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## Estrogen switches pure mucinous breast cancer to invasive lobular carcinoma with mucinous features

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### Abstract

Mucinous breast cancer (MBC) is mainly a disease of postmenopausal women. Pure MBC is rare and augurs a good prognosis. In contrast, MBC mixed with other histological subtypes of invasive

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disease loses the more favorable prognosis. Because of the relative rarity of pure MBC, little is known about its cell and tumor biology and relationship to invasive disease of other subtypes. We have now developed a human breast cancer cell line called BCK4, in which we can control the behavior of MBC. BCK4 cells were derived from a patient whose poorly differentiated primary tumor was treated with chemotherapy, radiation and tamoxifen. Malignant cells from a recurrent pleural effusion were xenografted in mammary glands of a nude mouse. Cells from the solid tumor xenograft were propagated in culture to generate the BCK4 cell line. Multiple marker and chromosome analyses demonstrate that BCK4 cells are human, near diploid and luminal, expressing functional estrogen, androgen, and progesterone receptors. When xenografted back into immunocompromised cycling mice, BCK4 cells grow into small pure MBC. However, if mice are supplemented with continuous estradiol, tumors switch to invasive lobular carcinoma (ILC) with mucinous features (mixed MBC), and growth is markedly accelerated. Tamoxifen prevents the expansion of this more invasive component. The unexpected ability of estrogens to convert pure MBC into mixed MBC with ILC may explain the rarity of the pure disease in premenopausal women. These studies show that MBC can be derived from lobular precursors and that BCK4 cells are new, unique models to study the phenotypic plasticity, hormonal regulation, optimal therapeutic interventions, and metastatic patterns of MBC.

## Keywords

Mucinous breast cancer; Hormone receptors; Invasive lobular carcinoma; Xenografts; Estrogen

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## Introduction

Most invasive breast cancers are categorized as ductal (IDC), lobular (ILC), or a mixture of the two, but distinguishing among these can be challenging. Fifty to 80 % of breast cancers are classified as IDC “not otherwise specified” (IDC NOS) [1]. ILC comprise only 3–14 % of invasive breast cancers [2–5]. The incidence of ILC has increased over the past 20 years in women over 50 [6] potentially due to use of hormone replacement therapy [7, 8]. Compared to IDC, ILC occurs in older women and tends to be (1) larger at diagnosis; (2) estrogen receptor (ER) positive; (3) more differentiated; and (4) less vascularized [9]. Molecular discriminators between ILC and IDC include the lack of E-cadherin and positive cytoplasmic expression of delta catenin (p120 catenin) in ILC. Expression profiling has been performed to define markers unique to ILC and IDC [10–13] but the only common discriminator between the two tumor types appears to be loss of E-cadherin. Nevertheless, loss of E-cadherin alone is not sufficient to define ILC, as ~15 % of IDC also lack E-cadherin [14].

Among all breast cancers, 25 % contain phenotypic markers that allow sub-classification into rarer subtypes including apocrine, sebaceous, tubular, and mucinous breast cancers (MBC; also called colloidal or gelatinous). The definition of MBC is complex [1]. The World Health Organization does not recognize mucinous components if the non-mucinous invasive disease exceeds 50 %. If the non-mucinous component falls to 10–49 % then tumors are classified as “mixed,” and if the non-mucinous component is <10 % tumors are defined as “pure” MBC. The Royal College of Pathologists definition is similar; to be classified as pure MBC, 90 % of the tumor must be composed of epithelial cells floating in “a sea of” mucin [15].

The origin of “pure” and “mixed” MBC is unclear. Ductal versus mixed MBC is now largely referred to as a subtype of IDC, most often called “IDC with mucinous features” [16]. This is based on the assumption that extracellular mucin production is most often associated with IDC [1], despite the fact that ILC can also produce extracellular mucin [17–

19]. Intracellular mucin production and/or signet ring cells are typically associated with an invasive component characteristic of ILC [5, 20–23]. However, in rare cases signet ring cells are associated with a variant of ductal carcinoma in situ [24] resembling diffuse gastric carcinomas [1]. Thus in mucinous disease, the distinctions between ILC and IDC remain unclear. The studies we describe here address these issues. Under current definitions, approximately 7 % of breast cancers in women over 75 years of age [25], and <1 % in women under 35, are classified as MBC; the overall rate is estimated to be 2–4 %. Because no clear definition of pure versus mixed MBC is used uniformly, their percent of all breast cancers is likely to be underestimated.

MBC are generally luminal-type tumors that are ER, progesterone (PR), and androgen (AR) receptor-positive, without HER2 overexpression and with a low S-phase fraction [26, 27]. Excessive extracellular mucin secretion is observed, but external lamina or basement membranes are not detected [28]. While mucin 1 (Muc1) is aberrantly overexpressed in ~90 % of all breast cancers [29], Muc2—a gel-forming secretory mucin expressed in the cytoplasm of pure and mixed MBC cases [30]—is not found in ILC and rarely in IDC [31], making it a good marker for mucinous components of all breast cancers. A diagnosis of MBC augurs a good prognosis with low probability of immediate metastasis [26]. However, both pure and mixed MBC can and do metastasize [32–37] with a propensity for late recurrences [35]. Invasive MBC has been diagnosed in association with ductal carcinoma in situ [38, 39] where it reportedly induces hyper-vascularization [39].

Cell lines are important models for studies of human breast cancer because they faithfully recapitulate gene expression patterns of tumors taken from patients [40]. Virtually all breast cancer lines currently in use are models of IDC, and ER+ cell lines that constitutively express PR protein in the absence of estradiol are rare. Models of ILC are also rare. To our knowledge only four—SUM44, MDA-MB-134 VI, IPH-926, and HCC2185—have been described, perhaps reflecting the rarity of this histologic subtype.

To date, no MBC cell lines exist of either the pure or mixed subtype. Here we report development of such a cell line we call “BCK4.” It was derived from a breast cancer patient who presented with a lymph node-positive, poorly differentiated adenocarcinoma. Her primary tumor was ER+, with PR and HER2 unknown. Following tumor resection, she received chemotherapy, radiation, and 5 years of tamoxifen. Three years later she was diagnosed with a recurrent pleural effusion and underwent therapeutic thoracentesis. BCK4 cells were developed from the pleural effusion. The cells are steroid receptor-positive, contain luminal cytokeratins, are of lobular origin, and grow into pure MBC when xenografted in the mammary glands of immunocompromised cycling mice. However, tumor growth is markedly accelerated and the phenotype switches to mixed MBC if mice are supplemented with continuous estradiol (E2). We propose that this effect of estrogens explains the rarity of pure MBC in premenopausal women and provides a mechanism through which estrogen-containing hormone replacement therapy stimulates the growth and progression of ILC. This new model will be extremely useful in studies of the molecular and tumor biology of MBC.

## Materials and methods

### Clinical background

The patient, a 65-year-old female, presented with a 3 cm, poorly differentiated adenocarcinoma with involved lymph nodes. The tumor was ER+; PR and HER2 status were unknown. After resection she received six cycles of cyclophosphamide, doxorubicin, and 5-fluorouracil, followed by radiation therapy and 5 years of tamoxifen. Three years after completing tamoxifen she presented with a malignant pleural effusion and underwent

therapeutic thoracentesis. Immunocytochemical staining of the pleural cells showed them to be negative for ER (0 %) and HER2 (0 %) with focal PR expression (7 %). An Institutional Review Board approved the tissue acquisition protocol and patient-informed consent was obtained to acquire blood and tissue for research purposes.

