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- 2 A Qualitative Exploration of Two Risk Calculators Using Video-Recorded NHS Health Check
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29 Abstract

- 30 Background: The aim of the study was to explore practitioner-patient interactions and patient
- 31 responses when using QRISK[®]2 or JBS3 cardiovascular disease (CVD) risk calculators. Data were from
- 32 video-recorded NHS Health Check (NHSHC) consultations captured as part of the UK RIsk
- 33 COmmunication (RICO) study; a qualitative study of video-recorded NHSHC consultations from 12
- 34 general practices in the West Midlands, UK. Participants were those eligible for NHSHC based on
- 35 national criteria (40-74 years old, no existing diagnoses for cardiovascular-related conditions, not on
- 36 statins), and practitioners, who delivered the NHSHC.
- 37 Method: NHSHCs were video-recorded. 128 consultations were transcribed and analysed using
- 38 deductive thematic analysis and coded using a template based around Protection Motivation 39 Theory.
- 40 Results: Key themes used to frame the analysis were Cognitive Appraisal (Threat Appraisal, and
- 41 Coping Appraisal), and Coping Modes (Adaptive, and Maladaptive). Analysis showed little evidence
- 42 of CVD risk communication, particularly in consultations using QRISK[®]2. Practitioners often missed
- 43 opportunities to check patient understanding and encourage risk- reducing behaviour, regardless of
- 44 the risk calculator used resulting in practitioner verbal dominance. JBS3 appeared to better promote
- 45 opportunities to initiate risk-factor discussion, and Heart Age and visual representation of risk were
- 46 more easily understood and impactful than 10-year percentage risk. However, a lack of effective
- 47 CVD risk discussion in both risk calculator groups increased the likelihood of a maladaptive coping 48 response.
- 49 *Conclusions*: The analysis demonstrates the importance of effective, shared practitioner-patient
- 50 discussion to enable adaptive coping responses to CVD risk information, and highlights a need for
- 51 effective and evidence-based practitioner training.
- 52 Trial registration: ISRCTN ISRCTN10443908. Registered 7th February 2017
- 53 Keywords: Cardiovascular disease; risk communication; NHS Health Check; chronic disease 54 prevention.
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67 Background

Cardiovascular disease (CVD) is the leading cause of death worldwide, accounting for one in four 68 69 deaths in England (1). NHS Health Check (NHSHC) is a national programme designed to screen CVD 70 risk, facilitate early diagnosis and reduce health inequalities (2). All eligible adults, aged 40-74 years, 71 should be invited for NHSHC where CVD risk is assessed based on several risk factors (e.g., blood 72 pressure and cholesterol). Best practice guidance suggests a patient should be given appropriate CVD 73 risk management advice following effective risk communication (3). However, information on the 74 nature and quality of the consultation is scarce. Insight is limited to patient and practitioner 75 experiences (4), which do not provide a complete understanding of patient-practitioner interactions 76 within the NHSHC.

77 Communicating risk is challenging (6) and differs according to patient understanding, numerical 78 literacy, and personality traits (7). Further, emotional responses to risk and the resulting influence on 79 health behaviour varies between patients (8–11). If delivered sub optimally, risk communication can 80 increase anxiety and reduce confidence in health professionals (12). Effective risk communication can 81 improve knowledge, empower and create autonomy (13–15). Within NHSHC, 10-year percentage is 82 calculated and communicated to patients using a prediction algorithm, QRISK®2 [with current 83 transference to QRISK[®]3 (16)], which is populated from new and pre-existing data within the patient's 84 record. However, most younger eligible adults are predisposed to a lower CVD risk which can lead to 85 false reassurances (17,18), misinterpretation (5,19–22), and poor patient recall and confusion (23). 86 The 2014 JBS risk calculator (JBS Board, 2014) includes Heart Age (25–28) and 10-year percentage 87 risk, but primarily focuses on lifetime risk of CVD events through CVD event-free survival (Table 1). It 88 also presents information using multiple visual displays (Table 1) (6) and a function to manipulate the 89 scores to show how risk-factor modification affects overall risk (e.g., smoking cessation). Whilst there 90 is some evidence to suggest that lifetime risk, Heart Age and visual displays may be more effective 91 during the communication of risk (27–36), until recently, no research has compared the efficacy of 92 JBS3 and QRISK[®]2 for communicating risk in NHSHC.

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94	Table 1. Features available in each of the risk calculators included in the study
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Risk	Absolute	Relative	Heart	CVD	lcon	Therm-	Visual	Bar	Line	Risk
Calculator	risk (10-	risk	Age	Event-	Arrays	ometer	Anal-	Chart	Graph	Modif-
	year			Free		scale	ogue			ication
	percent-			Survival			Scale			Functi-
	age risk)			Age						on
QRISK [®] 2	\checkmark	\checkmark	\checkmark		\checkmark					
QRISK [®] 2	\checkmark	\checkmark	\checkmark		\checkmark	\checkmark		\checkmark		\checkmark
+Informatica										
JBS3	\checkmark		\checkmark	\checkmark	\checkmark		\checkmark	\checkmark	\checkmark	\checkmark

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96 Patient-practitioner interactions are complex (37,38), yet application of theories such as Protection

97 Motivation Theory (PMT; 37) have shown how fear of threat can translate in to health-protective

98 behaviour (39). Within PMT, the intention to engage in health-protective behaviour is influenced by

99 an individual's cognitive appraisals (Fig 1). CVD risk information presented in an NHSHC can feed into

such appraisals, either threat appraisal (risk of CVD), or coping appraisal (consequences of undertaking

101 positive behaviour change).







