

# CHAARM: A model to predict uncertainties in indoor pollutant concentrations, ventilation and infiltration rates, and associated energy demand in Chilean houses

Constanza Molina<sup>a,b,\*</sup>, Benjamin Jones<sup>a</sup>, Ian P. Hall<sup>c</sup>, Max H. Sherman<sup>d,a</sup>

<sup>a</sup>*Department of Architecture and Built Environment, University of Nottingham, Nottingham, UK*

<sup>b</sup>*Escuela de Construcción Civil, Pontificia Universidad Católica de Chile, Santiago, Chile*

<sup>c</sup>*Division of Respiratory Medicine, School of Medicine, University of Nottingham, Nottingham, UK*

<sup>d</sup>*Lawrence Berkeley National Laboratory, Energy and Performance of Buildings Group, EETD, Berkeley, CA, USA*

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## Abstract

The housing stock of Chile is responsible for 15% of its total final energy consumption and so its Government is regulating the construction of dwellings. However, there is a need to establish models to help governments determine sensible guidance. This paper presents the Chilean Housing Archetypes AiR quality Model (CHAARM) and a stochastic framework for predicting uncertainties in indoor pollutant concentrations, ventilation and infiltration rates, and associated energy demand during the heating season. Pollutant sources are PM<sub>2.5</sub> emitted by cooking and unflued heaters present in 80% of houses.

CHAARM predicts that 66% of dwellings have a daily mean PM<sub>2.5</sub> concentration below the WHO 24-hour guideline value of 25  $\mu\text{g}/\text{m}^3$ , even if their

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\*Corresponding author

*Email address:* `cdmolina@uc.cl` (Constanza Molina)

windows are always closed. Houses are not found to be airtight and 60% have  $Q_{50} > 10 \text{ m}^3 \text{ h}^{-1} \text{ m}^{-2}$ . Dwelling ventilation and infiltration heat loss is estimated to be 0.25–42.3 MWh with 90% confidence, and to account for at least 15% of the estimated total energy demand of the stock. Therefore, many houses require remediation measures to improve their airtightness and to reduce their annual space heating demand. However, to avoid negative health effects from exposure to  $\text{PM}_{2.5}$ , kitchen ventilation, such as a cooker hood, should be installed and unflued heaters should be replaced.

*Keywords:*

indoor air quality, uncertainty, housing stock, policy, exposure, open-source, stochastic, Monte Carlo

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## Highlights

- A probabilistic model and modelling framework to evaluate a housing stock is presented
- Uncertainties are predicted for exposures to  $\text{PM}_{2.5}$ , ventilation rates, and energy losses
- $\text{PM}_{2.5}$  emission rates and envelope leakage affect exposures the most

## 1. Introduction

A person's total exposure to airborne pollutants is a function of their time spent, and the concentrations found, in different micro-environments [1]. On average, people in countries like the UK and USA spend most of their time in

5 their own houses [2, 3] and so pollutant concentrations in dwellings may have  
6 a greater influence on total personal exposures than outdoor concentrations.

7 The need to reduce the energy demand and equivalent carbon emissions  
8 ( $\text{CO}_2e$ ) of dwellings can lead to unintended consequences [4]; for example,  
9 decreasing ventilation or infiltration rates can be detrimental to indoor air  
10 quality. Therefore, this phenomenon must be accounted for when interven-  
11 tions are applied to stocks of houses to avoid negative health effects at a  
12 population scale.

13 Methods for studying indoor air quality and the energy demand can be  
14 classified into two groups. Firstly, *direct* methods include monitoring or field  
15 measurements using personal or stationary equipment, and biomonitoring  
16 using biomarkers. Secondly, *indirect* methods comprise modelling and simu-  
17 lation techniques. Indirect approaches are often preferred to direct methods  
18 because they are less invasive and cost and time prohibitive than monitoring  
19 [5].

20 Although the indoor air quality and energy demand of a stock of houses  
21 can be modelled with a high level of accuracy [6], there are inevitable uncer-  
22 tainties in predictions. These are a function of the model itself, the hetero-  
23 geneity of studied scenarios, and the random variation in inputs value, either  
24 due to lack of knowledge or natural randomness. Distributions of outputs can  
25 be generated that account for the uncertainty in model inputs when models  
26 are used with an appropriate statistical framework [7, 8], and the use of rep-  
27 resentative buildings can be used to reflect the variability between different  
28 groups [9, 10]. Additionally, sensitivity analyses can be used to determine the  
29 nature of the relationships between each of the inputs and the outputs, and

30 to identify those that are the most important [7, 8]. Finally, the predictions  
31 can then be used to direct future research when sensitive inputs are of low  
32 quality, or to investigate the effectiveness and consequences of interventions  
33 [10, 11]. These techniques can be applied to any housing stock where there  
34 is sufficient data to generate archetypes.

35 Chile is a South American country whose territory traverses at least nine  
36 different climates [12], and whose >6 million houses have characteristics that  
37 vary according to the local weather conditions and the availability and af-  
38 fordability of building materials and energy resources. The variability of the  
39 Chilean housing stock has been studied previously and a series of representa-  
40 tive *archetypes* have been developed by dividing the stock into groups where  
41 houses share similar properties, and each group weighted so that it represents  
42 a proportion of the whole stock<sup>1</sup> [13].

43 Logue *et al.* [14] evaluated the health impacts of a range of indoor pollu-  
44 tants in dwellings and identified fine particulate matter with a diameter of  
45  $\leq 2.5 \mu\text{g}/\text{m}^3$  (PM<sub>2.5</sub>) as the most harmful by an order of magnitude. Cook-  
46 ing has been identified as an important source of PM<sub>2.5</sub> in houses all over  
47 the world [15, 11], although its effects have not yet been investigated widely  
48 in Chilean houses. Additionally, 80% of Chilean houses use stoves for space  
49 heating, where 42% are fuelled by wood, 24% by bottled LPG, propane, and  
50 butane gases, and 10% by paraffin. The high use of wood is attributable to  
51 cultural and historical reasons, and to its low cost when compared to gas or  
52 electricity[16]. The remaining 20% of houses do not have a specific heating

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<sup>1</sup>The code is available under a creative commons license from DOI:  
10.13140/RG.2.2.16242.15041

53 system, using either charcoal, electricity, or solar energy [17]. Many stoves  
54 are unflued and so their contribution to total exposure is thought to be sig-  
55 nificant during the heating season [18]. It is clearly important to quantify  
56 how cooking and heating stoves affect the air quality in Chilean houses, and  
57 to understand how potential remediation measures, such as trickle vents, or  
58 opening windows, might help to dilute  $PM_{2.5}$ .

59 To do this, a wide ranging field survey is required. However, in the short  
60 term, a model of the Chilean housing stock could be used to predict uncer-  
61 tainty in pollutant concentrations that can be compared against international  
62 benchmarks [19] and used to identify potential impacts on population health.

63 This paper continues the work of Molina *et al.* [13] by developing the  
64 Chilean Housing Archetypes AiR quality Model (CHAARM). CHAARM is  
65 used to predict uncertainties in indoor pollutant concentrations, ventilation  
66 and infiltration rates and their associated energy demand, and the sensitivity  
67 of the model to its inputs. Its outputs can then be used to guide future  
68 interventions and field surveys.

69 The CHAARM model, its inputs, and statistical framework are described  
70 in Section 2 and a flowchart is shown in Figure 1. Section 3 presents and dis-  
71 cusses its predictions, and Section 4 evaluates the sensitivity of CHAARM's  
72 predictions to its inputs.

## 73 **2. Developing CHAARM**

74 Stock models create a framework that can be used to evaluate and develop  
75 regulations and interventions for buildings, and the estimated outcomes can  
76 be compared against suitable indicators. Given the level of detail and quality

77 of available data, this investigation is limited to ventilation, infiltration, and  
78 pollutant transport; see [13]. Indoor air quality is generally worse during the  
79 heating season because occupants choose to preserve their thermal comfort  
80 and minimize energy costs by closing their windows to minimize ventilation.  
81 Therefore, the models are simulated for the astronomical winter period be-  
82 tween June 21st and September 21st. There are no reported measurements  
83 of window opening behaviour in Chilean houses at the stock scale. Therefore,  
84 two extreme scenarios are considered: (i) an all *windows open* scenario and  
85 (ii) an all *windows closed* scenario.

### 86 2.1. Model of ventilation, Infiltration, and pollutant transport

87 CONTAM [20] is a freely available multi-zone indoor ventilation and pollu-  
88 tant transport tool that models airflows between a building and its external  
89 environment, and between its zones. It has been validated by comparing  
90 its performance against other modelling tools [21], against measurements in  
91 controlled environments [22], and against field studies [7]. It has been used  
92 to model different types of building [23] and for evaluating input parameters  
93 [24] and pollutant concentrations [25, 26, 27]. CONTAM is selected over other  
94 tools because it can include multiple pollutants, multiple sources and sinks,  
95 and has 12 different emission and removal models. Therefore, many different  
96 species can be modelled simultaneously giving a more detailed representation  
97 of the indoor air.

98 CONTAM is not a dynamic thermal model and so indoor air temperatures  
99 must either be specified (see Section 2.3) or CONTAM must be coupled with  
100 a dynamic thermal model, such as EnergyPlus [21]. A dynamic ther-  
101 mal model can provide some predictive advantages [28], but the material

102 properties required to model heat transfer in Chilean houses are unknown.  
103 Therefore, CONTAM is used in isolation.

104 Molina *et al.* [13] identified 496 common Chilean archetypes and the  
105 parameters required to describe them, showing that there is a law of de-  
106 preciating returns when increasing the number of archetypes to increase the  
107 representativeness of the stock. Increasing the number of archetypes also in-  
108 creases the modelling and computational time and so a trade-off is required.  
109 We modelled eight archetypes in CONTAM to represent 35% of the national  
110 stock, which is predicted to be a medium representation of the stock by an  
111 *effect size* statistic [29]. The CONTAM models were manipulated using be-  
112 spoke R code<sup>2</sup>. An example model of an archetype is shown in Figure 2a,  
113 which gives the layout of the first of the eight archetype, and in Figure 2b,  
114 which shows its implementation in CONTAM graphical user interface. For  
115 brevity, the details of each archetype are not given here, but an in-depth  
116 discussion of their parameters can be found in [13]. Rooms are represented  
117 as well-mixed zones indicated by squares, and airflow paths are indicated by  
118 diamonds. A well-mixed zone is a zone with discrete temperature, pressure,  
119 and contaminant concentration—where emissions are from a point source  
120 and instantaneously and homogeneously mixed [20]. Model inputs are de-  
121 scribed in Section 2.2. The procedures used to explore uncertainty in both  
122 the archetypes and their inputs, and to extrapolate predictions to the entire  
123 Chilean housing stock, are described in Sections 2.7–2.9.

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<sup>2</sup> The R code is available under a creative commons license from DOI:  
10.13140/RG.2.2.12641.04963.

124 *2.2. Dwelling parameters*

125 Dwelling properties, such as window opening area and opening sched-  
126 ules, are considered to be variables and were manipulated by the code. For  
127 simplicity, each archetype has fixed room volumes and floor areas, but the  
128 properties of each airflow path are variables. The models were simulated  
129 probabilistically to estimate uncertainty in predictions.

