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**Participatory planning of a community-based payments for ecosystem services initiative in Madagascar's mangroves**

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**ABSTRACT**

Although the dynamics of coastal resources are largely determined by the impacts of human users, spatially-explicit social data are rarely systematically integrated into coastal management planning in data-poor developing states. In order to plan a community-based mangrove payments for ecosystem services initiative in southwest Madagascar, we used two participatory approaches – public participation geographic information systems and concept modelling workshops – with 10 coastal communities to investigate the dynamics and spatial distribution of the mangrove resources they use. In each village we conducted participatory mapping of land and resource use with different livelihood groups using printed satellite

34 images, and concept modelling workshops to develop concept models of the mangrove  
35 social-ecological system (including identification of threats and underlying drivers and  
36 proposal of targeted management strategies). Each community then proposed mangrove  
37 zoning consisting of strict conservation zones, sustainable use zones and restoration zones.  
38 Following validation and ground-truthing, the zones and management strategies proposed  
39 formed the basis of the zoning and management plan for the mangrove. Participatory  
40 approaches proved a simple and reliable way to gather spatial data and better understand the  
41 relationships between the mangrove and those who use it. Moreover, participation stimulated  
42 mangrove users to consider resource trends, the impacts of their activities, and required  
43 management actions, promoting a collective ‘buy-in’ for the project. Since participation  
44 extended beyond research to the development of management zones, rules and strategies, we  
45 believe that community ownership of the project has been strengthened and the chances of  
46 successfully conserving the mangrove improved.

47

48 **Key words:** Community-based natural resource management, Concept modelling,  
49 Conservation, Participatory mapping, Public participation GIS,

50

## 51 1. Introduction

52 The interactions between people and ecosystems largely determine the fate of resources, and  
53 management actions tend to target human activities (Fulton et al 2011). Thus, the importance  
54 of incorporating social data into management decision-making for natural resources in marine  
55 and coastal ecosystems is widely recognised (Cinner and David 2011; De Young et al 2008;  
56 Kittinger et al 2014). Practice, however, lags behind the theory, and social data are rarely  
57 systematically integrated into planning initiatives to the same extent as biophysical data (Le  
58 Cornu et al 2014; Moore et al 2017; St Martin and Hall-Arber 2008), in part because social  
59 data may be difficult to access in data-poor marine and coastal ecosystems (Aswani and Lauer  
60 2006; Levine and Feinholz 2015).

61

62 One approach that can help overcome the lack of available social data is participatory  
63 research, a set of methods used to facilitate interaction and communication between  
64 researchers or decision makers and local resource users (Chambers 1997). Participatory  
65 approaches have been widely adopted in sustainable development and natural resource  
66 management since the 1970s (Bell et al 2012; Newig et al 2008), in part because they help

67 provide the information required for planning by making use of local knowledge (Berkes et  
68 al. 2000). Moreover, when participation extends from the generation of knowledge to  
69 participation in decision making, resource management and sustainable development  
70 initiatives are more likely to be effective and enjoy greater compliance with rules (Basurto  
71 and Ostrom 2009; Brown et al 2016; Folke et al 2005). However, there remains little  
72 literature explicitly addressing how participatory research and planning are carried out in  
73 practice (Bell et al 2012), and most research on their use in marine and coastal contexts is  
74 from industrialised rather than developing countries (Koehn et al. 2013).

75

76 In this paper, we use two participatory methods – public participation GIS (geographic  
77 information systems) and concept modelling workshops – to plan the implementation of  
78 community-based payments for ecosystem services (PES) project in the mangroves of  
79 Madagascar. Mangrove forests provide a range of ecosystem services including coastal  
80 protection and erosion prevention (Alongi 2008; Dahdouh-Guebas et al. 2005), the  
81 maintenance of commercially important food species (Manson et al 2005; Nagelkerken et al  
82 2008), the provision of timber and other provisioning ecosystem services that sustain human  
83 communities (van Bochove et al 2014), and the sequestration and storage of carbon (Lafolley  
84 and Grimsditch 2009; Nellemann et al 2009). Indeed the carbon stored in mangrove  
85 vegetation and below-ground sediment can greatly exceed that of many terrestrial forests  
86 (Donato et al 2011; Kaufmann et al 2014; Pendleton et al 2012; Wang et al 2013), but this  
87 carbon is released when mangroves are cleared; as a result, these ecosystems now garner  
88 increasing attention from PES programmes aiming to reduce atmospheric carbon through  
89 preventing the degradation or clearance of mangrove vegetation (Friess and Thompson 2016;  
90 Locatelli et al 2014).

91

92 *Tahiry Honko* is a community-based PES initiative that seeks to promote the sustainable use  
93 of mangroves and contribute to poverty alleviation in southwest Madagascar, through the  
94 generation and sale of carbon credits (Plan Vivo certificates, <http://www.planvivo.org>) on the  
95 voluntary carbon market. The sale of carbon credits is intended to finance mangrove  
96 management and provide a source of income for mangrove users, thus providing an incentive  
97 to use the forests sustainably (Blue Ventures 2014). The project was conceived and catalysed  
98 by the non-governmental organisation (NGO) Blue Ventures, and is jointly implemented by  
99 Blue Ventures and the Velondriake Association, co-managers of the Velondriake protected  
100 area in which the project is located. As part of the initial planning phase of *Tahiry Honko*, we

101 used participatory research methods to investigate the use of mangrove resources in a  
102 spatially-explicit manner and better understand the dynamics affecting these social-ecological  
103 systems, in order to stimulate and facilitate the participatory development of mangrove  
104 zoning and a mangrove management plan.

