

Application of Multivariate Analysis of NMR Spectra of Poly(*N*-isopropylacrylamide) to Assignment of Stereostructures and Prediction of Tacticity Distribution

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Running Head: Multivariate analysis of NMR spectra

Abstract

In this paper, we report multivariate analyses, such as principal component analysis (PCA) and partial least-squares (PLS) regression, of NMR spectra of poly(*N*-isopropylacrylamide)s [poly(NIPAAm)s]. PCA successfully interpreted the assignments of NMR spectra of poly(NIPAAm)s in terms of stereostructures for the methine carbons at triad levels and for the methylene protons at tetrad levels. Furthermore, triad tacticity was successfully predicted by PLS regression of ¹H NMR spectra of the methine and methylene groups, although the low resolution of the signals of the methine protons confines determination of tacticities by conventional methods to the diad levels. Consequently, it is assumed that chemometric approaches are useful for assigning NMR spectra in terms of stereostructures and for predicting tacticity distributions.

Keywords: chemometrics / NMR / partial least-squares regression / poly(*N*-isopropylacrylamide) / principal component analysis / stereostructures

INTRODUCTION

NMR spectroscopy is a powerful tool for analyzing the primary structures of polymers.¹⁻³ In particular, stereostructures have been extensively studied using NMR spectroscopies⁴ since the first reports on the triad tacticities of poly(methyl methacrylate)s, determined by ¹H NMR in 1960.⁵⁻⁷

Recently, we reported stereospecific radical polymerizations of *N*-isopropylacrylamide (NIPAAm) at low temperatures in polar solvents or in non-polar solvents in the presence of alcohols.⁸⁻¹¹ The diad tacticities were easily determined from the ¹H NMR signals of the methylene groups in the main chain; the signals showed typical splitting as a result of diad stereostructures, as measured in deuterated dimethyl sulfoxide (DMSO-*d*₆) at 150 °C.¹²⁻¹⁵ However, assignments in terms of triad stereostructures had not previously been made. We therefore assigned the ¹³C NMR signals of the methine carbons in the main chain at triad levels by comparing the NMR spectra of poly(NIPAAm)s with a wide range of stereoregularities, such as isotactic, syndiotactic, and heterotactic polymers, reported previously.^{10,16} The measurement conditions were optimized by mixing DMSO-*d*₆ with both D₂O and 2,2,3,3,4,4,5,5-octafluoro-1-pentanol (PenOH-F₈) at 100 °C.

Multivariate analysis is a powerful tool that can transform complex information into more useful sets of information, and that can extract vital differences from data that may look similar by other methods. Multivariate analysis has been applied to spectroscopic analysis of polymers to extract information about primary structures, which is buried in broad and/or complicated spectra.¹⁷⁻²³ For example,

principal component analysis (PCA) of ^{13}C NMR spectra of copolymers of methyl methacrylate and *tert*-butyl methacrylate with various chemical compositions, the homopolymers of the two methacrylates, and blends of the homopolymers with various blend ratios extracted separately information of chemical composition and that of comonomer sequence.²³ Furthermore, chemical composition of the copolymers was successfully predicted by partial least-squares (PLS) regression of ^{13}C NMR spectra of the homopolymers of the two methacrylates, and the blends of the homopolymers.²³

Measurements of the ^{13}C NMR spectra of poly(NIPAAm)s to determine the triad tacticities require a large amount of sample, a long time, and use of a mixed solvent (DMSO- d_6 :D₂O:PenOH-F₈ = 75:10:15 wt%). If the triad tacticities are determined from ^1H NMR spectra, a single solvent (DMSO- d_6) can be used and both sample and time can be saved. The objectives of this work are two-fold: (1) to investigate whether assignments of NMR spectra in terms of stereostructures can be interpreted by PCA, and (2) to examine whether triad tacticities can be predicted by PLS regression of ^1H NMR spectra, from which only diad tacticity can be determined.