130 *2.2.1. Airflow paths*

131 Windows are modelled as sash types with a rectangular cross-section and  
132 a fixed cross-sectional area using the one-way orifice equation following [7,  
133 20]. Open internal doors are modelled using the two-way flow two-opening  
134 model following [20], with a discharge coefficient of 0.78 [30] and its relative  
135 elevation is at the bottom of the door [7, 31]. Closed doors are modelled by  
136 a one-way flow power law as rectangular sections with a discharge coefficient  
137 of 0.68 and a flow exponent,  $n$ , of 0.5 [32].

138 In Chile, wall mounted extractors fans commonly have a minimum airflow  
139 rate of  $48\text{ l s}^{-1}$  in kitchens and  $14\text{ l s}^{-1}$  in bathrooms and so these rates are  
140 applied here uniformly. All bathrooms have an extractor fan and a window.  
141 Kitchens have an extractor fan and an air vent with an area of  $100\text{ cm}^2$  to  
142 help dilute combustion gases and comply with Chilean Standard DS No. 66  
143 [33]. Fans operate for both window opening scenarios according to fixed  
144 schedules; see Section 2.6.1.

145 All façades are assumed to be uniformly porous and the pressure dis-  
146 tribution over vertical surfaces is assumed to be linear [8, 34]. Airleakage  
147 paths (ALPs) are modelled using a power law model and flow exponents are  
148 sampled from a normal distribution truncated between 0.5 and 1,  $N(0.651,$



149 0.077), following [35]. Distributions of air permeability,  $\dot{Q}_{50}$  ( $\text{m}^3 \text{h}^{-1} \text{m}^{-2}$ ),  
150 have been derived for each geographic region and grouped by climatic zone;  
151 see Section 2.2.2 and Figures 5a and 5b. A value of air permeability is applied  
152 to each ALP as a function of surface area and the number of ALPs located  
153 in each wall. Party walls are assumed to be impermeable.

154 A single ALP is located in the floor and ceiling of each room [8], although  
155 the lower floor of each archetype is considered to be impermeable because  
156 they are assumed to be solid; see Section 2.2.2. However, the ALPs remain  
157 in the model so that they can be applied if they are required in the future.  
158 Each wall of a room has three ALPs located in at its foot, mid-point and  
159 top [34]. Blower door test simulations at 50 Pa were used to validate each  
160 model.

### 161 2.2.2. Envelope air permeability

162 There are important differences in construction practices in houses built  
163 before and after 2008 (see [13]) and the groups are expected to perform  
164 differently. Therefore, two different distributions of air permeability were  
165 developed; one for each construction period.

166 There is very limited data for Chilean houses and so the U.S multivariate  
167 regression model [36] is used to predict a distribution of Normalised Leakage,  
168  $NL$ , for Chilean houses built either before or after 2008, using the empirical  
169 data presented in [13] and following the procedure used by Chan *et al.* [36].  
170 Here, the natural log of  $NL$  is given by

$$\ln(NL) = \beta_{area} \cdot Area + \beta_h \cdot H + \beta_{year} \cdot I_{year} + \beta_{LI} \cdot I_{LI} + \beta_e \cdot I_e + \beta_{cz} \cdot I_{cz} + \beta_{floor} \cdot I_{floor} \quad (1)$$

171 where  $Area$  is the house floor area ( $\text{m}^2$ ),  $H$  is the house height (m),  $I_{year}$  is  
 172 the house construction year category,  $I_{LI}$ , and  $I_e$  is the energy performance  
 173 corresponding to low-income (LI) and energy efficient houses respectively,  
 174  $I_{cz}$  is the climate zone, and  $I_{floor}$  is the air leakage of the house floor.

175 Data for Chilean houses is used when they are known; for example, floor  
 176 area and climate zone. The  $I$  terms are assigned a value that best represent  
 177 the stock, or a value of 1 if true or 0 if not, where appropriate.

178 The distribution of floor areas is estimated using the number of rooms  
 179 and their floor areas given in [13]. Here, only the median,  $79 \text{ m}^2$ , is retained  
 180 as the best measure of central tendency. Building height is assumed to be  
 181  $3 \text{ m}$  ( $2.5 \text{ m} + 0.5 \text{ m}$  for roof space), following [36].

182 Due to the differences in construction practices and standards between  
 183 Chile and the U.S. and the lack of information on the prevalence of energy ef-  
 184 ficient houses in the stock,  $I_{LI}$  and  $I_e$  are assumed to be 1 and 0, respectively,  
 185 so that they are considered to be equivalent to low-income U.S. houses.  $\beta_{floor}$   
 186 is set to assume that all houses have a concrete slab due to the lack of reliable  
 187 data.

188 Climate was one of the most influential parameters in the Chan model.  
 189 The International Energy Conservation Code (IECC) classification is used to  
 190 match the Köppen classification [12] for Chilean and the USA climate zones,  
 191 and to associate a  $\beta_{cz}$  with each region; these are given in the Supplementary

192 Materials. In order to find the  $\beta_{year}$  coefficient that best fit the empirical  
193 data, all estimates of  $\beta$  given in [36] are retained except for  $\beta_{year}$ . The  
194 results of  $\beta_{year}$  (SE) for houses built before and after 2008 are 3.490 (0.719)  
195 and 1.469 (0.845), respectively.

196 To obtain a national distribution, floor areas for each climate zone were  
197 sampled in sets using the Monte Carlo method. The accuracy of the predic-  
198 tion improves with the sample size, and so the sample was increased incre-  
199 mentally by the size of each set until the absolute differences of the mean  
200 ( $\mu$ ) and standard deviation ( $\sigma$ ) between one set of samples and the previous  
201 set was less than  $1e-6$ . To compare two bands of construction year, two  
202 different data sets are used; one for *old* houses and one for *new*. The model  
203 predicts *NL* 95% CI [9.91 – 106.59] for old houses and 95% CI [1.39 – 15.90]  
204 for new houses. Figure 3 shows both distributions.

205 To generate separate distributions of *NL* for each climate zone, the same  
206 method is conducted by sampling random values from the normal distribution  
207 of each  $\beta$  coefficient shown in [36].

208 Finally, a comparison between the *NL* distributions predicted by the  
209 model and the empirical data presented in Molina *et al.* [13] is carried out to  
210 evaluate the performance of the model; see Figures 4a and 4b. For old houses,  
211 linear regression between the measured and predicted indicates a *strong* or  
212 *high* correlation (coefficient of determination  $R^2$  of .62 and a correlation co-  
213 efficient  $R$  of .79 [37], 95% CI [.70 – .85]). Similarly, *NL* predictions for new  
214 houses have  $R^2$  of .57 and a  $R$  of .75, 95% CI [.66 – .83].

215 *2.3. Indoor environment*

216 Indoor air temperatures must be specified but there are no studies of in-  
217 door air temperatures found in Chilean houses of sufficient quality. Therefore,  
218 they are sampled from a normal distribution of  $N(21.1^{\circ}\text{C}, 2.5^{\circ}\text{C})$ , following  
219 Shipworth *et al.* [38] who determined these values from measurements made  
220 in a representative sample of 196 English dwellings, and because it is used  
221 elsewhere [8]. It is clear that there is significant uncertainty in this param-  
222 eter, that these temperatures are likely to be different from those found in  
223 Chilean houses, and that they may overestimate them. Therefore, the ap-  
224 propriateness of its application is discussed generally in Section 3 and the  
225 sensitivity of the model to indoor air temperature is tested in Section 4.

226 Air temperatures are constant and identical in each room and so they are  
227 not included in the daily and weekly schedules; see Section 2.6.1.

228 *2.4. Outdoor environment*

229 Chile is divided into 15 geographical regions. The latitude and longitude,  
230 altitude, and the main climatic zone for each regional capital city are used  
231 as the location of each modelled archetype.

232 Weather data is obtained from Meteonorm files [39] and used to represent  
233 each region for the heating season. Atmospheric pressure (Pa) is calculated  
234 as a function of the altitude of the nearest capital city following [40].

235 The meteorological wind speed is modified by the location of each house  
236 and calculated following [40]. Because the terrain type is unknown, it is  
237 randomly sampled as a function of an urban to rural ratio [13]. Wind pressure  
238 coefficients,  $C_p$ , are calculated using the Swami and Chandra model [41],  
239 following [8, 7]. House orientation is an unknown and so is assumed to be a

240 uniform random variable between  $0^\circ$  and  $360^\circ$ . The sensitivity of the model  
241 to orientation is tested in Section 4.

## 242 *2.5. Pollutants*

243 Cooking and space heating have been identified as primary sources of  
244  $\text{PM}_{2.5}$ ; see Section 1. Both the background and internal initial concentrations  
245 are assumed to be zero so that only the contribution of indoor sources to the  
246 total exposure are estimated.

247 CONTAM requires an emission rate and a deposition rate for all pollutants,  
248 and an emission rate schedule when they are not emitted continuously.

249 This study only accounts for the dynamic processes of aerosols and gases  
250 associated to emissions from primary sources and their deposition onto in-  
251 door surfaces. Losses are also possible through purpose provided openings,  
252 exfiltration, and mechanical extract fans without recirculation.

253 It is important to model the potential loss of indoor particles due to  
254 their deposition onto, or their reaction with, indoor surfaces. Therefore,  
255 a probability distribution of deposition rates reported in the literature are  
256 sampled from a normal distribution of  $0.39 \pm 0.16$  ( $\text{h}^{-1}$ ) [42], truncated at  
257 the origin.

258 Finally, we do not account for outdoor  $\text{PM}_{2.5}$  and so they do not con-  
259 tribute to indoor concentrations. The consequences are discussed in Sec-  
260 tion 3.

### 261 *2.5.1. Emission rates from cooking*

262 A synthetic cumulative distribution function of emission rates is devel-  
263 oped to model the uncertainty in the emission rates from cooking. Data from

264 four studies [43, 44, 45, 46] reporting PM<sub>2.5</sub> emission rate means and stan-  
265 dard deviations, or an emission rate for an individual test, is combined using  
266 bootstrapping by assuming that each rate is equally likely. This distribution  
267 can be updated in the future as more data becomes available.

268 Cooking emissions need to be matched to the daily activities of occupants.  
269 Therefore, meals are classified into two groups: emissions from *toasting bread*  
270 are classified as *breakfast*, and all other eating activities are classified as *main*  
271 *meals*.

272 The distribution of emission rates for main meals ( $N = 15,650$ ) has  $\tilde{\mu} =$   
273  $2.56 \text{ mg min}^{-1}$ ,  $\sigma = 4.4 \text{ mg min}^{-1}$ , and 90% CI [0.047 – 15.2]  $\text{mg min}^{-1}$ . The  
274 breakfast distribution ( $N = 4,165$ ) has  $\tilde{\mu} = 4.32 \text{ mg min}^{-1}$ ,  $\sigma = 7.42 \text{ mg min}^{-1}$ ,  
275 and 90 % CI [0.072 – 21.77]  $\text{mg min}^{-1}$ . Emission rates are assumed to be con-  
276 stant during each cooking event [15].

