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7 **Modeling of a dispersive tsunami caused by a submarine landslide based**
8 **on detailed bathymetry of the continental slope in the Nankai trough,**
9 **southwest Japan**

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34 Manuscript on 22/01/2019 to be submitted for Tectonophysics
35 Revised on 04/07/2019
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37 **Highlights**

38 Bathymetric survey shows detailed features of the submarine landslide

39 Two-layer flow model estimates the submarine mass movement

40 Tsunamis caused by the submarine landslide are deeply affected by dispersion effects

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42

43 **Abstract**

44 Tsunamis caused by submarine landslides are not accompanied by seismic waves and thus may appear
45 at the coast without warning. In this study, detailed bathymetric surveys with a multi-narrow beam
46 echo sounder were used to map submarine landslides on the continental shelf near Cape Muroto, in the
47 Nankai trough off southwestern Japan. One of the surveyed submarine landslides was selected to supply
48 dimensions for the simulation of a submarine mass movement by a two-layer flow model in which the
49 upper and lower layers correspond to seawater and turbidity currents, respectively. The time series of
50 seafloor deformation during this simulated landslide was used as the boundary condition to drive a
51 tsunami simulation. The results showed strong directivity effects during tsunami generation in which
52 pushing-dominant (positive) tsunami waves propagated seaward, in the direction of the submarine
53 landslide, and pulling-dominant (negative) tsunami waves propagated landward. Both types of waves
54 were strongly modified by frequency dispersion. For pulling-dominant waves, a tsunami simulation
55 that included dispersion (Boussinesq) terms predicted greater maximum tsunami heights than a non-
56 dispersive tsunami simulation. To avoid underestimation of tsunami heights, we recommend including
57 dispersion terms when modeling tsunamis caused by submarine landslides for disaster planning
58 purposes.

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60 Keywords: Tsunami, Submarine landslide, Directivity, Dispersion, Nankai trough

61

62 **1. Introduction**

63 Tsunamis are caused by vertical displacement of the seafloor, which occurs not only from earthquakes,
64 but also from submarine landslides. Earthquake-induced submarine landslides amplified tsunamis from
65 the 1998 Papua New Guinea earthquake (Tappin et al., 2001, 2008), a moderate earthquake in Suruga
66 Bay, Japan, in 2009 (Baba et al., 2012; Matsumoto et al., 2012), and the 2010 Haiti earthquake
67 (Hornbach et al., 2010). In these cases, the causative submarine landslides were identified by surveys
68 and validated by numerical simulations. The great tsunami of the 2011 Tohoku earthquake may likewise
69 have been affected by a submarine landslide near the trench (Fujiwara et al., 2011, Tappin et al., 2014),
70 and the tsunami caused by the 2018 Palu earthquake may have been amplified by submarine landslides
71 (Arikawa et al., 2018, Muhari et al., 2018, Sassa and Takagawa, 2018). Some historical large tsunamis
72 may have originated from submarine landslides (e.g. Matsumoto and Kimura, 1993; Yanagisawa et al.,
73 2016). Submarine landslides generally do not radiate a clear seismic signal, thus the tsunamis they
74 cause may arrive at the coast without warning. Accordingly, submarine landslides may be the origin of
75 tsunamis that have been attributed to tsunami earthquakes (Kanamori, 1972). However, risk
76 assessments of submarine landslide tsunamis are difficult to make without detailed knowledge of the
77 physics of submarine landslides and the interactions between these mass movements and water bodies.

78 In contrast, many submarine landslides around the world have been well characterized by detailed
79 bathymetric surveys, visual observations, seismic images, and drill cores (e.g., Haflidason et al., 2005;

80 [Masson et al., 2006](#); [Cardona et al., 2016](#)). Submarine landslides have been mapped in detail in the
81 Nankai trough, Japan, a subduction zone where many historical earthquakes and tsunamis have been
82 recorded.

83 Bathymetric data from the forearc slope of the Nankai trough, where splay faults branch from the
84 plate boundary, reveal the scarps of many seafloor slumps ([Kimura et al., 2011](#)). These slumps occur
85 on slopes of $\sim 4^\circ$ and their headscarps dip at angles of $\sim 6\text{--}8^\circ$, suggesting that the slope is at or near the
86 critical angle, and that slight tilting or shaking could trigger slope failures. [Kawamura et al. \(2012\)](#)
87 used a remotely operated vehicle to visually observe three submarine slump scarps at the toe of the
88 Nankai accretionary prism, and estimated that they displaced volumes of 3.3, 30.6, and 11.3 km³,
89 respectively.

90 [Strasser et al. \(2011, 2012\)](#) used drill cores and 2D seismic images to detect six buried mass-transport
91 deposits ranging in thickness from 0.5 to 61 m in the Kumano basin of the Nankai accretionary wedge.
92 The oldest of these was deposited between 0.85 and 1.05 Ma. [Moore and Stasser \(2016\)](#) used 3D seismic
93 data to investigate surficial and buried submarine landslides in a 15 × 15 km area in the slope basin.
94 They described two surficial landslides, one of them a rotational slump ~ 3.4 km wide, 1.8 km long,
95 and 150 m thick and the other a disintegrative slide that left a seafloor scar more than 3.65 km wide,
96 2.6 km long, and ~ 200 m deep. They estimated the recurrence interval for submarine landslides to be
97 500–1000 years, far longer than the 100–200 year recurrence interval for great earthquakes in the
98 Nankai trough ([Ando 1975](#); [Ishibashi and Satake, 1998](#)).

99 The continental slope closer to shore also has many scarps created by submarine landslides. On the
100 continental slope off southwestern Japan, clear slump scarps have been documented about 25 km from
101 the coast ([Moriki et al., 2017](#)). These represent slump events that could have caused tsunamis, but the
102 associated submarine landslides and their tsunami potential have not been investigated. To assess the
103 risk of tsunamis from these submarine landslides, we carried out a bathymetric survey to reveal their
104 detailed features, and we modeled the tsunamis they might have caused. In the tsunami calculations,
105 dispersive equations were used because the tsunami sources caused by submarine landslides are smaller
106 than the application limit of the long-wave theory.

107

108 **2. Bathymetric survey**

109 Multi-narrow beam bathymetric surveys were carried out about 25 km east of Cape Muroto on Shioku
110 Island, southwestern Japan, by training ship (TS) *Fukae-maru* belonging to Kobe University ([Fig. 1a](#)).
111 The ship's multi-narrow beam echo sounder (EM 712, Kongsberg Maritime) acquired bathymetric data
112 in the study area during research cruises on 25–26 August 2017, 18 March 2018 and 29–30 August
113 2018. The ship's speed was maintained at 8 knots during the bathymetric surveys to reduce noise
114 derived from the ship's movements and to acquire high-resolution data while covering large survey
115 areas. Sound speed corrections were made from expendable conductivity/temperature/depth probe
116 observations during each cruise. By using HIPS and SHIPS 11.0 software to process the data, we
117 obtained bathymetric data with a resolution of about 50 m.

