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# Risk factors for intensive care admission in children with severe acute asthma in the Netherlands: a prospective multicentre study

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## ABSTRACT

**Rationale:** Severe acute asthma (SAA) can be fatal, but is often preventable. We previously observed in a retrospective cohort study, a three-fold increase in SAA paediatric intensive care (PICU) admissions between 2003 and 2013 in the Netherlands, with a significant increase during those years of numbers of children without treatment of inhaled corticosteroids (ICS).

**Objectives:** To determine whether steroid-naïve children are at higher risk of PICU admission among those hospitalised for SAA. Furthermore, we included the secondary risk factors tobacco smoke exposure, allergic sensitisation, previous admissions and viral infections.

**Methods:** A prospective, nationwide multicentre study of children with SAA (2–18 years) admitted to all Dutch PICUs and four general wards between 2016 and 2018. Potential risk factors for PICU admission were assessed using logistic regression analyses.

**Measurements and main results:** 110 PICU and 111 general ward patients were included. The proportion of steroid-naïve children did not differ significantly between PICU and ward patients. PICU children were significantly older and more exposed to tobacco smoke, with symptoms >1 week prior to admission. Viral susceptibility was not a significant risk factor for PICU admission.

**Conclusions:** Children with SAA admitted to a PICU were comparable to those admitted to a general ward with respect to ICS treatment prior to admission. Preventable risk factors for PICU admission were >7 days of symptoms without adjustment of therapy and exposure to tobacco smoke. Physicians who treat children with asthma must be aware of these risk factors.



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**Preventable risk factors for PICU admission among those with severe acute asthma are >7 days of symptoms without adjustment of therapy and environmental exposure to tobacco smoke, underlining the importance of smoking cessation of caregivers** <https://bit.ly/3ezPzxT>

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## Introduction

Severe acute asthma (SAA; status asthmaticus) is a severe and potentially fatal asthma exacerbation that does not respond to standard treatment with bronchodilators and systemic corticosteroids [1]. Every child with asthma is at risk of SAA, even those with mild asthma [2, 3]. Admission to a paediatric intensive care unit (PICU) occurs in 5–34% of all asthma hospitalisations [4–7]. Worldwide, the number of children requiring PICU admission increased markedly in the past decade, while the prevalence of asthma in children did not [4, 5, 8, 9]. Previously, we showed a three-fold increase of children with SAA admitted to Dutch PICUs between 2003 and 2013, with a significant increase over the years in the proportion of steroid-naïve children [9].

New asthma guidelines [10] advise clinicians to look for key triggers that put patients at an increased risk of an exacerbation [11]. Multiple studies have focused on risk factors for PICU admission, with different outcomes. Those risk factors included inhaled corticosteroids (ICS) use [2, 7, 12], longer asthma duration [13], sex [7, 12], ethnicity [2], previous emergency department visits [13] or (PICU) hospitalisations [2, 14, 16–], sensitisation to (multiple) aeroallergens [13, 15] and exposure to environmental tobacco smoke (ETS) [14].

In contrast to the above studies, we included all these risk factors in this multicentre (including all Dutch PICUs) prospective study. Identifying risk factors offers the opportunity for early intervention to avoid PICU admission of children with SAA. Since daily ICS is the most effective treatment to reduce asthma symptoms and prevent exacerbations [1, 17–20], we hypothesised that children without daily ICS are more prone to SAA requiring PICU admission. In addition, we looked into other risk factors such as ETS, viral infections, lower socioeconomic status (SES), sensitisation to (multiple) aeroallergens, and previous asthma-related healthcare visits.

