

# NIH Public Access

**Author Manuscript** 

Environ Chem. Author manuscript; available in PMC 2013 September 05

Published in final edited form as:

Environ Chem. 2013 June 28; 10(3): 260-268. doi:10.1071/EN13040.

# Houston's rapid ozone increases: preconditions and geographic origins

Evan Couzo<sup>A</sup>, Harvey E. Jeffries<sup>A</sup>, and William Vizuete<sup>A,B</sup>

<sup>A</sup>University of North Carolina, Gillings School of Global Public Health, Chapel Hill, NC 27599, USA

# Abstract

Many of Houston's highest 8-h ozone  $(O_3)$  peaks are characterised by increases in concentrations of at least 40 ppb in 1 h, or 60 ppb in 2 h. These rapid increases are called non-typical O<sub>3</sub> changes (NTOCs). In 2004, the Texas Commission on Environmental Quality (TCEQ) developed a novel emissions control strategy aimed at eliminating NTOCs. The strategy limited routine and shortterm emissions of ethene, propene, 1,3-butadiene and butene isomers, collectively called highly reactive volatile organic compounds (HRVOCs), which are released from petrochemical facilities. HRVOCs have been associated with NTOCs through field campaigns and modelling studies. This study analysed wind measurements and O<sub>3</sub>, formaldehyde (HCHO) and sulfur dioxide (SO<sub>2</sub>) concentrations from 2000 to 2011 at 25 ground monitors in Houston. NTOCs almost always occurred when monitors were downwind of petrochemical facilities. Rapid O<sub>3</sub> increases were associated with low wind speeds; 75 % of NTOCs occurred when the 3-h average wind speed preceding the event was less than 6.5 km  $h^{-1}$ . Statistically significant differences in HCHO concentrations were seen between days with and without NTOCs. Early afternoon HCHO concentrations were greater on NTOC days. In the morning before an observed NTOC event, however, there were no significant differences in HCHO concentrations between days with and without NTOCs. Hourly SO<sub>2</sub> concentrations also increased rapidly, exhibiting behaviour similar to NTOCs. Oftentimes, the SO<sub>2</sub> increases preceded a NTOC. These findings show that, despite the apparent success of targeted HRVOC emission controls, further restrictions may be needed to eliminate the remaining O<sub>3</sub> events.

# Introduction

In 2004, the Texas Commission on Environmental Quality (TCEQ) proposed a novel emissions control strategy to address ozone (O<sub>3</sub>) pollution in Houston.<sup>[1]</sup> This strategy placed limits on emissions of ethene, propene, 1,3-butadiene and butene isomers, collectively called highly reactive volatile organic compounds (HRVOCs), which are released from petrochemical facilities in the industrial ship channel region. The TCEQ's analysis of Houston's O<sub>3</sub> problem – detailed in their 2004 State Implementation Plan (SIP) – showed that many of the highest O<sub>3</sub> peaks were measured following brief periods of concentration increases greater than 40 ppb h<sup>-1</sup> and sometimes greater than 100 ppb h<sup>-1.[1]</sup> These large increases were associated with reactive plumes of HRVOC emissions measured by aircraft in several studies during the Texas Air Quality Study field campaign in 2000.<sup>[2–4]</sup> The TCEQ's proposed HRVOC emissions controls limited both routine emissions and short-term, or 'upset,' releases at facilities with the potential to emit more than ten tons of HRVOCs per year. Exempting all but the largest industrial facilities assumed that smaller HRVOC sources do not have a large effect on rapid O<sub>3</sub> production. The Environmental

<sup>&</sup>lt;sup>B</sup>Corresponding author. vizuete@unc.edu.

Protection Agency approved the TCEQ's SIP, and the emissions controls became Texas state law in 2005.

The 2004 SIP highlighted the significance of rapid  $O_3$  increases to violations of the 1-h federal standard, but these increases also contribute greatly to violations of the 8-h  $O_3$  standard. Many of Houston's highest 8-h  $O_3$  peaks are characterised by sudden increases in concentrations of at least 40 ppb in 1 h, or 60 ppb in 2 h.<sup>[5]</sup> Measurements from 2000 to 2011 show that these increases, called non-typical  $O_3$  changes (NTOCs), increase the likelihood of a monitor violating the 1997 0.08-ppm 8-h  $O_3$  standard.<sup>[6]</sup> Nearly 60 % of days with NTOC measurements violated the 8-h  $O_3$  standard, but just 1 % of typical  $O_3$  days exceeded the federal limit.

The cause(s) of every NTOC is an open question, but evidence linking them to HRVOC emissions has accumulated since the TCEQ's 2004 SIP.<sup>[7–9]</sup> Speciated hydrocarbon measurements found ethene and propene among the most likely<sup>[10]</sup> VOCs to contribute significantly to rapid O<sub>3</sub> production. A recent study found that some chemical flares operate at combustion and destruction efficiencies lower than required by regulation, and that these flares are significant sources of alkenes.<sup>[11]</sup> Furthermore, it is known that large-scale, shortterm HRVOC emissions from industrial sources occur often and with notable temporal variability in Houston.<sup>[12–17]</sup> It is these emissions, in addition to routine HRVOC emissions, that the TCEQ primarily targeted in 2004. Unfortunately, emission upsets are not predictable, and the emissions event database maintained by the TCEQ (http:// www11.tceq.texas.gov/oce/eer/index.cfm, accessed 23 October 2012) contains reports from facilities that are often just best estimates of the VOC releases. At any single facility, HRVOC upsets are rare, and it has been difficult to link specific NTOCs to reported emissions upsets. Although HRVOC emissions and NTOCs have received increased attention, there has not been a comprehensive effort to look at all NTOCs over a long time frame. The studies mentioned above have generally had short windows of data collection corresponding with field campaigns. The limited spatial and temporal scales of most field campaigns reduce the chance of measuring a NTOC and tracing it back to a particular emission event.