EXPERIMENTAL

Materials

Poly(NIPAAm)s with a wide range of stereoregularities were prepared under the conditions reported in the literature.⁸⁻¹¹ Only the initiating system was changed, from *n*-Bu₃B under air to dimethyl 2,2'-azobisisobutyrate (MAIB) (supplied by Otsuka Chemical Co., Ltd., Osaka, Japan) under UV irradiation with a UV-LED lamp (375 nm,

Optocode Co., Tokyo, Japan) at a distance of ca. 5 cm from the polymerization mixture.

Measurements

The molecular weights and molecular-weight distributions of the polymers were determined by size-exclusion chromatography (SEC); the chromatograph was calibrated using standard polystyrene samples. SEC was performed with an HLC 8220 chromatograph (Tosoh Corp., Tokyo, Japan) equipped with TSK gel columns (SuperHM-M and SuperHM-H, both 6.5 mm inner diameter \times 150 mm long; Tosoh Corp.). Dimethylformamide containing LiBr (10 mmol L⁻¹) was used as the eluent at 40 °C with a flow rate of 0.35 mL min⁻¹. The polymer concentration was 1.0 mg mL⁻¹.

NMR measurements of poly(NIPAAm)s

¹H NMR spectra (400 MHz) were measured using an EX-400 spectrometer (JEOL Ltd., Tokyo, Japan), equipped with a 5-mm multinuclear direct detection probe [45° pulse (6.0 μ s), pulse repetition = 10.0 s, 16 scans], in DMSO-*d*₆ (4 wt/vol%) at 150 °C. ¹³C NMR spectra (100 MHz) were measured using an ECX400 spectrometer (JEOL Ltd.), equipped with a 10-mm multinuclear direct detection probe [45° pulse (7.75 μ s), pulse repetition = 2.73 s, 5000 scans, with ¹H broadband decoupling], in a mixed solvent (DMSO-*d*₆:D₂O: PenOH-F₈ = 75:10:15 wt%) (8 wt/vol%) at 100 °C.

The ¹H and ¹³C NMR data were zero-filled twice and multiplied by exponential functions with line-broadening factors of 0.5 and 3.0 Hz, respectively, before Fourier transformation. Proton chemical shifts were referenced to internal TMS

($\delta = 0.00$ ppm). Carbon chemical shifts were referenced to PenOH-F₈ (HCF₂CF₂CF₂CF₂-CH₂-OH: $\delta = 59.06$ ppm).

PCA of NMR signals of the methine carbons and methylene protons in main chain

Multivariate analysis was conducted using Sirius ver.7.0 (Pattern Recognition Systems, Bergen, Norway). The data matrix used for PCA analysis was composed from the spectra of eight samples, summarized in Table 1. A composite of ¹H NMR (the methylene proton region; 1.18–1.80 ppm) and ¹³C NMR (the methine carbon region; 41.04–43.24 ppm) spectra was used as the spectral vector in the matrix. The composition was carried out as follows. First, bucket integration was applied to each spectrum in order to reduce the matrix size for PCA. The bucket integration was performed with Alice2 ver.5 for metabolome ver.1.6 (JEOL Ltd.). The integration intervals of the ¹H and ¹³C NMR spectra were 0.02 ppm and 0.05 ppm, respectively. The sum of the integral intensities in each region was normalized to 100, and then these normalized ¹H and ¹³C NMR spectra were simply combined to one spectral vector. The eight spectral vectors obtained after the pretreatment were mean-centered and used for the PCA procedure. The NIPALS algorithm was used for the PCA calculations.

<Table 1>

PLS regression of NMR signals of the methine and methylene protons in main chain

Eight ^1H NMR spectra in the methine and methylene protons region (1.18–2.24 ppm) were used as a training set for the calibration. Bucket integration with an interval of 0.0025 ppm, normalization, and mean-centering were performed. The triad tacticities were determined from the ^{13}C NMR signals of the methine carbons. The data were subjected to leave-one-out cross validations, followed by PLS-1 analysis.

