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## ALPHAVIRUS REPLICON PARTICLES ACTING AS ADJUVANTS PROMOTE CD8<sup>+</sup> T CELL RESPONSES TO CO-DELIVERED ANTIGEN

Joseph M. Thompson<sup>a,b,\*†</sup>, Alan C. Whitmore<sup>b</sup>, Herman F. Staats<sup>c</sup>, and Robert E. Johnston<sup>a,b</sup>

<sup>a</sup>Department of Microbiology and Immunology, University of North Carolina, Chapel Hill NC 27599

<sup>b</sup>Carolina Vaccine Institute, University of North Carolina, Chapel Hill NC 27599

<sup>c</sup>Department of Pathology, and Human Vaccine Institute, Duke University Medical Center, Durham NC 27710

### Abstract

Alphavirus replicon particles induce strong antibody and CD8<sup>+</sup> T cell responses to expressed antigens in numerous experimental systems. We have recently demonstrated that Venezuelan equine encephalitis virus replicon particles (VRP) possess adjuvant activity for systemic and mucosal antibody responses. In this report, we demonstrate that VRP induced an increased and balanced serum IgG subtype response to co-delivered antigen, with simultaneous induction of antigen-specific IgG1 and IgG2a antibodies, and increased both systemic and mucosal antigen-specific CD8<sup>+</sup> T cell responses, as measured by an IFN- $\gamma$  ELISPOT assay. Additionally, VRP further increased antigen-specific T cell immunity in an additive fashion following co-delivery with the TLR ligand, CpG DNA. VRP infection led to recruitment of CD8<sup>+</sup> T cells into the mucosal compartment, possibly utilizing the mucosal homing receptor, as this integrin was upregulated on CD8<sup>+</sup> T cells in the draining lymph node of VRP-infected animals, where VRP-infected dendritic cells reside. This newly recognized ability of VRP to mediate increased T cell response towards co-delivered antigen provides the potential to both define the molecular basis of alphavirus-induced immunity, and improve alphavirus-based vaccines.

### Keywords

viral adjuvants; viral vaccine vectors; cell-mediated immunity

## 1. INTRODUCTION

To date, vaccination is the most effective strategy for protection against morbidity and mortality associated with infectious agents [1]. The exact immunological mechanisms which serve as the critical protective factor/s vary widely depending upon the specific pathogen

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\*To whom correspondence should be addressed: drjmthompson@gmail.com.

†Current Address: Department of Immunobiology, Yale University School of Medicine, New Haven, CT 06520

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[2]. Likewise, the nature and makeup of the particular vaccine shapes the qualitative and quantitative aspects of the host immune response. In general terms, protection is traditionally associated with either the induction of a neutralizing antibody response, the induction of a cell mediated immune response, or both [2]. There are a number of examples in which the immune correlates of protection have been identified (reviewed in [2]); however, correlates have not been defined for important pathogens, such as human immunodeficiency virus (HIV) [3, 4]. Therefore, vaccination regimens capable of stimulating both a broadly active antibody response and cell mediated immunity represent an opportunity to interdict in the spread of such diseases.

Immunogen delivery systems based upon the alphaviruses have proven to be efficient inducers of both neutralizing antibody responses and cell mediated immune responses to multiple antigens, including HIV antigens, expressed from the viral genome (reviewed in [5–11]). Alphavirus vectors derived from Sindbis virus, Semliki forest virus (SFV), and Venezuelan equine encephalitis virus (VEE) have generated the most promising results to date and all three of these systems are actively under investigation as candidate HIV vaccine vectors in several laboratories. Replicon particles harbor a modified genome; the viral non-structural genes, which encode the proteins required to replicate the genomic RNA, are expressed from the 5' two thirds of the genome, while the viral 26S subgenomic promoter catalyzes the transcription of the remainder of the genome into a subgenomic mRNA [12]. The genome of replication-competent virus contains the viral structural genes, the capsid and E1 and E2 glycoprotein genes, expressed from the 26S promoter. This structural gene cassette has been replaced with a cloned antigen of interest in the vaccine replicon constructs [13]. In order to package these defective replicon genomes into replicon particles, the replicon RNA is co-electroporated into permissive cells with two helper RNAs which together drive the expression of the structural components in *trans*. However only the replicon RNA is incorporated into replicon particles, as the viral-specific packaging signal is deleted from the helper constructs [14]. VEE replicon particles (VRP) are currently under development as an HIV vaccine platform in part for the ability to stimulate cell-mediated immune responses directed against expressed antigens [15–19].

CD8<sup>+</sup> T cells are activated following interaction with antigen presenting cells (APCs) that present on their surface peptide fragments in the context of major histocompatibility complex I (MHC I), along with co-stimulatory molecules [20]. Two pathways of antigen loading into MHC class I molecules have been described. In the endogenous pathway, peptide fragments derived from cytosolic proteins are transported into the endoplasmic reticulum (ER) via the transporter associated with antigen processing (TAP) molecule [21] and then transported to the cell surface for presentation to cognate CD8<sup>+</sup> T cells [22]. In addition to the endogenous pathway, a separate pathway of exogenous antigen loading, termed cross-presentation, also has been identified, which directs exogenous antigens into the class I pathway in a TAP-independent manner, providing a means for the activation of CD8<sup>+</sup> T cell responses against pathogens which do not directly infect APCs [23, 24].

Some infectious agents directly infect APCs, which contain a number of cellular pathogen recognition molecules. For example, toll-like receptors (TLRs) are pattern recognition receptors which recognize conserved motifs, or pathogen associated molecular patterns (PAMPs) [25] and play a critical role in shaping both innate and adaptive immune responses [26, 27]. Delivery of numerous TLR ligands promotes pro-inflammatory cytokine secretion and cross-priming of CD8<sup>+</sup> T cells [28].

We have recently identified a novel activity of VRP, that they act as adjuvants for both systemic and mucosal antibody responses to antigens that are simply mixed with VRP encoding either an irrelevant transgene or no transgene at all [29]; however, CD8<sup>+</sup> T cell

adjuvant effects were not evaluated in those studies. When VRP are utilized as expression vectors, all VRP-infected cells also contain the expressed antigen. Following delivery of antigen mixed with VRP adjuvants, both VRP and antigen are likely to be sequestered to the same draining lymph node. However, antigen may or may not be taken up by the same dendritic cells (DCs) that are targets of VRP infection [30]. Therefore, it is theoretically possible that co-delivered antigen could be processed in a very different manner than antigens expressed from the VRP genome, leading to a distinct effect on CD8<sup>+</sup> T cell activation.

