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Adjuvant Chemotherapy in Older Women with Early-Stage Breast Cancer

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Abstract

BACKGROUND—Older women with breast cancer are underrepresented in clinical trials, and data on the effects of adjuvant chemotherapy in such patients are scant. We tested for the noninferiority of capecitabine as compared with standard chemotherapy in women with breast cancer who were 65 years of age or older.

METHODS—We randomly assigned patients with stage I, II, IIIA, or IIIB breast cancer to standard chemotherapy (either cyclophosphamide, methotrexate, and fluorouracil or cyclophosphamide plus doxorubicin) or capecitabine. Endocrine therapy was recommended after chemotherapy in patients with hormone-receptor–positive tumors. A Bayesian statistical design was used with a range in sample size from 600 to 1800 patients. The primary end point was relapse-free survival.

RESULTS—When the 600th patient was enrolled, the probability that, with longer follow-up, capecitabine therapy was highly likely to be inferior to standard chemotherapy met a prescribed level, and enrollment was discontinued. After an additional year of follow-up, the hazard ratio for

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disease recurrence or death in the capecitabine group was 2.09 (95% confidence interval, 1.38 to 3.17; $P < 0.001$). Patients who were randomly assigned to capecitabine were twice as likely to have a relapse and almost twice as likely to die as patients who were randomly assigned to standard chemotherapy ($P = 0.02$). At 3 years, the rate of relapse-free survival was 68% in the capecitabine group versus 85% in the standard-chemotherapy group, and the overall survival rate was 86% versus 91%. Two patients in the capecitabine group died of treatment-related complications; as compared with patients receiving capecitabine, twice as many patients receiving standard chemotherapy had moderate-to-severe toxic effects (64% vs. 33%).

CONCLUSIONS—Standard adjuvant chemotherapy is superior to capecitabine in patients with early-stage breast cancer who are 65 years of age or older. (ClinicalTrials.gov number, NCT00024102.)

Age is the major risk factor for breast cancer.¹ In the United States, the average age at the diagnosis of breast cancer is approximately 63 years, and most deaths from breast cancer occur in women 65 years of age or older. Breast cancer in older women is not always managed according to treatment guidelines,²⁻⁴ and such lapses can adversely affect survival.^{5,6} Although adjuvant chemotherapy has improved survival among women with early-stage breast cancer,^{7,8} the Oxford Overview analysis of 15-year results included too few patients older than 70 years of age to assess the effect of chemotherapy in that age group accurately.⁷ Older women with breast cancer who are in good health tolerate chemotherapy about as well as younger patients,^{9,10} and the more severe toxicity of chemotherapy in older patients¹¹ has not meaningfully affected the benefits of adjuvant chemotherapy.¹²

We report here the results of the Cancer and Leukemia Group B (CALGB) 49907 trial, which was designed specifically to compare the efficacy of standard chemotherapy (either cyclophosphamide, methotrexate, and fluorouracil [CMF] or doxorubicin plus cyclophosphamide) with the oral fluorouracil prodrug, capecitabine, in women with early-stage breast cancer who were 65 years of age or older. Patients often prefer oral to intravenous chemotherapy,¹³ and an effective oral agent for adjuvant treatment would be important for treating older women with breast cancer.

Capecitabine has substantial antitumor activity in metastatic breast cancer, with response rates of approximately 30%.^{14,15} In small, randomized trials involving women with metastatic breast cancer, the activity of capecitabine was similar to that of paclitaxel¹⁶ or CMF,¹⁷ making it a potential alternative to standard adjuvant chemotherapy.

METHODS

PATIENTS

Eligible women were 65 years of age or older and had operable, histologically confirmed adenocarcinoma of the breast, with a performance status of 0 to 2 (according to the National Cancer Institute [NCI] criteria) and a tumor diameter that was more than 1 cm; status with respect to estrogen receptor, progesterone receptor, and human epidermal growth factor receptor type 2 (HER2) was not specified as an eligibility criterion. Adequate hematologic, renal, and hepatic function and clear surgical margins for the invasive component of the tumor were required. Treatment of the axilla was at the discretion of the patient and her surgeon. Patients with hormone-receptor-positive tumors were offered tamoxifen or an aromatase inhibitor after chemotherapy. Patients had to have an expected survival of more than 5 years and no medical condition that would make treatment with this protocol unreasonably hazardous. Exclusion criteria included any other active cancer or a previous cancer with a risk of relapse that was greater than 30%.