RESULTS AND DISCUSSION

Preparation of poly(NIPAAm)s with a wide range of stereoregularities

Radical polymerization of NIPAAm was carried out at low temperatures in the absence or presence of alcohols (Table 1). The contents of the *racemo* (*r*) diad were determined from the ^1H NMR signals of the methine groups in the main chain, and one of the signals of the in-chain methylene groups with the *meso* (*m*) configuration, observed at a lower magnetic field, in $\text{DMSO-}d_6$ at 150 °C (Figure 1). This is because the signals of the in-chain methylene groups with the *r* configuration overlapped slightly with one of the signals of the in-chain methylene groups with the *m* configuration, observed at a higher magnetic field, which also overlapped with the ^{13}C satellite peak of $(\text{CH}_3)_2\text{CH-}$. The triad tacticities were determined from the ^{13}C NMR signals of the methine groups in the main chain, in a mixed solvent ($\text{DMSO-}d_6$: D_2O :PenOH- F_8 = 75:10:15 wt%) at 100 °C.¹⁰ The contents of the *r* diad, calculated from $r = rr + mr/2$, agreed well with those determined using ^1H NMR spectra. This means that the triad tacticities determined using ^{13}C NMR spectra are sufficiently

accurate, and that polymers rich in isotactic (runs 1 and 2), syndiotactic (runs 3–6), and heterotactic (runs 7 and 8) stereosequences were successfully obtained.

<Figure 1>

Application of PCA to assignment of NMR signals in terms of stereostructures

Figures 2(a)–(c) show ^{13}C NMR spectra of the main-chain methine carbons and ^1H NMR spectra of the main-chain methylene protons of representative poly(NIPAAm)s rich in (a) isotactic, (b) syndiotactic, and (c) heterotactic stereosequences. PCA was performed on the data set for these spectral regions of eight samples. The contribution rates for the first principal component (PC1) and second principal component (PC2) were 50.3% and 47.3%, respectively. The first two principal component factors accounted for 97.6% of the spectral information of the data set.

<Figure 2>

Figure 2(d) shows the PC1 loadings plots. The PCA loadings are the eigenvectors of the cross-product matrix of the spectral space. They therefore contain information on the spectral variations of the original data set. Positive PC1 loadings were observed around the signals of the methylene protons in the main chain with the *m* configuration, and around the methine carbon signals from the *mm* configuration. In

contrast, the PC1 loadings had negative values near the methylene proton signals from the *r* configuration and near the methine carbon signals from the *rr* configuration.²⁴ Figure 3 depicts the Karhanen–Loève plots for the PC1 and PC2 scores. It was found that the PC1 score correlates well with the *r* diad contents of the sample. Consequently, the PC1 loadings are related to changes in the diad stereostructures (*m* and *r* configurations).

<Figure 3>

Figure 4(d) shows the PC2 loadings plots. For the methylene proton region, each peaks can be divided into at least two components, suggesting that the methylene proton signals are split as a result of at least tetrad stereosequences. In fact, a lower-field shoulder peak was observed in the signals of the methylene protons with the *r* configuration only when the polymers were rich in heterotactic stereosequences. The shoulder peak was therefore assigned to an *mrm* tetrad, as shown in Figure 4(c). Negative PC2 loadings were observed at the shoulder peak in the signals of the methylene protons with the *r* configuration and at the signals of the methine carbons with the *mr* configuration. These results suggested that the PC2 loadings were related to changes in the triad stereostructures, in particular the *mr* configuration. The PC1–PC2 score plots also showed that the PC2 score tended to decrease with increasing *mr* triad content (Figure 3). Furthermore, negative loadings were observed at the signals of the methylene protons with the *m* configuration (see Figure 4d). These peaks were therefore

assigned to an *mrr* tetrad, as shown in Figure 4(c).