## Methods

We prospectively identified all children (aged 2–18 years) with SAA admitted to all seven Dutch academic PICUs or one of the paediatric wards of four participating general hospitals between 2016 and 2018. The four general hospitals were recruited based on geographical distribution over the Netherlands and needed to have a staff paediatric pulmonologist. Furthermore, these hospitals were good examples of general hospitals in the Netherlands, enhancing the external validity of the study. We included children aged  $\geq 2$  years, since SAA treatment is the same for children across all age ranges in the Netherlands. For each group (PICU and general ward), patients were recruited until the pre-planned sample size of 110 patients per group had been achieved. SAA was defined as a doctor-diagnosed asthma exacerbation requiring systemic corticosteroids and hospital admission. According to Dutch national guidelines, all children receive the same initial treatment: oxygen, continuous inhalation with salbutamol plus ipratropium and systemic corticosteroids [21]. When the asthma score, as developed by QURESHI *et al.* [22], is still  $\geq 10$  after three consecutive nebulisations with salbutamol plus ipratropium an intravenous magnesium sulphate bolus is administered. If continued nebulisation and *i.v.* magnesium sulphate lead to insufficient response, continuous infusion with salbutamol is started. When *i.v.* salbutamol is administered, children are transferred to a PICU, regardless of the dosage. Evidence indicates that this protocol is well followed in the Netherlands [9]. A child was classified as steroid-naïve when there was no maintenance treatment with ICS for  $>7$  days before admission. The highest educational level of the parents and the postal code were used to determine SES. Ethnicity was defined as Caucasian or non-Caucasian. The severity of the

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exacerbation at the time of admission was classified using the Asthma Score. Additional details on the definition of SES, ethnicity and the Dutch guidelines for PICU admission are provided in the supplementary material.

Adherence to ICS was expressed as the proportion of days covered (PDC) in the period preceding the index admission [23], according to the pharmacy records. Continuous and categorical measures of ICS adherence were used. For the categorical measures a PDC of <80% (non-adherent) or a PDC  $\geq$ 80% (adherent) were used. The cut-off values were chosen based on previous studies [24, 25].

We assessed a radioallergosorbent test for inhaled allergens in all children unless performed within the previous year. From every child, we acquired a nasal swab within 24 h after admission. The nasal swab was examined using real-time reverse transcriptase (RT)-PCR for the detection of viruses [26].

We determined urinary cotinine within 6 h after admission, as a proxy for ETS [27]. A value of <10 ng·mL<sup>-1</sup> was interpreted as no recent ETS exposure; a value of 10–100 ng·mL<sup>-1</sup> as second-hand smoke exposure; and a value >200 ng·mL<sup>-1</sup> as active smoking [28].

A blood sample for DNA analysis was only collected if blood was drawn for standard care measurements. DNA was isolated from 200  $\mu$ L of whole blood. Single nucleotide polymorphisms (SNPs) in the *ADRB2* at position 16 (rs1042713) and 27 (rs1042714), and SNPs in the *CDHR3* (rs6967330) were characterised [29]. Further details on the methods for medication adherence and viral detection are provided in the supplementary material. Information about patient history, SES and environmental triggers was obtained from patients and caregivers using a web-based questionnaire, using LimeSurvey (version 2.06lts; www.limesurvey.org/).

### Analyses

Data are presented as mean $\pm$ SD or median (interquartile range). The Chi-squared test was used to compare categorical variables between both groups, and the Mann–Whitney test was used for continuous variables. Multivariate analysis was performed using multiple logistic regression analysis to evaluate the relationship between covariates and the probability of PICU admission.

Covariates were chosen based on the univariate analysis. Additional details on the sample size calculation and analyses are provided in the supplementary material. Given the potential difference in risk factors and