118 The resulting bathymetric map shows four sets of headscarps representing slumps, named A to D, on
119 the continental slope ([Fig. 1b](#) and [Table 1](#)). The largest of these, slump B, is about 4.2 km long with a
120 headscarp about 6.9 km wide, and extends from a depth of about 640 m to about 1,340 m at an average
121 slope angle of about 5° . The seafloor topography suggests that slump D was a single collapse and that
122 slumps B and C were multiple slope collapses; however, none of these have clearly defined slump

123 deposits below their toes. Conversely, for slump A, the slope below the headscarp is smooth and slump
 124 deposits are evident on the slope below. We therefore interpret slumps B, C, and D as being old, and
 125 slump A as being relatively young.

126 **Figure 2** shows bathymetric profiles of the four slumps compared to profile Z, which crosses the
 127 continental slope at a nearby location without a slump. Although the depths of the headscarps differ
 128 among the profiles, their angles and slopes are similar. The thicknesses of slump bodies were estimated
 129 from the vertical difference between the slump profiles and profile Z, which permitted estimation of
 130 the slump volumes.

131 Small, closely spaced gullies are ubiquitous on the seafloor slopes. The distribution density of the
 132 gullies is 1.36–2.63 gully/km, the distance between the gullies ranges from about 380 to 730 m, and
 133 the gullies range in depth from about 10 to 50 m. Gullies are especially well developed on submarine
 134 slumps B, C, and D, where they deeply incise the headscarps and slopes.

136 3. Modeling mass movement of a submarine slump

137 Several methods have been used to calculate the initial conditions of tsunamis caused by submarine
 138 landslides. [Satake \(2007\)](#) used the difference in seafloor topography before and after the slide to
 139 estimate the size of the sliding mass and assumed a velocity for that mass to model the development
 140 of seafloor deformation during the slide. The resulting time series of seafloor deformation was then
 141 used to drive the sea surface in a one-layer flow tsunami simulation. [Watts et al. \(2005\)](#) proposed an
 142 empirical equation to obtain tsunami initial conditions from theoretical and experimental investigations.
 143 [Imamura and Imteaz \(1995\)](#) modeled tsunamis with a scheme of two coupled layers corresponding to
 144 seawater and a turbidity current.

145 The bathymetric map displays a definite slump deposit below slump A, but none below slumps B, C,
 146 and D. No large fragments derived from the slump mass were found below any of the four slumps.
 147 These observations mean that the slump bodies may have disintegrated into turbidity currents that
 148 travelled far into the deep ocean. The well-developed gullies indicate that the seafloor in the study area
 149 is covered by relatively weak sediment, which would tend to generate turbidity currents rather than
 150 block movements. For these reasons, we adopted the two-layer flow model of [Imamura and Imteaz](#)
 151 [\(1995\)](#) to simulate the movement of the submarine mass.

152 The two-layer flow model was derived from the two Euler equations for the upper and lower layers
 153 using a long wave approximation and shear stress (friction) on the interface between the two layers.
 154 Flow velocities were integrated in the vertical direction in each layer. Zero hydrostatic pressure was
 155 assumed as the boundary condition at the surface of the upper layer, and the hydrostatic pressure
 156 calculated from the thickness of the upper layer was taken for the interface between the layers. The
 157 governing equations are ([Imamura and Imteaz, 1995](#)):

$$\frac{\partial M_1}{\partial x} + \frac{\partial N_1}{\partial y} + \frac{\partial}{\partial t}(\eta_1 - \eta_2) = 0 \quad (1)$$

$$\frac{\partial M_1}{\partial t} + \frac{\partial(M_1^2/D_1)}{\partial x} + \frac{\partial(M_1 N_1/D_1)}{\partial y} + gD_1 \frac{\partial \eta_1}{\partial x} - INTF = 0 \quad (2)$$

$$\frac{\partial N_1}{\partial t} + \frac{\partial(M_1 N_1/D_1)}{\partial x} + \frac{\partial(N_1^2/D_1)}{\partial y} + gD_1 \frac{\partial \eta_1}{\partial y} - INTF = 0 \quad (3)$$

$$\frac{\partial M_2}{\partial x} + \frac{\partial N_2}{\partial y} + \frac{\partial \eta_2}{\partial t} = 0 \quad (4)$$

$$\frac{\partial M_2}{\partial t} + \frac{\partial(M_2^2/D_2)}{\partial x} + \frac{\partial(M_2 N_2/D_2)}{\partial y} + gD_2 \left\{ \alpha \left(\frac{\partial \eta_1}{\partial x} + \frac{\partial h_1}{\partial x} - \frac{\partial \eta_2}{\partial x} \right) + \frac{\partial \eta_2}{\partial x} - \frac{\partial h_1}{\partial x} \right\} + \frac{gn^2}{D_2^{7/3}} M_2 \sqrt{M_2^2 + N_2^2} + INTF = 0 \quad (5)$$

$$\frac{\partial N_2}{\partial t} + \frac{\partial(M_2 N_2/D_2)}{\partial x} + \frac{\partial(M_2^2/D_2)}{\partial y} + gD_2 \left\{ \alpha \left(\frac{\partial \eta_1}{\partial y} + \frac{\partial h_1}{\partial y} - \frac{\partial \eta_2}{\partial y} \right) + \frac{\partial \eta_2}{\partial y} - \frac{\partial h_1}{\partial y} \right\} + \frac{gn^2}{D_2^{7/3}} N_2 \sqrt{M_2^2 + N_2^2} + INTF = 0 \quad (6)$$

158 where subscripts 1 and 2 indicate the upper and lower layers, respectively, η is the surface elevation,
 159 M and N are discharge along the x and y axis, respectively, ρ is the fluid density, α is the relative
 160 density ratio ($\rho_1/\rho_2 = 1.00/1.65$), h is the static water depth, $D = h + \eta$ is the total water depth, and g
 161 is the acceleration due to gravity. See Fig. 3a for a schematic representation of these terms. The
 162 interfacial shear stress $INTF$ is defined by $f_{inter}\bar{u}|\bar{u}|$, where \bar{u} is the velocity of the lower layer with
 163 respect to the upper layer and f_{inter} is the interfacial drag coefficient. Equations (5) and (6) include a
 164 bottom friction term in which n is Manning's roughness coefficient. Values of 0.2 for f_{inter} and 0.08
 165 $\text{sm}^{-1/3}$ for n were used in this study, as determined by laboratory experiments (Kawamata et al., 2005;
 166 McLeod et al., 1997) and numerical studies (Maeno and Imamura, 2007, 2011). Equations (1) and (4)
 167 are the equations of continuity for the upper and lower layers, respectively. Equations from (2) and (3)
 168 are the equations of motion for the upper layer and equations (5) and (6) are those for the lower layers.

169 To model the initial condition of the slump mass, we chose slump A because it was geometrically
 170 simple and its slump deposit was well preserved. Using the parameters of slump A in Table 1, we
 171 adopted a cylindrical slump body with a diameter of 4.2 km and a height of 210 m. The cylinder edges
 172 were tapered by a cosine curve toward the outside to avoid abrupt accelerations around the slump body.
 173 The slump body was positioned within the present (i.e., post-slump) bathymetry at the location of slump
 174 A. This slump body corresponds to the high-density lower layer in the two-layer flow model.

