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The Smart Targeting of Nanoparticles

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Abstract

One major challenge in nanomedicine is how to selectively deliver nanoparticles to diseased tissues. Nanoparticle delivery system requires targeting for specific delivery to pathogenic sites when enhanced permeability and retention (EPR) is not suitable or inefficient. Functionalizing nanoparticles is a widely-used technique that allows for conjugation with targeting ligands, which possess inherent ability to direct selective binding to cell types or states and, therefore, confer "smartness" to nanoparticles. This review illustrates methods of ligand-nanoparticle functionalization, provides a cross-section of various ligand classes, including small molecules, peptides, antibodies, engineered proteins, or nucleic acid aptamers, and discusses some unconventional approaches currently under investigation.

Introduction

Research aimed at the better translation of benchside innovations to novel and more effective treatments in the clinic have increasingly turned to targeted nanoparticle platforms. Nanoparticles are an attractive choice because they can carry chemotherapeutic warheads, serve as imaging agents, and act as the active therapeutic agent themselves (e.g. the magnetic-induced hyperthermia using superparamagnetic iron oxide nanoparticles), as well as many more applications whose mention is beyond the scope of this article (Figure 1).

The ideal nanoparticle-based therapeutics should have specific targeting to pathologic tissues, which minimizes or avoids off-target effects of the active therapeutic agents on healthy tissues. Much research has conjugated targeting ligands specific to cell surface components that are unique to, or upregulated in, dysplastic and pathologic tissues to nanoparticle surfaces. These targeting ligands fall into several general classes: small molecules, polypeptide-based peptides, protein domains, antibodies, and nucleic acid-based aptamers [1]. At times, ligands from multiple classes (chimeras), or multiple ligands within the same class but with different targets (multi-valency and multi-specificity) have been implemented to enhance nanoparticle targeting. Each ligand class has particular advantages, disadvantages, unique attributes, and conjugation strategies that will be discussed further in the following sections.

The scope of this review covers advances made in the nanoparticle targeting field over the last four years. Tables bearing publication information regarding various targeting ligands,

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nanoparticles, and conjugation chemistries are provided to guide discussion of current approaches. The purpose of this review is to provide the reader with an overview of current nanoparticle targeting research and distill this information into an accessible form conducive to the design of desired targeting approaches.

Chemistry of Conjugation

When utilizing nanoparticles for targeted delivery with any of the aforementioned ligands, it is often necessary to chemically modify the surface of the nanoparticles with an appropriate chemistry to introduce reactive moieties, thereby providing functional groups that can be conjugated to a targeting ligand of choice. It is important that the selective ligand has a functional group that can be used for conjugation as well. The conjugation of a targeting ligand to chemically modified nanoparticles will allow for selective delivery of the desired nanoparticle therapeutics.

Most of the conjugation chemistries that are used to modify nanoparticles are covalent. Some of the most prevalent covalent reactions that are utilized in conjugating nanoparticles to targeting ligands include chemical reactions that use carbonyl reactive groups (i.e., carbonyl reacts with hydrazide or alkyoxyamine to form hydrazone or oxime bond), amine reactive groups (i.e., amine reacts with activated carboxylate or imidoester to form amide or amidine bond), sulfhydryl reactive groups (thiol reacts with maleimide, haloacetyl, pyridyl disulfide or gold surface, to form thioester, disulfide, or gold-thiol bond), and a type of orthogonal reaction known as Click Chemistry (i.e., azide reacts with phosphine or alkyne to form amide bond or triazole ring) (Tables 1, 2).

In addition to the many covalent reactions that are used to conjugate nanoparticles to targeting ligands, there is one non-covalent interaction that is commonly used as well. This is the interaction between (strept)avidin and biotin, the strongest known noncovalent interaction with a K_d of (10⁻¹⁴-10⁻¹⁵ M). With its almost irreversible binding, this noncovalent interaction can be readily used to conjugate nanoparticles to targeting ligands.

A basic schematic of these covalent conjugation chemistries and their reactions with each other are listed in **Table 2**, below. The applications of these conjugation reactions can be seen in subsequent sections, which further describe the use of specific targeting ligand classes on nanoparticles. While the majority of nanoparticle modifications involve the chemistries described in **Table 2**, other chemistries allow specific release of ligand or drug from the nanoparticle upon internalization via intracellular physiological properties, such as acidic pH, redox sensitivity, protease digestion, for example. While the pH is most commonly taken into account, redox and protease sensitivity should be considered as well.

Not all chemistries ensure ligand directed coupling with correct orientation and desired surface density. Conjugation may yield stochastic ligand densities and spatial orientations (random coupling) (Figure 2). Chemistries exist, however, to better control the density and orientation of ligand conjugation via directed coupling. Incorporation of unnatural amino acids into protein-based targeting ligands can site specifically introduce a residue with a desired functional group, by the translational system under in vitro or in vivo conditions [2-4]. Such functional groups, typically not present in natural amino acids, are ideal for site-

specific conjugation with nanoparticles or other moieties under bioorthogonal conditions. Suicide substrates, molecules that can covalently tether to specific target proteins, can also direct ligand coupling. The stable and irreversible covalent bond formed between the suicide substrate and the targeting ligand, can allow for the site-specific immobilization of the ligand, facilitating inhibition and labeling while serving as a molecular probe for the target [5-8].