In this report we have assessed the ability of VRP, either alone or in combination with a representative TLR ligand (CpG DNA), to activate cell-mediated immunity to a co-delivered antigen. VRP infection produced an adjuvant effect, promoting increased systemic and mucosal CD8<sup>+</sup> T cell responses to co-delivered protein antigen, and an additive increase in the presence of CpG DNA, as measured by IFN- $\gamma$  secretion. Interestingly, nonmucosal VRP delivery resulted in a recruitment of CD8<sup>+</sup> T cells into the mucosal compartment, as well as an increase in expression of the mucosal homing receptor on CD8<sup>+</sup> T cells in the peripheral draining lymph node (DLN). Taken together these results suggest that VRP promote not only increased antibody responses [29], but also T cell responses to co-delivered antigens in both the systemic and mucosal compartments.

## 2. MATERIALS AND METHODS

### 2.1. VEE replicon particles

The VRP utilized in this report were prepared and packaged as previously described [14, 31]. Briefly, *in vitro*-transcribed replicon RNA, along with two defective helper RNAs, which express the viral structural genes *in trans*, were co-electroporated into BHK-21 cells. Only the replicon RNA was packaged into particles as the viral-specific packaging signal is absent from the helper RNAs. In this study, we have utilized a replicon which lacks a functional transgene downstream of the 26S promoter (null VRP) [29]. All replicon particles were packaged in the wild-type VEE (V3000) envelope [32].

### 2.2. Animals and immunizations

Seven-to-10-week-old female BALB/c or C57BL/6 mice were immunized in a 0.01 ml volume in the rear footpad(s) as previously described [29]. Animals were immunized at week 0 and week 4 with antigen alone or antigen co-inoculated with either VRP and/or CpG DNA as an adjuvant. Chicken egg albumin (OVA) was purchased from Sigma and CpG DNA (ODN 1826) was purchased from Invivogen. Diluent consisted of low endotoxin, filter-sterilized PBS. For peptide immunization experiments, animals were immunized in both rear footpads in a 0.02 ml volume with the class I-restricted OVA peptide (SIINFEKL, New England Peptide) at weeks 0, 4, and 8.

### 2.3. Serum collection

Collection of serum from immunized animals was performed as previously described [29]. Blood was harvested either from the tail vein, following cardiac puncture, or from the submandibular plexus from individual animals and sera collected following centrifugation in microtainer serum separator tubes (Becton Dickinson).

### 2.4. Cell preparation and flow cytometric analysis

For preparation of single cell suspension from the DLN, DLNs were harvested from immunized animals and each lymph node was disrupted with a razor blade and a hemostat, and single cell suspensions were created by agitating each lymph node in complete RPMI medium [RPMI medium 1640 containing 10% (vol/vol) FBS, 2 mM L-glutamine, 50  $\mu$ g/ml

gentamicin, 100 units/ml penicillin, 100  $\mu\text{g/ml}$  streptomycin, and 15 mM Hepes] containing 2.5 mg/ml Collagenase A (Roche Applied Science) and 17  $\mu\text{g/ml}$  DNase I (Roche Applied Science) for 30 minutes at 37°C. Single cell suspensions were then stained with antibodies directed against CD3, CD19, CD45 (B220), CD11c, CD11b (all purchased from ebioscience) as well as  $\alpha_4\beta_7$  integrin (LPAM-1, clone DATK32, BD Pharmingen) and CCR9 (R&D Systems) and examined on a Becton Dickinson Facs caliber Flow cytometer and analyzed using Cellquest software.

For preparation of single cell suspensions from the spleen, whole spleens were disrupted between frosted glass slides, and red blood cells were lysed following addition of ammonium chloride buffer. Cells were pooled from two animals, washed and banded on a Lympholyte-M density gradient (Accurate), and counted as described [29].

Nasal lymphocytes were also prepared as previously described [29]. Briefly, nasal tissue was physically and enzymatically disrupted by incubation at 37°C for 2 hrs in a 50 ml Erlenmeyer flask in complete R-10 containing 2.5mg/ml Collagenase A (Roche), 17  $\mu\text{g/ml}$  DNase I (Roche) and glass beads. Following digestion, cells were filtered through a 40  $\mu\text{m}$  cell strainer (BD Falcon), washed, resuspended in 44% Percoll (Amersham) and layered on Lympholyte-M. Banded cells were harvested, washed, and counted. Cells were pooled from two animals and typical yields were approximately  $2.5 \times 10^5$  to  $1 \times 10^6$  cells per animal.

## 2.5. Enzyme Linked Immunosorbant Assay (ELISA)

ELISAs for OVA-specific antibodies were performed on serum as previously described [29]. Briefly, an OVA solution (1 mg/ml in PBS) was incubated in 96-well plates (Costar) overnight at 4°C and subsequently blocked overnight with blocking solution [PBS 1x Sigmablock (Sigma)] at RT. Plates were next incubated at room temperature (RT) overnight with 2-fold serial dilutions of individual samples. Plates were washed and incubated for 1 hr with horseradish peroxidase-conjugated secondary goat anti-mouse IgG1 or IgG2a chain-specific antibodies (Southern Biotechnology Associates or Sigma). Finally, plates were again washed, and developed following delivery of *O*-phenylenediamine dihydrochloride substrate. Antibody endpoint titers are reported as the reciprocal of the highest dilution that resulted in an OD<sub>450</sub> 0.2. Data are presented as the geometric mean  $\pm$  standard error of the mean (SEM).

## 2.6. IFN- $\gamma$ enzyme-linked immunospot assay (ELISPOT)

An IFN- $\gamma$  ELISPOT assay was employed to quantitate OVA-specific IFN- $\gamma$ -secreting cells, present in both the spleen and nasal epithelium of immunized mice. Nitrocellulose membrane plates (96 well; Millipore) were incubated with 5  $\mu\text{g/ml}$  of an anti-IFN- $\gamma$  antibody (AN18, Mabtech) in sodium bicarbonate buffer (pH 9.6) overnight at 4°C. Plates were then washed and blocked for 2 hrs with complete R-10 (10% fetal bovine serum) at 37°C. Single cell suspensions in R-10 ( $1 \times 10^5$  to  $2.5 \times 10^5$  cells per well) from either spleen or nasal epithelium were then added to plates in duplicate and incubated in the presence and absence of the class I-restricted OVA peptide (SIINFEKL, New England Peptide) for 24 hrs. Cells were removed from plates, plates were washed, a biotinylated anti-IFN- $\gamma$  antibody (R4-6A2, 1  $\mu\text{g/ml}$ , Mabtech) was added to the plates, and the plates were incubated for 18 hours at 4°C. Membranes were again washed, incubated with a streptavidin-alkaline phosphatase conjugate for 2 hrs at RT. Plates were washed, and spots were developed following addition of BCIP/NBT substrate. Spots were enumerated with a computerized ELISPOT plate reader (Immunospot). Assay background values were obtained following incubation with either no peptide or an irrelevant peptide and were subtracted from values obtained with the OVA peptide. Data are normalized to the number of antigen-specific IFN- $\gamma$ -secreting-cells per  $10^6$  cells.

