

### NIH Public Access

**Author Manuscript** 

J Acquir Immune Defic Syndr. Author manuscript; available in PMC 2013 June 03.

Published in final edited form as:

J Acquir Immune Defic Syndr. 2006 May ; 42(1): 42–51. doi:10.1097/01.qai.0000219771.97303.0a.

### A 2-Arm, Randomized, Controlled Trial of a Motivational Interviewing–Based Intervention to Improve Adherence to Antiretroviral Therapy (ART) Among Patients Failing or Initiating ART

Carol E. Golin,  $MD^{*,\dagger,\ddagger}$ , JoAnne Earp, PhD<sup>†</sup>, Hsiao-Chuan Tien, PhD<sup>§</sup>, Paul Stewart, PhD<sup>§</sup>, Carol Porter, BS<sup>‡</sup>, and Lynn Howie, MA<sup>\*</sup>

<sup>\*</sup>Department of Medicine, School of Medicine, University of North Carolina at Chapel Hill, Chapel Hill, NC

<sup>†</sup>Department of Health Behavior and Health Education, School of Public Health, University of North Carolina at Chapel Hill, Chapel Hill, NC

<sup>‡</sup>Cecil G. Sheps Center for Health Services Research, University of North Carolina at Chapel Hill, Chapel Hill, NC

<sup>§</sup>Department of Biostatistics, University of North Carolina at Chapel Hill, Chapel Hill, NC

#### Abstract

**Rationale and Purpose**—Motivational interviewing (MI) is a counseling technique that has been used effectively to change a number of health-related behaviors. We sought to assess the impact on patients' antiretroviral therapy (ART) adherence of a multicomponent, MI-based ART adherence intervention compared with that of an HIV informational control program.

Study Design—Two-arm, randomized, controlled trial.

**Sample**—One hundred forty adult HIV-infected patients attending a large, academic center infectious diseases clinic who were either failing or newly initiating an ART regimen.

**Study Endpoints**—(1) Mean adherence level (% of prescribed doses take in the prior month) at the week 12 visit, (2) change in mean adherence, (3) percentage of patients achieving >95% adherence in the third 4-week block, and (4) change in viral load.

**Main Findings**—The MI group's mean adherence improved by 4.5% compared with a decrease in the control group's adherence by 3.83% (P = 0.10). In the treatment group, 29% achieved >95% adherence compared with only 17% in the control group (P = 0.13). When we controlled for ethnicity, the intervention group had 2.75 times higher odds of achieving more than 95% adherence than did the controls (P = 0.045; 95% confidence interval: 1.023, 7.398). Although a number of mediating variables (beliefs about ART, coping style, social support, and goals set) had statistically significant changes in the expected direction in the MI group compared with controls, in the intent-to-treat analysis, the mean adherence at study exit for the intervention group was 76% (SD = 27%) and 71% (SD = 27%) for the control group (P = 0.62).

Copyright © 2006 by Lippincott Williams & Wilkins

Reprints: Carol E. Golin, MD, UNC Sheps Center for Health Services Research, 725 Airport Road, Suite 208, Chapel Hill, NC 27599-7590 (Carol\_Golin@unc.edu).

**Conclusion**—Although not definitive, this study provides some evidence that MI offers an effective approach to improving adherence. Future studies able to build MI into the intervention for longer than 3 months may have a greater impact.

#### Keywords

antiretroviral adherence; motivational interviewing; medication compliance

Most patients are unable to achieve and sustain the high levels of antiretroviral therapy (ART) adherence needed to maintain the substantial health benefits of combination ART.<sup>1-5</sup> Few studies have identified effective interventions that improve ART adherence,<sup>2-23</sup> a complex behavior with multiple determinants.<sup>21,24</sup> Patient-centered, comprehensive adherence interventions are most likely to be effective.<sup>24-27</sup> The individually tailored nature of motivational interviewing (MI), an effective theory-based, client-centered counseling approach,<sup>28-35</sup> makes it a promising strategy for addressing the complex, multidimensional features of ART adherence.<sup>28,36-39,41</sup>

Using a conceptual model based on a comprehensive literature review, formative research, and social cognitive theory, we developed the PACT (Participating And Communicating Together) MI program to enhance ART adherence.<sup>36</sup> Previously, we demonstrated that the intervention was feasible; a majority of patients found it acceptable and highly useful,<sup>36</sup> and we met quality benchmarks for MI counseling.<sup>38</sup> In the present study, we report the effect of the PACT intervention on patients' objectively measured ART adherence.

#### METHODS

#### **Overall Design**

The study used a clinic-based 2-arm block, randomized, controlled design to compare adherence (at 12-week follow-up) of patients receiving an MI intervention with those receiving a dose-matched HIV informational control program (Fig. 1). Participants in both groups received 3 components: (1) a 20-minute audiotape and booklet immediately before seeing their medical provider, (2) 2 one-on-one sessions with a health educator at weeks 4 and 8 of follow-up, and (3) a mailing 2 weeks after each individual session. Control group materials were comparable to the intervention in length and format to control for the placebo effect of exposure<sup>25,42,43</sup> but provided general HIV information only. Intervention assignment was concealed from providers and research assistants (RAs) collecting baseline, week 4, and week 12 data.

#### **MI Intervention**

Three masters' degree-level health educators received a series of standardized workshops on MI over 2 months (3 days for 24 hours), conducted by members of the Motivational Interviewing Network of Trainers. The MI intervention<sup>28,36</sup> focuses on concerns that the patient identifies as salient; the counselor uses techniques such as reflective listening and issue reframing to raise patients' awareness of their thoughts/feelings about adherence and to develop medication self-management skills. A standardized MI guide composed of 7 main steps was used (described in detail previously).<sup>36</sup> Confidential booster letters reviewing issues raised in the MI session were sent 2 weeks after each session.