175 The computations adopted a staggered-grid, leap-frog finite differential scheme in Cartesian
 176 coordinates, and the computational domain was defined as shown in Fig. 4. The regional bathymetric
 177 grid was derived from data compiled by the Japanese cabinet office for the preparation of tsunami
 178 hazard maps for the Nankai great earthquake scenario (Geospatial Information Center, 2018). The
 179 spatial interval of the computational grid was set at 90 m, and the time interval of the computation was
 180 set at 0.1 s to substantially satisfy the stability condition. The movement of the submarine mass from
 181 slump A was calculated for 1 hour after the collapse.

182 Figure 5 shows the submarine mass movement obtained from the two-layer simulation. The collapse
 183 progresses down slope in the southeastern direction. The phase velocity of the submarine mass
 184 movement is approximately 21 m/s, because the main collapse is finished by about 200 s for the slump
 185 body with a length of 4.2 km. The maximum flow velocity of the lower layer is 19.35 m/s in the
 186 simulation. The displaced mass continues to spread out over a wide area, as far as 30 km from its origin,
 187 by the end of the simulation.

188

189 4. Tsunami simulations

190 For our tsunami calculations, we adopted a one-layer shallow water model using JAGURS tsunami
 191 simulation software (Baba et al., 2015, 2017) parallelized by OpenMP and MPI. JAGURS solves the
 192 following shallow water equations without dispersion (Boussinesq) terms (Eqs. (7)–(9)) or with them

193 (Eqs. (7), (10), and (11)) in a staggered-grid, leap-frog finite differential scheme (See Fig. 3b for
 194 notation):

$$\frac{\partial M}{\partial x} + \frac{\partial N}{\partial y} + \frac{\partial \eta}{\partial t} = 0 \quad (7)$$

$$\frac{\partial M}{\partial t} + \frac{\partial(M^2/D)}{\partial x} + \frac{\partial(MN/D)}{\partial y} + gD \frac{\partial \eta}{\partial x} + \frac{gn^2}{D^{7/3}} M \sqrt{M^2 + N^2} = 0 \quad (8)$$

$$\frac{\partial N}{\partial t} + \frac{\partial(MN/D)}{\partial x} + \frac{\partial(N^2/D)}{\partial y} + gD \frac{\partial \eta}{\partial y} + \frac{gn^2}{D^{7/3}} N \sqrt{M^2 + N^2} = 0 \quad (9)$$

$$\frac{\partial M}{\partial t} + \frac{\partial(M^2/D)}{\partial x} + \frac{\partial(MN/D)}{\partial y} + gD \frac{\partial \eta}{\partial x} + \frac{gn^2}{D^{7/3}} M \sqrt{M^2 + N^2} - \frac{h^2}{3} \frac{\partial}{\partial x} \left(\frac{\partial^2 M}{\partial x \partial t} + \frac{\partial^2 N}{\partial y \partial t} \right) = 0 \quad (10)$$

$$\frac{\partial N}{\partial t} + \frac{\partial(MN/D)}{\partial x} + \frac{\partial(N^2/D)}{\partial y} + gD \frac{\partial \eta}{\partial y} + \frac{gn^2}{D^{7/3}} N \sqrt{M^2 + N^2} - \frac{h^2}{3} \frac{\partial}{\partial y} \left(\frac{\partial^2 M}{\partial x \partial t} + \frac{\partial^2 N}{\partial y \partial t} \right) = 0 \quad (11)$$

$$\Delta \eta_0(x, y) = \frac{1}{(2\pi)^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} dk_x dk_y e^{i(k_x x + k_y y)} \frac{\widetilde{\Delta \eta}_2(k_x, k_y)}{\cosh kh_{ave}} \quad (12)$$

$$\eta = \eta^* + \Delta \eta_0 \quad (13)$$

195 To improve the stability of calculations, the advection terms were only calculated using the first-order
 196 upwind difference. The dispersion terms, i.e., the last terms on the left-hand side of equations (10) and
 197 (11), were solved by an implicit (Gauss-Seidel) method.

198 Equation (12) is the full potential method of [Kajiura \(1963\)](#) that we used to calculate increments of
 199 the vertical displacement of the sea surface resulting from the submarine mass movement ([Fig. 5](#))
 200 imposed on the seafloor. In this equation, $\Delta \eta_0$ and $\Delta \eta_2$ are increments of vertical displacement at
 201 the sea surface and seafloor, respectively, at each time step. $\Delta \eta_2$ was calculated from η_2 of equation
 202 (4), and $\widetilde{\Delta \eta}_2$ is the Fourier transform of $\Delta \eta_2$. h_{ave} is the average water depth at the tsunami source,
 203 k is the wave number, and i is the imaginary unit. η^* in equation (13) is the temporary sea surface
 204 fluctuation obtained by equation (7) before the tsunami generated by movement of the lower layer is
 205 added. As the tsunami propagates, calculated increments of vertical displacement at the sea surface
 206 ($\Delta \eta_0$) are sequentially added to the temporary sea surface fluctuation (η^*) at each time step. We refer
 207 to this scheme as the time-dependent input in this study.

208 We note that the time-dependent input to the 2D tsunami simulation cannot accurately simulate the
 209 pressure field during tsunami generation because it neglects dynamic pressure effects. However, in
 210 areas outside the tsunami-generating region, tsunami wavefields can be correctly simulated by the time-
 211 dependent input. [Saito \(2013, 2019\)](#) investigated analytical solutions for 3D tsunami generation over
 212 a flat seafloor. [Saito \(2013\)](#) concluded that “in order to properly include the tsunami generation process
 213 in the initial tsunami height distribution for 2D tsunami simulations, only the effect of the water height
 214 distribution (the first term in Eq. (32)) is taken into account at each time step of the tsunami simulation.
 215 We should not add the velocity distribution generated from the source.” Furthermore, [Lotto et al. \(2017\)](#)
 216 carried out full 3D simulations that included the effects of earthquake faulting and tsunami generation
 217 and propagation over non-flat seafloors. In their simulations, depth-dependent horizontal and vertical
 218 velocity fields appeared during the tsunami generation phase, but these velocity fluctuations did not

219 propagate outside the tsunami source area. Accordingly, we assumed an initial velocity distribution of
220 zero in our simulation to predict tsunamis in areas outside of their source region. Given these
221 considerations, the time-dependent input method used in this study is appropriate to simulate tsunamis.

222 The tsunami propagations were calculated by JAGURS both without dispersion terms (Fig. 6) and
223 with dispersion terms (Fig. 7). The computations used the same bathymetric data and computational
224 domain (Fig. 4) used in the two-layer flow model. A sponge buffer zone (Cerjan et al., 1985) was
225 applied to grid cells within 20 cells of the edge of the computational domain to avoid reflections of
226 tsunami waves. A uniform Manning's roughness coefficient of $0.025 \text{ sm}^{-1/3}$ was used for the whole
227 computation region. Again, the simulations used a time step of 0.1 s and ran for 1 hour.