### Mouse explants of primary tumor cells and BCK4 development

All animal studies were performed according to a protocol approved by the University of Colorado Institutional Animal Care and Use Committee. Cells from the pleural effusion were transferred to flasks for 4 days to remove blood cells and other debris, then injected into mammary glands of ovariectomized nude mice supplemented with 17-beta-estradiol (E2) as described [41]. Tumors were grown for 12 weeks. Tumor xenografts were resected and cells were mechanically dispersed using a wire gauze and glass pestle. Cells were seeded into 6 well dishes, allowed to adhere and grown at 37 °C under 5 % CO<sub>2</sub> in minimum essential medium (MEM) with Earle's salts containing L-glutamine (292 µg/l) buffered with sodium bicarbonate (2.2 µg/l), insulin (6 ng/ml), non-essential amino acids (10 mM), and 5 % fetal calf serum. Cells were fed every 3–4 days. After ~14 months a stable cell line emerged, which was characterized and named *BCK4*. Short tandem repeat analysis performed at the University of Colorado Cancer Center Sequencing Core confirmed the human and unique genetic properties of the BCK4 cell line.

### ZsGreen labeling of cells

BCK4 cells plated in a T75 flask were incubated with 15 ml of a retroviral vector encoding ZsGreen produced by Amphopack-293 cells (Clontech). Cells were transduced twice more and selected with G418. Green fluorescent cells were expanded and sorted for the brightest 10 % using a MoFlow cytometer as described [41].

### BCK4 xenografts

ZsGreen-tagged BCK4 cells ( $5 \times 10^6$ ) in Matrigel were injected bilaterally into the 4th mammary glands of nonovariectomized NOD/SCID mice that had been implanted subcutaneously with a cellulose or E2-releasing pellet [41]. Tumors were grown for 22 weeks. Mice were injected intraperitoneally 2 h before sacrifice with 10 mg/kg of Bromodeoxyuridine (BrdU).

### Three-dimensional (3D) assays

BCK4 cells ( $2 \times 10^5$ ) were plated onto Matrigel and treated with hormones. Colonies were paraffin embedded and sectioned as previously described [42] and stained with hematoxylin and eosin (H&E). Slides were imaged using a Nikon Eclipse E600 microscope (Nikon Corporation) coupled to an RGB-MS-C MicroColor camera (CRI, Inc.). Quantification of at least five fields and two wells per condition were performed to assess signet ring cell formation using Image Pro Plus 4.5 software (Media Cybernetics).

### Immunocytochemistry (ICC) and antibodies

BCK4 cells were plated onto coverslips, fixed and ICC was performed as described [41]. Antibodies were: ER (Neomarkers, SP1) 1:100, PR (DAKO, 1294) 1:500, AR (Upstate, PG-21) 1:35, BrdU (BD Biosciences) 1:50, Her2 (Neomarkers, SP3) 1:50, CK5 (Novacastra, XM26) 1:100, CD10 (Epitomics, EP2998) 1:100, CK14 (Neomarkers) 1:200, CK18 (Calbiochem) 1:400, CK8/18 (Novacastra, 5D3) 1:100, CD117 (DAKO) 1:400, CD44 (Neomarkers 156-3C11) 1:200, EGFR (Epitomics E114) 1:12, E-Cadherin (DAKO) 1:25, p63 (Epitomics Y289) 1:250, Mammaglobin A (Abcam, 304-1A5) prediluted, Chromograinin A (Abcam) 1:60, Synaptophysin (Abcam) 1:100, Vimentin (Epitomics,

SP20) 1:200, Vimentin (Abcam, V9) 1:50,  $\alpha$ -SMA (Epitomics, E184) 1:400, p120 catenin (Epitomics, #2806) 1:250.

### Immunohistochemistry (IHC)

IHC of paraffin sections was performed as described [41] using the antibodies above, plus an antibody to Muc2 (ab37433, Abcam) 1:200. Tumors were dual stained using DAB (ER, PR) and AP-conjugated permanent red (CK8/18) as previously described [43]. ZsGreen was visualized in paraffin sections as described [41]. BrdU labeling of paraffin sections and quantification of mitotic cells were performed as described [42]. Paraffin sections were stained for mucin using EZ Mucicarmine dye (Anatech Ltd.) and EZ Green (Anatech Ltd.) to counterstain nuclei according to the manufacturer's instructions.

### Microscopy

Whole tumor micrographs were scanned with a Nikon Eclipse Ti inverted microscope using Nikon Instruments Software Elements and a Nikon ss-Fi1 camera for bright-field images or a Nikon Ds-Qi1MC camera for fluorescent images.

### Karyotyping/SKY

Karyotyping/SKY was performed by the University of Colorado Cancer Center Cytogenetics Core as described [44]. Image acquisition used a SD300 Spectracube system (ASI) mounted in an Olympus BX60 microscope with a custom-designed optical filter (SKY-1, Chroma Technology). Conversion of the emission spectra to the display colors was achieved by assigning blue, green, and red to specific sections of the emission spectrum.

### RT-PCR

Total RNA was prepared using the Qiagen mini kit as directed. RNA was reverse transcribed into cDNA using MULV reverse transcriptase as described [45]. Primers were: FKBP5 [45]; SGK (SGK1) [46]; DUSP1 fwd 5'-TT TGAGGGTCACTACCAG-3', DUSP1 rev 5'-GAGATGA TGCTTCGCC-3'; HSD11B2 primers [45]; GAPDH primers [45]; pS2 fwd 5'-TTTGGAGCAGAGAGGAGGCAAT GG-3', pS2 rev 5'-TGGTATTAGGATAGAAGCACCAG GG-3'; CathD fwd 5'-GTGCTTCACAGTCGTCTTC-3', CathD rev 5'-GAGCCATAGTGGATGTCAAAC-3'. Semi-quantitative PCR was performed as described [45].

### MTS assay

10,000 cells/well were plated into a 96 well plate and grown overnight. Cells were changed into phenol-red free MEM + steroid depleted serum with vehicle or hormone treatments (10 nM). MTS assays were performed using the Cell Titer 96 Aqueous One Solution Cell Proliferation Assay (Promega) according to the manufacturer's instructions. Absorbance was measured at 490 nm.

### Transcription

Transfections used (PR) PRE2- and (ER) ERE2-luciferase/ promoters containing hormone response elements coupled to reporter constructs as previously described [46]. They were electroporated into  $2.4 \times 10^6$  BCK4 cells in 4 cm cuvettes at 275 V. Transfected cells were treated with vehicle or hormones for 24 h, harvested, and luciferase assays were performed as described [46].

## Results

### Generation of the BCK4 cell line

Cells from the pleural effusion of a breast cancer patient were explanted bilaterally into the 4th mammary glands of an immunocompromised, ovariectomized mouse [41]. First generation tumors were formed and were resected. One was fixed and stained by IHC; the other was mechanically dispersed and cultured as described in “Materials and methods” section. Two novel stable and permanent lines were established from the dispersed cells: a mouse mammary stromal cell line, BJ3Z described previously [41], and a human breast cancer cell line named BCK4 described herein.

### IHC characterization of the patient explant

One xenografted tumor derived directly from the patient’s cells was fixed, paraffin embedded, sectioned, and stained with H&E or used for IHC (Fig. 1; Table 1). The xenograft was characteristic of an invasive adenocarcinoma with mucinous features. It was luminal as indicated by expression of ER, PR, and AR (Fig. 1); by cytokeratin (CK) 8/18 positivity (not shown); and by the absence of the basal markers, epidermal growth factor receptor (EGFR) and CK5 (not shown). The xenograft stained weakly for HER2 (Fig. 1) but quantification of this oncogene by FISH (not shown) indicated that it was not overexpressed. Xenografted cells also expressed CD44 (Fig. 1), a marker often associated with tumor initiating cells. The change in ER status of the xenograft tumor (ER+) compared to the patient’s pleural effusion (ER-) likely reflects technical artifacts in the clinical sample such as the small sample size used to measure ER and contamination of the effusion with normal lung epithelial cells.

### Characterization of the BCK4 cell line

We have extensively characterized the BCK4 cell line that grew out of the xenograft of the patient’s pleural effusion.

