## Basal cells as stem cells of the mouse trachea and human airway epithelium

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The pseudostratified epithelium of the mouse trachea and human airways contains a population of basal cells expressing Trp-63 (p63) and cytokeratins 5 (Krt5) and Krt14. Using a KRT5-CreER<sup>T2</sup> transgenic mouse line for lineage tracing, we show that basal cells generate differentiated cells during postnatal growth and in the adult during both steady state and epithelial repair. We have fractionated mouse basal cells by FACS and identified 627 genes preferentially expressed in a basal subpopulation vs. non-BCs. Analysis reveals potential mechanisms regulating basal cells and allows comparison with other epithelial stem cells. To study basal cell behaviors, we describe a simple in vitro clonal sphere-forming assay in which mouse basal cells self-renew and generate luminal cells, including differentiated ciliated cells, in the absence of stroma. The transcriptional profile identified 2 cell-surface markers, ITGA6 and NGFR, which can be used in combination to purify human lung basal cells by FACS. Like those from the mouse trachea, human airway basal cells both self-renew and generate luminal daughters in the sphere-forming assay.

epithelial basal cells | lung | NGFR | stem/progenitor | sphere-forming assay

**B** asal cells (BCs) make up approximately 30% of the pseudostratified mucociliary epithelium of the lung. They are relatively undifferentiated and characteristically express the transcription factor *Trp-63* (*p63*) and cytokeratins 5 and 14 (*Krt5/14*) (1–3). In the rodent, BCs are confined to the trachea, where they are interspersed among the ciliated, secretory, and neuroendocrine cells. By contrast, in the human lung, BCs are present throughout the airways, including small bronchioles (1, 4, 5).

Cell turnover in the adult trachea is normally very low (6). However, epithelial injury elicits rapid proliferation of surviving cells, except ciliated cells (7), and the tissue is soon repaired. Several lines of evidence suggest that tracheal BCs function as stem cells for this repair. First, lineage tracing of KRT14-CreERexpressing cells after naphthalene injury, which depletes secretory cells, suggested that some BCs can both self-renew and give rise to ciliated and secretory cells (8). Second, a subset of BCs retains BrdU label over the long term after epithelial damage by  $SO_2$  inhalation (9). Third, BCs isolated on the basis of high expression of a KRT5-GFP transgene have a greater capacity to proliferate and give rise to large colonies in vitro than KRT5-GFP<sup>-</sup> cells (3). Finally, fractionated rat tracheal BCs can restore the entire epithelium of a denuded trachea in a xenograft model (10). Similar xenograft assays have been performed with BCs from human nasal polyps and fetal trachea (11, 12).

Despite the evidence that BCs are stem cells, relatively little is known about their biology and the mechanisms regulating their behavior. To address these problems, we have generated a transgenic mouse line to more efficiently lineage trace and genetically manipulate BCs. We have also isolated mouse BCs and obtained a transcriptional profile. Finally, we have developed a clonal sphere-forming culture system that will greatly facilitate studies on the self-renewal and differentiation of mouse and human BCs.

## **Results and Discussion**

In Vivo Lineage Tracing of Mouse Tracheal BCs. Previous lineage tracing of mouse tracheal BCs after injury used a KRT14-CreER transgene (8). However, this allele is inefficient for labeling BCs in steady state. We therefore made a new line in which a 6-kb human keratin 5 (*KRT5*) promoter drives CreER<sup>T2</sup> (Fig. 1*A*). We used this allele, in combination with the Rosa26R-lacZ reporter, to lineage trace BCs in adult mice for up to 14 weeks (Fig. 1B). Soon after the last tamoxifen (Tmx) injection, most of the labeled cells ( $\approx 98\%$ ) were scored as BCs (Fig. 1C). Over time, the percentage of labeled cells scored as BCs declined, whereas that of labeled secretory and ciliated cells increased (Fig. 1 D-F and Table 1). Lineage-labeled BCs give rise to more Clara than ciliated cells over the chase period. There are 2 possible explanations for this result. First, BCs may give rise to Clara cells more frequently than ciliated cells-possibly because the latter have a longer half-life than Clara cells and need to be replaced less often. Alternatively, BCs first give rise to Clara cells, which then slowly transition to ciliated cells. We currently favor the second model because it is supported by tritiated thymidine pulse-chase experiments in the rat (1) and our own pulse-chase lineage labeling studies with Scgb1a1-CreER<sup>+</sup> (Clara) cells in the mouse trachea (13).