Targeting with Small Molecules

One of the more prevalent targeting ligands conjugated to nanoparticles are small molecules. The major advantages of using a small molecule as targeting ligand is its stability, ease of conjugation with nanoparticles, and the potential low cost, assuming it can be chemically synthesized with high yield. However, there is no systematic approach to develop such ligands, and most small molecule targeting ligands do not bind cell surface receptors with high specificity and affinity. Biotin, also known as vitamin H, has been widely used for facile conjugation with nanoparticles coated with (strept)avidin for *in vitro* applications. This conjugation method exploits the extremely high affinity $(10^{-14}-10^{-15} \text{ M})$ between biotin and (strept)avidin. Clinical applications of this conjugation system are limited, however, due to the bacterial origin of strept(avidin) and consequent immunogenicity.

Vitamin B9 (folic acid) is a small molecule targeting ligand that has been intensively investigated for clinic applications. Folic acid is a high affinity ligand of endogenous folate receptor, which is frequently up-regulated in many types of human cancers. To date, a wide variety of therapeutic agents have been linked to folic acid for tumor-selective drug delivery, including protein toxins and therapeutics, chemotherapeutic agents, gene therapy vectors, oligonucleotides, radioimaging and radiotherapeutic agents, MRI contrast agents, and drug-loaded liposomes and nanoparticles. It has been demonstrated that nanoparticles or liposomes conjugated with folic acid can be actively internalized via receptor-mediated endocytosis and effectively directed to folate receptor-positive cancer cells [9, 10].

In principle, small molecules that tightly and specifically bind to the extracellular domain of transmembrane cancer biomarkers can be used in ways similar to folate. Sigma receptors are upregulated in many cancer cells. Benzamides (anisamide, in particular), are demonstrated sigma receptor ligands and, therefore, can target nanoparticles to sigma receptor-positive tissues [11, 12].

Carbohydrates, which interact weakly with some cell surface receptors, can also serve as nanoparticle small molecule targeting ligands. Carbohydrates permit nanoparticle glycotargeting, which is based on endogenous lectin interactions with carbohydrates. A disadvantage of this targeting method is that glycotargeting often requires multiple interacting carbohydrates to achieve strong enough binding strength. One known example uses galactose or galactose-mimics as ligands to asialoglycoprotein receptor, an endocytotic cell surface lectin receptor highly expressed on hepatocyte surfaces. DC-SIGN is a C-type lectin receptor preferentially expressed by dendritic cells. Lex and ManLAM carbohydrates, for example, can be used to enhance the binding and uptake of the nanoparticles by dendritic

cells, although their targeting features are not as effective as DC-SIGN specific antibodies that are presumably more specific and potent [13].

In general, most target receptors do not have naturally occurring small molecule ligands that tightly and specifically interact with their extracellular domains. One attractive approach to the development of small molecule targeting ligands involves using the natural substrate, a small molecule inhibitor, or the transition state analog of the target receptors as the lead compound for small molecule targeting ligand development. For example, small-molecule PSMA targeting molecules have been developed based on substrate N-acetyl-L-Asp-L-Glulike analogues in which the central linkage between Asp and Glu is replaced with a phosphonate or urea linkage [14, 15], [16]. Recently, RR-11a, a synthetic enzyme inhibitor of Legumain, an asparaginyl endopeptidase whose cell surface expression is driven by hypoxic stress, was developed for targeted nanoparticle delivery [17]. These ligands can be engineered further to contain a primary amine for conjugation through a flexible linker, such as PEG or other effector molecules, for targeted nanoparticle delivery to cancer cells expressing the biomarkers [18-20]. Development of synthetic small molecule targeting ligands, however, cannot always ensure the creation of small molecules that bind target extracellular domains with high affinity and specificity, presumably due to the much smaller interaction surface areas with target receptors compared with their natural protein ligands. One possible solution is multivalent targeting, often used in nature to significantly increase the binding strength between two molecules.

A series of papers that demonstrate the utilization of small molecules for targeted delivery of nanoparticles (Table 3) show that a variety of small molecule ligands can be used with this approach. This includes carbohydrates, folic acid, as well as synthetic small molecules. There are a range of cell-surface receptors targeted here as well, with a few studies focusing on brain tumor [21, 22] and other cancer cell lines [23].

Targeting with Polypeptide-based Homing Peptide, Protein Domain, and Antibody Ligands

Polypetide-based targeting ligands, including homing peptides, protein domains, and antibodies, have advantages over other classes of targeting ligands in that they can be systemically developed and generated by using various biological selection and expression systems, respectively. Some major issues of these targeting ligands include immunogenicity, stability, and difficulty for site-specific conjugation with nanoparticles.

Antibodies

The idea of using nanoparticle technology as a drug delivery platform is not new, dating back to the development and investigation of polyalkylcyanoacrylate nanoparticles in the early 1980s [24, 25]. Antibodies were used in this pioneering research because, at this time, the work of Pimm and coworkers in the early 1980s notably predated the development of phage display screening of short peptide libraries [26], yet hybridoma technology had existed for almost a decade [27].

Following Pimm's work, Vaughan and coworkers developed a method of displaying human mAb fragments in a bacteriophage display system [28], which led to the FDA-approved recombinant human mAb Humira for the treatment of rheumatoid arthritis [29]. Another method, described by Russell and Lonberg, created a transgenic mouse whose murine antibody genes were replaced by human versions [30, 31]. Vectibix, a human anti-EGFR that can be used to treat colorectal cancer, was developed using transgenic mice [32]. Other approaches focused on the modification of existing murine mAbs into murine/human chimeras for the purpose of improving their pharmacokinetics [33].

Antibodies, which were considered originally as targeting ligands due to their availability to research and their attributes as specific, *in vivo* targeting ligands without reliance on tumor enhanced permeability and retention (EPR), gained greater utility as nanoparticle targeting ligands from the aforementioned advances. For *in vivo* therapeutics, the continued use of antibodies as nanoparticle targeting ligands is due largely to various developments that have overcome the problems of cross-species antibody immunogenicity. Consequently, therapeutic mAb development for the purpose of translation to the clinic remains an active field and, therefore, mAbs persist as major nanoparticle targeting ligands.