#### **MI** Quality Assurance and Fidelity to the Protocol

All MI sessions were audiotaped. The principal investigator (C.G., a member of the Motivational Interviewing Network of Trainers) reviewed the tapes and conducted feedback sessions at biweekly in-service trainings. At the end of each MI session, the health educators

recorded the content of each of the 7 protocol steps on an MI data recording sheet (validated with 86% agreement with audiotapes on a 20% sample of sessions by an independent reviewer). Most sessions achieved MI quality benchmarks.<sup>38</sup>

#### Sample

We screened medical records of all scheduled patients at a large academic center's Infectious Diseases Clinic providing primary HIV care to patients from throughout rural and urban North Carolina. Patients were eligible who (1) attended the clinic at our study site between May 2001 and May 2003 and who were (2) HIV-infected, (3) were older than 18 years old, (4) had previously consented to be contacted for potential participation in research studies (>90% of patients in this clinic have so consented), (5) either a new patient or had (a) a 0.5-log increase in viral load in the last 6 months, (b) an increase in viral load from undetectable to >200 copies/mL, (c) a failure to *drop* at least 0.5 log after 60 days on new drug regimen OR, (d) the medical record stated that the patient was being considered for initiation of ART. Patients meeting preliminary criteria were contacted by telephone and scheduled for a study visit an hour before their clinic appointment. Final eligibility was confirmed when patients were placed on antiretroviral medication.

#### **Study Endpoints**

The primary endpoint was "mean adherence level (% of prescribed doses take in the prior month) at the week 12 visit." For the few patients who were taken off all ART medication by their physician at week 8 (n = 11), we used the adherence level obtained at the week 8 visit. We also compared groups regarding (1) the change in mean adherence from the first 4-week block (weeks 0–4) to the third 4–week block (weeks 8–12), (2) the percentage of patients achieving >95% adherence at the third 4-week block, (3) change in viral load from baseline to exit, and (4) the change in weekly mean adherence from baseline through the last 4-week block.

#### **Data Collection and Study Procedures**

Before their medical visit and intervention assignment, participants gave informed consent and completed a baseline interview. Then participants listened to the audiotape with followalong booklet. Control and intervention audiotapes were visually identical. After the medical visit, participants informed the RA of medication changes made during the medical visit, completed a brief postvisit interview, had blood drawn, and placed an electronic cap (eDEMS-Electronic Drug Event Monitoring System) on one ARV (antiretroviral) (described below). At weeks 4, 8, and 12, all participants completed a follow-up survey and had their pills counted and their eDEMS downloaded. Patients received compensation for completing surveys but not for participating in the intervention. The University of North Carolina at Chapel Hill Internal Review Board approved all procedures.

#### **Measurement of Adherence**

Using a previously validated method,<sup>1</sup> we assessed adherence (the number of doses taken divided by the number of doses prescribed over a 4-week period) by a composite adherence score (CAS) incorporating 3 measures: pill counts, self-reported adherence, and data from an eDEMs cap containing a microchip that recorded each instance of bottle opening. We used a standardized algorithm to determine which medication we would monitor with eDEMS: the cap was placed on a protease inhibitor (PI) if the patient were on a PI, an NNRTI if no PI were available, and an NRTI if neither PI nor NNRTI were available. The RA also counted the participant's remaining ART pills. Self-reported adherence for the 3 days before the study visit was assessed by asking: "We know that it is hard for most people to take their medicine perfectly all the time. Thinking of yesterday/the day before yesterday/

the day before that, how many times did you miss a dose of [Medicine X]?" Responses were confirmed by a secondary question.

As described elsewhere,<sup>1</sup> because eDEMS records each bottle opening, it is most closely related to medication dosing and was used as the foundation of CAS. Patients were given detailed instructions about how to use the eDEMS cap but were encouraged to use pillboxes, if desired. Inaccuracies in eDEMS (due to pillbox use or otherwise) were identified by consensus of a group of 4 researchers (C.G., C.P., H.T., L.H.) on a case-by-case basis using the results of the standardized interviewer-administered form that assessed for (1) medication changes, (2) use of a pillbox or other instances when pills were taken without cap opening, (3) use of medications distributed by other people, (4) medication sharing, (5) lost or damaged bottles and caps, and (6) times of cap-opening without pill taking. In a hierarchical fashion, when eDEMS values were missing or inaccurate, CAS values were obtained from calibrated pill count adherence estimates instead of eDEMS. If the pill count information was also missing or deemed inaccurate (such as with pill dumping OR lack of accurate dispensing dates), CAS was obtained from calibrated self-reported adherence values.

Most CAS measures were based on eDEMS data alone (69%). Before use in the CAS computation, pill count and self-reported adherence values were calibrated to eDEMS values using separate repeated measures longitudinal models for each (see Appendix 1). Calibration was performed because when eDEMS, pill count, and self-report were available for the same 4-week block, pill count and self-report were regularly higher than eDEMS as has also been shown in prior studies.<sup>1</sup>

#### Viral Load

Baseline and exit viral load levels were assessed using the Roche COBAS AMPLICOR HIV-1 MONITOR v1.0 (before February 2003) v1.5 (after February 2003).

#### **Covariates Measured**

At each study visit, we used scales developed and utilized in previous studies<sup>21,44</sup> to assess potential moderating and mediating factors (patient and regimen characteristics, features of the patient-provider relationship, and socio-environmental factors) (Table 1). We assessed demographic, clinical, and psychosocial factors (self-efficacy to take medication as prescribed<sup>21,44</sup> and coping style<sup>45</sup>). We also assessed patients' regimen fit with their lifestyles,<sup>21,44</sup> access to ART,<sup>44,46</sup> medication side effects, and difficulties understanding and remembering medications. We used previously validated scales to assess satisfaction with medical care,<sup>47</sup> trust in the provider,<sup>48,21</sup> and the perception that the provider facilitated patient involvement.<sup>49</sup> Finally, we measured the stigma perceived to be associated with taking ART, perceived environmental stress,<sup>50</sup> available social support,<sup>21,51</sup> and whether patients had case managers.