### 277 2.5.2. Emission rates from heating

278 Six common types of heaters are used in Chile that burn gas, paraffin,  
279 and wood [18]. Their PM<sub>2.5</sub> emission rates into the indoor environment have  
280 been measured by [18]; see Supplementary Material for emission rates and  
281 distribution in the stock. The prevalence of each type of heating fuel varies  
282 across the country [13] and so the emission rate is assumed to be a constant  
283 determined by the fuel type and measurements, following the probability of  
284 presence of each heater type allocated by region; see Supplementary Material.

285 The total number of heating hours per day corresponds to those where the  
286 outdoor temperature is below 16°C, an equilibrium temperature commonly  
287 used to derive degree-days. This is calculated using the Meteonorm 7.0  
288 weather files [39]. If the indoor temperature in unheated houses is assumed

289 to be approximately 3°C above the external temperature, following [8], then  
290 the average time the indoor temperature is below 16°C during the winter  
291 season can be calculated and is given in the Supplementary Material.

## 292 *2.6. Occupancy and activity data*

293 The duration of emissions and activities in houses is derived from the  
294 2015 ENUT national survey [47, 13]. Heating and cooking activities are  
295 derived for week days and weekends. Nationwide, cooking activities have  
296 a mean duration of 1 h 06 min on weekdays and 1 h 12 min at weekends.  
297 Average sleep durations are used nationally but are also given regionally in  
298 the Supplementary Material.

299 ENUT allows activities to be related to different room, such as *cooking*  
300 to the kitchen or *sleeping* to the bedroom, so that the total time spent in  
301 each room can be calculated. The ratio of the time a household spends in  
302 the kitchen, bedroom, and family room is 10 : 38 : 52, respectively. A similar  
303 ratio has been used by modelling studies[10] and to adjust exposure estimates.  
304 The application of occupancy patterns is discussed in Section 2.8.1.

### 305 *2.6.1. Activity schedules*

306 Archetypes occupants generally comprise 2 adults and 0–3 children; see  
307 [13]. In the example shown in Figure 2a, the household comprises 2 adults  
308 and 2 children. A fixed daily schedule is developed for each room and source  
309 using the data presented in Section 2.6 to account for the use of different  
310 rooms and to calculate occupant exposures.

311 Sleep duration follows the national average and is applied to the entire  
312 Chilean population. Consequently, sleeping is scheduled from 11:00 pm to

313 6:21 am for weekdays and from 12 am to 7:57 am for weekends.

314 Meal preparation is assumed to have a duration of 1 h on week days and  
315 1 h at weekends. Meals are eaten immediately after cooking and last for an  
316 hour.

317 The kitchen fan is considered *ON* when cooking a meal and remains on  
318 for one hour while the meal is eaten. The Bathroom fans is considered *ON*  
319 during *showering* and *dressing*. These activities are informed by ENUT [47].

320 The heater is considered to be *ON* from 7 am, and functions for the same  
321 number of hours every day during the winter season. The heating duration  
322 is a function of location; see the Supplementary Material.

323 Bedroom doors are open during the day and closed at night. The kitchen  
324 door is closed except when cooking following [48]; see Section 2.6.1. Doors  
325 are never partially opened.

### 326 *2.7. Sampling method*

327 The sampling method follows that described by [7, 8, 11]. The model re-  
328 quires input variates that are specified deterministically, or are described  
329 by discrete or continuous probability distributions. They are applied to  
330 CONTAM, which then predicts pollutant concentrations at time intervals of  
331 10 minutes during the winter season. These are used to calculate the winter  
332 average concentrations in the kitchen, bedrooms, and in the family room,  
333 which are weighted by the daily time the cook householder spends in each  
334 of them using the room ratio defined in Section 2.6 to give a room-weighted  
335 average (RWA) pollutant concentration. The RWA is then used to check  
336 for convergence. By systematically varying the variates and running multi-  
337 ple simulations, distributions of output variables are generated that quantify



338 uncertainty in them.

339 There are 8 probabilistic inputs: Block aspect ratio,  $\Delta$  temperature, rel-  
340 ative north, air permeability,  $n$  exponent, PM<sub>2.5</sub> deposition rate, breakfast  
341 emission rate, and cooking meal emission rate. The values of each probabilis-  
342 tic input are obtained using Latin Hypercube Sampling (LHS) and bespoke  
343 *R* code<sup>2</sup> [49]. LHS is used because it improves the stratification of a sam-  
344 ples over the probability space [50] and reduces the number of simulations  
345 required to reach convergence. They generate a value between 0 and 1 for  
346 each input, which are then applied to their inverse cumulative distribution  
347 functions (CDF) to generate an input.

348 Ten sets of these input variates are chosen at a time, following [7]. The  
349 total sample size increases incrementally by the set size. After each set of  
350 predictions is made, the overall  $\mu$  and  $\sigma$  of the RWA for all sets of samples  
351 are calculated. When the change in  $\mu$  and  $\sigma$  from the addition of one set of  
352 samples to the next is  $\leq 0.5\%$  the total number of samples is deemed to have  
353 converged, and the *stopping criteria* met. This stopping criterion is chosen  
354 to reflect the lower limit of accuracy of a good Indoor Air Quality (IAQ)  
355 sensor following [8]. Simulations were run for each of the 8 archetypes in  
356 the 15 geographic regions for the window scenarios until they converge. This  
357 gives  $8 \times 15 \times 2 = 240$  sets of converged data.

### 358 2.8. Post processing the model predictions

359 Three metrics are computed from the predictions, median ventilation  
360 rates, total PM<sub>2.5</sub> exposure levels, and total airflow heat losses, giving a  
361 CDF for each output for each of the 15 geographic regions. To determine  
362 national CDFs, such as Figure 6, a bootstrapping technique is used to sample

363 from the regional CDFs weighted by the proportion of the stock located in  
364 each region.

### 365 *2.8.1. Exposure analysis*

366 An indirect approach is used to quantifying exposures by predicting in-  
367 door pollutant concentrations over time in each room, and by making as-  
368 sumptions about occupant behaviour; see Section 2.6. Average hourly PM<sub>2.5</sub>  
369 concentration profiles for winter and the contact times of the cook house-  
370 holder are used for the exposure assessment, following the population-weighted  
371 method of [42]. Composite hourly concentration profiles are used to produce  
372 time-weighted averages (TWA) based on the behaviour of the cook house-  
373 holder. For example, bedroom concentrations are used when the occupants  
374 are asleep, bathroom concentrations when washing, kitchen concentrations  
375 when cooking, and living room concentrations at all other occupied times.

### 376 *2.8.2. Ventilation and heat loss*

377 Hourly average and median airflow rates were calculated for each dwelling  
378 by combining infiltration and ventilation rates. The associated heat loss as  
379 a function of time,  $H(t)$  (kW), is then calculated to be

$$H(t) = \int \dot{V}(t) \cdot \bar{\rho}(t) \cdot c \cdot \Delta T(t) \cdot dt \quad (2)$$

380 Here,  $\dot{V}$  ( $m^3/s$ ) is airflow rate,  $\bar{\rho}$  ( $kg/m^3$ ) is the mean of the indoor and  
381 outdoor air densities,  $c$  ( $kJ/kg/K$ ) is the specific heat capacity of air, and  $\Delta T$   
382 ( $K$ ) is the difference between the indoor and outdoor temperatures when the  
383 indoor temperature is greater, otherwise it is assumed to be  $0^\circ\text{C}$ . Equation 2  
384 is integrated over the winter to estimate the total heat loss,  $H$  (kWh).

385 *2.9. Sensitivity analysis*

386 The model is non-linear and the distributions of inputs vary. Therefore,  
387 it is difficult to state *a priori* the types of relationships that exist between  
388 the model inputs and outputs and their strength. Thus, a global sensitivity  
389 analysis (SA) is used to test the dependence of the three outputs on the  
390 twelve inputs. However, a fundamental requirement of the SA is that all the  
391 tested inputs are independent of one other, and so any that are themselves  
392 correlated are combined. Therefore, nine inputs are used directly and three  
393 are scaled using house characteristics to avoid multicollinearity.

394 All inputs and outputs are unique for each house, except for the heater  
395 emission rate and envelope area to volume ratio because they relate to a  
396 specific household appliance and archetype, respectively. To compute repre-  
397 sentative values for the wind speed, the median wind speed scaled at house  
398 height is used (see Section 2.4), and  $\Delta T$  is the difference between the indoor  
399 air temperature and the median outdoor temperature.

400 We follow the method of Jones *et al.*<sup>3</sup> [8, 7], which tests for linear, mono-  
401 tonic, and non-monotonic relationships between the inputs and outputs. The  
402 tests for linear relationships are: (i) Kendall's  $\tau$  rank, (ii) Pearson's  $r$  prod-  
403 uct moment correlation coefficient, and (iii) linear regression. Monotonic  
404 relationships are tested using: (iv) Spearman's  $\rho$  rank correlation coefficient,  
405 (v) rank-transformed standardised variables. Non-monotonic relationships  
406 are tested using: (vi) Kolmogorov–Smirnov and (vii) Kruskal–Wallis quantile  
407 tests.

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<sup>3</sup>The code was used under a creative commons license and obtained from DOI:  
10.13140/RG.2.2.21670.88644

408 Depending on the statistical method, the test coefficients are useful for  
409 identifying the inputs that are more important (two or more sample-comparison  
410 methods), more related (by using correlation-based methods), and/or con-  
411 tribute the most to the outputs (using the regression-based models); see [7]  
412 for a more detailed description of each test and the procedure. The methods  
413 applied estimate the total effect of each element of an input on each element  
414 of an output, where the hypothesis is that there is a relationship between an  
415 input and an output.

416 The input and output data are not transformed, and all outliers are re-  
417 tained. Data for both window scenarios are merged and are tested together.  
418 Coefficients and  $p$ -values are obtained for each test, and the inputs are ranked  
419 according to the magnitude of the coefficient. The  $p$ -values can be used to  
420 determine whether a result is statistically significant at a predefined level of  
421 significance. We use a 5% level herein.

#### 422 2.10. Statistical tests

423 Most statistical tests present a coefficient and a  $p$ -value, which indicates  
424 the probability of obtaining results at least as extreme as those obtained  
425 during a test, assuming that the null hypothesis is true. The *null hypothesis*  
426 is a general statement that there is no relationship or association between  
427 groups. CHAARM generates a significant number of data points, see Sec-  
428 tion 3, which can make a  $p$ -value meaningless because the probability of  
429 significance increases with the sample size [51]. Furthermore, the 5% signif-  
430 icance threshold used herein (see Section 2.9) is arbitrarily, and so we focus  
431 on the nature and the magnitude of any effect [52] where possible.