A review of papers describing antibody-guided nanoparticles from 2003 to 2012 (Table 4) reveals that most targeting antibodies are monoclonal and mostly murine, though some antibodies from other species, and polyclonals from rabbit, have been effective, as well as some chimeric [34-36] and humanized antibodies [13, 37, 38]. The majority of these antibodies target the extracellular domains (ECDs) of cell surface proteins, which is logical considering their intended application as *in vivo* targeted nanoparticles, the exception being a diagnostic sensor of NANOG, a transcription factor, composed of a graphite AuNP-coated film [39]. These antibodies have been successfully conjugated to a variety of nanoparticles, from metallic NPs (e.g. AuNPs, SPIONs, ^{99m}Tc), polymers (e.g. PLGA, chitosan, HDDP), micelles and liposomes, to silica and quantum dots. However, most of the conjugation techniques employed lack directionality, presumably due to the presence of multiple reactive functional groups on antibodies, yielding heterogeneous antibody orientations on the nanoparticles.

Antibody targeting of nanoparticles face several major challenges: antigen binding (the mAb must have high target specificity and affinity and the linker, as well as NPs, must not perturb the desired specificity), conjugation (the Ab-NP linkage must be highly efficient and site-specific), and circulation time (the mAb-NP conjugate linker must be stable during circulation). In addition, immunogenicity and purity are other concerns. The body can perceive antibodies as foreign proteins and clear them, nullifying the action of the targeted NPs. Many conjugation techniques, such as those exploiting lysine side-chain amines and cysteine sulfhydryl groups, yield heterogeneous mixtures of targeted NPs, each with differing Ab:NP molar ratios, conjugation sites, pharmacokinetics, and safety profiles.

Peptides

Much smaller than antibodies but larger than small molecules, short homing peptides offer additional nanoparticle targeting options, and certain advantages over the aforementioned targeting ligands. The design of a small molecule that fits into a usually shallow and

hydrophobic binding pocket can be challenging. As a compromise between small molecules and antibodies, short peptides provide smaller size, as well as high specificity and affinity.

Targeting homing peptides are typically discovered via phage display, first developed in 1985. Phage display is a screening tool for peptides, allowing selection of peptide sequences with increased affinities to a specific target of choice [40, 41]. The phage display system is a cyclic selection process, where the purified target molecules or specific cell types are incubated with a randomized library of peptide sequences displayed on bacteriophage capsids. Some peptides bind to the target protein, and nonspecific binders are washed away with the specific binders eluted. Binding peptide sequences-bacteriophages are collected, which infect *E. Coli* and is amplified, followed by additional cycles of selection. Selected peptides have been used as molecular probes for imaging and can be applied as therapeutics as well.

There are numerous publications using short homing peptides to target nanoparticles during the past decade. Studies from 2011-2012 using peptides as nanoparticle targeting ligands (Table 5) predominantly utilized ligands discovered via phage display. Some used natural peptides, such as EGF [42, 43], CANF [44], and Angiopep-2 [45]. About 30% of reviewed papers used cyclic peptides, though this percentage is influenced by the popularity of the RGD peptide as a targeting ligand to avβ3 integrin [46-51]. All studies targeted cell surface proteins. As with antibodies, the used peptides were successfully conjugated to a variety of nanoparticles, such as metallic NPs (e.g. gadolinium oxide, SPIONs, AuNPs, MBCSPs), micelles and polymers (e.g. chitosan, PLGA, poly(methyl methacrylate)), and dendrimers. Han and coworkers provide an interesting alternative to the typical nanoparticle formulations and conjugation paradigms [42]. They expressed targeting peptides recombinantly fused to the 97 kDa major vault protein (MVP), which self-assembles into Vault Nanoparticles - naturally-occurring nanoparticles present in cell cytoplasm composed of ribonucleoproteins. At times, the orientation of the conjugated peptides in the reviewed studies can be problematic but this is controlled in some applications at the level of peptide synthesis, typically through the additional of a unique functional group to peptide termini, allowing for site-specific conjugation with nanoparticles.

There have been numerous effective *in vitro* peptides (e.g. targeting protein kinase CK2, glioma, FGF receptor, and many others). Finding peptides that work in an *in vivo* setting, however, appears more challenging as they are prone to proteolysis, glomerular transit, feature varying toxicities and differential effects on cell signaling, can encourage allergic sensitization, and are not amenable to oral bioavailability [52-54]. In addition, the costs of peptide synthesis can be prohibitive for some special applications [52].

Protein Domains

Targeting ligands based on full-length antibodies have several intrinsic disadvantages compared to ligands with much smaller sizes. First, the large sizes of the full-length antibodies limit the number of antibody molecules that can be accommodated on the surface of nanoparticles. Second, full-length antibodies are composed of multiple light and heavy chains that are linked through disulfide bonds. Such structure complicates its expression level and makes it difficult to achieve site-specific conjugation with nanoparticles. Third, it