#### **Process Evaluation**

To assess fidelity and adherence to the intervention, at exit, we asked participants in both arms of the trial to freely list the following: (1) things they liked about the sessions, (2) any goals that they had set, (3) any strategies that they had developed, and (4) things that had helped them achieve their goals. Two RAs independently coded the responses to these free-list questions using an iteratively derived manual of operationally defined codes (reliability >85% agreement).

#### **Statistical Analyses**

To characterize our sample, we graphed distributions of important variables and calculated summary statistics. We compared the demographic characteristics of those agreeing versus declining participation in the study.

Statistical analyses were performed using SAS 8.2 (Cary, NC). Time-varying CASs over time were computed using repeated measures gamma regression models.<sup>55</sup> Testing of statistical differences of mean adherence levels at exit was based on gamma regression. For the subsample of those who had valid eDEMS readings (N = 81), the weekly mean adherence over time was also compared by repeated measures gamma regression model.

Chi-square test was used to assess the effect of experimental group assignment on the proportion of patients achieving >95% adherence and those achieving an undetectable viral load. The changes in mean adherence from weeks 0 to 4 to weeks 8 to 12 were evaluated by Wilcoxon rank sum test. We also conducted multivariate analyses of factors, including the intervention arm, associated with adherence. Because of our sample size, we did not include all variables from Table 1 in the multivariate analysis but rather selected a more parsimonious model from an a priori conceptual model based on prior studies of factors associated with ART adherence. Of all the factors in the original multivariate model (baseline eDEMS, age, gender, race, exit viral load, alcohol and drug use, a belief that "medicines were worth taking," and ever having a psychiatric diagnosis), only ethnicity affected ART adherence and so was the only factor kept in the final model. We assessed changes in mediating factors from baseline to exit by  $\chi^2$  test or Mantel-Haenszel  $\chi^2$  test for categorical measurements and paired *t* test or Wilcoxon rank sum test for continuous measures.

#### RESULTS

#### **Participation and Dropouts**

Of the 1078 patients who we screened over 2 years, 397 met initial eligibility criteria; of these, we were able to contact 230 (Fig. 2). Of these, 155 agreed to participate; 77 were randomized to received MI and 78 to the educational control group. Seventy-four of the intervention group members and 66 of the control group members were placed on medication by their provider; 59 and 58 in each group, respectively, completed the study. Median length of follow-up was 13 weeks (mean 14 weeks). There were no statistically significant differences in the rate of dropout at any time point in the study by intervention assignment. Reasons for loss to follow-up included illness (1 MI, 1 control), death (1 control), incarceration (1 MI), homelessness and other social issues (1 control, 3 MI), loss of transportation (1 control), work commitments (1 MI), changed clinics (1 control), and unable to contact (7 MI, 3 control).

#### Sample Characteristics at Baseline

Sample characteristics are reported in Table 1. Seventy-seven percent were on antiretroviral medication at the time of enrollment; the mean length of time on ART for the entire group was 93 months. Thirteen percent of those in the intervention group and 12% of those in the control group were initiating therapy. The mean adherence for patients beginning therapy for the first time was 75.3%, and for those failing therapy, it was 73.6% (P=0.9019), with no evidence of an interaction effect on adherence between patients' intervention arm and whether they had initiated therapy (P=0.3845).

#### Differences in Mean Adherence Level at Exit and in Changes in Adherence Over Time

From week 4 to exit at week 12, the MI group's mean adherence improved by 4.5% compared with a 3.83% decrease in the control group's adherence (P= 0.10). Among those with valid eDEMS data available (N = 81), the plot of weekly adherence over time showed an increase in adherence in the intervention group and a decline in adherence in the control group (P= 0.0924) (Fig. 3). In the treatment group, 29% achieved >95% adherence compared with only 17% in the control group (P= 0.13). When we controlled for ethnicity, the intervention group had 2.75 times higher odds of achieving more than 95% adherence than did the controls [P= 0.045; 95% confidence interval (CI): 1.023, 7.398]. Of note, African Americans had 0.353 odds of achieving at least 95% adherence at week 12 compared with patients of other races [P= 0.0393; 95% CI: 0.131, 0.952]. We found no interaction between race and the effect of the intervention on adherence (P= 0.6169). In the intent-to-treat analysis, the mean adherence at study exit for the intervention group was 76% (SD = 27%) versus 71% (SD = 27%) for the control group (P= 0.62). (Adherence at week 12 was correlated with viral load, with Pearson correlation coefficient being -0.23 [P= 0.017].)

#### Viral Load

There was no statistically significant difference in change in viral load ( $\log_{10}$  scale) between the intervention [mean change from baseline to exit -0.685; SD 1.47; (95% CI: -1.094, -0.276)] and the control groups [mean change from baseline to exit -0.690; SD 1.06; (95% CI: -0.985, -0.395)]. Fifty-two percent of those in the intervention group and 44% in the control group achieved an undetectable viral load.

#### Psychosocial Mediating Variable Outcomes by Treatment Group and Difference in Process Measures by Treatment Group

At exit, 92% of those in the intervention group versus only 79% of controls felt that their ART medications were definitely worth taking (Table 2). Further, those in the intervention group had a greater increase in their beliefs that ART was definitely worth taking than did those in the control group (P = 0.04). Second, patients in the intervention group also had a more marked decrease (from baseline to exit) in their use of avoidant coping (-2.33) than did those in the control group (-1.0) (P = 0.0629). The proportion of patients who perceived easy access to getting prescriptions filled increased more in the MI group (from 44% to 79%) than the controls (from 36% to 55%; P = 0.013 for the difference between groups). On average, the MI group had a greater decrease in social support than did the control group (P = 0.02). The mean number of days marijuana was used decreased in the MI group by 0.61 days, whereas it increased by 0.51 days in controls (P = 0.17).

For 7 of 9 measures (Table 3), a statistically significantly greater proportion of those in the MI group reported carrying out behaviors related to improving adherence (P < 0.05) such as setting a larger number of goals and strategies (particularly strategies related to medication taking).

