# 1 Harnessing microbial volatiles to replace

# 2 pesticides and fertilizers

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# 12 Summary

13 Global agricultural systems are under increasing pressure to deliver sufficient, healthy food for a growing population. Seasonal inputs, including synthetic pesticides and 14 fertilizers, are applied to reduce losses by pathogens, and enhance crop biomass, 15 16 although their production and application can also incur several economic and environmental penalties. New solutions are therefore urgently required to enhance crop 17 yield whilst reducing dependence on these seasonal inputs. Volatile Organic 18 Compounds (VOCs) produced by soil microorganisms may provide alternative 19 solutions, due to their ability to inhibit fungal pathogens, induce plant resistance 20 against pathogens, and enhance plant growth promotion. This review will highlight 21 recent advances in our understanding of these biological activities of microbial VOCs 22 (mVOCs), providing perspectives on research required to develop them into viable 23 24 alternatives to current unsustainable seasonal inputs. This can identify potential new

# avenues for mVOC research and stimulate discussion across the academic community and agri-business sector.

### 27 Introduction

28 By 2100, the United Nations projects that the global population will grow by around 4 billion, 29 which may require agricultural production to double or triple to keep pace with population 30 increases (United Nations, 2017; Rohr et al., 2019). To date, agricultural practice has relied 31 on the application of synthetic chemical inputs to optimise crop yields, including synthetic 32 pesticides, which reduce crop losses by targeting plant pathogens, and synthetic fertilizers, applied to increase crop biomass. Synthetic pesticides play a critical role in mitigating crop 33 34 damage by pathogens, which are responsible for annual crop losses of 17-30% for the five major crops (Savary et al., 2019). The development of synthetic pesticides is in itself 35 unsustainable, estimated to cost \$250 million to bring a single active ingredient to market, with 36 37 an estimated success rate of 1 in 140,000 synthesised compounds (Lamberth et al., 2013). 38 Moreover, the over-application of pesticides can lead to the development of pesticide resistance, rendering them less effective. The production and application of inorganic nitrogen 39 fertilizer has resulted in crop production being the largest cause of human alteration to the 40 global nitrogen cycle (Smil, 1999). The Haber-Bosch process is used to produce inorganic 41 42 nitrogen fertilizer, through the conversion of hydrogen and nitrogen into ammonia. However, 43 the process is energy intensive, occurring at high temperatures and pressure, generating a 44 carbon footprint contributing ~1.2% of overall global anthropogenic CO<sub>2</sub> emissions (Nørskov 45 and Chen, 2016). Furthermore, the application of inorganic nitrogen to soils leads to enhanced 46 microbial production of nitrous oxide ( $N_2O$ ), the potent greenhouse gas, through soil microbial 47 nitrification and de-nitrification. As such, concentrations of N<sub>2</sub>O have substantially increased 48 in the atmosphere since 1960 as a direct result of fertilizer applications (Davidson, 2009). With 49 projected increases in crop demand, agricultural expansion could result in approximately 10-50 fold increases in pesticide use, and 2.7-fold increases in fertilizer application (Rohr et al., 2019). Concerted efforts should therefore be made to develop more sustainable control 51

methods to reduce over-reliance on synthetic fertilizer and pesticides, through shifts in agronomic practice (Fisher et al., 2018; Tester et al., 2010). Whilst genetically modified crops demonstrating enhanced disease resistance show potential to reduce pathogen damage and could potentially reduce the requirement for pesticide inputs, the regulatory frameworks required to commercialise the crops are lengthy and cumbersome (Kanchiswamy et al., 2015). Therefore, it is an opportune time to explore alternative control strategies to chemical control or genetic modification.

59 One alternative solution to chemical inputs is through the addition of antagonistic, beneficial, 60 microorganisms, due to their ability to antagonise pathogenic soil microbes, and enhance plant biomass. Soil microorganisms produce a wide spectrum of secondary metabolites enabling 61 them to compete with neighbouring microorganisms, which they have likely evolved to 62 compete for the same resources within soil (Brakhage and Schroeckh, 2011; Garbeva and 63 64 Weisskopf, 2020). For example, bacteria from the genus of soil-dwelling Streptomyces spp. produce a diverse range of secondary metabolites, which have been exploited for human 65 medicine, with approximately 80% of antibiotics currently being sourced from the genus (de 66 67 Lima Procópio et al., 2012). The structural diversity of secondary metabolites explains their 68 broad spectrum of activities, including mediating communication intra- and inter-specifically, 69 defence against competitors, nutrient acquisition, and symbiotic interactions (Spiteller, 2015; 70 Macheleidt et al., 2016). Whilst most research on microbial secondary metabolites focusses 71 on non-volatile compounds, increasing attention is being paid to microbial volatile organic compounds (mVOCs). VOCs are a class of secondary metabolites with a low molecular weight 72 (< 300 Da), high vapour pressure, and low boiling points, which tend to be lipophilic in nature 73 74 (Schulz-Bohm et al., 2017). Their ability to diffuse through gas and water-filled pores within the heterogenous soil matrix make them suitable for both short and long-distance signalling 75 76 (Maffei et al., 2011). Under competitive soil conditions, due to the presence of other competing organisms, VOCs are important for antibiosis and signalling for symbiotic interactions (Effmert 77 et al., 2012). The capability of mVOCs to suppress neighbouring pathogens and signal to 78