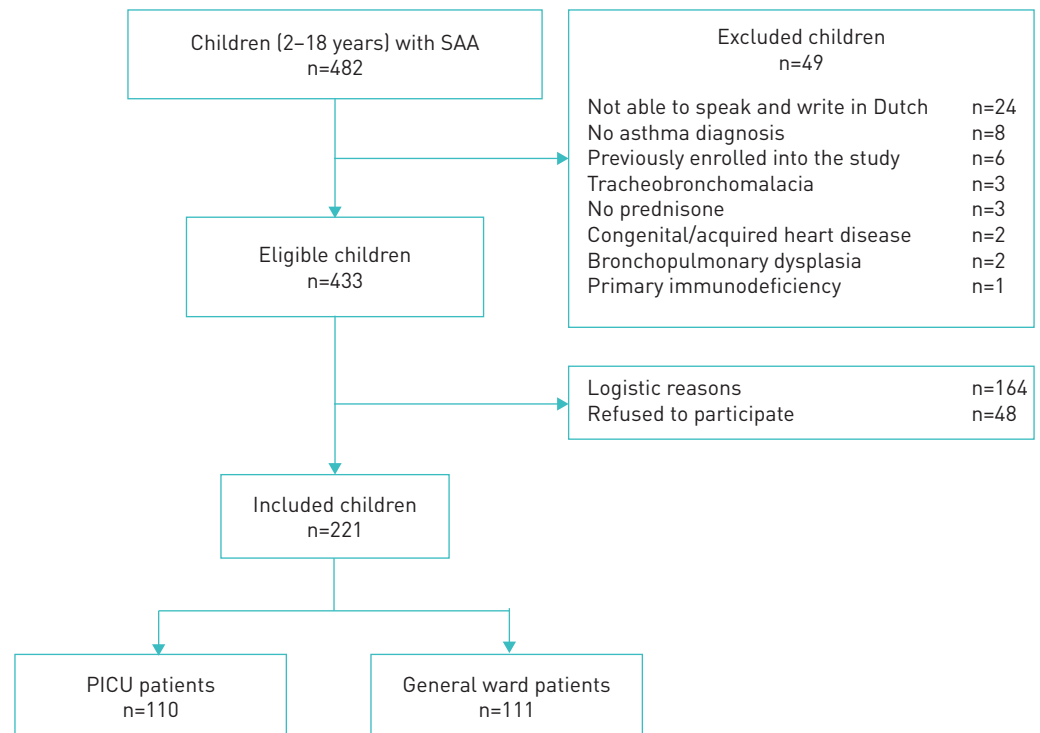


FIGURE 1 Flowchart of study enrolment. SAA: severe acute asthma; PICU: paediatric intensive care unit.

diagnosis, a pre-planned subgroup analysis was performed for preschoolers (aged 2–4 years) *versus* school-aged children (aged 5–17 years). Statistical analyses were performed using SPSS (version 25; Chicago, IL, USA), and a two-sided significance level of 0.05 was used. Informed written consent was obtained before participation. The study was approved by the research ethics committee of the Erasmus Medical Center Rotterdam (MEC 2015–709) and adopted by the other hospitals.

**Results**

221 children were recruited into the study: 110 were admitted to the PICU and 111 to the general ward (figure 1). Table 1 shows their baseline characteristics.

Asthma was diagnosed before admission in 76% of the children admitted to the PICU and in 71% of the children admitted to the general ward. The proportion of steroid-naïve children, with or without a previous asthma diagnosis, did not differ significantly between PICU and general ward. Children admitted to the PICU were significantly older. Other significant factors associated with increased odds of PICU admission included being non-Caucasian, ICS adherence, asthma symptoms >1 week prior to admission and previous PICU admission (table 2). Two children died at the PICU of hypoxic ischaemic encephalopathy following out-of-hospital cardiac arrest, most likely due to patient delay and anaphylaxis.

The presence of human rhinovirus (HRV), or other viruses, was not a risk factor for PICU admission (table 3).

Table 4 shows presentation and in-hospital management. The asthma severity scores (Qureshi score) at admission were comparable between groups.

Multiple logistic regression analysis revealed that a PICU admission was significantly more likely in children who were older, had had symptoms >1 week prior to admission and were exposed to ETS (table 5).

Preschool children admitted to a PICU were less often treated by a paediatric pulmonologist prior to admission than children in the general ward group (supplementary table S1).