#### DISCUSSION

We sought to implement and test an MI-based intervention to improve adherence among a group of HIV-infected patients failing their current treatment regimen. The theory-based<sup>28-36</sup> MI intervention we tested had been evaluated previously for feasibility and acceptability to our clinic population.<sup>36</sup> The interventionists had extensive training in MI skills and technique. In a prior publication, we demonstrated our ability to provide high-quality MI,<sup>38</sup> and in this study, we carried out a number of steps to ensure its quality and fidelity. The statistically significant differences in process measures between the 2 treatment

regimens, such as the number of goals set and number of strategies used to achieve goals, support the premise that participants were engaged and active in the intervention. ART adherence improved on average in the intervention group and decreased in the control group. The decrease in control group adherence is consistent with other studies showing that medication adherence decays over time.<sup>59,60</sup> In spite of the trends we saw, however, we did not definitively demonstrate the efficacy of the MI-based intervention at 12 weeks.

Consistent with our conceptual model,<sup>28,36</sup> among those who received the intervention, we observed greater improvements for the control group in mediating variables related to the enhancement of both motivation (attitudes toward medications) and self-efficacy (coping style and perceived medication access). As with other studies of medication self-management programs, both coping, skills development, and enhancing access to resources facilitated self-management.<sup>61,62</sup> Greater improvement in the MI group's abilities to get their prescriptions filled likely occurred because of the counselor helping clients develop strategies for accessing their medications. These changes in attitudes, coping, and perceived access to care are probably related to intervention patients' greater improvements in adherence during the study. On the other hand, we were surprised to find that patients in the intervention group decreased their levels of perceived social support. Possibly, support offered by the MI counselor reduced patients' needs for additional support during the time they saw the counselor.

Despite the great need for adherence interventions, few studies have provided definitive evidence for the efficacy of specific interventions to improve and support ART adherence.<sup>2,14,22,23,52-54</sup> In a recent review of 11 ART adherence interventions, Fogarty et al reported mixed results.<sup>2</sup> One psychoeducational intervention by Tuldra et al resulted in a higher self-reported adherence level and lower viral load in the experimental group (94%) compared with controls (69%) in the "as treated" but not "intent-to-treat" analysis, but only after 6 months of treatment and follow-up.<sup>14</sup> Others found a significant effect on selfreported adherence of a multidisciplinary team-delivered educational intervention at 6 but not 12 or 18 months for patients receiving an adherence education program.<sup>52,53</sup> Weber et al, in a trial of a cognitive intervention among 60 HIV-infected patients with undetectable (<50 copies/mL) viral loads found that although no differences existed in mean electronically measured adherence levels, 70% of patients in the intervention group had >95% adherence compared with only 50% in the standard care group.<sup>54</sup> Safren et al (n = 70) showed that patients receiving a paging reminder system tended to have greater improvements in adherence from baseline at 12 weeks than did controls; however, adherence in both groups was poor.58

Similarly, in our study, although those in the treatment group improved more over time, both groups had poor adherence. This finding may partly reflect our study population: most patients had already failed at least one regimen, and hence, they may have responded less readily to an intervention. This possibility underscores the importance of establishing effective adherence strategies early in therapy. Alternatively, the participants in this study may have faced challenges to adherence particularly difficult to overcome regardless of when an intervention is initiated. Our findings underscore the difficulty in developing interventions to change a complex behavior like ART medication taking. Furthermore, ART adherence is not only complex but lifelong. Some studies have shown the need to administer adherence interventions for a longer period of time (some >6 months) before an effect is seen,<sup>14</sup> and it may be that our intervention was not administered long enough or frequently enough to demonstrate its full effect. The pattern of adherence that we found showed peaks for both groups at weeks 5 and 10 (with much greater peaks for the intervention group) and, by week 12, the difference between the 2 groups had diminished. It is interesting to note that the peaks in adherence followed exposure to the MI and were consonant with receipt of the

Page 8

booster letter by the intervention group. These observations are consistent with prior studies demonstrating decay of adherence over time without an intervention<sup>1,56,57,61</sup> but suggest the need for continuous, ongoing interventions to maintain behavior change. Although ART adherence is a lifelong process, because it is costly and challenging to change, we chose to administer our intervention and evaluate its effects for only 12 weeks in this first trial of the PACT intervention. Our findings imply that future interventions need to be more intensive, longer lasting, and tested in larger study populations.

Our study has several limitations. First, 33% of patients we approached declined to participate. This level of nonparticipation has the potential to reduce the external validity of our study, although it was reassuring that those who declined to participate were similar to participants on demographic characteristics. It is difficult to predict in what other ways nonparticipants might have differed from participants. Nonparticipants most often cited time constraints and lack of transportation as reasons they declined participation. Such nonparticipants might also face greater barriers to adherence. Alternatively, patients decline participation because they do not perceive having a problem adhering and, therefore, respond less than do participants to an intervention. Second, some participants did not complete the study; however, 83% did complete it, and we found no statistically significant differences in dropouts by treatment group. Third, although we used a previously validated, objective assessment of adherence, measuring adherence remains a challenge. Although some measurement error may exist, using an objective adherence measure, we would expect this error to occur similarly in both groups, introducing only a bias toward the null. We did not demonstrate any statistically significant effect of the intervention on plasma viral load. Because many of our participants had previously failed therapy, this finding is likely explained by preexisting virologic resistance to ART. Finally, our sample size did not provide as much power as a larger study would have to detect small statistically significant differences between treatment groups.

Despite these limitations, although not definitive, this study provides some evidence that MI offers an effective approach to improving adherence. A study able to build MI into the intervention early for longer than 3 months and for a larger number of patients might show more of an effect where this one was unable to.

#### Acknowledgments

The authors thank Lily Gilmore, Monica Adamian, and Lara Shain for their technical and administrative assistance.

Dr Golin's salary and this study were supported by NIMH grant no. K23 MH01862-01. We acknowledge the support of NIH #RR00046, #P30 AI050410, and #DK56350-01.