The number of ground monitors in Houston has increased since the early 2000s when the NTOC phenomenon was first observed. For example, there were 246 NTOCs measured in 2003, and the largest 1-h increase was 156 ppb. By 2011, the number of NTOCs dropped to 39 with a maximum 1-h O<sub>3</sub> increase of 72 ppb. Despite this increase in spatial coverage, the frequency and magnitude of NTOCs have declined markedly. The most dramatic declines occurred just after the TCEQ implemented targeted HRVOC controls for short-term and routine emissions. NO<sub>x</sub> and mobile source reductions also took effect during this period, however, so it is difficult to disentangle the benefits directly attributable to the HRVOC controls. Notwithstanding the improvements, NTOCs still occur in Houston at monitors that have yet to achieve the 2008 0.075-ppm 8-h O<sub>3</sub> standard. One possible explanation is that the smaller industrial facilities that were exempted from the 2004 HRVOC limits do, in fact, contribute to the NTOC problem. Other potential causes could be meteorological. Air mass recirculation, a stalled sea breeze and entrainment from a rapidly rising planetary boundary layer are all possible explanations for NTOCs. A closer examination of the problem and the factors that lead to NTOC formation is required.

This work considers local meteorological conditions and ambient pollutant concentrations in an attempt to determine the necessary preconditions for the large hourly  $O_3$  increases found in the observational record. The data used in this study date back to 2000, which provides a wide time frame during a dynamic period in which aggressive emission control strategies were implemented. This provides built-in observational sensitivity experiments to help

understand how varying meteorological conditions and industrial emissions affect NTOCs. Using over ten years of measurement data, we have identified candidate days with unusually large hourly  $O_3$  increases. We combine wind field measurements with pollutant observations from a dense network of ground station monitors to determine the necessary conditions and likely geographic origins of the NTOCs. Our analysis examines the assumption made in the TCEQ's 2004 SIP – that HRVOC emissions are responsible for many NTOCs and  $O_3$  violations. Ultimately, a description of the conditions that can lead to NTOCs may help environmental regulators develop effective control strategies that efficiently bring ambient  $O_3$  levels in Houston below the federal standard.

## Experimental

Twenty-five ground station monitors were used in this study, and they are listed in Table 1 with their official names, four-letter abbreviation, TCEQ identification number, Aerometric Information Retrieval System (AIRS) number and measured parameters. These are the same monitors that were used in the TCEQ's 2010 SIP. Monitor locations are shown in Fig. 1. Each of these monitors measures a variety of chemical (e.g. O<sub>3</sub>, SO<sub>2</sub>) and meteorological parameters (e.g. wind speed, wind direction) with a time resolution of 1 h. The red star marks the Sam Houston Tollway Bridge, which is approximately the centre of the ship channel region. These data are maintained by the TCEQ and are available publicly (http://www.tceq.texas.gov/airquality/monops/hourly\_data.html, accessed 13 January 2013). The data record spans 2000–2011, although not all monitors have data that begins in 2000.

Hourly HCHO concentrations for three monitors are available for select days from 2003 to 2011. HCHO values were reported from 0600 to 0800 and 1300 to 1500 hours. These data were obtained from the EPA's Air Quality System (http://www.epa.gov/ttn/airs/airsaqs/, accessed 1 April 2012), which is a repository for ambient air quality data.

A NTOC is defined as an increase in  $O_3$  concentrations of at least 40 ppb in 1 h, or 60 ppb in 2 h. This definition is consistent with our earlier work on NTOCs.<sup>[5,6]</sup>

# Results

This study analysed local wind conditions and concentrations of  $O_3$ , HCHO and  $SO_2$ . The following subsections – meteorology, HCHO and  $SO_2$  – show our analysis of each parameter and how it relates to  $O_3$  and NTOCs.

#### Meteorological analysis

Couzo et al.<sup>[6]</sup> found that most NTOCs are measured at monitors near the ship channel. In Fig. 2, we expand on that finding. These plots show the wind speed and direction that were measured during NTOCs between 2000 and 2011. Each black marker shows a unique event, so the figure also shows the number of NTOCs that were observed at each monitor. These six monitors (CLIN, HALC, HCQA, MACP, TXCT and WALV) were chosen because they represent a full range of geographic diversity in Houston. CLIN is on the western end of the ship channel and near downtown, WALV is north-east of the ship channel and far from downtown, HALC is north-west of the ship channel and north of downtown, HCQA and MACP are southwest of the ship channel and south of downtown and TXCT is south of the ship channel and far from downtown. Although there is some scatter in each plot, they generally show strong preference for a narrow range of wind directions. The black markers are clustered in the direction of the ship channel, which indicates NTOCs almost always occur when winds are blowing from Houston's industrial centre to the monitor. The red arrow points toward the red star in Fig. 1 and, thus, shows the direction of the ship channel relative to each monitor. The grey markers in Fig. 2 show the wind speed and direction that

were measured at the time of peak 1-h  $O_3$  levels on all days. Only data from April to October is shown here. These data do not cluster in the direction of the ship channel meaning that peak  $O_3$  comes from a diversity of directions. In some cases (e.g. HALC and HCQA), peak  $O_3$  levels often occur when the monitor is upwind of the ship channel.

