

GLP-1 receptor stimulation preserves primary cortical and dopaminergic neurons in cellular and rodent models of stroke and Parkinsonism

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Glucagon-like peptide-1 (GLP-1) is an endogenous insulinotropic peptide secreted from the gastrointestinal tract in response to food intake. It enhances pancreatic islet β -cell proliferation and glucose-dependent insulin secretion, and lowers blood glucose and food intake in patients with type 2 diabetes mellitus (T2DM). A long-acting GLP-1 receptor (GLP-1R) agonist, exendin-4 (Ex-4), is the first of this new class of antihyperglycemia drugs approved to treat T2DM. GLP-1Rs are coupled to the cAMP second messenger pathway and, along with pancreatic cells, are expressed within the nervous system of rodents and humans, where receptor activation elicits neurotrophic actions. We detected GLP-1R mRNA expression in both cultured embryonic primary cerebral cortical and ventral mesencephalic (dopaminergic) neurons. These cells are vulnerable to hypoxia- and 6-hydroxydopamine-induced cell death, respectively. We found that GLP-1 and Ex-4 conferred protection in these cells, but not in cells from *Glp1r* knockout (-/-) mice. Administration of Ex-4 reduced brain damage and improved functional outcome in a transient middle cerebral artery occlusion stroke model. Ex-4 treatment also protected dopaminergic neurons against degeneration, preserved dopamine levels, and improved motor function in the 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP) mouse model of Parkinson's disease (PD). Our findings demonstrate that Ex-4 can protect neurons against metabolic and oxidative insults, and they provide preclinical support for the therapeutic potential for Ex-4 in the treatment of stroke and PD.

diabetes | exendin-4 | neurodegeneration | neuroprotection | stroke

Type 2 diabetes mellitus (T2DM) is emerging as one of the largest health issues worldwide; with some 6% of the world's adult population now affected (1). Although T2DM now occurs more often in the young, the incidence rises dramatically with age, along with that of many of other conditions, including acute and chronic neurologic disorders, exemplified by stroke (2), Parkinson's disease (PD) and Alzheimer's disease (AD) (3,4), which, like T2DM, were once considered relatively infrequent. Indeed, the incidence of stroke, PD, AD, and several other neurologic disorders appears to be higher in persons with T2DM, suggesting that shared mechanisms, such as insulin dysregulation, may underlie these conditions (5). Although associated with different cell types in divergent areas (e.g., cortical and striatal neurons in stroke, substantia nigral and midbrain dopaminergic neurons in PD, pancreatic β -cells in T2DM), parallel biochemical cascades are triggered by specific environmental and genetic signals and lead to the cellular dysfunction and death characteristic of all of these disorders. Consequently, it is possible that an effective treatment strategy for one such disorder may prove beneficial in others as well.

The glucagon-like peptide-1 receptor (GLP-1R) agonist, exendin-4 (Ex-4), is a long-acting analog of the endogenous insulinotropic peptide GLP-1 (supporting information (SI) Fig. S1). GLP-1 is derived from the posttranslational modification of proglucagon and is released from the L cells of the small intestine in response to food ingestion (6,7). GLP-1 and Ex-4 have potent effects on glucose-dependent insulin secretion and insulin gene expression through binding and activation of the G protein-coupled GLP-1R on pancreatic β -cells. Both peptides also have trophic properties, inducing pancreatic β -cell proliferation and inhibiting apoptosis (7,8). Ex-4 has been approved for the treatment of T2DM, in which it has been found to effectively lower plasma glucose levels.

GLP-1R mRNA occurs widely throughout the brains of rodents (9) and humans (6,7,10), and both GLP-1 and Ex-4 can readily enter the brain (11) to modify feeding and satiety (12). We have previously reported that the activation of GLP-1R by GLP-1 and Ex-4 is neurotrophic, inducing neurite outgrowth in PC12 cells and protecting neurons against various insults (6,13–15) through a cascade involving the second messenger, cAMP (13). In light of these neurotrophic actions, the long-term efficacy of Ex-4 in treating T2DM (7), and the elevated risk of cerebrovascular disease and PD in T2DM (1–3,5), we evaluated GLP-1R stimulation in well-characterized cellular and animal models of both stroke and PD to assess its translational potential.