**Karyotype**—Metaphase chromosomes of BCK4 cells were analyzed by GTL banding (not shown) and Spectral Karyotyping (SKY) to assign their species of origin, define a modal chromosome number, and identify anomalies, if any (Fig. 2). The composite SKY karyotype shows 21 diploid and 1 triploid (chr17) chromosome, plus diploid X chromosomes demonstrating that the BCK4 cells are human, female, and relatively normal. The formal description—47,XX,del(1)(?p31;q12),del(7)(q22),der(8)t(8;11)(p11;q13),11,der(16)t(1;16)(q12;q11.2)×2,+17—contains few anomalies. The BCK4 karyotype is much closer to normal than that of the commonly used T47D or MCF7 human breast cancer cells, which like 58 % of IDC with mucinous features [47] are aneuploid. The normality of BCK4 cells concurs with the observation that 96 % of pure MBC have diploid DNA by flow cytometry [47]. The karyotype of BCK4 cells is the first for any MBC. Importantly, the karyotype of the BCK4 cells is nearly identical to the karyotype of the original cells derived from the pleural effusion of the patient (Supplementary Fig. 1). Additionally, single tandem repeat analysis of the patient’s pleural effusion cells matched the BCK4 cell line (not shown).

**Protein expression**—To further characterize BCK4 cells, key biomarkers were analyzed by ICC, immunoblotting, and IHC (Supplementary Fig. 2; Table 1). Like the patient’s tumor explant, the BCK4 cell line is ER, PR, and AR-positive (Supplementary Fig. 2); ER and PR levels are similar to those in T47D cells, but AR levels are higher. Table 1 shows IHC semi-quantification for other markers. BCK4 cells weakly express Her2 protein but the HER2 gene is not overexpressed (not shown). The BCK4 cells are glucocorticoid receptor (GR)-positive and strongly express the epithelial and luminal specific marker CK8/18, but lack the basal markers vimentin, CK5 and CK14, putting these cells in the luminal category.



Interestingly, the BCK4 cells lack E-cadherin and contain cytoplasmic/nuclear p120 catenin. This combination is indicative of lobular carcinomas [17] and is discussed further below. The patient explant contained less than 1.0 % E-cadherin positive cells, perhaps indicating that it was of a mixed lobular/ductal phenotype. If so, we speculate that the BCK4 cell line was generated from the lobular subpopulation.

Mucin producing breast cancers are often subclassified among neuroendocrine tumors [48], and indeed, BCK4 cells express chromogranin A and synaptophysin which are characteristic of such tumors (Table 1). Expression of mammaglobin-a (MAM-A) in BCK4 cells confirms their breast origin. They also express the tyrosine kinase receptor and proto-oncogene c-kit (CD117), which is a product of normal and benign breast tissues, but is often lost in breast cancers [49]. Unlike the patient xenograft, BCK4 cells express low levels of the putative tumor initiator cell marker CD44. Interestingly, BCK4 cells strongly express the myoepithelial markers CD10, p63, and  $\alpha$ -smooth muscle actin ( $\alpha$ SMA), which confer a poor prognosis to breast cancers regardless of ER or lymph node status [50], but may provide clues about the cells of origin in MBC.

**Steroid receptor function**—To determine whether the steroid receptors in BCK4 cells are functional, transcriptional and gene expression studies were performed. The ability of the endogenous receptors to regulate transcription was tested by transfecting BCK4 cells with a luciferase reporter driven by two copies of the cognate response element for PR, AR, or GR (here called progesterone response element; PRE2) or for ER (estrogen response element; ERE2). The transfected cells were then treated with ethanol control (EtOH) or the appropriate hormones for 24 h (Fig. 3a). The synthetic progestins R5020 (10 nM) or medroxyprogesterone acetate (MPA; 10 nM) stimulated PR-dependent PRE2 transcription 9.0- and 10.5-fold, respectively. AR-dependent transcription increased ~sevenfold in response to the synthetic AR, R1881 (10 nM). ER are also functional since estradiol (E2, 10 nM) stimulated transcription from the ERE2 reporter ~sixfold (Fig. 3a). Interestingly, despite the presence of GR proteins (not shown) and regardless of dose (1–1,000 nM), the glucocorticoid dexamethasone failed to stimulate transcription from the PRE2 promoter (Fig. 3a), or from an alternate canonical GR-dependent reporter driven by the mouse mammary tumor virus (MMTV) long terminal repeat (LTR; not shown). However, BCK4 cells transfected with an exogenous wild-type GR expression vector supported transcription from both PRE2 and MMTV response elements (not shown). This suggests that the cells harbor a flaw in endogenous GR protein(s) or that smaller inhibitory isoforms of GR predominate, but that the GR transcriptional machinery is functional.

The ability of steroid receptors in BCK4 cells to regulate endogenous genes was tested by RT-PCR of several transcripts previously identified as steroid regulated in breast cancer cell lines [45, 51, 52]. Figure 3b shows that FK506 binding protein 5 (FKBP5), serum/glucocorticoid regulated kinase 1 (SGK1), dual specificity phosphatase 1 (DUSP1), and hydroxysteroid (11- $\beta$ ) dehydrogenase 2 (HSD11B2) are all upregulated by 6 or 24 h of progestin or AR treatments, demonstrating again that PR and AR are functional. In contrast, as with the exogenous reporters, 6 or 24 h of dexamethasone failed to regulate GR responsive genes endogenously. Two classical ER regulated genes, pS2 and Cathepsin D (CathD), were upregulated by 6 and 24 h treatment with E2 (Fig. 3b, right panel).

**Proliferation**—Cell growth was measured by MTS uptake in viable cells. The basal doubling time of BCK4 cells is 4–5 days (~120 h; Fig. 3c, ethanol); much slower than that of other breast cancer cell lines, which tend to double in 24–48 h [53]. However, BCK4 cell growth is accelerated by the synthetic AR R1881, the mixed AR/progestin/glucocorticoid MPA, and by E2. On the other hand, proliferation via PR appears to be inhibited by the pure

synthetic progestin R5020 (Fig. 3c) suggesting that the MPA proliferative effect is mediated by AR, since the GR are non-functional.

### BCK4 tumor xenografts

BCK4 cells were tumorigenic in immunocompromised mice. ZsGreen-tagged BCK4 cells were implanted bilaterally into the 4th mammary glands of ovariectomized (not shown) or intact immunocompromised mice, supplemented with placebo or E2-releasing pellets (Fig. 4) which raise circulating estrogen levels and render animals acyclic.

**Tumorigenicity and effects of E2—**BCK4 tumor growth was absolutely estrogen-dependent in ovariectomized mice (not shown). In normal cycling mice (placebo) only small tumors developed as shown by the cross section of a whole tumor (Fig. 4, left). In contrast, much larger (~sixfold) tumors formed if mice were continuously supplemented with E2 (Fig. 4, right). To identify mucinous components, paraffin sections were stained with mucicarmine (pink) and nuclei were counterstained green (Fig. 4). While the small tumors of placebo mice were pure MBC (MBC<sub>Pure</sub>) composed entirely of cells floating in mucin (arrowhead), the much larger tumors derived from E2 supplemented mice were characteristic of an invasive breast carcinoma (long arrow) with mucinous features (arrowhead) (MBC<sub>Mixed</sub>). These data demonstrate a heretofore unknown role for estrogens in breast cancers, namely their ability to switch MBC<sub>Pure</sub> into MBC<sub>Mixed</sub> that is more aggressive. The clinical implications are important because our model uses E2 levels that are physiologic [54] and observed in women taking HRT [55].

**Ductal or lobular carcinoma?—**We next attempted to define whether the BCK4-derived tumors are of ductal (IDC) or lobular (ILC) origin, based on H&E and mucin staining. The slides were reviewed by a surgical pathologist. Figure 5 shows H&E (panel a) and mucicarmine (panel b) stains of a tumor from a placebo mouse. This tumor contains extracellular pools of mucin (panel b, black arrowhead), as well as intracellular mucin characterized by the presence of signet ring cells (yellow arrowhead). Collectively, the pathology is consistent with a diagnosis of MBC<sub>Pure</sub>.