432 To test the occurrence of an effect in the the medians of categorical vari-

433 ables between archetypes and regions a Kruskal–Wallis  $H$  test is applied,  
434 where the null hypothesis is that all samples originate from the same pop-  
435 ulation. Then, *post-hoc* pairwise multiple comparison tests are used to de-  
436 termine the location of the difference and to identify which pair of samples  
437 differ significantly, following [53]. A Levene statistic is used to test the homo-  
438 geneity of variance using medians; the null hypothesis is that the variances  
439 in different groups are equal [53]. And finally, effect sizes are used to identify  
440 the magnitude of the difference between two samples, following Ferguson [29]  
441 and using Cohen’s  $d$ . Effect sizes are useful and objective estimates of the  
442 magnitude of an effect that is not influenced by the sample size, thus pro-  
443 viding a better measure of the magnitude of the effect between two samples  
444 [54, 53], and so they are used to identify groups that need to be assessed sep-  
445 arately. Thresholds are used to label the effects where  $d < 0.2$  corresponds  
446 to a *negligible* effect size,  $0.2 \leq d < 0.5$  to a *small* effect size,  $0.5 \leq d < 0.8$  to  
447 *medium* effect size,  $0.8 \leq d < 1.3$  to a *large* effect size, and  $d \geq 1.3$  corresponds  
448 to a *very large* effect size.

449 The coefficient of variation,  $C_V$ , is the quotient of the standard deviation  
450 and the mean,  $\sigma/\mu$ . It is a descriptive statistic used to measure the variability  
451 of any value and can be used to compare different distributions because it is  
452 dimensionless.

453 Values of kurtosis and skewness are used to characterize the variability of  
454 the data and to identify a central value that best describes it. Kurtosis is a  
455 measure of the size of the tails relative to a normal distribution. The kurtosis  
456 for normally distributed data is three but is adjusted to zero using an *excess*  
457 kurtosis, and is applied here. Therefore, data with high kurtosis has large

458 tails and a large number of outliers. The skewness for normally distributed  
459 data is zero and positive values indicate data that are right-skewed with a  
460 long right tail.

### 461 **3. Model predictions**

462 Approximately 2,100 simulations were required per archetype per sce-  
463 nario to achieve convergence. They are aggregated over hourly, daily, sea-  
464 sonal, periods so that they can be compared against relevant benchmarks.  
465 Table 1 gives a summary statistics at the national scale for the two window  
466 opening scenarios over the winter period. The 90% confidence intervals show  
467 the lower and upper limits of the predicted exposure levels, ventilation rates,  
468 and heat loss, and exclude those that are unlikely to occur. The coefficient  
469 of variation,  $C_V$ , (see Section 2.10) shows that winter exposures are more  
470 variable than the ventilation rates or heat loss, and the difference in varia-  
471 tion between the *windows closed* and *windows open* scenarios is similar for all  
472 outcomes. The lowest variability is seen in ventilation rates for the *window*  
473 *open* scenario ( $C_V = 0.50$ ) because increasing opening areas increases the  
474 magnitude of the smallest airflow rates more than the highest, whereas the  
475 largest is variability in exposures for the *window open* scenario ( $C_V = 1.85$ )  
476 because the higher airflow rates increase dilution and so the magnitude of  
477 the smallest exposures decreases substantially more than the largest.

478 Table 1 gives skewness and kurtosis statistics (see Section 2.10) for the  
479 three outcomes, which indicate that their distributions are all positively  
480 skewed and heavily-tailed. This suggests that the use of the median, in-  
481 stead of the mean, is more appropriate for policy-making or benchmarking.

482 Figure 6 shows CDFs of predicted daily mean  $\text{PM}_{2.5}$  concentrations nation-  
483 ally. This graph can be used to visualise the boundaries of the Chilean  
484 problem and to see the maximum impact of window opening behaviour on  
485 hourly exposures.

486 Table 2 presents a statistical summary of the predicted median expo-  
487 sures and ventilation rates by archetype for the *windows closed* scenario.  
488 Archetype properties are given in Table 4 of the Supplementary Material  
489 of [13]. Figure 7 shows the distributions of the median hourly exposures  
490 to  $\text{PM}_{2.5}$ ; the concentrations that occupants are exposed to half of the time.  
491 Median hourly exposures for the *windows closed* scenario are generally higher  
492 in archetypes representing newer and more airtight houses. This is unsur-  
493 prising given the significant difference in the distributions of  $NL$  between  
494 old and new dwellings; see Figure 3. Conversely, the *windows open* scenario  
495 shows that there are negligible differences between archetypes, and exposures  
496 will be close to ambient concentrations. This indicates that ventilation via  
497 windows and fans is independent of the archetype, and that windows are an  
498 effective mitigation method against exposure to  $\text{PM}_{2.5}$  emitted by heaters  
499 and cooking, although not necessarily a pragmatic one.

500 Levene and Kruskal–Wallis tests are used to compare predictions by  
501 archetype and by region. All tests are found to be significant with  $p \ll .001$   
502 and  $p \ll .05$ , respectively, indicating that there is a significant difference in  
503 the variances of predictions for each archetype and region, although we note  
504 the problems when interpreting  $p$ -values described in Section 2.10. Effect  
505 sizes are calculated using median values and Cohen’s  $d$  thresholds and show  
506 high variability in effect size (negligible, small, medium, and large) between

507 pairs of archetypes and regions, and for the two window scenarios. Thus, the  
508 statistical significance of the tests, and the magnitude of the effects, generate  
509 confidence in the use of the archetypes for analysing different types of house.  
510 It shows that it would not be appropriate to aggregate the entire Chilean  
511 housing stock into a single archetype. Some *negligible* effects sizes are seen  
512 between some neighbouring regions for the three outcomes (exposure, ven-  
513 tilation rate, and energy demand), suggesting that they could be joined by  
514 proximity and analysed together. This amalgamation would save time and  
515 computational resources. It also means that interventions can be targeted at  
516 all houses where similar consequences should be expected. All test statistics  
517 are given in the Supplementary Material.

### 518 3.1. Exposure

519 Generally,  $PM_{2.5}$  concentrations are found to be high, especially in the  
520 kitchen during cooking periods, but also in the living room and bedrooms.  
521 Doors are assumed to be open when cooking activities are taking place, which  
522 will contribute to the spread of pollutants. The impact of door opening on  
523 indoor air quality should be an area of future research.

524 To obtain a more representative estimation of occupant exposures to in-  
525 door  $PM_{2.5}$ , TWAs are used over RWAs; see Sections 2.8.1 and 2.7. RWAs  
526 are found here to be around 20% lower than TWAs confirming that there is  
527 a significant difference between the two metrics. A TWA is dependent on an  
528 occupant's presence in each room over time. An analysis of activity patterns  
529 is required to develop TWAs that account for actual occupant behaviour and  
530 to answer related social research questions. Here, this pattern is assumed to  
531 be the same for all houses because there is no understanding of uncertainty



532 in this parameter.

533 The limits of the mean TWA  $\text{PM}_{2.5}$  winter exposures for the two window  
534 scenarios are  $6.61 \leq \mu \leq 134.47 \mu\text{g}/\text{m}^3$  and bound the mean values of expo-  
535 sure predicted by Das *et al.* [7] for the English stock. Das *et al.* considered  
536 kitchen windows to be open between 0.01–10 times the during of the cooking  
537 period, giving  $\mu = 12.7 \mu\text{g}/\text{m}^3$ ,  $\sigma = 12.6 \mu\text{g}/\text{m}^3$ , and  $P_{50} = 8.0 \mu\text{g}/\text{m}^3$ . Al-  
538 though this is reassuring, these values are not directly comparable because  
539 Das *et al.* weighted the hourly concentrations they predicted for each room  
540 by their volume to calculate a mean dwelling concentration for the heating  
541 season.

542 The World Health Organization (WHO) recommends that mean  $\text{PM}_{2.5}$  con-  
543 centrations in ambient air are less than  $10 \mu\text{g}/\text{m}^3$  per year and  $25 \mu\text{g}/\text{m}^3$  per  
544 day [19]. These guidelines are also applicable to the indoor environment be-  
545 cause there is not yet any convincing evidence of a difference in the hazardous  
546 nature of particulate matter from indoor and outdoor sources [55]. Here, the  
547 WHO guideline daily mean value is used to determine the *acceptability* of IAQ  
548 [56], although we acknowledge that outdoor  $\text{PM}_{2.5}$  would contribute to the  
549 indoor concentration and that our evaluation systematically underestimates  
550 total the total exposure to  $\text{PM}_{2.5}$  and that any future evaluation of health  
551 effects using CHAARM would have to account for this. However, the dif-  
552 ference is not a simple addition of indoor and outdoor  $\text{PM}_{2.5}$  because the  
553 transfer of outdoor  $\text{PM}_{2.5}$  is dependent on the *penetration coefficient* of a  
554 building’s fabric, a non—dimensional parameter between 0 and 1 that rep-  
555 resents its filtering effect [57, 11, 58]. Nevertheless, Figure 6 shows that 34%  
556 of Chilean dwellings are predicted to have unacceptable daily  $\text{PM}_{2.5}$  con-

557 concentrations if their windows are closed at all times, and so their occupants  
558 have an elevated risk of experiencing negative health outcomes, such as tra-  
559 cheal, bronchial, and lung cancers, Chronic Obstructive Pulmonary Disease,  
560 ischaemic heart and cardiovascular diseases, and lower respiratory infections.  
561 The remaining dwellings must keep their windows open all winter, when in-  
562 door concentrations will tend towards outdoor  $\text{PM}_{2.5}$  concentrations because  
563 the penetration factor is 1, or be *leaky* when outdoor  $\text{PM}_{2.5}$  will have a lower  
564 effect on indoor  $\text{PM}_{2.5}$  because the penetration factor is between 0.7 and 0.9  
565 [11].

### 566 3.2. Ventilation and total heat loss

567 Figure 8 shows that a ventilation rate of  $>13 \text{ h}^{-1}$  is required to ensure that  
568 95% of the stock is below the WHO's guideline daily mean value of  $25 \mu\text{g}/\text{m}^3$ .  
569 However, the associated energy demand is predicted to be 33.4 MWh for the  
570 winter season, which is cost and carbon prohibitive. Clearly, it is sub-optimal  
571 to prescribe a single ventilation rate for all houses to meet the WHO  $\text{PM}_{2.5}$   
572 guideline value. Table 1 shows that  $13 \text{ h}^{-1}$  can never be achieved by infiltra-  
573 tion alone and so some window opening is always required. Then, the WHO  
574 guideline value can be achieved in around 50% of dwellings. Providing general  
575 ventilation is not always enough, and so removing pollutant sources, such as  
576 gas, paraffin, and wood heaters, or installing and using targetted ventilation,  
577 such as cooker hoods (also known as *range* hoods), are important remediation  
578 measures that can help to simultaneously provide acceptable air quality and  
579 minimize energy demand. The use of cooker hoods has not been considered  
580 by CHAARM because there is little information about their implementation  
581 in Chilean homes and about their *capture efficiency*, a metric that describes

582 their ability to extract pollutants before they mix in the kitchen. However,  
583 as this information becomes available, it is straightforward to implement in  
584 CONTAM by following the method of O’Leary *et al.* [11].

