

1 **Hypnozoites in *Plasmodium*: do parasites parallel plants?**

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8

9 **Abstract**

10 The phenomenon of relapsing malaria has been recognised for centuries. It is caused in
11 humans by the parasite species *Plasmodium vivax* and *ovale*, which can arrest growth at an
12 early, asymptomatic stage as hypnozoites inside liver cells. These dormant parasites can
13 remain quiescent for months or years, then reactivate causing symptomatic malaria. The
14 dynamics of hypnozoite dormancy and reactivation are well documented but the molecular
15 basis remains a complete mystery. Here I observe that the process has striking parallels
16 with plant vernalisation, whereby plants remain dormant through the winter before
17 flowering in spring. Vernalisation is thoroughly studied in several plant species and its
18 mechanisms are known in exquisite detail. Vernalisation may thus provide a useful
19 framework for interrogating hypnozoite biology.

20

21 ***Plasmodium* hypnozoites: what are they and why do they matter?**

22 **Hypnozoites** (see Glossary) are the most enigmatic cell types in the complex lifecycle of
23 *Plasmodium*. In their natural state in the human liver they are small, sparse and difficult to
24 find; in the laboratory they are equally difficult to create and culture. The very existence of
25 a liver stage in the *Plasmodium* lifecycle (Figure 1) was hypothesised well before its
26 existence was actually proved (which occurred in the 1940s, via voluntary human infection
27 and liver biopsy [1]) and a further four decades then passed before it was finally
28 demonstrated that hepatic *P. vivax* parasites could indeed become hypnozoites [2].

29 Of the six *Plasmodium* species that cause malaria in humans, only three are widely
30 believed to form hypnozoites (in fact, direct evidence is sparse in *P. ovale wallikeri* and *P.*
31 *ovale curtisi* [3]). It is obligatory for all human malaria parasites to pass through a stage of
32 hepatocyte infection before erythrocyte infection, which is the symptomatic and
33 transmissible stage, but it is not obligatory for those hepatic stages to be able to arrest as
34 hypnozoites. Nevertheless, it offers a clear evolutionary advantage. In climates where
35 mosquito transmission – which *is* indispensable for *Plasmodium* lifecycles – is available for
36 only a few months per year (due to a brief rainy season or a brief warm summer), the
37 transmission cycle may not be successfully completed before the mosquito season is over.
38 If dormant parasites can remain in the human liver after a single infectious mosquito bite,
39 reactivating only when a new generation of mosquitoes becomes available to pass parasites
40 to new hosts, then the lifecycle can survive despite lengthy seasonal interruptions.

41 In the current era when malaria elimination is being actively pursued in many
42 countries, it has never been more important to understand hypnozoites and how to kill
43 them. The global malaria burden is dominated by the non-relapsing parasite *P. falciparum*,
44 but the canonical relapsing species, *P. vivax*, is the second most important cause of human

45 malaria. *P. vivax* is responsible for ~3.3% of all global infections, including 75% of those in
46 the Americas and 50% of those in S.E. Asia [4], while *P. ovale* is a smaller but probably
47 under-reported cause of malaria in Africa. (Indeed, there is growing concern that *P. vivax*,
48 historically absent from most of Africa, may be on the increase there due to a previously
49 unrecognised ability to infect Duffy-negative erythrocytes [5].) Eliminating *P. vivax* from
50 highly endemic populations could be impossible unless dormant hypnozoites can be killed –
51 termed ‘**radical cure**’ [6] – but hypnozoites are not killed by most antimalarial drugs. Only
52 the 8-aminoquinolines primaquine and tafenoquine are effective, and their side-effects
53 make them difficult to administer and can lead to low compliance. New methods to
54 eliminate hypnozoites are urgently needed [7].

55

56 **Hypnozoite dormancy and reactivation dynamics in *P. vivax***

57 The dynamic behaviour of *P. vivax* hypnozoites was clinically documented long before their
58 existence as cells was actually proven. In the early 1900s this parasite was commonly used
59 to induce high fevers as ‘**malariotherapy**’ for syphilis. For this therapy, strains that caused
60 regular, predictable symptomatic bouts of malaria were valued, and therefore carefully
61 curated. This history has been authoritatively reviewed [8] and is summarised here only
62 briefly.

63 *P. vivax* strains fall into two main categories (Figure 2A). ‘Tropical’ strains can
64 relapse within as little as 3 weeks after a symptomatic bout if that bout is promptly cleared
65 with antimalarials (although untreated illness and eventual immunity can suppress such
66 relapses). ‘Sub-tropical’ or ‘temperate’ strains relapse only after ~9 months and may not
67 cause a primary bout of malaria at all, particularly if the inoculum of sporozoites is low, or if
68 the parasite comes from the northern limits of the geographical range [8, 9]. Tropical

69 strains are mostly found in regions where mosquito transmission is available year-round and
70 sub-tropical strains, where mosquitoes are seasonal [10]. However, humans who do not live
71 in these regions and are artificially infected in any month of the year, or who leave an
72 endemic region after infection, retain the characteristic relapse pattern of the infecting
73 strain. Importantly, this picture of two categories is probably somewhat oversimplified,
74 with modern studies reporting a more complex picture of frequent and variable-interval
75 relapses in endemic regions [11].