<Figure 4>

Positive PC2 loadings were observed at the signals of the methine carbons with the *mm* and *rr* configurations (see Figure 4d). The signals of the methylene protons at which positive PC2 loadings were observed were therefore assigned to *mmm* and *rrr* tetrads, as shown in Figures 4(a) and (b), respectively. The signals of the methylene protons at which PC2 loadings were scarcely observed therefore assigned to *mmr* and *mrr* tetrad, as shown in Figures 4(b) and (c), because these stereosequences include both *mr* triad and *mm* or *rr* triad. Consequently, the assignments of the NMR spectra of poly(NIPAAm)s, in terms of stereostructures, were successfully interpreted by PCA loadings. Furthermore, assignments at the tetrad levels were also achieved for the signals of the methylene protons.

Application of PLS regression to prediction of triad tacticities

The ^1H NMR signals from the methine protons in the main chains of poly(NIPAAm)s contain information on triad tacticities. However, the low resolution confines determination of tacticities from the signals of the methine and methylene protons to the diad levels (see Figure 1). PLS regression was therefore applied to the data set obtained by bucket integration of the ^1H NMR signals of the methine and methylene protons of poly(NIPAAm)s. For calibration, the triad tacticities of each

sample, separately determined from the ^{13}C NMR spectra of the methine carbons, were used.

Leave-one-out cross-validations of the *mm*, *mr*, and *rr* triads were conducted. Figure 5 shows the PLS models with two latent variables (LV). The first two LVs accounted for 95.8% of the data set, regardless of the stereosequences (*mm*: LV1 = 55.4%, LV2 = 40.4%; *mr*: LV1 = 44.1%, LV2 = 51.7%; *rr*: LV1 = 52.3%, LV2 = 43.5%). The high R^2 values suggested successful prediction of triad tacticities using these models.

<Figure 5>

We prepared a test sample by mixing poly(NIPAAm) rich in isotactic stereosequences (Table 1, run 1) with one rich in syndiotactic stereosequences (Table 1, run 6). The ^1H NMR spectrum of the test sample is shown in Figure 6(c). Triad tacticity cannot be determined by conventional methods such as the integration of isolated peaks. PLS regression was conducted to predict the triad tacticity of the test sample using the above-mentioned training data set. The triad tacticity of the test sample was predicted to be *mm:mr:rr* = 32.5:35.2:32.3%. The predicted tacticities and calculated ones were in agreement within 1.5% (see Figure 6). We can conclude that the triad tacticity can be predicted from the ^1H NMR spectrum of poly(NIPAAm) using a PLS regression method.

<Figure 6>

CONCLUSIONS

It was found that PCA loadings plots satisfactorily explained the assignments of NMR spectra of poly(NIPAAm)s in terms of stereostructures. Furthermore, triad tacticities were successfully predicted by PLS regression of the ^1H NMR spectra. These results mean that chemometric approaches are useful for assignment of NMR spectra in terms of stereostructures and prediction of tacticity distributions. At least in the present system, only eight samples with various stereoregularities were sufficient to provide the reasonable results.

Synthetic polymers have distributions in their primary structures such as stereosequences, molecular weights, and regioregularities. Furthermore, synthetic copolymers have distributions in chemical compositions and comonomer sequences. In general, the distributions often prevent the detailed characterization of synthetic (co)polymers. In the present method, however, the disadvantage in conventional analytical methods, changes in tacticity distributions, were used in chemometric analysis of NMR spectra of poly(NIPAAm)s. It is assumed that the present method is a promising method for the characterization of synthetic (co)polymers.

