

Evolutionary origins of hepatitis A virus in small mammals

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Hepatitis A virus (HAV) is an ancient and ubiguitous human pathogen recovered previously only from primates. The sole species of the genus Hepatovirus, existing in both enveloped and nonenveloped forms, and with a capsid structure intermediate between that of insect viruses and mammalian picornaviruses, HAV is enigmatic in its origins. We conducted a targeted search for hepatoviruses in 15,987 specimens collected from 209 small mammal species globally and discovered highly diversified viruses in bats, rodents, hedgehogs, and shrews, which by pairwise sequence distance comprise 13 novel Hepatovirus species. Near-complete genomes from nine of these species show conservation of unique hepatovirus features, including predicted internal ribosome entry site structure, a truncated VP4 capsid protein lacking N-terminal myristoylation, a carboxyl-terminal pX extension of VP1, VP2 late domains involved in membrane envelopment, and a cis-acting replication element within the 3D^{pol} sequence. Antibodies in some bat sera immunoprecipitated and neutralized human HAV, suggesting conservation of critical antigenic determinants. Limited phylogenetic cosegregation among hepatoviruses and their hosts and recombination patterns are indicative of major hepatovirus host shifts in the past. Ancestral state reconstructions suggest a Hepatovirus origin in small insectivorous mammals and a rodent origin of human HAV. Patterns of infection in small mammals mimicked those of human HAV in hepatotropism, fecal shedding, acute nature, and extinction of the virus in a closed host population. The evolutionary conservation of hepatovirus structure and pathogenesis provide novel insight into the origins of HAV and highlight the utility of analyzing animal reservoirs for risk assessment of emerging viruses.

hepatitis A virus | viral evolution | pathogenesis | zoonosis | small mammals

S mall mammals such as bats and rodents have been implicated frequently in the evolution and spread of emerging viruses (1). It is uncertain whether this reflects unique aspects of their physiology, immune response to infectious agents, or ecological traits facilitating virus maintenance such as rapid population turnover or tendencies to form large and gregarious social groups (2, 3). The emergence of Ebola virus from bats (4) and hantaviruses from rodents (5) exemplifies the prominent contributions of these taxa to emerging zoonotic threats to human health, but the extent to which such species have contributed to the evolution of well-established human pathogens such as hepatitis A virus (HAV) is less clear.

HAV is unique among the *Picornaviridae*, a large and diverse family of positive-strand RNA viruses (6), not only in its tropism for the liver but also in its structure and life cycle. It infects via the fecal–oral route and is shed in feces as a naked, nonenveloped particle, but circulates in the blood cloaked in an envelope derived from host cell membranes (7). Recent X-ray studies have revealed that HAV possesses a primitive capsid structure related to that of picorna-like viruses infecting insects, hinting at both an ancient

evolutionary relationship and a novel mechanism of cell entry (8). The origins of HAV, however, remain shrouded in mystery. Despite evidence of limited replication in guinea pigs (9), only higher primates have been shown to be fully permissive for infection. HAV strains show little variation in nucleotide sequence over time or geography, forming six closely related genotypes comprising only a single serotype (10). Unlike other human hepatitis viruses, HAV infections never persist and uniformly engender lifelong, likely antibody-mediated immunity against reinfection (11). HAV has thus disappeared previously from small, isolated human populations (12, 13), raising questions as to how it could have evolved in early human hunter–gatherer societies.

Results

Identification of Nonprimate Hepatoviruses. To elucidate the evolutionary origins of HAV, we sought the presence of HAV-related viruses in 15,987 specimens (tissue, blood, and feces) collected globally from 209 species of small mammals in five different mammalian orders: Rodentia (rodents), Scandentia (treeshrews), Chiroptera (bats), Eulipotyphla (hedgehogs, shrews), and Afrosoricida

Significance

The origins of human hepatitis A virus (HAV) are unknown. We conducted a targeted search for HAV-related viruses in small mammals sampled globally and discovered highly diversified viruses in bats, rodents, hedgehogs, and shrews. We demonstrate that these viruses share unique biological features with HAV, including structural, genomic, antigenic, and pathogenic properties. We found evidence of major shifts of HAV-related viruses between mammalian hosts in the past, suggesting both an origin of this viral genus in small mammals and a zoonotic origin of human HAV. Our data show that risk assessments for emerging viruses can benefit greatly from the analysis of viral infection patterns that evolved within animal reservoirs.