228
229 In both calculations, generation of the tsunami by the submarine slump is finished by about 200 s.
230 Both simulations also feature a pushing (positive) tsunami wave moving seaward and a pulling
231 (negative) tsunami wave moving landward. This asymmetry in tsunami propagation, which we refer to
232 here as directivity, reflects the fact that the submarine mass moves downslope with a velocity
233 comparable to the tsunami phase velocity (Fig. 5). According to Watada (2013), the phase velocity of
234 the turbidity current in the two-layer flow model is predicted to be about 25 m/s assuming $h_1 = 790$
235 m, $h_2 = 210$ m, and $\rho_1/\rho_2 = 1.00/1.65$. For its parts, the tsunami phase velocity (c) can be written as:

$$c = \sqrt{\frac{gL}{2\pi} \tanh\left(\frac{2\pi h}{L}\right)} \quad (14)$$

236 where L is the tsunami wavelength. Equation (14) predicts a phase velocity of about 88 m/s, assuming
237 $h = 790$ m for a long wave. For a dispersive wave, equation (14) predicts a phase velocity of about 74
238 m/s, assuming $h = 790$ m and $L = 4,200$ m. Accordingly, the dispersive tsunami calculations yield
239 stronger directivity effects than the non-dispersive calculations. The difference in the directivity is
240 apparent in a comparison between the images at 60 s of Fig. 6 and 7 during the tsunami generation
241 process. The distributions of simulated maximum water levels are also different (Fig. 8).

242 The left-hand panels (a, c and e) in Figure 9 show clear differences between tsunami waveforms
243 calculated by non-dispersive and dispersive modeling. Dispersive wave trains are clearly evident in
244 the first pulling wave, in the images after 180 s in Figure 7 and in the waveform shown in blue in
245 Figures 9c and 9e, but are absent in the non-dispersive simulation (Fig. 6 and the red waveform in Figs.
246 9c and 9e).

248 5. Discussion

249 5.1 Comparison of tsunamis from time-dependent and static inputs

250 Because it is so difficult to directly observe dynamic mass movements in the deep sea, submarine
251 mass movements are usually recognized by the difference in water depths before and after the collapse.
252 Therefore, we performed substitute tsunami calculations in which the time-dependent input was
253 replaced with a static input based on changes in water depths. The depth change at 3,600 s, in the last
254 panel of Figure 5, was used as the static input. We applied the full potential method of Kajiura (1963)
255 to this static input to estimate the initial sea surface condition. We assumed a rise time of 200 s for the
256 initial sea surface condition according to the result of the two-layer model because instantaneous
257 tsunami generation is not realistic for a submarine landslide tsunami. The rest of the simulations were
258 unchanged from those made with the time-dependent input. Dispersive and non-dispersive equations
259 were solved to obtain tsunami waveforms at the imaginary gauges shown in Figure 8.

260 The tsunami waveforms calculated with the static input are presented in the right-hand panels (b, d
261 and f) of Figure 9. These differ from those produced with the time-dependent input (Figs. 9a, 9c and
262 9e) in terms of the maximum sea surface rise and fall, dominant period, and arrival time. In particular,
263 the difference between dispersive (blue) and non-dispersive (red) waveforms is smaller in the results
264 based on the static input. This is because the static input generates a tsunami with a longer wavelength
265 than the time-dependent input.

266 267 *5.2 Importance of dispersion in submarine landslide tsunami predictions*

268 For the time-dependent input, it is interesting that near the coast, the dispersive model predicted
269 greater maximum tsunami heights than the non-dispersive model (Fig. 8). Frequency dispersion, by
270 spreading out the wave train, usually results in smaller maximum tsunami heights for the case of a
271 pushing-dominant wave as shown in the waveform of Figure 9a. However, for the case of pulling
272 dominant waves, dispersion has the opposite effect on the tsunami waveforms, increasing the maximum
273 tsunami height as shown in the waveform of Figure 9c and 9e. Our model couples the two factors of
274 directivity and dispersion to produce a higher tsunami near the coast in Figure 8.

275 Although tsunamis are also dispersive water waves, the effect of dispersion is often neglected when
276 creating tsunami hazard maps for earthquake-generated tsunamis. This is because the spatial
277 dimensions of the earthquake-generated tsunamis are much greater than the water depth, such that
278 dispersive effects are generally small. Dispersion is neglected, too, because of the difficulties of
279 dispersive tsunami calculations, which include high computational costs and the occurrence of
280 numerical instabilities resulting from the higher derivatives in space and time. The final and the most
281 important reason is that the non-dispersive calculations tend to predict higher tsunamis than dispersive
282 calculations, as shown in the waveform of Figure 9a. Although the non-dispersive model may
283 overpredict the maximum tsunami height, this conservative approach is acceptable for disaster
284 management agencies tasked with safeguarding lives and properties.

285 However, the spatial dimensions of submarine landslide tsunamis are smaller than those of
286 earthquake-generated tsunamis, such that the effects of dispersion may be significant. Moreover, the
287 strong directivity of effects related to submarine mass movements means that short-wavelength pulling-
288 dominant waves are typically directed landward and are amplified as an effect of frequency dispersion.
289 Accordingly, we strongly recommend using dispersive equations when modeling submarine landslide
290 tsunamis not only for accuracy but also for safety-related purposes.

291 292 *5.3. Risk assessment of submarine landslide tsunamis*

293 The magnitude of a tsunami caused by a submarine landslide is determined by the volume of the
294 landslide mass and its velocity. If a submarine mass movement is much slower than the phase velocity
295 of a tsunami, it cannot generate a tsunami. The two-layer flow model used in this study assumes that
296 there is no internal friction in the turbidity layer and thus predicts a high sliding velocity, which in
297 turn imposes a strong directivity on the tsunami and reinforces its amplitude. Our assumption of no
298 internal friction in this study is supported by the widespread deposit of slump sediment below the toe
299 of slump A and by the presence of well-developed gullies on the seafloor, implying that the seafloor
300 sediments are weak in the study area (Fig. 1b). Furthermore, an outcrop study has shown that
301 earthquake-induced liquefaction reduces sediments' shear strength such that the submarine landslide
302 mass moves at high speed (Yamamoto and Kawakami, 2014), with relatively small or negligible internal

303 friction.

304 Our detailed bathymetric map clearly shows that the continental slope has collapsed multiple times
305 and in multiple places. The overlapping configuration of submarine slumps A to D implies that they
306 collapsed as a series, the initial slump creating unstable slopes along its side scarps, which then led to
307 new failures in adjacent areas. Lateral migration of submarine landslides has been documented by
308 Yamamoto and Kawakami (2014), who identified at least five discrete failure masses with laterally
309 varying ages along the strike of the trough axis in the trench-slope basin sediments of the Chikura
310 Group in the Boso Peninsula. Similarly, an analog study (Yamada et al., 2010) also identified systematic
311 patterns of lateral migration of intermittent slope failures to adjacent locations. In conclusion, the
312 possibility of future collapses that would generate tsunamis should be a concern in the area of this
313 study.

314

315 **6. Conclusions**

316 Bathymetric surveys by TS *Fukae-maru* revealed details of four submarine landslides, here referred
317 to as A through D, about 25 km to the east of Cape Muroto, in the Nankai trough (Fig. 1). Their
318 headscarps are adjacent to each other on the continental slope at water depths between about 640 and
319 860 m, and their displaced volumes range from 0.4 to 4.8 km³. Slumps B through D are interpreted to
320 be relatively old based on evidence of deep gully incisions, multiple collapses, and the absence of
321 debris deposits below their toes. Submarine slump A, in contrast, is less incised by gullies, seems to
322 represent a single collapse, and has an intact debris deposit at its toe. The dimensions of this youthful
323 slump (Table 1) were used as input for simulations of a submarine landslide and the resulting tsunami.