During postnatal growth there is an increase in the length and diameter of the rodent trachea and in the number of epithelial cells, including BCs (1). In previous studies we showed BrdU labeling of tracheal epithelial cells at postnatal (P)6 days, P3 weeks, and P6 months (13). Further analysis of the material demonstrated that 55% of p63<sup>+</sup> BCs and 10% of non-BCs are BrdU labeled at P6 days, whereas the corresponding values for P3 weeks were 9% of BCs and 2% of non-BCs (Fig. S1). To follow the behavior of tracheal BCs during postnatal growth a cohort of KRT5-CreER<sup>T2</sup>;Rosa26R-eYFP mice was given a pulse of Tmx at P10 days or P12 days (Fig. 1G). At P3 weeks, the majority (96%) of lineage labeled cells were BCs (Fig. 1H and Table S1). These represented  $7\% \pm 3\%$  of all BCs in the trachea (Table S2). This percentage remained approximately the same when members of the cohort were examined at P6 weeks and at P15 weeks, suggesting that labeled BCs self-renew and are not diluted by descendants of unlabeled cells. As before, there was an increase over time in the percentage of labeled cells scored as Clara or ciliated cells (Fig. 11 and Table S1). Thus, during

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**Fig. 1.** Lineage tracing of tracheal BCs. (A) *KRT5-CreER<sup>T2</sup>* transgene construct. (*B*) Timeline for steady-state experiments with *KRT5-CreER<sup>T2</sup>*;*Rosa26R-LacZ* adult mice. (*C–E*) Paraffin sections of X-gal-stained (blue) trachea. Antiacetylated tubulin (brown, cilia) (C) 6 days, (*D*) 3 weeks, and (*E*) 12 weeks after final Tmx injection. (*F*) Bar graph showing percentage of labeled cells scored as basal, Clara, or ciliated after Tmx exposure and chase. Data shown are mean  $\pm$  SEM. (*G*) Timeline for postnatal growth experiments with *Rosa26R*-eY*FP* reporter. (*H* and *I*) Cryosections stained for GFP (green, lineage label), Scgb1a1 (red, Clara cells), and T1 $\alpha$  (blue, BCs). (*H*) P3 weeks. (*I*) P15 weeks. [Scale bar in C (for *C–E*), 20  $\mu$ m; in *H* (for *H* and *I*), 25  $\mu$ m.]

postnatal growth BCs both self-renew and give rise to Clara and ciliated cells.

Finally, we followed the fates of labeled BCs in a repair model. Inhalation of  $SO_2$  leads to extensive damage of the tracheal epithelium, followed by proliferation of surviving cells and restoration of normal histology by 2 weeks (14). During the repair process, labeled BCs proliferate and give rise to relatively large patches of descendants that include Clara and ciliated cells (Fig. S2). By contrast to the findings at steady state, BCs responding to injury give rise to more ciliated than Clara cells over the same period (Table 1). This suggests that the fates of BC daughters can vary in response to local conditions.

**Trancriptional Profile of Tracheal BCs.** To separate BCs from columnar cells for transcriptional profiling, we first sorted cells on the basis of binding of fluorescently labeled *Griffonia simplicifolia* isolectin A3B (GSI-A3B), which binds all BCs (3, 10, 15). It also binds a population of dendritic cells present within the epithelium (16). We further purified the lectin<sup>+</sup> cells on the basis of expression of GFP using a previously described *KRT5-GFP* 