is both challenging and time-consuming to systematically engineer a full-length antibody with optimized targeting-binding parameters. Considerable effort has been directed to the reduction of the size of antibodies and the development of smaller binding units with antibody-like specificity and affinity. An ideal targeting ligand should be a highly soluble small protein with high stability and minimal aggregation, while it can be highly expressed in bacteria with much lower manufacturing costs. In addition, it should possess functional residues that facilitate conjugation with nanoparticles, preferably in a site-specific manner. The use of antibody fragments represents an interesting compromise in the selection of target specific affinity molecules. The smallest antibody fragments are those based on a single domain, such as naturally occurring heavy-chain antibodies found in camelids (nanobody) and humans (V_H domains) [55-66]. These single-domain antibody fragments are well expressed, quite soluble and stable, and yet still able to maintain the specificity and affinity comparable to scFvs. A different approach is to select single domain antibody mimics from partially randomized libraries based on protein scaffolds related or not related to natural antibodies [67]. Scaffolds that have been used to construct single domain protein libraries include immunoglobulin-like β -barrel, zinc fingers, α -helical bundles, Src homology domains, PDZ domains, various repeat proteins, protease inhibitors, and disulfide-bond constrained small toxins [67-74]. Among them, targeting ligands based on FN3 (the tenth type III domain of human fibronectin), Z domain, and DARPins are most promising [1]. Several examples of protein domain based ligands that are suitable for targeted delivery of nanoparticles include FN3-based ligands (monobody) that recognize VEGF receptor and integrin $\alpha v\beta 3$, Z domain based ligands (affibody) that recognize EGFR and HER2, and DARPin based ligands that recognizes HER2.

Compared to the number of nanoparticle applications utilizing antibodies and peptides for targeting, relatively few studies from the reviewed period (2007-2012) employed protein domains or non-immunoglobulin antibody mimics (Table 6). These targeting ligands include neurotoxin, transferrin, nanobody, affibody, and other protein domains. Though applications are fewer, protein domain nanoparticle targeting are highly promising, as compared to antibodies and peptides, for targeted delivery of nanoparticles.

Targeting with Aptamers

Since their development in 1990 by the Szostak, Gold, and Joyce groups, aptamers have existed as a separate class of binding molecules [75]. Aptamers are short single-stranded nucleic acids (RNA or DNA) capable of displaying diverse structures with the potential of binding many biochemical targets, from small molecules to large proteins. This ability derives from aptamer sequences; a designed 5' and 3' consensus region about 12-20 nucleotides in length flanks a central region of totally or partially randomized nucleotides. The random region determines the diversity of the aptamer pool, which typically achieves 1×10^{15} unique sequences. The high sequence and conformational diversity of naïve aptamer pools (not yet selected against a target) makes the discovery of target binding aptamers highly likely. The selection of aptamers capable of binding a target of interest is called 'Systematic Evolution of Ligands by EXponential enrichment' (SELEX) [76]. SELEX involves iterative rounds of target binding, partitioning binding from non-binding sequences, and amplification of the enriched binding sequences. Several SELEX variants

have been developed since 1990, such as whole cell surface-SELEX (Cell-SELEX) [77, 78], which ensures the selection of aptamers capable of binding the bioactive forms of target proteins on the cell surface. Other conventional SELEX strategies vary in the means of partitioning unbound nucleic acids from target-bound aptamers: cutoff membranes, flow cytometry, EMSA, and capillary electrophoresis.

Aptamers are uniquely suited to nanoparticle targeting. First, it is possible to synthesize aptamers with a specific functional moiety, such as a carboxylate, amino, sulfhydryl or aldehyde, at only one end of the nucleic acid aptamer. This ensures and greatly facilitates site-specific conjugation and prevents the formation of heterogeneous mixtures. Second, aptamers are typically non-immunogenic [79]. Third, many other attributes make aptamers attractive for nanoparticle targeting, such as being non-toxic [79-81] and modifiable for stability in circulation [82]. They can be selected *in vitro* and *in vivo*, and be repeatedly and reversibly denatured. Moreover, as aptamers are not dependent on animals or their immune responses, aptamers can be selected against weakly immunogenic targets and toxins. The ability to chemically synthesize aptamers infers little batch variation [83]. They are much smaller than antibodies and can form compact structures, allowing them to bind clefts, binding sites, and enzymatic active sites, which is difficult, if not impossible, for antibodies to achieve [84].

The degradative activity of biologically-abundant nucleases on nucleic acids has been a major barrier to *in vivo* aptamer-targeted nanoparticle applications. Attempts at translating RNA aptamers for use as therapeutics have focused on replacement of the nuclease-susceptible 2'-hydroxyl RNAs with other moieties. RNAs containing 2'-fluoro and 2'-O-methyl pyrimidines, which can be generated by in vitro transcription with an appropriate T7 RNA polymerase mutant, have known partial resistant to nucleases [85, 86]. The development of aptamers with higher levels of 2'-modification requires lengthy, expensive, and tedious post-selection optimization. The development of the FDA-approved aptamer Macugen (Pegaptanib), for example, involved the selection of an initial anti-VEGFR aptamer (NX1838) bearing 2'-fluoropyrimidines only [87]. NX1838 was then subjected to tedious and time-consuming post-selection modifications involving the selective substitution of purines one-by-one with 2'-O-methyl purines. Systematic testing indicated that all but two natural 2'-hydroxyl purines could be replaced with 2'-O-methyl purines.

Nuclease resistant aptamers that specifically bind to the extracellular domains of transmembrane cancer biomarkers, such as integrin $\alpha v\beta 3$, VEGF receptor, EGF receptor, HER2, HER3, MUC1, PSMA, and receptor tyrosine kinase RET, can be used to direct nanoparticles to tumor tissues. Of the reviewed studies (2004-2012) (Table 7) that used aptamers as nanoparticle targeting ligands, all used aptamers to cell surface biomarkers and used either DNA (62%), unmodified RNA (17%), or modified RNA (21%). Compared to numerous RNA nucleases, there are relatively fewer DNases *in vivo*. DNA aptamers do, however, suffer from characteristics that can complicate their *in vitro* selection via SELEX, such as the formation of hard to manage G-tetrads. Of those studies using unmodified RNA aptamers, two chose nanoparticles that confer nuclease resistance to the aptamers. Li and coworkers employed AuNPs, which maintained a halocline immediately surrounding the AuNP, yielding a blanketing solution layer of high ionic character that discourages nuclease

activity [88, 89]. In another instance, Lee and coworkers found that PSMA-specific RNA A9 aptamer conjugated to dendrimers was nuclease-resistant 24 hours post-exposure [90]. Yu and coworkers intentionally used nucleases as a means of releasing intercalated doxorubicin from A9 aptamers targeting nanoparticles to PSMA [91].