105

106 Spatially-explicit approaches to participatory research and planning are particularly important  
107 because resource management is inherently place-based (Koehn et al 2013). As such,  
108 participatory mapping and public participation geographical information systems (a form of  
109 participatory mapping incorporating stakeholder spatial knowledge into GIS-based mapping)  
110 have been widely employed in a range of contexts for decades (McCall and Minang 2005;  
111 Norris 2014). Concept modelling forms part of the theory of change approach, which  
112 emerged in the 1990s as a tool for project evaluation in international development, (Stein and  
113 Valters 2012). It has been defined as “graphical illustration, generated in a participatory  
114 process, which represents how an intervention is expected to lead to planned outcomes  
115 through explicitly identifying causal links between outputs, intermediate outcomes and final  
116 outcomes along with the critical assumptions underlying those links” (White 2009), and is  
117 now widely used as part of the Open Standards for Conservation (CMP 2018). We use  
118 participatory mapping and concept modelling to generate complementary information on the  
119 spatial dynamics of mangrove use and the drivers of mangrove degradation as part of a  
120 participatory planning process. Our specific objectives are to i) understand the spatial  
121 distribution of land and resource use in order to develop a mangrove zoning plan, and ii)  
122 understand the pressures faced by mangroves and develop a concept model to inform and  
123 underpin the development of management strategies.

124

## 125 **2. Methods**

### 126 *2.1 Study system*

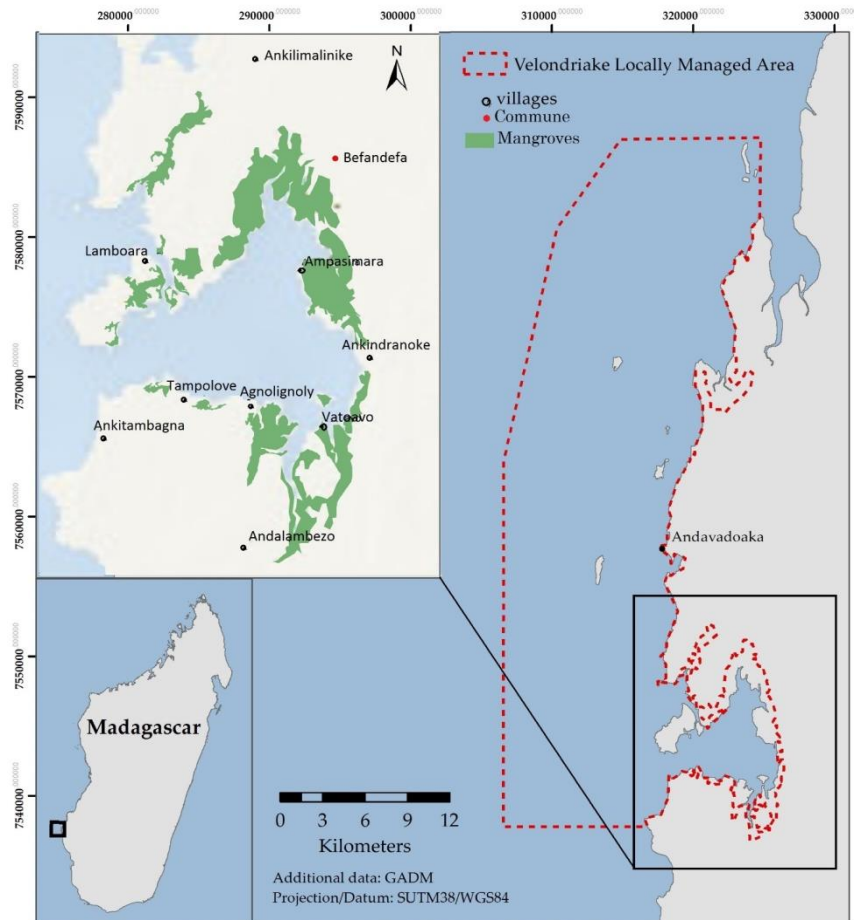
127 Madagascar harbours 2% of the world’s mangroves, but suffered a 21 % reduction in their  
128 area in the period 1990-2010 (Jones et al. 2016a). Baie des Assassins (Helodrano  
129 Fagnemotse) is a coastal inlet in sub-arid southwest Madagascar (22° 11' S and 43° 12' E,  
130 Befandefa Commune, Morombe District) containing 1507 ha of mangrove forests (Fig.1)  
131 composed of seven species: *Rhizophora mucronata*, *Bruguiera gymnorhiza*, *Ceriops tagal*,  
132 *Avicennia marina*, *Sonneratia alba*, *Xylocarpus granatum* and *Lumnitzera racemosa*. High  
133 stature, closed-canopy mangroves within the bay contain 454.92 ( $\pm 26.58$ ) MgC/ha, which is  
134 substantially lower than the global mean (Benson et al. 2017).

135

136 In 2015 the bay was inhabited by 3698 people in 10 villages (Blue Ventures 2015), primarily  
137 comprising Vezo traditional fishers who settled in the area in the 1800s (though five of the  
138 villages date only from the 1970s or more recently). Given that the region is extremely  
139 isolated and lacks transport, education and agricultural infrastructure, the community is  
140 heavily dependent on provisioning ecosystem services provided by natural habitats, which  
141 include coral reefs, seagrass beds, mangroves and adjacent terrestrial dry forest (south-  
142 western dry spiny forest-thicket, Moat and Smith 2007), for their subsistence and income.  
143 Principal livelihood activities include fishing, timber extraction and fuel wood collection,  
144 alongside agriculture, charcoal production and lime production (the burning of mollusc  
145 shells, primarily *Terebralia palustris*, to make a kind of plaster used in house construction,  
146 Scales et al 2017). Prior to the creation of the Velondriake Association some resource use  
147 was regulated through a *dina* (an informal customary institution), however this primarily  
148 concerned fisheries resources and not the mangrove. Perhaps as a result, resource extraction  
149 from the mangrove tended to be unsustainable, such that mangroves lost 3.18% of their area  
150 (net) between 2002 and 2014 (Benson et al. 2017). Although this is less than mangrove  
151 deforestation rates elsewhere in Madagascar (Jones et al 2016a, b), the net deforestation rate  
152 masks the extent of mangrove degradation within the bay, which has seen 22.4 % of closed-  
153 canopy mangrove transition to open-canopy mangrove during the same period (Benson et al.  
154 2017).