**Fig. 2.** Characterization of mouse tracheal epithelial populations. Tracheal epithelial cells were sorted on the basis of labeling with GSI-A3B isolectin and expression of *KRT5-GFP*. (A) *KRT5-GFP* tracheal epithelium not labeled with GSI-A3B. (B) Transgenic cells labeled with GSI-A3B. p63 + cells make up 90.5% of cells in P4, 40.4% of cells in P6, and 1.1% of cells in P5. Averaged over 7 experiments, P4 contained 6% of total sorted cells, P5 67%, and P6 12%. (C) Heatmap showing the 100 most differentially expressed genes by Affymetrix microarray of P4, P5, and P6. (D) RT-PCR confirmed the upregulation of 11 genes in KRT5-GFP<sup>ii</sup> BCs vs. KRT5-GFP<sup>-</sup> cells.

transgenic mouse line in which GFP is expressed at high levels in approximately 60% of  $p63^+$  tracheal BCs (3). The lectin<sup>+</sup>;KRT5-GFP<sup>+</sup> cells (P4) were an essentially pure BC subpopulation because >90% express p63. By contrast, the lectin<sup>-</sup>;KRT5-GFP<sup>-</sup> (hereafter, non-BC) population (P5) contained very few p63<sup>+</sup> cells. The lectin<sup>+</sup>;KRT5-GFP<sup>-</sup> cells (P6) contained both basal and dendritic cells (Fig. 2 *A* and *B*).

RNA from all 3 fractions was analyzed by Affymetrix microarray. Clustering of the 100 most differentially regulated genes between the fractions showed good correlation between replicates (Fig. 2*C*). The genes most highly expressed in the non-BC population included those associated with ciliated and secretory cells (Table S3). As expected, some of the genes upregulated in the lectin<sup>+</sup>;KRT5-GFP<sup>-</sup> population are associated with dendritic cells. In the future, new strategies will be used to remove the dendritic cells from this BC fraction. We found 627 genes upregulated at least 2<sup>1.5</sup>-fold in the lectin<sup>+</sup>;KRT5-GFP<sup>+</sup> BCs compared with non-BCs ( $P \le 0.001$ ) (Table S4 and Table S5). The validity of the screen was confirmed in several ways. First, RT-PCR confirmed the enrichment of transcripts in KRT5-

Table 1. BCs of the trachea give rise to ciliated and Clara cells at steady state and in response to epithelial injury

Time of chase	Basal (%)	Clara (%)	Ciliated (%)	Total no. of lineage-labeled cells scored	Total no. of tracheas
Tmx + 6 d	97.8 ± 0.9	1.6 ± 0.8	0.5 ± 0.3	466	4
Tmx + 3 wk	92 ± 1	6.6 ± 1.5	$1.3\pm0.9$	1265	6
Tmx + 6 wk	79 ± 2.5	$14.7\pm0.6$	6.2 ± 1.9	385	2
Tmx + 12–16 wk	53.1 ± 3.8	28.6 ± 1.4	$18.4\pm2.6$	1191	3
$Tmx + SO_2 + 2 wk$	$38.5 \pm 1$	$21.4 \pm 2.6$	$40.1\pm2.7$	1265	4

Percentage of lineage labeled cells,  $\pm$  SEM, scored as basal, Clara, or ciliated after Tmx exposure and varying times of chase, with or without SO<sub>2</sub> injury.

GFP<sup>hi</sup> BCs (Fig. 2D). Second, published reports confirmed expression of several enriched genes in BCs of murine trachea and/or human airways. Examples include genes encoding *Trp-63*, which is required for BC development in the mouse trachea (2), *Snai2*, *Cd109*, claudin 1, *Icam1*, aquaporin 3, and keratins 5, 14, and 17 (3, 17–21). Finally, immunohistochemistry on sections of mouse trachea and normal human bronchus confirmed BC expression of several genes, including *Ngfr* (see below).

The genes upregulated in lectin<sup>+</sup>;KRT5-GFP<sup>hi</sup> BCs were sorted into functional categories (Table S4). Those associated with cell adhesion include genes encoding components of hemidesmosomes and anchoring fibrils—integrins  $\alpha 6$  and  $\beta 4$ , laminins  $\alpha 3$  and  $\beta 3$ , dystonin (BPAG1), and collagen 17a1 (BPAG2) (22). This reflects the abundant hemidesmosomal attachments of BCs to the underlying basal lamina (1). Our results also suggest that BCs produce components of the ECM, including fibulin1, fibrillin2, *Tnc*, collagen18a1, *Sparc*, and TGF $\beta$ -induced. They are also enriched for cytoskeletal components that influence membrane organization, shape, and motility. Transcription factors that are upregulated in KRT5-GFP<sup>+</sup> BCs include *Snai2* (Slug) and its corepressor, ajuba (*Jub*), basonuclin, *Lmo1*, *Tbx2*, *Barx2*, *Etv4*, *Hlf*, AP-2 epsilon, *Myc*, and *Ascl2*.