In school-aged children, significant factors associated with increased odds of PICU admission included being non-Caucasian, an older age, presence of symptoms >1 week prior to admission, and high urinary cotinine (all p-values <0.017; supplementary table S2). In multiple logistic regression analysis, an older age,

**TABLE 1** Baseline characteristics

	PICU		Paediatric ward		p-value
<b>Subjects</b>	110		111		
<b>Age years</b>	110	8 [5–12]	111	5 [3–7]	<b>&lt;0.001</b>
<b>Preschoolers (age 2–4 years)</b>	110	27 [25]	111	55 [50]	<b>&lt;0.001</b>
<b>Male</b>	110	68 [62]	111	69 [62]	0.958
<b>Caucasian</b>	110	60 [55]	111	79 [71]	<b>0.011</b>
<b>Asthma diagnosis prior to admission</b>	110	84 [76]	111	79 [71]	0.380
<b>Asthma treated by<sup>#</sup></b>					0.370
General practitioner	82	29 [35]	77	28 [36]	
Paediatrician	82	31 [38]	77	22 [29]	
Paediatric pulmonologist	82	22 [27]	77	27 [35]	
<b>Steroid naïve</b>	110	56 [51]	111	67 [60]	0.157
<b>ICS dose<sup>¶</sup> µg</b>	52	323±181	44	224±102	<b>0.001</b>
<b>Adherence to ICS<sup>¶</sup></b>					
PDC %	52	73±33	43	62±30	0.114
PDC ≥80%	52	30 [58]	43	16 [37]	<b>0.047</b>
<b>Born &lt;37 weeks' gestational age</b>	84	13 [15]	84	15 [18]	0.679
<b>Highest parental education level</b>					0.390
Low	82	3 [4]	84	1 [1]	
Middle	82	35 [43]	84	31 [37]	
High	82	44 [54]	84	52 [62]	
<b>SES scores<sup>*</sup></b>	110	−0.29±1.36	111	−0.25±1.28	0.824

Data are presented as n, median (interquartile range), n (%) or mean±SD, unless otherwise stated. Bold type represents statistical significance. PICU: paediatric intensive care unit; ICS: inhaled corticosteroids; PDC: proportion of days covered; SES: socioeconomic status. <sup>#</sup>: children with a diagnosis of asthma prior to admission. <sup>¶</sup>: of the children with prescribed ICS. <sup>\*</sup>: the postal code was used to quantify the neighbourhood SES, with a mean of zero. A lower (negative) score is associated with a lower SES.

**TABLE 2 Asthma severity**

	PICU		Paediatric ward		p-value
<b>Subjects</b>	110		111		
<b>Medication step prior to admission<sup>#</sup></b>					0.076
Step 1	110	31 (28)	111	42 (38)	
Step 2	110	12 (11)	111	10 (9)	
Step 3	110	18 (16)	111	24 (22)	
Step 4	110	24 (22)	111	10 (9)	
Step 5	110		111		
No medication	110	25 (23)	111	25 (23)	
<b>Symptoms &gt;1 week prior to admission</b>	108	60 (55)	109	25 (23)	<b>&lt;0.001</b>
<b>Prior hospital admissions</b>					
Non-PICU	106	64 (60)	97	69 (71)	0.107
Ever to PICU	110	15 (14)	111	6 (5)	<b>0.037</b>
Admission in previous year	108	32 (30)	111	30 (27)	0.669
<b>Emergency department visits previous year</b>					<b>0.022</b>
None	84	38 (45)	84	21 (25)	
1–3	84	41 (49)	84	57 (68)	
>3	84	5 (6)	84	6 (7)	
<b>Prednisolone course in the previous year</b>	84	56 (67)	84	71 (85)	<b>0.007</b>

Data are presented as n or n (%), unless otherwise stated. Bold type represents statistical significance. PICU: paediatric intensive care unit. <sup>#</sup>: according to the Global Initiative for Asthma [1].

symptoms >1 week prior to admission and elevated cotinine remained statistically significant predictors of PICU admission (supplementary table S3).