plants demonstrates their potential to be exploited as alternatives to chemical fertilizers and pesticides, which could provide a more sustainable solution, as well as having negligible hazardous effects on animals and the environment (Tilocca et al., 2020). This review focuses on the role of mVOCs in maintaining plant health, through the direct suppression of plant pathogens, the induction of plant resistance against pathogens, and the promotion of plant growth (Figure 1), highlighting their potential as alternative solutions to synthetic pesticides and fertilizers.



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- 87 Figure 1 | Overview of the biological activities of microbial Volatile Organic Compounds
- 88 (mVOCs).

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### 93 Role of volatiles in the suppression of plant pathogens.

94 Several studies demonstrate mVOCs can inhibit a range of plant pathogens, highlighting their suitability as a potential sustainable alternative to pesticides. One of the first examples 95 demonstrating an inhibitory role for mVOCs against plant pathogens were those produced by 96 Pseudomonas species isolated from soybean and canola, in the inhibition of Sclerotinia 97 sclerotiorum; a fungal pathogen with a broad host range of over 400 plant species (Fernando 98 et al., 2005). Of 23 VOCs identified from Pseudomonas species, six significantly reduced 99 mycelial growth of S. sclerotiorum. Similarly, VOC production by two strains of Bacillus 100 101 endophytes significantly reduced the weight and number of the vegetative, long-term survival structures (sclerotia) of S. sclerotiorum (Massawe et al., 2018). VOCs from Burkholderia 102 ambifaria (Groenhagen et al., 2013) and a range of other rhizobacterial isolates (Velivelli et 103 al., 2015) have demonstrated the ability to inhibit growth of the ubiquitous soil-borne pathogen 104 Rhizoctonia solani. MVOCs can also display inhibitory activity against bacterial pathogens. 105 106 Exposure of Clavibacter michiganensis, the causal agent of bacterial ring rot of potato, to 107 VOCs from *Bacillus subtilis* led to significant inhibition of pathogen growth, with benzaldehyde, 108 nonanal, benzothiozole and acetophenone specifically demonstrating inhibitory activities 109 (Rajer et al., 2017). Bacillus VOCs also inhibited the growth of Xanthomonas oryzae, the 110 causal agent of bacterial leaf blight of rice, with decyl acetate and 3,5,5-trimethylhexanol 111 specifically inhibiting pathogen growth (Xie et al., 2018). As well as inhibition against fungal and bacterial pathogens, mVOCs can display inhibitory activity against pathogenic oomycetes. 112 Exposure of Phytophthora capsici to Bacillus and Acinetobacter VOCs significantly reduced 113 mycelial growth of the oomycete, with 3-methylbutanol, isovaleraldehyde, isovaleric acid, 2-114 ethylhexanol and 2-heptanone showing specific inhibitory roles (Syed-Ab-Rahman et al., 115 2019). VOCs produced by Nodulisporium also demonstrated anti-oomycete activity against 116 117 several Pythium species, although the causal VOCs involved in this inhibition were not individually assayed (Sánchez-Fernández et al., 2016). Taken together, mVOCs can display 118 inhibitory activity against a range of fungal, bacterial and oomycete pathogens, which could 119

have biotechnological potential as alternatives to pesticides. A summary of the individual
VOCs involved in pathogen suppression from the studies discussed is provided in Table 1.

122 Due to the presence of a chiral centre, 1-octen-3-ol has two optical isomers; (R)-(-)-1-octen-3-ol and (S)-(+)-1-octen-3-ol. Interestingly, when these optical isomers were investigated for 123 inhibitory roles against the fruit spoilage pathogen *Penicillium chrysogenum*, (R)-(-)-1-octen-124 3-ol inhibited spore germination of five out of seven isolates, whereas (S)-(+)-1-octen-3-ol 125 inhibited spore germination of only two isolates, suggesting the different enantiomers display 126 differences in inhibitory activities (Yin et al., 2019). Furthermore, (R)-(-)-1-octen-3-ol 127 128 modulated the transcription of a greater number of genes in *Penicillium chrysogenum*. This highlights an important consideration in the specificity of mVOCs for target pathogens, 129 providing a potential avenue for future research in the investigation of the bioactivity of chiral 130 131 VOCs, as well as providing chemical structural information for the development of active 132 substances to replace pesticides.