105 Threat appraisal focuses on the source of the threat (CVD risk) and evaluates the probability of a maladaptive response (i.e., behaviours that inhibit patients' ability to adjust to the threat). It considers 106 107 patients' perceived severity of CVD risk, the consequences of CVD, perceived vulnerability to future 108 CVD and intrinsic and extrinsic rewards for not addressing CVD risk [i.e., perceived benefits of not 109 acting to manage or reduce risk (maladaptive response)]. Coping appraisal evaluates the adaptive 110 coping responses available to the patient to deal with the threat (i.e., evaluation of ways to reduce 111 CVD risk). This includes patients' perceptions of self-efficacy to engage in adaptive coping, 112 practitioners' promotion of self-efficacy through individualisation, perceived response efficacy of 113 adaptive coping, and response cost of adaptive coping (Figure 1). Both are influenced by intrapersonal 114 (e.g., prior experience of both positive (adaptive) and negative (maladaptive) behaviours) and 115 environmental variables (e.g., persuasive communication) (41). For NHSHC, PMT highlights the 116 practitioners' key role in providing information on CVD risk whilst taking into account a patients' 117 experience, priorities and beliefs to encourage engagement in risk-reducing behaviours (42).

118 The RIsk COmmunication in NHSHC (RICO) study involved analysis of video-recorded NHSHC 119 consultations (43). Analysis of quantitatively characterised content of consultations found that 120 compared with JBS3 consultations, those using QRISK[®]2 were shorter, more verbally dominated by 121 practitioners and involved less discussion of CVD risk (44). This provided the first insight from objective 122 data on the nature and content of NHSHC consultations, with comparison between risk calculators. 123 But the need for more in-depth qualitative analysis, to explore the quality of interactions around CVD risk and how this differs by CVD risk calculator, was clear. This paper uses deductive thematic analysis 124 125 on a sample of video-recorded consultations, from the RICO study, which aimed to: explore how practitioners use QRISK[®]2 and JBS3 to communicate CVD risk in the consultation; explore how patients 126 respond to risk information. 127

128

- 129 Methods
- 130
- 131 Design

- The RICO study sought to explore the perception and understanding of CVD risk from both patients and practitioners, when using the JBS3 or QRISK[®]2 calculator, the practitioners' associated advice or offer of treatment and the patients' response. Information regarding the overall study, including recruitment and data collection is available (43). In this report, we focus on qualitative deductive analysis of video-recorded NHSHC consultations.
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138 Participants and Recruitment

139 A detailed description of patient and public engagement along with participant and practice 140 recruitment is available elsewhere (44). To summarise; data were collected from general practices 141 (n=12) located in the West Midlands of England (Jan-17 to Feb-19), supported by the Clinical Research 142 Network West Midlands. Practices were matched in pairs, based on deprivation, and assigned to usual 143 practice (communicated CVD risk using QRISK[®]2) or intervention (communicated CVD risk using JBS3). 144 Two practices in the QRISK[®]2 group used Informatica (supplementary software within in the NHSHC 145 template that includes Heart Age and risk manipulation similar to JBS3; Table 1); data were included 146 in the analysis as this was felt representative of 'usual care'. Quotations from the transcripts from 147 these practices are referred to as 'QRISK[®]2+Informatica'. Only patients who were eligible for an 148 NHSHC, based on national criteria, were included in the study (45). Postal invitations included a 149 participant information sheet and were stratified based on gender, age and ethnicity for each practice. 150 Practitioners were already employed by the practice (8 Health Care Assistants (HCAs), 6 Practice 151 Nurses, 1 Sister) and all but one practitioner already had experience of delivering NHSHC as part of 152 their job role (a HCA who was new to NHSHC delivery; 1-2 weeks prior to study commencement).

153 In total, 175 video-recorded NHSHCs were conducted (range 6.8 to 38 minutes), reduced to 173 154 following screening of data (JBS3=100; QRISK[®]2=73; practitioner error resulted in 2 exclusions). To 155 define the sample for qualitative analysis, a further 21 Health Checks were excluded for reasons 156 including: projected (not actual) risk score communicated (n=7), no discussion of risk (n=2), no 157 communication of lifetime risk (n=4), incorrect use of JBS3 (n=6), insufficient use of English language (n=2). Of the remaining sample (n=154), 64 Health Checks included communication of CVD risk using 158 159 QRISK[®]2. Therefore, 64 NHSHC using JBS3 were identified, matched on patients' gender, ethnicity and 160 CVD risk score (Table 2), giving a sample of 128 for analysis.

161

162 Procedure

Practices video-recorded NHSHCs, communicating CVD risk using QRISK[®]2 or JBS3 (following both
 patient and practice consent). All consultation dialogue was transcribed verbatim.

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166 Analysis

167 Data were analysed using deductive thematic analysis (46,47) using a coding template based around

168 Protection Motivation Theory (PMT; 37) (Supplementary Material 1). Each transcript was uploaded to

169 QSR International's NVivo 12 qualitative data analysis software (51). This allowed for interpretation of

170 how QRISK[®]2 and JBS3 were used to communicate risk in the context of PMT components (e.g., verbal

171 persuasion, influencing patient prior beliefs and priorities; and how patients respond, which will

172 reflect the nature of their appraisal within the consultation).