76 The likelihood of a primary bout of malaria after an infectious mosquito bite varies
77 not only with the *P. vivax* strain involved but also with the size of the sporozoite inoculum.
78 With tropical strains, even a few sporozoites usually give a bout of malaria shortly after
79 inoculation, whereas ≥ 1000 sporozoites of a sub-tropical strain are required; otherwise the
80 primary infection will usually remain silent until a reactivation ~ 9 months later [8, 12]. This
81 gave rise to the hypothesis that hypnozoites form at different default rates: in sub-tropical
82 strains the rate would be $\sim 999:1$, whereas in tropical strains it would be closer to 1:1. Later
83 on in the course of infection, a larger inoculum will usually result in a longer series of
84 successive relapses and these relapses will occur at shorter, more regular intervals [13-15].

85 A final intriguing observation is that many fever-inducing illnesses can trigger *P. vivax*
86 relapses [16]. These include *P. falciparum* malaria (which is severe, but not itself relapsing)
87 and bacterial diseases such as typhoid fever. Viral diseases like influenza generally do not
88 have this effect, despite inducing fever (albeit one case report has cited Dengue virus as a
89 potential cause of a *P. ovale* relapse [17]). Hence the hypothesis that malarial bouts, or
90 other systemic inflammatory diseases, can be the stimulus that 'sets the clock' for
91 hypnozoite reactivation [8, 16].

92

93 **Unanswered questions in hypnozoite biology**

94 Hypnozoites are unique in the *Plasmodium* lifecycle. Other stages can also display
95 quiescence – either physiological quiescence, which is seen in circulating gametocytes
96 awaiting a mosquito bite [18] (Figure 1), or induced quiescence seen in erythrocytic
97 parasites when they are treated with the antimalarial drug artemisinin [19]. However, both
98 of these cell types are relatively short-lived and, for gametocytes, the external stimuli that
99 cause reactivation after a mosquito bite are clearly established [20]. By contrast,
100 hypnozoites can persist for months or years and they evidently have an intrinsic, strain-
101 specific reactivation ‘clock’. This clock could theoretically be intrinsically timed, stimulus-
102 dependent, or a combination of both: its molecular nature is entirely unknown.

103 The observation that hypnozoites reactivate with predictable timing even after a
104 host has left an endemic region makes it unlikely that hypnozoites can sense seasonal
105 environmental stimuli such as climatic conditions, host nutritional patterns and circadian
106 rhythms that may track with seasons, or direct mosquito-biting of the host. (This latter,
107 remarkably, has been implicated in seasonal rates of conversion to gametocytes in bird
108 malaria parasites [21, 22].) Setting aside environmental stimuli, it seems likely that there is
109 instead an intrinsic mechanism, encoded genetically or epigenetically, that slowly builds or
110 decays until each hypnozoite stochastically reactivates. If so, this mechanism must vary
111 between *P. vivax* strains in its strength or longevity, being at ‘baseline’ in fast-relapsing
112 tropical strains, and perhaps being re-set during the sexual stage in the mosquito.

113 In fact, the hypnozoite clock probably has additional complexity: certain signals from
114 host physiology may be sensed and integrated into it. If episodes of fever can promote
115 reactivation then hypnozoites can presumably sense an inflammatory environment. A
116 simple heatshock response to elevated body temperature would not suffice because viral

117 fevers do not trigger relapses; instead the specific cytokine environment of a systemic
118 parasitic or bacterial infection could be sensed [16]. In addition, since the size of the
119 sporozoite inoculum apparently influences the relapse rate, quorum-sensing among
120 hypnozoites could be another signal integrated into the clock. (An alternative, and perhaps
121 simpler, explanation is that large pools of hypnozoites may just be more likely to contain a
122 minority of relatively labile cells, with the fastest-reactivating cell naturally precipitating the
123 first relapse [8]. However, hypnozoites do retain active protein export pathways [23], and
124 circulating erythrocytic parasites are thought to communicate via extracellular vesicles [24],
125 lending plausibility to the idea that hepatic parasites could also communicate.)

126

127 **The parallel with plants**

128 Many of the features described above are remarkably similar to the biology of flowering
129 plants, which, like relapsing malaria parasites, can modulate their developmental patterns,
130 remaining in vegetative growth during the cold temperatures and short days of winter
131 before flowering in spring [25]. This is termed **vernalisation**.

132 The first similarity is that vernalisation can vary within a plant species (Figure 2B). As
133 in *P. vivax*, there are both fast-cycling and vernalising strains of many plants, from
134 dicotyledons like *Arabidopsis* to distantly related monocotyledons like wheat. Furthermore,
135 the strength of the vernalisation phenotype varies geographically, with plant strains
136 endemic to northern climes vernalising over longer periods than those from more southern
137 climes – so, like *P. vivax*, the same species can colonise widely different areas of the globe
138 [26].

139 Secondly, plant strains that are reciprocally transplanted to different latitudes retain
140 their own clock in the new environment [27], showing that vernalisation must be genetically

141 or epigenetically encoded. This has in fact been elucidated in great detail, as described
142 below.

143 Thirdly, like *P. vivax*, plants can integrate several signals, including temperature and
144 day-length, into their intrinsic vernalisation clock, and the clock can be set back by warm
145 periods in winter [28] (perhaps analogous to the concept of fevers resetting the hypnozoite
146 clock).