In contrast, tumors from an E2 supplemented mouse are heterogeneous, containing areas of high (panel d, black arrow) and low (panel d, asterisk) mucin production. Within tumors, distinct subregions can be seen (panel c) consisting of corded areas that lack signet ring cells (top) or neoplastic cells floating in mucin pools (bottom) within the same tumor. Tumors also contain regions composed of solid nodules lacking signet ring cells (Supplementary Fig. 3, “+”); a feature of IDC. However, also within corded areas, some cells were arranged in single file and contain intracytoplasmic mucin; a feature of ILC (Fig. 5, panel d, red arrow). Since this low mucin producing region has characteristics of IDC and ILC, we refer to the solid region as invasive breast carcinoma (IBC). Finally, the mucinous and IBC regions are separated by bisecting “stroma” (Fig. 5, panel d (+)). However, this stroma is of human origin because the cells fluoresce green (Fig. 5, panels e and f) and only BCK4 cells express the ZsGreen tag. Taken together, the pathological data are indicative of an invasive mucinous carcinoma [56].

The MBC<sub>Pure</sub> of control (placebo) cycling mice and the IBC plus mucinous (MUC) regions of MBC<sub>Mixed</sub> tumors in E2-treated mice were characterized with the same panel of markers used for the clinical pulmonary explants and the cell line (Table 1; Fig. 6). ER (brown nuclei) expression was similar in the mucinous components (MUC) of MBC<sub>Pure</sub> and MBC<sub>Mixed</sub>, and ER increases in the IBC regions of the MBC<sub>Mixed</sub> (Fig. 6, left column). Estrogen responsive PR proteins were completely absent in MBC<sub>Pure</sub>, weakly up-regulated in the MUC region of MBC<sub>Mixed</sub>, and strongly up-regulated in the IBC region of MBC<sub>Mixed</sub>



suggesting that the IBC component is preferentially stimulated by estrogenic signals (Fig. 6, middle column). Cytokeratins CK8/18 (epithelial markers, pink) were also up-regulated in the IBC region of MBC<sub>Mixed</sub> compared to the MUC region and MBC<sub>Pure</sub> tumor (Fig. 6). Other markers were not remarkably different among regions, except for the neuroendocrine markers, which were downregulated in the IBC regions of mixed tumors (Table 1). As expected, the tumors showed high levels of MUC2 staining (brown) in the mucinous regions but had low to no MUC2 in the IBC region (Fig. 6, right column). Interestingly, the EMT marker N-cadherin is expressed in the mucinous region of the mixed tumor but is absent in the IBC region (Table 1). These data suggest the possibility that as previously suggested [57], in MBC<sub>Mixed</sub> tumors, the IBC subpopulation is derived from mucinous precursors in response to estrogen signaling.

Whether the IBC is ductal (IDC) or lobular (ILC) could not be histologically determined since features of both extracellular and intracellular mucin were detectable. We therefore used molecular markers to discriminate between the two [58]. Loss of E-cadherin and cytoplasmic localization of p120 catenin characterize ILC [58]. E-cadherin was completely absent in MBC<sub>Pure</sub> and MBC<sub>Mixed</sub> in both the MUC and IBC regions (Fig. 7). As a positive control, a tumor derived from the IDC cell line, T47D, showed clear membranous E-cadherin staining. The absence of E-cadherin in BCK4 tumors suggested that they were lobular in origin. P120 catenin was expressed in both MBC<sub>Pure</sub> and MBC<sub>Mixed</sub>; however, its distribution was diffuse and cytoplasmic (Fig. 7), in contrast to the strong membranous staining of tumors derived from T47D cells. The combination of E-cadherin loss coupled with the cytoplasmic p120 catenin indicates that both the MBC<sub>Pure</sub> and MBC<sub>Mixed</sub> tumors originating from BCK4 cells were of lobular origin. While the MBC<sub>Mixed</sub> tumor was called “invasive breast carcinoma (which can be ILC, IDC or a mixture of both) with mucinous features” by the reviewing pathologist, molecular analysis shows that the tumor contains features consistent with ILC. This staining is conserved in the BCK4 cell line. We therefore conclude that BCK4 cells are models of mucinous disease of lobular origin that will provide rare insight into the molecular dynamics of such tumors.

**Phenotype switching by estrogens**—Our data also suggest the possibility that in the MBC<sub>Mixed</sub> tumors, the ILC component is derived from MBC<sub>Pure</sub> precursors in response to changed estrogen signaling patterns. To assess this, the proliferation rates of cells in different morphological regions of BCK4-derived tumors from two control (placebo) and two estrogen treated (E2) mice were quantified by BrdU incorporation during S-phase (Fig. 8). As expected from their slow growth, only 2 % of cells in the control MBC<sub>Pure</sub> tumors were undergoing mitosis during the 2 h BrdU pulse. The MUC region of the two MBC<sub>Mixed</sub> tumors from E2 supplemented mice exhibited an increased (to 5 %) proliferation rate that was statistically significant ( $p < 0.05$ ). Importantly, in the same mice, same tumors, and same hormonal environment, proliferation of the ILC regions exceeded 15 % ( $p < 0.001$ ). This strengthens our conclusion that in MBC, estrogens induce a phenotypic switch to ILC, and this is accompanied by increased proliferation in tumor subregions. The heterogeneous regional effects of estrogen within the same tumor are also of interest and have not been previously described.

**3D modeling in vitro**—We established a 3D model to recapitulate BCK4 tumor growth in vitro and to analyze the promotion of the mixed phenotype by estrogen treatment. This model was also used to ask whether effects of E2 can be blocked by the anti-estrogen tamoxifen (Tam) or by E2 withdrawal (E2WD). For this, BCK4 cells were grown in a 3D-matrigel matrix and treated with vehicle (EtOH), E2, or E2 + Tam for 10, 14, or 20 days. Alternatively, cells were also treated for 7 or 10 days with E2 which was then withdrawn for 3, 4, or 10 days. Signet ring cell quantification was used to assess the mucinous phenotype. In control conditions, ~30 % of cells were signet ring. Ten to 20 days of E2 halved the

number of mucin producing cells to ~15 % (Fig. 9a). This effect of E2 was completely prevented by co-treatment with tamoxifen. On the other hand, E2 treatment for 7 days followed by 3 days of E2 withdrawal partially restored signet ring cell number suggesting an initial degree of reversibility. Longer effects of E2 (10 days) were not reversible. We conclude that E2 effects are mediated directly via ER explaining the efficacy of tamoxifen. However, once the mixed phenotype is established, it is difficult to reverse. These results were confirmed in solid BCK4 xenografts (Fig. 9b) grown in the absence or in the presence of exogenous E2 supplementation. Under control conditions (placebo), the MBC<sub>Pure</sub> tumors contained ~25 % signet ring cells; similar to the number in the 3D model. In E2 treated mice, mixed mucinous tumors formed. The MUC component of MBC<sub>Mixed</sub> contained ~15 % signet ring cells (Fig. 9b) while the ILC component completely lacked signet ring cells.

We conclude that BCK4 cells model human MBC of lobular origin that produce intracellular and extracellular mucin and contain signet ring cells. However, whether the phenotype is MBC<sub>Pure</sub> or MBC<sub>Mixed</sub> can be regulated by estrogens. These data highlight the complexity of MBC; a complexity that we can now model and probe experimentally.

## Discussion

Eleven different histologic subtypes of breast cancer have recently been classified based on gene expression profiling [27]. Included within these are MBC, which are more complex and heterogeneous than originally anticipated. The molecular data further sub-classified MBC according to the criteria of Capella et al. [59] into Type A which contain 60–90 % extracellular mucin and Type B which contain 33–75 % extracellular mucin [59]. Type B tumors also contain signet ring cells [59]. Cluster analysis of gene expression data placed Type A and Type B tumors in the luminal centroid [27]; not surprising since MBC are ER +[26]. What was surprising was that the majority of Type A tumors which are enriched for extracellular mucin clustered with ILC [27]. In contrast, Type B tumors containing signet ring cells clustered with neuroendocrine tumors. Both types of MBC differ from IDC NOS, however [48]. This suggests that all MBC, whether pure or mixed, may be erroneously categorized as a subtype of IDC if only histological markers such as mucin localization are considered. Further evidence that MBC differ from IDC NOS is based on the fact that MBC rarely contain mutations in phosphatidylinositol-3-kinase catalytic subunit (PIK3CA) or v-akt murine thymoma viral oncogene homolog 1 (AKT1); both of which are commonly observed in IDC NOS [60].