- 173 Initially, 14 transcripts were inductively coded independently by two Caucasian female researchers,
- experienced in qualitative research, a senior researcher (LC; DPsych) and research associate (VR;
- 175 MSc). The senior researcher (LC) had previous research experience related to children's healthy
- eating whilst the research associate (VR) had previous research experience in risk communication in
- 177 NHSHC. This was to check the application of PMT to NHSHC consultations and agree coding between
- the researchers. Following inductive coding, 13 new codes were added to the framework (e.g.,
- 179 medical history, clarification of results). The final version of the coding template shows how
- 180 elements of the PMT were classified including code definitions and examples from the NHSHC
- 181 consultations (Supplementary Material 2). The remaining 114 transcripts were individually coded by
- 182 LC and VR; two in every 20 transcripts were independently dual-coded to check reliability using
- 183 Kappa coefficients for each NVivo node within the PMT framework (i.e., 19th, 20th, 39th, 40th, 59th,
- 184 60th etc). Reliability ranged from .48 to .71 over the five reliability checks conducted, indicating fair
- to good reliability (52). Data saturation was considered reached at the point of completion of coding.

186 Subsequent analysis of codes was led by SF (Researcher; MSc) (supported by SG, CG, NE and VR) to identify codes for key elements of the PMT model, splitting the consultations into two groups 187 188 (QRISK[®]2 and JBS3). Specific parts of transcripts that illustrated the practitioner communicating CVD 189 risk to the patient and patient responses were identified. These related to Cognitive Appraisal (Threat 190 Appraisal, and Coping Appraisal), and Coping Modes (Adaptive, and Maladaptive). The focus of the 191 present analysis was the consultation time spent communicating CVD risk (across sample 192 approximately 1.7 (±0.83) minutes) (44), to explore similarities and differences between the two 193 calculators under investigation. Most patients said little in response to CVD risk information. 194 Therefore, where there was evidence of two-way dialogue, we present quotations that best illustrate 195 risk communication and subsequent patient response.

196

197 Results

198 Deductive thematic analysis was conducted on 128 video-recorded NHSHC consultations. Patients 199 were approximately matched by gender, age and ethnicity. Those in the QRISK[®]2 group were 200 marginally younger (Table 2).

201

202 Table 2. Characteristics of patients included in analysis

		QRISK [®] 2	JBS3
Gender			
	Female	32	32
	Male	32	32
	Total	64	64
Age			
	40-54	34	21
	55-64	17	20
	65-74	13	23
	Total	64	64

Ethnicity			
	White British (WBRI)	58	56
	Black Asian and Minority Ethnic (BAME)	6	8
	Total	64	64
CVD Risk			
	Low %	43	43
	Med-high %	21	21
	Total	64	64

204 Results of the deductive thematic analysis demonstrate how practitioners communicated risk using

either QRISK[®]2 or JBS3. They also present patients' responses to the communication of risk, allowing
for evaluation of the two calculators. Each quote is coded to denote which risk calculator was used,
the consultation identifier, patient gender and age.

208

209 Cognitive Appraisal

210 Threat appraisal

Threat appraisal was the most commonly identified element of the PMT model. It was observed in all consultations, although less frequently in JBS3 consultations (coded 584 times; average 9/consultation) compared to QRISK[®]2 consultations (coded 634 times; average 10/consultation).

214 Once presented with a QRISK[®]2 score, patients acknowledged their risk level, but their understanding 215 of 10-year percentage risk was unclear. For example, one asked 'is that percentage of risk alright?'. 216 Generally, the risk score was acknowledged with a single word response, such as 'yeah' or 'okay', 217 impeding practitioners' ability to gauge patient understanding and classification of response for this 218 analysis. Heart Age aided patient understanding of CVD risk, resulting in questions such as: "... so really 219 what can I do about that? I mean I know it is all estimated." Such questions reflected a level of 220 understanding of the score and intention to engage in risk-reducing behaviour. Several patients 221 expressed surprise at their risk. Below, the patient appeared to question how the score was calculated 222 as they perceived themselves to be healthier than the outcome suggested, leading to some mistrust. 223 They also made two references to being 'fitter' than the risk score indicated, which was not addressed 224 by the practitioner:

- 225 *P I thought I was fitter than that though.*
- 226 HP (Laughter) You are doing good exercises,
- 227 P But I was fitter than that though...
- HP OK, so the health years, so on average expect to survive is 80 for yourself without a heart attack or a stroke, yeah? And then your risk of a heart attack or stroke in the next ten years is 15%, so you do need to look after yourself, because we would say that is a medium risk.
- 231 P Yes
- 232 HP So wouldn't say it is too high or low, but a medium to high.
- 233 P
- HP OK, and then that's what it looks like so from now until there, that's the last one the chance of
 surviving without a heart attack.
- 236 *P* That's estimated?

ОК

237 HP This is estimated, we don't know what's going to happen you might be even longer.

240

P So about 94 I might snuff it?

By overlooking the patient's surprise and perhaps focusing on the process of NHSHC, the patient momentarily shut down until they were presented with their CVD event-free survival age. The concept, included within JBS3, prompted some misunderstanding among patients and practitioners. This was perceived by some patients as an estimate of life expectancy.

245 Practitioners provided little follow-up risk score explanation when using QRISK[®]2 or JBS3.

248 *P* Yeah, which is not very high.