147 Are these parallels biologically meaningful? *Plasmodium* parasites have plant-like
148 origins, being evolved from free-living algae [29], but they clearly differ greatly from the
149 land-based metazoan plants that vernalise. Might two widely-divergent branches of
150 eukaryotic life merely have encountered similar evolutionary pressures to respond to
151 seasonal patterns, with similar dormancy and reactivation phenotypes emerging
152 independently as a result? Indeed it may be so, but the molecular basis of the two
153 phenomena, although not directly conserved, could nevertheless be mechanistically similar
154 and one could therefore provide a useful model for studying the other.

155

156 **Molecular mechanisms controlling plant vernalisation**

157 The genes that activate plant flowering are controlled by well-characterised signalling
158 cascades – particularly studied in the model species *Arabidopsis thaliana* (Figure 3A) and in
159 cereal crops like wheat (*Triticum spp.*) (Figure 3B). Cold-induced signalling operates
160 ‘oppositely’ in these two groups, implicating **convergent evolution**. In both groups,
161 however, there is a central **MADS-box** protein encoded by a gene that bears a slowly-
162 changing epigenetic signature. This signature increases or decreases the cumulative
163 expression of the master regulator gene during winter, thence affecting the expression of
164 downstream flowering genes.

165 In *Arabidopsis* the MADS repressor *FLOWERING LOCUS C (FLC)* represses flowering
166 by binding to key 'floral integrator' genes like *FLOWERING TIME (FT)*. *FLC* expression is set
167 by the balance between repression via histone deacetylation/methylation and
168 heterochromatinization, versus upregulation by reversal of this chromatin environment – a
169 process involving the coiled-coil protein *FRIGIDA (FRI)* which recruits chromatin modifiers
170 and transcriptional activators [30, 31]. Fast-cycling *Arabidopsis* strains frequently have null
171 alleles of *FRI* or *FLC*. In vernalising strains, by contrast, *FRI* and *FLC* expression are high by
172 default in vegetatively-growing plants, thus repressing flowering [32], but a cold-sensitive
173 pathway is able to silence *FLC*. This involves thermosensitive components including a
174 polycomb-like chromatin remodeller *VERNALISATION 2 (VRN2)* and a plant homeodomain
175 protein *VERNALISATION INSENSITIVE 3 (VIN3)*. During the cold winter, these slowly enforce
176 silencing of *FLC* by stochastically switching off the gene, cell-by-cell with a low probability
177 per cell. The longer the winter, the more cells will reach a state of low *FLC* expression and
178 high expression of flowering genes, so the greater the stimulus to flower when spring
179 arrives. This requires integration with another signal, lengthening daylight, to confirm that
180 it is indeed spring. The repressed-*FLC* situation then pertains throughout summer due to
181 stable epigenetic marks such as histone methylation being imposed on the *FLC* locus, until
182 those marks are erased and expression is reset to a high level in newly formed seeds. *FLC* is
183 the major **quantitative trait locus (QTL)** determining the variable duration of cold exposure
184 required to trigger flowering, with non-coding variation in the first intron of *FLC* being
185 responsible for the quantitative trait [30, 31].

186 Cereal plants also possess conserved flowering genes like *FT*, but a different MADS-
187 box gene controls them (Figure 3B). *VERNALISATION 1 (VRN1)*, which is not a homolog of
188 *FLC*, represses the DNA-binding effector *VRN2* (separate from *VRN2* in *Arabidopsis*), which in

189 turn represses *FT*. Vernalisation progressively induces *VRN1* expression, ramping up *VRN2*
190 repression and hence relieving its repression of *FT*. Day-length signals are then integrated,
191 flowering induced, and high *VRN1* expression is maintained throughout flowering via
192 activating rather than repressive histone methylations. Like *FLC*, *VRN1* is a QTL for the
193 length of the vernalisation period – this time via coding SNPs rather than non-coding
194 variation [33, 34]. Thus, cold-sensing can affect a MADS-box regulator in either direction: in
195 wheat it results in *more VRN1* expression, in *Arabidopsis* it results in *less FLC* expression.
196 The conserved principle is one of slow epigenetic changes that cumulatively alter expression
197 of a central regulator as winter progresses.

198

199 **Is vernalisation a good model for hypnozoite biology?**

200 Almost nothing is yet known about the molecular mechanisms controlling hypnozoites.
201 Unlike plants, they are nearly inaccessible to experimentation because they reside in low
202 numbers in human livers. Within the past decade, seminal work with cultured hypnozoites
203 has begun to change this situation (see Box 1), using primarily the primate model species *P.*
204 *cynomolgi*, which is closely related to *P. vivax*. Hypnozoite transcriptomes have thus begun
205 to appear but no compelling candidates have emerged for a unique gene controlling
206 dormancy or reactivation. So can a useful model for the control of hypnozoites be built by
207 using the paradigm of plant vernalisation?

208 Convergent evolution is more likely than direct conservation, and indeed the MADS-
209 box family is absent in *Plasmodium*, which has a restricted set of transcription factors [35,
210 36]. A central regulator is instead most likely to be found in the ***APETALA-2 (ApiAP2)*** family,
211 which contains other master regulators of lifecycle transitions in *Plasmodium* – some of
212 them, such as the gametocyte regulator *AP2-G*, being epigenetically controlled [37, 38].