Results

GLP-1R Is Expressed and Functional in Cultured Embryonic Primary Neurons. To establish the presence of GLP-1R in primary neurons, cultured rat embryonic cerebral cortical (CC) and ventral mesencephalic (VM) cells were probed for the presence of GLP-1R mRNA by RT-PCR. Both neuron types were found to contain GLP-1R mRNA (Fig. 1A). Incubation of cortical neurons with the natural agonist GLP-1 (10 nM) led to a rapid, transient elevation of intracellular cAMP level. This level peaked within 15 min and then returned toward baseline by 30 min (Fig. 1B), demonstrating

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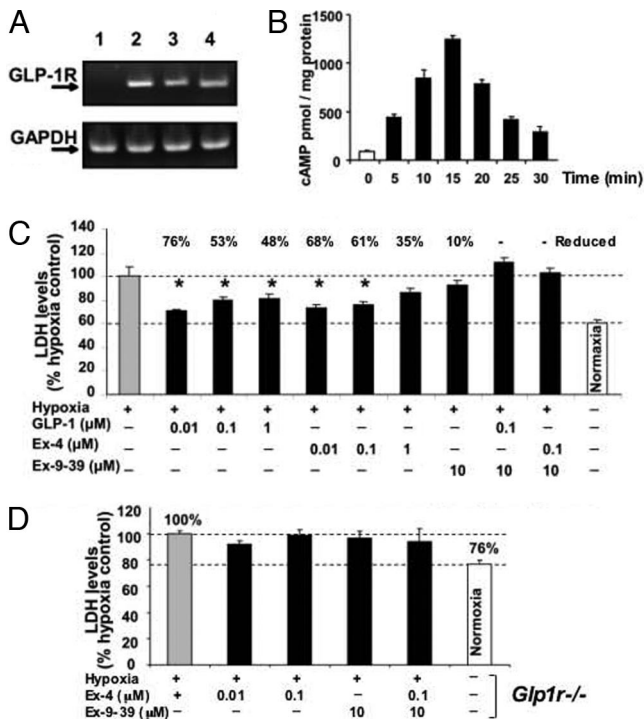


Fig. 1. (A) One-step RT-PCR shows rat GLP-1R mRNA expression in neuronal cell cultures. The expected RT-PCR product size is 190 bp. GAPDH was used as an external control and showed equal expression across lanes. Lane 1, negative control; lane 2, positive control: RNA from CHO-GLP-1R cells (CHO cells stably transfected with rat GLP-1R); lanes 3 and 4, RNA from primary CC and VM neurons, respectively. (B) GLP-1-stimulated release of cAMP from CC neurons. Time-dependent cAMP levels were assayed after incubation with 10 nM GLP-1 ($n = 3$). (C) Pretreatment with GLP-1/Ex-4 protects CC neurons from hypoxia-induced loss of cell viability, as indicated by elevated levels of secreted LDH. Compared with normoxia (21% O_2 , 5% CO_2), a 3-h exposure to hypoxia (1% O_2 , 5% CO_2) induced a significant elevation in LDH ($P < .05$), defined as a 100% response. GLP-1 and Ex-4 (0.01–1.0 μM) protected cells, ameliorating the hypoxia-induced elevation in LDH by up to 76%. This effect was abolished by the GLP-1R antagonist Ex-9–39. $n \geq 5$ for each treatment, $*P < .05$ (1-way ANOVA plus posthoc Dunnett's test) versus hypoxia condition. (D) CC neurons from *Glp1r*^{-/-} mice are vulnerable to hypoxia, as assessed by elevated LDH ($P < .05$ normoxia vs. hypoxia), and Ex-4 offers no protection ($P > .05$ vs. hypoxia; 1-way ANOVA plus posthoc Dunnett's test, $n \geq 5$).

the presence of functional GLP-1R in these cells. SH-SY5Y human neuroblastoma cells also expressed GLP-1R at both the mRNA and protein levels, along with increased intracellular cAMP levels, in response to Ex-4 (not shown).