## 2.7. Intracellular cytokine staining

Single cell suspensions were prepared from spleen and nasal epithelium as described above.  $2 \times 10^5$  to  $2 \times 10^7$  cells were incubated for 6–8 hours in media containing 10  $\mu\text{g/ml}$  of Brefeldin A alone, media containing Brefeldin A and 2  $\mu\text{g/ml}$  of the class I-restricted OVA peptide (SIINFEKL), 2  $\mu\text{g/ml}$  of an irrelevant peptide, or 5  $\mu\text{g/ml}$  concanavalin A. Cells were then washed and stained with antibodies against cell surface markers (CD8, CD4, CD3, etc) for 30 mins. Cells were washed, fixed and permeabilized (Bectin Dickinson cytofix cytoperm), and stained with an antibody against IFN- $\gamma$  (Mabtech). Cells were washed and stored at 4°C prior to analysis by flow cytometry.

## 2.8. Pentamer staining

Single cell suspensions prepared from animals immunized with the class I OVA peptide (SIINFEKL) were analyzed for the presence of OVA-specific cells via cell surface staining with antibodies against CD3, CD8, and the K<sup>b</sup>-restricted SIINFEKL pentamer (Proimmune) according to manufacturer guidelines. Stained cells were examined on a Becton Dickinson Facscaliber Flow cytometer and analyzed using Cellquest software. Data are reported as the percentage of CD3<sup>+</sup>, CD8<sup>+</sup> cells which also stain positive with the OVA pentamer. Values are presented as geometric mean  $\pm$  SEM.

## 2.9. Statistical Analysis

Antibody titers and cytokine values were evaluated for statistically significant differences by either the ANOVA or Mann-Whitney test (GraphPad INSTAT). Results are reported without adjustment for multiple comparisons. A p value of  $\leq 0.05$  was considered significant.

# 3. RESULTS

## 3.1. VRP adjuvants promote a balanced Th1/Th2 antibody profile

We have previously demonstrated the ability of VRP to increase the systemic and mucosal antibody response to co-delivered antigens (Thompson *et al.*, In Press; [29]). Additionally, both Th1 and Th2 cytokines were induced in the VRP-draining lymph node at early times following VRP delivery (Thompson *et al.*, In Press; [33]). Here we have further characterized the antigen-specific serum IgG antibody profile for the presence of IgG1 and IgG2a antibodies as an indirect measure of the Th1/Th2 cytokine profile following VRP immunization. Groups of eight female Balb/c mice were immunized in the rear footpad at week 0 and week 4 with OVA alone (10  $\mu\text{g}$ ), or OVA (10  $\mu\text{g}$ ) mixed with either null VRP ( $1 \times 10^5$  IU) or CpG DNA (1  $\mu\text{g}$ ). We have utilized footpad delivery here due to our extensive experience using this route in VEE pathogenesis studies. Footpad infection targets antigens to a single DLN and provides a tractable system to study the first infected cells and the effects of VRP delivery on the immune inductive tissues [30]. Two weeks following the second inoculation, sera were collected and analyzed for the presence of OVA-specific IgG antibodies by ELISA. The OVA-specific total IgG results shown in Figure 1A were previously reported in a different format in Thompson *et al.* [29], and are reprinted here for clarity. As shown in Figure 1A, the inclusion of null VRP in the inoculum increased the OVA-specific IgG antibody response by approximately 40-fold. As expected, delivery of OVA plus CpG DNA as an adjuvant likewise induced an approximately 40-fold increase in the systemic IgG antibody response. We next analyzed the same sera for the presence of OVA-specific IgG1 and IgG2a antibodies by ELISA. Delivery of OVA alone resulted in a strong OVA-specific IgG1 response relative to the IgG2a response, with an IgG1: IgG2a ratio of  $>20$  (Figure 1B). In contrast, inclusion of VRP as an adjuvant significantly increased the OVA-specific IgG2a response, while simultaneously inducing an OVA-specific IgG1 antibody titer similar to that of antigen delivery alone. This resulted in a balanced IgG1: IgG2a ratio of 0.8 (Figure 1B). A similarly balanced IgG1: IgG2a ratio was observed

following delivery of OVA and CpG DNA (0.5). These results suggest that VRP co-delivery significantly alters the systemic IgG subtype profile compared to delivery of antigen alone, and results in a balanced antibody response with the production of both Th1 and Th2 IgG antibodies [34, 35]. The antibody adjuvant data presented here and in Thompson *et al.* [29] were derived from experiments performed in Balb/c mice; however, we have observed significant antibody adjuvant activity in several mouse strains including Balb/c, C57BL/6, and 129 Sv/Ev, suggesting that the VRP adjuvant effect is not dependent upon a specific mouse genotype (Thompson J.M. and Johnston R.E., unpublished observations).

### 3.2. VRP adjuvants promote an increased cellular immune response to co-delivered soluble antigen

To determine whether VRP possess the ability to stimulate CD8<sup>+</sup> T cell responses to co-delivered antigens, groups of eight female C57BL/6 mice were immunized in the rear footpad at week 0 and week 4 with OVA alone (100 µg), or with OVA (100 µg) mixed with null VRP (1×10<sup>5</sup> IU), CpG DNA (1 µg), or both null VRP (1×10<sup>5</sup> IU) and CpG DNA (1 µg) simultaneously. Two weeks following the second immunization, animals were sacrificed and single cell suspensions were prepared from the spleen, a characteristic systemic lymphoid organ, and from the nasal epithelium, a characteristic mucosal tissue. Splenocytes and nasal lymphocytes were evaluated for the presence of IFN-γ-secreting cells following stimulation with the class I-restricted OVA peptide (SIINFEKL) in an IFN-γ ELISPOT assay. As shown in Figure 2, delivery of OVA alone resulted in low, but detectable levels of OVA-specific CD8<sup>+</sup> T cell responses in both the spleen (Figure 2A) and the nasal epithelium (Figure 2B). This response was increased approximately 12-fold in the spleen, and 7-fold in the nasal epithelium when VRP were included as an adjuvant. CpG DNA also demonstrated a significant adjuvant effect in the systemic and mucosal compartments. When both VRP and CpG were combined as adjuvants, the OVA ELISPOT response was increased further, compared to either VRP or CpG DNA alone. Taken together, these results suggest that VRP as an adjuvant increases the CD8<sup>+</sup> T cell response to soluble protein antigen, and that combining VRP and CpG DNA provides an additive adjuvant effect.