155

156 The bay forms part of Velondriake, a 676 km<sup>2</sup> Locally-Managed Marine Area (LMMA)  
157 established in 2006 and formally recognised as an IUCN category V protected area within the  
158 Madagascar Protected Area System since 2015 (National decree N° 2015-752). The LMMA  
159 is co-managed by the Velondriake Association, which is composed of representatives from  
160 32 fishing villages, and Blue Ventures. Although three villages in the bay have been involved  
161 in local mangrove conservation since 2006, including the establishment of two temporary and  
162 one permanent mangrove closures and the implementation of local regulations (a formalised  
163 *dina*) regarding their use (Andriamalala and Gardner 2010), the scale of these initiatives was  
164 insufficient to protect the entire mangrove forest. Thus the *Tahiry Honko* project was  
165 developed in late 2013 with the 10 villages of the bay.



166

167 **Fig. 1** Map of the study area showing the Velondriake locally-managed marine area (main  
 168 map) and mangrove cover and study villages in the Baie des Assassins (top inset)

169

170 **2.2 Data collection**

171 All research was carried out by a team of five Blue Ventures staff with local villagers  
 172 recruited as assistants for some exercises. The initial step consisted of courtesy visits to the  
 173 president of each village, and key informant interviews with village presidents and other  
 174 important residents in each of the 10 villages, in order to inform them about the objective of  
 175 the work and familiarise them with the approaches to be used. Informants were asked for  
 176 information about the village context, including the approximate population size, livelihood  
 177 activities of villagers and the most appropriate way to conduct meetings/workshops with the  
 178 local population.

179

180 **2.2.1 Land and resource use mapping**

181 We used participatory mapping to investigate the spatial distribution of land and resource use  
 182 in November 2013, conducting one session in each village. In each village we recruited and

183 trained three women to facilitate the mapping process, and held an open meeting attended by  
184 all villagers. We subsequently selected villagers to participate in focus groups on the basis of  
185 their principal livelihood activities (agriculture, fishing, lime production, timber extraction,  
186 charcoal production and fuel wood collection), with 6-10 people (including both men and  
187 women, depending on the activity) per group. We began each mapping activity by presenting  
188 a printed satellite image of the area surrounding each village to the group; these images were  
189 captured from Google Earth and showed land cover types including mangroves and adjacent  
190 dry forest. We first discussed what the images showed and how they could be interpreted, in  
191 order to assess the groups' level of understanding and their way of interpreting the images.  
192 Each group was then provided with a printed image, and asked to think about, and draw, the  
193 locations where they conduct their activities. Consensus was required for each location before  
194 it was drawn manually on the map. For each location mapped, we asked participants to  
195 answer five questions regarding i) land tenure, ii) land cover types, iii) accessibility, iv) the  
196 state of natural resources and trends in their availability over the previous five years, and v)  
197 the final destination of extracted resources. All participants in each activity group were  
198 encouraged to respond to the questions. Different coloured markers were used to better  
199 distinguish the maps drawn for each type of activity.

200

201 Following digitization of maps on Google Earth, a validation workshop was held to ensure  
202 the correct positioning of all activities and land use in the final maps. Three representatives  
203 were invited from each village, including the president of the village, one mangrove user and  
204 one dry forest user, for a total of 30 participants. During the workshop a projection of Google  
205 Earth, containing polygons representing each location drawn during the preliminary mapping  
206 exercises, was shown on a large screen (a suspended cloth). The precise boundaries of each  
207 site were discussed and validated by participants, facilitated by the interactive use of Google  
208 Earth. Use of the zoom function enabled participants to better visualise details of the area  
209 compared to the use of printed maps in the original mapping exercise, allowing us to refine  
210 each polygon with a high degree of accuracy, ensuring its correct placement using  
211 conspicuous landmarks to orientate participants.

212

### 213 ***2.2.2 Concept modelling workshops***

214 We subsequently investigated the threats faced by mangroves and their underlying drivers  
215 through concept modelling workshops carried out in March-April 2014. We held one  
216 workshop in each village (either indoors or outdoors depending on the village context) and



217 invited all residents; the number of participants ranged from 20 to 50 depending on the size of  
218 the village. During the process, participants were mixed in one group (men and women) to  
219 respond to the questions. Participants were asked about their perceptions of the state of  
220 mangrove resources, the direct threats acting upon them, the underlying causes of those  
221 specific threats and the strategies that could be implemented to reduce these threats. Their  
222 responses and the discussions these triggered were used to construct a conceptual model of  
223 the system on a large tarpaulin, with paper of different colours used to differentiate the state  
224 of the resource, threats, contributing factors, and potential strategies (Fig. 2). When the  
225 conceptual model was completed one representative of the community was invited to explain  
226 it, and all participants were asked to validate the final model.  
227



228  
229 **Fig. 2** Participants constructing a conceptual model of mangrove resource use in the village  
230 of Lamboara (Photo: Cicelin Rakotomahazo).  
231

### 232 **2.2.3 Participatory mangrove zoning**

233 A second participatory mapping exercise was conducted in September 2014 to develop a  
234 mangrove management zoning plan. A meeting was held in each village and all villagers  
235 were invited to attend in order to suggest the areas of mangrove they wished to allocate into  
236 conservation, sustainable management and restoration zones. As with the previous mapping  
237 exercise, participants (who ranged from 20 to 50 in number and included both men and  
238 women) were asked to draw on a printed map to delineate their preferred configuration of

239 zones. Consensus was required from all participants before finalising the mapping of the  
240 management zone for each village.