RANDOMIZATION AND STUDY TREATMENT

Patients were randomly assigned with equal probability to standard chemotherapy or capecitabine. Standard chemotherapy consisted of either CMF or doxorubicin plus cyclophosphamide; the choice was made at the discretion of the patient or her physician. The CMF regimen consisted of cyclophosphamide, at a dose of 100 mg per square meter of body-surface area, administered orally from days 1 through 14 and methotrexate, at a dose of 40 mg per square meter, and fluorouracil, at 600 mg per square meter, administered intravenously on days 1 and 8; the cycle was repeated every 28 days for a total of six cycles. The regimen of doxorubicin plus cyclophosphamide consisted of doxorubicin, at a dose of 60 mg per square meter, and cyclophosphamide, at a dose of 600 mg per square meter, administered intravenously on day 1; the cycle was repeated every 21 days for four cycles.

The first 56 patients assigned to capecitabine received 2000 mg per square meter per day in two divided doses for 14 consecutive days every 3 weeks, for a total of six cycles, and the dose was increased to 2500 mg per square meter if they had no toxic effects after the first course. Because the toxicity of this regimen was unacceptable, the protocol was amended to eliminate the dose escalation. During the 10 weeks needed to effect this amendment, accrual continued only for the standard-chemotherapy group. Dose modifications for all regimens were based on standard NCI toxicity criteria.¹⁸ All patients provided written informed consent that met state, federal, and institutional guidelines.

STATISTICAL ANALYSIS

The trial was designed to test the noninferiority of capecitabine as compared with standard chemotherapy by means of an adaptive Bayesian design.¹⁹ The primary end point was relapse-free survival, defined according to standard criteria²⁰ as the time from study entry until local recurrence, distant metastasis, or death from any cause, whichever occurred first. Secondary end points included overall survival (defined as the time from study entry until death from any cause), adverse events, adherence to oral chemotherapy, and quality of life and functional status.

The primary measure of efficacy was the hazard ratio for disease recurrence or death in the capecitabine group as compared with the standard-chemotherapy group. Capecitabine would be considered noninferior to standard chemotherapy if the hazard ratio was greater than 0.8046. (With the use of a 5-year landmark for descriptive purposes, this ratio corresponds to a 5-year rate of relapse-free survival of 60% for standard chemotherapy and 53% for capecitabine.) The planned sample size was 600 to 1800 patients. Interim monitoring for futility and noninferiority was planned after the enrollment of 600, 900, 1200, and 1500 patients. Noninferiority and futility bounds were defined according to Bayesian predictive probabilities with the use of noninformative prior distributions¹⁹ for the true treatment effects. These interim analyses were not the standard type in which the trial results are announced when a boundary is crossed. Rather, the decision to discontinue enrollment was based on a prediction that future follow-up was likely to give a meaningful answer. Enrollment was to be discontinued because of predicted futility if the probability of a hazard ratio of less than 0.8046 was at least 80% after 600 patients had been enrolled, at least 70% after 900 patients had been enrolled, and at least 60% after 1200 or 1500 patients had been enrolled. Noninferiority would be established at any of these times if the probability of a hazard ratio of more than 0.8046 was at least 99%.

For the primary comparison of treatments, we used proportional-hazards modeling, adjusting for tumor size, number of involved lymph nodes, and hormone-receptor status (estrogen-receptor-positive, progesterone-receptor-positive, or both estrogen-receptor-negative and progesterone-receptor-negative). To determine the statistical significance of

each variable included in the models, we used the corresponding Wald chi-square tests. Estimates of relapse-free survival and overall survival were calculated with the use of the Kaplan–Meier product-limit technique.²¹ Efficacy analyses were based on the intention-to-treat principle and included all patients who were assigned to treatment. Safety evaluations included all reported adverse events and serious adverse events according to the NCI Common Toxicity Criteria.¹⁸ Unless otherwise specified, reported P values are two-sided.