585 A ventilation rate of  $0.5 \text{ h}^{-1}$  is threshold rate used by some European  
586 countries because some negative air quality related health effects are thought  
587 to increase below it, although there is significant uncertainty in this value  
588 [8]. Hourly ventilation rates for the *windows closed* scenario are predicted  
589 to be  $< 0.5 \text{ h}^{-1}$  39% of the time, which is less than the estimated times for  
590 dwellings in the USA (57% of the time), England, and Beijing. This is partly  
591 because the US and Chinese studies do not consider the use of mechanical  
592 ventilation in bathrooms and kitchens, but also because because Chilean  
593 houses are less airtight; see Figure 5. However, it does suggest that Chilean  
594 dwellings need more air during the winter than is provided by a combination  
595 of infiltration and bathroom and kitchen fans. If the Chilean government was  
596 to seek to reduce the energy demand of its stock by increasing its airtightness,  
597 the proportion of houses with a mean winter airflow rate of  $\leq 0.5 \text{ h}^{-1}$  will  
598 inevitably increase and could cause negative health effects unless additional  
599 ventilation is provided.

600 Table 1 shows that the heat loss in a Chilean house during the winter is  
601 estimated to be  $0.25 \leq H \leq 42.3 \text{ MWh}$  with 90% confidence. It is possible to  
602 determine the uncertainty in the mean energy demand of all 5.8 m Chilean  
603 dwellings [13] attributable to airflow in winter using the distribution of energy  
604 demand. This is done by repeatedly sampling from it in sets of 5.8 million  
605 until the mean of the means is normally distributed [59]. The mean total  
606 heat loss attributable to airflow is estimated to be 8.2 TWh for the *windows*

607 *closed* scenario and 124.0 TWh for the *windows open* scenario.

608 The Chilean Technology Development Corporation (CDT) [60] estimates  
609 the total energy demand of the housing stock to be 53.8 TWh per year, and so  
610 the *windows closed* and *windows open* scenarios account for 15%–230% of the  
611 estimated total, respectively. These values are broadly plausible given that  
612 the scenarios explore extreme conditions. However, the *windows open* sce-  
613 nario is the least plausible because occupants are unlikely to simultaneously  
614 heat their houses and leave their windows permanently open, which explains  
615 the significant over-estimation of energy demand for this scenario. A field  
616 survey is required to understand the window opening behaviour of occu-  
617 pants. Furthermore, CHAARM’s energy demand predictions do not account  
618 for the efficiency of heating systems because their stock-wide distribution is  
619 unknown, and so they are not directly comparable with the CDT value. If it  
620 was possible to predict the primary and secondary energy required to provide  
621 space heating, the range for the two scenarios would increase significantly.  
622 This calculation has been done by Jones *et al.* [8], who explore the *windows*  
623 *closed* scenario without fans for the UK housing stock. They estimate that  
624 infiltration is responsible for 11–15% of UK housing stock energy demand  
625 and account for the efficiency of heating systems. Removing the fans from  
626 the CHAARM model would reduce the lower limit, but only slightly because  
627 they run infrequently. Accounting for the efficiency of heating systems would  
628 increase the lower limit significantly. Directly applying a UK stock-average  
629 heater efficiency of 76% increases the lower limit to 20%, indicating that  
630 Chilean dwellings have higher infiltration rates than UK dwellings.

#### 631 4. Model Sensitivity

632 The assertions made in the previous sections about the CHAARM’s pre-  
633 dictions are dependent on the assumptions made in Section 2. Therefore, the  
634 SA described in Section 2.9 is used to determine the relative importance of its  
635 inputs. Tables 3–5 rank the inputs for each test, but the test statistics used  
636 to determine the ranks and their  $p$ -values are given in the Supplementary  
637 Material. A rank of 1 indicates the most sensitive input, and Table 3 shows  
638 that  $\text{PM}_{2.5}$  winter exposures are most strongly correlated with the  $\text{PM}_{2.5}$   
639 emission rate from cooking, followed by the permeable envelope area,  $A_{perm}$ ,  
640 and the heater emission rate. Table 4 shows that ventilation rates are most  
641 strongly affected by  $A_{perm}$  and  $\Delta T$ . And finally, Table 5 shows that the total  
642 heat loss is also most sensitive to  $A_{perm}$ , but  $\Delta T$  is the second-ranked input,  
643 and dwelling air permeability  $Q_{50}$  is the third.

644 There is uncertainty in  $\text{PM}_{2.5}$  emission rates from cooking because they  
645 are a function of many factors [15]. Although empirical data from North  
646 America and Europe was used to derive a PDF of emission rates, it is im-  
647 possible to say whether it is representative of  $\text{PM}_{2.5}$  emissions from Chilean  
648 cooking without corroborative measurements. Nevertheless, the importance  
649 of this metric to exposure suggests that cooker hoods should be installed in all  
650 new Chilean houses and should also be installed in any existing house whose  
651 airtightness is improved. The cooker hood should extract cooking pollutants  
652 directly outside and should not recirculate [11]. The  $\text{PM}_{2.5}$  emission rate of  
653 heaters that burn gas, paraffin, and wood is also an important determinant  
654 of exposure, and so they should be targetted for removal from Chilean houses  
655 in the near future. Table 2 shows that they should not be installed in new

656 homes.

657 The  $A_{perm}$  parameter may differ from the thermal envelope area if the  
658 floor is solid and if party walls are assumed to be impermeable; see Sec-  
659 tion 2.2.1. Here, all party walls are assumed to be impermeable and all  
660 ground floors solid. Party wall permeability can only be determined by  
661 *guarded zone* blower door tests [34]. These are non-standard tests that are  
662 rarely conducted and, to the best of our knowledge, are not mandated by  
663 the regulatory authority of any country. This will remain an uncertain pa-  
664 rameter, although the effects of party wall impermeability could be tested in  
665 the future using CHAARM by following the method of Jones *et al.* [8]. The  
666 ground floor type should be added to the Building Permit database for new  
667 houses, and be a recorded parameter in future surveys of existing dwellings;  
668 see [13].

669 Indoor air temperature was highlighted as a parameter with high un-  
670 certainty in Section 2.3. Its magnitude affects the ventilation rate and is  
671 incorporated into the  $\Delta T$  parameter of Equation 2 to predict total heat loss,  
672  $H$ . Tables 4 and 5 show that the ventilation rate and  $H$  are both sensitive to  
673  $\Delta T$  and so empirical data is urgently required. Recently, the Chilean govern-  
674 ment released a database of measurements of indoor air temperatures made  
675 in nearly 300 homes [61], which will be processed and incorporated into a  
676 future version of the model.

677 The air permeability of houses is discussed in Section 2.2.2 and shows  
678 that there are limited measurements of air leakage rates in Chilean houses  
679 because they are not yet a legal requirement, although this is expected to be  
680 changed in the near future. Field work is required to measure the airtightness

681 of the most common archetypes.

682 Finally, Section 2.4 shows that dwelling orientations are unknown, but  
683 the SA shows that all 3 outputs are insensitive to it at stock scale, which is  
684 consistent with [31, 7], and [8]. However, coupling CONTAM with a dynamic  
685 thermal model might show a different effect in the outcomes.

686 The understanding of the CHAARM model provided by the SA and the  
687 discussion of its outputs (see Section 3) shows that there are many areas  
688 that should be improved by gathering more data. Furthermore, the outputs,  
689 in the form of probability distribution functions, are useful tools that policy  
690 makers can use to make informed decisions about the energy demand of  
691 Chilean houses and its relationship with indoor air quality.

## 692 5. Conclusions

693 This paper presents the Chilean Housing Archetypes AiR quality Model  
694 (CHAARM) and a stochastic framework for predicting uncertainties in in-  
695 door pollutant concentrations, ventilation and infiltration rates, and their  
696 associated energy demand during the winter season. Pollutant sources are  
697  $PM_{2.5}$  emitted by cooking and gas, paraffin, and wood heaters. Outdoor  
698  $PM_{2.5}$  are not considered and so the exposure analysis is restricted to indoor  
699  $PM_{2.5}$ , leading to a systematic underestimation of total exposures. Because  
700 window opening behaviour in Chilean houses is not understood, two extreme  
701 scenarios are considered; a *windows open* at all times scenario and a *windows closed*  
702 at all times scenario. A distribution for each output is produced  
703 for each scenario. They show that 66% of Chilean dwellings are predicted  
704 to have a daily mean  $PM_{2.5}$  concentration below the WHO 24 hour guideline

705 value of  $25 \mu\text{g}/\text{m}^3$ , even if their windows are closed at all times. This suggests  
706 that most houses are not airtight. This is confirmed by a synthetic distri-  
707 bution of air permeabilities for houses built before 2007, representing 66%  
708 of the stock, which shows that over 90% of them have  $Q_{50} > 10 \text{ m}^3 \text{ h}^{-1} \text{ m}^{-2}$   
709 (95% CI  $[9.91 - 106.59] \text{ m}^3 \text{ h}^{-1} \text{ m}^{-2}$ ). Therefore, many of these houses require  
710 remediation measures to improve their airtightness and reduce their annual  
711 space heating demand. However, to avoid negative health effects from ex-  
712 posure to  $\text{PM}_{2.5}$  from cooking and heaters, cooker hoods should be installed  
713 and the heaters should be replaced.

714 Ventilation provided by windows and fans is found to be independent of  
715 dwelling archetypes and an effective mitigation method against exposure to  
716  $\text{PM}_{2.5}$  emitted by heaters and cooking, although not necessarily a pragmatic  
717 one. Moreover, a recent study has shown that there is a law of diminishing  
718 returns in the relationship between effective area and opening angle, which  
719 may influence the impact of window opening related inputs to the three out-  
720 comes [62]. Heat loss in a Chilean house during the winter is estimated to  
721 be  $0.25 \leq H \leq 42.3 \text{ MWh}$  with 90% confidence, and to account for 15%–  
722 230% of the estimated total energy demand of the housing stock, although  
723 this interval does not account for the collective efficiency of the heat sources.  
724 The implausibility of the *windows open* scenario makes the lower limit more  
725 likely, as people tend to keep windows closed during the heating season to save  
726 energy. Note that this paper presents the two extreme scenarios. Although  
727 this binary assumption contributes to our understanding of the uncertain-  
728 ties in the three outcomes, it is clear that a better description of this and  
729 other inputs highlighted here would improve the representation of the actual



730 condition of the stock.

731 CHAARM used 8 archetypes, which is found to be appropriate, and the  
732 stock cannot be represented by a single archetype. However, the model is  
733 a work in progress and will be updated as more data becomes available. A  
734 sensitivity analysis shows that there is a pressing need for knowledge of indoor  
735 air temperatures, dwelling air permeabilities, and occupant behaviour.

### 736 **Declaration of competing interests**

737 None.

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### 741 **Supplementary materials**

742 Supplementary material associated with this article can be found, in the  
743 online version, at DOI: 10.13140/RG.2.2.12221.61920.

Table 1: Statistical summary of winter PM<sub>2.5</sub> exposures, ventilation rates, and heat losses, nationwide.  $C_V$ : coefficient of variation.  $P_n$ :  $n$ th percentile.