241

242 Following digitisation of maps on Google Earth, a validation workshop was held to ensure  
243 that there were no overlaps between the maps drawn by the 10 villages. Three representatives  
244 of each village (village president and two mangrove users) attended the workshop to discuss  
245 areas of overlap, resolve potential conflicts, and validate the final maps of each management  
246 area. Direct Google Earth screen projections were again used in this session, with each site  
247 proposed being adjusted or moved according to the suggestion of the participants and  
248 finalised through consensus of all representatives of the 10 villages.

249

250 Following validation, a definitive resource use and a proposed zoning map were produced  
251 using ArcGIS (version 10.2) software. Conceptual models from each village were  
252 synthesised, and a generic model for the Baie des Assassins produced using Miradi software  
253 (Miradi version 4.2, CMP 2013).

254

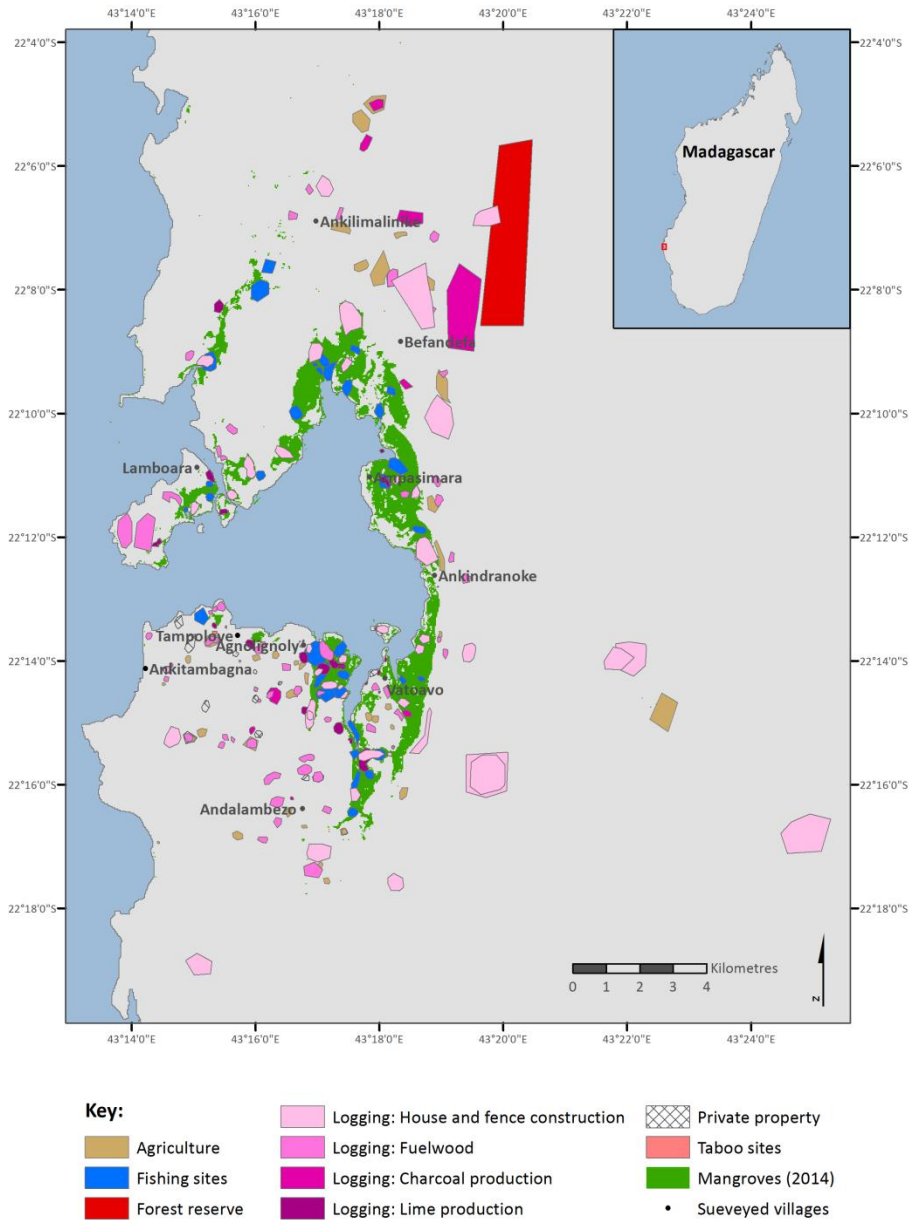
## 255 **3 Results**

### 256 ***3.1 Land use***

257 Participants from the 10 villages mapped 407 locations, of which 85 in the mangrove forest,  
258 226 in the coral reef and 96 in the adjacent dry forest. These areas are used for six types of  
259 land use: agriculture, fishing, fuel wood collection, extraction of timber for housing and  
260 fencing, extraction of wood for lime production, and extraction of wood for charcoal  
261 production (Fig. 3). Mangrove forests are used for fishing, the extraction of timber for  
262 housing and fencing, fuel wood collection, and wood extraction for lime production, while  
263 the dry forest is used for agriculture, extracting timber for housing and fencing, fuel wood  
264 collection and charcoal production. No participants used the mangroves for agriculture or  
265 charcoal production, and none expressed any interest in using mangrove wood to produce  
266 charcoal. This is due to the fact that mangrove areas are frequently inundated by the tide and  
267 thus cannot be used to build charcoal kilns; thus, mangrove wood would have to be moved to  
268 a dry place to process it into charcoal, but suitable dry sites are often distant. Consequently,  
269 the dry forest is favoured for the production of charcoal. Conversely, no participants used the  
270 dry forest to extract wood for lime production. Lime producers explained that the required  
271 shells are only available in the mangrove forests, and also that mangrove wood burns with a  
272 higher intensity, thus producing a higher quality product.