324 The submarine slump was simulated with a two-layer flow model in which the upper layer is seawater
325 and the lower layer treats the sediment of the slump as a turbidity current. The simulated collapse
326 progresses at high speed down the seafloor slope and leaves widespread deposits as far as 30 km from
327 the origin after 1 hour of simulation time. The movement of this simulated slump was then applied to
328 the seafloor as a boundary condition for the tsunami simulation. The tsunami calculations used a
329 conventional one-layer shallow water model in JAGURS tsunami simulation software. The high-
330 velocity submarine mass movement is effective in generating a tsunami with strong directivity because
331 its motion is comparable to the tsunami phase velocity. A pushing (positive) tsunami wave propagates
332 seaward and a pulling (negative) wave propagates landward.

333 We also investigated the effects of dispersion on the modeled tsunami by solving the shallow water
334 equations with and without dispersion (Boussinesq) terms. Dispersion has a clear effect on the tsunamis
335 caused by submarine slumping because they arise from a small source and have small spatial
336 dimensions. For short-wavelength tsunamis with pulling-dominant waves, the maximum tsunami height
337 is amplified by the frequency dispersion. Accordingly, we strongly recommend the use of dispersive
338 equations to avoid underestimating the height of tsunamis caused by submarine landslides.

339

340 **Acknowledgements**

341 We deeply thank the captain, crews, researchers who served on the cruises of TS *Fukae-maru* cruises.
342 Discussions of the tsunami generation process with Dr. Tatsuhiro Saito were very fruitful. We thank
343 four anonymous reviewers and the editors, Dr. Kelin Wang, Dr. Eiichi Fukuyama, and Dr. Phil R.
344 Cummins, whose constructive comments improved the manuscript. This study was supported by Japan
345 Society for the Promotion of Science KAKENHI Grants JP15H05718, JP15K21682 and JP19H02409

346 and CHUBU ELECTRIC POWER CO. INC.

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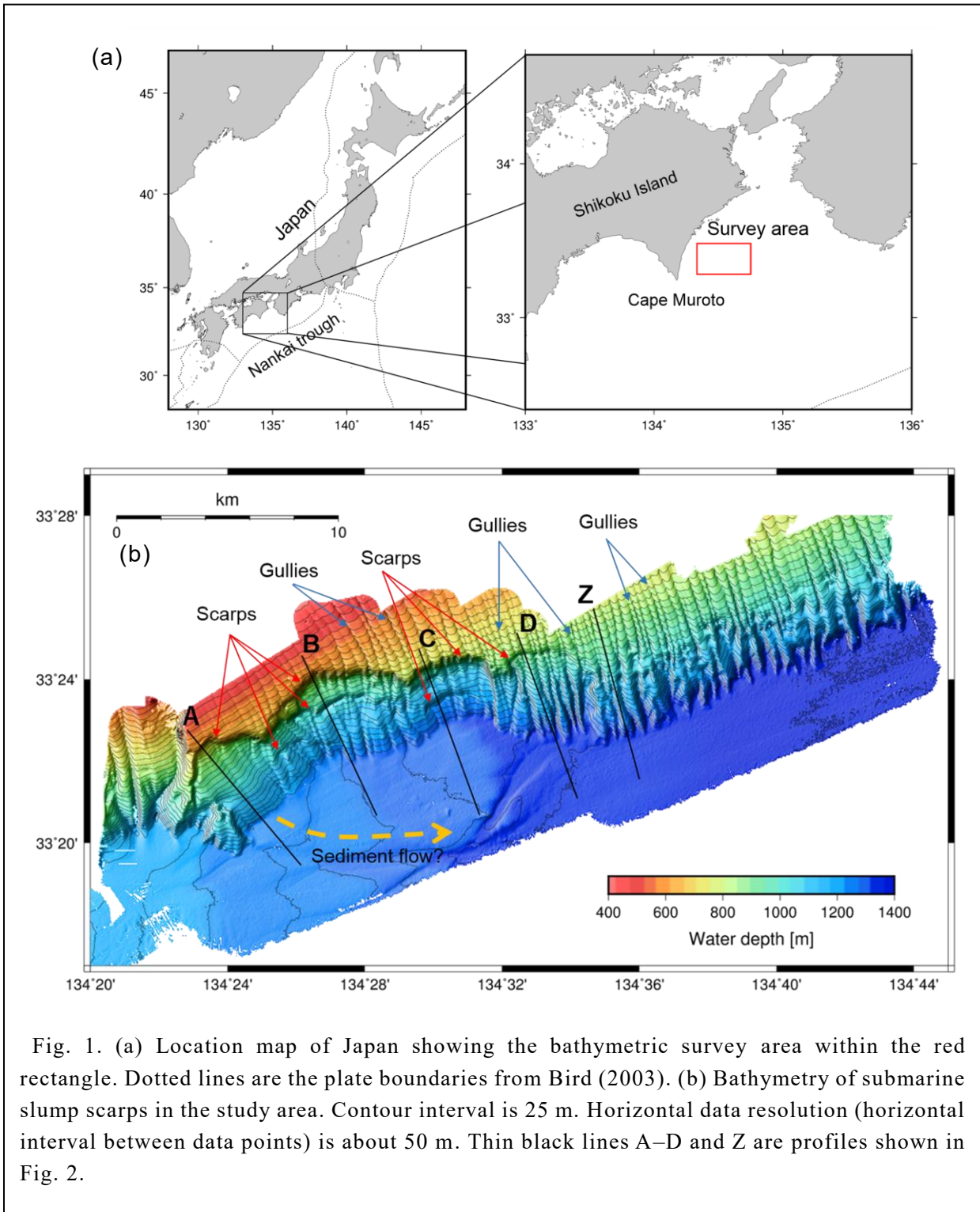


Fig. 1. (a) Location map of Japan showing the bathymetric survey area within the red rectangle. Dotted lines are the plate boundaries from Bird (2003). (b) Bathymetry of submarine slump scarps in the study area. Contour interval is 25 m. Horizontal data resolution (horizontal interval between data points) is about 50 m. Thin black lines A–D and Z are profiles shown in Fig. 2.

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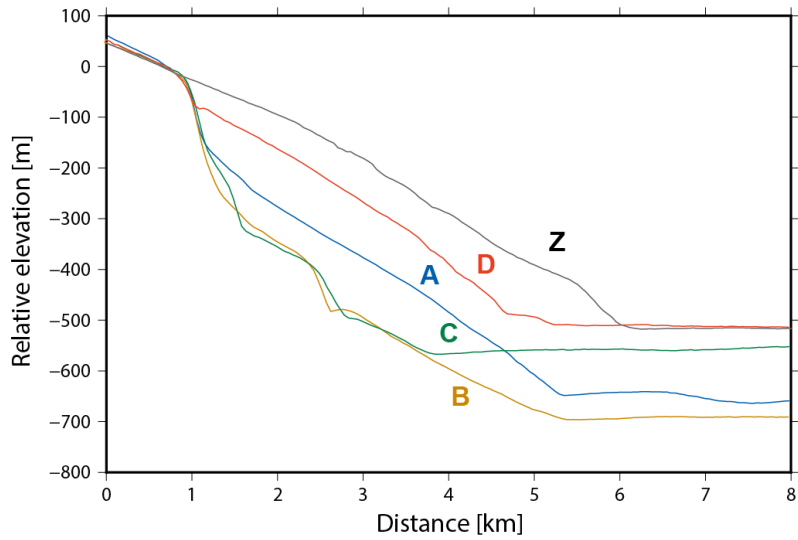


Fig. 2. Bathymetric (relative depth) profiles along the profile lines shown in Fig. 1b. The zero level in the vertical axis is the water depth at the headscarps.