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Fig. 1. Hepatovirus evolutionary relationships. (A) Number of sampled host genera, specimens, and dates of collection. Country abbreviations, see Table S1. (*B*) Hepatovirus VP2 phylogeny (MrBayes, GTR+G+I nucleotide substitution model). (C) Cladogram of hepatovirus hosts (*Left*) and *Hepatovirus* phylogeny (*Right*) as in *B*. Circled numbers, predicted viral species. Diamonds, viral full genome characterizations. Circles at nodes, posterior probabilities >0.9. (*D*) Hepatovirus patristic distance per host order; aa, amino acid. (*E*) Parsimony-

(tenrecs; Fig. 1A, Fig. S1A, and Table S1). Hepatoviruses were identified by a broadly reactive nested RT-PCR assay targeting the VP2 domain that is among the most highly conserved segments of the polyprotein-coding RNA. A total of 117 specimens (0.7%) were positive, originating from five continents and a total of 28 different nonprimate hosts, including 13 bat species, 13 rodent species, 1 shrew, and 1 hedgehog species (GenBank accession nos. KT452631-KT452747). Bayesian phylogenetic reconstruction demonstrated considerably more diversity among these novel viruses than primate HAVs, with seven deeply branching clades forming an extended Hepatovirus tree (Fig. 1B and Fig. S1B). Phylogenetically basal clades contained shrew and bat viruses from Central Europe (clade I), African rodent viruses (clade II), Malagasy and European bat viruses (clade III), American, European, and African bat viruses (clade IV), and African and European bat and hedgehog viruses (clade V). Rodent viruses from North America (clade VI), Asia, and Central Europe (clade VII) clustered phylogenetically in an immediate sister relationship to primate hepatoviruses that form an apical monophyletic group. Pairwise sequence distances indicate these novel viruses represent 13 new Hepatovirus species (Fig. S1C).

Only limited phylogenetic cosegregation was evident for these novel nonprimate hepatoviruses and their mammalian host species. When categorized according to host order (Fig. 1C, nodes a-c), viruses from cricetid rodents in Central Europe and Asia (node a1), Northern America (node a2), and murid rodents from Africa (node a3) were not monophyletic. Similarly, viruses from different bat hosts sampled globally occupied three different phylogenetic positions (nodes b1-b3), whereas viruses from Eulipotyphla (hedgehogs and shrews) occupied two different phylogenetic positions (nodes c1-c2). Even at the level of host superorder, viruses from Laurasiatheria (bats, hedgehogs, and shrews), and Euarchontoglires (rodents) were intermixed. In two instances, however, pairs of genetically closely related viruses were identified in the same bat genus in biogeographically distinct regions. One virus pair was obtained from Rhinolophus bats from Southern Europe and Africa and a second pair from Miniopterus bats in Eastern Europe and Madagascar (Fig. 1C). These two virus pairs may suggest some limited degree of ancient host-virus relationships. Finally, closely related viruses were detected in cooccurring rodent hosts belonging to three highly divergent families in Central European and Northern American sampling sites (Fig. 1C). Taken together, the phylogenetic relationships evident among nonprimate hepatoviruses strongly suggest multiple host shifts in the past and spillover infections in co-occurring species.

Consistent with the long branches in the hepatovirus phylogenetic tree segregating small mammal viruses, the patristic distance of hepatoviruses was about fourfold greater in small mammals than in primates (Fig. 1D). Parsimony-based ancestral state reconstructions (ASRs) (3) consistently projected the primate HAV ancestor to a rodent host (Fig. 1E). In contrast, the origin of all mammalian hepatoviruses was consistently projected to a Laurasiatherian host and an insectivorous diet. Bats were most relevant hepatovirus donors for projected host switches, followed by rodents and the Eulipotyphla (Fig. 1F). No host switch was projected to involve a primate donor. These results collectively suggest a long and complex evolutionary history of hepatoviruses in small mammals.