- HP It does increase with age. If it is above 10% we then pass it on for them to have a look at it and they will be able to decide when to have your next health check which should be three years or one year. Obviously next time you come in any results you've got in the red tend to up your risk and they tend to up your Heart Ageas well. So when you come in next time if your blood pressure is back down, and obviously it could be less so... Your Heart Age has come up as 66.
- 255 P Well I am 66 this year.
- 256 HP Yes, yes, so it is quite near isn't it?
- 257Yes. So, for example, if you were a smoker and that was in the red that would put your Heart258Age at 75. So the only one we have got in the red really is that one cholesterol...
- 259 P It's only marginal though isn't it

(QRISK[®]2+Informatica, 2_016, Male, 65)

260 261

Above, the patient was identified as medium-high risk, but the practitioner did not elaborate on the severity or implications, leaving the patient's interpretation of their risk score as "*not very high*". This was compounded when the patient received their Heart Age. The practitioner did not address the patient's misinterpretation of the severity of their risk nor explain why their results are conflicting, again perhaps focussing more so on the consultation process than the patient. This led the patient to dismiss their elevated cholesterol as "*only marginal*". The absence of active listening skills was recurrent across both groups making it difficult to gauge patient understanding.

- Although limited, there was more evidence of active practitioner-patient engagement in conversation regarding threat of CVD in the JBS3 group following risk score manipulation (e.g., practitioners visually showed patients that a reduction in blood pressure, could lower their Heart Age):
- HP ... so obviously your blood pressure is not too bad, that is fine where it is at 128, but your cholesterol, so ideally we like that to be below 5. So if you could get it below 5, so lets put it down to 4.8, you can see that automatically that it brings your risk down to 1.8%
 P Oh I see yes
- HP ... improves your life expectancy slightly, and probably brings your Heart Age down a year. So
 it is just you know showing that it can and obviously, the lower you can keep these factors that
 you influence, for longer, the better quality of life and life expectancy there is... your risk is
 going to increase slightly with age. So it is about trying to moderate those other factors.
 P So what impact does exercise have on that?
- HP It has quite a significant impact on your cholesterol, it does help your cholesterol a lot. We
 know that it helps because that increases your good cholesterol, which can help increase the
 balance so, that can help with it as well.
- 284 P So what's the normal range that is seen for HDL cholesterol?

²⁴⁶ HP Right, this is the screening I was telling you about. I will just print that out for you. So your
247 risk of any heart disease is 15%.

287

HP HDL can be anything from sort of 1.1 to about 2.5, you don't get much over, I can't say I have seen many, I have seen a few. But your cholesterol could be anything down to you know 3.5.
 P OK and really bad would be?
 HP 6 or 7's, so would be sort of ...

288

290

291

289 P Oh OK – so 5.6 is yeah it is edging up isn't it?

(JBS3, 7_020, Male, 45)

The patient evaluated the threat and sought information to facilitate their appraisal. Whilst positive, this exchange again demonstrated misunderstanding of CVD event-free survival age as life expectancy, this time from the practitioner. The visual impact of demonstrating how CVD risk can be reduced through risk factor modification (e.g., cholesterol, smoking status) aided patient understanding and realistic threat appraisal. There were fewer examples of active engagement during discussion of the CVD risk score within QRISK[®]2 consultations, which may be due to the inability to show risk factor modification when using the calculator.

299

300 Coping appraisal

References to coping appraisal were more common among JBS3 (60, 94%) than QRISK[®]2 consultations
(55, 86%). Communication of risk in JBS3 consultations were not observed in the same way as QRISK2;
with most focussed on facilitators of adaptive coping (i.e., risk-reducing changes that patients could
make):

- 305HPErm and then this gives you your healthy year's outlook, so based on your current lifestyle your306risk of a heart attack or a stroke in the next 10 years is coming out at 2.4 %. We aim for307peoples risk to be below 10% so that's...
- 308 P Yeah.
- HP ...absolutely fine and on average you expected to survive to an age of 84 without a heart attack
 or stroke, so brilliant. So as I say your blood pressure pretty good as it is you not going get
 that much lower.
- 312 P No.
- 313 *HP Diet wise would you say you got a pretty good diet do you know the sorts of...*
- 314 P We sort of grow our own vegetables and fruit and stuff like that...
- 315 HP Yeah.
- 316 *P* ... so erm I mean we eat reasonably healthy.
- 317 318

(JBS3, 7_044, Female, 54)

Following communication of the risk score, the practitioner moved on to ways the patient could maintain a low risk through identification of eating behaviours, suggesting that whilst practitioners (from both groups) spent little time talking about the CVD risk score, the additional risk information available in JBS3 may have helped to facilitate more risk factor discussion between the patient and practitioner than when using QRISK[®]2.

Discussions around response costs for adaptive coping (i.e. perceived costs associated with a recommended behaviour) related to use of statins or blood pressure medication were only observed in seven JBS consultations (11%) and, not any QRISK[®]2 consultations.

- 327 HP Obviously we've tried them, and they haven't agreed with you.
- 328 *P I tried the ***17,34 statin*
- HP Yeah, and there are other statins we can discuss and obviously benefits of those they can
 reduce your cholesterol obviously and we can reduce your risk of cardiovascular disease so it