### Discussion

In this nationwide multicentre prospective study, we found no significant difference in the proportion of steroid-naïve children with SAA admitted to a PICU or a general ward. In general, PICU children were older, more exposed to tobacco smoke and had a longer duration of asthma symptoms before admission.

Daily ICS or ICS as rescue medication with a short-acting β-agonist (SABA) protects against asthma exacerbations compared to SABA alone [17, 30], but uncontrolled asthma is not rare [31], even in children

**TABLE 3 Atopy and environmental triggers**

	PICU		Paediatric ward		p-value
<b>Subjects</b>	110		111		
<b>Allergic sensitisation<sup>#</sup></b>	103	79 (77)	110	71 (65)	0.052
<b>Multiple sensitisation<sup>¶</sup></b>	102	24 (24)	110	15 (14)	0.063
<b>Food allergies</b>	56	22 (39)	53	7 (13)	NA
<b>Respiratory viral infections</b>					
PCR positive for ≥1 virus	102	56 (55)	104	65 (63)	0.268
PCR positive for ≥2 viruses	102	7 (7)	104	14 (13)	0.191
Symptoms and PCR positive	98	44 (45)	103	53 (52)	0.352
PCR positive for rhinovirus	102	42 (41)	104	56 (54)	0.069
Rhinovirus A	22	10 (46)	33	17 (52)	0.448
Rhinovirus B	22	1 (5)	33	0	
Rhinovirus C	22	11 (50)	33	16 (49)	
<b>Parental self-reported smoking</b>	103	28 (27)	108	26 (24)	0.812
<b>Urinary cotinine</b>					0.150
Nonsmoker <10 ng·mL <sup>-1</sup>	96	74 (77)	89	77 (87)	
Passive smoker >10 ng·mL <sup>-1</sup>	96	20 (21)	89	12 (13)	
Active smoker >200 ng·mL <sup>-1</sup>	96	2 (2)	89		
<b>Siblings &gt;2</b>	84	25 (30)	84	26 (31)	0.867

Data are presented as n or n (%), unless otherwise stated. PICU: paediatric intensive care unit; NA: not available. <sup>#</sup>: positive radioallergosorbent test (RAST) = IgE >0.7 kU·L<sup>-1</sup> for at least one of the allergens; <sup>¶</sup>: positive RAST for at least one pet, pollen and house dust mite.

TABLE 4 Presentation and in-hospital management

	PICU		Paediatric ward		p-value
<b>Subjects</b>	110		111		
<b>pH<sup>#</sup></b>	102	7.34±0.1	Not done		
<b>P<sub>CO<sub>2</sub></sub> kPa<sup>#</sup></b>	102	5.5±1.8	Not done		
<b>Asthma severity score (Qureshi)</b>					0.439
Mild	105	6 (6)	106	9 (8)	
Moderate	105	50 (48)	106	56 (53)	
Severe	105	49 (47)	106	41 (39)	
<b>Acute asthma treatment</b>					
Inhaled short-acting β-agonist	110	108 (98)	111	111 (100)	0.154
Systemic corticosteroids	110	109 (99)	111	110 (99)	0.995
Antibiotics	110	45 (41)	110	18 (16)	<b>&lt;0.001</b>
Intravenous magnesium	110	108 (98)	111	16 (14)	<b>&lt;0.001</b>
Intravenous salbutamol	110	109 (99)	111	0	<b>&lt;0.001</b>
Ketamine	110	12 (11)	111	0	<b>&lt;0.001</b>
Theophylline	110	7 (6)	111	0	<b>&lt;0.001</b>
<b>Maximal respiratory support</b>					<b>&lt;0.001</b>
None	110	1 (1)	111	26 (23)	
Nasal cannula	110	15 (14)	111	71 (64)	
Non-rebreathing mask	110	34 (31)	111	14 (13)	
High-flow nasal cannula	110	46 (42)	111		
Noninvasive ventilation	110	1 (1)	111		
Invasive mechanical ventilation	110	13 (12)	111		
<b>Disposition</b>					
Sent home	110	108 (98)	111	111 (100)	
Died in the hospital	110	2 (2)	111	0	
<b>Hospital length of stay days</b>	107	6.3±2.3	111	3.2±1.2	<b>&lt;0.001</b>