The histograms in Fig. 2 show the distribution of peak 1-h  $O_3$  levels on typical days (grey) and NTOC days (black) for all available data during the  $O_3$  season (April–October) from 2000 to 2011. The distributions show that peak 1-h  $O_3$  levels on NTOC days are shifted towards higher concentrations.

Meteorology is also a critical component of  $O_3$  formation in Houston. The meteorological conditions necessary for high  $O_3$  levels are well known. They have been described in detail and are characterised at surface monitors by low wind speeds and a rotational wind field.<sup>[14,18,19]</sup> Despite the unquestionable importance of meteorology on  $O_3$  formation, an analysis of winds and 8-h  $O_3$  violations in Houston determined that  $O_3$ -conducive meteorological conditions are necessary but insufficient to<sup>[20]</sup> produce high  $O_3$  levels and NTOCs.

NTOCs tend to happen under stagnant or near-stagnant conditions. This is also true for typical high O<sub>3</sub> levels. Distributions of 3-h average wind speed are shown in Fig. 3 for NTOC days (left), days that violated the 1997 0.08-ppm 8-h O<sub>3</sub> standard (middle) and days that did not violate the standard (right). To obtain this average, we used the wind speed from the hour during which peak O<sub>3</sub> levels was observed and measurements from the previous 2 h. A 3-h average was used because it has the effect of smoothing out the hour-to-hour variability and longer averages are a poor indicator of local effects. It is clear that NTOCs occur on days with low wind speeds, lower even than typical high O<sub>3</sub> level days. The 25th, 50th and 75th percentile 3-h average wind speeds preceding NTOCs are 3.4, 4.6 and 6.3 km h<sup>-1</sup>. For typical days that violate the 1997 O<sub>3</sub> standard, those values are 5.9, 8.3 and 12.7 km h<sup>-1</sup>. Days that did not reach the 0.08-ppm standard generally had wind speeds that were slightly lower than violation days. The 25th, 50th and 75th percentile values for non-violation days were 5.4, 7.1 and 10.8 km h<sup>-1</sup>.

Fig. 4 shows, on a fractional basis, the time of day when all NTOCs and peak 1-h  $O_3$  levles are measured for all monitors. NTOCs generally occur in the late morning and into the early afternoon. NTOCs peak at 0900 and 1000 hours with 78 % occurring before 1300 hours. Peak 1-h  $O_3$  levels occur later in the day; 1400 hours is the most frequent time for peak 1-h  $O_3$  levels. More than half (56 %) of all peak 1-h  $O_3$  levels occur at 1200 hours or later.

# Formaldehyde analysis

HCHO is a known marker for photochemical oxidation reactions that lead to  $O_3$  formation; it is also, itself, a precursor to  $O_3$ . Oxidation of HRVOCs produces substantial yields of HCHO. For example, each ethene molecule that is attacked by the hydroxyl radical (OH<sup>•</sup>) produces 1.44 molecules of HCHO.<sup>[21]</sup> Two monitors had 1-h HCHO measurements on NTOC days –CLIN and DRPK. For each monitor, HCHO measurements were separated into two groups according to whether they occurred before or after the measured NTOC. HCHO concentrations were greater following a NTOC. The Mann–Whitney non-parametric statistical test was used to determine whether the differences between HCHO values before and after a NTOC are statistically significant. For both monitors, these differences were statistically significant (CLIN: U =2,  $P \ll 0.01$ ; DRPK: U =36,  $P \ll 0.01$ ). This is not a surprising finding because most NTOCs occur in the late morning. It is expected that HCHO concentrations will rise throughout the photochemical day because it is a product of VOC oxidation. Elevated HCHO concentrations in the morning, though, could be indicative of primary emissions.

Next, we compared distributions of hourly HCHO values on typical and NTOC days at each monitor again using the Mann–Whitney non-parametric test. The test results are shown in Fig. 5. Distributions of 1-h HCHO measurements for NTOC days are shown in black and the distributions for typical O<sub>3</sub> level days are shown in grey. For each monitor, HCHO measurements did not differ significantly in the morning. Results of the Mann–Whitney test show statistical significance in the afternoon, however. At CLIN (Fig. 5a), HCHO concentrations on NTOC days are significantly greater at 1300 (U =3, P=0.032) and 1400 hours (U =39, P=0.012). At DRPK (Fig. 5b), HCHO concentrations on NTOC days are significantly greater at 1400 (U=80.5, P=0.003) and 1500 hours (U =54, P=0.014).

We also looked at  $O_3$  and HCHO levels on specific NTOC days. Fig. 6a shows measured time series data at the CLIN monitor on 23 October 2003. The black line shows 1-h  $O_3$  concentrations, and the black dots show 1-h HCHO values. The shaded regions extend up to the 90th (dark grey) and 95th percentile (light grey) of HCHO concentrations for all typical  $O_3$  level days. In Fig. 6a, there is a 156-ppb increase in  $O_3$  at 1100 hours. Before this NTOC, the morning HCHO measurements were less than the 90th (0600 hours) and 95th percentile (0700 hours) of HCHO concentrations. After the NTOC, though, 1-h HCHO values are well outside these distributions reaching 27 ppb at 1300 hours These results are consistent with Fig. 5a.