Since the benefits of improvements in chemotherapy are largely limited to patients with estrogen-receptor–negative tumors and positive lymph nodes,²² we compared the efficacy of capecitabine with that of standard chemotherapy in patients with hormone-receptor–positive tumors and in those with hormone-receptor–negative tumors. This unplanned post hoc analysis was not described in the protocol. In testing for an interaction between treatment and hormone-receptor status, we compared capecitabine in patients who had hormone-receptor–negative tumors with all other study groups combined (i.e., capecitabine in patients with hormone-receptor–positive tumors and standard therapy in patients with hormone-receptor–positive and hormone-receptor–negative tumors). No other post hoc subgroup analyses were performed.

The CALGB Breast Cancer and Cancer in the Elderly committees designed the study. Standard-chemotherapy drugs were purchased by the patients, and capecitabine was supplied by the NCI. Data were collected by the CALGB operations office and analyzed by the CALGB statisticians. The lead author and biostatistical coauthors wrote the manuscript, which was reviewed by all the authors, and vouch for the completeness and accuracy of the data.

RESULTS

CONDUCT OF THE TRIAL

The trial opened on September 15, 2001. The first per-protocol analysis, in November 2006, after the enrollment of 600 patients, revealed 16 recurrences, distant metastases, or death from any cause in the standard-chemotherapy group and 24 in the capecitabine group. At the time, the hazard ratio for disease recurrence in the standard-chemotherapy group as compared with the capecitabine group was 0.53. In view of the small number of events, however, this hazard ratio was uncertain. Still, the Bayesian probability of a hazard ratio of less than 0.8046 was 96%, which exceeded the limit of 80% that was based on the predictive probability that after additional follow-up, the results would clearly favor futility. The data and safety monitoring board permanently closed the trial on December 29, 2006, after a total enrollment of 633 patients. We performed all statistical analyses of data available as of May 2008. The median follow-up was 2.4 years, and the maximum follow-up was 5.6 years.

Randomization was suspended during the 10-week period when the protocol was amended for capecitabine toxicity. The 19 patients enrolled during this period were all assigned to standard chemotherapy. Analyses including and excluding these patients showed no substantive differences (data not shown). All patients were included in this analysis.

PATIENTS

Of the 633 enrolled patients, 326 were randomly assigned to standard chemotherapy (133 chose CMF, 184 chose doxorubicin plus cyclophosphamide, and 9 withdrew before choosing a regimen) and 307 were randomly assigned to capecitabine; 13 patients (9 in the standard-chemotherapy group and 4 in the capecitabine group) never received the assigned therapy. Table 1 lists the characteristics of the patients. The two groups were balanced except for a slight imbalance in tumor size ($P=0.04$). Approximately two thirds of the patients were 70 years of age or older, and about 5% were 80 years of age or older. Most had

an excellent performance status (i.e., they were ambulatory and without symptoms), 11% were black, two thirds had hormone-receptor–positive tumors, 10% had HER2-positive tumors, and 70% had positive lymph nodes; about half the tumors were more than 2 cm in diameter. The protocol was amended in 2006 to recommend trastuzumab therapy for patients with HER2-positive tumors; 8 of the 10 patients with HER2-positive disease who were subsequently enrolled received trastuzumab.