Data are presented as n, mean±SD or n (%), unless otherwise stated. Bold type represents statistical significance. PICU: paediatric intensive care unit; P<sub>CO<sub>2</sub></sub>: carbon dioxide tension. #: determined in a capillary blood sample.

with daily ICS, and was a risk factor for PICU admission in some studies [2, 7]. This might be due to the fact that children using ICS had persistent and more severe asthma and consequently a higher risk for SAA and PICU admission. This theory is supported by the fact that children admitted to the PICU had a significantly higher ICS dose prior to admission. In our study, risk factors for PICU admission seem to be driven by host (age, ethnic background and patient delay) and environmental (exposure to ETS) characteristics, rather than ICS use only. Targeted strategies aimed at the prevention of severe asthma exacerbations, even despite treatment with daily ICS, are needed. Strategies such as enhancing awareness in the general population of symptoms of asthma or uncontrolled asthma may empower the general public to seek medical help earlier than some parents in this study. The risk of children having dangerous asthma exacerbations as a result of cigarette smoke exposure is yet another clear effect of cigarette smoke exposure that legislatures need to take into account in smoke-free policies.

TABLE 5 Multiple logistic regression analysis of risk factors for paediatric intensive care unit (PICU) admission

	OR (95% CI)	p-value
<b>Steroid naïve</b>	1.144 (0.575–2.276)	0.702
<b>Age in years<sup>#</sup></b>	1.263 (1.141–1.399)	<b>&lt;0.001</b>
<b>Female</b>	1.407 (0.722–2.745)	0.316
<b>Caucasian</b>	0.532 (0.273–1.039)	0.064
<b>Respiratory viral infection</b>	1.207 (0.601–2.424)	0.597
<b>Symptoms &gt;1 week prior to admission</b>	4.252 (2.130–8.489)	<b>&lt;0.001</b>
<b>Previous PICU admissions</b>	2.785 (0.873–8.879)	0.083
<b>Positive urinary cotinine &gt;10 ng·mL<sup>-1</sup></b>	3.733 (1.442–9.659)	<b>0.007</b>

Bold type represents statistical significance. #: continuous variable.

The most important risk factor for PICU admission was having symptoms for >1 week prior to admission. These children were significantly older (median age 8 years *versus* 6 years). Only 30% of the children with symptoms for >1 week prior to admission received oral corticosteroids (OCS) before arrival at the emergency department. Patient and doctor delay contributed to a longer duration of symptoms followed by a more severe attack. Early treatment with OCS in these children could have possibly prevented hospitalisation or PICU admission. Hospital admission produces a high level of stress on the child and caregivers, especially at the PICU. Furthermore, bed availability and the high medical costs emphasise the need for a more vigilant approach if symptoms persist to prevent PICU admission.

The age difference between the PICU and general ward group could be due to the fact that these groups represent different disease categories. STEIN and MARTINEZ [32] have shown that asthma phenotypes are markedly heterogeneous in the first 6 years of life. In fact, some of these phenotypes are transient and seem to behave idiosyncratically.