273

274 With respect to land tenure, private land registration was found to be relatively low at 4% of  
275 the area mapped (Fig. 3), with most property held under customary property rights. Under the  
276 customary system, the first person to clear land is considered the owner and consequently has  
277 property rights, which may be passed on to their descendants without formalisation of the  
278 claim. Such customary private property applies only to agricultural fields, since land used for  
279 other purposes is essentially open access and can be used by any villager living around the  
280 bay. New settlers must request the right to settle from the chief of the village; if trusted by the  
281 community and accepted, newcomers then have the right to buy and rent land. Some areas  
282 ('taboo areas') cannot be owned, used for resource extraction or even entered, generally  
283 because they contain tombs or are sacred for other reasons.



284

285 **Fig. 3** Synthesised land use map for Baie des Assassins based on participatory mapping  
 286 carried out in 10 marked villages. Coral reef and other marine resource uses are not mapped.

287

288 **3.2. Natural resource use**

289 Both mangroves and adjacent dry forest, as well as coral reefs, provide resources that  
 290 support the livelihoods of people in all villages of the bay. In addition to providing a range of  
 291 foods (finfish, crabs, shrimps, and gastropods), mangroves are an important source of wood.  
 292 Mangrove wood (especially *Ceriops tagal*, *Rhizophora mucronata* and *Bruguieria*  
 293 *gymnorhiza*) is used for most of the housing and fencing in the area, as well as providing the  
 294 fuel to burn shells for lime production. However it is rarely used for fuel wood except when

295 baking bread, because it burns at a very high temperature. Terrestrial forests are used as a  
296 source of fuel wood, wood for producing charcoal, and timber for housing. Outside of private  
297 property and taboo areas there is open access to all resources: resources from mangroves,  
298 coral reefs and terrestrial forests can be used by any resident or non-resident without  
299 requesting permission, and regardless of gender or ethnic group.

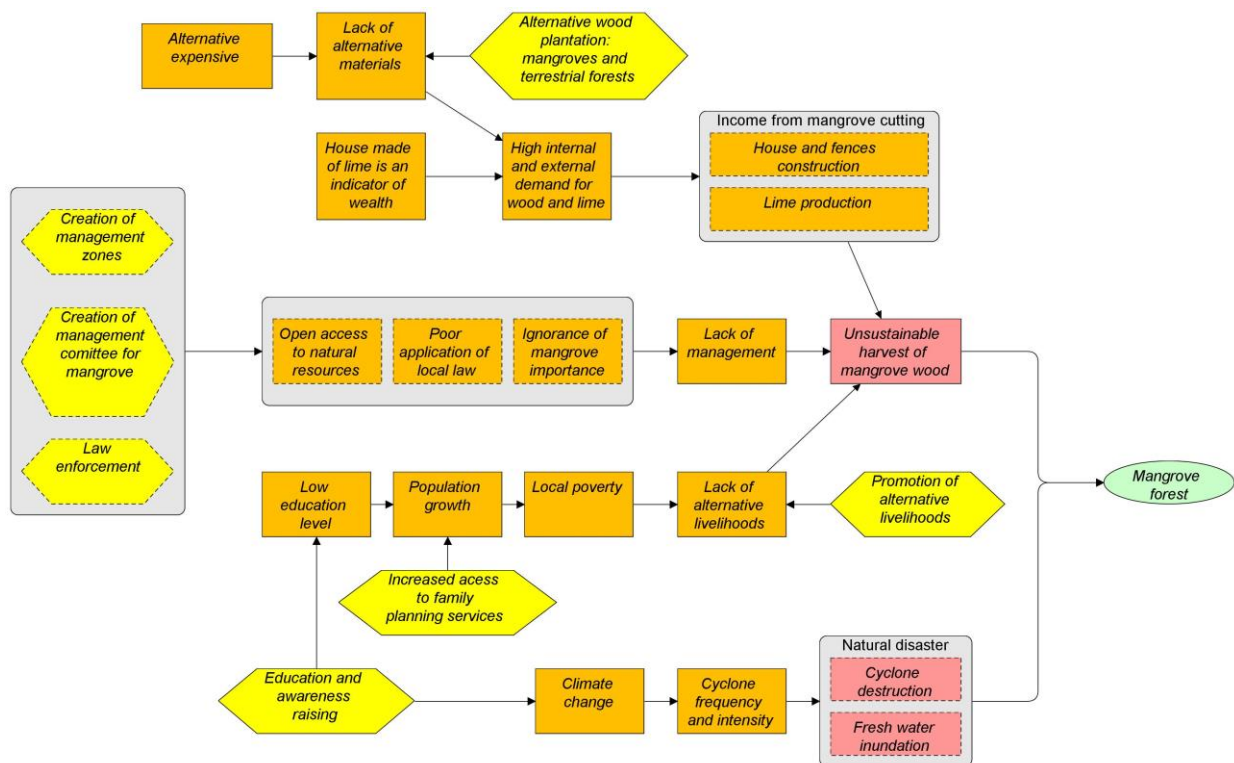
300

301 Resources extracted from the mangrove, coral reef and terrestrial forests are destined for local  
302 subsistence and commercialisation at multiple scales. Agricultural products, fuel wood and  
303 charcoal are only sold locally, but timber and lime are traded as far as Morombe (50 km to  
304 the north). Mangrove and coral reef fisheries products such as crabs, shrimps, octopus, squid,  
305 sea cucumber and fish are sold at all scales: fishers sell fish products to local collectors, who  
306 then sell the products to seafood export companies operating from the regional capital  
307 Toliara, 180 km to the south. Participants perceived mangrove fisheries resources to be in  
308 widespread decline over the last five years, noting a decrease in the catch of crabs at 94% of  
309 mapped sites, decreases in shrimp at 71% of sites, and decreases in gastropod snails at 100%  
310 of sites where they are fished.