213 A hypnozoite-controlling AP2 factor, an imaginary '*AP2-H*', could be 'on' or 'off' by
214 default, depending on whether the putative epigenetic switch more closely resembles that
215 in wheat (*VRN1*) or *Arabidopsis* (*FLC*) (Figure 3C-3D). It is perhaps simplest to imagine a
216 factor for active replication (Figure 3C), so *AP2-H* would be on in hepatic and erythrocytic
217 schizonts; off in hypnozoites and perhaps also gametocytes. After passing through a
218 mosquito, *AP2-H* would be set to silent in most sporozoites, making hypnozoite formation
219 the default pathway after liver infection. Epigenetic silencing marks on the *AP2-H* gene
220 would decay only very slowly, perhaps precipitated by infrequent DNA repair events and
221 histone turnover (pathways for DNA repair and oxidative stress response do remain active in
222 hypnozoites [23, 39, 40]). On average, ~9 months of epigenetic decay would be required to
223 reach a tipping point for expression of *AP2-H* and hence its downstream genes. A fever
224 episode, however, would trigger fast erasure of epigenetic marks – perhaps via extreme
225 stress and DNA damage in an inflammatory environment, or perhaps via specifically induced
226 proteins. This would quickly activate a proportion of hypnozoites and cause a malaria
227 relapse, so after each fever stimulus some hypnozoites would become 'fast cycling'. This is
228 consistent with the observation that sub-tropical strains can remain dormant for 9 months
229 before the first relapse and subsequently become fast-cycling [8] (Figure 2A). Variation in
230 the *AP2-H* gene (or possibly in its activators or repressors if a more complex signalling
231 cascade were involved) would make this gene in sub-tropical strains much more refractory
232 to activation than the same gene in tropical strains. Thus, hepatic parasites of tropical
233 strains would be prone to escape dormancy immediately after infection, and prone to
234 become fast-cycling, whereas sub-tropical strains would be biased towards dormancy after
235 infection, and generally prone to slow-cycling.

236 This model suggests several predictions: (1) *AP2-H*, or its associated genes, should be
237 QTLs for the length of dormancy. Characteristic gene variants should exist in tropical and
238 sub-tropical strains. (2) Silencing epigenetic marks should be detectable on *AP2-H* in
239 hypnozoites. These marks may well be histone methylations, since histone
240 methyltransferase inhibitors can promote reactivation [41]. (3) Null mutants in *AP2-H*
241 would be inviable (unable to grow), whereas constitutively active versions would never
242 make hypnozoites. Therefore any homolog of *AP2-H* in non-relapsing *Plasmodium* species
243 should be constitutively expressed. (Alternatively, if *AP2-H* was a growth-silencer as shown
244 in Figure 3D, turned *off* for reactivation, then null mutants would never make hypnozoites
245 and constitutive versions would be inviable.) (4) This model may be sufficient to explain
246 most of the observed dynamics of hypnozoites, but multicellular plants are more
247 sophisticated: they generate a more 'tuneable' clock by integrating epigenetic switching
248 across many cells to culminate in a flowering outcome. Hypnozoites are single-celled
249 protozoa, acting cell-autonomously to generate each malaria relapse, but it is nevertheless
250 intriguing to speculate that quorum-sensing between them might modulate reactivation
251 rates. Quorum-sensing could have evolved if, for example, it were advantageous in a
252 heavily infected liver to speed up the epigenetic decay rate, generating many rapid relapses
253 because plenty of hypnozoites would be available for future reactivations if the first did not
254 lead to transmission.

255

256 **Concluding remarks**

257 Evidencing this speculative model for hypnozoite biology would not be simple, given
258 the technical challenges of working with hypnozoites (see Outstanding Questions). The
259 simplest route may be to seek *ApiAP2* genes, or other transcriptional regulators, that are

260 present in *P. vivax*, *P. ovale* and *P. cynomolgi* but absent in non-relapsing species. Genomic
261 studies have indeed pointed to some such genes [42, 43]. However, a master regulator
262 gene may well be differentially expressed rather than present/absent, so simple genomics
263 would fail to identify it. Nevertheless, characteristic variants in putative regulator gene(s)
264 should be detectable in the genomes of *P. vivax* strains from tropical versus temperate
265 regions (although it would complicate matters if a QTL were on an upstream gene rather
266 than the putative *ApiAP2* gene itself). Interestingly, the first *Plasmodium* virus was recently
267 reported and is apparently unique to *P. vivax* [44]. This viral genome could potentially
268 confer dormancy and reactivation – a characteristic of many viral diseases – but as yet there
269 is no evidence for this.

270 An alternative route, moving beyond *in silico* genomics, could be to perform a
271 genetic screen for mutants that cannot form hypnozoites. Unfortunately, genetic tools are
272 lacking in *P. vivax*, limited in *P. cynomolgi*, and only one forward genetic screen has ever
273 been achieved in *P. falciparum*, the most tractable *Plasmodium* species [45]. It may
274 therefore be more feasible to conduct additional comparative transcriptomic studies and
275 identify candidate genes whose transcription differs in dormant vs. reactivating
276 hypnozoites. Recent advances in single-cell RNA sequencing might even make this feasible
277 at a single-cell level [46, 47]. Any such genes should bear differential epigenetic marks that
278 could be identified via chromatin immunoprecipitation.

279 Overall, major technical challenges remain, but there have been great advances
280 within the past decade in hypnozoite culture systems (Box 1), and in genomic sequencing of
281 diverse *Plasmodium* strains. With these tools, the mysterious molecular biology of
282 hypnozoites could soon be resolved.