331 332 333 334 335 336 337 338 339 340 341 342 343	Р НР Р НР НР	might be worth having a think about and if you want to just discuss that further or a different type of statin All they did was it affected my reflux and it made the reflux worse Yeah So Yeah I was on that and an Aspirin – I did the aspirin first and then Yeah, but it was affecting you. I mean it might be worth a having another err you know a think about whether you wanted to erm take that, because obviously it would lower your cholesterol, obviously add to a healthier heart erm and reduce that risk of cardiovascular disease, but then obviously we'll not gonna push that onto you, err it is something you can talk to myself, one of the doctor's once you have had time to think erm and they can advise or XXX the prescribing nurse, because they can prescribe, you know talk about you know
345 346	P	might be one out there that actually has a better erm compatibility with yourself OK?
347	HP	How do you feel about what I have told you today?
348	Ρ	I would consider it.
349		(JBS3, 8_177, Male, 71)
350	11	
351	Here, t	ne patient's prior engagement with statins as a response cost was discussed between the
352	Номени	and the practitioner, reading to a re-evaluation of the medical intervention by the patient.
354	address	sed. The practitioner appeared to interrupt the patient to repeat the benefits of stating. The
355	perceiv	ed cost of taking stating also provided motivation to adopt risk-promoting behaviours:
356	HP	But well done!
357	Р UD	I am pleased about that yes. That's really good, no Lamyory pleased with you because that's really good. And where you
359 360	пР	were at 10% just before, it is now 5%, so you have halved the risk in that time. So that's really
361	P	Yeah yeah and that's what I would rather do than taking tablets
362	HP	Of course
363	Ρ	I would rather think, no I know what's wrong, I will deal with it in time.
364		(QRISK [®] 2+Informatica, 12_055, Female, 64)
365		
366 367 368	In a pre accoun opporte	evious NHSHC (conducted 5 years prior), the patient identified what was wrong and showed tability for making health-related behavioural changes, "I will deal with it". However, unities to discuss facilitators of adaptive coping were sometimes missed by practitioners:
369 370 371 372 373 374 375	HP	I look at your [total: HDL cholesterol] ratio and your ratio is good. But just to keep a little eye on it, maybe they will test it again in a year's time. You probably won't be due this Health Check, because your risk is only 3%, which is low. It will increase as you age, so your Health Check wouldn't be due again for five years, but you could probably have your cholesterol done in about a year, with you know normal bloods taken out of your arm. Erm your Heart Age, because you got such results in the green, your Heart Age has come up less than your actual age, but that's with the two years added on from being an ex-smoker.
376 377 378 379	P HP	So is it possible that I could get that even lower, if my cholesterol came down a lot. Well we will have a look now, I will play about with it. So if you had never smoked at all, your Heart Age would be 45. If you were still smoking, it could be 51. So being an ex-smoker tends to add two years, so with your cholesterol, it could be brought down to 46.

380 P Massively yeah.

- 381
- 382

The patient above attempted to understand how their risk could be reduced. The practitioner did not engage with this to encourage the risk-reducing behaviour or discuss ways to reduce cholesterol. Rather, they proceeded to talk about the impact of previous smoking status (which is unmodifiable) on CVD risk. Whilst references to coping appraisal were more common among JBS3 consultations, again practitioners in both groups appeared to focus more on the consultation process than the patient.

389

390 Coping Modes

391 *Maladaptive Coping*

392 Maladaptive coping was classified when the patient appeared to negatively engage in risk 393 management discussion with the practitioner and was dismissive of suggestions (e.g., patient believes 394 they have a sufficiently healthy lifestyle and dismisses discussion about change). As noted, patient 395 responses to risk information were often limited to single words. Where context allowed, apparent 396 non-engagement and minimal verbal responses from patients were also interpreted as maladaptive 397 coping responses when the risk information communicated by the practitioner did not provoke a 398 response from the patient (i.e., a monosyllabic response). Maladaptive coping was identified in 49 399 (77%) QRISK[®]2 consultations (coded 139 times; average 3/consultation), compared to 40 (62.5%) JBS3 400 consultations (coded 110 times; average 3/per consultation). Below, the practitioner briefly 401 communicates QRISK[®]2 before moving on to Heart Age (using Informatica):

402	HP	Yeah this is the screening I was telling you about. So, your risk is 9%
403	Р	Right
404	HP	Which is your key risk for you over the heart disease and diabetes and stroke risk
405	Р	And heart disease
406	HP	As you, as you age your risk does seem to increase, erm any results that you've got in the red
407		tend to push up your Heart Age slightly
408	Ρ	Aha
409	HP	So if we can get the results out of the red and back into the green, that can reduce that one
410		down
411	Ρ	Right OK
412	HP	So for example, being an ex-smoker actually puts 2 years onto your Heart Age there.
413	Ρ	Yeah
414	HP	So would be its 66 and it would be 66 if you never smoked at all.
415	Р	Right
416	HP	Erm if you were still smoking it would be 73.
417	Р	Oh my gosh
418	HP	Your Heart Age has come up as 71 – you are 69. Any results you have got in the red do tend to
419		increase your Heart Age. It is just that one cholesterol one that was in the red.
420		(QRISK®2+Informatica, 2_001, Female, 66)
421		

422 Sometimes maladaptive responses to the 10-year percentage risk score could be prompted into a 423 more positive response through communication of Heart Age. The brief exchange prior to the 424 communication of Heart Age may have also suggested that the practitioner was less confident in