#### **APPENDIX 1**

\*The calibration models can be expressed as:

 $Y_i = X_i \alpha + \gamma_i \dots$  for  $i = 1, \dots, n$ 

where  $Y_i$  is a *w* by 1 vector of eDEMS values, and *w*, ranging from 1 to 3, is the number of 4-week blocks available for the *i*th patient.  $X_i$  is a *w* by 3 matrix with the following columns: an intercept constant, time (4-week block), and Pill Count (PC) or self-reported adherence (INT) value.  $\gamma_i$  is the error term with a mean of 0 and covariance structure  $\Sigma_i$ . This covariance structure takes into account both intrapatient correlation and random variation across patients.

#### References

- Liu HH, Golin CG, Miller L, et al. A comparison of multiple measures of adherence to HIV protease inhibitors. Ann Intern Med. 2001; 134:968–977. [PubMed: 11352698]
- Fogarty L, Roter D, Larson S, et al. Patient adherence to HIV medication regimens: a review of published and abstract reports. Patient Educ Couns. 2002; 46(2):93–108. [PubMed: 11867239]
- Altice F, Friedland G. The era of adherence to HIV therapy. Ann Intern Med. 1998; 129(6):503– 505. [PubMed: 9735090]
- 4. Wainberg M, Friedland G. Public health implications of antiretroviral therapy and HIV resistance. JAMA. 1998; 279(24):1977–1983. [PubMed: 9643862]
- Bangsberg DR, Hecht FM, Charlebois ED, et al. Adherence to protease inhibitors, HIV-1 viral load, and development of drug resistance in an indigent population. AIDS. 2000; 14(4):357–366. [PubMed: 10770537]
- Weidle PJ, Ganera CE, Irwin KL, et al. Adherence to antiretroviral medications in an inner-city population. JAIDS. 1999; 22:498–502. [PubMed: 10961612]
- Holzemer W, Henry S, Portillo CJ, et al. The Client Adherence Profiling-Intervention Tailoring (CAP-IT) intervention for enhancing adherence to HIV/AIDS medications: a pilot study. J Assoc Nurses AIDS Care. 2000; 11(1):36–44. [PubMed: 10670005]
- Murphy D, Roberts K, Martin DJ, et al. Barriers to antiretroviral adherence among HIV-Infected adults. AIDS Patient Care. 2000; 14(1):47–58.
- Chesney M, Ickovics J, Chambers D, et al. Self-reported adherence to antiretroviral medications among participants in HIV clinical trials: the AACTG adherence Instruments. AIDS Care. 2000; 12(3):255–266. [PubMed: 10928201]
- Murri R, Ammassari A, Gallicano K, et al. Patient-reported nonadherence to HAART is related to protease inhibitor levels. JAIDS. 2000; 24:123–128. [PubMed: 10935687]
- Catz S, Kelly J, Bogart L, et al. Patterns, correlates, and barriers to medication adherence among persons prescribed new treatments for HIV disease. Health Psychol. 2000; 19(2):124–133. [PubMed: 10762096]
- Holzemer WL, Corless IB, Nokes KM, et al. Predictors of self-reported adherence in persons living with HIV disease. AIDS Patient Care STDs. 1999; 13(3):185–197. [PubMed: 10375267]
- Kalichman SC, Ramachandran B, Catz S. Adherence to combination antiretroviral therapies in HIV patients of low health literacy. J Gen Intern Med. 1999; 14:267–273. [PubMed: 10337035]
- Tuldra A, Fumaz C, Ferrer MJ, et al. Prospective randomized two-arm controlled study to determine the efficacy of a specific intervention to improve long-term adherence to HAART. JAIDS. 2000; 25:221–228. [PubMed: 11115952]
- Gifford A, Bormann J, Shively M, et al. Predictors of self-reported adherence and plasma HIV concentration in patients on multidrug antiretroviral regimens. JAIDS. 2000; 23:386–395. [PubMed: 10866231]
- Roberts KJ. Barriers to and facilitators of HIV-positive patients' adherence to antiretroviral treatment regimens. AIDS Patient Care STDS. 2000; 14:155–168. [PubMed: 10763545]
- Gordillo V, del Amo J, Soriano V, et al. Sociodemographic and psychological variables influencing adherence to antiretroviral therapy. AIDS. 1999; 13:1763–1769. [PubMed: 10509579]
- Proctor VE, Tesfa A, Tompkins DC. Barriers to adherence to highly active antiretroviral therapy as expressed by people living with HIV/AIDS. AIDS Patient Care STDS. 1999; 13:535–544. [PubMed: 10813033]
- 19. Meystre-Agustoni G, Dubois-Arber F, Cochand P, et al. Antiretroviral therapies from the patient's perspective. AIDS Care. 12(6):17–721.
- Turner B, Newschaffer C, Zhang D, et al. Antiretroviral use and pharmacy-based measurement of adherence in postpartum HIV infected women. Med Care. 2000; 38(9):911–925. [PubMed: 10982113]
- 21. Golin CE, Lui H, Hays R, et al. A prospective study of predictors of adherence to combination antiretroviral medication. J Gen Intern Med. 2002; 17(9):1–10. [PubMed: 11903770]
- 22. Simoni JM, Frick PA, Pantalone DW, et al. Antiretroviral adherence interventions: a review of current literature and ongoing studies. Top HIV Med. 2003; 11(6):185–198. [PubMed: 14724327]