GLP-1R Stimulation Decreased Hypoxia- and Dopaminergic Toxin-Induced Death of Cultured Primary CC and VM Cells. Primary neurons are vulnerable to hypoxia, resulting in a loss of viability, as assessed by a significant elevation in lactate dehydrogenase (LDH) level (Fig. 1C) and a decline in (3-(4,5-dimethylthiazol-2-yl)-5-(3-carboxymethoxyphenyl)-2-(4-sulfophenyl)-2H-tetrazolium, inner salt) (MTS) level (not shown) compared with cells subjected to normoxia. Incubation with a GLP-1R agonist, GLP-1 or Ex-4 (0.01–1 μM), afforded significant protection against hypoxia, lowering elevated LDH levels by as much as 76%. This effect was lost in the presence of the GLP-1R antagonist Ex-9–39, indicating that the action was mediated through GLP-1R. To confirm this, CC neurons from *Glp1r*^{-/-} mice were similarly cultured and exposed to hypoxia/normoxia in the presence and absence of Ex-4 and the GLP-1R antagonist. *Glp1r*^{-/-} primary CC neurons were similarly vulnerable to hypoxia but were not protected by Ex-4 (Fig. 1D).

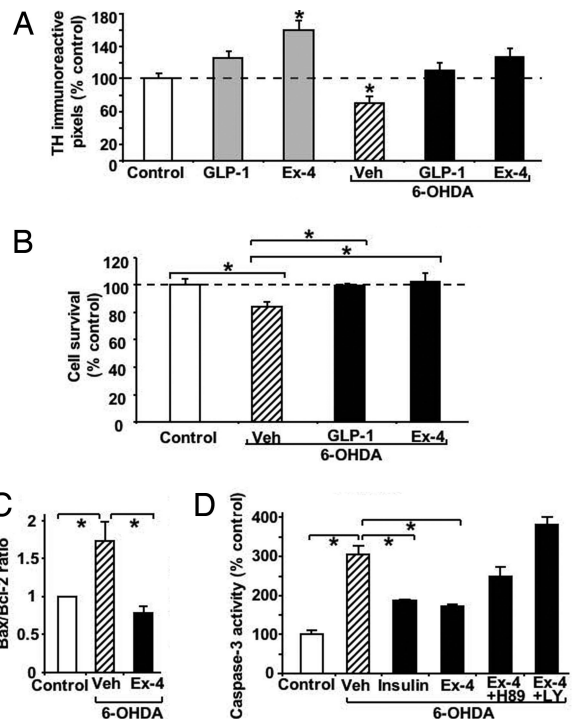


Fig. 2. Pretreatment with GLP-1 or Ex-4 protects TH(IR) of VM primary neurons from 6-OHDA treatment and likewise protects SH-SY5Y cells from 6-OHDA-induced cell death. (A) TH(IR) of primary VM cells pretreated with vehicle (Veh), 0.1 μM GLP-1, or 0.1 μM Ex-4 for 3 h before administration of PBS or 6-OHDA for 90 min. TH-immunostaining was performed 24 h after 6-OHDA. TH(IR) was significantly different versus PBS-treated controls ($P < .05$; Dunnett's t -test with SNK, $n = 5$). (B) In a parallel study, SH-SY5Y cells were treated with vehicle (Veh), GLP-1, or Ex-4 (0.1 μM) for 2 h and then subjected to 6-OHDA (30 μM) for 24 h. Subsequently, cell survival was quantified by MTS assay. Whereas 6-OHDA reduced cell survival to 83% ($*P < .05$ vs. control), GLP-1 and Ex-4 protected against this 6-OHDA loss of cell viability ($*P < .05$ vs. vehicle plus 6-OHDA; Dunnett's t -test, $n = 6$ /group). (C and D) In SH-SY5Y cells, markers of apoptosis were elevated by 6-OHDA (30 μM) and lowered by Ex-4 (0.1 μM) after 2 h of pretreatment. This protection was lost in cells treated with inhibitors of PKA (H89; 10 μM) or PI3K (LY294002; 10 μM) and was retained with insulin (0.01 μM ; positive control) ($*P < .05$, Dunnett's t -test, $n = 5$ vs. vehicle plus 6-OHDA).