311

### 312 ***3.3. Conceptual model of the mangrove socio-ecological system***

313 Participants perceived the decline in mangrove resources to be due to degradation of  
314 mangrove habitat and that this arose in two ways: the unsustainable harvest of mangrove  
315 wood, and natural disasters (the destruction of mangroves by cyclones and freshwater  
316 inundation) (Fig. 4). Mangrove wood is the primary material used to build any type of house  
317 or fence in the area, because it is of good quality (strong and straight) compared to wood  
318 from the dry forest. It is also used to produce lime for use in walls and floors. About 100  
319 mangrove logs are required to burn sufficient shells to produce 50 sacks of lime, each  
320 weighing approximately 35 kg. There is high demand for both mangrove wood and lime from  
321 villages around the bay and elsewhere in the region, due to a lack of alternative construction  
322 materials and the fact that houses made of lime are considered an indicator of wealth and  
323 status by the local population (see also Scales et al 2017). As a result of this demand,  
324 mangrove timber and lime are no longer produced simply for local subsistence but are  
325 becoming increasingly commercialised.



326

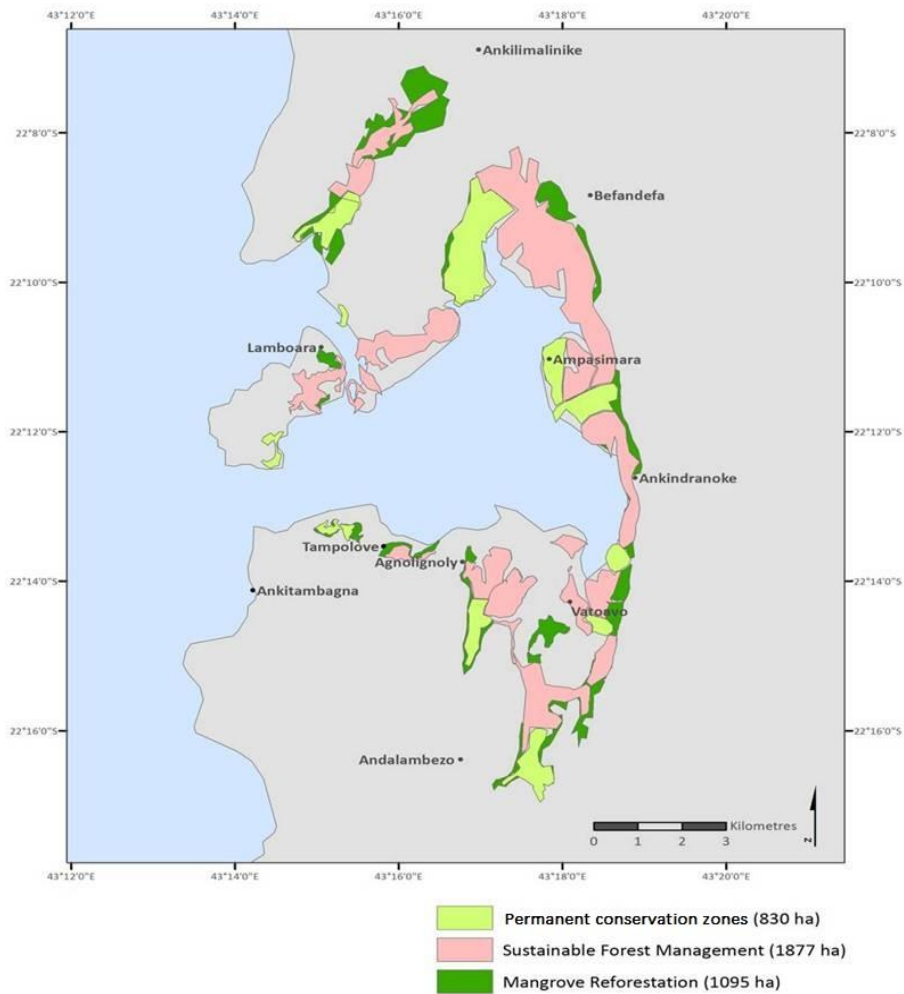
327 **Fig. 4** Conceptual model of the mangrove socio-ecological system developed through  
 328 participatory concept modelling workshops held in 10 villages of Baie des Assassins. The  
 329 green box represents the targeted resource, red boxes represent direct threats and orange  
 330 boxes represent underlying drivers/contributing factors. Potential strategies proposed to  
 331 reduce mangrove threats are shown in yellow boxes.

332

### 333 **3.4. Participatory zoning and management planning**

334 Participation in the mapping and concept modelling workshops primed community members  
 335 to participate in the development of a management plan for their mangroves. The mapping  
 336 process enabled villagers to better understand their resource use patterns, the state and trends  
 337 of these resources, and the dynamic of threats acting upon them, and also allowed them to  
 338 categorise the areas with high and lower pressures that could help to identify potential areas  
 339 for conservation. These processes provided the basis for each of the 10 villages to delineate  
 340 three types of management zone within their mangroves: Strict conservation zones, mangrove  
 341 reforestation zones and sustainable use forest management zones. In total, villagers proposed  
 342 setting aside 830 ha as strict conservation zones, 1095 ha as mangrove reforestation zones  
 343 and 1877 ha as sustainable use management zones (Fig. 5). This proposed zoning was then  
 344 subject to ground-truthing prior to production of the definitive zoning of the mangrove. To  
 345 regulate resource use within these zones, the 10 villages also agreed on a set of rules called a

346 *dina*, a form of traditional social norm now widely used in decentralised resource  
 347 management, which can be applied and enforced locally but can also be legally ratified to  
 348 become a bylaw (Andriamalala and Gardner 2010; Gardner et al. 2018). The *dina* strictly  
 349 prohibits i) night fishing and the cutting or collection of dead or living mangrove wood in  
 350 strict conservation zones and ii) night fishing and the cutting/collection of sub-adult  
 351 mangrove trees, in mangrove reforestation zones. Community members retain ‘traditional  
 352 use’ rights to mangrove wood in the sustainable forest management areas, regulated through  
 353 an annual quota allocated to households.