### 3.3. VRP adjuvants promote an increased cellular immune response to co-delivered peptide antigen

VRP may augment CD8<sup>+</sup> T cell immunity at various stages in the T cell activation pathway, both upstream and downstream of antigen processing. As a first step in characterizing the T cell activation pathway in which VRP and CpG are active, the ability of VRP to augment CD8<sup>+</sup> T cell responses was examined under experimental conditions which do not require antigen processing to individual peptides. Groups of eight female C57BL/6 mice were immunized in the rear footpad at week 0 and week 4 with 20 µg OVA peptide (SIINFEKL) alone followed by a third inoculation of 10 µg of peptide to maximize the CD8<sup>+</sup> T cell response induced by peptide antigen alone. In addition, mice were immunized with OVA peptide in the same amounts, and on the same dosing schedule mixed with either null VRP (1×10<sup>5</sup> IU), CpG DNA (1 µg), or both null VRP (1×10<sup>5</sup> IU) and CpG DNA (1 µg). Two weeks following the third immunization, animals were sacrificed and single cell suspensions were prepared from the spleen and nasal epithelium. Splenocytes were first examined for IFN-γ secretion by ELISPOT. As shown in Figure 3A, delivery of OVA peptide alone failed to induce significant numbers of OVA-specific IFN-γ-secreting cells in the spleen, as measured by IFN-γ ELISPOT. However, when VRP were co-inoculated with OVA peptide, OVA-specific IFN-γ-secreting cells were detectable in the spleen at approximately 10-fold higher levels than present following delivery of peptide alone. A similar increase was also observed following use of CpG as an adjuvant. Moreover, delivery of OVA peptide in the presence of both VRP and CpG DNA resulted in a significantly stronger response than delivery of either adjuvant alone (p<0.01). To further characterize the OVA-specific T cell

response following peptide delivery, OVA-specific CD8<sup>+</sup> T cells were evaluated by SIINFEKL/MHC I pentamer analysis. Delivery of OVA peptide in the presence of both VRP and CpG resulted in an increased proportion of OVA-specific CD8<sup>+</sup> T cells in both the spleen (Figure 3B) and nasal epithelium (Figure 3C) compared to delivery of OVA peptide alone. However, delivery of OVA peptide in the presence of either VRP or CpG did not result in a statistically significant increase in the proportion of OVA-specific CD8<sup>+</sup> T cells compared to delivery of OVA peptide alone. Taken together, these results suggest that VRP, in combination with CpG DNA, increase the antigen-specific CD8<sup>+</sup> T cell response to peptide antigen.

#### 3.4. Nonmucosal VRP delivery results in increased numbers of mucosal CD8<sup>+</sup> T cells

In addition to the high dose (100 μg) OVA experiments presented in Figure 2, we also employed an intracellular cytokine staining (ICS) assay for IFN-γ following low dose (10 μg) OVA administration in the presence/absence of a VRP adjuvant. Groups of eight female C57BL/6 mice were immunized in the rear footpad at week 0 and week 4 with OVA alone (10 μg), or with OVA (10 μg) mixed with null VRP (1×10<sup>5</sup> IU) and splenocytes and nasal lymphocytes were evaluated for the presence of IFN-γ positive CD8<sup>+</sup> T cells by ICS two weeks following the booster immunization. In this model, IFN-γ<sup>+</sup> CD8<sup>+</sup> T cells were essentially undetectable by ICS in the spleens of immunized animals regardless of the presence of VRP in the inoculum (data not shown). IFN-γ<sup>+</sup> CD8<sup>+</sup> T cells were detectable in the nasal epithelium of immunized animals; however, the presence of VRP in the inoculum did not induce a statistically significant increase compared to delivery of OVA alone (Figure 4A).

We next evaluated whether VRP delivery affected the number of CD8<sup>+</sup> T cells in the nasal mucosa of immunized animals. Interestingly, inclusion of VRP in the inoculum resulted in an approximately 5-fold increase in the proportion of viable CD8<sup>+</sup> T cells in the nasal epithelium (Figure 4B). This effect results in an increase in the absolute number of OVA-specific CD8<sup>+</sup> T cells in the nasal mucosa, even under conditions where the proportion of OVA-specific IFN-γ-secreting cells was not increased (Figure 4C). The proportion of CD8<sup>+</sup> T cells in the spleen was unaffected by VRP delivery (data not shown), suggesting that CD8<sup>+</sup> T cells may be recruited specifically into the mucosal compartment when VRP are utilized as adjuvants.

#### 3.5. Nonmucosal VRP delivery upregulates the mucosal homing receptor on CD8<sup>+</sup> T cells in the draining lymph node

As a first step in characterizing the recruitment of T cells to the mucosal surface following VRP delivery, levels of two important mucosal homing molecules, the α<sub>4</sub>β<sub>7</sub> integrin and CCR9 [36], were evaluated on T cells present in the DLN. Groups of female Balb/c mice were immunized in the rear footpads with OVA (10 μg) in the presence and/or absence of null VRP (1×10<sup>5</sup> IU) at week 0 and week 4 and DLNs were harvested at day 1 and day 3 following the second inoculation. Single cell suspensions were created by collagenase digestion and cells were stained with antibodies against CD3, CD4, CD8, CCR9, and the α<sub>4</sub>β<sub>7</sub> integrin (LPAM-1). At day 1 post boost, both CD4<sup>+</sup> T cells and CD8<sup>+</sup> T cells were present in the DLN of immunized animals; however, neither CCR9 nor α<sub>4</sub>β<sub>7</sub> integrin was upregulated by the inclusion of VRP in the inoculum (data not shown). At day 3 post boost, CCR9 levels were not increased on either CD4<sup>+</sup> T cells or CD8<sup>+</sup> T cells present in the DLN (Figure 5). In contrast, the number of DLN CD8<sup>+</sup> T cells with upregulated α<sub>4</sub>β<sub>7</sub> integrin expression was increased following OVA plus VRP delivery, compared to delivery of OVA alone at day 3. The proportion of CD4<sup>+</sup> T cells with increased α<sub>4</sub>β<sub>7</sub> integrin expression in the DLN were unaffected by VRP at the same timepoint. Together, these results suggest that

mucosal homing molecule expression on CD8<sup>+</sup> T cells in the DLN correlates with mucosal T cell adjuvant activity.

#### 4. DISCUSSION

Cell-mediated immune responses play a crucial role in protecting the host from invading pathogens. Thus, the development of vaccination strategies which are capable of activating CD8<sup>+</sup> T cells possess the potential to significantly influence the outcome of infection with harmful organisms. Viruses and viral vectors induce potent CD8<sup>+</sup> T cell-mediated immunity in a number of experimental systems, and gaining a mechanistic understanding of both the immunological and virological basis of T cell activation may in the long run allow for the optimization of viral vectors as vaccine delivery tools.

Alphavirus expression vectors based on Sindbis virus, Semliki forest virus, and Venezuelan equine encephalitis virus induce strong CD8<sup>+</sup> T cell responses against antigens which are expressed from the viral genome (reviewed in [5–11]). Under these conditions, all productively infected cells would also produce heterologous antigen, providing a means for virally-expressed proteins/peptide fragments to gain access to the MHC class I pathway in the same cells that receive viral activation signals. Here we demonstrate the ability of VRP, as an adjuvant, to induce activation of CD8<sup>+</sup> T cell responses to a co-delivered antigen. This is the first formal demonstration of CD8<sup>+</sup> T cell adjuvant activity following alphavirus delivery. Antibody adjuvant activity has been observed with attenuated VEE virus [37, 38] and with replicon particles derived from VEE [29] and Semliki forest virus [39]; however, T cell adjuvant effects were either not detected or not examined in those reports.