The viability of mesencephalic cell cultures, known to be rich in dopaminergic neurons, was determined by quantifying tyrosine hydroxylase immunoreactivity [TH(IR)] after exposure to the dopaminergic toxin 6-hydroxydopamine (6-OHDA). As expected, 6-OHDA decreased TH(IR) significantly, by 30% (Fig. 2A). GLP-1 and Ex-4 (0.1 μM) fully preserved TH(IR) from 6-OHDA toxicity, and, moreover, Ex-4 elevated TH(IR) in the absence of 6-OHDA by an additional 60%. No significant difference in the number of DAPI-positive nuclei was found among the treatment groups (not shown). To elucidate the universality of these protective effects, parallel studies were performed in SH-SY5Y cells (Fig. 2B–D). Predictably, exposure to 6-OHDA significantly reduced cell viability (Fig. 2B), with elevations in caspase-3 activity and Bax and declines in Bcl-2 found by Western blot analysis (Fig. 2C and D). GLP-1 and Ex-4 (0.1 μM) fully protected against 6-OHDA-mediated cell loss and resulted in elevated Bcl-2 and negligible caspase-3 and Bax levels. To define the molecular pathways responsible for the GLP-1R-mediated protection, specific inhibitors of PKA (H89; 10 μM) and PI3K (LY294002; 10 μM) were investigated; these resulted in a loss of protection (Fig. 2D).

Ex-4 Treatment Reduces Infarction Size and Improves Functional Outcome in Stroke. To define the translational potential of our cell culture studies, the protective effect of Ex-4 was evaluated in a

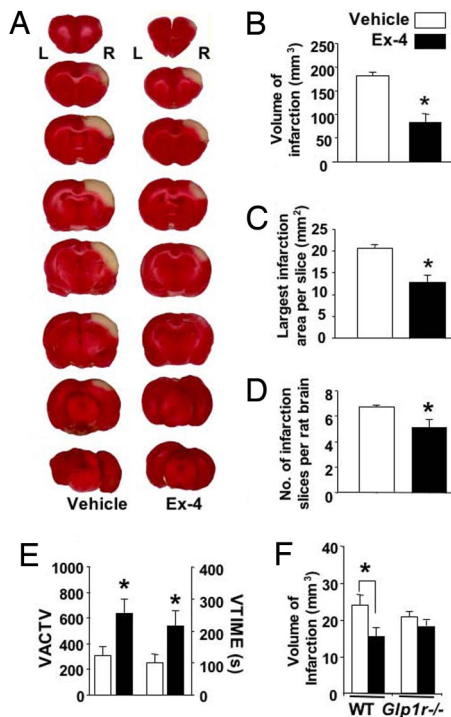


Fig. 3. Ex-4 markedly reduced cortical infarction induced by transient MCAo. (A) After Ex-4 ($1 \mu\text{M} \times 20 \mu\text{L}$; 83 ng) or vehicle (PBS) administration into the left (L) lateral ventricle, the right (R) middle cerebral artery was ligated for 60 min. At 48 h after ischemia/reperfusion, rats were killed, the brain was sliced into 2-mm sections, and stained with TTC. Marked infarction (white areas) within the right cerebral cortex was found. The size of infarction was significantly decreased in animals treated with Ex-4, compared to vehicle ($n = 10/\text{group}$), with regard to (B) the volume of infarction = [sum of the infarction area in all brain slices (mm^2) \times [slice thickness, 2 mm], (C) the area of the largest infarction in a slice, and (D) the number of infarcted slices from each rat brain. (E) Pretreatment with Ex-4 increased locomotor activity in stroke rats, assessed 48 h after ischemia/reperfusion in an activity chamber. Vertical activity (VACTV) and vertical movement time (VTME) were determined from the number of beam interruptions that occurred in vertical sensors and the time (s) spent in vertical movement during a 30-min test, respectively. (F) Likewise, Ex-4 ($1 \mu\text{M} \times 5 \mu\text{L}$ left lateral ventricle) decreased infarct volume in the WT mice but was ineffective in the *Glp1r*^{-/-} mice (WT control, $n = 6$; WT Ex4, $n = 7$; *Glp1r*^{-/-} control, $n = 8$; *Glp1r*^{-/-}, Ex4, $n = 7$). Overall, $*P \leq .05$ 1-way ANOVA and Student's *t*-test.