Tracheal BCs are normally relatively quiescent but respond rapidly to injury. Both quiescence and activation are likely regulated by reciprocal signaling between BCs and their niche. Transcripts for various signaling ligands (*Bmp7*, delta-like 1 and 2, jagged2, *Ccl20*, *PdgfC*, pleiotrophin, *Tgfb1*, *Ntf3*, *Wnt3a*, *Wnt5b*, and *Wnt9a*) and receptors (*Notch1*, *Gfra1*, *Ngfr*, *Ptch2*, *Egfr*, *Tgfbr3*, ephrin receptor B4, and several G protein-coupled receptors) were enriched in this BC subpopulation. In addition, antagonists of intercellular signaling pathways (*Socs3*, sprouty1, *Sfrp1*, *Dkk3*, follistatin-like1, follistatin-like3, *Ppp2r2c*, and latent TGFβ binding protein 4) were also upregulated.

The rapid proliferative response of BCs to epithelial injury suggests that, although they are normally relatively quiescent, they are poised to respond to activating stimuli. This characteristic is not unique to respiratory BCs. For instance, stem cells in systems that undergo cycles of growth, destruction, rest, and regrowth (e.g., the mammary gland and hair follicle) undergo similar phenotypic switches from quiescence to activation. It is therefore of great interest that a number of genes expressed in stem cells of the hair follicle bulge and mammary gland, including transcription factors, components of the ECM, signaling ligands, receptors, and, importantly, negative regulators of intercellular signaling, are also expressed in tracheal BCs (23, 24). Many of these proteins likely control stem cell–niche interactions and, potentially, the ability of BCs to respond to activating stimuli.

The fact that BCs generate different proportions of ciliated and Clara cells at steady state vs. repair after injury (Table 1) suggests that progeny cell fates are influenced by local tissue conditions. This concept has been proposed for the *Drosophila* midgut, where varying levels of Notch ligand in the intestinal stem cells regulates the fates of their progeny (25, 26). Significantly, BCs are enriched for transcripts of the Notch pathway (e.g., *Notch1, Dll1*, and *Jag2*). Future studies will determine their function in BCs.

**Novel Assay for Self-Renewal and Differentiation of BCs.** Previous assays for the potential of tracheal BCs to proliferate and differentiate include repopulation of denuded tracheal xenografts and air–liquid interface (ALI) culture. Neither is ideal for quantitative analysis because xenograft models are lowthroughput, and we found it difficult to reproducibly differentiate BCs at the ALI in the absence of non-BCs. We therefore adapted 3-dimensional sphere-forming assays from other stem cell populations [e.g., prostate (27)] for the expansion and



**Fig. 3.** A novel sphere-forming assay for BC behavior. (*A*) Single viable KRT5-GFP<sup>+</sup> BCs (P6) or KRT5-GFP<sup>-</sup> (P5) tracheal epithelial cells were seeded. (*B*) Tracheospheres formed within 9 days of seeding. (C) Approximately 3% of KRT5-GFP<sup>+</sup> BCs form tracheospheres, whereas  $\approx 0.5\%$  of KRT5-GFP<sup>-</sup> cells form spheres. (*D* and *E*) 9 days. Tracheospheres stained for (*D*) p63 (red) and DAPI (blue, nuclei). (*E*) Basal KRT14 (red) and luminal KRT8 (green). (*F* and *G*) Twenty-day differentiated tracheospheres. (*F*) Hematoxylin and eosin stain. Arrows indicate ciliated cells. (*G*) Acetylated tubulin (red, cilia) and NGFR (green, BCs). (Scale bars, 1 mm in *B*; 50  $\mu$ m in *D*–G.)