Across all reviewed studies, aptamers were conjugated to a variety of nanoparticles successfully, as with peptides and antibodies. Unlike peptides and antibodies, however, the maintenance of proper aptamer orientation was rarely a problem. Through the use of capture oligos conjugated first to the nanoparticle, followed by hybridization of the aptamer via a consensus sequence, or through the synthesis of targeting aptamers with a terminal biotin, thiol, or amine, directional conjugation was easily achieved.

Chimeras, Multifunctionalization, and other unconventional approaches

Attempts to improve nanoparticle performance, either therapeutically or diagnostically, have increasingly turned to multifunctional and, more recently, chimeric targeting systems. Multifunctional targeting involves the conjugation of various ligands within the same class (e.g. aptamers, peptides, etc.) but with different individual targets (e.g. HER3, tenascin-C, PSMA), whereas chimeric targeting uses targeting ligands across classes (e.g. an aptamer with a peptide). The installation of a multifunctional or chimeric targeting system into a nanoparticle-payload technology attempts to extend one or more key characteristics: cell uptake, target specificity, utilization of multiple targeting strategies, and attribute exploitation of multiple targeting ligands classifications. Recent examples of multifunctionalized nanoparticles are listed in Table 8, including those developed by Bhattacharyya and coworkers using anti-EGFR and MOV18 anti-folate receptor a antibodies [92], and those by Kluza and coworkers using Anx and RGD peptides [93]. Ko and coworkers actually used three targeting ligands to produce a nanoparticle with both multifunctional and chimeric features (DNA aptamer AS1411, DNA aptamer TTA1, and peptide RGD) [94].

The majority of nanoparticle targeting research aims at the specific delivery of nanoparticles via targeting ligands directed against endogenous differences between normal and pathologic tissues. Many other avenues of nanoparticle targeting research, however, are also under investigation. These include the *ex vivo* induction of molecular physiological changes, exploiting unique characteristics inherent in the pathologic environment, autologous harnessing of the immune system as an active participant in nanoparticle-based therapy, and the use of targeted bacteriophages, to name a few. Hariri and coworkers investigated the use of radiation to guide FePt nanoparticles to tumor sites using a short peptide that targets TIP-1 receptor, a receptor upregulated on endothelial cells in response to radiation-induced injury [94]. Basel and coworkers demonstrated that targeting ligands may not always be necessary for effective nanoparticle targeting via the exploitation of high concentrations of cancer-associated protease (CAP), such as urokinase plasminogen activator (uPA), matrix metalloproteases (MMPs), and some cathepsins, in dysplastic tissues [95]. In a radical shift of nanoparticle targeting strategy, Choi and Kennedy demonstrated that macrophages and human T cells could be loaded with gold nanoparticles (AuNPs) and used to deliver those AuNPs to tumor sites [96, 97]. Building off early bacteriophage work by Smith [98], many

studies have used bacteriophages as nanoparticle platforms presenting weakly immunogenic targets for the purpose of provoking immune responses [99-102]. Most recently, Lee et al. combined bacteriophage, AuNPs, and magnetic beads for combined colorimetric protein detection and identification [103].

Conclusion

One of the most challenging problems in the targeted delivery of nanoparticles is to develop high-quality targeting ligands that can give rise to more specific accumulation of nanoparticles in tumors than in other tissues. Such smart molecules can be systematically developed through affinity selection from combinatorial libraries displaying small molecules, short peptides, antibodies and antibody fragments, engineered protein domains, and nucleic acid aptamers. The availability of these types of targeting ligands and their successful conjugation with nanoparticles will have significant applications in targeted imaging, diagnosis, and treatment of malignant tumors and other diseases that are based on nanotechnology.

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Figure 1. Frequently utilized nanoparticles.



Figure 2. Random coupling can result in various targeting ligand orientations and densities on nanoparticle surfaces

The targeting ligand is depicted by a protein domain (in red), linked with a stable amide bond (green sphere). Bioactive and non-bioactive orientations, and density-abrogated bioactivity are indicated.

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Table 1

Conjugation reactions, linkages formed, and their stability $\overset{*}{.}$

Type of Conjugation	Linkage	Stability under physiological conditions
<u>Covalent</u>		
NH ₂ /COOH	Amide bond	Stable
Thiol/Maleimide	Thio-ether bond	Stable
Thiol/Thiol	Disulfide bond	Cleaved under reducing conditions
Hydrazide/Aldehyde	Hydrazone	Acid labile
Gold/Thiol	Gold-thiol bond	Stable
Click Chemistry i.e. Azide/Alkyne	Triazole ring	Stable
Noncovalent		
Biotin/(Strept)avidin	Non-covalent Almost irreversible	Stable

*Hermanson, Greg. Bioconjugate Techniques. San Diego: Academic Press Inc., 1996.

Table 2

Covalent conjugation reactions represented by a schematic.