354  
 355

356 **Fig. 5** Mangrove zoning for Baie des Assassins developed through participatory mapping in  
 357 10 villages.

358

359 Beyond mapping, the construction of the conceptual model linking the mangroves, threats  
 360 and the underlying drivers of those threats helped community members, in conjunction with  
 361 the facilitators, to define potential strategies that could be implemented to reduce the threats

362 acting on their mangroves. In addition to zoning, suggested strategies included: alternative  
363 wood plantations (terrestrial forests), the establishment of mangrove management  
364 committees, the establishment of rule enforcement mechanisms, the promotion of alternative  
365 livelihoods, education and awareness raising, and the provision of family planning services  
366 (Fig. 4). The latter may have been suggested because Blue Ventures already manages a  
367 community health programme that provides family planning services within Velondriake  
368 (Mohan and Shellard 2014).

369

370 The establishment of management committees was considered as an important step to ensure  
371 management of designated zones. The committees will be responsible for surveillance and  
372 rule enforcement, and monitoring and evaluation of mangrove management. They will also  
373 lead awareness-raising activities to highlight the importance of mangroves within  
374 participating communities. The reforestation of both degraded/deforested mangrove areas and  
375 dry forests were also considered by participants as important strategies to help meet their high  
376 demand for wood. Although most dry forest tree species in the area are slow growing,  
377 participants understood the importance of replacing the wood that they have cut, and planned  
378 to establish plantations of *Rhizophora mucronata*, *Bruguiera gymnorhiza*, *Ceriops tagal* and  
379 *Avicennia marina*. The provision of family planning and education services, and the  
380 promotion of alternative livelihoods, were advanced as options that could contribute  
381 indirectly to the reduction of the threats acting on the mangroves, since low education levels,  
382 high population growth and a lack of viable livelihood choices were among the major factors  
383 considered to be contributing to the depletion of natural resources

384

#### 385 **4. Discussion**

386

387 Baie des Assassins contains extensive mangrove ecosystems that have suffered high rates of  
388 deforestation and forest degradation in recent years (Benson et al. 2017) and, as such, was  
389 selected by Blue Ventures for the implementation of Madagascar's first community-based  
390 payments for ecosystem services intervention aimed at mangrove management (Blue  
391 Ventures 2014). While both the idea of a mangrove conservation programme and the funding  
392 mechanism – a carbon-based PES scheme – were conceived by a foreign NGO, we wanted to  
393 ensure that project planning was fully grounded in local social and ecological realities, and to  
394 promote local ownership of the project and participation in its activities long-term. We  
395 therefore wanted to ensure that all community members living within the project area were



396 involved in project design as much as possible, and implemented a two-part participatory  
397 planning programme that allowed local resource users to i) map their land and resource use in  
398 order to identify the most appropriate areas for the creation of strict conservation zones,  
399 restoration zones and sustainable use zones, and ii) understand the drivers of change in the  
400 mangrove socio-ecological system and thus propose management strategies directly targeted  
401 at reducing threats.

402

403 Many (probably most) participatory exercises focus on the collection of resource use or  
404 cultural data that are then used by external (e.g. State or NGO) decision-makers to inform  
405 planning, but do not directly ask stakeholders to identify management zones or strategies  
406 themselves: participation is limited to research, but does not extend to decision-making (e.g.  
407 Brown and Fagerholm 2015; Koehn et al 2013). However, stakeholders' spatial use of a  
408 resource does not necessarily equate to their own access priorities, and the most frequented  
409 sites for resource extraction may not be the most valuable to users (Yates and Schoeman  
410 2013). By directly asking local communities not only where they use resources, but also  
411 which areas they were willing to put under management, we directly integrated their priorities  
412 into decision-making rather than inferring them from other forms of data. Furthermore,  
413 community preferences were sought and integrated from the initial stages of the project,  
414 rather than being solicited as a validation exercise once decisions had been made, as is  
415 common in participatory processes (Jankowski 2009; Levine and Feinholz 2015). As a result,  
416 the mangrove zoning for the *Tahiry Honko* project is likely to accurately reflect local needs,  
417 increasing the probability that zoning will be respected.

418

419 We found the participatory methods we used to be appropriate and useful in the context of  
420 planning for the community-based management of natural resources, contributing to both  
421 knowledge generation and management itself. In terms of the information generated,  
422 participatory methods allowed us to make maximum use of local knowledge, generating  
423 valuable insights into the drivers of mangrove degradation and providing us with a detailed  
424 understanding of the spatial distribution of mangrove resource use in a data-poor region  
425 where information is logistically difficult to collect. Inviting all resource users to participate  
426 simultaneously allowed us to generate resource use maps for all activities combined, rather  
427 than producing separate maps for each type of resource use. The maps produced are likely to  
428 be highly accurate as participants showed great spatial understanding of the mangroves and  
429 adjacent dry forest (though see below), and were generally able to reach consensus on

430 mapped areas quite easily. Evaluations of land cover, habitat, and species distribution maps  
431 produced using similar participatory processes in a range of contexts have shown that the  
432 maps produced by rural resource users can be highly accurate (Brown et al 2012; Cox et al  
433 2014; Vergara-Asenjo et al 2015). In particular, the use of satellite imagery from Google  
434 Earth allowed participants to interpret the space relatively easily (compared to traditional  
435 maps) using reference points such as natural and built features, and the ability to zoom in to  
436 images, alter the angle of view and adjust polygons in real time allowed us to delineate  
437 resource use and management zones with a high degree of accuracy, while reducing the risk  
438 of transcription errors that may arise when entering data from hand-drawn maps into a GIS  
439 system (Moreno-Baez et al 2010; Yates and Schoeman 2013). Although we do not have  
440 comparative cost data, the method was also likely to be highly cost effective and rapid  
441 compared to the alternative of monitoring mangrove use and physically delineating zones on  
442 the ground with a hand-held GPS (Levine and Feinholz 2015; Ratsimbazafy et al 2016).