differentiation of BCs. When single, viable KRT5-GFP<sup>+</sup> tracheal BCs were seeded in this assay, "tracheospheres" with a visible lumen formed within 1 week, even in the absence of stroma or non-BCs (Fig. 3 *A* and *B*). The sphere-forming efficiency was  $\approx 3\%$  of plated KRT5-GFP<sup>+</sup> cells. In comparison, the colony-forming efficiency of KRT5-GFP<sup>+</sup> cells in 2-dimensional ALI culture in combination with a 500-fold excess of KRT5-GFP<sup>-</sup> cells was 5% (3). Approximately 0.5% of KRT5-GFP<sup>-</sup> cells, likely KRT5-GFP<sup>-</sup> BCs, formed spheres histologically indistinguishable from the KRT5-GFP<sup>+</sup> BC-derived spheres (Fig. 3*C* and Table S6).

By 9 days of culture, we observed tracheospheres with diameters ranging from  $<50 \ \mu m$  to  $>300 \ \mu m$ , suggesting that heterogeneity exists within the sphere-forming population (Fig. S3 and Table S7). Rarely, lobed colonies were observed, but these colonies did not survive over the long term and so have limited capacity for self-renewal in this assay. By day 9, tracheospheres consisted of a pseudostratified epithelium with p63<sup>+</sup>. KRT14<sup>+</sup> BCs peripheral to luminal KRT8<sup>+</sup> cells (Fig. 3 D and E). By day 20, surviving spheres had undergone luminal expansion and a thinning of the pseudostratified epithelium, and beating cilia were observed. This was confirmed by antibody staining for acetylated tubulin (Fig. 3G). In 26-day spheres the percentage of KRT8<sup>+</sup> columnar cells that were ciliated ( $\approx 50\%$ ) was approximately the same as in adult wild-type mouse tracheas. Nonciliated KRT8<sup>+</sup> cells persisted in spheres but did not express markers of Clara (Scgb1a1), neuroendocrine (CGRP), or mucus-producing (Muc5AC) cells at levels detectable by immunohistochemistry at 9 or 20 days of culture. In addition, very few cells expressed claudin 10. Further experiments are needed to identify this columnar cell type and to optimize conditions for the differentiation of mature secretory cells from BC progenitors. BCs in spheres maintained expression of KRT5-GFP at least up to P21 days. To further demonstrate the self-renewal potential of BCs, we have serially subcultured KRT5-GFP<sup>+</sup> cells from spheres twice at the time of submission.



**Fig. 4.** NGFR<sup>+</sup> BCs of mouse and human airways. (*A*) NGFR (green) is confined to p63<sup>+</sup> (red) BCs in the mouse trachea. (*B*) FACS using an anti-NGFR antibody to isolate BCs from primary mouse tracheal epithelium. p63<sup>+</sup> cells make up >86% of cells in P6. (C) NGFR expression is confined to the BCs of normal human bronchus. (*D*) FACS using anti-NGFR and anti-ITGA6 antibodies to enrich for BCs from primary human bronchial epithelial cultures. p63<sup>+</sup> cells make up 96% of cells in P5, 83% of cells in P4, and only 15% of cells in P6. (*E*–G) Human spheres at 18 days of culture. (*E*) Light micrograph. (*F*) Hematoxylin and eosin–stained section. (*G*) Basal KRT14 (red) and luminal KRT8 (green). The KRT14<sup>+</sup> basal layer appeared somewhat discontinuous. (*H* and *I*) Human spheres at 25 days stained for (*H*) p63 (green, BCs) and (*I*) acetylated tubulin (red, cilia). (Scale bars, 50  $\mu$ m in *A* and C; 25  $\mu$ m in *E–l*.)