The mechanism(s) underlying VRP CD8<sup>+</sup> T cell adjuvant activity are undefined at present; however, two general of models are plausible. First, it is possible that T cell activation results from the interaction of CD8<sup>+</sup> T cells with APCs that were both infected by VRP and had taken up exogenous antigen by phagocytosis and/or pinocytosis. In support of this idea VRP are known to upregulate co-stimulatory molecule expression on infected mouse (Moran, T.P., Johnston, R.E., and Serody, J.S., unpublished observations) and human [40] DC cultures, and promote CD8<sup>+</sup> T cell responses to antigens which are encoded in the VRP genome. Moreover, incubation of peripheral blood mononuclear cells with VRP-infected DCs *in vitro* significantly expands antigen-specific CD8<sup>+</sup> T cells [40], suggesting that antigen-bearing, infected cells are sufficient to activate cognate CD8<sup>+</sup> T cells.

A second non-mutually exclusive possibility is that, as VRP and OVA may target distinct APC subsets, VRP may provide an adjuvant signal for CD8<sup>+</sup> T cell activation to uninfected, antigen-bearing APCs, possibly via the secretion of soluble mediators in the DLN. Consistent with this idea, VRP induce the production of several inflammatory mediators in the DLN including IFN- $\beta$ , TNF- $\alpha$ , IL-6, IFN- $\gamma$ , RANTES, and MIP1- $\beta$  (Thompson *et al.*, In Press;[33]) which may affect CD8<sup>+</sup> T cell activation. Further experimentation will be required to determine the relative contributions of both direct activation and cross priming in the VRP adjuvant system. However, regardless of dependence upon co-delivery of VRP and antigen to the same cell, we suggest that VRP potentially act downstream of antigen acquisition and antigen processing, as increased IFN- $\gamma$  secretion by CD8<sup>+</sup> T cells was observed following delivery of peptide antigen in the presence of VRP. This delivery circumvents both antigen uptake and processing to the immunogenic peptide. Of note in this regard, VRP delivery alone in the presence of peptide antigen stimulated a significant adjuvant effect as measured by IFN- $\gamma$  ELISPOT, whereas only VRP co-delivery with CpG DNA resulted in an adjuvant effect as measured by pentamer staining (see Fig. 3A and 3B), which may suggest that VRP adjuvants induce the activation of antigen-specific cells more



so than the generation antigen-specific cells *per se*. Further experiments are underway to test such an hypothesis.

As mentioned above, VRP specifically infect DCs in the lymph node draining the infection site [30], and preliminary experiments suggest that CD11b<sup>+</sup> DCs represent the major target of VEE infection (West, A., Whitmore, A.C., Moran, T.P., and Johnston, R.E., unpublished observations). Interestingly, CD11b<sup>+</sup> DCs have been implicated in the induction of Th1 CD4<sup>+</sup> T cell responses in several model systems [41–43], (reviewed in [26, 44]), raising the possibility that VRP-infected CD11b<sup>+</sup> DCs promote the activation of CD8<sup>+</sup> T cell-mediated immunity by driving helper CD4<sup>+</sup> T cells towards a Th1 phenotype *in vivo*. Consistent with this idea, both Th1-biased IgG2a antibodies (this report) and Th1 cytokines such as IL-12p70 and IFN- $\gamma$  were produced in VRP-infected animals (Thompson et al., In Press; Thompson, J.M., and Johnston, R.E., unpublished observations). In fact, analysis of the IgG profile (Fig. 1B) following VRP delivery suggests that VRP adjuvants predominantly stimulate a Th1-biased IgG2a response. This was a surprising finding, given that VRP also catalyze Th2 cytokine production such as IL-5 and IL-6 in VRP-infected animals (Thompson et al., In Press). Nonetheless, such an observation is consistent with an important role for Th1 CD4<sup>+</sup> T cells in VRP CD8<sup>+</sup> T cell adjuvant activity, and the importance of the Th1 phenotype in the VRP adjuvant effect. We are currently evaluating both the role of Th1 CD4<sup>+</sup> T cells in the VRP adjuvant effect and the capacity of various subsets of DCs isolated from the DLN of VRP-infected animals to stimulate co-cultured, antigen-specific CD8<sup>+</sup> T cells, in an attempt to define the mechanisms of T cell activation in the VRP system [45, 46].

Our studies clearly demonstrate that VRP possess intrinsic T cell adjuvant activity; however, the question of whether our experimental system is optimized for T cell activation has not been fully addressed. The inoculation regimen utilized in these studies was based upon our experience utilizing VRP to induce serum IgG responses directed against VRP-expressed antigens. However, the optimal dosing schedule for the induction of T cell adjuvant activity may differ from that for serum antibody responses. We are actively pursuing dosing schedules and additional methods to further augment the VRP T cell adjuvant effect.

One such method presented here is the utilization of VRP as adjuvants in combination with the known T cell adjuvant, CpG DNA. Our studies demonstrated stronger T cell adjuvant effects following co-delivery of both VRP and CpG together, as compared to delivery of either adjuvant alone. Synergy has been documented following simultaneous delivery of TLR agonists in terms of either proinflammatory cytokine production or T cell activation [47–50]. While a direct role for TLR signaling in alphavirus recognition has yet to be fully clarified, we hypothesized that VRP infection may act synergistically with CpG DNA to further activate cell mediated immunity. However, increased CD8<sup>+</sup> T cell responses following co-delivery of VRP and CpG DNA in the presence of protein antigen appeared to be additive as opposed to synergistic. We are currently evaluating the signaling pathways and cytokine responses activated following delivery of VRP in the presence and absence of CpG DNA in an attempt to further characterize the mechanism/s of immune induction in this system. It is possible that VRP- and CpG-induced signaling occurs in distinct temporal waves, and delivery of VRP and CpG in a different temporal fashion may provide a synergistic effect. In support of this idea, the kinetics of proinflammatory cytokine production in the DLN appeared to differ between VRP and CpG DNA, with VRP-induced responses peaking approximately 18 hours prior to the peak induced by CpG DNA (Thompson *et al.*, In Press). We are currently evaluating the stimulatory effect of VRP in the presence of CpG DNA, as well as other TLR ligands, when delivered either simultaneously or consecutively.