283

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290

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396

397 **Glossary**

398 **APETALA-2 (ApiAP2)**: a group of transcription factors originally found in plants (AP2), and
399 subsequently also in apicomplexans, hence '*Apicomplexan APETALA-2*' OR '*ApiAP2*'. *ApiAP2*
400 genes regulate many of the lifecycle transitions in *Plasmodium* parasites. In plants, AP2
401 factors also regulate developmental pathways, although they do not have a central role in
402 vernalisation.

403 **Convergent evolution**: independent evolution of functionally similar traits in organisms that
404 are not closely related and do not share the trait in their last common ancestor. Such

405 evolution usually occurs in response to similar evolutionary pressures experienced by
406 different organisms.

407 **Hypnozoite:** a dormant, non-replicating form of *Plasmodium* parasite that can occur inside
408 liver cells after invasion by sporozoites of the species *P. vivax*, *P. ovale curtisi* or *P. ovale*
409 *wallikeri*.

410 **MADS-box:** the protein motif that defines a group of transcription factors found widely in
411 eukaryotic organisms, where they regulate developmental pathways. The name is an
412 acronym of the first four MADS-box factors discovered (*S. cerevisiae* MINICHROMOSOME
413 MAINTENANCE FACTOR 1, *Arabidopsis thaliana* AGAMOUS, *Antirrhinum majus* DEFICIENS
414 and *Homo sapiens* Serum Response Factor).

415 **Malariotherapy:** the practise of deliberately infecting syphilis patients with malaria
416 parasites to induce high fevers. These fevers could kill the causative *Treponema pallidum*
417 bacterium, thus effecting a cure of the otherwise-terminal syphilitic disease.

418 **Quantitative trait locus (QTL):** a chromosomal region containing gene(s) that affect a
419 'quantitative trait', i.e. a phenotypic trait that varies in strength.

420 **Radical cure:** complete elimination of malaria parasites from an infected host – specifically
421 elimination of dormant hypnozoites in the liver, thus preventing relapses.

422 **Vernalisation:** the induction of flowering in a vegetatively-growing plant (or an
423 ungerminated seed) through exposure to prolonged cold temperatures, as would usually be
424 experienced during winter.

425

426 **Box 1. What do we know about the molecular biology of hypnozoites?**

427 Within the past ~7 years, reports have begun to emerge on the molecular biology of the
428 elusive hypnozoite. 2013 saw the first evidence that hypnozoites of *P. cynomolgi*, a sister
429 species of *P. vivax*, could be established in macaque primary hepatocytes by infecting them
430 with sporozoites bearing a fluorescent marker [48]. FACS could then be used to isolate both
431 hypnozoites and actively-growing hepatic schizonts (cells that had not gone dormant after
432 the infection), and drugs could be used to enrich for hypnozoites [49]. This paved the way
433 for two transcriptomes from hypnozoites 6 and 9 days old [23, 39]. Compared to hepatic
434 schizonts, transcription in hypnozoites was dramatically and progressively downregulated.
435 Genes remaining active included those involved in epigenetics, chromatin maintenance, ATP
436 homeostasis and protein export. However, very few genes were specifically upregulated
437 and there was no clear ‘hypnozoite factor’, although several members of the *APETALA-2*
438 (*ApiAP2*) family of apicomplexan-specific transcription factors were detected. Due to the
439 lack of molecular genetics in *P. vivax*, it is not currently possible to replicate such studies
440 using transgenic *P. vivax*.

441 A second group showed in 2014 that *P. cynomolgi* hypnozoites cultured for a period
442 of several weeks could display reactivation, promoted by a histone methyltransferase
443 inhibitor. This directly implicated epigenetics for the first time [41]. The group also
444 produced a hypnozoite transcriptome [50] and posited a hypnozoite-specific *AP2* factor, but
445 this was not reproduced by other groups [23, 39, 40].

446 Meanwhile, a third group established and cultured *P. vivax* hypnozoites in human
447 liver organoids, and again produced a transcriptome [40, 51]. This recapitulated the general
448 transcriptional shutdown described above. A single *AP2* gene (PVP01_0916300) was

449 somewhat upregulated in these hypnozoites, and was also found in *P. cynomolgi*
450 hypnozoites [23], so it is currently the best candidate for a hypnozoite transcription factor.
451 However, it is not unique to this lifecycle stage, being strongly expressed in other stages too,
452 including gametocytes – another quiescent stage, but very different from hypnozoites.
453 Importantly, none of these studies have identified a clear ‘reactivation factor’: a gene that
454 might be switched off in hypnozoites but specifically upregulated in reactivating schizonts.

455

456 **Figure legends**

457 **Figure 1. The lifecycle of *Plasmodium* parasites.** A general *Plasmodium* lifecycle is shown
458 schematically, highlighting the two distinct forms of hepatic parasite that can form in *P.*
459 *vivax* and *P. ovale* – hypnozoites and hepatic schizonts. Sporozoites migrate to the liver
460 from the site of a mosquito bite and invade hepatocytes: here, they either become dormant
461 hypnozoites or immediately start to multiply asexually inside the host hepatocyte. In non-
462 relapsing parasite species, this latter is the only pathway believed to occur. After about a
463 week of asexual growth, the resultant hepatic schizont releases thousands of merozoites,
464 which then infect erythrocytes. In erythrocytes, repeated cycles of asexual replication, cell
465 lysis and reinvasion occur, causing all the symptoms of malaria. A minority of these
466 parasites differentiates into pre-gametes called gametocytes which circulate in a quiescent
467 state ready for mosquito transmission. If taken up by a mosquito vector, the sexual cycle
468 ensues, culminating in sporozoites that are injected into another human host during a
469 mosquito bite.