well-characterized rodent model of stroke, middle cerebral artery occlusion (MCAo), which mimics the most common type of human stroke. A 1-h transient occlusion produced a well-demarcated area of infarction that, as assessed by triphenyltetrazolium chloride (TTC) staining at 48 h, spanned the right frontal, parietal, and occipital cerebral cortices (Fig. 3A). The infarct volume, assessed by measuring the number of 2-mm-thick brain slices affected and the infarct area, was reduced by > 50% in Ex-4-pretreated rats compared with controls. Ex-4 significantly reduced each measured parameter of infarction size (Fig. 3B–D) and was accompanied by improved functional outcome, as assessed by locomotor activity measures at 2 days (Fig. 3E). Because changes in body temperature, blood pressure, and arterial blood gases may affect the outcome of stroke, these parameters were measured in Ex-4-treated and control rats both before and after treatment (Table S1); no significant changes were found. Likewise, cerebral blood flow remained unchanged before, during, and after Ex-4 administration (Fig. S2). Ex-4's lack of effects on these parameters suggests that its beneficial effects in stroke are due primarily to its central actions. To confirm that these actions are mediated through GLP-1R,

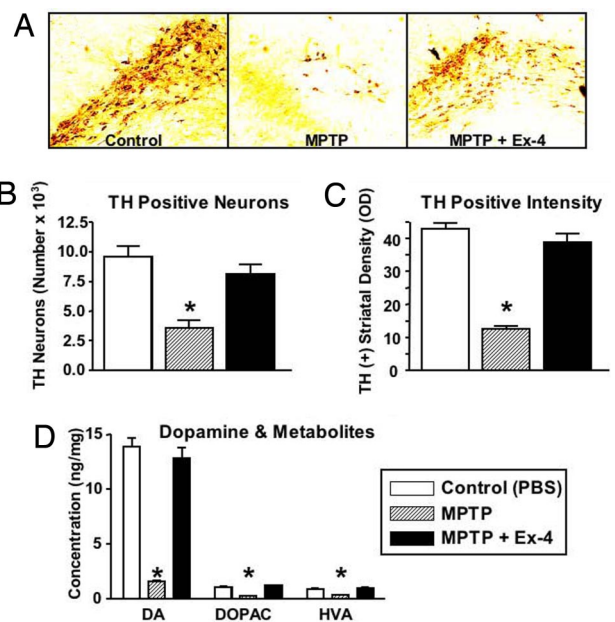


Fig. 4. Mice given Ex-4 (20 nM, 0.25 $\mu\text{L}/\text{h}$ in the lateral ventricle over 7 days) were protected from MPTP-induced damage of the dopaminergic system, quantitatively assessed by TH immunohistochemical analysis of the SN and TH immunoblot analyses of the striatum at 7 days. (A) Representative SN sections from control, and MPTP-treated mice with and without Ex-4. (B) Compared with controls, TH(+) cells in SN were reduced by MPTP ($*P < .05$). Those from mice given Ex-4 and MPTP were no different from controls ($P > .05$). (C) Similarly, as assessed by immunoblotting in striatum, TH levels were significantly reduced by MPTP ($*P < .05$ vs. controls) and no different from controls for mice given Ex-4 and MPTP ($P > .05$, Dunnett's *t*-test, $n = 10/\text{group}$). (D) Mice given Ex-4 were protected from MPTP-induced depletion of brain DA and metabolites (DOPAC and HVA). DA, DOPAC, and HVA from striatum were quantified by HPLC at 7 days in mice treated with PBS, MPTP, and MPTP plus Ex-4. Levels of each were reduced by MPTP ($P < .05$ vs. PBS) and preserved by Ex-4 ($P > .05$ vs. PBS; $P < .05$ vs. MPTP) compared with controls (Dunnett's *t*-test, $n = 10$). The ratios of DOPAC:DA and HVA:DA were 0.08 and 0.065 in controls, 0.16 and 0.31 in MPTP-treated mice, and 0.095 and 0.08 in MPTP plus Ex-4-treated mice.

parallel studies were performed in wild-type (WT) and *Glp1r*^{-/-} mice. Ex-4 was found to afford protection in the WT mice, but not in the *Glp1r*^{-/-} mice (Figs. 3F and S3).