While reports of ILC that produce extracellular mucin may be rare, three cases have recently been reported. In all three cases tumors expressed ER and PR, contained intra-cellular and extracellular mucin, were signet ring cell positive and exhibited loss of E-cadherin [17–19]. Thus more detailed molecular analyses of MBC may reveal that many are of lobular origin [27] but are currently underestimated because the presence of extracellular mucin is considered to be a marker of IDC. Expression profiling studies with larger numbers of MBC<sub>Pure</sub> or MBC<sub>Mixed</sub> have not been done, thus the prevalence of ILC within MBC remains unknown. One study using tissue arrays examined 40 cases of MBC<sub>Pure</sub> or MBC<sub>Mixed</sub>; all tumors contained at least some E-cadherin with membranous p120 catenin, which led to their characterization as IDC [17]. However, some ILC are E-cadherin positive as are some of the MBC that cluster with them by expression profiling analysis [27]. Thus the presence of E-cadherin does not rule out that MBC are mixed with ILC. It is clear that more definitive molecular markers need to be identified for MBC<sub>Mixed</sub> to determine whether the more invasive regions are IDC or ILC.

The presence of signet ring cells in mucin producing tumors likely signifies that they are of lobular origin. Signet ring cell carcinomas (SRCC) are classified as a subtype of breast

cancer. Tumors are classified as such when at least 20 % of the total tumor is composed of signet ring cells [22, 23, 61]. Mucin producing signet ring cells are present in ILC [5, 20, 22] and MBC [59] leading many to conclude that SRCC is a variant of ILC [23, 61, 62] but two studies suggest that SRCC are entities distinct from MBC and ILC [24, 63]. However, three lines of evidence suggest that signet ring cells are of lobular origin (1) the majority of SRCC are negative for E-cadherin [64], (2) signet ring cells contain intracellular mucin, and (3) SRCC metastasize to serosal surfaces [23] resembling the metastatic pattern for ILC. This is clinically important because the percentage of signet ring cells within tumors can determine the propensity for recurrence. Patients with stage I breast cancer whose tumors contain as few as 10 % signet ring cells have an increased risk of recurrence compared to patients whose tumors have lower numbers of signet ring cells [22].

The ductal or lobular origins of  $MBC_{Pure}$  and  $MBC_{Mixed}$  have been unclear to date. Since the lumen of ductal carcinomas in situ (DCIS) often contain mucin [39], some speculate that  $MBC_{Pure}$  originates from DCIS [30]. Others speculate based on loss of heterozygosity analysis that  $MBC_{Pure}$  originate from IDC [30]. However, since mucin production is also associated with lobular carcinoma in situ [65], it is entirely possible that  $MBC_{Pure}$  are derived from lobular precursors. While both IDC and ILC originate in the terminal ductal lobular unit of the breast, they likely arise via different pathways [66]. This is reinforced by the fact that ILC lack basal cytokeratins such as CK5 are commonly observed in IDC [67]. Expression profiling studies contrasting ILC from IDC [10–13] show that many functional pathways, including ones involved in immune responses, cell adhesion and motility, and lipid/fatty acid transport and metabolism differ between the two. However, only downregulation of the gene encoding E-cadherin (CDH1) in ILC was common among them. Of note is the loss of E-cadherin expression in the BCK4 cells which correlates with translocations in chromosome 16 of their karyotype (Fig. 2). Loss of heterozygosity at chromosome 16 which disrupts the CDH1 gene is common among ILC [68]. As supported by the data of Weigelt et al. [27], our model suggests that some  $MBC_{Pure}$  are inherently lobular and that in  $MBC_{Mixed}$ , the ILC subpopulation can be derived from  $MBC_{Pure}$  under conditions that may involve estrogen signaling. This requires further study, as does the role of the mesenchymal-like fibroblastic cells in  $MBC_{Mixed}$ , apparently also of BCK4 origin, located in stromal-like bands separating MUC regions from ILC regions in our estrogenized tumors (Fig. 5). Similar bisecting stroma is observed in MBC of patients [56] and in ILC, giving us confidence in the power of BCK4 cells as investigative tools.

MBC tends to be a disease of older women. The prevalence of  $MBC_{Pure}$  is ~7 % in women older than 75 years (median age 71 [69]) and ~1 % in women less than 35 years [25]. Similarly, patients with  $MBC_{Pure}$  tend to be older (median age 55) than patients with  $MBC_{Mixed}$  (median age 47) or IDC NOS [26, 34, 35, 70, 71]. Explanations for this remarkable difference are unclear but our data suggest that steroid hormones are involved. One study that analyzed the role of postmenopausal hormone replacement therapy on breast cancer risk by histologic type reported a non-significant trend for increased MBC in current estrogen users compared to never users. Interestingly, there was a trend for decreased MBC in current combined estrogen plus progestin users [72]. Furthermore in postmenopausal women, endogenous estrogen is largely unopposed by PR while both estrogen and PR are present in premenopausal women. The presence of PR may explain the low prevalence of MBC in premenopausal women. The hypothesis that progestins, unlike the case for more common breast cancer types, are protective against MBC development in premenopausal women can be studied using the BCK4 model.

With regard to ER, no significant differences in ER status have been reported between  $MBC_{Pure}$  and  $MBC_{Mixed}$  [34], or in the immunohistochemical pattern of ER expression in mucinous compared to invasive regions of  $MBC_{Mixed}$  [73]. However, in line with our data

(Table 1)  $MBC_{Mixed}$  tend to have higher ER levels than  $MBC_{Pure}$  as measured biochemically [73]. Also of interest is the increased expression of PR in the more aggressive and proliferative ILC tumor regions. High PR expression typically correlates with a more “differentiated” phenotype and a better prognosis in breast tumors. The infiltrating regions of  $MBC_{Mixed}$  are more proliferative than the mucinous regions [38] and proliferation is generally higher in  $MBC_{Mixed}$  than in  $MBC_{Pure}$  [33]. Our studies confirm this (Fig. 8).

The standard of care for  $MBC_{Pure}$ , like most of the other uncommon histologic subtypes, is not differentiated from the overall management of breast cancer. Increased understanding of the role of tumor biology, which we have shown can be influenced by histologic subtype in the case of MBC, is changing the treatment landscape. Clinically validated genomic tools for determining the appropriate use of chemotherapy in early stage disease and multiple reports on the differential responsiveness to available therapies by tumor biologic characteristics are now available (reviewed in [74]). However, some of these tests may not be reliable for rare histological subtypes because of the presence of inflammatory cells and/or stroma within these tumors [75]. Thus, despite these gains in knowledge for breast cancer overall, the ability to “personalize” treatment decision making in rarer histologic subtypes remains a research goal. Our unique cell line and its demonstrated plasticity in the face of estrogens suggests that it may be important to elucidate differences between MBC mixed with IDC or ILC as a histologic means of predicting for different therapeutic responses and long-term outcomes. The prognostic and predictive differences between ILC and IDC have been recently reviewed and are not always consistent [76]. We hypothesize that tumor plasticity and mixed subcomponents may influence these clinical results and deserve further study.