SURVIVAL

Table 2 shows the rates of relapse-free survival, relapse, overall survival, and death, as well as the causes of death. At a median follow-up of 2.4 years, the rates of both relapse and death in the capecitabine group were nearly twice those in the standard-chemotherapy group. The most common cause of death in the capecitabine group was breast cancer (in 18 of 38 patients [47%]), whereas in the standard-chemotherapy group the most common causes of death were other cancer or cardiovascular disease (in 12 of 24 patients [50%]). Table 3 shows the results of the multivariate analysis. The treatment group was significantly predictive of relapse-free survival, even after adjusting for tumor size, the number of positive lymph nodes, and hormone-receptor status. In this model, based on 622 patients, of whom 16% had disease recurrence, the hazard ratio for recurrence in the capecitabine group was twice that in the standard-chemotherapy group (hazard ratio, 2.09; $P < 0.001$). In addition, a larger tumor, a larger number of positive nodes, and a negative hormone-receptor status were associated with a significantly higher risk of relapse ($P = 0.05$, $P = 0.004$, and $P < 0.001$ for the three comparisons, respectively). Figure 1A shows the Kaplan–Meier plot of relapse-free survival according to treatment group, without adjustment for other clinical variables.

Table 3 also shows results of the multivariate model of overall survival. After adjustment for standard covariates, patients assigned to capecitabine had a risk of death that was nearly twice that for patients who were assigned to standard chemotherapy (hazard ratio, 1.85; $P = 0.02$). As compared with smaller tumors and hormone-receptor–positive tumors, larger tumors and hormone-receptor–negative tumors were associated with significantly shorter survival ($P = 0.02$ and $P < 0.001$, respectively). Figure 1B shows a Kaplan–Meier plot of overall survival according to treatment group. Estimates of relapse-free survival and overall survival at 3 years indicate the advantage of standard chemotherapy, as compared with capecitabine (relapse-free survival, 85% vs. 68%; overall survival, 91% vs. 86%). We have not directly compared doxorubicin plus cyclophosphamide with CMF because these regimens were not randomly assigned. However, the comparisons of capecitabine with doxorubicin plus cyclophosphamide or CMF are qualitatively the same (data not shown).

Figure 1C through 1F shows the comparison of the benefits of capecitabine with those of standard chemotherapy in women with hormone-receptor–positive tumors and in those with hormone-receptor-negative tumors. The interaction between treatment and hormone-receptor status in this post hoc analysis was significant for both relapse-free survival and overall survival. Among patients with hormone-receptor–negative tumors who received capecitabine, the risk of relapse was more than quadrupled (hazard ratio, 4.39; 95% confidence interval [CI], 2.9 to 6.7; $P < 0.001$), and the risk of death was more than tripled (hazard ratio, 3.76; 95% CI, 2.23 to 6.34; $P < 0.001$), as compared with patients in all other study groups combined. There was no significant interaction between treatment group and relapse-free survival or overall survival for patients with hormone-receptor–positive tumors.

TOXICITY

Table 4 shows the incidence of grade 3, 4, and 5 adverse events that were possibly, probably, or definitely related to treatment. There were two drug-related deaths in the

capecitabine group. Of the patients who received CMF, 70% had at least one grade 3 or grade 4 adverse event, as compared with 60% of patients who received doxorubicin plus cyclophosphamide and 34% of patients who received capecitabine. Among patients who received CMF or doxorubicin plus cyclophosphamide, 52% and 54%, respectively, had hematologic grade 3 or grade 4 toxic effects, but only 2% of the capecitabine group had such toxic effects. A nonhematologic grade 3 or grade 4 adverse event occurred in 41% of patients who received CMF, 25% of those who received doxorubicin plus cyclophosphamide, and 33% of those who received capecitabine. Two patients receiving doxorubicin plus cyclophosphamide required red-cell transfusions. Congestive heart failure developed in one patient receiving CMF and in none of the patients receiving doxorubicin plus cyclophosphamide; myelodysplasia developed in one patient receiving capecitabine. A total of 62% of the patients in the CMF group, 92% of the patients in the doxorubicin-cyclophosphamide group, and 80% of the patients in the capecitabine group received all planned cycles of treatment.