In agreement with previous studies, exposure to ETS was significantly associated with a higher frequency of PICU admission [2] or emergency department management failure [33]. In the PICU population, children with ETS-associated SAA deteriorated and recovered more slowly than non-ETS-exposed children [34]. Additional to previous studies we used urinary cotinine to quantify and identify ETS as an objective risk factor for PICU admission among children hospitalised for SAA. Caregivers should be made aware that ETS confers a four-fold increased risk for PICU admission in case of SAA, and offered appropriate support to stop smoking. Preferably, any financial obstacles should be reimbursed.

Our data corroborate previous studies reporting that the majority of children requiring hospital admission were allergically sensitised and had at least one viral respiratory infection identified, mostly due to rhinovirus [2, 13, 15, 35–38]. In our study, HRV-C was not a risk factor for PICU admission among children hospitalised for asthma. Although we found a high HRV-C prevalence, in itself it may be a risk factor for hospitalisation, but not differentiate in terms of severity beyond that point.

Children with SAA admitted to Dutch PICUs have a relatively short PICU stay compared with the general PICU population. According to the Dutch paediatric guideline for SAA [21], continuous *i.v.* administration of salbutamol requires immediate transfer to a PICU, regardless of the dosage. Hence, children in our study might have had less severe illness than asthmatic children in other PICU studies, as suggested by the low numbers of children requiring invasive mechanical ventilation and low mortality [2].

Of the children admitted to the PICU, 24% were first presentations. Hence in these children a PICU admission could not easily have been prevented except for education of the general public on asthma symptoms.

In addition, we looked at the effect of polymorphisms of the *ADRβ2* and *CDHR3* asthma risk alleles, since the *ADRβ2* gene might reduce bronchodilator responsiveness in asthmatic children [39], and the *CDHR3* asthma risk allele is associated with more severe HRV-C-induced asthma attacks [40]. In our study, genotyping of the *ADRβ2* at position 16 (rs1042713) and 27 (rs1042714), and the *CDHR3* asthma risk allele (rs6967330) showed no significant differences between PICU and general ward (data not shown). However, our study was not powered to detect a difference.

#### **Limitations/strengths**

Strengths of the present study are a high inclusion rate of 75% of children admitted to all Dutch PICUs, with standardised criteria for PICU admission. We investigated multiple potential risk factors, including objective measures such as cotinine in the urine for exposure to ETS, RT-PCR for viral infections, and use of asthma medication based on pharmacy records. There are some limitations as well. First, asthma was defined as diagnosed by a paediatrician prior to or during the exacerbation. The diagnosis was reviewed by a paediatric pulmonologist at the follow-up visits. We are well aware that asthma may be undistinguishable from acute viral wheeze in children aged <6 years; however, this will not affect treatment decisions in the setting of SAA. All children were seen by a paediatric pulmonologist during follow-up and 90% still used asthma medication after 3–9 months (the remaining 10% were lost to follow-up), which supports that the initial asthma diagnosis was correct. Second, data regarding lung function at time of presentation to the emergency department are lacking. Only 20 (18%) children in the PICU group had performed lung function testing recently: 13 children had airflow obstruction, nine children had forced expiratory volume in 1 s (FEV<sub>1</sub>) <80%. In the general ward group, 10 (9%) children performed a lung function test prior to admission: three children had airflow obstruction, with a FEV<sub>1</sub> <80% in one child. Third, we used the PDC in the period preceding the index admission as a proxy for ICS treatment. Although the PDC is an objective measurement, this is not equivalent to the actual use of medication or good inhalation technique. Lastly, 30% of DNA analysis is missing in the general ward group because blood samples were only collected if blood was drawn for routine care measurements.



### Conclusion

We found no significant differences in the proportion of steroid-naïve children between children with SAA admitted to the PICU *versus* those admitted to a general ward. Prolonged duration of symptoms prior to admission and environmental tobacco smoke exposure were clinically relevant strong risk factors for PICU admission. These are preventable triggers and our data clearly support the call for smoking cessation by caretakers of children. We recommend a rapid and vigilant approach for children with prolonged asthma symptoms as they are at high risk for PICU admission for SAA.

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