Nonmucosal VRP delivery resulted in a significant increase in the proportion of CD8<sup>+</sup> T cells in the nasal mucosa, suggesting that VRP infection promotes the mobilization of CD8<sup>+</sup> T cells into the mucosal compartment. One possible explanation for this result is that VRP delivery induces TCR-independent migration of CD8<sup>+</sup> T cells into the mucosal compartment. An alternative explanation is that the increase in mucosal CD8<sup>+</sup> T cells in the mucosal compartment is in fact due to the influx of antigen-specific cells, specific for antigens other than OVA. While VRP preparations are purified over a sucrose gradient, “contaminating” proteins present in VRP preparations, or normal antigens cycling through the VRP-infected lymph node, may be present at levels sufficient for such proteins to serve as an antigen. VRP may in turn adjuvant the antigen-specific CD8<sup>+</sup> T cell response to these contaminating and/or natural antigens, accounting for CD8<sup>+</sup> cell influx into the mucosal compartment. Additional experiments will be required to distinguish between these possibilities. Antigen-independent mucosal migration may be evaluated by determining the ability VRP to induce mucosal migration of adoptively transferred CD8<sup>+</sup> T cells with a specificity distinct from that of the immunizing antigen. Regardless of mechanism, it will be important to determine whether this property is unique to the nasal mucosa, or if other mucosal surfaces likewise harbor increased numbers of CD8<sup>+</sup> T cells.

Activation of mucosal IgA responses following nonmucosal VRP delivery has also been observed [29, 51, 52]. Interestingly, IgA antibodies were first produced in the peripheral draining lymph node, consistent with a model in the DLN plays a role in the inductive process following nonmucosal VRP delivery (Thompson *et al.*, In Press). Characterization of the VRP DLN revealed a population of B cells with increased  $\alpha_4\beta_7$  integrin expression and the presence of mucosal addressin cellular adhesion molecule-1 (MAdCAM-1) on the high endothelial venules, suggesting a role for the mucosal homing receptor interactions in VRP-induced mucosal antibody activation (Thompson *et al.*, In Press). CD8<sup>+</sup> T cells present in the VRP DLN also upregulated the  $\alpha_4\beta_7$  integrin, by approximately 3-fold. In this context, it is of interest that the  $\alpha_4\beta_7$  integrin is upregulated on antigen-specific, IFN- $\gamma$ -secreting cells both in the DLN and at the vaginal mucosal surface [53] as well as on IgA-secreting cells in the vaginal mucosal surface [54] following nonmucosal prime and mucosal boost of an alphavirus replicon chimera containing a VEE replicon RNA, providing additional support for this pathway in alphavirus replicon-induced mucosal T cell activation. Further experimentation, such as VRP adjuvant experiments in  $\beta_7$  integrin knock out mice [55], will be required to definitively implicate of this pathway in VRP-induced mucosal immunity; however, we speculate that the  $\alpha_4\beta_7$  integrin pathway plays a significant role in mucosal lymphocyte migration in the alphavirus adjuvant system(s).

In summary, we describe for the first time, the ability of VRP to augment CD8<sup>+</sup> T cell responses to co-delivered antigen alone and in concert with a TLR agonist. To our knowledge, this is the first demonstration of T cell adjuvant activity with alphavirus vectors. Much of the VRP-induced activation in the presence of CpG DNA appeared to occur downstream of antigen processing, as increased immunity was observed following delivery of a peptide antigen. Additionally, VRP delivery resulted in increased homing of CD8<sup>+</sup> T cells into the mucosal compartment, possibly via the mucosal homing receptor. These studies provide a framework which should allow for the identification of the critical viral factors and signaling pathways which are responsible for the activation of T cell responses to co-delivered antigens. In turn, such knowledge could lead to more efficacious vaccines based on viral vectors.