133 Whilst the role of mVOCs in the suppression of plant pathogens is well established, the molecular mechanisms involved in their inhibitory activities is receiving increasing attention. 134 When exposed to Bacillus VOCs, the tomato wilt pathogen Ralstonia solanacearum showed 135 a reduction in the expression of a range of virulence factor genes, including those related to 136 137 chemotaxis, type 3 and type 4 secretion systems, and extracellular polysaccharides, as well 138 as increasing the expression of a global virulence factor (Tahir et al., 2017a). Specifically, benzaldehyde, 1,2-benzisothiazol-3(2H)-one and 1,3-butadiene, which were identified 139 140 Bacillus VOCs, were involved in the modulation of virulence factor expression of the pathogen. 141 Similarly, expression of genes involved in virulence and biofilm formation in Xanthomonas oryzae were also downregulated upon exposure to Bacillus VOCs (Xie et al., 2018). VOCs 142 143 produced by Streptomyces alboflavus inhibited production of aflatoxin from the fungal pathogen Aspergillus flavus, through the downregulation of several genes involved in aflatoxin 144 145 biosynthesis (Yang et al., 2019). Exposure of Sclerotinia sclerotiorum to VOCs produced by Trichoderma species led to the upregulation of four glutathione S-transferase genes, which 146

are involved in the detoxification of antifungal secondary metabolites, which may contribute to the virulence of Sclerotinia sclerotiorum (Ojaghian et al., 2019). Sphingolipid metabolic processes, vesicle formation and trafficking, and membrane localisation were all disrupted upon exposure of Botrytis cinerea to the Streptomyces-derived VOC caryolan-1-ol (Cho et al., 2017). These findings suggest inhibitory VOCs can mediate virulence factor expression of target pathogens, as well as suppress metabolic processes, including the biosynthesis of toxins. Whilst the modes of action underpinning pathogen suppression by mVOCs are receiving increasing attention, a greater understanding of their molecular targets across a broader range of pathogenic microorganisms is critical prior to their deployment into open fields. 

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# 170 Table 1 | Summary of mVOC producing stains, their active VOCs and their target

# 171 pathogens.

| VOC producing strain              | Active VOCs  | Target pathogen           | Reference                         |
|-----------------------------------|--|---------------------------|-----------------------------------|
| Pseudomonas fluorescens           | Benzothiazole  | Sclerotinia sclerotiorum  | Fernando et al., 2005             |
| Pseudomonas chloroaphis           | Cyclohexanol   |                           |                                   |
| Pseudomonas aurantiaca            | n-Decanal  |                           |                                   |
|                                   | Dimethyl trisulfide  |                           |                                   |
|                                   | 2-Ethyl 1-hexanol  |                           |                                   |
|                                   | Nonanal  |                           |                                   |
| Burkholderia ambifaria            | 2-Undecanone   | Rhizoctonia solani        | Groenhagen et al., 2013           |
|                                   | 4-Octanone   | Alternaria alternata      |                                   |
|                                   | Dimethyl trisulfide  |                           |                                   |
|                                   | S-Methyl<br>methanethiosulfonate<br>2-Propylacetophenone<br>Dimethyl disulfide |                           |                                   |
| Rhizobacterial isolates           | 2,4-Di-tert-butylphenol  | Rhizoctonia solani        | Velivelli et al., 2015            |
|                                   | 2-Hexen-1-ol   |                           |                                   |
| Nodulisporium sp. GS4dll1a        | Not identified   | Pythium aphanidermatum    | Sánchez-Fernández et al.,<br>2016 |
| Bacillus amyloliquefaciens FZB42, | Benzaldehyde   | Ralstonia solanacearum    | Tahir et al., 2017a               |
| Bacillus artrophaeus LSSC22       | 1,2-Benzisothiazol-<br>3(2H)-one<br>1,3-Butadiene                              |                           |                                   |
| Bacillus subtilis FA26            | Benzaldehyde   | Clavibacter michiganensis | Rajer et al., 2017                |
|                                   | Nonanal  |                           |                                   |
|                                   | Benzothiozole  |                           |                                   |
|                                   | Acetophenone   |                           |                                   |
| Streptomyces spp.                 | Caryolan-1-ol  | Botrytis cinerea          | Cho et al., 2017                  |
| Bacillus spp. (VM10, VM11, VM42)  | 2-Undecanone   | Sclerotinia sclerotiorum  | Massawe et al., 2018              |
|                                   | 1,3-Butadiene  |                           |                                   |
|                                   | Benzothiazole  |                           |                                   |
| Bacillus strain D13               | N,N-<br>Dimethyldodecylamine<br>Decyl alcohol                                  | Xanthomonas oryzae        | Xie et al., 2018                  |
|                                   | 3,5,5-Trimethylhexanol   |                           |                                   |
| Bacillus amyloliquefaciens UQ154  | 3-Methylbutanol  | Phytophthora capsici      | Syed-Ab-Rahman et al.,            |
| Bacillus velezensis UQ156         | Isovaleraldehyde   |                           | 2019                              |
| Acinetobacter spp. UQ202          | Isovaleric acid  |                           |                                   |
|                                   | 2-Ethylhexanol   |                           |                                   |
|                                   | 2-Heptanone  |                           |                                   |
| Penicillium expansum              | (R)-(-)-1-Octen-3-ol   | Penicillium chrysogenum   | Yin et al., 2019                  |
| Penicillium solitum               |  |                           |                                   |
| Penicillium paneum                |  |                           |                                   |
| Streptomyces alboflavus           | Not identified   | Aspergillus flavus        | Yang et al., 2019                 |
| Trichoderma spp.                  | Not identified   | Sclerotinia sclerotiorum  | Ojaghian et al., 2019             |