Statistic/Window	Exposures ( $\mu\text{g}/\text{m}^3$ )		Ventilation rates ( $\text{h}^{-1}$ )		Heat loss (kWh)	
	Closed	Open	Closed	Open	Closed	Open
Mean, $\mu$	134.47	6.64	0.89	15.80	1283.85	19526.08
Median, $P_{50}$	58.65	2.30	0.75	13.57	947.44	16681.37
Standard deviation, $\sigma$	210.28	12.26	0.79	7.91	1190.02	12556.3
90% CI	[2.58; 548.72]	[0.08; 29.81]	[0.08; 2.40]	[8.20, 29.75]	[252.6; 3471.2]	[5736; 42342]
$P_{10}$	5.20	0.22	0.13	8.85	319.3	7614
$P_{25}$	19.06	0.77	0.31	10.42	508.8	11053
$P_{75}$	154.20	6.77	1.16	19.14	1624.5	24686
$P_{90}$	367.06	16.94	1.84	24.75	2585.7	34295
$C_V$	1.56	1.85	0.89	0.50	0.93	0.64
Skewness	4.12	4.26	2.17	2.47	3.00	2.18
Kurtosis	34.62	26.36	9.19	11.31	16.07	9.48

Table 2: Statistical summary of median hourly PM<sub>2.5</sub> exposures ( $\mu\text{g}/\text{m}^3$ ) and median ventilation rates ( $\text{h}^{-1}$ ) for the *windows closed* scenario aggregated by archetype ID.

See [13] for archetype details. Bold IDs indicates those built after 2007.

ID	PM <sub>2.5</sub> exposure ( $\mu\text{g}/\text{m}^3$ )			Ventilation rate ( $\text{h}^{-1}$ )		
	$P_{50}$	$\mu$	$\sigma$	$P_{50}$	$\mu$	$\sigma$
27	2.75	9.94	9.14	0.8	0.9	0.3
36	2.22	6.12	5.90	1.1	1.0	0.3
91	2.81	12.68	11.88	0.7	0.7	0.2
100	1.09	4.24	4.32	1.0	1.0	0.3
<b>275</b>	17.52	25.46	18.45	0.1	0.1	0.0
35	0.99	5.44	5.31	1.0	1.1	0.3
19	4.10	11.76	11.02	0.8	0.8	0.2
<b>284</b>	7.61	16.20	12.68	0.2	0.2	0.1

Table 3: Sensitivity analyses of the input parameters on the exposure to PM<sub>2.5</sub> over the winter. Bold ranks,  $p < .05$ .

Input	Kendall	Pearson	Spearman	Regression	Rank Regression	KS	KW P <sub>2</sub>	KW P <sub>5</sub>	KW P <sub>10</sub>	KW P <sub>20</sub>
$L:W$	<b>10</b>	<b>9</b>	<b>10</b>	9	10	<b>11</b>	<b>11</b>	<b>11</b>	<b>10</b>	<b>12</b>
Orientation	<b>11</b>	<b>12</b>	<b>11</b>	12	11	<b>10</b>	<b>10</b>	<b>10</b>	<b>12</b>	<b>10</b>
Q <sub>50</sub>	5	3	5	3	5	6	5	5	6	6
$n$	6	5	6	5	6	9	6	7	8	8
$k$	7	4	7	4	7	8	7	9	7	9
$G$ breakfast	<b>12</b>	<b>11</b>	<b>12</b>	11	12	<b>12</b>	<b>12</b>	<b>12</b>	<b>11</b>	<b>11</b>
$G$ cooking	1	1	1	1	1	1	1	1	1	1
$\Delta T$	8	7	8	7	8	7	9	6	5	5
Wind speed	4	<b>10</b>	4	10	4	5	3	4	4	4
$G$ heater	3	6	3	6	3	4	4	3	3	3
$S:V$	9	8	9	8	9	3	8	8	9	7
$A_{perm}$	2	2	2	2	2	2	2	2	2	2

$L:W$ , length to width ratio; Q<sub>50</sub>, air permeability;  $k$ , PM<sub>2.5</sub> deposition rate;  $n$ , flow exponent;  $G$ , emission rate;

$S:V$ , surface area to volume ratio;  $A_{perm}$ , permeable area.

Table 4: Sensitivity analyses of the input parameters on ventilation rate. Bold ranks,  $p < .05$ .

Input	Kendall	Pearson	Spearman	Regression	Rank Regression	KS	KW P <sub>2</sub>	KW P <sub>5</sub>	KW P <sub>10</sub>	KW P <sub>20</sub>
$L : W$	7	5	7	5	7	7	<b>8</b>	7	7	7
Orientation	<b>8</b>	<b>8</b>	<b>8</b>	8	8	<b>8</b>	<b>7</b>	<b>8</b>	<b>8</b>	<b>8</b>
$Q_{50}$	2	7	2	7	2	2	2	2	2	2
$n$	6	6	6	6	6	6	4	6	6	6
$\Delta T$	3	4	3	4	3	5	3	5	5	5
Wind speed	4	2	5	2	5	4	6	3	3	3
$S : V$	5	3	4	3	4	3	5	4	4	4
$A_{perm}$	1	1	1	1	1	1	1	1	1	1

$L : W$ , length to width ratio;  $Q_{50}$ , air permeability;  $k$ ,  $n$ , flow exponent;  $S : V$ , surface area to volume ratio;  $A_{perm}$ , permeable area.

Table 5: Sensitivity analyses of the input parameters on heat loss. Bold ranks,  $p < .05$ .

Input	Kendall	Pearson	Spearman	Regression	Rank Regression	KS	KW P <sub>2</sub>	KW P <sub>5</sub>	KW P <sub>10</sub>	KW P <sub>20</sub>
$L:W$	<b>7</b>	<b>6</b>	<b>7</b>	6	7	<b>7</b>	<b>8</b>	<b>8</b>	<b>7</b>	<b>8</b>
Orientation	<b>8</b>	<b>8</b>	<b>8</b>	8	8	<b>8</b>	<b>7</b>	<b>7</b>	<b>8</b>	<b>7</b>
Q <sub>50</sub>	3	5	3	5	3	3	2	2	2	2
$n$	6	<b>7</b>	5	7	5	6	5	6	6	6
$\Delta T$	2	2	2	2	2	5	3	3	3	3
Wind speed	4	3	4	3	4	4	4	4	4	4
$S:V$	5	4	6	4	6	2	6	5	5	5
$A_{perm}$	1	1	1	1	1	1	1	1	1	1

$L:W$ , length to width ratio; Q<sub>50</sub>, air permeability;  $k$ ,  $n$ , flow exponent;  $S:V$ , surface area to volume ratio;  $A_{perm}$ , permeable area.

745 **Figures**

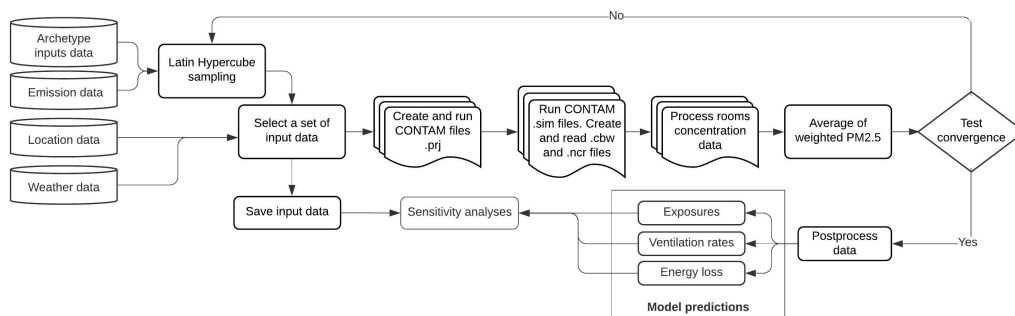
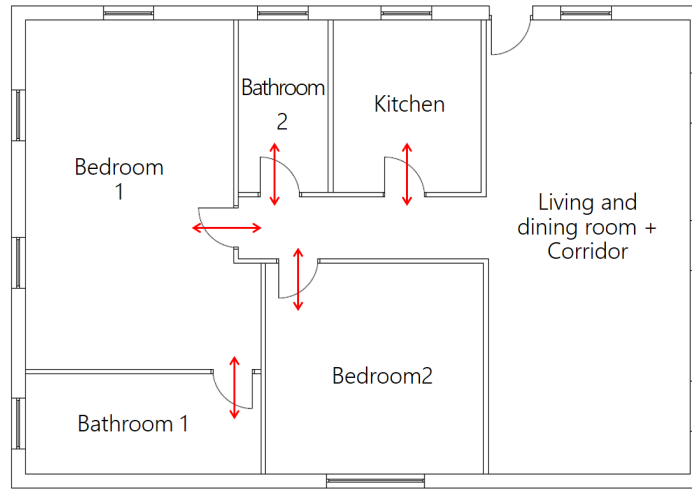
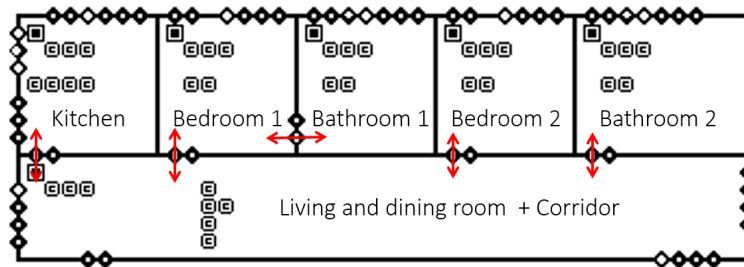


Figure 1: Flow diagram of the CHAARM model.



(a)



(b)

Figure 2: Archetype 27 (a) layout and (b) CONTAM model.

See [13] for archetype details.