470

471 **Figure 2. Lifecycle variation in strains of *P. vivax* and *A. thaliana*.** (A) Schematic showing
472 the fate of parasites after inoculation via mosquito bite in representative tropical, sub-
473 tropical and temperate strains of *P. vivax*. Adapted from [8]. (B) Schematic lifecycles of two
474 representative strains of *A. thaliana* – vernalising and fast-cycling.

475

476 **Figure 3. Molecular pathways in plant vernalisation and modelled pathways for**
477 **hypnozoite dormancy.** (A) The main factors controlling vernalisation in *A. thaliana* are
478 shown. *FLC*, the repressor of flowering *FLOWERING LOCUS C*; *FRI*, the DNA-binding protein
479 FRIGIDA; *FT*, a representative gene for flowering *FLOWERING TIME*; *VIN3*, the plant
480 homeodomain protein VERNALISATION INSENSITIVE 3; *VRN2*, the polycomb-like protein
481 VERNALISATION 2. (B) The main factors controlling vernalisation in cereals are shown.
482 *VRN1*, the activator of flowering VERNALISATION 1; *VRN2*, the DNA-binding protein
483 VERNALISATION 2 (unrelated to *VRN2* in *A. thaliana*). (C) Schematic model for hypnozoite
484 dynamics controlled by a putative *ApiAP2* gene that promotes growth, '*AP2-H*'. The gene is
485 silenced by default in inoculated parasites that invade hepatocytes and become
486 hypnozoites. Gene silencing decays slowly but can be accelerated by fever stimuli. When
487 de-silenced, the AP2 factor activates genes for replication and growth, prompting
488 hypnozoites to become hepatic schizonts and initiate an erythrocytic infection. The
489 resultant malarial fever can then set the clock for future hypnozoite reactivations. (D)
490 Schematic model for hypnozoite dynamics controlled by a putative *ApiAP2* gene for
491 dormancy. The model operates oppositely to that in panel C: the AP2 factor is actively
492 transcribed by default and represses replication genes. Epigenetic silencing of *AP2-H*
493 accumulates slowly and can be accelerated by fever stimuli. Once silenced, the AP2 factor

494 no longer represses genes for replication and growth, so hypnozoites become hepatic

495 schizonts and initiate an erythrocytic infection.

496

Figure 1

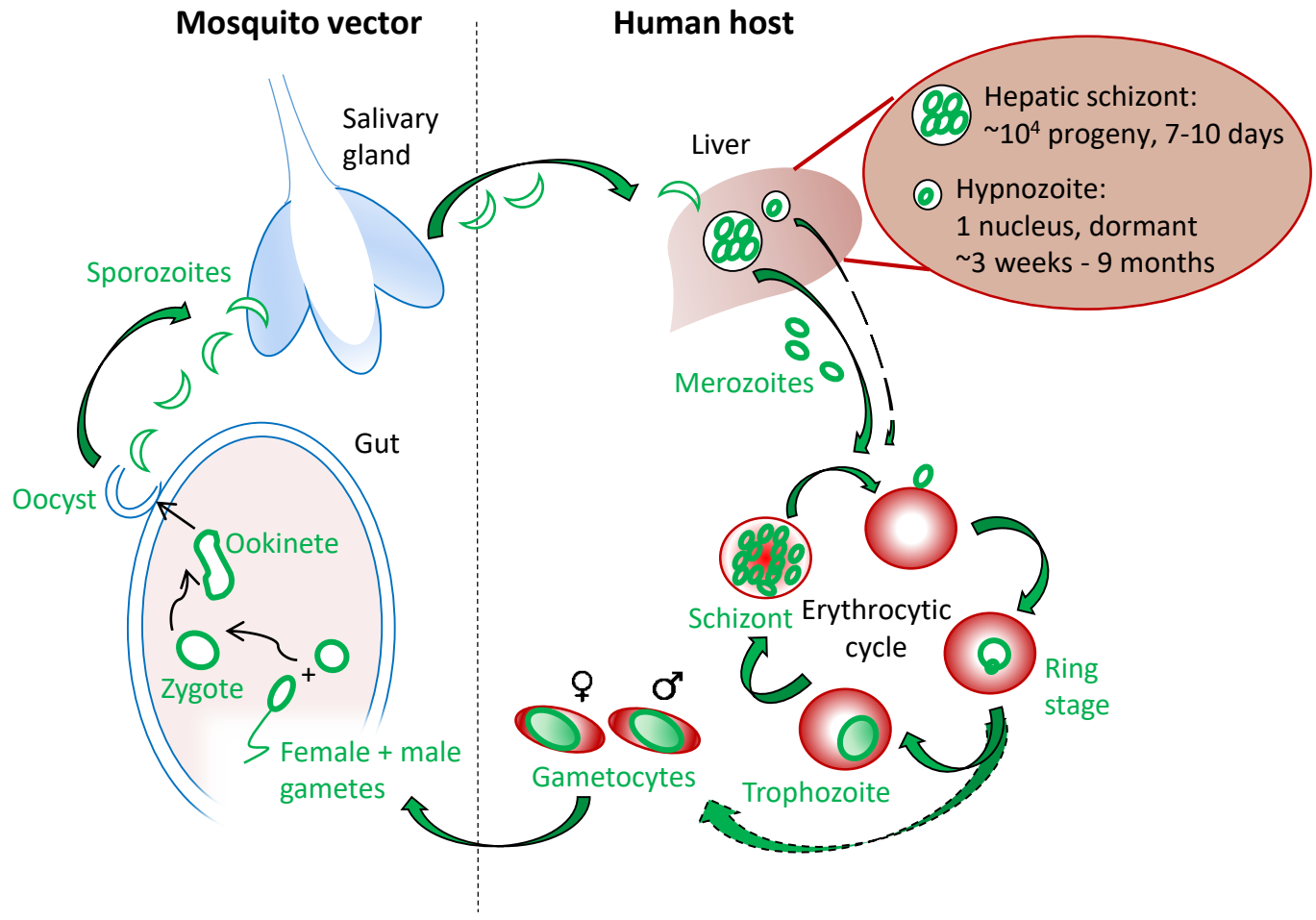
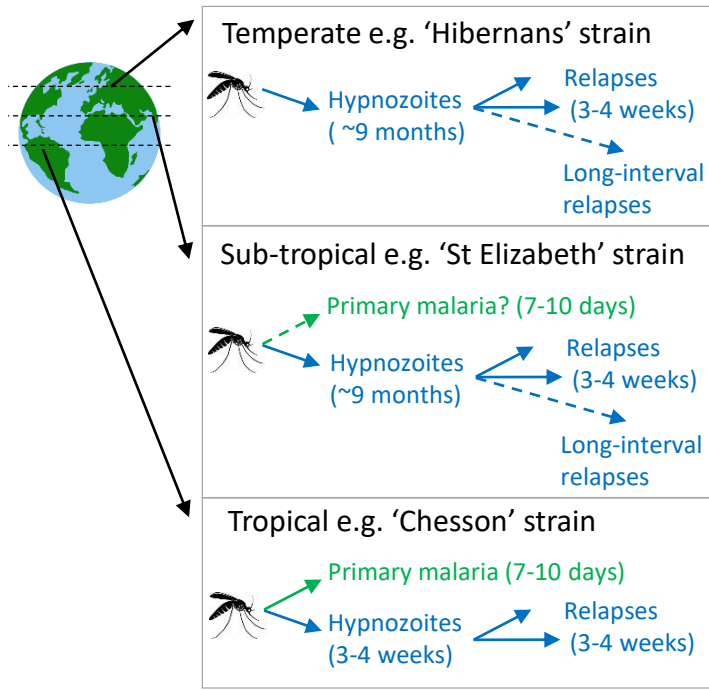