#### 173 Role of volatiles in induced resistance

174 As well as directly inhibiting plant pathogens, mVOCs can also induce plant resistance to pathogens, reducing their susceptibility to plant diseases. This was first observed by Ryu and 175 colleagues (2004), who exposed Arabidopsis thaliana seedlings to Bacillius subtilis VOCs, 176 which reduced the severity of symptoms by the soft-rot causing bacterial pathogen Erwinia 177 carotovora. Seedlings exposed to VOCs produced by strains deficient in 2,3-butanediol and 178 acetoin biosynthesis developed greater disease symptoms relative to wild-type strain VOCs, 179 suggesting a specific role for these VOCs in induced systemic resistance. These findings have 180 181 been extended under greenhouse conditions, where exposure of cucumber to 2,3-butanediol led to enhanced resistance against the bacterial pathogen Pseudomonas syringae (Song et 182 al., 2019b). Interestingly, specificity in the ability of the different isomers of 2,3-butanediol to 183 induce plant resistance have also been observed, with (2R, 3R)-butanediol inducing 184 185 resistance of tobacco against Erwinia carotovorus, whereas (2S, 3S)-butanediol was 186 ineffective at inducing resistance (Han et al., 2006). Whilst most work on mVOCs in induced 187 resistance has focussed on 2,3-butanediol and acetoin, 3-pentanol and 2-butanone have also 188 been shown to induce resistance of cucumber against *Pseudomonas syringae*, and albuterol 189 and 1,3-butadiene play a role in the induction of resistance of tobacco against Ralstonia 190 solanacearum (Song and Ryu., 2013; Tahir et al., 2017b). As stomata can act as entry points 191 for bacterial invasion, mVOCs may induce stomatal closure to reduce pathogen 192 internalisation. This was investigated by Wu and colleagues (2018), who demonstrated that exposure of A. thaliana and tobacco to 2,3-butanediol and acetoin induced stomatal closure, 193 194 although the influence of stomatal closure on pathogen establishment was not determined.

Fungal VOCs have also demonstrated a role in inducing plant resistance against pathogens. *A. thaliana* seedlings exposed to *Trichoderma virens* VOCs demonstrated significantly reduced disease symptoms when inoculated with *Botrytis cinerea*, and symptoms were greater in seedlings exposed to *Trichoderma virens* VOCs deficient in sesquiterpene production, suggesting induced resistance could be attributed to the production of 200 sesquiterpenes (Contreras-Cornejo et al., 2014). Exposure of A. thaliana seedlings to 6pentyl-2H-pyran-2-one, a VOC commonly produced by a range of Trichoderma species (Jeleń 201 202 et al., 2014), demonstrated significant reductions in disease symptoms when subsequently inoculated with the fungal pathogens Botrytis cinerea and Alternaria brassicicola (Kottb et al., 203 204 2015). 1-Octen-3-ol, another commonly reported fungal-derived VOC, elicited A. thaliana 205 defence responses against *Botrytis cinerea*, although as this was tested as a racemic mixture, 206 the role of the two optical isomers of 1-octen-3-ol in induced resistance cannot be discerned 207 (Kishimoto et al., 2007). More recently, VOC production from archaea (Nitrosocosmicus 208 oleophilus), which have received little attention relative to bacteria and fungi, have also been 209 shown to induce systemic resistance of A. thaliana against Pseudomonas syringae and Pectobacterium carotovorum; a necrotrophic bacterium responsible for soft-rot of a range of 210 vegetables (Song et al., 2019a). This suggests the biotechnological potential for mVOCs in 211 212 sustainable agriculture is not limited to bacteria and fungi, and archaea may provide a new avenue for future research. A summary of the individual VOCs involved in induced resistance 213 from the studies discussed is provided in Table 2. 214