In a preplanned substudy, capecitabine adherence was assessed in 161 patients using pill bottles with microelectronic monitoring. Adherence was defined as the number of doses taken divided by the number of doses planned. Compliance was defined as receipt of 80% or more of planned doses. Of these patients, 76% took more than 80% of the planned doses and 14% took 60 to 79% of the planned doses. The clinical characteristics of these patients were similar to those of the patients in the entire capecitabine population. Age was not related to adherence.²²

DISCUSSION

This trial shows that standard adjuvant chemotherapy with either CMF or doxorubicin plus cyclophosphamide is superior to capecitabine in older women with early-stage breast cancer. The benefit of standard chemotherapy was pronounced in women with hormone-receptor-negative tumors. Most patients had substantial toxic effects. Only 62% of the patients who were assigned to CMF could complete the six planned cycles, whereas 80% of the patients who were assigned to capecitabine completed the six planned cycles. Although doxorubicin plus cyclophosphamide had substantial toxicity, 92% of the patients completed four cycles, and there were no reports of major cardiac events or leukemia. Patients in this trial had an excellent performance status and no major organ dysfunction. The toxicity of these regimens in vulnerable or frail patients is probably greater than the toxicity observed in the patients in this study, and they should be administered with caution or not at all in such patients.

Ours is one of the few trials that have focused on adjuvant chemotherapy in older women with breast cancer. A previous adjuvant trial involving older women showed that the addition of epirubicin to tamoxifen was associated with significant improvement in relapse-free survival but not overall survival, as compared with tamoxifen alone.²³ Adjuvant trials involving women younger than 70 years of age have compared the use of multiagent chemotherapy with the use of single agents and shown the superiority of multiagent chemotherapy.⁷ We chose capecitabine as the single agent because it is effective when given orally and is similar, if not superior, to CMF in metastatic breast cancer.¹⁷ Since large randomized trials have shown that adjuvant CMF and doxorubicin plus cyclophosphamide have similar efficacy,^{24,25} allowing a choice of standard chemotherapy made our trial attractive to patients and physicians.

An unplanned subgroup analysis showed that the major benefits of standard chemotherapy occurred in patients with hormone-receptor-negative tumors. This finding was consistent with the Oxford Overview, which showed major benefits of chemotherapy in women with hormone-receptor-negative tumors, irrespective of age,²⁶ and with our previous observation

that improvements in chemotherapy are noted largely in patients with hormone-receptor–negative tumors.²⁷

Some flexibility in trial design is important for older patients, who have been consistently under-represented in randomized trials of cancer chemotherapy^{28,29}; age bias remains a major factor in clinical trials.^{30,31} Our trial used an adaptive Bayesian statistical design, which, together with planned sample sizes, allowed us to determine noninferiority with a relatively small sample while retaining substantial power; this design has been used successfully in other drug-evaluation trials.¹⁹

Our results provide support for the belief that adjuvant chemotherapy improves survival among older women. Indeed, a retrospective analysis of four randomized CALGB trials that compared less aggressive chemotherapy with more aggressive chemotherapy for node-positive breast cancer showed that the more aggressive therapy significantly improved relapse-free survival and overall survival, irrespective of age.¹² However, toxicity was greater in older patients.¹¹ Other studies have shown higher rates of cardiac toxicity³² and secondary leukemia³³ in older patients receiving anthracycline-based regimens. Newer nonanthracycline regimens should be considered when the cardiac toxicity of anthracyclines is a major concern.³⁴

Older women are more likely to be treated with lower doses of chemotherapy than are younger women,³⁵ yet trials of adjuvant chemotherapy for breast cancer have suggested a threshold effect for dosing.^{36,37} We used doses of CMF and doxorubicin plus cyclophosphamide that have proven efficacy. For the treatment of older patients, the choice of chemotherapeutic agents, dose, schedule, and dose modification should be based on the treatment plans in published reports. Our data are part of a developing body of evidence that the choice of adjuvant chemotherapy really matters in older women with breast cancer and that standard chemotherapy is superior to the oral agent capecitabine.