- discussing absolute risk, a recurrent observation. If practitioners cannot clearly explain the meaning
 of a patient's percentage risk score to confer understanding, subsequent discussion/actions regarding
 risk management may be undermined.
- 428 Minimal engagement following communication of the risk score was also identified in JBS3 429 consultations:
- 430 ΗP OK. And your blood pressure being under 82 but that's fine everything is OK with that. Now, 431 on average what they're saying is that your risk of a heart attack or stroke in the next 10 years 432 is 15%, again, that is down to the fact that you smoke. 433 Ρ Hт ΗP OK. 434 Ρ Sigh 435 436 ΗP And to expect to survive till the age of 78 without a heart attack or a stroke OK. And if we have 437 a look at the next, this one, just reiterates its this, but if I changed it to... say if you didn't smoke 438 OK and we went to the next your Heart Age would then become equal with your age. 439 Ρ Hm hm ΗP 440 And your risks in... of a heart attack or stroke in the next 10 years comes down to 9.6% and 441 your actual survival to the age of 83 without a heart attack or a stroke OK and that reiterates 442 it in that as well. 443 Р Hm hm 444 ΗP OK so that's the difference. 445 Р Hm hm 446 Р Hm hm 447 ΗP OK. Erm 448 Ρ Cough 449 ΗP So it gives you food for thought. 450 Hmm hmm. You haven't told me anything I didn't already know. Ρ 451 (JBS3, 1_181, Male, 65) 452
- 453 The practitioner did not encourage the patient to quit smoking nor did they explore any experience 454 with previous attempts and therefore were unlikely to promote intention to change behaviour. With 455 an added pressure of time within NHSHC consultations, adherence to the process of completing the 456 NHSHC may result in patients being passive recipients of information. As shown above (and 457 throughout), the practitioner delivered the information presented on the screen without asking 458 questions to check understanding or provide context. This resulted in little response from the patient 459 which may be indicative of deference to the practitioner's health knowledge and is, again, evidence of power imbalance. 460
- 461 Negative engagement in discussion of risk factor management was also evident following the462 suggestion of statin use:
- 463 HP What we do tend to say if you risk is above 10%, obviously I don't know whether the doctors
 464 have ever discussed a statin with you?
- 465 P I don't see the point, I mean if I am going to live to 83, I am quite happy to live to 83.
- 466 HP So it's just about being aware that we know that taking a statin can help reduce your overall
 467 risk, so it's one that sort of we usually advise that ...
- 468 P If we do this next time and I don't know, it was 04 [last cholesterol check], and we are now in
 469 2018, so what does that mean, it could be another 12 to 14 years [for the next Health Check]?
 470 HP Well I do normally try and do these every five years, so yeah.
- 471 *P* So yes, if it is hugely worse

472	HP	Yeah
473	Ρ	in five years, I will consider it.
474		(JBS3, 7_012, Male, 70)
475		
476	Again	the natient misinterpreted CVD event-free survival age and suggested that their risk was not

Again, the patient misinterpreted CVD event-free survival age and suggested that their risk was not
 severe enough to consider medical intervention in the short-term; only if it was "*hugely worse*" in the
 next NHSHC. This was another example of a missed opportunity for the practitioner to question the
 patient's understanding of their risk and potential false reassurance provided by the 10-year
 percentage risk score.

481

482 Adaptive Coping

483 Adaptive coping was classified when the patient appeared to positively engage with discussion of 484 interventions to manage CVD risk; apparently listened to and engaged in the consultation and 485 accepted what was being said/suggested. Adaptive coping was identified in 58 QRISK[®]2 (91%) 486 consultations with (310 codes; average 5/consultation) and 55 JBS3 (86%) consultations (328 codes; 487 average 6/consultation). The frequency of occurrences overall and per consultation were similar 488 between the two groups for adaptive coping in medical interventions [39 QRISK®2 (61%) consultations 489 and 116 codes (average 3/consultation); 42 JBS3 (66%) consultations and 142 codes (average 490 3/consultation)] and lifestyle changes [11 QRISK[®]2 (17%) consultations and 15 codes (average 491 1/consultation); 20 JBS3 (31%) consultations and 32 codes (average 2/consultation)]. A number of 492 patients showed intentions to change behaviour as a result of their CVD risk.

493HPSo your ratio is 3.5. So this is the screening I was telling you about. So your risk is 3%. That494will increase as you age.

495 P Yeah

502 503

- 496HPAnd obviously if we can, perhaps with your smoking, it has pushed your Heart Age up to 48,497and your age is 41. Because that is the only result you have got in the red. Because all your498other results are really good, they are in the green.
- 499 P They are really good, so I need to ...
- 500 HP Yeah, so if you had never smoked at all, your Heart Age would be aged 40.
- 501 P I think I need to do something about that don't I?

(QRISK[®]2+Informatica, 2_122, Male, 41)

Here is another example of how Heart Age changed the way the patient responded to the information presented. Whilst a positive response was received, little time was allowed to respond before the practitioner moved on. Giving time for the patient to check their understanding with the practitioner may have provided opportunity for the patient to increase their confidence in actively engaging with coping behaviours. Another example of positive engagement during the discussion of risk was also identified in another practice:

- 510 HP OK that's good. Err let's see your key risk.
- 511 P If I know what weight so I can just try to change my life.
- 512 HP Yeah, yeah it would be good if you can cut down and, and lose a bit of the weight err what was
 513 it 13.8. So it's only a little higher it should ideally be below 10% is what we want so 13.8 is a
 514 bit high but it is because of, because of your weight. OK you don't smoke you don't drink
 515 alcohol so that' all good, but your waist is a bit big as well.
- 516 P Yeah
- 517 HP Your waist is erm it's 112 let's have a look.

518 P Around my tummy around here.
519 HP Yeah let's have a look. So your waist is 44 inches.
520 P And that's this bit here.

(QRISK[®]2, 3_259, Male, 57)

523 The patient above engaged in the information presented about their risk and suggested a need for 524 weight management, somewhat reinforced by the practitioner. However, the interaction was 525 disjointed, which may be a result of the practitioner's need to complete all elements of the NHSHC 526 and attending to what the patient is saying, creating a barrier for adaptive coping. Whilst scarce, a 527 successful strategy for supporting adaptive coping used by one practitioner was to ask the patient to 528 reflect on the risk information they had received, prompting consideration of action needed:

- 529 HP So average survival free of heart attack or stroke is 84.1 years OK? So how do you feel about 530 that?
- 531 *P* Oh I will make more of an effort to lose some weight.