One of the conventional methods of assigning NMR signals of polymers in terms of stereostructures is the multipulse-based 2D NMR method. However, not only the short relaxation times of polymer samples, but also the reduced signal/noise ratios as

a result of splitting caused by stereosequences, have made it difficult to measure conventional multipulse-based 2D NMR spectra of synthetic polymers. Moreover, some 2D NMR techniques, such as HETECOR, favor the use of isotope-labeled samples.²⁵ The present method provides correlations between the methine carbons and the methylene protons of unlabeled poly(NIPAAm)s. The correlations correspond to information given by HETECOR and HMBC experiments. Furthermore, it was noteworthy that correlations between the methine carbons measured in a mixed solvent at 100 °C and the methylene protons measured in DMSO-*d*₆ at 150 °C were observed. It is impossible to obtain such correlations by conventional multipulse-based 2D NMR techniques. Consequently, it is assumed that the present method is promising for the characterization of synthetic polymers, in addition to conventional 2D NMR.

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Table 1Radical Polymerization of NIPAAm at low temperatures for 24 h^a

Run	Solvent	Additive	Temp. /°C	Yield /%	<i>r</i> Diad ^b /%	Triad tacticity/% ^c			<i>r</i> Diad ^d /%	M_n^e ×10 ⁻⁴	M_w^e / M_n
						<i>mm</i>	<i>mr</i>	<i>rr</i>			
1	DMF	None	-40	94	36.5	50.2	28.3	21.5	35.7	1.5	1.7
2	CH ₃ CN	None	-20	94	47.0	33.5	41.6	24.9	45.7	3.9	4.4
3	MeOH	None	0	68	55.0	18.7	53.6	27.7	54.5	9.6	3.2
4	Toluene	MeOH	-40	87	60.5	13.2	54.1	32.7	59.8	6.5	2.5
5	Toluene	<i>t</i> BuOH	-40	92	65.8	14.2	43.1	42.7	64.3	7.5	2.9
6	Toluene	3Me3PenOH ^f	-60	79	68.4	8.9	46.3	44.8	68.0	7.1	1.9
7	Toluene	HFIP ^g	-60	75	49.3	24.9	55.7	19.4	47.3	12.9	2.4
8	Toluene	NFTB ^h	-40	30	55.0	8.7	74.0	17.3	54.3	2.9	1.7

a. [NIPAAm]₀ = 1.0 mol L⁻¹ (runs 1-3) or 0.5 mol L⁻¹ (runs 4-8), [MAIB]₀ = 5.0 × 10⁻³ mol L⁻¹, [Alkyl alcohol]₀ = 2.0 mol L⁻¹ (runs 4-6), [Fluorinated alcohol]₀ = 2.0 mol L⁻¹ (runs 7, 8).

b. Determined from ¹H NMR signals.

c. Determined from ¹³C NMR signals.

d. Calculated from the triad tacticities with the equation: $r = mr/2 + rr$.

e. Determined by SEC (polystyrene standards).

f. 3-Methyl-3-pentanol.

g. Hexafluoro-2-propanol.

h. Nonafluoro-*tert*-butanol.