Fig. 6b shows measured time series data at the DRPK monitor on 28 June 2006. This NTOC (40-ppb increase) occurred at 0700 hours, then 1 h later, the HCHO concentration was 24 ppb, which is well above the 95th percentile for all DRPK HCHO measurements at that hour. HCHO values for all other times, however, are within shaded regions. Taken together, Figs 5 and 6 indicate that HCHO concentrations rise substantially for a short period following a NTOC, and that this rise is significantly greater than the routine diurnal HCHO cycle.

#### Sulfur dioxide analysis

Many SO<sub>2</sub> measurements exhibit behaviour that looks similar to NTOCs. That is, concentrations of SO<sub>2</sub> increase dramatically from one hour to the next. These non-typical  $SO_2$  increases often occur just before or at the exact moment a NTOC is measured. Fig. 7 shows such an instance at the HROC monitor on 9 July 2005. The figure shows an  $O_3$  (black line) increase of 52 ppb at 1100 hours. During the same hour,  $SO_2$  (red line) values increase from 8.7 to 35.9 ppb. The shaded regions extend up to the 90th (dark grey) and 95th percentile (light grey) of SO<sub>2</sub> concentrations on all typical O<sub>3</sub> level days. At the time of the sudden increase in pollutant concentrations, the SO<sub>2</sub> value is well above the 95th percentile for all HROC SO<sub>2</sub> measurements at that hour. Also in Fig. 7, we show the wind measurements that were taken at HROC on 9 July 2005. The wind barbs along the top of the figure show wind speed and direction for each hour. Half barbs indicate 5 km  $h^{-1}$  and full barbs indicate 10 km  $h^{-1}$  winds; the barbs are additive. Circles indicate stagnant conditions. Leading up to the NTOC, the winds were blowing from the SE direction and with low speeds. Just before the O<sub>3</sub> and SO<sub>2</sub> increase, the winds shift and blow due west. Interestingly, the industrial ship channel is east of the HROC monitor. Thus, the NTOC and sudden increase in SO<sub>2</sub> occurred when the monitor was down-wind of Houston's industrial region.

Fig. 7 is not an isolated occurrence;  $SO_2$  concentrations above the 95th percentile preceded 209 NTOCs out of a total of 367 NTOCs at the six monitors that measure both  $O_3$  and  $SO_2$ . Fig. 8 shows this analysis for these six monitors. The height of the black bars shows the number of NTOCs that were measured at each monitor. The height of the grey bars shows the number of those NTOCs that had an  $SO_2$  concentration above the 95th percentile up to 5 h before the NTOC. With the exception of CLIN, a majority of NTOCs were preceded by

large  $SO_2$  values. At HROC, for example, 55 out of 72 NTOCs were preceded by a significant increase in  $SO_2$  concentrations.

# Discussion

This study benefitted from a long, continuous measurement record from a large number of monitoring stations across Houston. During this period, 2000–2011, there have been significant changes to emissions in the region, and much progress has been made in lowering O<sub>3</sub> concentrations. Fig. 9 shows design values (grey markers) for each monitor from 2002–2011. (A monitor's design value is the 3-year average of the annual fourth highest daily maximum 8-h average O<sub>3</sub> level as defined by Title 40, Section 50.15, Appendix P of the Code of Federal Regulations, 73 FR 16511, 27 March 2008) Beginning in 2007, design values began to decline, and, by 2009, all monitors were below the 0.08-ppm 8-h O<sub>3</sub> federal standard (dashed line). Emissions reductions described in the TCEQ's 2004 SIP, especially the HRVOC restrictions, likely played a substantial part in the lower O<sub>3</sub> design values.

In Vizuete et al.,<sup>[5]</sup> we described a method for determining the influence of NTOCs on the attainment process by removing or 'filtering' NTOC days from the design value calculation. The black markers in Fig. 9 show the filtered design values for each monitor. Looking ahead to the 2008 0.075-ppm standard (solid line), removing NTOC days brings ten additional monitors into attainment. The individual monitors are not labelled in Fig. 9, but the monitors with design values below 0.075 ppm in 2011 only after filtering are CLIN, CNR2, HCHV, HLAA, HTCA, HWAA, LYNF, SBFP, SHWH and TXCT. Thus, the NTOC phenomenon is still a policy-relevant phenomenon despite their reduced frequency and magnitude.

With that in mind, this study has characterised some major differences between typical  $O_3$  level days and NTOC days. We have described meteorological preconditions and geographic origins, and found evidence for heightened photochemical  $O_3$  production on NTOC days.

Most NTOCs occur when monitors are directly downwind of the industrial ship channel. Fig. 2 shows that air masses originating in the ship channel produce NTOCs that are measured at the monitors. The NTOCs are usually measured in the late morning before the meteorological recirculation that is commonly seen on high O<sub>3</sub> level days. In fact, peak O<sub>3</sub> levels generally occur later in the day and are often measured when the winds are not blowing from the ship channel to the monitor. This indicates that some of the processes that lead to NTOC formation do not fit perfectly within the accepted paradigm of typical high O<sub>3</sub> level formation. That paradigm holds that high O<sub>3</sub> levels typically occur in the afternoon following the recirculation of photochemically aged air masses. NTOC formation in the late morning is potentially due to the accumulation of O<sub>3</sub> precursors overnight, although 1-h automated gas chromatograph data (not shown) did not reveal meaningful differences of HRVOC concentrations on NTOC days compared to typical O<sub>3</sub> level days. Another plausible explanation for late morning NTOC formation is entrainment from the free troposphere as the planetary boundary layer rises. This finding merits further study and will be a focus of a future 3D modelling simulation.