Nonprimate Hepatovirus Genome Structure. We determined 14 nearcomplete genome sequences of nonprimate hepatoviruses representing 9 predicted species (Fig. 1*C*). Each is similar in organization SEE COMMENTARY

based ancestral state reconstructions (ASR) as described previously (3) using 10,000 tree replicates of a VP2 phylogeny (MrBayes, WAG as substitution model) according to host order (*Left*) or predominantly insectivorous diet (*Right*). (*F*) Averaged number of host switches from ASR shown in *E* originating from and received by each hepatovirus host order.

and length to human HAV, sharing a characteristically low G/C content, avoidance of CpG dinucleotides and a strong codon usage bias (Fig. 2*A* and *B* and Fig. S1 *D* and *E*) (14). Conserved structural elements in the 5'UTR of most of these viruses include several pyrimidine-rich tracts and a large, predicted cruciform stem-loop



Fig. 2. Properties of the genomes of nonprimate hepatoviruses. (*A*) Hepatovirus genome organization. SrHAV, shrew hepatovirus. (*B*) Genomic features in primate HAV, nonprimate HAV, and the *Picornaviridae*. [Scale bars, mean (SD).] (C) IRES folding. RHAV, rodent hepatovirus; BtHAV, bat hepatovirus. (*D*) 3D^{pol} cre elements. Gray, conserved AAACG motif. Genomic positions of predicted cre: HAV, 5,945–6,055; RHAV KS11-1230, 5,968–6,078; SrHAV KS12-1289, 6,095–6,181; BtHAV, 5,809–5,870. (*E*) Phylogenies of hepatovirus domains P1, P2 (only 2C) and P3 (only 3CD; MrBayes, WAG as substitution model). Circles at nodes, posterior probabilities >0.9. (*F*) VP2 structure of a SrHAV modeled onto the HAV crystal (8); Box, domain swap. (*G*) VP2 late domains. (*H*) as sequence distance along hepatovirus polyproteins.

resembling the type III HAV internal ribosome entry site (IRES) (Fig. 2*C* and Fig. S2*A*) (15). However, the 5'UTRs of viruses from a Malagasy bat and a West African rodent are predicted to contain a pseudoknot preceding the initiation codon that is characteristic of a type IV IRES (Fig. S2*A*). Because type IV IRES elements typically occur in hepatitis C-related viruses and pestiviruses, this is consistent with recombination events having occurred across viral families, as described previously (16, 17). Irrespective of the type of IRES, each of the genomes contains a series of predicted 5' terminal pseudoknots similar to those present in human HAV. Notably, each genome also contains a predicted *cis*-acting replication element (*cre*) of variable size but conserved structure in the 3D^{pol} region (18) (Fig. 2*D* and Fig. S2*B*).

Although these novel viruses were highly diversified (Fig. S2C), they demonstrated only 32.4-47.4% distance in the translated polyprotein sequence from human HAV, below the 58% threshold separating Picornaviridae genera (6). Similarly, sequence distances in separate comparisons of the P1, P2, and P3 domains were below commonly used thresholds, confirming that all of the novel viruses belong to the genus Hepatovirus. Bayesian phylogenies of the P1, P2, and P3 domains [typical picornavirus genomic breakpoints (19)] demonstrated multiple topological incongruences suggestive of past recombination events (Fig. 2E). These topological incongruences are exemplified by the clustering of shrew hepatovirus in a basal sister relationship to other hepatoviruses in P1, but in an apical position together with rodent viruses in P3. These results suggest genetic compatibility of diverse structural and nonstructural elements from hepatoviruses infecting different mammalian orders and emphasize the broad host tropism of hepatoviruses suggested by ASR. Consistent with their phylogenetic clustering with human HAV, hepatoviruses from cricetid rodents are predicted to contain the most closely related IRES and cre elements, as well as 3A transmembrane domains (Fig. S2D).

Conservation of Unique Hepatovirus Features. Features common to each of the nonprimate viruses and characteristic of hepatoviruses include the predicted absence of a leader protein, a small VP4 (16-26 amino acids) lacking an N-terminal myristoylation signal, an approximate 3- to 9-kDa C-terminal pX extension on VP1, and the absence of a 2A protein. Thermodynamic modeling of the VP2 structure suggests it is closely related to that of HAV, which contains a unique domain swap found in insect *Dicistroviridae* but not in mammalian picornaviruses (8) (Fig. 2F and Fig. S3 *A* and *B*). YPX₃L "late domain" motifs in VP2 (7) that contribute to HAV membrane envelopment by mediating capsid interactions with components of the endosomal sorting complex required for transport (ESCRT) are highly conserved among nonprimate hepatoviruses (Fig. 2*G*).