443

444 Beyond research, we believe that the use of participatory methods also contributed positively  
445 to the development of resource management by participating communities. The nature of the  
446 research and planning necessitated regular, close contact between the project team and a large  
447 proportion of mangrove users resident in the area, helping to establish relationships necessary  
448 to underpin the project in the long term (Thornton and Scheer 2012). The workshops also  
449 provided resource users with an opportunity to think about and better understand their own  
450 resource use and its impacts, and always stimulated lively debate about how resources should  
451 best be managed. We thus believe that they played an important role in helping to stimulate  
452 thought and build an interest in resource management amongst communities that lack any  
453 mangrove management traditions or institutions (Levine and Feinholz 2015; MacNab 2002).  
454 Similarly, discussions of potential management strategies during the concept modelling  
455 workshops may have been important in helping participants realise the potential impact of  
456 their decisions, a critical first step to implementing management amongst communities who  
457 tend to lack a belief in their own agency and ability to influence resource availability (Astuti  
458 1995). We also believe that participation in the zoning and strategy development maximises  
459 the probability that these actions will be successful once implemented (Yates and Schoeman  
460 2013): zoning is more likely to be respected because it was proposed by the communities  
461 themselves rather than outside actors, and the identified strategies are more likely to be  
462 successful than if they had been imposed by outsiders because they were informed by  
463 resource users' own understandings of the system (Levine and Feinholz 2015; McCall and

464 Minang 2005). Finally, we hope that the communities' involvement in the project from its  
465 design phase will help promote ownership of it, and adherence to its rules and actions, in the  
466 long-term (Jankowski 2009; Ramsey 2009; Smith and Berkes 1991).

467

468 Although we found diverse advantages using the two approaches, we also encountered some  
469 limitations both in terms of data collection and their practical use with the local community.  
470 Satellite images were initially quite confusing for some participants, and not intuitively easy  
471 to understand since most participants had little or no experience using maps, aerial  
472 photographs or satellite images. Thus it was necessary for workshop facilitators to spend  
473 significant time discussing how the images should be interpreted and checking participants'  
474 comprehension (see also Ratsimbazafy et al 2016). Once the images were understood  
475 participants tended to display good spatial knowledge of the mangroves they used, though  
476 they tended to be more confident and precise when mapping locations closer to the sea than  
477 in the forest, because the mangroves are almost always accessed by boat from the seaward  
478 side. In addition, differing village contexts necessitated a certain flexibility with the  
479 application of the methods, with approaches and explanations having to be tailored according  
480 to the different education levels of villages or number of participants involved. Our method  
481 required that participants reach consensus before finalising any resource use locations or  
482 management zones on the maps, but this was difficult because participants had different  
483 perspectives and levels of understanding. As a result, reaching consensus could be time  
484 consuming and sometimes generated other problems, such as anger in some participants due  
485 to the long duration of the session. In some cases participants requested monetary  
486 compensation for the time spent in participatory processes.

487

488 Implementing the work required a large team of five people, in addition to facilitators  
489 recruited in each village; the core team require good communication and facilitation skills, as  
490 well as a certain level of knowledge about the local mangrove system in order to be able to  
491 participate in discussions and orient participants. We suggest that clarity of objectives and  
492 careful planning are critical to the success of participatory approaches. At the beginning of  
493 each workshop it was important to ensure that the objectives and outputs of the work were  
494 well-understood by all participants so that everyone had a clear idea about his/her role and  
495 the expected results. In addition, the work schedule had to be coordinated with the schedules  
496 of the community involved. Villages were informed in advance and asked to advise on a  
497 convenient time to undertake the exercises, otherwise the opportunity costs of participation

498 may be high, limiting participation to an unrepresentative sample of villagers (Scholz et al  
499 2004; Turner and Wenninger 2005; Yates and Schoeman 2013). For coastal communities, for  
500 example, neap tide was convenient because they do not go fishing at that time. Our study also  
501 showed that participatory planning is not a single process but requires multiple visits to each  
502 community to consolidate and validate results (Campbell 2001).

503

504 In conclusion, we found participatory approaches to be particularly well-suited to the  
505 planning and development of a community-based PES programme in the mangroves of Baie  
506 des Assassins. In terms of knowledge generation, public participation GIS and concept  
507 modelling workshops generated a wealth of information about the spatial distribution of  
508 mangrove resources and livelihood activities, as well as qualitative data about the role of  
509 mangrove resources in people's lives and livelihoods, the threats mangroves face, and the  
510 underlying drivers of those threats. This research stimulated participants to consider their  
511 own agency and impacts on the mangrove social-ecological system, facilitating the  
512 subsequent participatory zoning of the mangrove and the proposal of management strategies  
513 that formed the basis of the site's management plan. Although catalysed by a foreign NGO,  
514 the project was participatory from its initial stages and the preferences of mangrove users  
515 have underpinned the development of all planning outputs, so we are confident that  
516 community ownership of the project is high, and thus that it has a strong chance of  
517 successfully conserving the mangrove.

518

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527

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