We have suggested the importance of industrial HRVOC emissions previously,<sup>[5,6]</sup> and the results presented here provide evidence for that position. Short-term releases of HRVOCs are known to occur in the ship channel,<sup>[12–17]</sup> and modelling has <sup>[22,23]</sup> shown that these releases can lead to rapid O<sub>3</sub> increases. Given the low wind speeds observed on NTOC days, there is sufficient time for these HRVOC releases to produce the observed O<sub>3</sub> increases.

Even routine emissions could accumulate in sufficient quantity as they are oxidised and slowly advected to the monitors.

Fig. 5 shows statistically significant increases of HCHO concentration in the hours immediately following NTOCs. This suggests that the increases in  $O_3$  levels on some NTOC days are due at least in part to heightened chemical production above usual levels. Although there is some debate about the exact ratio of primary to secondary HCHO production in Houston,<sup>[24–28]</sup> the nature of the HCHO has little bearing on the conclusion that the NTOCs are the result of chemical production. If the HCHO is primary, this is direct evidence for an emission event as HCHO could be emitted, for example, from an overactive and inefficient industrial process flare. If the HCHO is secondary, this is indirect evidence for the importance of HRVOC emissions because both elevated secondary HCHO and  $O_3$  levels have been found in industrial plumes.

We also found interesting SO<sub>2</sub> behaviour on most NTOC days, as exhibited in Fig. 7. High SO<sub>2</sub> concentrations have been used as a marker for certain types of industrial activity,<sup>[29]</sup> especially combustion processes and fluidised catalytic cracking units. In fact, a previous study found that a large industrial source in Texas City co-emitted large amounts of SO<sub>2</sub> and HCHO.<sup>[30]</sup> That many NTOCs are preceded by high concentrations of SO<sub>2</sub> and followed by high HCHO values points to industrial emissions as an important variable in NTOC formation.

This study provides further evidence that NTOC formation is different from typical high  $O_3$  levels in Houston. In their 2004 SIP, the TCEQ proposed controlling routine and short-term HRVOC emissions from industrial facilities with the potential to release more than ten tons of HRVOCs per year. The frequency and magnitude of NTOCs has decreased dramatically since the emission controls took effect, but NTOCs still occur at some monitors. In fact, ten monitors have 2011 design values below the federal 0.075-ppb 8-h  $O_3$  standard once NTOCs are filtered from the calculation. The industrial ship channel is consistently upwind of these  $O_3$  events, so it is possible that smaller emission sources not affected by the HRVOC limits are contributing to the phenomenon, especially, given the large number of petrochemical facilities in the region. Another round of targeted emission controls may further reduce the frequency of NTOCs and continue the downward trend in Houston's  $O_3$  design values.

# Acknowledgments

This work was partially supported by NIH/NIEHS grant number T32ES007018.

#### References

- Revisions to the State Implementation Plan (SIP) for Control of Ozone Air Pollution: Houston/ Galveston/Brazoria Ozone Nonattainment Area, Project number 2004–042-SIP-NR 2004. Texas Commission on Environmental Quality; Austin, TX:
- Kleinman LI, Daum PH, Imre D, Lee YN, Nunnermacker LJ, Springston SR, Weinstein-Lloyd J, Rudolph J. Ozone production rate and hydrocarbon reactivity in 5 urban areas: a cause of high ozone concentration in Houston. Geophys Res Lett. 2002; 29:1467.10.1029/2001GL014569
- Daum PH, Kleinman LI, Springston SR, Nunnermacker LJ, Lee YN, Weinstein-Lloyd J, Zheng J, Berkowitz CM. A comparative study of O<sub>3</sub> formation in the Houston urban and industrial plumes during the 2000 Texas Air Quality Study. J Geophys Res –Atmos. 2003; 108(D23): 4715.10.1029/2003JD003552
- 4. Ryerson TB, Trainer M, Angevine WM, Brock CA, Dissly RW, Fehsenfeld FC, Frost GJ, Goldan PD, Holloway JS, Hubler G, Jakoubek RO, Kuster WC, Neuman JA, Nicks DK Jr, Parrish DD, Roberts JM, Sueper DT, Atlas EL, Donnelly SG, Flocke F, Fried A, Potter WT, Schauffler S,

Stroud B, Weinhelmer AJ, Wert BP, Wiedinmyer C, Alvarez RJ, Banta RM, Darby LS, Senff CJ. Effect of petrochemical industrial emissions *of reactive alkenes and NO<sub>x</sub> on trophospheric ozone formation in* Houston, Texas. J Geophys Res –Atmos. 2003; 108(D8):4249.10.1029/2002JD003070