215 Several studies indicate mVOCs induce resistance of plants against pathogens through the 216 modulation of plant hormones, which may be specifically elicited by different VOCs. Induced 217 systemic resistance of A. thaliana against Erwinia carotovorans by Bacillus subtilis GB03 VOCs was dependent on ethylene biosynthesis, although induced resistance by Bacillus 218 amyloliquefaciens IN937A was independent of ethylene signalling, suggesting different VOCs 219 220 present in the blends may utilise alternative pathways to induce resistance (Ryu et al., 2004). Contrastingly, resistance of cucumber to Pseudomonas syringae exposed to Bacillus subtilis 221 GB03 VOCs involved the activation of a jasmonic acid marker gene, CsLOX, but not the 222 ethylene marker gene, CsETR, suggesting a role for jasmonic acid but not ethylene signalling 223 224 (Song et al., 2019b). Discrepancies in these findings may relate to differences in plant species under investigation, which may utilise different defence pathways in VOC perception, or 225 redundancy in salicylic acid, jasmonic acid and ethylene signalling pathways in induced 226

227 resistance (Ryu et al., 2004). A role for jasmonic acid signalling has also been observed in 3-228 pentanol and 2-butanone induced resistance, where expression of CsLOX was significantly 229 upregulated upon VOC exposure, whereas expression of salicylic acid and ethylene marker genes were not induced (Song et al., 2013). Similarly, A. thaliana mutants exposed to 3-230 231 pentanol confirmed 3-pentanol mediated immune response involved jasmonic acid and salicylic acid signalling pathways, as well as the Non-Pathogenesis Related 1 (NPR-1) gene, 232 233 but that ethylene signalling genes were not involved (Song et al., 2015). Tridecane, produced 234 by Paenibacillus polymyxa E681, was involved in the induced resistance of A. thaliana against 235 Pseudomonas syringae, through the modulation of salicylic acid, jasmonic acid, and ethylene marker genes (Lee et al., 2012). The Bacillus VOCs albuterol and 1,3- propanediol induced 236 systemic resistance of tobacco against Ralstonia solanacearum by inducing expression of 237 resistance (*RRS1*) and pathogenesis related proteins (*Pr1a* and *Pr1b*) (Tahir et al., 2017b). 238 239 Interestingly, 1,3-propanediol induced greater expression of the RRS1 gene relative to albuterol, whereas albuterol induced greater expression of PR genes, suggesting specificity 240 in the mechanisms of induced resistance for the VOCs. This is supported by findings from 241 Naznin and colleagues (2014), who demonstrated VOCs from cultures of Cladosporium and 242 243 Ampleomyces induced resistance of A. thaliana against Pseudomonas syringae, from which m-cresol and methyl benzoate were the dominant VOCs from each species, respectively. 244 When individually assayed, m-cresol elicited salicylic acid and jasmonic acid signalling 245 pathways in A. thaliana, whereas methyl benzoate induced jasmonic acid signalling with partial 246 salicylic acid signals, although neither VOC elicited ethylene signalling. Expression of genes 247 involved in salicylic acid signalling are also induced in tomato plants exposed to dimethyl 248 disulfide, inducing resistance against Sclerotinia minor (Tyagi et al., 2020). Interestingly, as 249 well as directly suppressing growth of Ralstonia solanacearum, benzaldehyde, 1,2-250 251 benzisothiazol-3(2H)-one and 1,3-butadiene elicited induced systemic resistance in tobacco, 252 through induction in the transcriptional expression of defence related genes, demonstrating 253 potential multi-functional roles of mVOCs (Tahir et al., 2017a).