Ex-4 Treatment Preserves Dopaminergic Cells in a MPTP-Induced PD Model. The neuroprotective actions of Ex-4 were quantified in a well-characterized model of PD. Exposure to 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP) induces a PD-like syndrome in humans, monkeys, and mice. In the brain, MPTP is converted to MPP⁺, which is selectively transported into dopaminergic neuron axon terminals, causing oxidative stress, mitochondrial dysfunction, and cell death (16). Analyses of dopaminergic markers in mice given MPTP demonstrated a cell loss that culminated in motor function impairment. Ex-4 afforded complete protection against dopaminergic neuron damage and motor impairment. Specifically, compared with controls, MPTP significantly reduced the number of TH-immunopositive (+) neurons within the substantia nigra (SN) by 63%, as assessed by immunohistochemistry (Fig. 4A and B), and depleted TH(+) intensity by 71%, as assessed by immunoblotting (Fig. 4C). In parallel, levels of dopamine (DA) and metabolites [dihydroxyphenylacetic acid (DOPAC) and homovanillic acid (HVA)] were reduced dramatically, and the ratio of metabolites to DA concentration was elevated, consequent to MPTP (Fig. 4D). In contrast, mice given Ex-4 before MPTP showed no differences from controls in terms of the number and intensity of SN TH(+) neurons.

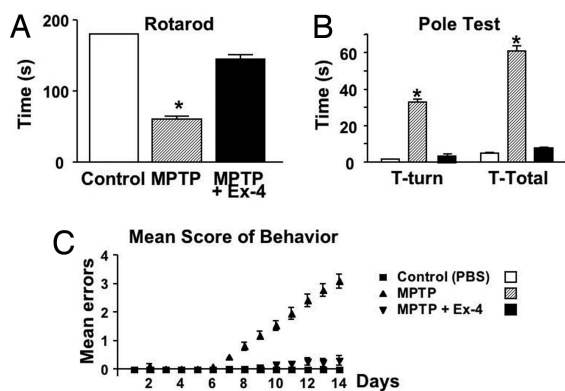


Fig. 5. Ex-4 protection of MPTP-induced toxicity of dopaminergic neurons has behavioral consequences. (A) Rotarod: The ability of mice to remain on a rotating rod at 7 days was reduced (67%; $P < .05$ vs. PBS) by MPTP and preserved by Ex-4 ($P > .05$ vs. PBS; $P < .05$ vs. MPTP). (B) Pole test: Assessed on 2 consecutive days, initially 3 h after MPTP. The time taken for mice to turn around (T-Turn) and descend a pole (T-Total) was slower in the MPTP-treated mice ($P < .05$ vs. PBS and Ex-4 plus MPTP) and no different from PBS controls ($P > .05$) in the MPTP plus Ex-4 mice. (C) Mean score of behavior: A composite of tests were rated daily. Whereas the MPTP plus Ex-4 mice were no different than the PBS controls, the MPTP mice could be differentiated on and after 7 days ($*P < .05$ vs. PBS, Dunnett's t -test, $n = 10$ /group).

neurons, as assessed by immunoblotting, and DA and metabolite levels and ratios. Motor function was quantified by several paradigms over multiple days, including mean score of behavior, rotarod, pole test (Fig. 5), beam walk, and open-field activity (Fig. S4); performance in all animals was significantly impaired by MPTP. In contrast, motor function was fully preserved after Ex-4 treatment and for all paradigms was similar to that of controls not treated with MPTP.

Discussion

The risk of both stroke and PD is elevated in persons with T2DM (17,18), even in newly treated patients, in whom the short-term risk of stroke is doubled (17). Clearly, an effective neuroprotective strategy would be valuable for this vulnerable patient group, as well as for the general population, given the lack of effective treatments for stroke and PD. Increasing evidence suggests that cortical and dopaminergic neurons die through apoptosis after a stroke and through a related form of programmed cell death during PD (19). Evidence for classic apoptosis in both conditions includes elevated levels of the apoptotic stress-activated protein kinases, caspase-3 (19–21) and of proapoptotic genes and proteins (19,21,22), as was evident in our cell culture studies. Analogous elevations in markers of apoptosis have been described in pancreatic β -cells during T2DM (7,23), one of many commonalities shared by these degenerative conditions. The ability to initiate a degenerative process in different cell types by widely varying insults suggests the existence of a common cell death network that can be entered from different points but, once activated, follows similar interrelated biochemical pathways, with little dependence on the site of entry (22). In such a system, a strategy that effectively halts the death network process in one disease, such as T2DM, may hold promise for another, particularly when the molecular machineries underpinning this action share commonalities.