- Vizuete W, Jeffries HE, Tesche TW, Olaguer EP, Couzo E. Issues with ozone attainment methodology for Houston, TX. J Air Waste Manag Assoc. 2011; 61:238.10.3155/1047-3289.61.3.238 [PubMed: 21416750]
- Couzo E, Olatosi A, Jeffries HE, Vizuete W. Assessment of a regulatory model's performance relative to large spatial heterogeneity in observed ozone in Houston, Texas. J Air Waste Manag Assoc. 2012; 62:696.10.1080/10962247.2012.667050 [PubMed: 22788108]
- Daum PH, Kleinman LI, Springston SR, Nunnermacker LJ, Lee YN, Weinstein-Lloyd J, Zheng J, Berkowitz CM. Origin and properties of plumes of high ozone observed during the Texas 2000 Air Quality Study (TexAQS 2000). J Geophys Res –Atmos. 2004; 109:D17306.10.1029/2003JD004311
- Berkowitz CM, Spicer CW, Doskey PV. Hydrocarbon observations and ozone production rates in Western Houston during the Texas 2000 Air Quality Study. Atmos Environ. 2005; 39:3383.10.1016/J.ATMOSENV.2004.12.007
- 9. Washenfelder RA, Trainer M, Frost GJ, Ryerson TB, Atlas EL, de Gouw JA, Flocke FM, Fried A, Holloway JS, Parrish DD, Peischl J, Richter D, Schauffler SM, Walega JG, Warneke C, Weibring P, Zheng W. *Characterization of NO<sub>x</sub>*, *SO*<sub>2</sub>, *ethene, and* propene from industrial emission sources in Houston, Texas. J Geophys Res –Atmos. 2010; 115:D16311.10.1029/2009JD013645
- Gan F, Hopke PK. Data mining of the relationship between volatile organic compounds and transient high ozone formation. Anal Chim Acta. 2003; 490:153.10.1016/S0003-2670(03)00497-5
- Wood EC, Herndon SC, Fortner EC, Onasch TB, Wormhoudt J, Kolb CE, Knighton WB, Lee BH, Zavala M, Molina L, Jones M. Combustion and destruction/removal efficiencies of in-use chemical flares in the greater Houston area. Ind Eng Chem Res. 2012; 51:12685.10.1021/ IE202717M
- 12. Wert BP, Trainer M, Fried A, Ryerson TB, Henry B, Potter W, Angevine WM, Atlas E, Donnelly SG, Fehsenfeld FC, Frost GJ, Goldan PD, Hansel A, Holloway JS, Hubler G, Kuster WC, Nicks DK Jr, Neuman JA, Parrish DD, Schauffler S, Stutz J, Sueper DT, Wiedinmyer C, Wisthaler A. Signatures of terminal alkene oxidation in airborne formaldehyde measurements during TexAQS 2000. J Geophys Res –Atmos. 2003; 108(D3):4104.10.1029/2002JD002502
- 13. Murphy CF, Allen DT. Hydrocarbon emissions from industrial release events in the Houston-Galveston area and their impact on ozone formation. Atmos Environ. 2005; 44:4230.
- 14. Cowling, E.; Furiness, C.; Dimitriades, B.; Parrish, D. Final rapid science synthesis report: findings from the second Texas Air Quality study (TexAQS II) – Final report to the Texas Commission on Environmental Quality, TCEQ Contract Number 582–4-65614 2007. Southern Oxidants Study Office, North Carolina State University; Available at http://aqrp.ceer.utexas.edu/docs/ RSSTFinalReportAug31.pdf [Verified 29 April 2013]
- Webster M, Nam J, Kimura Y, Jeffries HE, Vizuete W, Allen DT. The effect of variability in industrial emissions on ozone formation in Houston, Texas. Atmos Environ. 2007; 41:9580.10.1016/J.ATMOSENV.2007.08.052
- 16. de Gouw JA, Hekkert STL, Mellqvist J, Warneke C, Atlas EL, Fehsenfeld FC, Fried A, Frost GJ, Harren FJM, Holloway JS, Lefer B, Lueb R, Meagher JF, Parrish DD, Patel M, Pope L, Richter D, Rivera C, Ryerson TB, Samuelsson J, Walega J, Washenfelder RA, Weibring P, Zhu X. Airborne measurements of ethene from industrial sources using laser photo-acoustic spectroscopy. Environ Sci Technol. 2009; 43:2437.10.1021/ES802701A [PubMed: 19452898]
- McCoy BJ, Fischbeck PS, Gerard D. How big is big? How often is often? Characterizing Texas petroleum refining upset air emissions. Atmos Environ. 2010; 44:4230. doi:10.1016/ J.ATMOSENV.2010. 07.008.
- Banta RM, Senff CJ, Nielsen-Gammon J, Darby LS, Ryerson TB, Alvarez RJ, Sandberg SP, Williams EJ, Trainer M. A bad air day in Houston. Bull Am Meteorol Soc. 2005; 86:657.10.1175/ BAMS-86-5-657
- Ngan F, Byun D. Classification of weather patterns and associated trajectories of high-ozone episodes in the Houston-Galveston-Brazoria area during the 2005/06 TexAQS-II. J Appl Meteorol Climatol. 2011; 50:485.10.1175/2010JAMC2483.1