Figure 2

A

P. vivax



B

A. thaliana

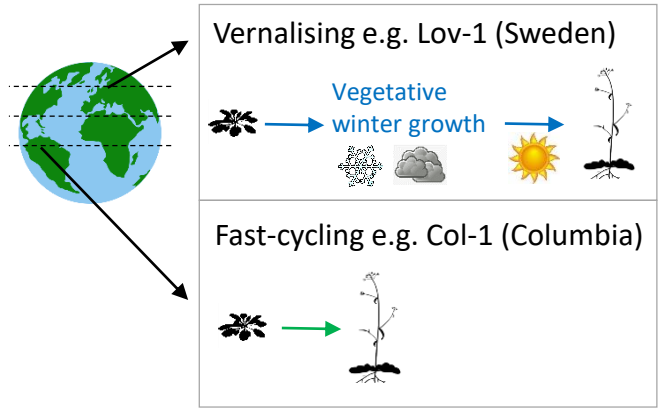
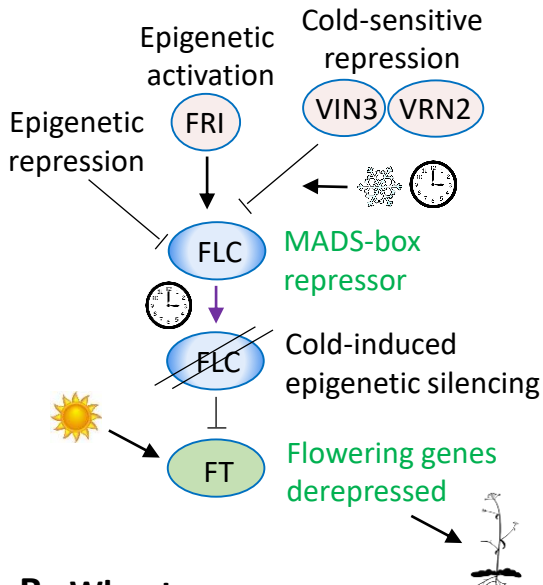
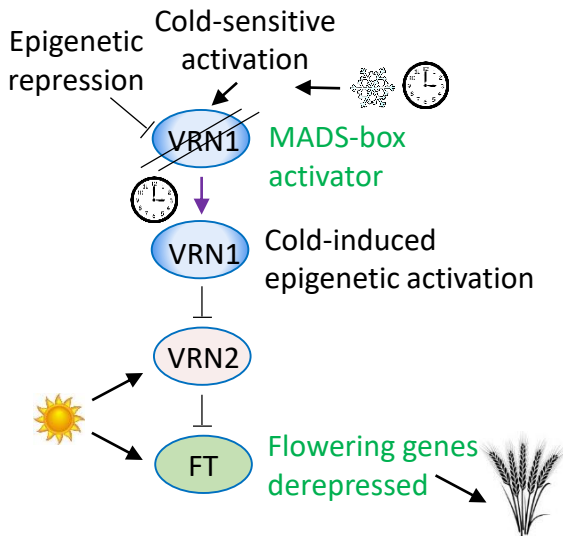