## Table 2 | Summary of mVOC producing stains, their active VOCs, the plants displaying

# induced resistance upon exposure, and the target pathogens.

| VOC producing strain              | Active VOCs                                       | Target pathogen/plant species                                   | Reference                      |
|-----------------------------------|---|---|--------------------------------|
| Bacillus subtilis GB03            | 2,3-Butanediol                                    | Erwinia carotovora/A. thaliana                                  | Ryu et al., 2004               |
| Bacillus amyloliquefaciens IN937a | Acetoin   |   |                                |
| Pseudomonas chlororaphis O6       | (2R, 3R)-Butanediol                               | Erwinia carotovora/Nicotiana benthamiana                        | Han et al., 2006               |
| N.A.                              | 1-Octen-3-ol                                      | Botrytis cinerea/A. thaliana                                    | Kishimoto et al., 2006         |
| Talaromyces wortmannii FS2        | β-Caryophyllene                                   | Colletotrichum higginsianum /Brassica campestris                | Yamagiwa et al., 2011          |
| Paenibacillus polymyxa E681       | Tridecane   | Pseudomonas syringae/A. thaliana                                | Lee et al., 2012               |
| Bacillus                          | 3-Pentanol  | Pseudomonas syringae/Cucumis sativus                            | Song and Ryu, 2013             |
|                                   | 2-Butanone  |   | Song et al., 2015              |
| Trichoderma virens                | Terpenes  | Botrytis cinerea/A. thaliana                                    | Contreras-Cornejo et al., 2014 |
| Cladosporium sp. D-c-4            | M-Cresol  | Pseudomonas syringae/A. thaliana                                | Naznin et al., 2014            |
| Ampleomyces sp. F-a-3             | Methyl benzoate                                   |   |                                |
| Trichoderma asperellum            | 6-Pentyl-2H-pyran-2-one                           | Botrytis cinerea, Alternaria brassicicola/A. thaliana           | Kottb et al., 2015             |
| Bacillus amyloliquefaciens FZB42, | Benzaldehyde                                      | Ralstonia solanacearum/Nicotiana benthamiana                    | Tahir et al., 2017a            |
| Bacillus artrophaeus LSSC22       | 1,2-Benzisothiazol-3(2H)-<br>one<br>1,3-Butadiene |   |                                |
| Bacillus subtilis SYST2           | Albuterol   | Ralstonia solanacearum/Nicotiana benthamiana                    | Tahir et al., 2017b            |
|                                   | 1,3-Propanediol                                   |   |                                |
| Bacillus amyloliquefaciens FZB42  | 2,3-Butanediol                                    | A. thaliana/Nicotiana benthamiana                               | Wu et al., 2018                |
|                                   | Acetoin   |   |                                |
| Nitrosocosmicus oleophilus MY3    | Not identified                                    | Pectobacterium carotovorum, Pseudomonas<br>syringae/A. thaliana | Song et al., 2019a             |
| Bacillus subtilis GB03            | 2,3-Butanediol                                    | Pseudomonas syringae/Cucumis sativa                             | Song et al., 2019b             |
|                                   | Acetoin   |   |                                |
| N.A.                              | Dimethyl disulfide                                | Sclerotinia minor/Tomato  | Tyagi et al., 2020             |
| 256                               |   |   |                                |
|                                   |   |   |                                |

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# 258 Role of volatiles in plant growth promotion

MVOCs also have potential to enhance plant growth, enabling them to potentially be exploited as a new category of fertilizer, previously described as 'gaseous fertilizer' (Sharifi and Ryu, 2018). The role of mVOCs in promoting plant growth has been recognised for over a decade and was first reported by Ryu and colleagues (2003). *A. thaliana* seedlings exposed to VOCs of *Bacillus subtilis* GB03 and *Bacillus amyloliquefaciens* IN937a had significantly greater total leaf area relative to controls, suggesting airborne signals from the strains were responsible for the enhanced plant growth. Seedlings treated with exogenous 2,3-butanediol and acetoin, the 266 most abundantly produced Bacillus VOCs, demonstrated significant enhancements in leaf area, highlighting a specific role for the VOCs produced by these *Bacillus* strains in growth 267 268 promotion. Since this, VOCs from several species of *Bacillus* have shown a role in plant growth promotion. VOCs from a different strain of Bacillus subtilis (SYS2) also promoted growth of 269 270 tomato, for which albuterol and 1,3-propanediol played a specific role (Tahir et al., 2017c), suggesting different strains of the same species of Bacillus can deploy different VOCs to 271 enhance plant growth. 2-Pentylfuran, produced by cultures of Bacillus megaterium, 272 273 demonstrated dose dependent growth promotion of A. thaliana, with 1.5-fold increases in plant 274 biomass observed at a 10 µg dose (Zou et al., 2010). As well as *Bacillus* spp., VOCs produced 275 by other rhizobacteria can enhance plant growth, including Proteus vulgaris, which enhanced plant growth of Chinese cabbage, for which indole demonstrated a role (Yu and Lee, 2013). 276 277 Groenhagen and colleagues (2013) also observed significant increases in A. thaliana biomass 278 when exposed to a range of VOCs, with dimethyl disulfide, the most abundantly produced VOC across a range of Burkholderia ambifaria strains, demonstrating the greatest plant growth 279 280 promoting effects between doses of 1 ng and 1 mg.

281 Several fungal VOCs have also demonstrated a role in plant growth promotion, with 6-pentyl-282 2H-pyran-2-one from Trichoderma spp. shown specifically to influence plant growth. A. 283 thaliana seedlings exposed to 6-pentyl-2H-pyran-2-one demonstrated a reduction in fresh 284 plant weight, but also a reduction in disease symptoms when inoculated with certain fungal pathogens (Kottb et al., 2015). Contrastingly, Garnica-Vergara et al. (2016) showed the 285 application of 6-pentyl-2H-pyran-2-one led to increased biomass and root branching of A. 286 thaliana between 50-175 µM, although at the highest tested doses, a phytotoxic effect was 287 observed. Discrepancies in the findings between these studies are likely due to differences in 288 the doses of 6-pentyl-2H-pyran-2-one used in each study, whereby those used by Kottb and 289 290 colleagues were orders of magnitude greater than those used by Garnica-Vergara. Whilst 6pentyl-2H-pyran-2-one is the most well-studied *Trichoderma* VOC, evidence suggests other 291 VOCs may also be involved in plant growth promotion. Exposure of A. thaliana to VOCs from 292