Further comparisons of the polyprotein sequences of these novel hepatoviruses revealed several conserved domains in VP2 and VP3, suggesting the possibility of antigenic relatedness, whereas there was extreme variation across the pX-2B genomic region (Fig. 2*H*). Protein BLAST comparisons of these highly divergent genomic regions revealed similarities with diverse nonviral elements distantly related to various microbial organisms (Fig. S3*C*). Thus, the hepatovirus pX-2B domain may be a genomic hotspot potentially associated with host adaptation and prone to acquisition of exogenous sequence elements. These hypotheses are consistent with experimental data showing that 2B harbors key mutations associated with cell culture adaptation of human HAV and that the pX domain tolerates large deletions and exogenous sequence insertions (20, 21).

To determine whether the conserved antigenicity evident among primate HAVs extends to the nonprimate viruses, we sought evidence for antibodies recognizing human HAV in sera from 111 bats (10 different species), 114 rodents (7 species, including several samples PCR-positive for the most closely related hepatovirus lineage), 103 shrews (3 species), and 48 hedgehogs

(Table S2). Sera from bats, but no other animals, were reactive in immunofluorescence assays (IFAs) of HAV-infected cells at 1:40-1:400 endpoint dilutions, with fluorescence patterns that closely resembled those of a monoclonal antibody control serum (Fig. 3A). Of eight positive sera (7.3%), six were from West African Eidolon helvum, and one each from Central African Rousettus aegyptiacus and Micropteropus pusillus. To confirm these results, we assessed the ability of Eidolon sera to immunoprecipitate (IP) human HAV. Four of the six IFA-positive sera that were available in sufficient volumes were strongly reactive in this assay, some exceeding the precipitating activity of anti-HAV reference sera (Fig. 3B). These four sera also effectively neutralized human HAV infectivity (Table S3). These results hint at significant conservation of capsid antigenicity between the Eidolon hepatovirus lineage and human HAV and are consistent with conservation of the sequences of several neutralization epitopes located in the capsid proteins VP3, VP2, and VP1 (Fig. 3C and Fig. S4 A and B). An alternative explanation for the presence of such antibodies in African bats could be exposure to human HAV, perhaps by ingestion of contaminated surface water in highly endemic areas (22). The unique ecologic traits of bats including their longevity and spatial mobility compared with other small mammals may facilitate repeated encounters with human



Fig. 3. Antigenic relatedness of human and nonprimate hepatoviruses. (*A*) (*Upper*) immunofluorescence assay (IFA) showing a bat serum reacting with human HAV-infected FRhK-4 cells [red (Cy2); mixed with 50% non-infected cells as internal negative controls]. (*Lower*) Same cells stained with a monoclonal antibody control (mAb 7E7, 100% infected cells). Blue (DAPI), nuclei. (*B*) Immunoprecipitation (IP) of HAV by bat sera [IF, IP, neutralization test (NT); red, positive in all assays; gray, discordant assay results; empty, negative in all assays], human sera, and controls. See *SI Materials and Methods* for details on control sera. Dotted line, threshold precipitation separating positive and negative control sera. (*C*) as sequence distance between HAV (genotype Ia, GenBank accession no. AB020564), the *Eidolon* BtHAV M32, a RHAV (RMU10-1637), and a SrHAV (KS12-1232).

HAV in endemic areas. However, it seems unlikely that such an exposure would be of sufficient magnitude to evoke antibody responses without replication of the virus.

Because of the genetic relatedness between rodent hepatoviruses and human HAV, the absence of cross-reactive antibodies in rodent sera was surprising. It may be possible that rodents differ from other small mammal hosts in the magnitude and onset of their antibody response after hepatovirus infection, which would be consistent with lack of antibodies in guinea pigs up to 60 d after experimental infection with HAV (9). Alternative explanations may include subtle differences in complex epitopes located in the hepatovirus antigenic sites. Resurrection or isolation of rodent viruses for infection studies and development of hepatovirusspecific serologic assays will aid comparative investigations in further studies.