The incretin, GLP-1, and long-acting Ex-4 induce numerous biological actions in the pancreas, including stimulation of glucose-dependent insulin secretion, elevated insulin synthesis, decreased glucagon levels, and, notably, β -cell proliferation and inhibition of β -cell apoptosis (7,8). These and other actions are mediated through the G protein-coupled GLP-1R, and Ex-4 has

demonstrated therapeutic value in T2DM (7). GLP-1R is a member of the class B family of 7-transmembrane-spanning, heterotrimeric G protein-coupled receptors. In humans and rodents, a single structurally identical GLP-1R has been identified that is expressed in a wide range of tissues, including the brain. GLP-1-immunoreactive fibers and GLP-1Rs are widely expressed throughout the brain (6,9,10). Ligand activation of the $G\alpha$ subunit of GLP-1R on pancreatic β -cells leads to activation of adenylate cyclase activity and production of cAMP, the primary mediator of GLP-1R activation (7).

GLP-1Rs are present in rodent cultured CC and VM primary neurons, as well as hippocampal neurons (14), and also in SH-SY5Y cells. Adding GLP-1 to primary neurons induced a time-dependent elevation in cAMP, indicative of a functional receptor. cAMP-mediated pathways are central to the antiapoptotic actions of GLP-1 in β -cells (6–8), and the neuroprotective effects of cAMP-elevating agents are seen in many neuronal cells, including sensory (24), dopaminergic (25), septal cholinergic (26), cerebellar granule (27,28), and spinal cord motor neurons (29).

Our previous studies have established that a 50% GLP-1R occupancy in primary neurons is achieved by 14 nM GLP-1 (14), a value similar to that for β -cells. Here we show that administration of GLP-1 and Ex-4 to primary CC and VM neurons or SH-SY5Y cells proved to be neuroprotective against insults that modeled stroke and PD. Specifically, these cells were vulnerable to hypoxia and a dopaminergic toxin, as assessed by classic markers of cell viability and the presence of cell death markers. GLP-1 and Ex-4 concentrations as low as 10 nM conferred protection against hypoxia. This effect was lost in the presence of the GLP-1R antagonist Ex-9–39 and was absent in *Glp1r*^{-/-} neurons, indicating that it is GLP-1R-mediated. Interestingly, not only were VM neurons fully protected from 6-OHDA-induced toxicity by GLP-1 and Ex-4 (100 nM), but also Ex-4 substantially elevated TH(IR) beyond that of untreated controls (Fig. 2A), indicating both neurotrophic and neuroprotective activity. TH also is expressed in catecholamine neurons in the area postrema, and Ex-4 has been shown to significantly elevate TH levels in these neurons by inducing TH gene expression through the TH promoter (30), which contains a cAMP-responsive element (31), representing a further modulatory mechanism that may account in part for the Ex-4-induced rise in TH(IR).

These neurotrophic/protective actions are in accordance with previous findings establishing that GLP-1R stimulation protects hippocampal neurons from amyloid- β peptide-, Fe^{2+} -, and glutamate-induced toxicity (15,32,33). The pathways that underpin the antiapoptotic actions of many endogenous neuroprotective agents commonly converge on activation of the transcription factor cAMP response element-binding protein by phosphorylation. Those mediating GLP-1's antiapoptotic actions in neurons remain to be fully elucidated. Previous work has demonstrated a clear involvement of PKA; neuroprotection by GLP-1 was abolished by Rp-cAMP, which blocks cAMP activation of PKA (13). PI3K and MAPK are other important signaling pathways involved in GLP-1-mediated events. A selective inhibitor of the former (LY294002), but not of the latter (PD98059), has been reported to inhibit GLP-1-mediated protective effects in neuronal cells (13). In the present study, each of these pathways appeared to contribute to the protection afforded by Ex-4 and GLP-1 to SH-SY5Y cells (Fig. 2D). Potential GLP-1 actions mediated through MAPK-independent signaling and growth factor-dependent Ser/Thr kinase Akt/PKB have been reviewed recently (31–33).

Administration of Ex-4 (10 μ g s.c.) achieved plasma levels of 200 pg/mL (48 nM) in humans (34), which compare favorably to the doses studied here. To evaluate the translational relevance of the aforementioned cellular effects, the actions of centrally administered Ex-4 were assessed in classical rodent models of stroke and PD. Whereas Ex-4 and GLP-1 readily enter the brain

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