- 23. Haddad M, Inch C, Glazier RH, et al. Patient Support and Education for Promoting Adherence to Highly Active Antiretroviral Therapy for HIV/AIDS (Cochrane Review). Cochrane Database System Review. 2002 CD001442.
- 24. Haynes, RB.; Taylor, DW.; Sackett, DL. Compliance in Health Care. Baltimore: Johns Hopkins University Press; 1979.
- 25. Roter DL, Hall HA, Merisca R, et al. Effectiveness of interventions to improve patient compliance: A meta-analysis. Med Care. 1998; 36:1138–1161. [PubMed: 9708588]
- 26. Golin CE, DiMatteo MR, Gelberg L. The role of patient participation in the doctor visit. Implications for adherence to diabetes care. Diabetes Care. 1996; 19:1153–1164. [PubMed: 8886566]
- Greenfield S, Kaplan SH, Ware JE Jr, et al. Patients' participation in medical care: effects on blood sugar control and quality of life in diabetes. J Gen Intern Med. 1988:448–457. [PubMed: 3049968]
- 28. Miller, WR.; Rollnick, S. Motivational Interviewing: Preparing People for Change. 2. New York: Guilford Press; 2002.
- Emmons KM, Rollnick S. Motivational interviewing in health care settings. Opportunities and limitations. Am J Prev Med. 2001; 20(1):68–74. [PubMed: 11137778]
- Harding R, Dockrell MJ, Dockrell J, et al. Motivational interviewing for HIV risk reduction among gay men in commercial and public sex settings. AIDS Care. 2001; 13:493–501. [PubMed: 11454270]
- Resnicow K, Jackson A, Wang T, et al. A motivational interviewing intervention to increase fruit and vegetable intake through Black churches: results of the Eat for Life trial. Am J Public Health. 2001; 91(10):1686–1693. [PubMed: 11574336]
- Thevos AK, Quick RE, Yanduli V. Motivational interviewing enhances the adoption of water disinfection practices in Zambia. Health Promot Int. 2000; 15(3):207–214.
- Kemp R, Kirov G, Everitt B, et al. Randomised controlled trial of compliance therapy. 18-month follow-up. Br J Psychiatry. 1998; 172:413–419. [PubMed: 9747403]
- Burke BL, Arkowitz H, Menchola M. The efficacy of motivational interviewing: a meta-analysis of controlled clinical trials. J Consult Clin Psychol. 2003; 71(5):843–861. [PubMed: 14516234]
- 35. Dunn C, Deroo L, Rivara FP. The use of brief interventions adapted from MI across behavioral domains: a systematic review. Addiction. 2001; 96:1725–1742. [PubMed: 11784466]
- Adamian MS, Golin CE, Shain LS, et al. Brief motivational interviewing to improve adherence to antiretroviral therapy: development and qualitative pilot assessment of an intervention. AIDS Patient Care STDS. 2004; 18(4):229–238. [PubMed: 15142353]
- DiIorio C, Resnicow K, McDonnell M, et al. Using motivational interviewing to promote adherence to antiretroviral medications: a pilot study. J Assoc Nurses AIDS Care. 2003; 14(2):52– 62. [PubMed: 12698766]
- Thrasher A, Golin CE, Earp JA, et al. Motivational Interviewing to Improve Antiretroviral Adherence: The Role of Quality Assessment. Patient Educ Couns. 2005 Jul 13.
- Britt E, Hudson SM, Blampied NM. Motivational interviewing in health settings: a review. Patient Educ Couns. 2004; 53(2):147–155. [PubMed: 15140454]
- 40. Deleted in proof.
- Bandura A. self-efficiency: toward a unifying theory of behavioral change. Psychol Rev. 1977; 84:191–215. [PubMed: 847061]
- 42. Anderson L. Health care communication and selected psychological adherence in diabetes management. Diabetes Care. 1990; 13:66–67.
- Macharia WM, Leon G, Rowe B, et al. An overview of interventions to improve compliance with appointment keeping for medical services. JAMA. 1992; 267:1813–1817. [PubMed: 1532036]
- 44. Wenger, N.; Gifford, A.; Liu, H., et al. Patient characteristics and attitudes associated with antiretroviral (AR) adherence. 6th Conference on Retroviruses and Opportunistic Infections; 1999.
- 45. Fleishman JA, Sherbourne CD, Crystal S, et al. Coping, conflictual social interactions, social support and mood among HIV-infected persons. Am J Com Psych. 2000; 28:421–453.

- 46. Cunningham WE, Markson LE, Andersen RM, et al. Prevalence and predictors of highly active antiretroviral therapy use in patients with HIV infection in the United States. HCSUS Consortium. JAIDS. 2000; 25:115–123. [PubMed: 11103041]
- 47. Hays RD, Shaul JA, Williams VSL, et al. Psychometric properties of the CAHPSTM 1.0 Survey measures. Consumer Assessment of Health Plans Study. Med Care. 1999; 37:MS22–MS31. [PubMed: 10098556]
- Kao AC, Green DC, Davis NA, et al. Patients' trust in their physicians: effects of choice, continuity, and payment method. J Gen Intern Med. 1998; 13:681–686. [PubMed: 9798815]
- 49. Kaplan SH, Greenfield S, Gandek B, et al. Characteristics of physicians with participatory decision-making styles. Ann Intern Med. 1996; 124(5):497–504. [PubMed: 8602709]
- 50. Cohen S, Kamarck T, Mermelstein R. A global measure of perceived stress. J Health Soc Behav. 1983; 24:385–396. [PubMed: 6668417]
- 51. Sherbourne CD, Stewart AL. The MOS social support survey. Soc Sci Med. 1991; 32(6):705–714. [PubMed: 2035047]
- Levy RW, Rayner CR, Fairley CK, et al. Melbourne Adherence Group. Multidisciplinary HIV adherence intervention: a randomized study. AIDS Patient Care STDS. Dec; 2004 18(12):728– 735. [PubMed: 15659884]
- Goujard C, Bernard N, Sohier N, et al. Impact of a patient education program on adherence to HIV medication: a randomized clinical trial. J Acquir Immune Defic Syndr. Oct 1; 2003 34(2):191– 194. [PubMed: 14526208]
- Weber R, Christen L, Christen S, et al. Swiss HIV Cohort Study. Effect of individual cognitive behaviour intervention on adherence to antiretroviral therapy: prospective randomized trial. Antivir Ther. Feb; 2004 9(1):85–95. [PubMed: 15040540]
- 55. McCullagh, P.; Nelder, JA. Generalized Linear Models. 2. London: Chapman & Hall; 1989.
- 56. Green LW. How physicians can improve patients' participation and maintenance in self-care. West J Med. 1987; 147:346–349. [PubMed: 3673072]
- Cramer JA, Scheyer RD, Mattson RH. Compliance declines between clinic visits. Arch Intern Med. 1990; 150:1509–1510. [PubMed: 2369248]
- 58. Safren SA, Hendriksen ES, Desousa N, et al. Use of an on-line pager system to increase adherence to antiretroviral medications. AIDS Care. Dec.2003 15:787–793. [PubMed: 14617500]
- 59. Green LW. How physicians can improve patients' participation and maintenance in self-care. West J Med. 1987; 147:346–349. [PubMed: 3673072]
- Cramer JA, Scheyer RD, Mattson RH. Compliance declines between clinic visits. Arch Intern Med. 1990; 150:1509–1510. [PubMed: 2369248]
- Fisher EB, Brownson CA, O'toole ML, et al. Ecological approaches to self-management: the case of diabetes. Am J Public Health. 2005; 5:1523–1535. [PubMed: 16051929]
- 62. Currie GP, Devereux GS, Lee DK, et al. Recent developments in asthma management. BMJ. 2005 Mar 12; 330(7491):585–589. [PubMed: 15761000]