For wide application of the tracheosphere assay, it is important to be able to isolate BCs independently of any transgene. Our transcriptome analysis revealed that Ngfr (p75, Tnfrsf16), a member of the TNF receptor superfamily, is enriched in tracheal BCs (Table S4). Significantly, this gene is also expressed in basal/basal-like cells of other epithelia, including esophagus, corneal limbus, and mammary gland (28-30). Ntf3, encoding a ligand for NGFR, is also enriched in mouse tracheal BCs (Table S5), raising the possibility of autocrine signaling within this population. Immunohistochemistry showed that NGFR is specifically localized to 98% of  $p63^+$  mouse BCs (Fig. 4A). We therefore used an NGFR antibody to sort tracheal epithelial cells into basal and nonbasal populations (Fig. 4B). After FACS, 86% of NGFR<sup>+</sup> cells were  $p63^+$ , whereas <1% of the NGFR<sup>-</sup> cells express p63. The sphere-forming efficiency of NGFR<sup>+</sup> BCs was  $\approx$ 4%, whereas  $\approx$ 0.05% NGFR<sup>-</sup> cells gave rise to spheres (Table S6). This finding suggests that although a subset of BCs is able to self-renew and differentiate under these conditions, the ability of BC-depleted populations to do so is significantly reduced. In fact, because 4% of BCs generate spheres and  $\approx 1\%$  of NGFR<sup>-</sup> cells are  $p63^+$  BCs, the 0.05% sphere-forming efficiency in the NGFR<sup>-</sup> population is potentially attributable to BCs. Spheres derived from NGFR<sup>+</sup> cells were histologically the same as those derived from KRT5-GFP<sup>+</sup> cells. Furthermore, when NGFR<sup>+</sup> BCs from constitutively GFP-expressing or RFP-expressing mice were mixed after sorting, all spheres fluoresced either red or green (Fig. S3D). This finding suggests that spheres are derived from single NGFR<sup>+</sup> BCs.

**BCs of the Human Lung.** In humans,  $p63^+$  cells with the morphology of BCs are found throughout the lung, although numbers decline distally (1, 4, 5). These cells express a number of genes enriched in mouse BCs, including NGFR (Fig. 4C). To apply our in vitro assay to human BCs, we isolated total epithelial cells from human bronchi and cultured them overnight to enrich for p63<sup>+</sup> cells. Our transcriptome analysis of mouse BCs showed that Itga6 is preferentially expressed in tracheal BCs. We therefore sorted human lung epithelial cells by FACS using NGFR and ITGA6 antibodies (Fig. 4D). Under these conditions,  $\approx 96\%$  of the ITGA6<sup>+</sup>;NGFR<sup>+</sup> fraction was p63<sup>+</sup>, whereas only  $\approx 15\%$  of the ITGA6<sup>-</sup>;NGFR<sup>-</sup> cells expressed p63. When seeded in the assay, ITGA6<sup>+</sup>;NGFR<sup>+</sup> BCs gave rise to "bronchospheres" by day 10, with a single lumen that underwent expansion over 20 days of culture (Fig. 4*E*–*I* and Table S8). Very few colonies formed from the ITGA6<sup>-</sup>;NGFR<sup>-</sup> cells. Spheres contained KRT14<sup>+</sup>, p63<sup>+</sup> BCs peripheral to KRT8<sup>+</sup> luminal cells, and ciliated cells were observed within 25 days of culture. These findings suggest that human BCs are capable of both self-renewal and the generation of differentiated daughters.

In conclusion, we have demonstrated in vivo that BCs of the mouse trachea function as progenitor cells both during postnatal growth and in the adult at steady state and in a repair model. Using a clonal assay, we have shown that BCs of both mouse and human airways can self-renew and differentiate in the absence of stroma or columnar epithelial cells. This assay will facilitate the study of mechanisms regulating the development, maintenance, and repair of the airway epithelium. Finally, we have derived a transcriptional profile of mouse BCs that will inform future investigations on the phenotypic control of this important stem cell population in both normal development and disease.