Figure 3

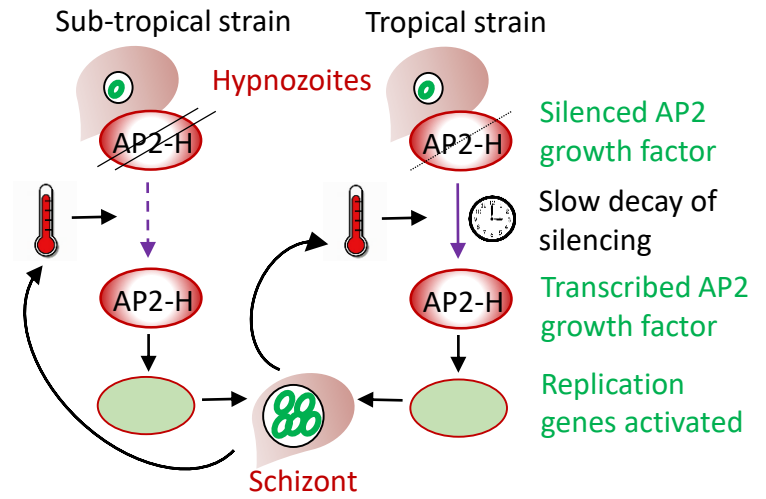
A *Arabidopsis*



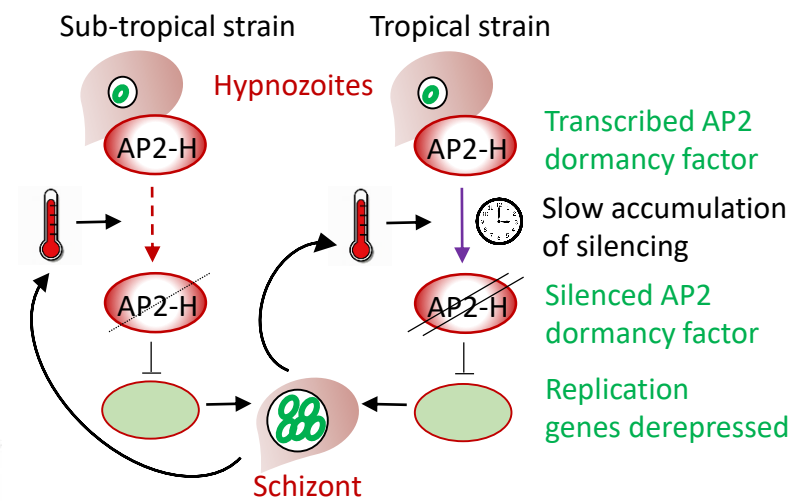
B Wheat



C *P. vivax* model: AP2-H for growth



D *P. vivax* model: AP2-H for dormancy



1 **Highlights**

- 2 • Hypnozoites are dormant malaria parasites that can reactivate and cause relapses of
3 malarial disease. They are an important factor in malaria biology and in the
4 feasibility of malaria elimination programmes.
- 5 • The behaviour of *Plasmodium* hypnozoites has been documented clinically but the
6 molecular-genetic basis of this behaviour is entirely unknown.
- 7 • Hypnozoites appear to have an intrinsic 'reactivation clock', encoded genetically or
8 epigenetically, yet also sensitive to certain stimuli from the mammalian host.
- 9 • The hypnozoite 'clock' bears striking similarities to the phenomenon of vernalisation
10 in flowering plants, which is well-characterised on a molecular-genetic level.
- 11 • The molecular biology of vernalisation can be used to build a conceptual model for
12 the pathways that could underlie hypnozoite dormancy and reactivation.

13

1 **Outstanding Questions**

- 2 • What genes are involved in hypnozoite dormancy and reactivation? Is there a
3 central regulator in the form of an *ApiAP2* gene?
- 4 • Is the 'reactivation clock' based on a slowly-changing epigenetic signature, placed on
5 an *ApiAP2* or other gene(s)? If so, what factors might influence the rate of change in
6 the epigenetic signature?
- 7 • Can the technology for transcriptomics from low cell numbers, or from single cells,
8 be improved sufficiently to identify clear, reproducible genes whose transcription
9 varies diagnostically in hypnozoites versus hepatic schizonts?
- 10 • Can epitranscriptomics be conducted at sufficient resolution on low cell numbers or
11 single cells to define the epigenetic marks on such variantly-transcribed genes?
- 12 • Can molecular-genetic techniques be developed or improved sufficiently in relapsing
13 malaria species (*P. vivax*, *P. ovale* spp., or the primate model *P. cynomolgi*) to
14 investigate any putative hypnozoite genes by reverse genetic experiments?
- 15 • Is the molecular basis for hypnozoite formation conserved in *P. vivax* and *P. ovale*
16 spp., or has it evolved convergently in these species?
- 17 • Might viral genomes found in *P. vivax* (and possibly yet to be found in *P. ovale*)
18 somehow confer the virus-like properties of dormancy and reactivation on the
19 hepatic stages of these parasites?
- 20 • Are there shared factors between the molecular basis for hypnozoite dormancy and
21 the molecular basis for the shorter-term quiescence that occurs in mature
22 gametocytes?

23