Type of Covalent Conjugation	Diagram
Hydrazide-Aldehyde	$\bigoplus_{j=1,\dots,m}^{j=m} \cdots \sum_{k=1,\dots,m} \bigoplus_{j=1}^{j=m} \bigoplus_{j=1,\dots,m}^{j=m-1} \bigoplus_{j=1,\dots,m}^{j=m-1$
Amine-Carboxyl	
Thiol-Maleimide	$\bigoplus_{m=1}^{m} \bigoplus_{m=1}^{m} \bigoplus_{m=0}^{m} \bigoplus_{m$
Thiol-Thiol	$ \underbrace{ \bigoplus_{i=1}^{i} \max_{i=1}^{i} }_{\text{Nature}_{i}(i)}, \\ \underset{\text{Nature}_{i}(i)}{\underset{Nature}_{i}(i)}{\underset{Nature}_{i$
Gold-Thiol	
Click Chemistry	Constraints to the second seco

Table 3

Recent examples of targeted nanoparticles using small molecules.

PMID#	Small Molecule	Target	Nanoparticles	Conjugation Chemistry
21871507	Anisamide	Sigma receptor	LPH	Synthesized as part of DSPE-PEG2000
22410170	ManLAM	DC-SIGN	PLGA	Biotin/Streptavidin
22410170	Lex	DC-SIGN	PLGA	Biotin/Streptavidin
21955528	Myristic acid	U87 cells	MC-PEI/DNA	Amine/Carboxyl
21882825	Dimannose	C-type lectin receptors on dendritic cells	Polyanhydride	Amine/Carboxyl
21419870	RR-11a	Legumain	Liposome	Amine/Carboxyl
22204981	Folic Acid	HeLa cells	β-Cyclodextrin Micelle	Carbamate/Ester

Table 4

Recent examples of targeted nanoparticles using antibodies.

PMID#	Antibody	Antibody Type	Source	Target	Nanoparticles	Conjugation Chemistry
22394186	ab76586	Monoclonal Mouse IgG1	Abcam	NANOG*	Graphite AuNP-coated film	Amine/Carboxylate
22394186	ab84231	Polyclonal Rabbit IgG	Abcam	NANOG*	Graphite AuNP-coated film	Amine/Carboxylate
20825223	Trastuzumab	Monoclonal Humanized		HER2 ECD	Magnetic nanocrystals	Non-covalent interaction
18767886	E2156	Monoclonal Mouse IgG1	Sigma	EGFR ECD	AuNPs	Non-covalent interaction
18606202	mAb62	Monoclonal Mouse IgG2a		PECAM-1 ECD	Polystyrene	Biotin/Streptavidin
18606202	mAb35	Monoclonal Mouse IgG1		PECAM-2 ECD	Polystyrene	Biotin/Streptavidin
18606202	mAbGi34	Monoclonal Mouse IgG1		PECAM-3 ECD	Polystyrene	Biotin/Streptavidin
18606202	mAb4G6	Monoclonal Mouse IgG2b		PECAM-4 ECD	Polystyrene	Biotin/Streptavidin
18606202	mAb37	Monoclonal Mouse IgG1		PECAM-5 ECD	Polystyrene	Biotin/Streptavidin
22107797	ATCC 27660	Polyclonal Rabbit IgG	ViroStat	Staphylococcus aureus	Polylactide	Non-covalent interaction
21748635	Trastuzumab	Monoclonal Humanized Mouse IgG1		HER2 ECD	97mgc	Biotin/Streptavidin
22065745	29D7	Monoclonal Mouse IgG1	Wyeth	TrkB ECD	SPIONs	Rat anti-mouse IgG1 capture
22011314	Ritux (rituximab)	Monoclonal Chimeric IgG	BC Cancer Agency	CD20 ECD	PEG Lipid NP	Thiol/Maleimide
22114481	MAB1609	Monoclonal Mouse IgG1, IgM	Chemicon	Cytokeratin 7/8 ECD	SPIONs	Amine/Carboxylate
22324543	7.16.4	Monoclonal Mouse	UCSF Monoclonal Antibody Core	Rat neu ECD	SPION-chitosan-g-PEG	Thiol/Maleimide
22349096	C225 (cetuximab, erbitux)	Monoclonal Chimeric IgG1	Bristol-Myers Squibb	EGFR ECD	AuNPs	Amine/Carboxylate, Au/Thiol
22410170	Q5/13	Monoclonal Mouse IgG2a	Beckman Coulter	HLA-DR/DP ECD	PLGA	Biotin/Streptavidin
22410170	CD83	Monoclonal Mouse IgG1	Beckman Coulter	HLA-DR/DP ECD	PLGA	Biotin/Streptavidin
22410170	CD69	Monoclonal Mouse IgG1	BD Biosciences	HLA-DR/DP ECD	PLGA	Biotin/Streptavidin
22410170	CD80	Monoclonal Mouse IgG1	BD Biosciences	HLA-DR/DP ECD	PLGA	Biotin/Streptavidin
22410170	CD86	Monoclonal Mouse IgG1	BD Biosciences	HLA-DR/DP ECD	PLGA	Biotin/Streptavidin
22410170	CCR7	Monoclonal Rat IgG2a	BD Biosciences	HLA-DR/DP ECD	PLGA	Biotin/Streptavidin
22410170	AZN-D1 (aDC-SIGN1)	Monoclonal Mouse hybrid IgG2/IgG4	Alexion Pharmaceuticals	DC-SIGN ECD	PLGA	Biotin/Streptavidin
22410170	AZN-D2	Monoclonal Mouse IgG2	Alexion Pharmaceuticals	DC-SIGN ECD	PLGA	Biotin/Streptavidin
22410170	Ab hD1 (aDC-SIGN2)	Monoclonal Humanized IgG2/4	Alexion Pharmaceuticals	DC-SIGN ECD	PLGA	Biotin/Streptavidin