293 a range of Trichoderma species showed 6-pentyl-2H-pyran-2-one production was reported from certain strains which did not promote plant growth, and was not produced by certain 294 295 strains which did, suggesting other VOCs could contribute to the growth promotion observed. 296 (Lee et al., 2016). This is supported by findings from Estrada-Rivera and colleagues (2019), 297 who showed that 2-heptanol, 3-octanol and 2-heptanone produced by Trichoderma atroviride can also promote plant growth of A. thaliana. VOCs from other fungal species have also 298 299 demonstrated roles in plant growth promotion, including Fusarium oxysporum, which 300 significantly enhanced lettuce biomass, increasing the expression of expansin genes in leaves 301 and roots (Minerdi et al., 2011). Seedlings exposed to the Fusarium oxysporum-derived 302 sesquiterpene  $\beta$ -caryophyllene showed increased growth promotion, suggesting a specific role for the VOC in the plant growth promotion observed. Interestingly,  $\beta$ -caryophyllene 303 304 enhanced the biomass of Brassica campestris, as well as inducing resistance against 305 Colletotrichum higginsianum (Yamagiwa et al., 2011). A summary of the individual VOCs involved in plant growth promotion from the studies discussed is provided in Table 3. 306

307 Several studies indicate mVOCs may promote plant growth through modulating plant hormone 308 responses. The cytokinin- and ethylene- insensitive 2 (ein-2) and Arabidopsis cytokinin 309 receptor-deficient 1 (cre-1) mutants exposed to Bacillus subtilis GB03 VOCs did not display 310 increases in plant biomass, suggesting a role for cytokinin signalling pathways plant growth 311 promotion (Ryu et al., 2003). ein-2 also demonstrated a role in the growth promotion of A. thaliana by the VOC 6-pentyl-2H-pyran-2-one, as well as auxin transport proteins (Garnica-312 Vergara et al., 2016). Exposure of A. thaliana to 1-decene, a plant growth promoting 313 Trichoderma VOC, led to the differential expression of 123 genes, 17 of which were up-314 regulated and several of which were auxin related (Lee et al., 2019). Similarly, dimethyl 315 disulfide altered the root system architecture of A. thaliana, which showed significant 316 317 reductions in primary root length upon exposure, but increases in the number of lateral roots per plant, and number of root hairs per cm of root (Tyagi et al., 2019). These root architecture 318 changes were dependent on canonical auxin signalling pathways, with mutants deficient in 319

320 auxin responsive genes and transcription factors not exhibiting lateral root development or

321 growth enhancements.

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- Table 3 | Summary of mVOC producing stains, their active VOCs and the plants
- 332 displaying enhanced growth promotion upon exposure.

| VOC producing strain                        | Active VOCs                               | Plant species                                      | Reference                       |
|---|---|--|---------------------------------|
| Bacillus subtilis GB03                      | 2,3 Butanediol                            | A. thaliana  | Ryu et al., 2003                |
| <i>Bacillus amyloliquefaciens</i><br>IN937a | Acetoin                                   |  |                                 |
| Bacillus megaterium                         | 2-Pentylfuran                             | A. thaliana  | Zou et al., 2010                |
| Fusarium oxysporum                          | β-Caryophyllene                           | Lettuce (Lactuca sativa)                           | Minerdi et al., 2011            |
| Proteus vulgaris                            | Indole                                    | Chinese cabbage ( <i>Brassica</i><br><i>rapa</i> ) | Yu and Lee, 2013                |
| Burkholderia ambifaria                      | Dimethyl disulfide                        | A. thaliana  | Groenhagen et al., 2013         |
|   | Acetophenone                              |  |                                 |
|   | 3-Hexanone                                |  |                                 |
| Trichoderma virens                          | 6-Pentyl-2H-pyran-2-<br>one               | A. thaliana  | Garnica-Vergara et al.,<br>2016 |
| Bacillus. subtilis SYST2                    | Albuterol                                 | Tomato (Solanum lycopersicum)                      | Tahir et al., 2017c             |
|   | 1,3-Propanediol                           |  |                                 |
| Trichoderma spp.                            | 1-Decene                                  | A. thaliana  | Lee et al., 2019                |
| N.A.  | Dimethyl disulfide                        | A. thaliana  | Tyagi et al., 2019              |
| Trichoderma atroviride                      | 6-Pentyl-2H-pyran-2-<br>one<br>2-Heptanol | A. thaliana  | Estrada-Rivera et al.,<br>2019  |
|   | 3-Octanol                                 |  |                                 |

|     | 2-Heptanone                |
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| 344 | Field applications of VOCs |