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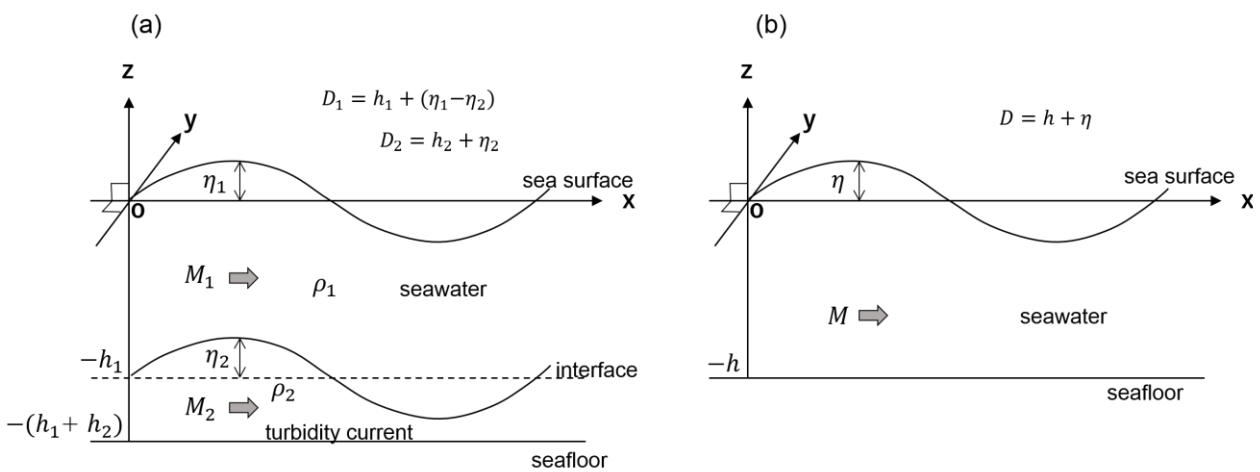


Fig. 3. Schematic diagrams showing the notation of (a) the two-layer flow model for equations (1)–(6), and (b) the one-layer flow model for equations (7)–(13).

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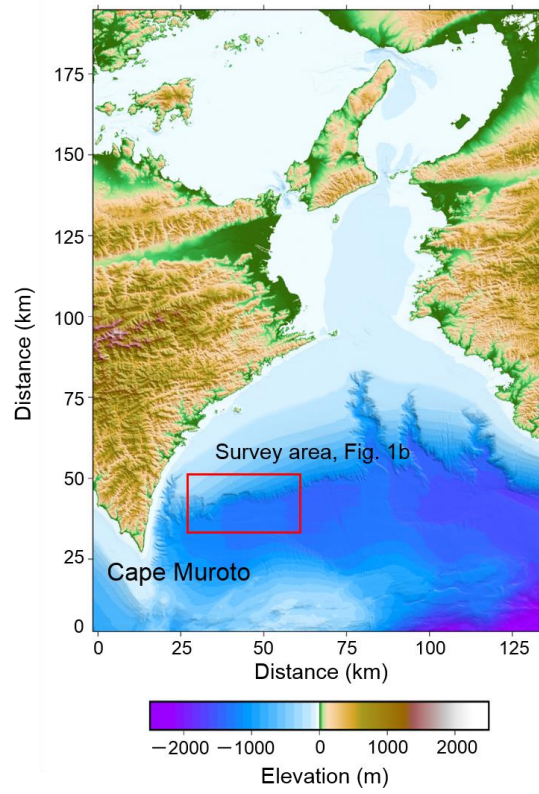


Fig. 4 Computational domain for modeling of submarine landslide and tsunamis

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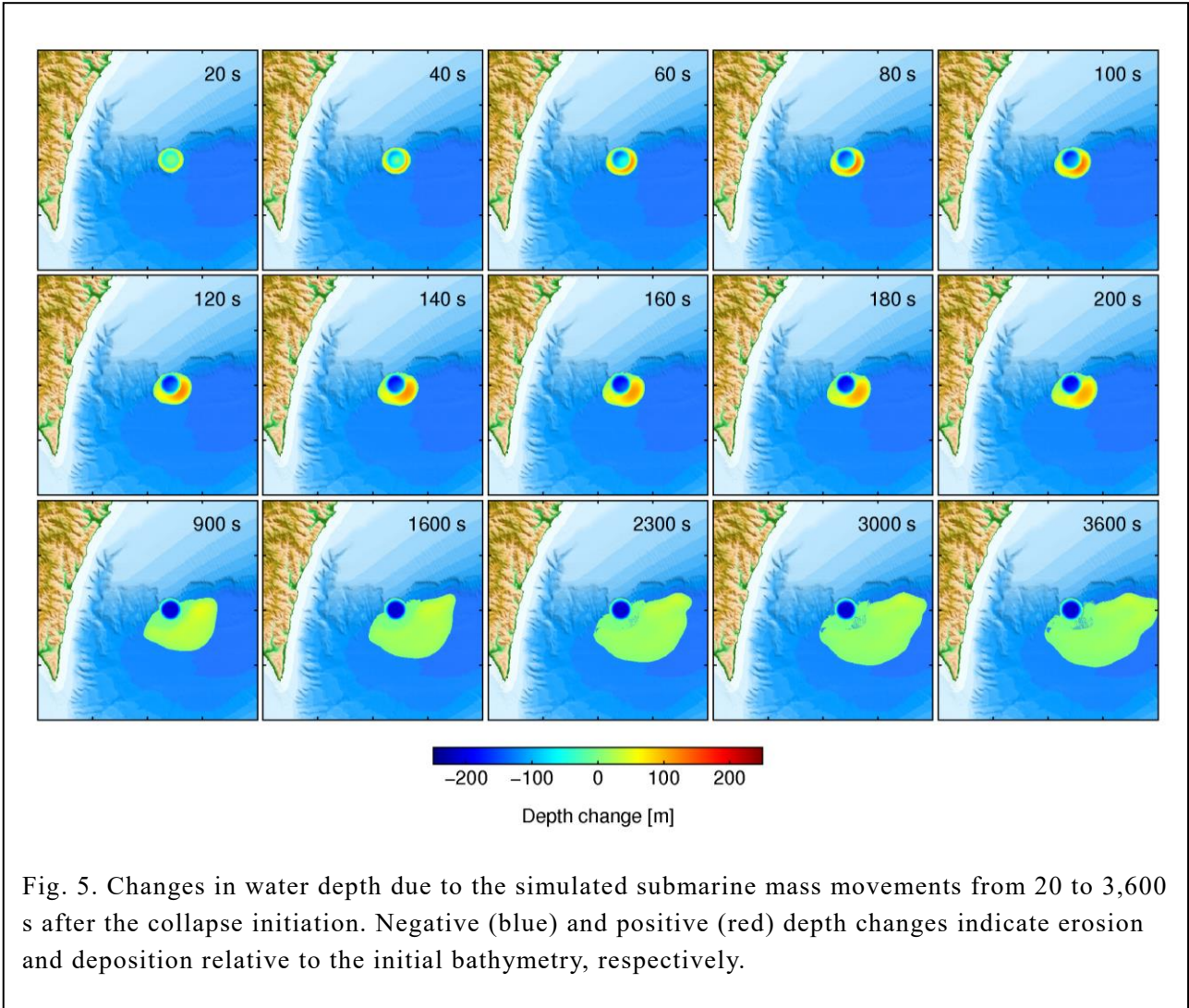


Fig. 5. Changes in water depth due to the simulated submarine mass movements from 20 to 3,600 s after the collapse initiation. Negative (blue) and positive (red) depth changes indicate erosion and deposition relative to the initial bathymetry, respectively.