## **Materials and Methods**

**Mice.** The *KRT5-GFP* transgenic mouse line, *Rosa26R-LacZ* (*Gt(Rosa)26Sor*<sup>tm15or</sup>), *Rosa26R-eYFP* (*Gt(Rosa)26Sor*<sup>tm1(eYFP)Cos</sup>), C57BL/6-Tg(CAG-EGFP)131Osb/LeySopJ, and Gt(ROSA)26Sor<sup>tm4(ACTB-tdTomato,-EGFP)Luo</sup> mice have been described before. The *Krt5-GFP* transgenic mouse line is maintained by interbreeding on the C57BL/6xC3H background. *Rosa26R-LacZ* (*Gt(Rosa)26Sor*<sup>tm1(eYFP)Cos</sup>) and *Rosa26R-eYFP* (*Gt(Rosa)26Sor*<sup>tm1(eYFP)Cos</sup>) reporter mice were used at the N3 C57BL/6 backcross generation. To establish the *KRT5-CreER*<sup>T2</sup> line, DNA was injected into fertilized zygotes. The construct is identical to that used to generate the *KRT5-GFP* line, except that a CreER<sup>T2</sup> cassette was inserted in place of GFP. Offspring of 11 founders were screened to obtain 1 that gave robust and inducible recombination in tracheal BCs in response to Tmx. The line is presently on the N3 backcross to C57BL/6.

For adult lineage tracing, 8–10-week-old male and female mice hemizygous for *KRT5-CreER*<sup>72</sup> and homozygous for *Rosa26R-LacZ* were injected i.p. 4 times every other day with 0.25 mg/g body weight Tmx in Mazola corn oil (ACH Food Companies). Postnatal mice hemizygous for both *KRT5-CreER*<sup>72</sup> and *Rosa26R-eYFP* received i.p. injections of 0.25 mg/g body weight Tmx in corn oil on P10 or P12. Mice injected with corn oil only were included in all experiments. For SO<sub>2</sub> injury, adult male mice were exposed to 500 ppm SO<sub>2</sub> in air for 3 h 1 week after the final Tmx injection (7).

**Immunohistochemistry.** For adult lineage tracing, X-gal–stained paraffin sections were stained with antibody to acetylated tubulin (T7451; Sigma) (7). Cells were scored as ciliated (tubulin<sup>+</sup>), Clara (columnar, tubulin<sup>-</sup>), or basal (low, rounded morphology).

For lineage tracing during postnatal growth, cryosections were stained with chick anti-GFP (lineage label, 1:500 GFP1020; Aves Labs), goat anti-Scgb1a1 (Clara cells, 1:10,000; kindly provided by Barry Stripp), mouse anti-acetylated-tubulin (ciliated cells, 1:3,000 T7451; Sigma), and hamster anti-T1 $\alpha$  (BCs, 1:1000 clone 8.1.1; DSHB). Alexa-Fluor coupled secondary antibodies (Invitrogen) were used at 1:500. Z-stacks of optical sections were captured on a Leica Sp2 laser scanning confocal microscope. Multiple optical sections were scored manually to distinguish cell boundaries.

For NGFR staining, after citrate buffer antigen retrieval paraffin sections were stained with mouse antihuman NGFR (1:100 05–446; Millipore) and Vectastain ABC Kit (Vector Laboratories) or rabbit antimouse NGFR (Ab8875; Abcam) and Alexa-Fluor coupled secondaries. For staining spheres, paraffin sections were incubated with mouse anti-p63 (BCs, 1:200 sc-8431; Santa Cruz

Biotechnology), mouse anti-KRT14 (BCs, 1:500 MS-115-P1; Lab Vision), rat anti-KRT8 (luminal, 1:100 TROMA-I; DSHB), and NGFR and acetylated tubulin as above.

Mouse Tracheal Cell Isolation and FACS. Mouse tracheas were cut into pieces and incubated in Dispase (BD Biosciences, 16 U/mL) in PBS (30 min) at room temperature. Digestion was stopped by addition of DMEM with 5% FBS. Epithelium was peeled off with forceps and incubated in 0.1% trypsin, 1.6 mM EDTA 20 min at 37 °C, followed by gentle pipetting and passage through a 40-µm cell strainer. GSI-A3B isolectin (a kind gift from Dr. Irwin Goldstein, University of Michigan) was fluorescently labeled using Lightning-Link R-Phycoerythrin Conjugation Kit (Innova Biosciences) and made functionally monovalent by incubation with 5 mM N-acetyl-D-galactosamine (Sigma) (10) before labeling of cells for 40 min on ice. For FACS with NGFR antibody, cells were diluted to  $1\times10^{6}$  cells/mL in 2% FBS, 2% BSA in PBS and incubated in 18  $\mu$ g/mL rabbit anti-NGFR (Ab8875; Abcam) or IgG isotype control followed by washing and incubation in allophycocyanin-conjugated or Alexa Fluor 488 donkey antirabbit diluted 1:500. Cells were washed with DMEM + 2% BSA and propidium iodide added to a final concentration of 200 ng/mL before sorting. Sorting was performed on FACS Vantage SE and data analyzed with FACS Diva (BD Biosciences). Cells were collected in DMEM with 2% BSA and cultured immediately or frozen for RNA extraction.