345 For mVOCs to serve as an alternative to synthetic pesticides and fertilizers, it is important to determine the efficacy of active VOCs under open-field conditions. Dimethyl disulfide is a VOC 346 347 produced by bacteria including Bacillus cereus, which can suppress soil borne pathogens and nematodes, and elicit systemic resistance against Botrytis cinerea and Cochliobolus 348 349 hetereostrophus (Huang et al., 2012). Dimethyl disulfide has been successfully 350 commercialised as an alternative to pesticides as the soil fumigant PALADIN<sup>®</sup>, which has been patented (Paladin Technical EPA Reg. No. 55050-3), highlighting the potential of mVOCs to 351 352 serve as alternatives to chemical inputs (de Boer et al., 2019). Performance of other VOCs demonstrating a role in induced plant resistance under laboratory conditions are also 353 demonstrating promise in the field. Field trials with 2,3-butanediol induced resistance of 354 cucumber to viruses (Kong et al., 2018) and maize to the northern corn leaf blight fungus 355

Setosphaeria turcica (D'Alessandro et al., 2014). As well as 2,3-butanediol, cucumber plants exposed to 3-pentanol and 2-butanone showed reduced disease symptoms against the *Pseudomonas syringae* under open field conditions (Song and Ryu, 2013). These studies demonstrate promise in the performance of mVOCs in the field, and future work should investigate the efficacy of bioactive VOCs identified from lab-based studies under field conditions.

### 362 **Conclusions and future outlook**

The biological activities of mVOCs highlight their potential to act as alternatives to 363 unsustainable agricultural chemical inputs, to feed a growing population. Much work 364 investigating mVOCs focusses on the model plant species A. thaliana and Nicotiana 365 benthamiana (Table 2, 3), and future research should focus on the protective and growth 366 stimulating effects of mVOCs on crop and vegetable species. Similarly, characterisation of 367 368 mVOCs has been performed on limited range of microbial species. In the case of VOCs from 369 bacteria, Bacillus spp., in particular 2,3-butanediol and acetoin, have been the focus of several studies, and for fungi, Trichoderma species has attracted the most attention, specifically 6-370 pentyl-2H-pyran-2-one (Table 2, 3). Current estimates indicate that <10% of mVOCs have 371 been ascribed a function (Lemfack et al., 2018), suggesting enormous potential for identifying 372 373 other mVOCs with biotechnological applications. Most studies reported here investigate VOC production from axenic cultures of microbes, although growing bodies of evidence suggest 374 interspecific interactions between microorganisms can enhance production of VOCs which 375 376 have demonstrated inhibitory activity against pathogens (Tyc et al., 2014; Tyc et al., 2017). 377 This could enable identification of biologically relevant VOCs involved in the suppression of 378 pathogenic microorganisms. Whilst several studies also investigate the role of mVOCs on a 379 single biological activity, there are likely overlaps in the roles of these VOCs. For example, 6-380 pentyl-2H-pyran-2-one has demonstrated roles in pathogen suppression (e.g. Jeleń et al., 381 2014), plant growth promotion (Garnica-Vergara et al., 2016), and induced resistance (Kottb et al., 2015), suggesting biological activities should not be considered in isolation. Moreover, 382

383 whilst many studies demonstrate VOCs have suppressive effects on plant pathogens, it is 384 important to determine the effect of these inhibitory VOCs on plant development. For example, 385 inhibitory mVOCs produced by Streptomyces yanglinensis 3-10 against Aspergillus were 386 tested to determine their effects on plant development, and showed that VOCs did not inhibit 387 peanut seedling germination, suggesting promise for use under field conditions (Lyu et al., 2020). Whilst investigation of the efficacy of VOCs under field conditions have demonstrated 388 389 promise, a wider range of VOCs require testing at this scale. More research on methods of 390 application of mVOCs onto fields is also required, for example, the effectiveness of drench 391 versus spraying application (Garbeva and Weisskopf, 2020). The potential for plant production 392 of active VOCs for the biological control of fungal pathogens through companion cropping systems is another potential form of delivery. Bean cultivars resistant to Colletotrichum 393 394 lindemuthianum, the causal agent of black spot disease, enhanced resistance of susceptible 395 cultivars to the pathogen when exposed to VOCs from resistant cultivars (Quintana-Rodriguez et al., 2015). These findings could be translated in the field for the control of plant pathogens, 396 through companion cropping systems, using VOCs from disease-resistant cultivars to deliver 397 VOCs to neighbouring crops to enhance disease resistance against fungal pathogens. In 398 399 conclusion, studies reviewed here demonstrate mVOCs can be exploited to serve as sustainable alternatives to agricultural chemical inputs, which can potentially reduce our 400 overreliance on the current unsustainable methods at a time when population growth, and 401 402 demand, is likely to substantially increase.

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