(JBS3, 1_154, Female, 70)

The approach adopted by the practitioner encouraged the patient to express their immediate reaction to their CVD risk, which gave the patient time to evaluate their action and show intention to change their behaviour. This was a rare example of the PMT in action; showing connection between risk information and the patient's intention to change her behaviour, helping to redress the power imbalance evident in most consultations across both groups. It also demonstrated the significant role the practitioner plays in ensuring risk communication is delivered effectively regardless of the risk calculator.

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543 Discussion

544 We report the first qualitative data from 128 video-recorded NHSHCs to explore how practitioners use 545 QRISK[®]2 and JBS3 to communicate CVD risk in the consultation, and how patients respond to risk 546 information. An ecologically valid approach was used to compare usual practice (QRISK2) with use of 547 JBS3 following basic introductory training to familiarise practitioners with the tool and features to use. 548 This allowed a realistic study of how practitioners would use JBS3 if it was made available, without 549 additional risk communication training, which is generally not provided for NHSHC practitioners (4,53).

550 Main findings in relation to our aims were, first, that components of the PMT including threat 551 appraisal, facilitators of and response costs to adaptive coping were coded more frequently in 552 consultations using JBS3 (compared with QRISK2). This suggests that JBS3 may provide more opportunities to initiate risk factor discussion than QRISK2, possibly due to the risk factor modification 553 554 function. Second, CVD event-free survival age communicated in JBS3, was misunderstood by both 555 patients and practitioners. Third, patients presented with a QRISK[®]2 score acknowledged their risk 556 level, but it was unclear whether they understood 10-year percentage risk (or trusted the basis and 557 relevance to them). Visual presentations of risk and Heart age, found in JBS3 (not typically communicated within standard practice systems - although can be generated in QRISK®2), appeared 558 559 more impactful and aided patient understanding, compared with QRISK[®]2. This is in line with evidence 560 that Heart Age is easier to understand than 10-year percentage risk (30,54) and visual displays are 561 preferable for promoting risk-reducing behaviour (31).

Regardless of the risk calculator used and despite the recognised importance of risk communication 562 563 in both the NHSHC best practice guidance (3) and competence framework (55), there was little discussion of CVD risk. This was particularly marked in QRISK[®]2 consultations. Practitioners often 564 simply relayed the risk score, without discussing the implications of the risk for the patient or what 565 566 they could do about it. Equally, most patients offered minimal responses to the risk information, often 567 acknowledging with a single word. Practitioners may have avoided confirming patient understanding if they felt unable to explain the risk scores in more detail or the pressure of time may have prevented 568 569 further exploration at the expense of the quality of risk communication. This supports evidence that 570 patients and practitioners struggle to understand CVD risk and some practitioners lack confidence in 571 communicating the risk score (4,18–21) leading to poor patient recall of CVD risk, confusion (22) and 572 misunderstanding.

573 There was an apparent absence of active listening by practitioners who frequently missed cues from 574 patients who were unclear about their risk score. Active listening involves making a conscious effort 575 to focus on what is being said rather than passively 'hearing' the message, and leads to improved 576 levels of patient satisfaction and greater adherence to treatment options (56). By not providing 577 additional information to patients that would allow them to appraise their risk, practitioners are 578 limiting the opportunity for patients to show intent to engage in risk reducing behaviours, thus 579 encouraging a maladaptive coping response. Best practice guidance (3) recommends that 580 practitioners use motivational interviewing (MI) to encourage adherence to recommended treatment 581 (57). Motivational interviewing is a person-centred approach to promote discussion with patients to 582 resolve ambivalence (58). There was little to no evidence of MI techniques in our 128 NHSHC.

583 Limited patient responses and poor listening skills, leading to practitioner dominance, were inferred 584 from quantitative analysis of the complete RICO study cohort [n=173 (44)]. These were confirmed 585 here, with evidence of missed opportunities to discuss patients' intentions to behaviour change. 586 Missing these opportunities risks undermining the purpose of the NHSHC; without discussion of 587 intervention practitioners are unlikely to encourage patients to commit to engaging in risk-reducing 588 behaviours. The demands on practitioners to complete all aspects of an NHSHC within a limited time 589 could lead to prioritisation of process over patient engagement. The resulting practitioner-dominated 590 consultations are less patient-centred, and would be expected to lead to low patient and practitioner 591 satisfaction (59–62), and poor patient outcomes, such as adherence to clinical recommendations and 592 health-promoting behaviour (63). Where there was talk of risk-reducing behaviour, JBS3 appeared 593 more effective than QRISK[®]2 in promoting discussion of facilitators for adaptive coping, perhaps due 594 to additional functionality (i.e., manipulation of risk). This suggests that other methods of 595 communicating risk may be more suitable to promote discussion around risk-reducing behaviour.