The postmenopausal patient in our study was given 6 cycles of cyclophosphamide, doxorubicin, and 5FU followed by radiation and 5 years of tamoxifen. Three years later metastatic disease recurred as a pleural effusion. Using cells derived from the pleural effusion we developed a cell line that forms a  $MBC_{Pure}$  in mice exposed to cycling estrogens, but switches to  $MBC_{Mixed}$  with ILC subpopulations when exposed to continuous physiologic estrogens (Fig. 4). Our BCK4 tumors, like their clinical MBC counterparts [77] co-express AR along with ER and PR (Supplementary Fig. 2) and may be stimulated to grow by ARs (Fig. 3). Given the diversity of anti-endocrine therapies available, including selective ER modulators, a pure ER downregulator and aromatase inhibitors, it is of clinical interest to determine if histologic aspects of MBC, such as their mucinous components and/or biologic aspects like AR expression, influence responses to different hormonal therapies. Moreover, while optimal treatment regimens for patients with MBC remain unclear, BCK4 cells may provide excellent models to study existing and potential novel therapeutic interventions.

Survival of patients with  $MBC_{Pure}$  is better than that of patients with  $MBC_{Mixed}$  [32, 47, 78, 79]; indeed the later has the same prognosis as IDC [26, 30, 69, 80]. Nevertheless, even a diagnosis of  $MBC_{Pure}$  may underestimate the risk of eventual (>12 years later) recurrence [81]. Thus despite its seemingly innocuous local behavior,  $MBC_{Pure}$  can and does metastasize [71]. As with other breast cancers, large tumor size (>4 cm) or the presence of lymph node metastases is associated with decreased survival for either  $MBC_{Pure}$  or  $MBC_{Mixed}$  [35]. The incidence of metastases in  $MBC_{Mixed}$  (29–72 %) is higher than that of  $MBC_{Pure}$  (0–26 %) [32–37] and both MBC types tend to be multifocal [69, 82]. Understanding what may influence the incidence of these unique histologic subtypes and understanding which cases merit additional therapy and what the therapeutic targets might be are important issues. We propose that BCK4 cells are a novel and unique resource to investigate MBC specifically, and the plasticity of breast cancers in general.

In summary, MBC is a unique histology that we demonstrate as having plasticity between ductal and lobular components and highlights the opportunities and challenges of applying biologic understanding to traditional nomenclature. MBC of lobular origin may be much more common than originally realized. Furthermore whether MBC are ductal or lobular in origin cannot be easily discriminated solely on tumor appearance, or even by immunohistochemistry. The lobular identity of many MBC may require a panel of markers yet to be identified. We have developed a new human breast cancer cell line that models mucinous disease, and as xenografts gives rise to pure MBC or MBC mixed with ILC, depending on the estrogenization state of the mouse. The model recapitulates many aspects of clinical MBC including their histological appearance, luminal subtype, and protein marker expression. BCK4 cells are the first model of MBC, specifically those of lobular subtype. These cells will be useful for indepth studies of hormone regulated growth, MBC phenotypic plasticity, the biology of mucinous ILC, potential therapeutic interventions, and pattern of metastatic spread of this poorly understood breast cancer subtype.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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## Abbreviations

<b>MBC</b>	Mucinous breast cancer
<b>PR</b>	Progesterone receptor
<b>ER</b>	Estrogen receptor
<b>AR</b>	Androgen receptor
<b>GR</b>	Glucocorticoid receptor
<b>ILC</b>	Invasive lobular carcinoma
<b>IDC</b>	Invasive ductal carcinoma
<b>IDC NOS</b>	Invasive ductal carcinoma not otherwise specified
<b>MBC<sub>Pure</sub></b>	Pure mucinous breast cancer
<b>MBC<sub>Mixed</sub></b>	Mixed mucinous breast cancer

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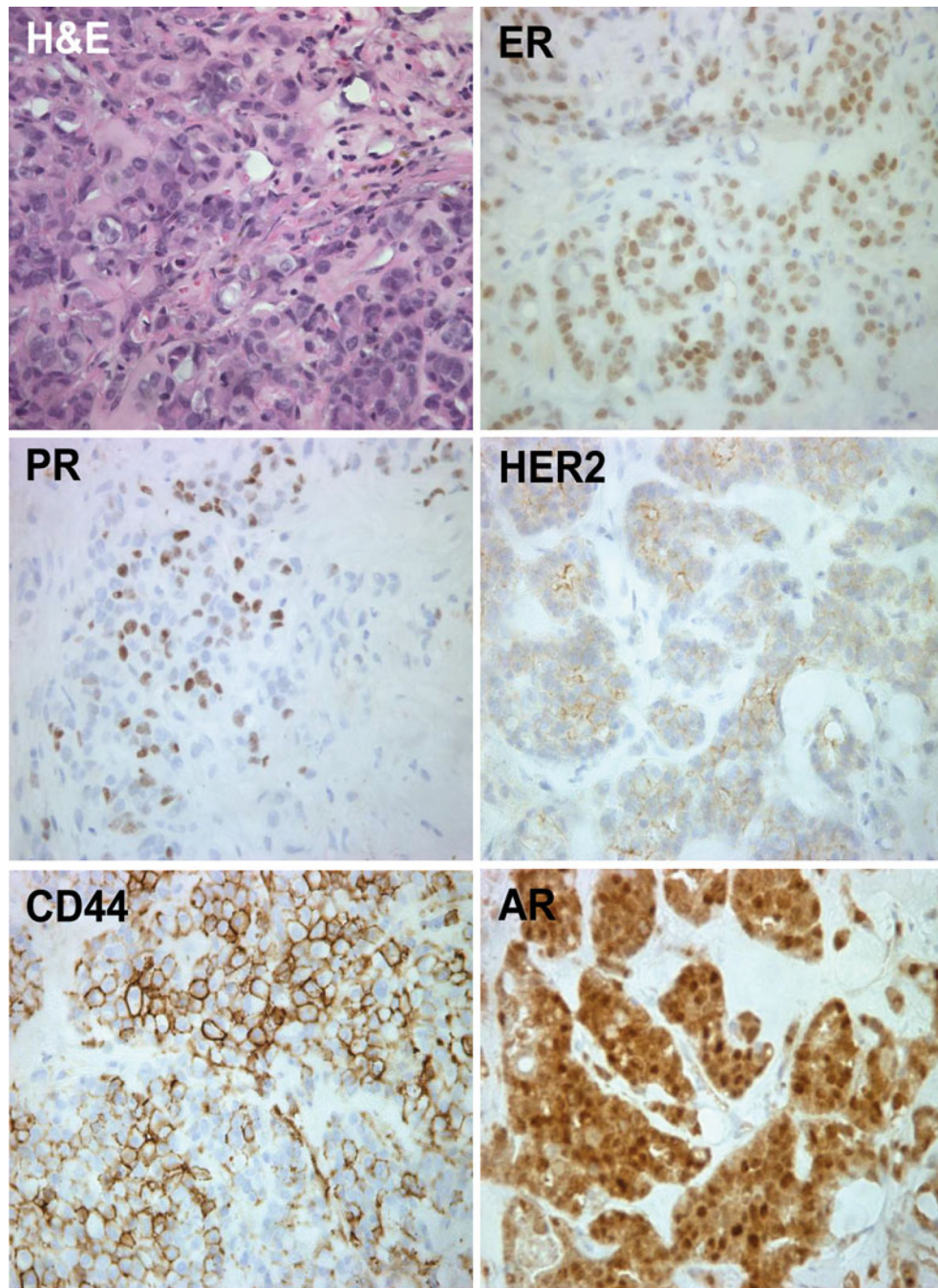
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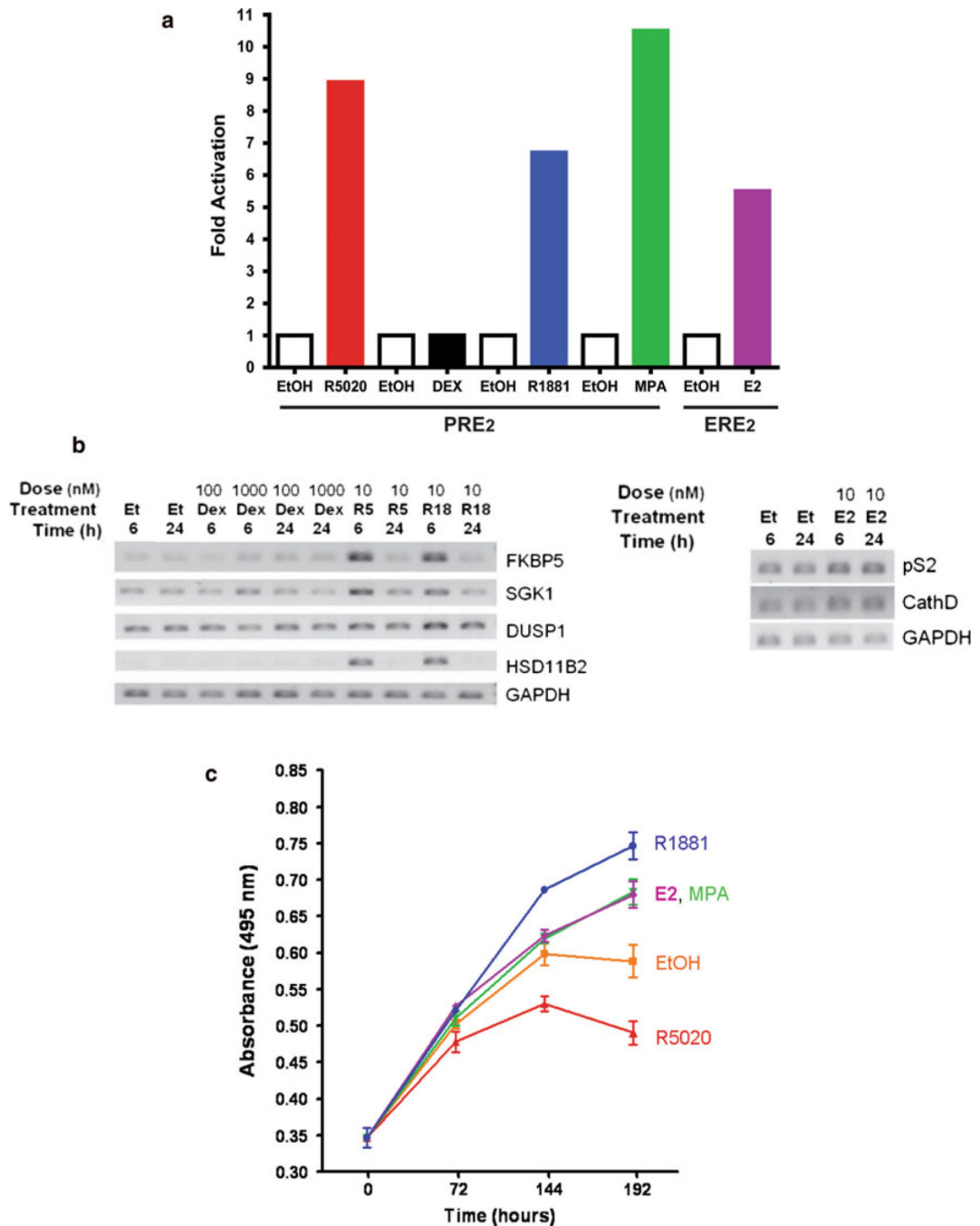