Pathogenesis and Ecology of Nonprimate Hepatoviruses. Hepatovirus infection patterns in bats, rodents, hedgehogs, and shrews were similar to those of HAV in primates. Viral RNA abundance was highest in liver vs. other solid organs and blood in rodents, shrews, and hedgehogs. In bats, liver, and several extrahepatic tissues, including predominantly spleen, lung, and intestine, showed high viral RNA concentrations (Fig. 4A). Viral RNA was identified by in situ hybridization in bat hepatocytes and in large mononuclear cells within the germinal center of splenic lymphatic nodules (Fig. 4B). Comparable data are not available for primate hepatoviruses, although HAV antigen has been identified in splenic macrophages (23). Minus-strand RNA (a replicative intermediate) was detected in the liver and spleen of bats, but only in the liver of hedgehogs (Fig. S4C). We conclude that these novel viruses are hepatotropic, but may also replicate within the spleen in bats. Liver tissues from 23 PCR-positive and 49 PCR-negative bats, rodents, shrews, and hedgehogs were examined histologically. In some PCR-positive animals we observed a mild increase in periportal inflammatory mononuclear cell infiltrates, but there was no consistent difference with control, PCRnegative animals, and no severe liver pathology.

We next assessed infection outcome in 24 hedgehogs that were serially monitored over a 220-d period in a German animal shelter. Nine of these animals tested positive for hepatoviruses in up to three separate specimens. The viruses identified in these animals were 100% identical in their respective VP2 sequences, consistent with the very low nucleotide substitution rate of human HAV (10) (Fig. S4D). Continuous fecal shedding of virus ($\sim 10^6$ RNA copies per gram feces) was observed over 79–142 d in four animals, resembling HAV shedding in humans (24) (Fig. 4C). Importantly, in consecutive specimens taken less than 15 d apart, the second specimen consistently showed a significant decrease in virus concentration, suggesting resolving acute infections (Fig. 4C). Four animals completely cleared the infection during the study period. When released, all nine infected hedgehogs were in good physical condition, corroborating low hepatovirus pathogenicity in these small mammals.

Finally, to gain insight into the epizootic nature of nonprimate hepatoviruses, we analyzed specimens obtained over a 3-y period in a German bat maternity roost within which females annually form a colony lasting for approximately 3 mo, giving birth to offspring in a synchronized fashion (25). Bat hepatovirus was detected in fecal droppings immediately on colony formation in early May 2008 (Fig. 4D and clade IV in Fig. 1B). The detection rate decreased significantly 1 mo later, only to rise again after parturition in mid-July. Shortly before bats left the colony toward the end of that month, the detection rate fell for the second time. These data suggest an absence of persistent infections in the majority of animals, followed by a new wave of acute infections in offspring, and resemble HAV outbreaks in children's camps in the prevaccine era (26). Resampling of the same maternity roost (containing largely the same individuals) 2 y later failed to identify



Fig. 4. Hepatovirus infection patterns in small mammals. (*A*) Hepatovirus RNA abundance in solid organs and blood per host taxon determined by qPCR. Li, liver; Sp, spleen; Ki, kidney; Lu, lung; In, intestine; Br, brain; He, heart; Bl, blood. Boxes, median, interquartile range; outliers (circles); extreme values (asterisks). Next to host pictograms, numbers of analyzed animals; Below each organ, ratios of specimens testing positive per specimens available for testing. (*B*) In situ hybridization of BtHAV RNA in *E. helvum* liver (*Upper Left*, 400× magnification, animal M32), spleen (*Upper Right*, 400×, animal GH297), lung (*Lower Left*, 200×, animal M32), and intestine (*Lower Right*, 200×, animal M32). (C) Hepatovirus detections and RNA concentrations determined by qPCR in nine hedgehogs sampled longitudinally. (*D*) Hepatovirus amplification in a bat maternity roost. Triangles, viral RNA concentrations in pooled fecal specimens over five sampling points. Secondary *y* axis and orange line, detection rate.

the same hepatovirus lineage, suggesting its extinction in this population. Instead, a highly divergent hepatovirus which was genetically related to viruses from shrews (clade I in Fig. 1*B*) was identified in six specimens (Fig. S4*E*), illustrating that diverse hepatoviruses can be carried by a single host species. The level of amino acid sequence divergence between the two hepatovirus lineages infecting the roost in 2008 and 2010 exceeded 30% in the partial VP2 domain (Fig. S4*E*), which is beyond the distance separating enterovirus serotypes in this genomic region (27), thus hinting at the existence of divergent serotypes in the genus *Hepatovirus*.