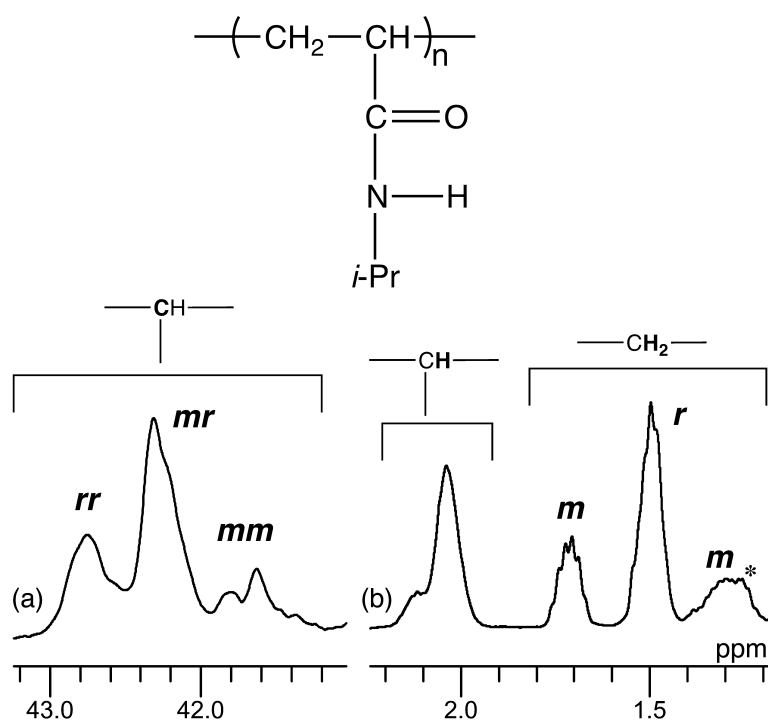


Figure 1 (a) ^{13}C NMR spectrum of the methine carbons of poly(NIPAAm) (Table 1, run 3), measured in $\text{DMSO-}d_6$: D_2O : $\text{PenOH-F}_8 = 75:10:15$ wt% at $100\text{ }^\circ\text{C}$ and (b) ^1H NMR spectrum of the methine and methylene protons of poly(NIPAAm), measured in $\text{DMSO-}d_6$ at $150\text{ }^\circ\text{C}$. The asterisk indicates the ^{13}C satellite peak from the $(\text{CH}_3)_2\text{CH-}$ groups.

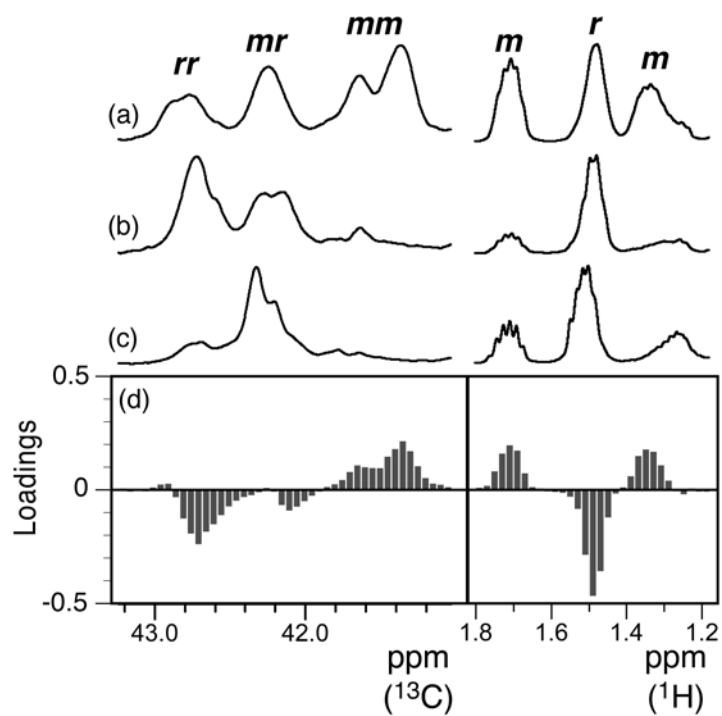


Figure 2 NMR spectra of the methine carbons and methylene protons of poly(NIPAAm)s rich in (a) isotactic (Table 1, run 1), (b) syndiotactic (Table 1, run 6), and (c) heterotactic stereosequences (Table 1, run 8), and (d) PC1 loadings plots.