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PMID#	Antibody	Antibody Type	Source	Target	Nanoparticles	Conjugation Chemistry
22410170	H200 (aDC-SIGN3)	Polyclonal Rabbit IgG	Santa Cruz Biotechnology	DC-SIGN ECD	PLGA	Biotin/Streptavidin
22464249	Colo205	Monoclonal	FMMU, China	EpCAM ECD	Silica NPs	NaIO4 oxidation
22464249	sw480	Monoclonal	FMMU, China	EpCAM ECD	Silica NPs	NaIO4 oxidation
22464249	NCM460	Monoclonal	FMMU, China	EpCAM ECD	Silica NPs	NaIO4 oxidation
22469295	9B9	Monoclonal Rat	ShJU, China	EGFR ECD	PHPA-PEI	Non-covalent interaction
22494888	Anti-CD44	Monoclonal	BD Biosciences	CD44 ECD	PEG-Liposome	Thiol/Maleimide
22471719	C225 (cetuximab, erbitux)	Monoclonal Chimeric		EGFR ECD	PLGA-ZnS:Mn ²⁺	Amine/Carboxylate
22515817		Monoclonal	BD Biosciences	III-6	Fe304@Si02	Glutaraldehyde
22515817		Monoclonal	BD Biosciences	IFN-Y	Fe304@Si03	Glutaraldehyde
22515817		Monoclonal	BD Biosciences	AFP (alpha-fetoprotein)	Fe304@Si04	Glutaraldehyde
18239128	FIB504	Monoclonal Rat IgG2a		β7 integrin ECD	Multilamellar Liposome	Amine/Carboxylate
14512622		Polyclonal		PSA ECD	AuNPs	Non-covalent interaction
14512622		Monoclonal		PSA ECD	Iron Oxide NPs	Glutaraldehyde/Amine
21838300	anti-TM34-211	Monoclonal Rat IgG2a		Murine thrombomodulin ECD	PEO-filomicelles	Biotin/Streptavidin
21838300	anti-TM201-411	Monoclonal Rat IgG2a		Murine thrombomodulin ECD	PEO-filomicelles	Biotin/Streptavidin
21976974	Trastuzumab	Monoclonal Humanized Mouse IgG1	Roche	HER2 ECD	Chitosan NPs	Thiol/Maleimide
21976975	anti-DR5	Monoclonal Humanized IgG2b	Santa Cruz Biotechnology	DR5 ECD	PLA	Amine/Carboxylate
22072868	LCCS Abs	Polyclonal Mouse		liver cancer cell surface- specific (LCCS)	AuNPs	Non-covalent interaction
22035507	Anti-Her2 Ab	Monoclonal Mouse IgG1	Bender MedSystems (eBioscience)	HER2	Iron Oxide NPs	Amine/Carboxylate
21980236	Anti-EGFR Ab	Monoclonal Chimera	Beijing Zhong Shan Company	EGFR	Quantum Dots (QD800)	Thiol/Maleimide
22032622	ScFv-EGFR	ScFv		EGFR	HDDP	Amine/Carboxylate

Table 5

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PMID#	Peptide	Sequence	Cyclic	Target	Nanoparticle	Conjugation Chemistry
22559746	RGD	cRGD	Yes	αvβ3 integrin	CMC	Amine/Carboxylate, Thiol/Maleimide
22560667	RGD	c(RGDyK)	Yes	αvβ3 integrin	Iron Oxide NPs	Thiol/Maleimide
22561668	GRGDS	GRGDS		αvβ3 integrin	MBCSP (PLGA-magnetite)	Amine/Carboxylate

Table 6

Recent examples of targeted nanoparticles using protein domains.

PMID#	Protein	Target	Nanoparticle	Conjugation Chemistry
17964677	apolipoprotein B-100, LDLR binding domain	LDL Receptor	Micelle	Non-covalent interaction
18076008	C-termini of <i>clostridium/botulinum</i> neurotoxins (TH _C , BH _C)	GT1b	ABCD NPs	Thiol/Maleimide
19173297	hepatitis B surface antigen (HBsAg), preS1 domain	HepG2 cell line	Micelle	Ester replacement
20959824	anti-IGFBP7	GBM	PEG-Fe3O4 NPs	NHS ester
21306773	LFA-1, I domain	ICAM-1	Liposome	Ni/His tag
21609027	2Rb18a nanobody	HER2	AuNPs	Thiol/Maleimide
21609027	N7 nanobody	PSA	AuNPs	Thiol/Maleimide
21302357	Affibody-EGFR	EGFR	Au-Silica NPs	Thiol/Maleimide
21508310	Affibody-EGFR	EGFR	Au-Silica NPs	Thiol/Maleimide
21351748	Affibody-HER2	HER2	Polymeric nanosphere	Amine/Carboxylate
21147502	Affibody-HER2	HER2	NIR QDs and IO NPs	Thiol/Maleimide
20801029	Affibody-HER2	HER2	Bionanocapsules (BNCs)	Genetically displayed
19012296	Affibody-HER2	HER2	PLA-PEG polymeric NPs	Thiol/Maleimide
18937120	Affibody-HER2	HER2	Thermosensitive liposomes	Thiol/Maleimide
21753879	adiponectin, globular domain (gAd)	Atherosclerotic plaques	Proticle	Non-covalent interaction
21753879	adiponectin, globular domain (gAd)	Atherosclerotic plaques	Liposome	Thiol/Maleimide
22013169	anti-IGFBP7 single-domain antibody	IGFBP7	SPION	Amine/Carboxylate
22037106	Heptameric Z (EGFR)	EGFR	Ni-lipid NPs	Ni ²⁺ /His tag
22118776	Transferrin	Transferrin Receptor	HSA NPs	Thiol-maleimide
22410170	gp120	DC-SIGN	PLGA	Biotin, streptavidin

Table 7

Recent examples of targeted nanoparticles using aptamers.