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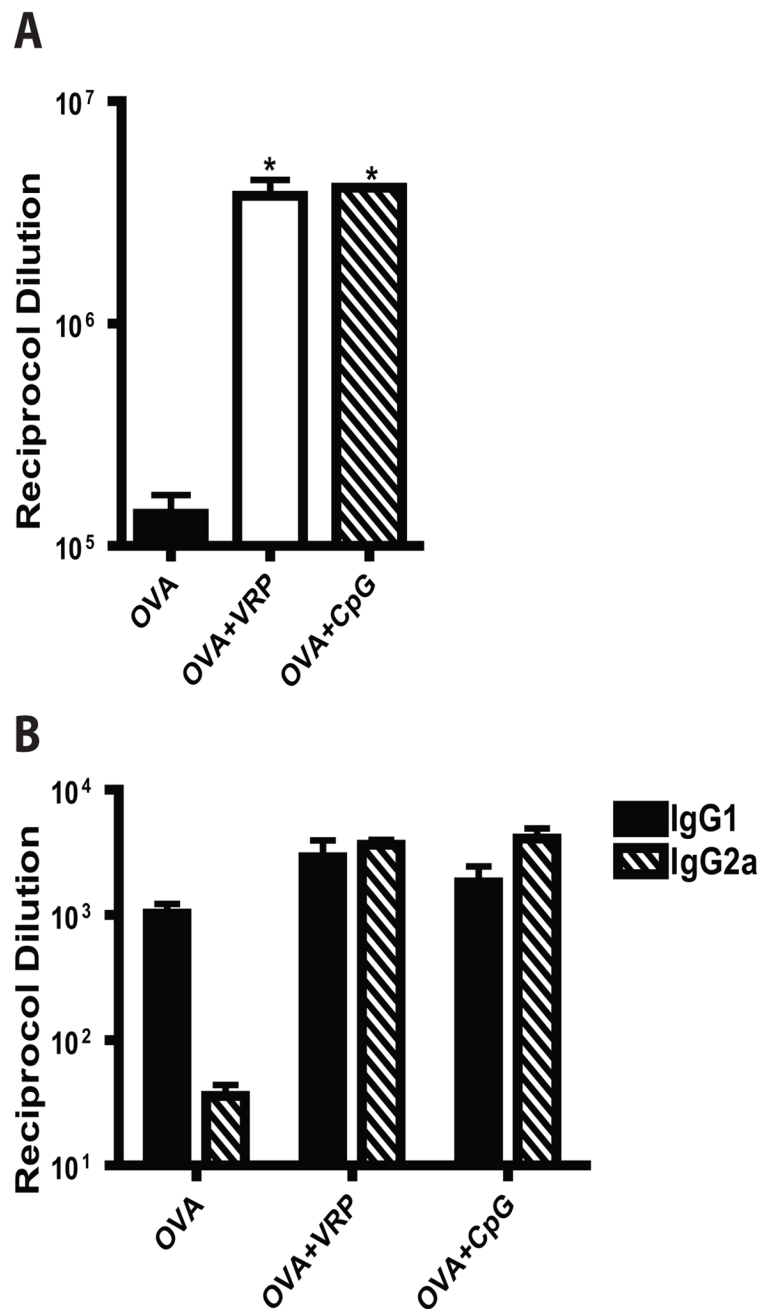
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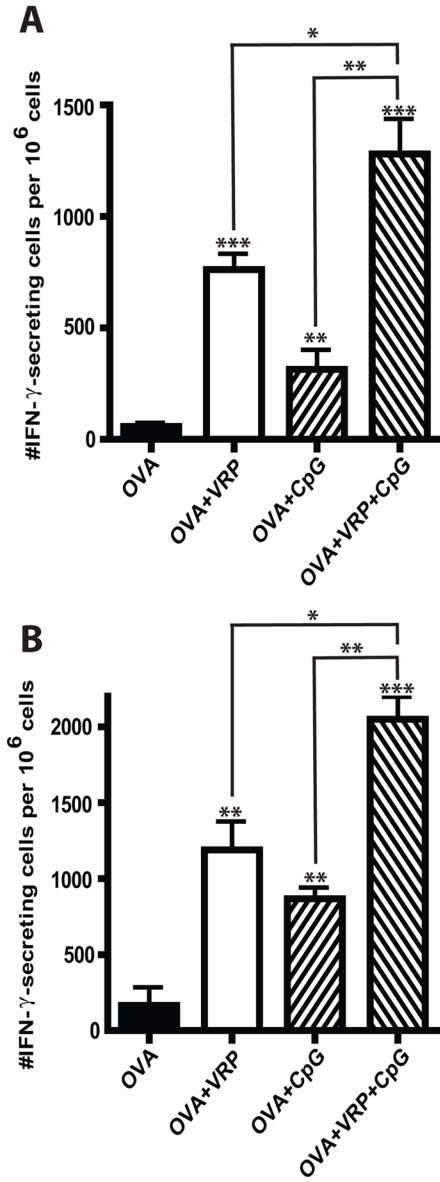
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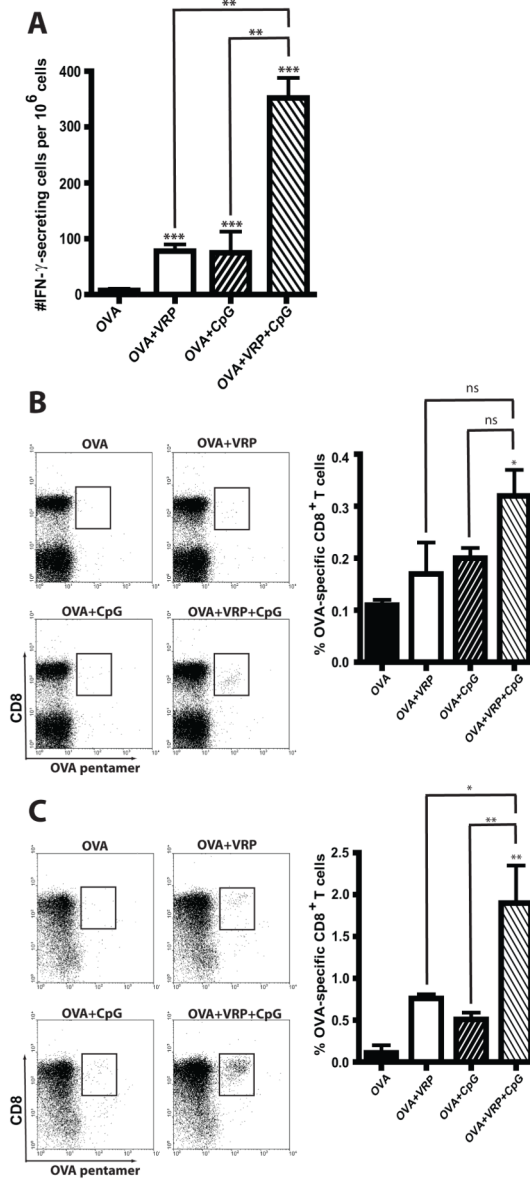
**Figure 1. VRP adjuvants promote a balanced Th1/Th2 antibody profile**

Groups of eight female Balb/c mice were immunized in the rear footpad at week 0 and week 4 with OVA alone (10  $\mu$ g), or OVA (10  $\mu$ g) mixed with either null VRP ( $1 \times 10^5$  IU) or CpG DNA (1  $\mu$ g). Two weeks following the second inoculation, sera were collected and analyzed for the presence of OVA-specific total IgG antibodies (A) or OVA-specific IgG1 and IgG2a antibodies (B) by ELISA. Values represent the geometric mean  $\pm$  SEM. \*,  $p < 0.001$ , compared to OVA alone as determined by ANOVA.



**Figure 2. VRP adjuvants promote an increased cellular immune response to co-delivered soluble antigen**

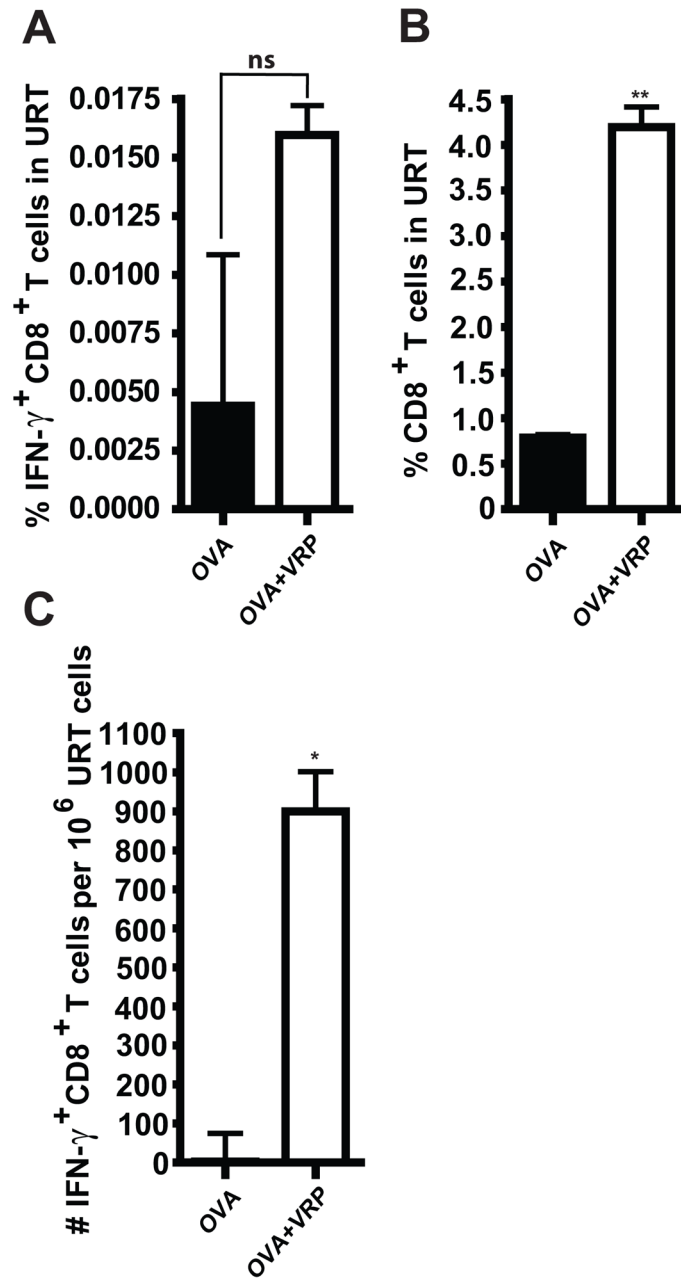
Groups of eight female C57BL/6 mice were immunized in the rear footpad at week 0 and week 4 with OVA alone (100  $\mu$ g), or OVA (100  $\mu$ g) mixed with either null VRP ( $1 \times 10^5$  IU), CpG DNA (1  $\mu$ g), or both null VRP ( $1 \times 10^5$  IU) and CpG DNA (1  $\mu$ g). Two weeks following the second inoculation, splenocytes (A) and nasal lymphocytes (B) were analyzed for the presence of IFN- $\gamma$ -secreting cells following stimulation with the OVA class I-restricted peptide in an IFN- $\gamma$  ELISPOT assay. Values represent the geometric mean  $\pm$  SEM. \*,  $p < 0.05$ ; \*\*,  $p < 0.01$ ; \*\*\*,  $p < 0.001$  compared to OVA alone or as indicated, as determined by ANOVA.