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APPENDIX

The following cooperative groups participated in the CALGB study: Eastern Cooperative Oncology Group, Philadelphia: R.L. Comis; Southwest Oncology Group, San Antonio, TX: L.H. Baker; North Central Cancer Treatment Group, Rochester, MN: J. Buckner; The National Surgical Adjuvant Breast and Bowel Project, Pittsburgh: N. Wolmark; National Cancer Institute of Canada, Toronto: E. Eisenhauer; Radiation Therapy Oncology Group, Philadelphia: W.J. Curran, Jr. The following CALGB institutions participated in this study: University of Oklahoma, Oklahoma City: H. Ozer; Christiana Care Health Services Community Clinical Oncology Program (CCOP), Wilmington, DE: S. Grubbs; Dana-Farber Cancer Institute, Boston: E.P. Winer; Dartmouth Medical School, Norris Cotton Cancer Center, Lebanon, NH: M.S. Ernstoff; Duke University Medical Center, Durham, NC: J. Crawford; Evanston Northwestern Healthcare CCOP, Evanston, IL: D.L. Grinblatt; Grand Rapids Clinical Oncology Program, Grand Rapids, MI: M. Lange; Greenville CCOP,

Greenville, SC: J.K. Giguere; Cancer Center of Carolinas Hematology-Oncology Associates of Central New York CCOP, Syracuse: L.J. Kirshner; Illinois Oncology Research Association, Peoria: J.W. Kugler; Long Island Jewish Medical Center, Lake Success, NY: K.R. Rai; Memorial Sloan-Kettering Cancer Center, New York: C.A. Hudis; Missouri Baptist Medical Center, St. Louis: A.P. Lyss; Missouri Valley Consortium CCOP, Omaha, NE: G.S. Soori; Mount Sinai Medical Center, Miami: R.C. Lilenbaum; Mount Sinai School of Medicine, New York: L.R. Silverman; Nevada Cancer Research Foundation CCOP, Las Vegas: J.A. Ellerton; New Hampshire Oncology-Hematology PA, Concord: D.J. Weckstein; Northern Indiana Cancer Research Consortium CCOP, South Bend: R. Ansari; Roswell Park Cancer Institute, Buffalo, NY: E. Levine; Sibley Memorial Hospital, Washington, DC: F.P. Smith; Southeast Cancer Control Consortium CCOP, Greensboro, NC: J.N. Atkins; State University of New York Upstate Medical University, Syracuse: S.L. Graziano; the Ohio State University Medical Center, Columbus: C.D. Bloomfield; University of California at San Diego, La Jolla: B.A. Parker; University of Chicago, Chicago: G. Fleming; University of Illinois CCOP, Chicago: L.E. Feldman; University of Iowa, Iowa City: D.A. Vaena; University of Maryland Greenebaum Cancer Center, Baltimore: M. Edelman; University of Massachusetts Medical School, Worcester: W.V. Walsh; University of Minnesota, Minneapolis: B.A. Peterson; University of Missouri/Ellis Fischel Cancer Center, Columbia: M.C. Perry; University of Vermont, Burlington: H.B. Muss; Wake Forest University School of Medicine, Winston-Salem, NC: D.D. Hurd; Walter Reed Army Medical Center, Washington, DC: T. Reid; Washington University School of Medicine, St. Louis: N. Bartlett; Weill Medical College of Cornell University, New York: J. Leonard; Western Pennsylvania Cancer Institute, Pittsburgh: R.K. Shaddock.

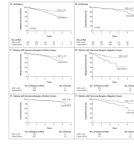


Figure 1. Kaplan–Meier Estimates of Relapse-free and Overall Survival According to Treatment Group

Relapse-free survival (Panel A) and overall survival (Panel B) for all patients are shown. Panel C shows relapse-free survival for patients with hormone-receptor–positive tumors, and Panel D shows relapse-free survival for patients with hormone-receptor–negative tumors. Panel E shows overall survival for patients with hormone-receptor–positive tumors, and Panel F shows overall survival for patients with hormone-receptor–negative tumors. AC denotes doxorubicin plus cyclophosphamide, and CMF cyclophosphamide, methotrexate, and fluorouracil.