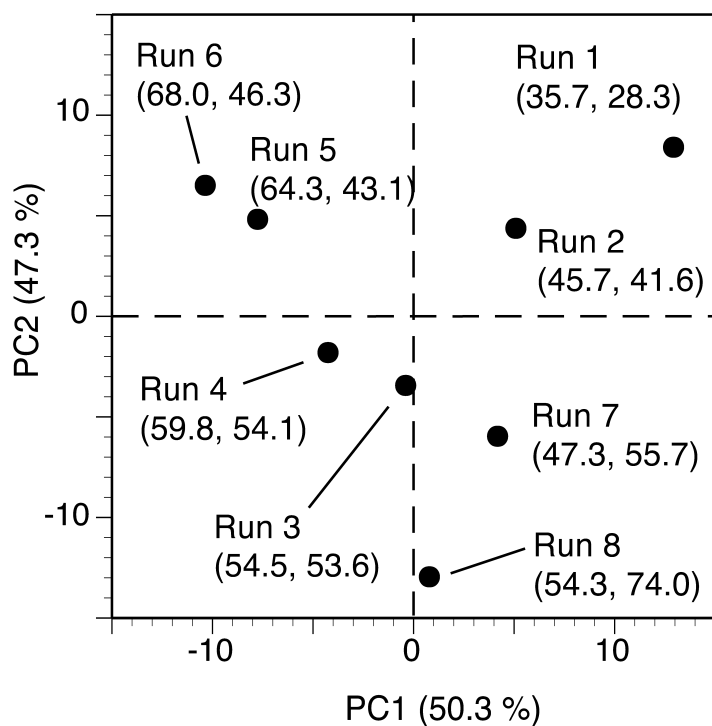


Figure 3 PC1–PC2 score plots for ^{13}C NMR spectra of the main-chain methine carbons and ^1H NMR spectra of the main-chain methylene protons of poly(NIPAAm)s. The values in parentheses are r diad content and mr triad content, respectively: (r , mr).

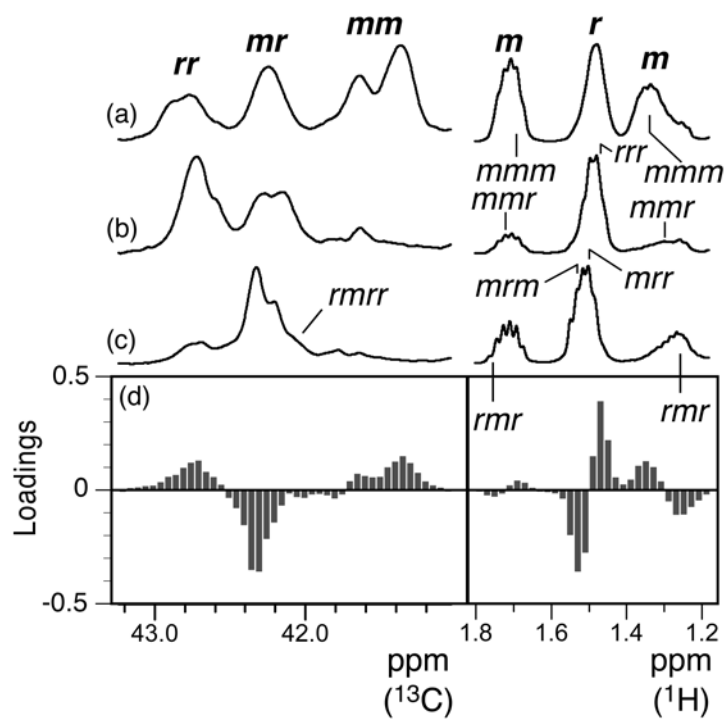


Figure 4 NMR spectra of the methine carbons and methylene protons of poly(NIPAAm)s rich in (a) isotactic (Table 1, run 1), (b) syndiotactic (Table 1, run 6), and (c) heterotactic stereosequences (Table 1, run 8), and (d) PC2 loadings plots.