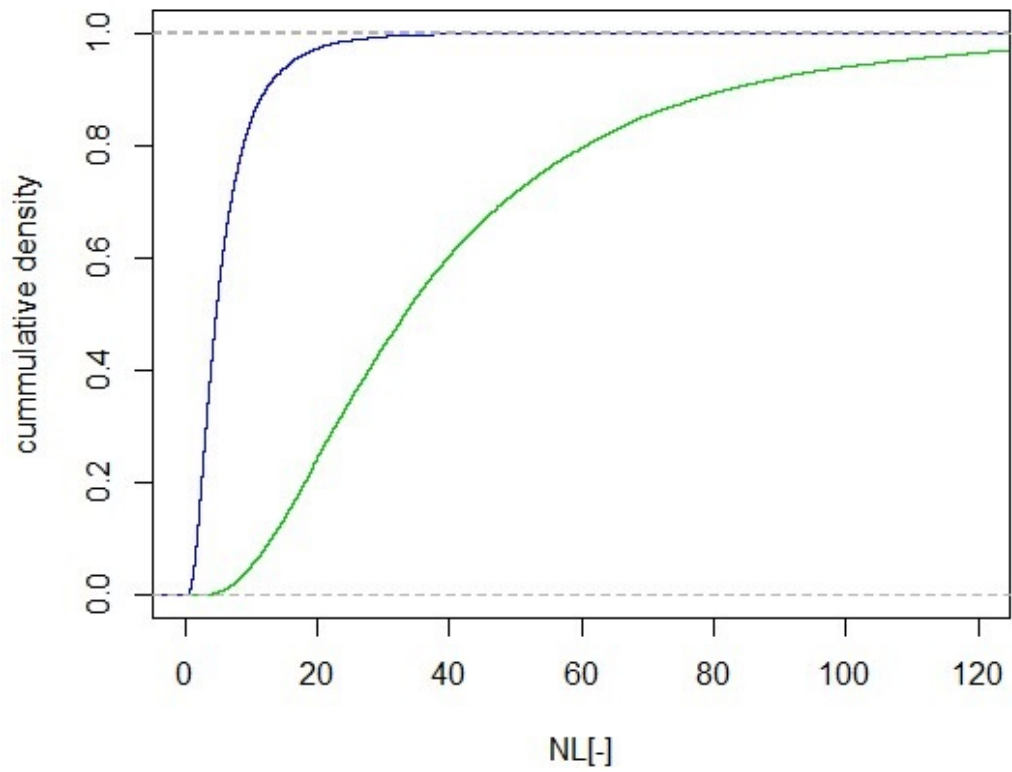
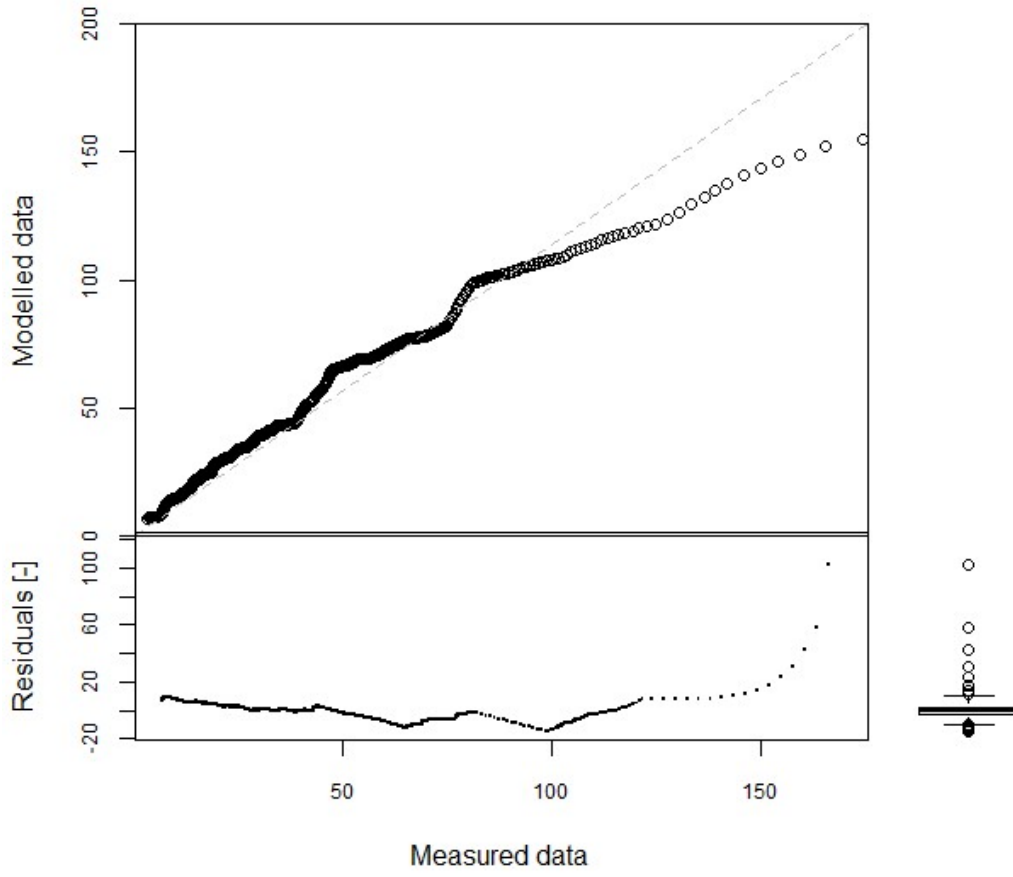
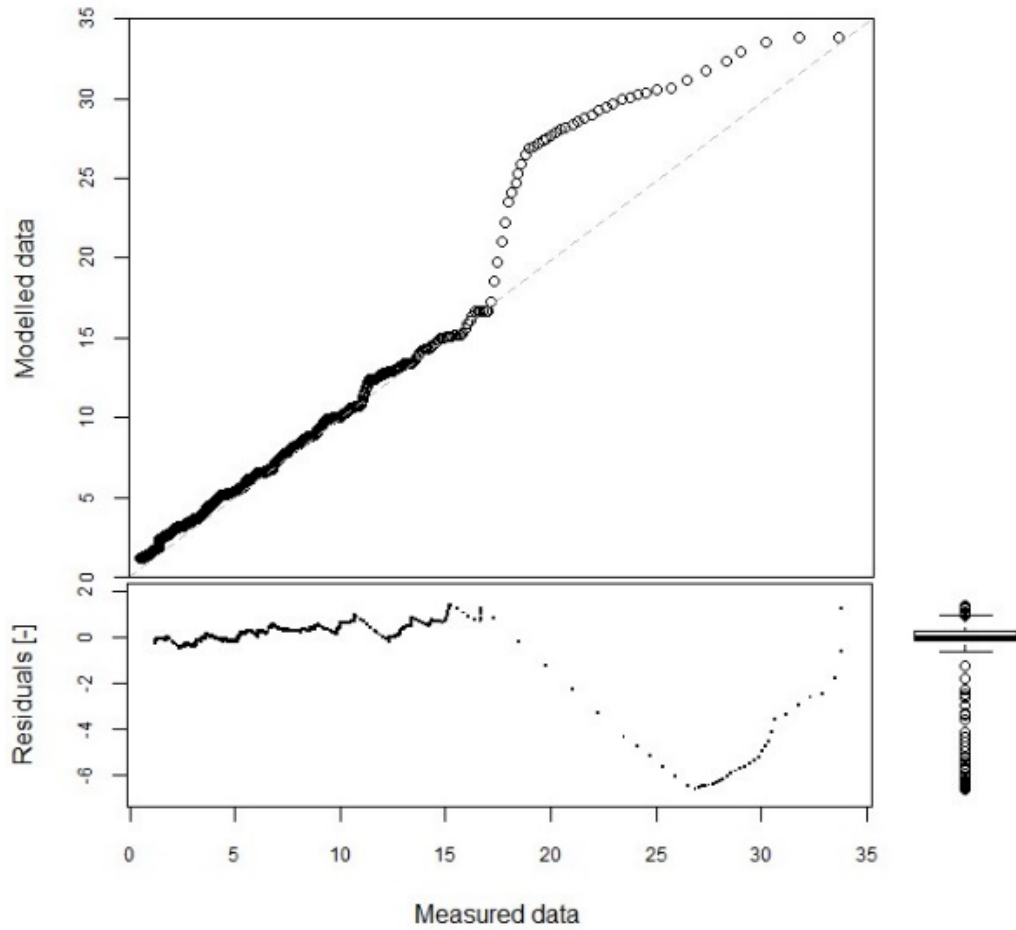


Figure 3: Cumulative distribution national of  $NL$  for old (green line) and new houses (blue line).



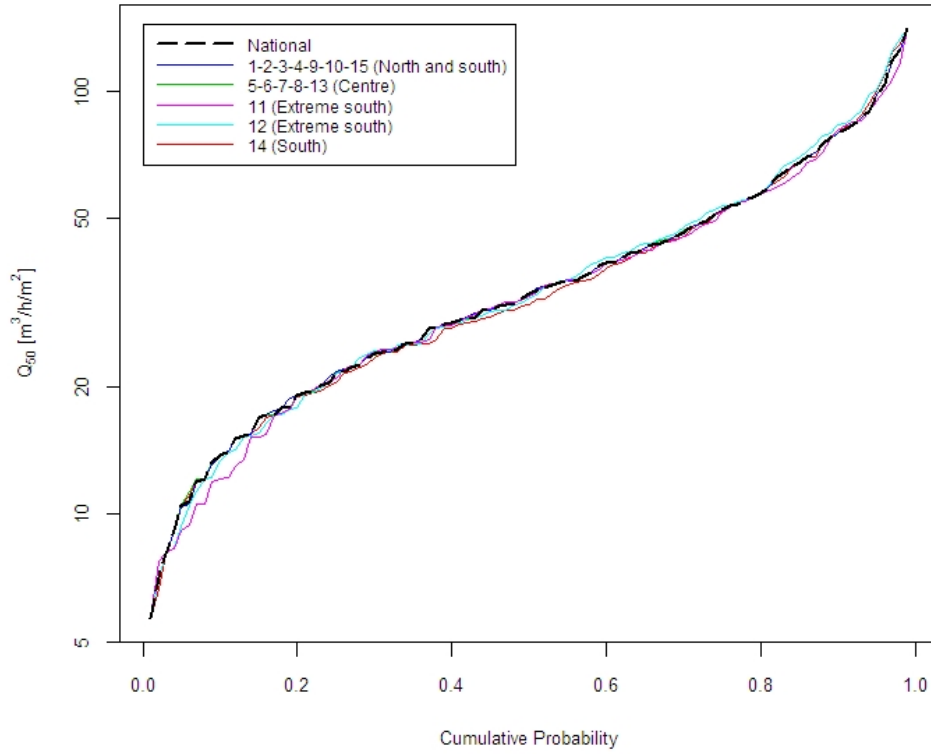
(a)



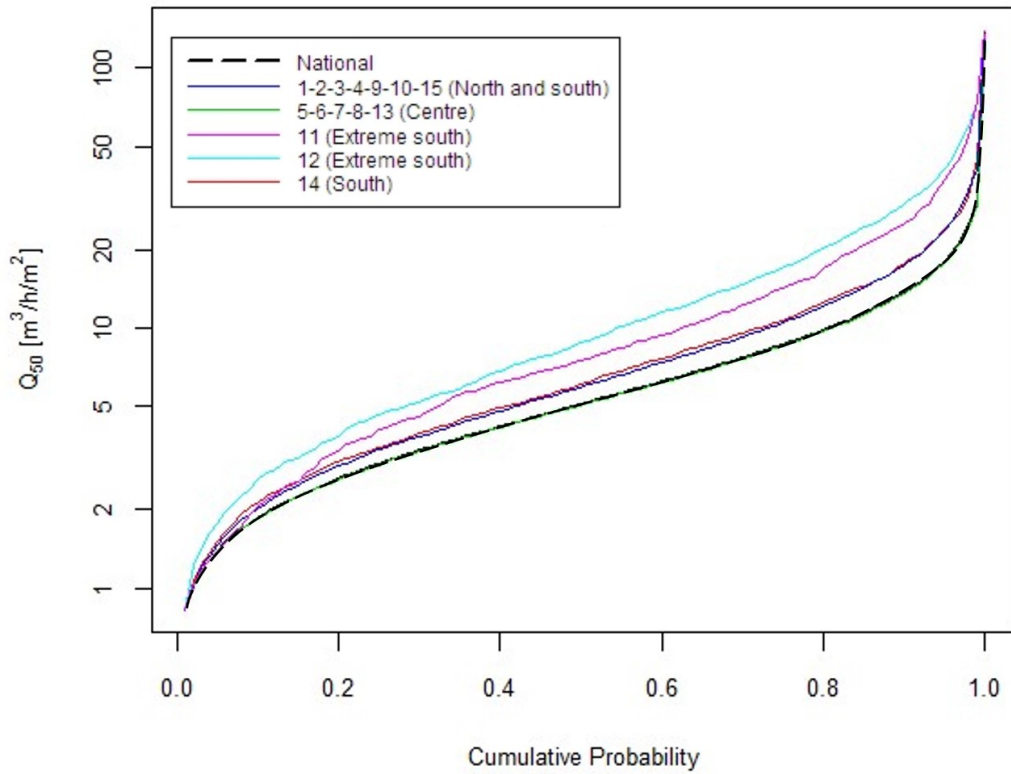
(b)

Figure 4: Predicted normalised leakage ( $NL$ ) distribution versus empirical data for (a) old and (b) new Chilean houses. Boxplots show the residuals, with a  $\tilde{\mu} = -0.42$  and  $\sigma = 6.54$  for old houses, and  $\tilde{\mu} = -0.086$  and  $\sigma = 1.14$  for new houses.