Table 1

Baseline Characteristics of the Patients.*

Characteristic	Standard Chemotherapy (N = 326)	Capecitabine (N = 307)	P Value
	no. of patients (%)		
Age			
65–69yr	112 (34)	110 (36)	0.90 [†]
70–79yr	200 (61)	183 (60)	
≥80 yr	14 (4)	14 (5)	
Performance score			
0 or 1 (fully active or minimal symptoms)	317 (97)	295 (96)	0.42 [†]
2 (symptoms, but active >50% of the time)	9 (3)	12 (4)	
Race or ethnic group			
White	277 (85)	261 (85)	0.44 ^{†‡}
Black	43 (13)	29 (9)	
Hispanic	0	0	
Asian	2 (1)	4 (1)	
Other	1 (<1)	3 (1)	
Multiracial	0	1 (<1)	
Missing data	3 (1)	9 (3)	
Tumor size			
≤2 cm	159 (49)	120 (39)	0.04 [†]
>2 to ≤5 cm	146 (45)	169 (55)	0.09 [§]
>5 cm	18 (6)	17 (6)	
Missing data	3 (1)	1 (<1)	
No. of positive lymph nodes			
0	90 (28)	95 (31)	0.58 [†]
1–3	179 (55)	156 (51)	0.42 [§]
4–9	39 (12)	42 (14)	
≥10	15 (5)	13 (4)	
Missing data	3 (1)	1 (<1)	

Characteristic	Standard Chemotherapy (N = 326)	Capecitabine (N = 307)	P Value
	no. of patients (%)		
Tumor grade			
Low	46 (14)	36 (12)	0.48 [†]
Intermediate	124 (38)	132 (43)	
High	130 (40)	126 (41)	
Missing data	26 (8)	13 (4)	
Hormone-receptor status			
Negative	106 (33)	97 (32)	0.78 [†]
Positive	218 (67)	209 (68)	
Missing data	6 (2)	1 (<1)	
ER and PR status			
ER-negative, PR-negative	106 (33)	97 (32)	0.37 [†]
ER-positive, PR-negative	40 (12)	53 (17)	
ER-negative, PR-positive	6 (2)	5 (2)	
ER-positive, PR-positive	171 (52)	150 (49)	
Missing data	3 (1)	2 (1)	
HER2 status			
Negative	246 (75)	232 (76)	0.53 [†]
Positive	35 (11)	30 (10)	
Unknown	45 (14)	45 (15)	
Type of surgery			
Lumpectomy and breast irradiation	152 (47)	136 (44)	0.59 [†]
Mastectomy	171 (52)	167 (54)	
Missing data	3 (1)	4 (1)	
Axillary evaluation			
Sentinel-node biopsy only	60 (18)	66 (21)	0.54 [†]
Axillary dissection only	116 (36)	102 (33)	
Both sentinel-node biopsy and axillary dissection	147 (45)	136 (44)	
Neither sentinel-node biopsy nor axillary dissection	1 (<1)	1 (<1)	
Missing data	2 (1)	1 (<1)	

* Standard chemotherapy consisted of cyclophosphamide, methotrexate, and fluorouracil or doxorubicin plus cyclophosphamide. Percentages may not sum to 100 because of rounding. ER denotes estrogen receptor, HER2 human epidermal growth factor receptor type 2, and PR progesterone receptor.

[†]The P value is based on contingency-table analysis for categorical variables.

[‡]The P value is for the comparison of white versus black versus all other races and ethnic groups. Race or ethnic group was self-reported.

[§]The P value is based on the Mann-Whitney nonparametric test for continuous variables.