- 20. Vizuete, W.; Jeffries, HE.; Valencia, A.; Couzo, E.; Christoph, E.; Wilkinson, J.; Henderson, B.; Parikh, H.; Kolling, J. HARC Project H97: Multi-model, multi-episode process analysis to investigate ozone formation and control sensitivity in the 2000/2005/2006 Houston SIP episode models 2009. Houston Advanced Research Center; Available at http://projects.tercairquality.org/ AQR/H097 [Verified 29 April 2013]
- 21. Seinfeld, JH.; Pandis, SN. Atmospheric Chemistry and Physics. 2. Vol. Ch 6. Wiley; Hoboken, NJ: 2006. Chemistry of the troposphere; p. 248
- 22. Vizuete W, Kim BU, Jeffries HE, Kimura Y, Allen DT, Kioumourtzoglou MA, Biton L, Henderson B. Modeling ozone formation from industrial emission events in Houston, Texas. Atmos Environ. 2008; 42:7641.10.1016/J.ATMOSENV.2008.05.063
- Henderson BH, Jeffries HE, Kim BU, Vizuete W. The influence of model resolution on ozone in industrial volatile organic compound plumes. J Air Waste Manag Assoc. 2010; 60:1105.10.3155/1047-3289.60.9.1105 [PubMed: 20863055]
- 24. Garcia AR, Volkamer R, Molina LT, Molina MJ, Samuelson J, Mellqvist J, Galle B, Herndon SC, Kolb CE. Separation of emitted and photochemical formaldehyde in Mexico City using a statistical analysis and a new pair of gas-phase tracers. Atmos Chem Phys. 2006; 6:4545.10.5194/ ACP-6-4545-2006
- 25. Olaguer EP, Rappengluck B, Lefer B, Stutz J, Dibb J, Griffin R, Brune WH, Shauck M, Buhr M, Jeffries HE, Vizuete W, Pinto JP. Deciphering the role of radical precursors during the Second Texas Air Quality Study. J Air Waste Manag Assoc. 2009; 59:1258.10.3155/1047-3289.59.11.1258 [PubMed: 19947108]
- 26. Buzcu Guven B, Olaguer EP. Ambient formaldehyde source attribution in Houston during TexAQS II and TRAMP. Atmos Environ. 2011; 45:4272.10.1016/J.ATMOSENV.2011.04.079
- 27. Parrish DD, Ryerson TB, Mellqvist J, Johansson J, Fried A, Richter D, Walega JG, Washenfelder RA, de Gouw JA, Peischl J, Aikin KC, McKeen SA, Frost GJ, Fehsenfeld FC, Herndon SC. Primary and secondary sources of formaldehyde in urban atmospheres: Houston Texas region. Atmos Chem Phys. 2012; 12:3273.10.5194/ACP-12-3273-2012
- Zhang H, Li J, Ying Q, Guven BB, Olaguer EP. Source apportionment of formaldehyde during TexAQS 2006 using a source-oriented chemical transport model. J Geophys Res –Atmos. 2013; 118:1525.
- 29. Rappenglück B, Dasgupta PK, Leuchner M, Li Q, Luke W. Formaldehyde and its relation to CO, PAN, and SO<sub>2</sub> in the Houston–Galveston airshed. Atmos Chem Phys. 2010; 10:2413.10.5194/ ACP-10-2413-2010
- 30. Stutz, J.; Pikelnaya, O.; Mount, G.; Spinei, E.; Herndon, S.; Wood, E.; Oluwole, O.; Vizuete, W.; Couzo, E. Air Quality Research Plan 2011. Air Quality Research Program, The University of Texas; Austin, TX: Quantification of hydrocarbon, NO<sub>x</sub>, and SO<sub>2</sub>, emissions from petrochemical facilities in Houston: Interpretation of 2009 FLAIR dataset, Project 10-045 Final Report. Available at http://aqrp.ceer.utexas.edu/projectinfo\10-045\10-045FinalReport.pdf [Verified 29 April 2013]

#### **Environmental context**

Ozone pollution in Houston, Texas, has been a public health concern for decades. Unusually large hourly changes in observed ozone concentrations have been correlated with a greater likelihood of violating the federal air quality standard. We investigate the geographic and chemical origins of these large hourly increases, which should help regulators better control ozone violations.

**NIH-PA** Author Manuscript



#### Fig. 1.

The locations and abbreviations of the 25 ground monitoring stations used in this study. The ship channel region is marked with a red star. The six monitors shown in Fig. 2 are labelled with red text.



#### Fig. 2.

Wind speed, direction and peak 1-h  $O_3$  levels on all typical and non-typical  $O_3$  change (NTOC) days for six monitors (see Fig. 1 for location). The grey markers in the radar plot give the wind speed and direction at the time the peak 1-h  $O_3$  level was measured on typical days. The black markers give the wind speed and direction at the time a NTOC was measured. The red arrow points toward the red star (ship channel marker) in Fig. 1. The histogram shows the distribution of peak 1-h  $O_3$  levels on typical days (grey) and NTOC days (black). All available data during the  $O_3$  season (April–October) from 2000 to 2011 is included in this figure.



# Fig. 3.

Box and whisker plots of 3-h average wind speed measurements on non-typical  $O_3$  change (NTOC) days (left), days that violate the 85-ppb federal standard (middle) and days below the federal standard (right). Box tops, middles and bottoms give the 75th, 50th and 25th percentile values. Whiskers extend to the most extreme data point within 1.5 times the inner quartile range. The 3-h average wind speed was obtained by averaging the 1-h wind speed measurement during the hour of peak  $O_3$  level with the wind speed measurements from the 2 h before the peak  $O_3$  level. Available data from all monitors and years are included in this figure.



#### Fig. 4.

Distributions of the time of day during which non-typical  $O_3$  changes (NTOCs) and peak 1h  $O_3$  levels occur. Available data from all 25 monitors and twelve years are included in this figure.