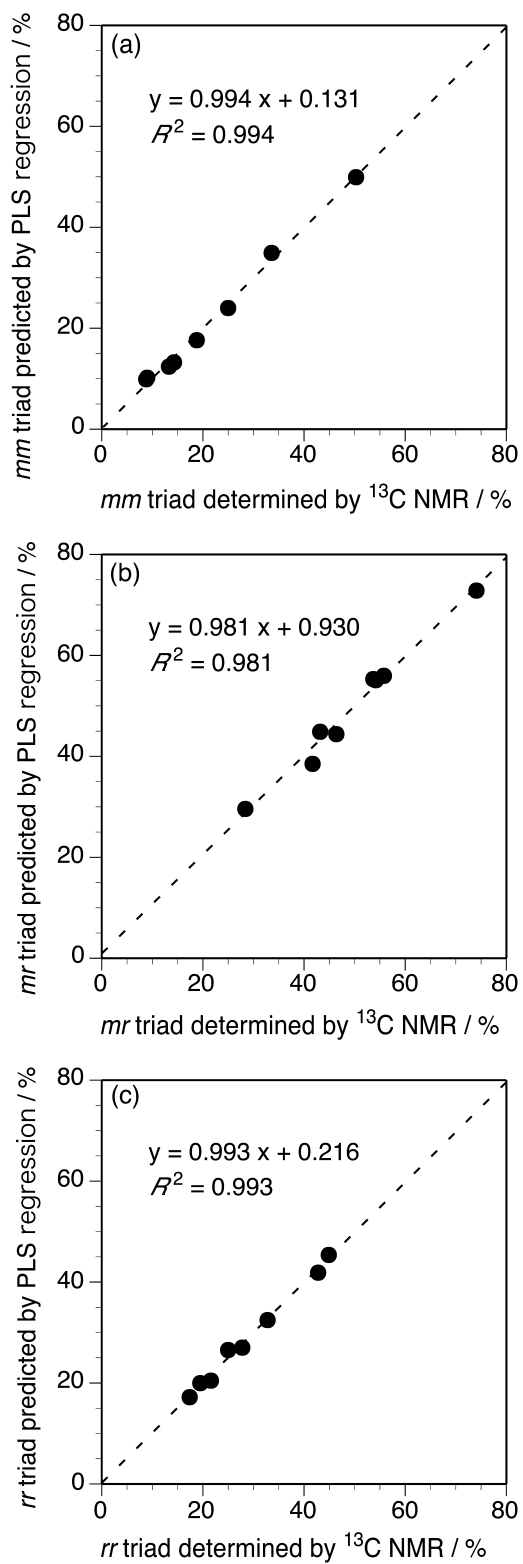


Figure 5 Correlation of triad tacticities predicted by leave-one-out test with those determined by ^{13}C NMR: (a) mm , (b) mr , and (c) rr triads.

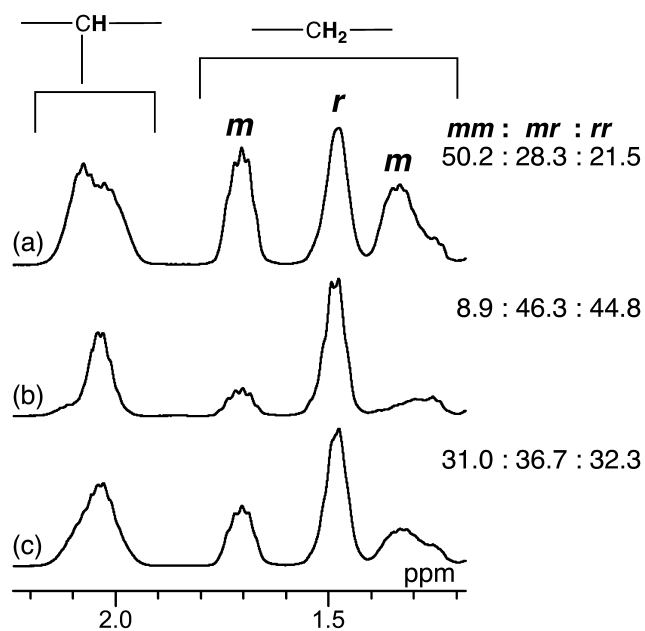


Figure 6 ^1H NMR spectra of original poly(NIPAAm)s rich in (a) isotactic stereosequences (Table 1, run 1) and (b) syndiotactic stereosequences (Table 1, run 6), and (c) that of the test sample prepared by mixing the original polymers. The triad tacticity for (c) was calculated based on the weight fractions of the original polymers, (a) and (b).