**FIGURE 1.** Study design.

**NIH-PA** Author Manuscript









#### TABLE 1

Sociodemographic, Clinical, and Psychosocial Characteristics of the Study Sample by Intervention Group

		Tre	eatmen	t
		Intervention		Control
Variables	n	Percent or Mean (SD)	n	Percent or Mean (SD)
Age	68	39.91 (8.77)	66	40.46 (8.29)
Male gender (%)	72	69.4%	65	63.1%
Ethnicity (%)	69		66	
African American		76.8%		54.6%
White		14.5%		30.3%
Other		8.7%		15.2%
Income (mean dollars/y)	67	\$17,082 (18,730)	64	\$20,359 (22,445)
Education (%)	70		66	
some high school		30.00%		15.15%
High school graduate/Graduate Equivalent Diploma (GED)		31.43%		37.88%
Some college		22.86%		27.27%
College graduate		15.71%		19.70%
Has case manger (%)	63	55.56%	61	40%
Work status (%)	70		66	
Work full/part time		17.1%		19.7%
On disability		62.9%		59.1%
Don't work		20.0%		21.2%
Living with a partner (%)	70	34.29%	66	39.39%
Baseline viral load (log <sub>10</sub> )	67	3.68 (1.13)	63	3.92 (1.15)
Number of months on meds	73	92 (60)	65	94 (53)
Satisfaction with medical care (scale of 1-10)	63	8.92 (1.58)	58	9.00 (1.29)
Emotional Health Related Quality of Life (HRQOL) (mean)	61	21.84 (9.35)	59	20.88 (8.17)
No. of alcohol drinks in past 30 days	67	5.71 (12.48)	65	8.05 (17.33)
No. of days marijuana was used in past 30 days	66	3.05 (7.84)	65	3.18 (8.66)
No. of days cocaine was used in past 30 days	67	0.12 (0.44)	64	0.55 (2.02)
General health status	61		59	
Poor		11.5%		8.5%
Fair		23.0%		28.8%
Good		32.8%		27.1%
Very good/excellent		32.83%		35.5%
High motivation to adhere (%)	65	95.38%	61	91.80%
General social support	61	11.93 (3.13)	59	10.68 (3.94)
Desire to participate in medical decision-making (scale of 1-7)	67	3.55 (2.12)	61	4.05 (2.13)
Beliefs about medications (%)				
Believe ART helps people live longer	65	71%	61	85%
Believe ART makes quality of life much better	66	50%	61	57%
Agree/Strongly Agree that taking ART is too much trouble for benefit	65	25%	60	17%

		Tre	atme	nt
		Intervention		Control
Variables	n	Percent or Mean (SD)	n	Percent or Mean (SD)
Believe ART is definitely worth taking	64	78%	61	77%
Believe will definitely get worse if don't take ART	65	65%	60	73%
Amount of time ART regimen fits with lifestyle (%)	49		46	
A little to none (%)		68%		73%
Access to HIV ART (%)				
Very easy to get ART prescription filled	49	65%	46	55%
Very easy to get more ART if lost them or ran out	48	43%	46	37%
The need to keep ART private gets in the way of taking almost none of the time	49	76%	46	78%
Barriers to Taking ART				
Has experienced side effects from ART	49	55%	46	Yes: 54%
Very/Somewhat hard to remember to take ART	49	26%	46	Very/somewhat hard: 15%
Understand how to take ART	49	82%	46	Understand: 87%
Trust in provider (scale of 5-25)	61	23.74 (2.12)	58	23.48 (2.56)
Coping	61		59	
Positive coping (scale of 6–30)		24.00 (3.88)		22.38 (4.34)
Avoidant coping (scale of 4-20)		12.59 (4.12)		12.48 (3.79)
Information-seeking coping (scale of 2-10)		5.79 (2.37)		5.73 (2.12)
Stress (scale of 5–25)	61	12.38 (5.01)	59	11.78 (3.83)