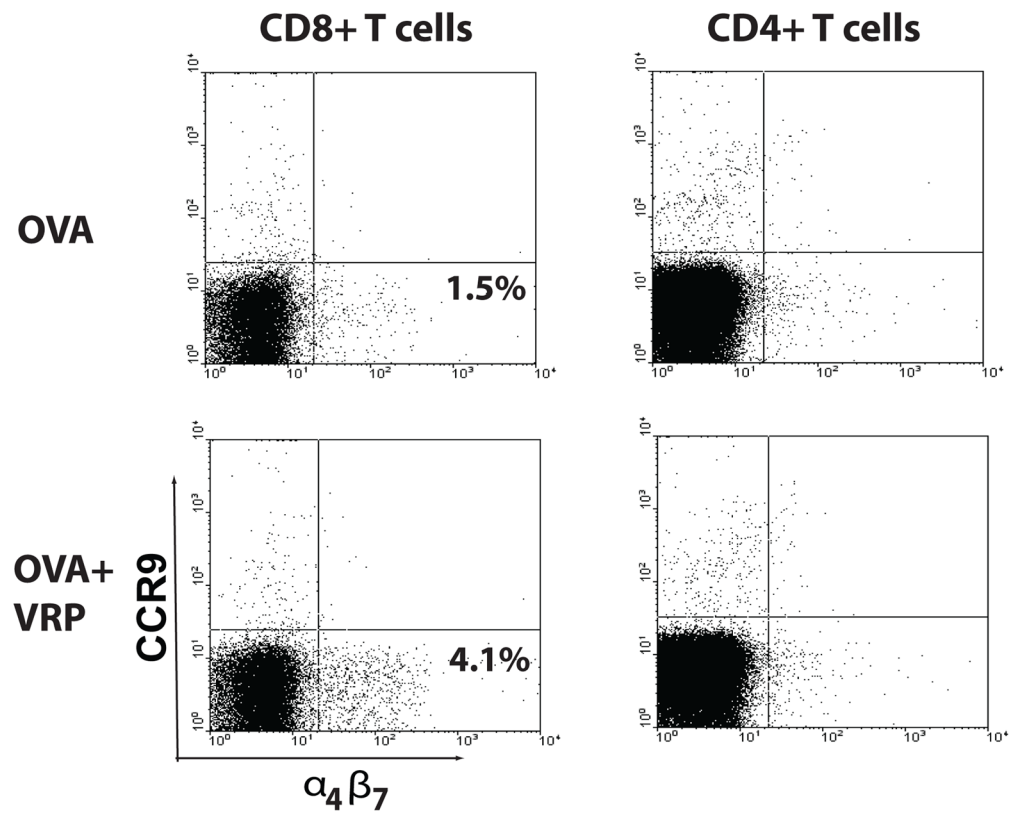
**Figure 3. VRP adjuvants promote an increased cellular immune response to co-delivered peptide antigen**

Groups of eight female C57BL/6 mice were immunized in the rear footpad at weeks 0, 4, and 8 with OVA peptide (SIINFEKL, 20  $\mu$ g/20  $\mu$ g/10  $\mu$ g respectively) alone, or mixed with either null VRP ( $1 \times 10^5$  IU), CpG DNA (1  $\mu$ g), or both null VRP ( $1 \times 10^5$  IU) and CpG DNA (1  $\mu$ g). Two weeks following the last inoculation, splenocytes (A) were analyzed for the presence of IFN- $\gamma$ -secreting cells following stimulation with the OVA class I-restricted peptide in an IFN- $\gamma$  ELISPOT assay. Additionally, splenocytes (B) and nasal lymphocytes (C) were analyzed for the presence pMHC-specific CD8<sup>+</sup> T cells by pentamer staining. Values represent the geometric mean  $\pm$  SEM. ns, not significant; \*,  $p < 0.05$ ; \*\*,  $p < 0.01$ ; \*\*\*,  $p < 0.001$  compared to OVA alone or as indicated, as determined by ANOVA.





**Figure 4. Nonmucosal VRP delivery results in increased numbers of mucosal CD8<sup>+</sup> T cells**  
 Groups of eight female Balb/c mice were immunized in the rear footpad at weeks 0 and 4 with OVA alone (10  $\mu$ g), or OVA (10  $\mu$ g) mixed with null VRP ( $1 \times 10^5$  IU). Two weeks following the second inoculation, upper respiratory tract (URT) lymphocytes were evaluated for the presence of IFN- $\gamma$ <sup>+</sup> CD8<sup>+</sup> T cells by ICS following *in vitro* stimulation with the OVA class I-restricted peptide (A). Additionally, both the % of CD8<sup>+</sup> T cells present in the URT (B) and the total number of IFN- $\gamma$ <sup>+</sup> CD8<sup>+</sup> T cells following peptide stimulation were also evaluated at two weeks post boost. Values represent the geometric mean  $\pm$  SEM. ns, not significant; \*,  $p=0.0286$ ; \*\*,  $p=0.0002$  compared to OVA alone, as determined by Mann-Whitney.



**Figure 5. VRP upregulate the mucosal homing receptor on DLN CD8<sup>+</sup> T cells**

Groups of female Balb/c mice were immunized in the rear footpads at weeks 0 and 4 with OVA alone (10  $\mu$ g), or mixed with either null VRP ( $1 \times 10^5$  IU). Day 3 following the last inoculation, single cell suspensions were prepared from the DLN and CD4<sup>+</sup> and CD8<sup>+</sup> T cells were analyzed for the presence of the  $\alpha_4\beta_7$  integrin and CCR9 by flow cytometry. Shown are the proportion of  $\alpha_4\beta_7$  integrin positive cells.