Pagán, R. and García, D. (2010) Effect of citral on the thermal inactivation of *Escherichia coli* O157:H7 in citrate phosphate buffer and apple juice. *J Food Prot* **73**, 2189-2196.
- FDA (2001) Hazard Analysis and Critical Control Point (HACCP): Procedures for the
   Safe and Sanitary Processing and Importing of Juice 66, 6137–6136.
- Friedman, M., Henika, P.R. and Mandrell, R.E. (2002) Bactericidal activities of plant
   essential oils and some of their isolated constituents against *Campylobacter jejuni*,
   *Escherichia coli*, *Listeria monocytogenes*, and *Salmonella enterica*. J Food Prot
- **65**, 1545-1560.
- Komaiko, J.S. and McClements, D.J. (2016) Formation of food-grade nanoemulsions
   using low-energy preparation methods: a review of available methods. *Com Rev Food Sci Food Safe* 15, 331-352.
- Leistner, L. and Gorris, L.G.M. (1995) Food preservation by hurdle technology. *Trends Food Sci Technol* 6, 41-46.

- 396 Mackey, B.M. (2000) Injured bacteria. In *The Microbiological Safety and Quality of Food*
- *Vol. I* ed. Lund, M., Baird-Parker, T.C. and Gould G.W. pp. 315-341. Gaithersburg,
  Maryland: Aspen Publishing.
- Maswal, M. and Dar, A.A. (2014) Formulation challenges in encapsulation and delivery
  of citral for improved food quality. *Food Hydrocolloids* 37, 182-195.
- 401 Mate, J., Periago, P.M. and Palop, A. (2016) When nanoemulsified, D-limonene
- 402 reduces *Listeria monocytogenes* heat resistance about one hundred times. *Food*403 *Control* 59, 824-828.
- Michino, H., Araki, K., Minami, S., Takaya, S., Sakai, N., Miyazaki, M., Ono, A. and
  Yanagawa, H. (1999) Massive outbreak of *Escherichia coli* O157: H7 infection in
  schoolchildren in Sakai City, Japan, associated with consumption of white radish
  sprouts. *Am J Epidemiol* **150**, 787-796.
- Moghimi, R., Aliahmadi, A., McClements, D.J. and Rafati, H. (2016) Investigations of
  the effectiveness of nanoemulsions from sage oil as antibacterial agents on some
  food borne pathogens. *LWT-Food Sci Technol* **71**, 69-76.
- 411 Piorkowski, D.T. and McClements, D.J. (2014) Beverage emulsions: Recent
  412 developments in formulation, production, and applications. *Food Hydrocolloids*413 42, 5-41.
- Saldaña, G., Puértolas, E., Condón, S., Álvarez, I. and Raso, J. (2010) Modeling
  inactivation kinetics and occurrence of sublethal injury of a pulsed electric fieldresistant strain of *Escherichia coli* and *Salmonella* Typhimurium in media of
  different pH. *Innov Food Sci Emerg Technol* 11, 290-298.
- Somolinos, M., García, D., Condón, S., Mackey, B. and Pagán, R. (2010) Inactivation
  of *Escherichia coli* by citral. *J Appl Microbiol* 108, 1928-1939.

420	Zhang, Z.J., Vriesekoop, F., Yuan, Q.P. and Liang, H. (2014) Effects of nisin on the
421	antimicrobial activity of D-limonene and its nanoemulsion. Food Chem 150, 307-
422	312.
423	Zhang, S.J., Zhang, M., Fang, Z.X. and Liu, Y.P. (2017) Preparation and
424	characterization of blended cloves/cinnamon essential oil nanoemulsions. LWT-

- 425 Food Sci Technol **75**, 316-322.

- **Table 1.** Droplet size and polydispersity index (PDI) of nanoemulsions of
- 430 citral stored under refrigeration. Data represent the mean  $\pm$  standard error of

Storage time (months)	Droplet size (nm)	PDI
0	161 <u>+</u> 5	0.096 <u>+</u> 0.012
1	160 <u>+</u> 2	0.159 <u>+</u> 0.011
4	191 <u>+</u> 0	$0.291 \pm 0.005$

the mean of at least three independent experiments.

#### 434 **FIGURE LEGENDS**

435

Figure 1. Survival curves of *Escherichia coli* O157:H7 Sakai (initial concentration: 3 x 10<sup>7</sup> CFU/mL) after exposure to s-citral (•) or n-citral (•) in buffer of pH 4.0 (A) (0.6  $\mu$ l ml<sup>-1</sup>) and 7.0 (B) (0.4  $\mu$ l ml<sup>-1</sup>) at room temperature and recovered in TSAYE. Data represent the mean  $\pm$  standard error of the mean (error bars) of at least three independent experiments. The dotted line represents the detection limit.

441

Figure 2. Cycles of inactivation of Escherichia coli O157:H7 Sakai (initial 442 concentration: 3 x 10<sup>7</sup> CFU/mL) after a heat treatment at 53 °C for 15 min (A,B) and 443 after a PEAV treatment at 30 kV cm<sup>-1</sup> for 150 µs (50 pulses of 3 µs) (C, D) in buffers of 444 pH 4.0 (A, C) and 7.0 (B, D), and recovered in TSAYE (grey bars; NS), TSAYE-SC 445 446 (grey bars; SC), TSAYE-BS (grey bars; BS), or recovered in TSAYE after combined treatment with heat and s-citral (black bar) or n-citral (white bar) (0.1 and 0.2 µl ml<sup>-1</sup>). 447 448 Data represent the mean  $\pm$  standard error of the mean (error bars) of at least three 449 independent experiments. Statistical differences (Student's t-test, p < 0.05) among combined treatments is represented by different superscript letters. The dotted line 450 represents the detection limit. 451

452

**Figure 3.** Survival curves of *Escherichia coli* O157:H7 Sakai (initial concentration:  $10^7$  CFU/mL) to a heat treatment at 53 °C in apple juice ( $\blacktriangle$ ), and a combined treatment of heat and s-citral ( $\bullet$ ) or n-citral ( $\circ$ ) (0.1 µl ml<sup>-1</sup>) and recovered in TSAYE. Data represent the mean  $\pm$  standard error of the mean (error bars) of at least three independent experiments. The dotted line represents the detection limit.