**Mouse Tracheosphere Culture.** Sorted cells were resuspended in MTEC/Plus (31), mixed 1:1 with growth factor–reduced Matrigel (BD Biosciences), and 200  $\mu$ L/cm<sup>2</sup> pipetted into a 12-well 0.4- $\mu$ m Transwell insert (Falcon). MTEC/Plus (1 mL) was added to the lower chamber and changed every other day. Cultures were maintained at 37 °C, 5% CO<sub>2</sub>. On day 7, MTEC/SF (31) was placed in the lower chamber and changed every other day. The number of spheres per insert was counted on days 7–9 using an inverted microscope. Samples were fixed in 4% paraformaldehyde in PBS, embedded in 3% agarose, then paraffin, and sectioned.

Human BC Isolation and Culture. Bronchi approximately 1 cm in diameter were processed as described previously (32). Briefly, under institutional review board–approved protocols, excess unaffected airways at the time of tumor resection or donor airways unacceptable for transplantation were obtained and cells dissociated using Protease XIV (Sigma). Cells were plated onto type I/III collagen-coated tissue culture dishes in bronchial epithelial growth me-

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dium. After 24 h, day 1 passage 1 cells were harvested using trypsin/EDTA and labeled for FACS using mouse anti-NGFR (5  $\mu$ g/mL Ab8875; Abcam), Alexa Fluor 488 donkey antimouse, and rat anti-ITGA6 (3  $\mu$ g/mL Ab19765; Abcam). Anti-ITGA6 was labeled using Lightning-Link R-Phycoerythrin Conjugation Kit (Innova Biosciences). Single viable cells were seeded in 1:1 Matrigel/human ALI medium and fed with ALI medium every other day.

Microarray Analysis. KRT5-GFP tracheal epithelial cells were separated by FACS into 3 populations: lectin<sup>+</sup>;K5-GFP<sup>hi</sup>, lectin<sup>+</sup>;KRT5-GFP<sup>-</sup>, and lectin<sup>-</sup>;KRT5-GFP<sup>-</sup>. At least 5 mice were used per sort, and samples were pooled from 7 sorts to generate triplicates. RNA was extracted from frozen cells using RNeasy Micro kit (Qiagen) and quality checked with a 2100 Bioanalyzer (Agilent Technologies). RNA (20-30 ng per sample) was amplified and labeled using the Ovation RNA Amplification Kit V2 and FL-Ovation Biotin V2 (NuGEN) by the Duke Microarray Facility. Standard Affymetrix protocols and mouse genomic 430 2.0 chips were used to generate .cel files deposited in the Gene Expression Omnibus of the National Center for Biotechnology Information (accession number GSE15724). These were imported into Bioconductor in the R software environment and preprocessed using robust multichip averaging. Calculation of fold change difference and t tests was performed for each probe set between the nonbasal and lectin<sup>+</sup>;K5-GFP<sup>hi</sup> groups. Probe sets with a P value <0.001 and fold change >2.8 were annotated. The functions ImFit, contrasts.fit, and eBayes were used to make all pairwise comparisons between the robust multichip average-normalized data for the 3 groups of cells. The functions decideTests and order were used to order significantly different probes, and the top 100 were used to create the heatmap using the function heatmap.

**RT-PCR.** RNA was isolated from tracheal epithelium sorted into KRT5-GFP<sup>hi</sup> and KRT5-GFP<sup>-</sup> populations from 8 adult KRT5-GFP hemizygous mice and cDNA synthesized from 120 ng RNA using SuperScript III reverse transcriptase. PCR primers are listed in Table S9.

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