**Fig. 1.** Primary breast cancer xenograft tumor contains ER, PR, HER2, CD44, and AR. Breast cancer cells from a pleural effusion were grown in immunocompromised mice supplemented with estradiol for 12 weeks. H&E and immunostaining for ER, PR, HER2, CD44, and AR was performed as described







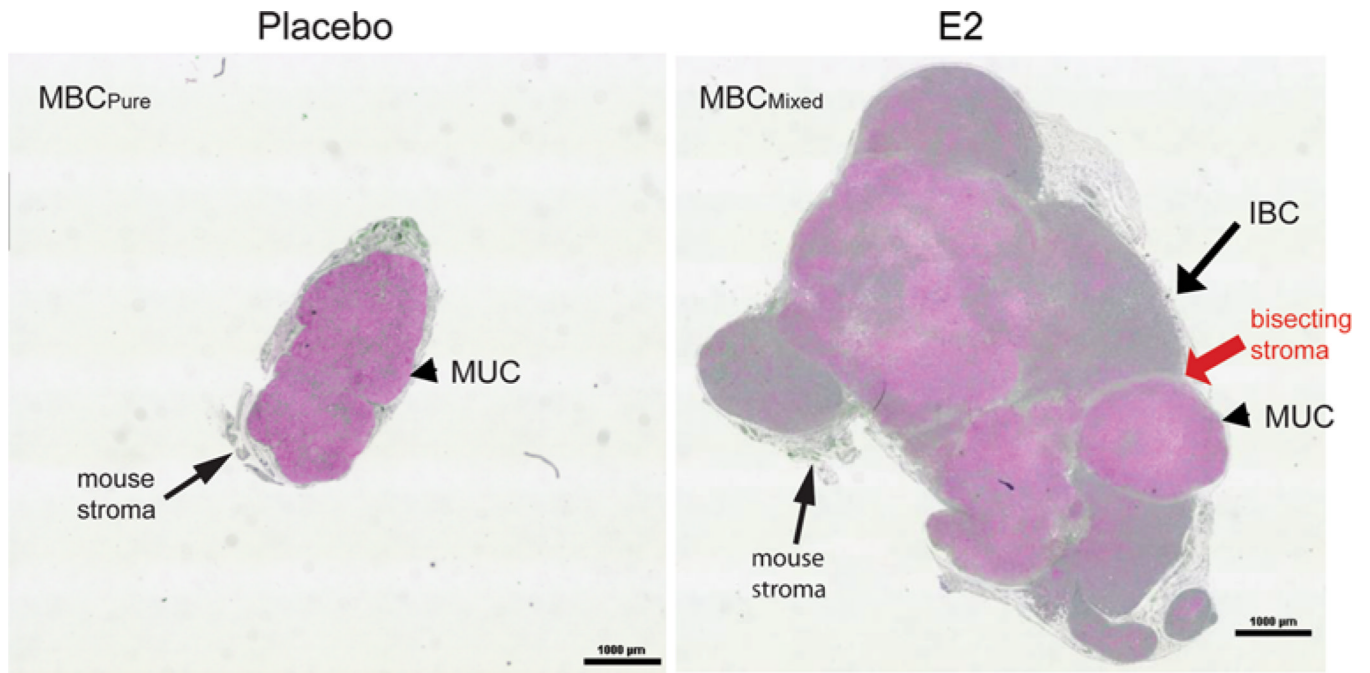
**Fig. 3.** AR, PR, and ER are functional, regulate endogenous genes and growth of BCK4 cells. **a** Transcription assays were performed with exogenous reporter constructs containing progesterone response elements (PRE<sub>2</sub>) or estrogen response elements (ERE<sub>2</sub>) as described. Cells were treated with vehicle (*open bars*) or hormone (*solid bars*) with the progestins R5020 or MPA, the glucocorticoid dexamethasone (DEX), the androgen R1881, or estradiol (E2). **b** Semi-quantitative PCR of BCK4 cells treated with vehicle (Et; EtOH) progestin (R5; R5020), androgen (R18; R1881), and glucocorticoid (Dex; Dexamethasone). Responsive genes were assayed at two different timepoints of hormone treatment, 6 or 24 h. Two

different doses of Dex were used, 100 and 1,000 nM. GAPDH was used as an internal control. Semi-quantitative PCR analysis of BCK4 cells treated with vehicle (Et; EtOH) or estrogen (E2) for 6 or 24 h. Two estrogen responsive genes, pS2 and CathD were measured. GAPDH was used as a loading control. **c** MTS cell proliferation assay of BCK4 cells treated with vehicle (EtOH), estradiol (E2), androgen (R1881), progestin (R5020), or the progestin/androgen (MPA). Cells were assayed at 0, 72, 144, and 192 h of treatment