Discussion

We identified multiple, highly diversified hepatoviruses in numerous small mammal taxa, extending the host associations of the genus *Hepatovirus* well beyond primates. Our findings render this picornavirus genus exceptionally speciose, comparable only to the genus *Enterovirus* of the family *Picornaviridae* after decades of investigation (6).

The unique properties of human HAV that are shared by these novel nonprimate hepatoviruses and that distinguish it from other mammalian picornaviruses likely reflect those of ancestral viruses infecting small mammals before formation of the primate hepatovirus lineage. Whether the putative hepatovirus introduction took place in the primate stem lineage preceding the split of Hominoidea and Cercopithecoidea about 25 Mya (28) remains unknown because of the scarcity of HAV strains recovered from nonhuman primates. The survival of hepatoviruses before their introduction into primates was likely mediated by large population sizes and/or high population turnover of small mammal hosts (1, 3, 5). On the virus side, an unusually broad host range and genetic plasticity is likely to have contributed further to hepatovirus maintenance and evolution.

The existence of evolutionarily ancestral hepatoviruses in bats and shrews compared with the presence of more closely related viruses in rodents and primates is reminiscent of hantavirus host associations, in which pathogenic human viruses originate from rodents, whereas ancestral viruses occur in bats and Eulipotyphla (29). The relevance of these Laurasiatherian hosts for the evolutionary origins of human hepatitis viruses is demonstrated by the recent detections of ancestral hepatitis B, C, and E viruses in bats (30-32). It remains to be determined whether Laurasiatheria generally harbor a wider genetic diversity of viruses than Euarchontoglires and whether ecological traits such as insectivorous diets influence viral diversity. However, reconstructions that point to a Laurasiatherian host and an insectivorous diet for ancestral hepatoviruses provide a novel link to the structural phylogeny of the HAV capsid and its close relationship to picorna-like viruses of insects (8) and together suggest more distant ancestry in a primordial insect-borne virus. Such a scenario is paralleled by recent suggestions of similar ancestry for other mammalian viruses (33-35) and provides a new perspective on the origins of this ancient human pathogen.

A major barrier to viral host switches is receptor use (36). Human HAV uses the T-cell Ig and mucin domain 1 (TIM-1) for cell entry (37). It will be important to investigate whether receptor use is conserved among the nonprimate hepatoviruses we identified and to determine whether these viruses are capable of infecting primate hosts. Of note, human TIM-1 is used by other zoonotic viruses for cell entry, including the bat-borne Ebola virus, the arthropod-borne dengue virus and several rodent- and bat-borne New World arena-viruses (38–40), illustrating that dependency on this molecule for cell entry may not represent an insuperable barrier to a viral host switch.

Zoonotic infections with emerging viruses have become increasingly relevant for human health due to the invasion of pristine habitats by humans and their livestock, advancing global mobility, and the rapid spread of pathogens within dense human populations (41–43). The antigenic conservation we observed between HAV and nonprimate hepatoviruses from *Eidolon* bats suggests these viruses belong to a common serotype and that the introduction of such viruses into human populations would be limited by herd immunity and vaccine-induced immune responses. However, this may not apply to all of the novel hepatovirus species we identified, some of which on the basis of diversity within the P1 domain likely comprise distinct serotypes. Our study exemplifies the utility of looking beyond phylogenetic criteria alone when conducting risk assessment for emerging RNA viruses and the need to include functional, ecologic, and pathogenic analyses of animal reservoirs.

Materials and Methods

Sampling was done as described previously (3). Hepatovirus detection, quantification, and genomic characterizations were done by PCR-based techniques (see oligonucleotide sequences in Tables S4 and S5). Serologic and histopathologic analyses were done as described previously (17). Details of these and evolutionary analyses are given in *SI Materials and Methods*.

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