Table 2

Outcomes at a Median Follow-up of 2.4 Years. *

Outcome	Standard Chemotherapy (N = 326)	Capecitabine (N = 307)
	<i>no. of patients (%)</i>	
Relapse-free survival		
Alive without relapse	291 (89)	247 (80)
Relapse, first occurrence	35 (11)	60 (20)
Local	5 (2)	19 (6)
Distant metastasis [†]	15 (5)	24 (8)
Died from any cause	15 (5)	17 (6)
Overall survival		
Alive	302 (93)	269 (88)
Died	24 (7)	38 (12)
From breast cancer	8 (2)	18 (6)
From treatment-related cause	0	2 (1)
From cause other than breast cancer or treatment	12 (4)	14 (5)
From unknown cause	4 (1)	4 (1)

* Standard chemotherapy consisted of cyclophosphamide, methotrexate, and fluorouracil or doxorubicin plus cyclophosphamide.

[†] This category includes four patients with synchronous local and distant relapse.

Table 3

Results of Multivariate Analysis of Relapse-free and Overall Survival among 622 Patients.*

Variable	Hazard Ratio (95% CI)	P Value
Relapse-free survival		
Treatment (capecitabine vs. standard therapy)	2.09 (1.38–3.17)	<0.001
Tumor size (5 cm vs. 2 cm)	1.47 (1.00–2.15)	0.05
No. of positive lymph nodes (4 vs. 1)	1.35 (1.10–1.67)	0.004
Hormone-receptor status (negative vs. positive)	3.04 (2.02–4.57)	<0.001
Overall survival		
Treatment (capecitabine vs. standard chemotherapy)	1.85 (1.11–3.08)	0.02
Tumor size (5 cm vs. 2 cm)	1.75 (1.11–2.76)	0.02
No. of positive lymph nodes (4 vs. 1)	1.22 (0.94–1.57)	0.13
Hormone-receptor status (negative vs. positive)	2.62 (1.58–4.35)	<0.001

* A total of 11 patients were excluded because of missing data. Hazard ratios shown for relapse-free survival are for disease recurrence (16% of the patients had a recurrence or died), and hazard ratios for overall survival are for death (10% of the patients died).

Table 4

Grade 3, 4, or 5 Adverse Events.*

Adverse Event	CMF (N = 132)	Doxorubicin plus Cyclophosphamide (N = 183)	Capecitabine (N = 299)
	no. of patients (%)		
Death	0	0	2 (1) [†]
≥1 Event	92 (70)	109 (60)	101 (34)
≥1 Hematologic adverse event	68 (52) [‡]	99 (54)	7 (2)
Hematologic adverse event			
Anemia	4 (3)	7 (4)	2 (1)
Requirement for transfusions	0	2 (1)	0
Leukopenia	53 (40)	79 (43)	3 (1)
Neutropenia	35 (27)	59 (32)	5 (2)
Thrombocytopenia	5 (4)	7 (4)	1 (<1)
≥1 Nonhematologic adverse event	53 (40) [‡]	44 (24)	98 (33)
Nonhematologic adverse event			
Fatigue	15 (11)	8 (4)	15 (5)
Mucositis	2 (2)	8 (4)	3 (1)
Nausea	9 (7)	8 (4)	6 (2)
Vomiting	8 (6)	3 (2)	6 (2)
Diarrhea	10 (8)	5 (3)	20 (7)
Hand-foot skin reaction	1 (<1)	0	47 (16)
Febrile neutropenia	11 (8)	16 (9)	2 (1)
Thrombus or embolism	5 (4)	4 (2)	3 (1)

* Grades of adverse events were defined according to the Common Toxicity Criteria of the National Cancer Institute. Listed are adverse events in all patients who received at least one dose of a drug. There were no reports of toxic effects in two patients in the standard-chemotherapy group and in four patients in the capecitabine group. Anemia was defined as a hemoglobin level of less than 8 g per deciliter. Leukopenia was defined as a white-cell count of less than 2×10^9 per liter. Neutropenia was defined as a granulocyte count of less than 1×10^9 per liter. Thrombocytopenia was defined as a platelet count of less than 50×10^9 per liter. CMF denotes cyclophosphamide, methotrexate, and fluorouracil.

[†] One death was from colitis, and one death was from infection.

[‡] Since patients could have more than one type of adverse event, the sum of individual adverse events is larger than both the combined hematologic and nonhematologic categories and the overall total.