PMID#	Aptamer	Aptamer Type	Target	Nanoparticle	Conjugation Chemistry
15520166	A10	Modified RNA, 2'-F C/U, 3' inverted dT cap	PSMA	PLA	Amine/Carboxylate
16495043	A9	Modified RNA, 2'-F C/U	PSMA	Streptavidin Quantum Dots	Hydrazide
18512972	A9	Unmodified RNA	PSMA	AuNPs	Base-pairing hybridization
18978032	A10	Modified RNA, 2'-F C/U	PSMA	PLGA-b-PEG	Amine/Carboxylate
19377681	sgc8c	DNA	CCRF-CEM (T-cell acute lymphoblastic leukemia, T-cell ALL) cells	FCNPs	Amine/Carboxylate
20024341	sgc8	DNA	CCRF-CEM (T-cell acute lymphoblastic leukemia, T-cell ALL) cells	Pegylated Liposome	Thiol/Maleimide
20066302	J18	Unmodified RNA	EGFR	AuNPs	Base-pairing hybridization
20080797	TDO5	DNA	immunoglobin heavy mu chain receptor	Aptamer-PEG-Lipid NPs	Non-covalent interaction
20947949	GB-10	DNA	tenascin-c	Dextran Magnetic NPs	Amine/Carboxylate
21233423	A10	Modified RNA, 2'-F C/U, 3' inverted dT cap	PSMA	PLGA-b-PEG	Amine/Carboxylate
21281497	apt1	Unmodified RNA, 2'-Ome termini	CD30	PEI-citrate	Non-covalent interaction
21342659	MUC1	DNA	MUC1	Quantum Dot	Amine/Carboxylate
21530479	Ky2	DNA	Kanamycin, kanamycin B, tobramycin	AuNPs	Non-covalent interaction
21641946	A9	Unmodified RNA	PSMA	PAMAM dendrimer	Base-pairing hybridization
21648076	A9	Unmodified RNA	PSMA	TCL-SPION	Amine/Carboxylate
21732610	MUC1	DNA	MUC1	Three-dimensional (3D) DNA polyhedra	Self-assembly
21788069	AS1411	DNA	nucleolin	PEG-PLGA	Amine/Carboxylate
21888350	sgc8	DNA	CCRF-CEM cell line	PHMNP	Amine/Carboxylate
21912664	MUC1	DNA	MUC1	PLGA	Amine/Carboxylate
21936502	A10	Unmodified RNA	PSMA	QD-PMAT-PEI	Amine/Carboxylate, Thiol/Maleimide
21942498	sgc8c	DNA	CCRF-CEM cell line	AuNPs	Gold/Thiol
21944470	AS1411	DNA	nucleolin	Magnetic Fluorescence NP (MF)	Amine/Carboxylate
22214176	XEO2 mini	Modified RNA, 2'-Ome C/A/U	PC3, LNCaP	DSPE-PLGA	Thiol/Maleimide
22424140	sgc8c	DNA	CCRF-CEM cell line	Streptavidin-coated MNPs	Biotin/Streptavidin
22424140	TDO5	DNA	Ramos leukemia cell line	Streptavidin-coated MNPs	Biotin/Streptavidin

PMI) #	Aptamer	Aptamer Type	Target	Nanoparticle	Conjugation Chemistry	
22424	140	T2-KK1B10	DNA	K562 leukemia cell line	Streptavidin-coated MNPs	Biotin/Streptavidin	
22424	140	KDED2a-3	DNA	DLD1 colon cell line	Streptavidin-coated MNPs	Biotin/Streptavidin	
22424	140	KCHA10	DNA	HCT116 colon cell line	Streptavidin-coated MNPs	Biotin/Streptavidin	
22424	140	TLS11a	DNA	LH86 liver cell line	Streptavidin-coated MNPs	Biotin/Streptavidin	

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Table 8

Recent examples of targeted nanoparticles using multifunctional and chimeric approaches.

Conjugation Chemistry	Ab: Amine/Carboxylate; Aptamer: Thiol/Maleimide	Amine/Carboxylate	Amine/Carboxylate	Non-covalent interaction	Thiol/Maleimide	Thiol/Maleimide	Gold/Thiol
Nanoparticle	AuNPs	MNPs	TCL- SPION	AuNPs	Liposomes	HPAE-co-PLA/DPPE	Au-Iron Oxide
Ligand 3 Target		αvβ3 integrin					
Ligand 3 Class (Sequence)		Peptide (cRGDfk)					
Ligand 3		RGD					
Ligand 2 Target	HER2	Tenascin-C	PSMA (-) cells	Folate Receptor α	αvβ3 integrin	Transferrin Receptor	Caspase 3
Ligand 2 Class (Sequence)	Aptamer, RNA	Aptamer, DNA	Aptamer, Peptide	Antibody; Monoclonal, Mouse IgGI	Peptide (cRGDfk)	Glycoprotein	Peptide (DEVD)
Ligand 2	S6	TTA1	DUP-1	MOV18	RGD	Transferrin	DEVD
Ligand 1 Target	HER2	Nucleolin	PSMA	EGFR	galectin-1	αvβ3 integrin	αvβ3 integrin
Ligand 1 Class (Sequence)	Anübody; Monoclonal	Aptamer, DNA	Aptamer, RNA	Antibody; Monoclonal, Chimeric	Peptide Pertekkehlekwenivkelndgreelsed)	Peptide (cRGDfk)	Peptide (RGD)
Ligand	Anti- HER2	AS1411	A10	C225 (cetuximab, erbitux)	Anginex (Anx)	RGD	RGD
PMID#	20155973	21071077	21147500	21971980	22079810	22118775	22342711