#### Fig. 5.

Box and whisker plots of 1-h HCHO measurements at CLIN (a) and DRPK (b) for nontypical O<sub>3</sub> change (NTOC) days (black) and typical O<sub>3</sub> level days (grey). Box tops, middles and bottoms give the 75th, 50th and 25th percentile values. Whiskers extend to the most extreme data point within 1.5 times the inner quartile range. The distributions are not significantly different (*n.s.*) in the morning before most NTOCs occur. Immediately following a NTOC, HCHO concentrations often increase substantially. Using the Mann– Whitney non-parametric test it was determined that differences between the typical and NTOC distributions are statistically significant (*sig.*) for CLIN at 1300 (U =3, P=0.032) and 1400 hours (U =39, P=0.012) and for DRPK at 1400 (U =80.5, P=0.003) and 1500 hours (U =54, P=0.014).



#### Fig. 6.

Ozone time series plot on 23 October 2003, at CLIN (a) and 28 June 2006, at DRPK (b). The black line shows 1-h  $O_3$  concentrations, and the black dots show 1-h HCHO measurements for the stated date. The shaded boxes show the 90th (dark grey) and 95th percentile (light grey) of HCHO values on all typical  $O_3$  level days for each hour when measurements were taken at both stations. (Note the different *y*-axis scales for 1-h  $O_3$ .)





Ozone (black) and SO<sub>2</sub> (red) time series plot on 9 July 2005, at HROC. The wind barbs along the top of the figure show the hourly wind speed and direction for this date. The shaded boxes show the 90th (dark grey) and 95th percentile (light grey) SO<sub>2</sub> values on all days for each hour when measurements were taken.



#### Fig. 8.

Frequency of non-typical SO<sub>2</sub> levels preceding non-typical O<sub>3</sub> changes (NTOCs) at six monitors. The black bars show the number of NTOCs measured at each monitor from 2000 to 2011; the grey bars show the number of NTOCs that had an SO<sub>2</sub> concentration above the 95th percentile up to 5 h before the NTOC.



#### Fig. 9.

Ozone design values for the monitors used in this study from 2002 to 2011. Each grey marker shows the design value for a different monitor. The black markers show the design values for each monitor after all NTOCs have been removed ('filtered') from the calculation. The dotted black line marks the 1997 0.08-ppm 8-h  $O_3$  standard; the solid black line marks the 2008 0.075-ppm 8-h  $O_3$  standard; the grey shaded region marks the range of proposed future standards.

#### Table 1

# Air quality monitors and measured parameters

Monitor name	Abbreviation	CAMS number	AIRS number	Measurements
Bayland Park	BAYP	53	48-201-0055	O <sub>3</sub> , HCHO, ws/wd
Clinton	CLIN	403	48-201-1035	O <sub>3</sub> , SO <sub>2</sub> , ws/wd
Conroe Relocated	CNR2	78	48-339-0078	O <sub>3</sub> , ws/wd
Danciger	DNCG	618	48-039-0618	O <sub>3</sub> , ws/wd
Deer Park	DRPK	35	48-201-1039	O <sub>3</sub> , HCHO, ws/wd
Galveston	GALC	34	48-167-0014	O <sub>3</sub> , ws/wd
HRM-3 Haden Road	H03H	603	48-201-0803	O <sub>3</sub> , ws/wd
Aldine	HALC	8	48-201-0024	O <sub>3</sub> , ws/wd
Channelview	HCHV	15	48-201-0026	O <sub>3</sub> , HCHO, ws/wd
Croquet	HCQA	409	48-201-0051	O <sub>3</sub> , SO <sub>2</sub> , ws/wd
Lang	HLAA	408	48-201-0047	O <sub>3</sub>
Northwest Harris County	HNWA	26	48-201-0029	O <sub>3</sub> , ws/wd
Houston East	HOEA	1	48-201-1034	O <sub>3</sub> , ws/wd
Houston Regional Office	HROC	81	48-201-0070	O <sub>3</sub> , SO <sub>2</sub> , ws/wd
Monroe	HSMA	406	48-201-0062	O <sub>3</sub> , SO <sub>2</sub>
Texas Avenue	HTCA	411	48-201-0075	O <sub>3</sub>
North Wayside	HWAA	405	48-201-0046	O <sub>3</sub> , SO <sub>2</sub>
Lake Jackson	LKJK	1016	48-039-1016	O <sub>3</sub> , ws/wd
Lynchburg Ferry	LYNF	1015	48-201-1015	O <sub>3</sub> , ws/wd
Manvel Croix Park	MACP	84	48-039-1004	O <sub>3</sub> , ws/wd
Mustang Bayou	MSTG	619	48-039-0619	O <sub>3</sub> , ws/wd
Seabrook Friendship Park	SBFP	45	48-201-1050	O <sub>3</sub> , SO <sub>2</sub> , ws/wd
Westhollow	SHWH	410	48-201-0066	O <sub>3</sub> , ws/wd
Texas City	TXCT	620	48-167-0056	O <sub>3</sub> , ws/wd
Wallisville	WALV	617	48-201-0617	O <sub>3</sub> , ws/wd

AIRS, Aerometric Information Retrieval System; CAMS, Continuous Ambient Monitoring Station. Measurement abbreviations are O<sub>3</sub>, ozone; HCHO, formaldehyde; SO<sub>2</sub>, sulfur dioxide; ws/wd, wind speed and wind direction