Predicted Permeability by Climate Zone



(a)



(b)

Figure 5: Predicted air permeability,  $\dot{Q}_{50}$ , grouped by climate zone and nationwide for (a) old and (b) new Chilean houses. Legend numbers are geographic regions.

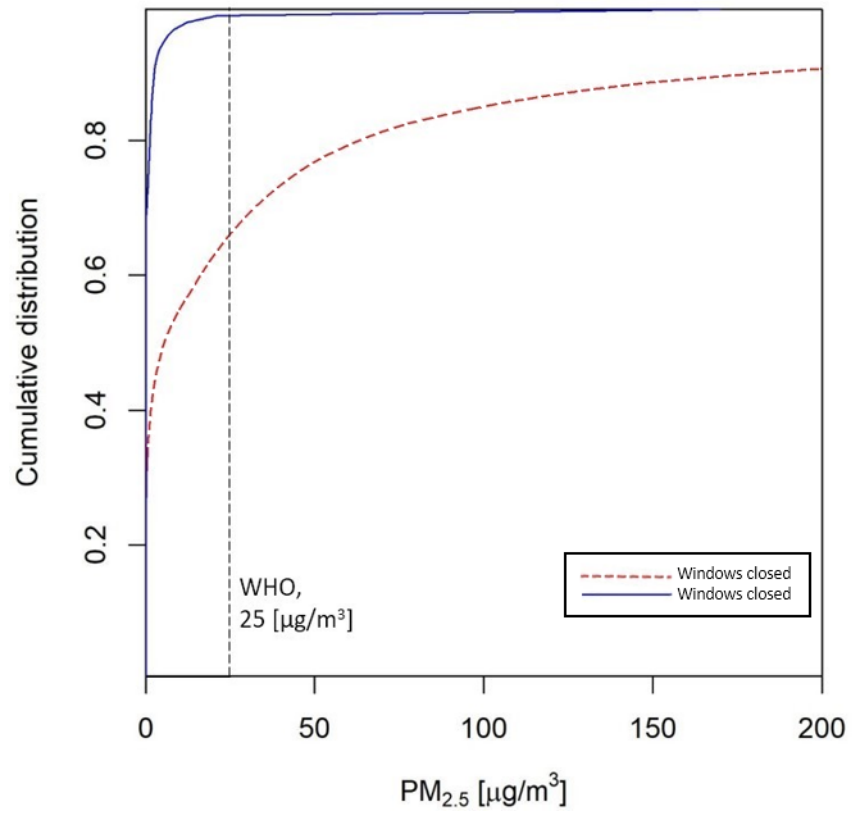
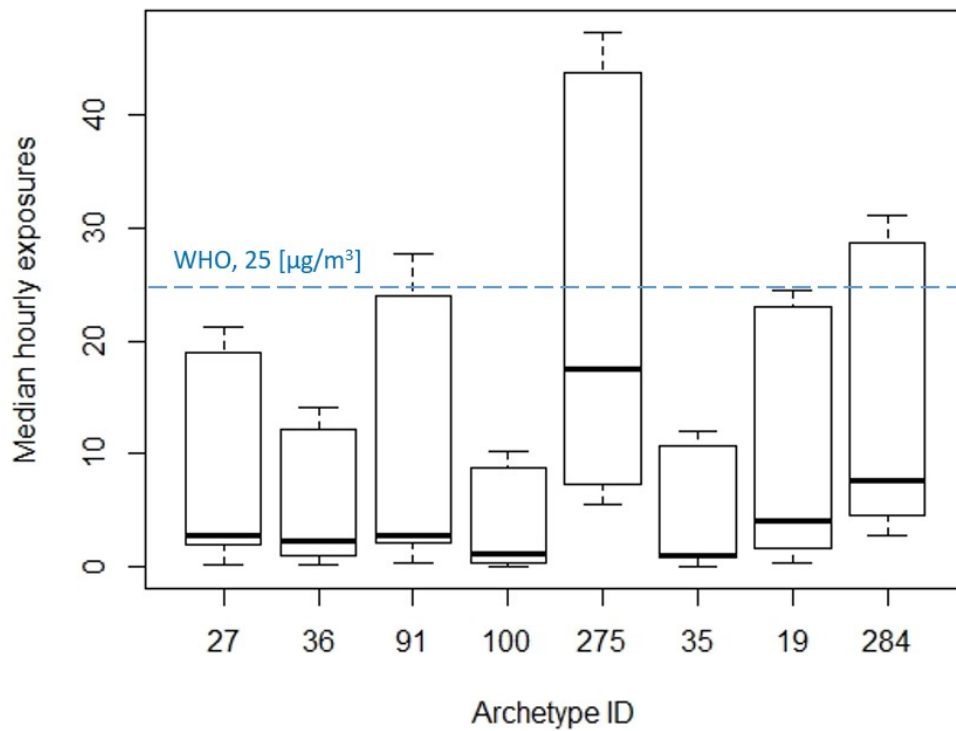
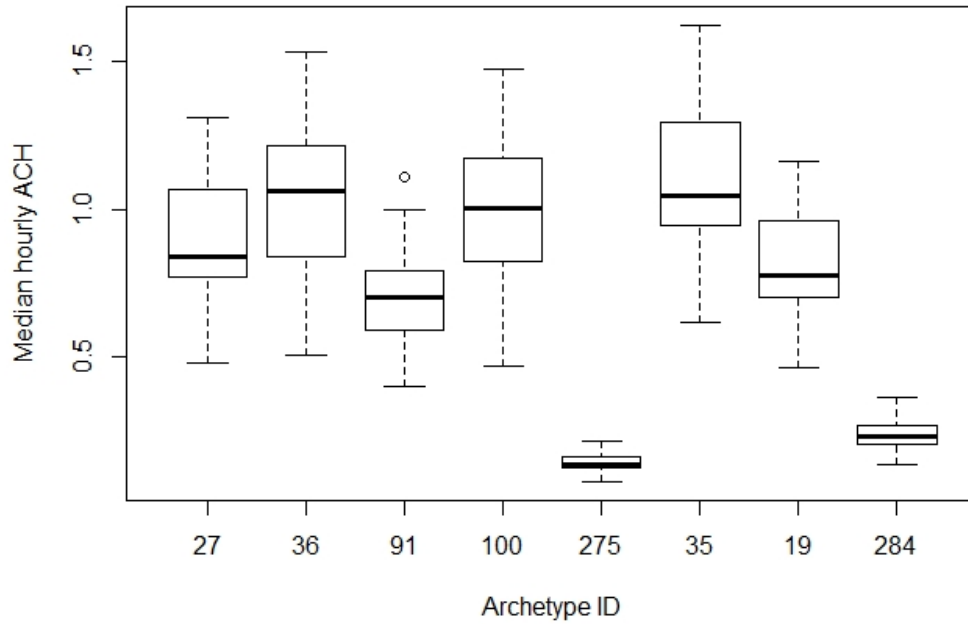


Figure 6: Nationwide daily exposures to  $PM_{2.5}$  during the winter season. Red dashed line, *windows closed* scenario; Blue, *windows open* scenario; Vertical dashed line, the WHO's 24 h guideline value.



(a)



(b)

Figure 7: *Windows closed* scenario. Distribution of the medians of: (a) hourly  $\text{PM}_{2.5}$  exposures; and (b) median hourly ventilation rates ( $\text{h}^{-1}$ ) of all houses by archetype. Dashed line, the WHO's 24 h guideline value of  $25 \mu\text{g}/\text{m}^3$ . See [13] for archetype details.



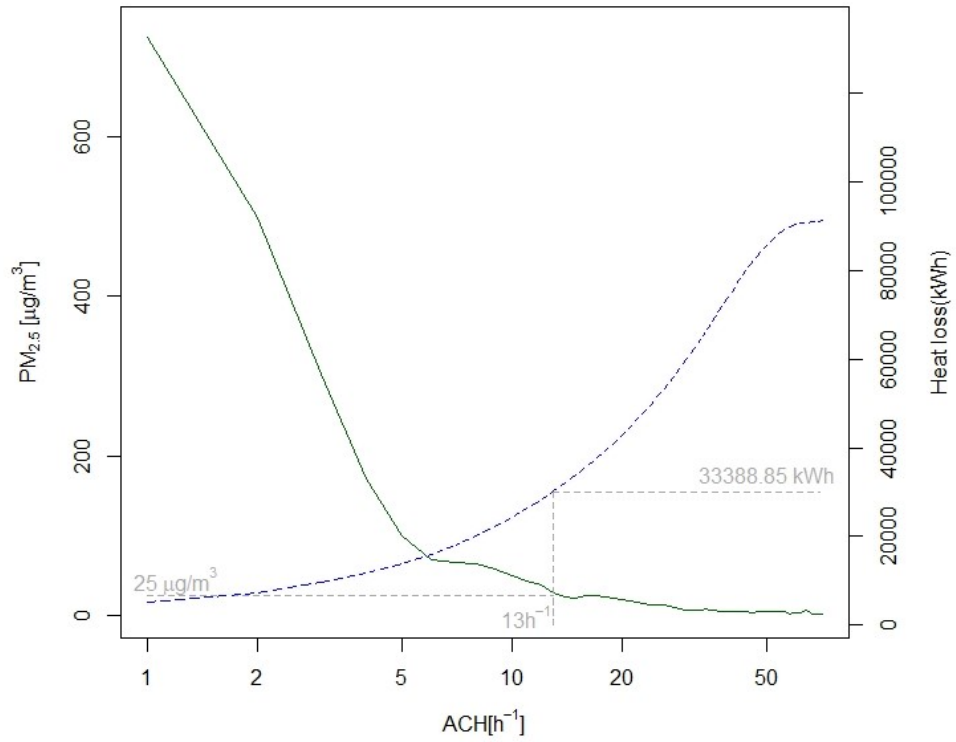


Figure 8: P<sub>95</sub> of the predicted winter exposures to PM<sub>2.5</sub> (in green) and the total heat loss (in dashed blue) versus the ventilation rates. Windows closed and open combined.

The gray dashed lines show the WHO's annual recommendation of 25 µg/m<sup>3</sup> and the related heat loss.

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