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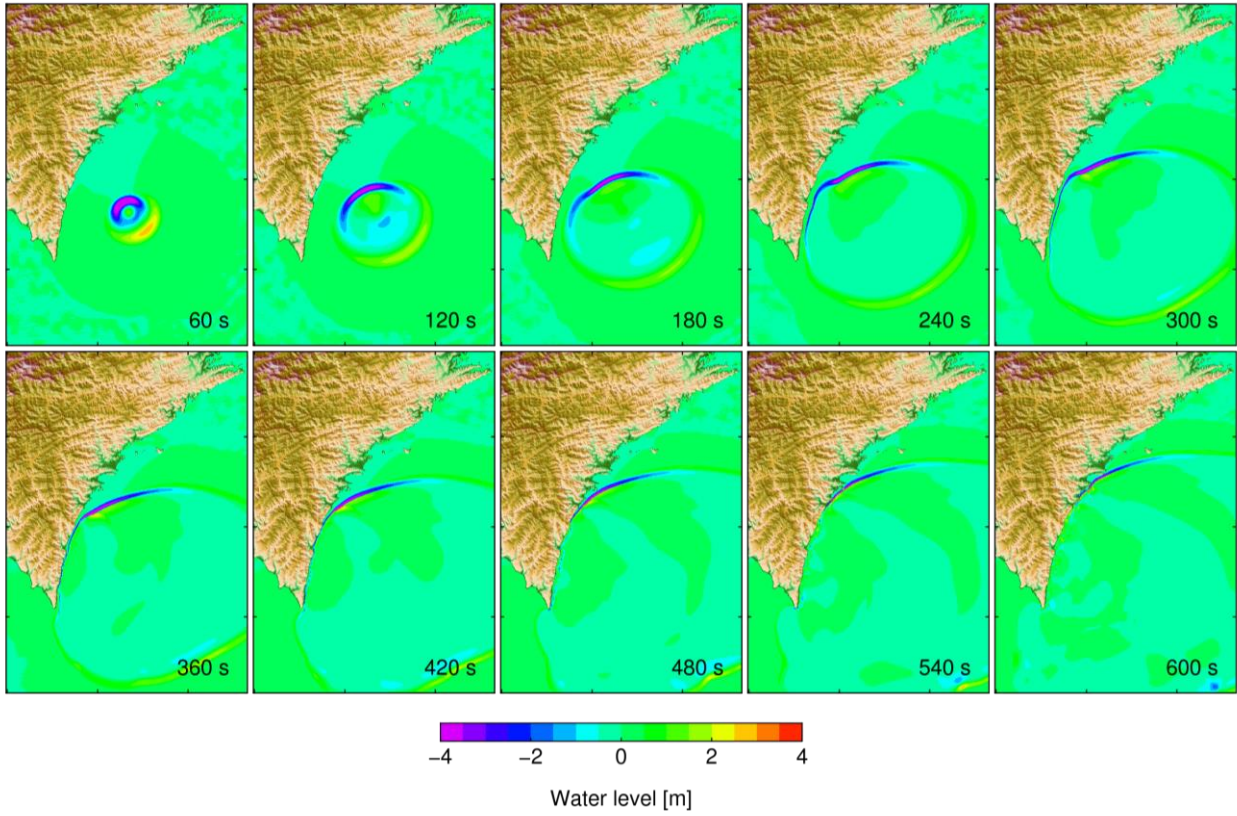


Fig. 6. Submarine landslide tsunami from 60 to 600 s calculated by shallow water equations without dispersion terms.

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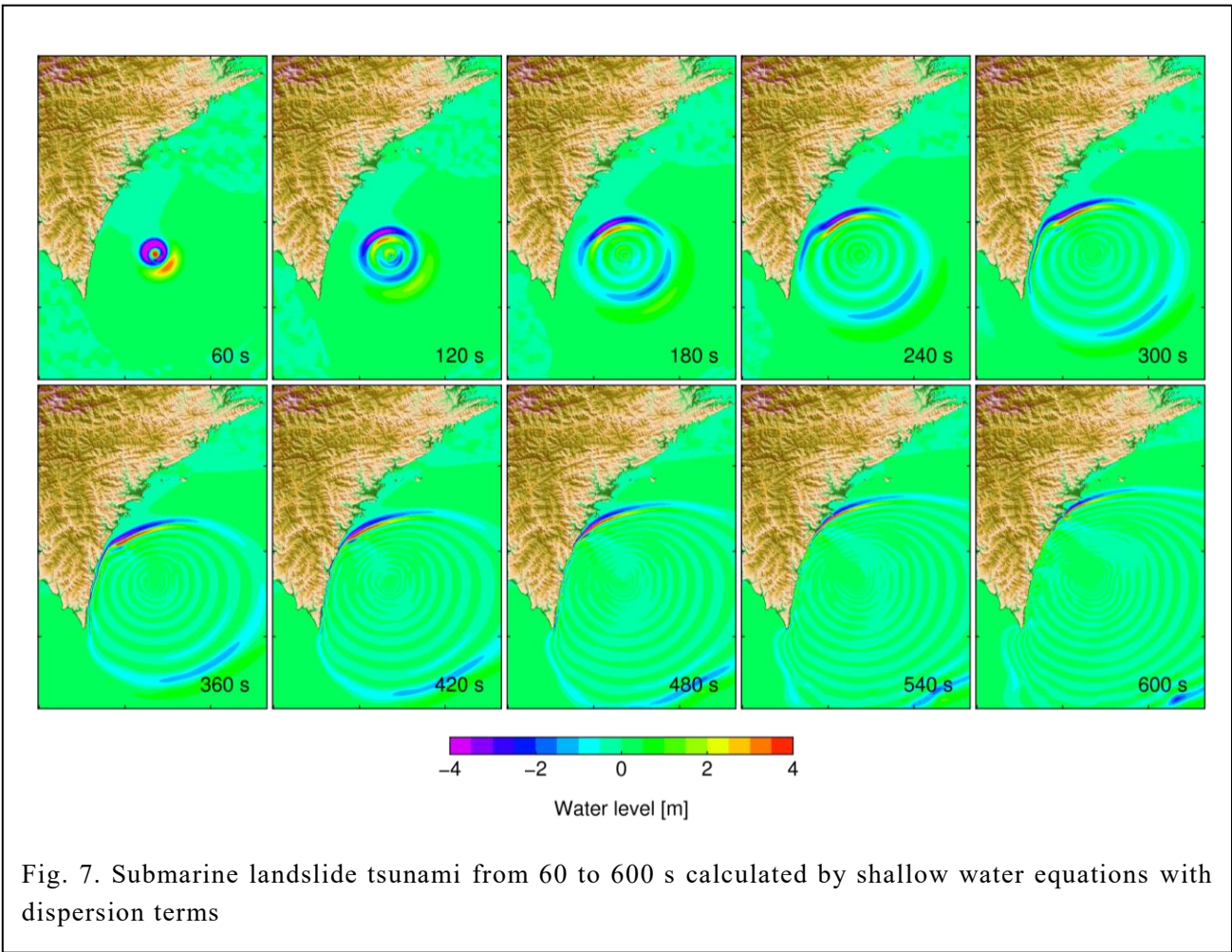