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597 Implications for Practice

The NHSHC programme is an ambitious non-communicable disease prevention programme, the largest of its kind (4). An evidence-based review of NHSHC is underway to maximise the programme's benefit in the next decade, with likely changes to the universal offer of in-person consultations in primary care (64). Whilst changes to delivery are inevitable, elements of the programme will still require practitioner-patient consultation. Our findings show that certain functions of JBS3 are useful for communicating CVD risk to patients, and also highlighted important implications for NHSHC practice in general:

• There is a clear training need among NHSHC practitioners. There is an expectation that practitioners 'should be trained in communicating the risk score and results to the client' and

- 607 that 'methods, such as motivational interviewing techniques, should engage clients in person-608 centred conversations about their own reasons for change' (55) (p21). Yet it is difficult for 609 practitioners to meet these requirements without necessary training and ongoing support. 610 Here, these skills were generally not evident and we know from previous work that 611 practitioners responsible for delivering NHSHC generally receive little (or no) training in CVD 612 risk communication and motivational interviewing (22,65,66).
- Alongside training, there is also a need to prioritise the quality of interaction over the process of the consultation. Increasing the overall appointment length or, perhaps more feasible, streamlining the components of NHSHC would give practitioners more time to engage patients in dialogue regarding their CVD risk and its management. The minimal response from patients during NHSHC consultations made it difficult for us (and practitioners) to gauge patient understanding and intentions for health-promoting behaviour.

Positive outcomes were identified when practitioners checked patient understanding, relayed information in a way that was meaningful to the patient (e.g. Heart age) and asked for patient feedback around the CVD risk score. Practices included in the sample allocated 15-30 minutes per Health Check, but our quantitative evidence showed consultations lasted as little as 6.8 minutes (44). There is clearly a need to provide additional support for practitioners. Measures to make consultations more patient-focused and give practitioners the flexibility to allow engagement in dialogue should be explored.

626

627 Strengths and Limitations

This is the first qualitative analysis to explore how risk is communicated and how patients respond during video-recorded NHSHC consultations, including comparison of QRISK[®]2 and JBS3 CVD risk calculators. Strengths include video-recording of NHSHCs across a diverse range of practices stratified by deprivation, with stratified sampling of patients, a comprehensive coding approach and a large sample (for qualitative analysis). Limitations are recognised:

- The use of QRISK[®]2+Informatica may have enhanced these consultations. To maintain ecological validity of 'usual practice', patients from these practices were included in the main analysis and has not altered our conclusions.
- Incorrect use of JBS3 (e.g., including communication of CVD event-free survival) resulted in
 the exclusion of several consultations which may have biased our comparisons in favour of
 JBS3.
- 639 Sparse discussion specifically around the risk score and subsequent patient responses made 640 it difficult to apply the PMT framework effectively (the theoretical framework required 641 researchers to classify patient responses as either positive or negative). Thus, a third 'neutral' 642 classification was added to the framework to account for monosyllabic responses (see Supplementary Material 2 for examples). Yet following the PMT, the new category still needed 643 644 to be classified as one of the two coping modes (i.e., adaptive or maladaptive). Moreover, follow-up interviews with patients and practitioners as part of the RICO study, will be analysed 645 to further explore their experiences, perceptions and understanding of CVD risk and related 646 647 intentions.
- 648

649 Conclusions

650 Analysis of video-recorded NHSHC consultations showed sparse communication of CVD risk, 651 particularly in consultations supported by QRISK[®]2. Where risk was communicated, patient responses 652 were minimal and practitioners missed opportunities to check patient understanding and encourage risk-reducing behaviour. JBS3 appeared to better promote opportunities to initiate risk-factor 653 discussion and Heart Age and visual representation of risk were more easily understood and impactful 654 655 than QRISK[®]2. The apparent lack of effective CVD risk discussion in both groups resulted in misunderstandings, practitioner-dominated discussion and increased likelihood of a maladaptive 656 657 coping response. The NHSHC programme is currently the largest CVD prevention initiative in England. 658 Whilst an evidence-based review of NHSHC is underway (58), with likely changes to programme 659 delivery, face to face consultations are necessary to deliver key elements of NHSHC. The analysis presented demonstrates the importance of effective, shared practitioner-patient discussion for 660 661 enabling adaptive coping responses, only achievable through solid practitioner understanding of the nature of the information being shared and through effective training to deliver this information to 662 663 patients (66).

664

665 Abbreviations

- 666 CVD Cardiovascular Diseases
- 667 NHS National Health Service
- 668 NHSHC National Health Service Health Check
- 669 RICO RIsk COmmunication Study
- 670 PMT Protection Motivation Theory
- 671 JBS Joint British Societies
- 672 HCA Health Care Assistant
- 673
- 674 *Declarations:*

675 *Ethics approval and consent to participate*

The study was approved by the UK Health Research Authority Approval (HRA; 11/11/2017) and the London - Dulwich Research Ethics Committee (11/09/2017) (reference: 17/LO/1463). Written informed consent was obtained for all participants prior to taking part.

679 Consent for publication

- 680 Not applicable, as no identifiable material is included.
- 681 Availability of data and materials

All data generated and analysed during the current study are not publicly available due to the
 confidential nature of participant transcript data, but are available from the corresponding author on
 reasonable request.

685 *Competing interests*

686 The authors declare that they have no competing interests.

687 Funding

This project is funded by the National Institute for Health Research HTA Programme (project number
 15/170/02). The funders had no role in study design, data collection and analysis, decision to publish,
 or preparation of the manuscript.

691 *Authors' contributions*

CG, DC, NE, EC, SG, RC and DCC contributed to the conceptualisation and design. LC and VR completed
coding, DCC supported reliability checks and SF completed analysis (supported by SG, CG, NE and VR)
of video-recorded NHSHC. NE, LC and VR contributed to the Patient Public Involvement. VR and CG
completed manuscript preparation. All authors contributed to the writing of the manuscript. All
authors read and approved the final manuscript.

697 Acknowledgements

698 The authors would like to acknowledge Clinical Research Network West Midlands colleagues for advice 699 in developing protocols, and members of the PPG and the virtual PPI participants who have informed

700 study development.

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