## **TABLE 2**

Pyschosocial Mediating Factors: Outcomes by Treatment Group

		Treat	ment		
	ī	itervention		Control	
	u	Mean (SD)	u	Mean (SD)	Ρ
Change in number of alcohol drinks in past 30 days $^{*}$	55	0.86 (9.39)	57	4.24 (36.37)	0.4611
Change in number of days marijuana was used in past 30 days	54	-0.61 (3.86)	57	0.51 (3.70)	0.1688
Change in number of days cocaine was used in past 30 days $^{*}$	55	0.13 (0.75)	57	-0.40 (1.92)	0.3793
Change in self-efficacy $^{*}$	30	-0.27 (1.44)	35	-0.57 (1.65)	0.2877
High motivation to adhere $(exit)^{\vec{T}}$	60	93.3%	56	87.5%	0.2839
(Controlling for baseline) $\sharp$					0.3641
Change in general social support $^{st}$	49	-0.5 (3.59)	52	0.71 (3.28)	0.0212
Change in desire to participate in medical decision making $^{st}$	55	0.36~(1.80)	54	-0.20 (2.01)	0.1975
Change in beliefs about ART					
Believe ART helps person live longer $(exit)^{ec{T}}$	59	79.66%	56	85.71%	0.3920
(Controlling for baseline) $\sharp$					0.7747
Believe ART makes quality of life much better (exit) ${}^{\!\!\!\!/}$	60	66.67%	56	51.79%	0.1028
(Controlling for baseline) $\sharp$					0.0884
Believe Taking ART is too much trouble for benefit (exit) $^{\$}$	60		57		0.9303
Strongly disagree		55.00%		54.39%	
Disagree		36.67%		36.84%	
Agree/Strongly Agree		8.33%		8.77%	
(Controlling for baseline) $^{\parallel}$					0.2227
Believe ART is definitely worth taking $(exit)^{\dagger}$	60	91.67%	57	78.95%	0.0510
(Controlling for baseline) $\sharp$					0.0421
Believe will definitely get worse if don't take ART (exit) $\stackrel{\star}{ au}$	60	75.00%	57	80.70%	0.4584
(Controlling for baseline) $\sharp$					0.7824
Amount of time ART regimen fits with lifestyle (%)	54		52		0.3827

		Treat	ment		
	I	Itervention		Control	
	u	Mean (SD)	u	Mean (SD)	Ρ
None of the time		55.56%		49.15%	
Little of time		22.22%		26.92%	
Some/most/all of the time		22.22%		26.92%	
(Controlling baseline) $l$					0.6917
Access to ART					
How easy or hard to get ART prescription filled (exit)	53		53		0.0132
Very/somewhat hard		11.32%		24.53%	
Somewhat easy		9.43%		20.75%	
Very easy		79.25%		54.72%	
(Controlling baseline) $ll$					0.1252
How easy to get more ART if lost or ran out $(exit)^{\hat{S}}$	54		50		0.8333
Very/somewhat hard		20.37%		16.00%	
Somewhat easy		24.07%		36.00%	
Very easy		55.56%		48.00%	
(Controlling baseline) $^{/\!\!/}$					0.7276
Extent that a need for privacy interferes with taking ART $(\text{exit})^{\hat{S}}$	54		52		0.9370
Almost none of time		81.48%		86.54%	
Some of the time		14.81%		3.85%	
Most/nearly all of the time	54	3.70%		9.62%	
(Controlling for baseline stigma/privacy) $ll$					0.2613
Experienced side effects during study (exit) ${}^{\!\!\!/}$	54	53.70%	52	38.46%	0.1173
(Controlling baseline) $\sharp$					0.9100
Barriers to taking ART					
How hard or easy it is to remember to take ART $(exit)^{S}$	54		52		0.5074
Very/somewhat hard		7.41%		5.77%	
Fairly easy		29.63%		25.00%	
Very easy		62.69		69.23%	

Page 18

**NIH-PA** Author Manuscript

**NIH-PA** Author Manuscript

**NIH-PA** Author Manuscript

**NIH-PA** Author Manuscript

Treatment

Golin e	et al.
---------	--------

	Ц	tervention		Control	
	u	Mean (SD)	u	Mean (SD)	Ρ
(Controlling baseline) $ll$					0.1757
Understands how to take ART during study (exit) ${}^{\!\!\!/}$	54	94.44%	52	90.38%	0.4289
(Controlling baseline) <sup>#</sup>					0.6431
Has a case manager (exit) ${}^{\not  au}$	59	59.32%	57	45.61%	0.1394
(Controlling baseline) <sup>#</sup>					0.9572
Satisfaction with medical care $\overset{*}{}$	51	0.29 (1.66)	51	-0.06(1.58)	0.2812
Trust in provider $^{st}$	49	-0.20 (2.41)	50	-0.14 (3.08)	0.6514
Coping scale *					
Positive	49	-0.55 (3.34)	52	-1.18 (3.28)	0.1462
Avoidant	49	-2.33 (3.05)	52	-1.00 (2.80)	0.0659
Information seeking	49	-0.51 (1.82)	52	-0.92 (2.08)	0.5142
Perceived stress $^*$	49	-1.96 (3.37)	52	-1.00 (3.73)	0.3610
* Wilcoxon rank sum.					
fChi-square.					

 $\sharp$  Stratified Mantel-Haenszel  $\chi^2$ .

 $\hat{s}_{\mbox{Mantel-Haenszel row mean score.}}$ 

 $\parallel$ Stratified Mantel-Haenszel row mean score.

# **TABLE 3**

Characteristics of the Behavior Change Process by Treatment Group

		Treat	ment		
	II	tervention		Control	Ρ
Variables	u	Mean (SD)	u	Mean (SD)	
Number of goals set $^*$	49	1.73 (1.47)	48	1.02 (1.14)	0.0149
% with >1 goal set		73.47%		56.25%	
Number of strategies set $^*$	49	1.59 (1.38)	48	0.90 (1.17)	0.0085
% with >1 strategies set		69.39%		47.92%	
Number of strategies that helped $^{*}$	49	1.24 (1.16)	48	0.88 (1.16)	0.0601
% with >1 strategy that helped		69.39%		47.92%	
	u	Proportion	n	Proportion	Ρ
Proportion who liked having someone to talk with/listen to them $^{\prime}$	49	65.31%	48	41.67%	0.0196
Proportion who set any goals ${}^{\dagger}$	49	73.47%	48	56.25%	0.0756
Proportion who used a medication-related strategy ${\dot {}}^{\dot r}$	49	38.78%	48	14.58%	0.0072
Proportion who set NO strategies ${}^{\not{ au}}$	49	30.61%	48	52.08%	0.0317
Proportion who felt that support helped ${}^{\not{ au}}$	49	46.94%	48	27.08%	0.0430
The proportion who felt that nothing helped ${}^{\!\!\!/}$	49	30.61%	48	52.08%	0.0317
* Wilcoxon rank sum test.					

J Acquir Immune Defic Syndr. Author manuscript; available in PMC 2013 June 03.

 $^{t}\chi^{2}$  test.