Fig. 7. Submarine landslide tsunami from 60 to 600 s calculated by shallow water equations with dispersion terms

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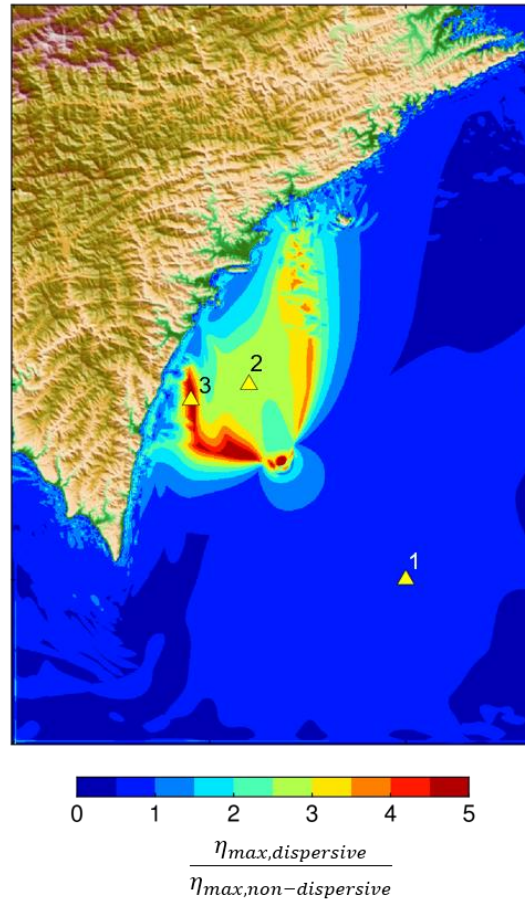


Fig. 8. Ratio of maximum tsunami heights calculated by shallow water equations with dispersion terms to those calculated without dispersion terms. Triangles indicate locations of imaginary gauges corresponding to simulated waveforms shown in Fig. 9.

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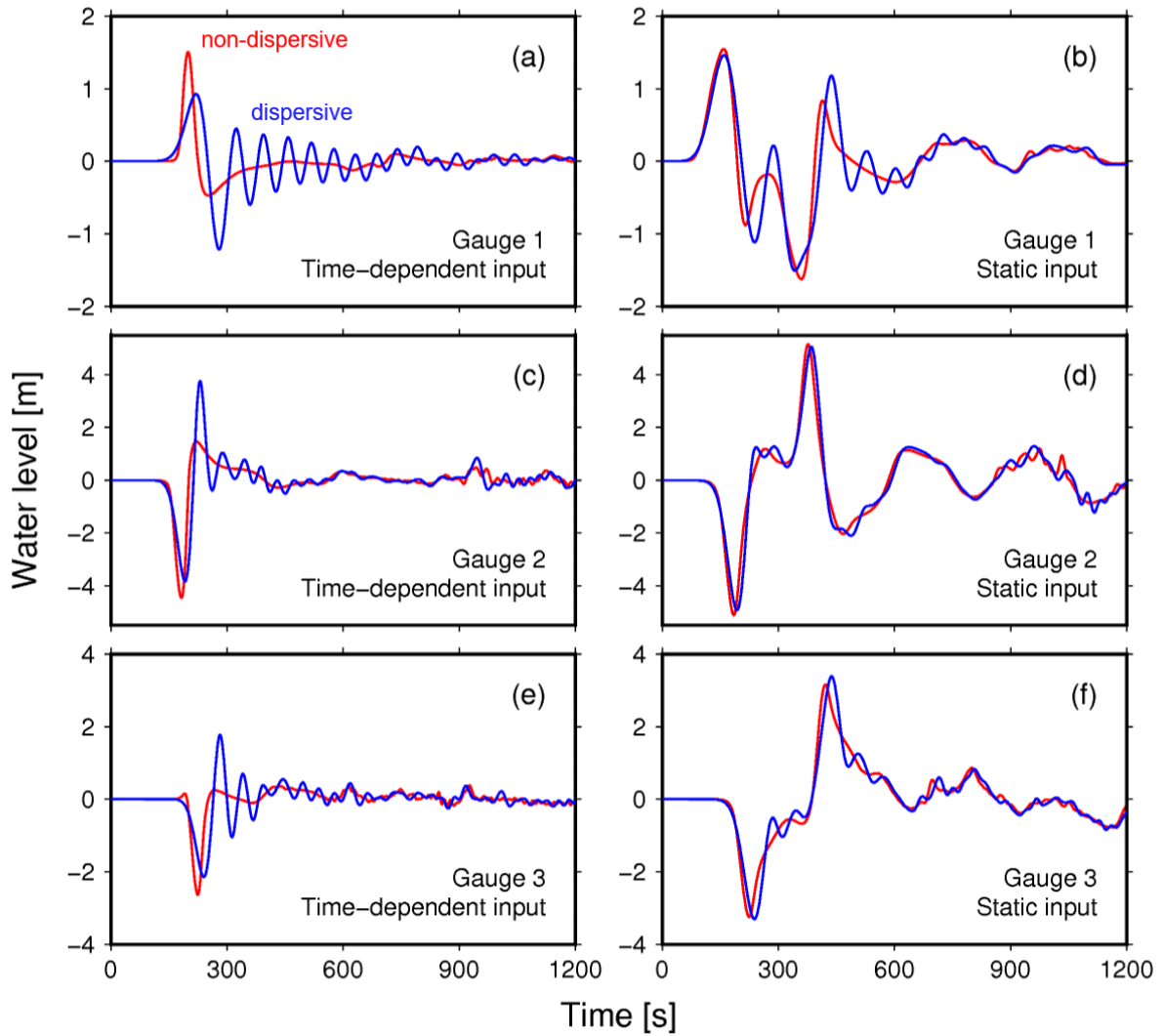


Fig 9. Calculated tsunami waveforms for the three imaginary gauges shown in Fig. 8. Red curves are calculated without dispersion terms and blue curves are calculated with dispersion terms. Left-hand panels (a, c, and e) and right-hand panels (b, d, and f) are calculated with time-dependent and static inputs, respectively.

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Table 1. Slump parameters

Slump (Fig. 2)	A	B	C	D
Water depth of headscarp	660 m	640 m	760 m	850 m
Headscarp width (km)	4.2 km	6.9 km	3.7 km	3.2 km
Length (km)	4.2 km	4.2 km	2.7 km	2.9 km
Estimated thickness	210 m	280 m	280 m	90 m
Slope angle	7°	5°	4°	6°
Estimated volume	1.9 km ³	4.8 km ³	1.3 km ³	0.4 km ³

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