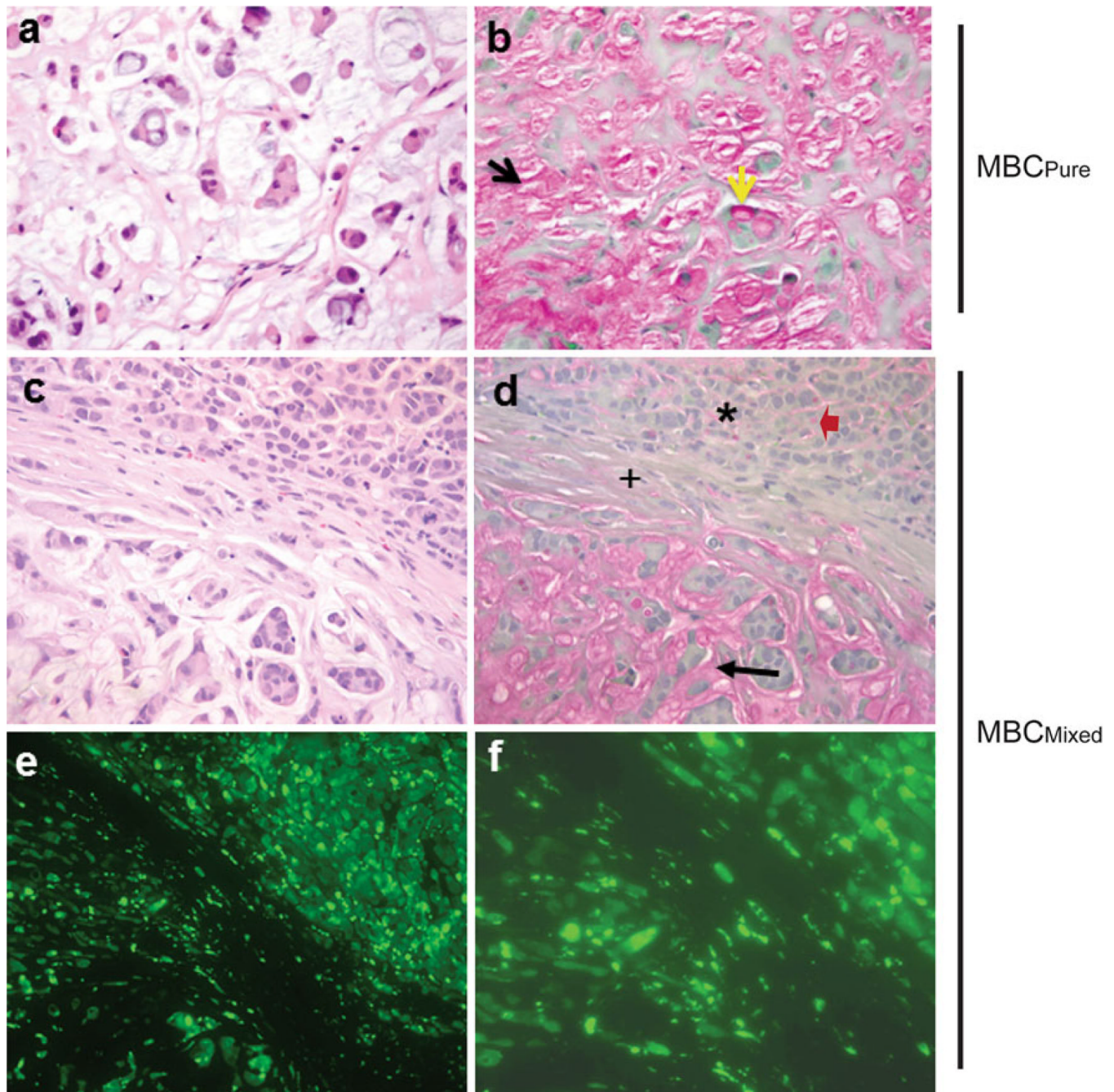
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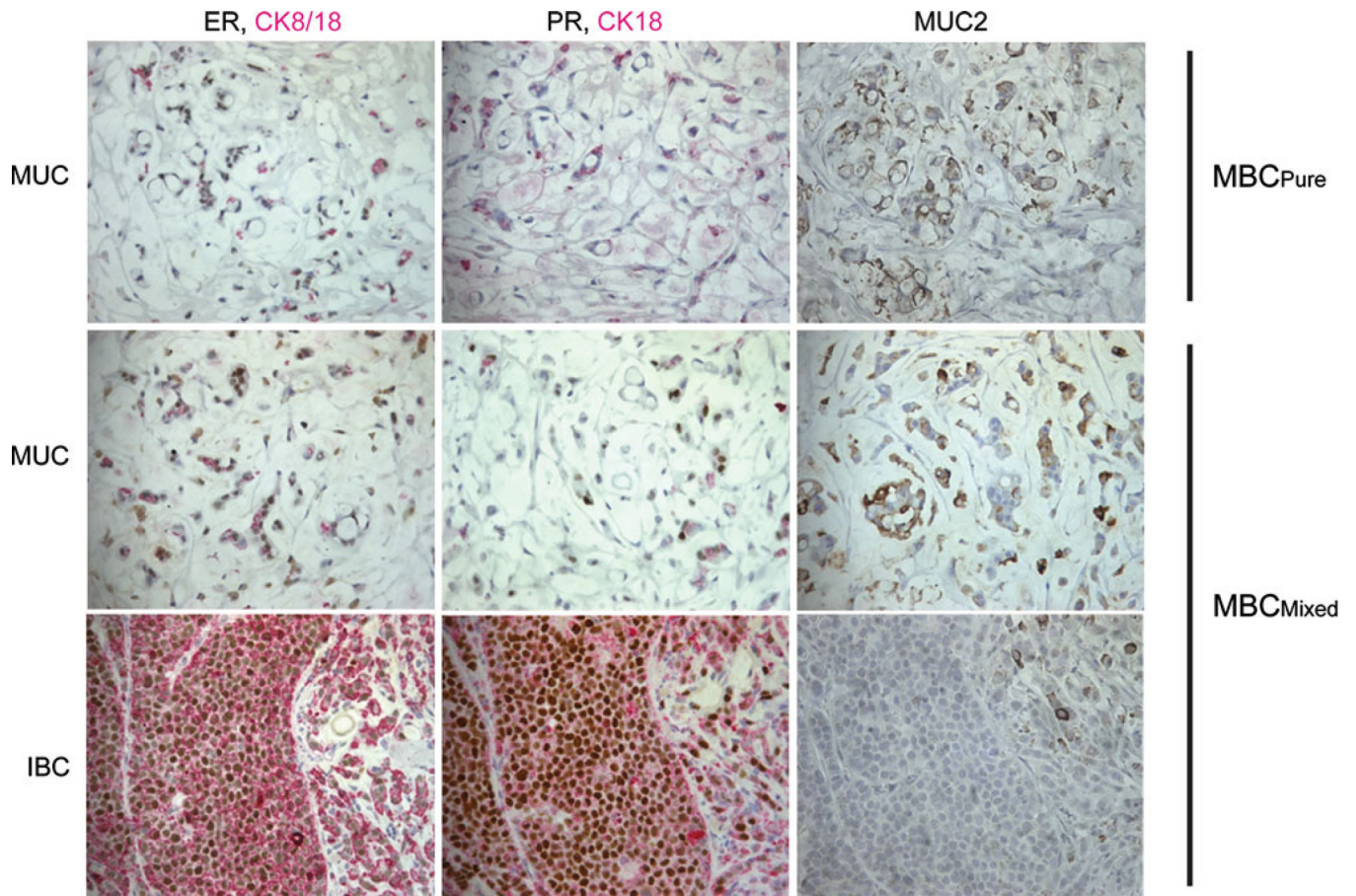


**Fig. 4.** BCK4 cells are tumorigenic; estrogen causes a histologic switch. Images of whole tumors, grown in placebo (*left*  $MBC_{Pure}$ ) or estrogen (E2, *right*  $MBC_{Mixed}$ ) supplemented mice, stained with mucicarmine. *Arrow* denotes invasive breast carcinoma (IBC) component and *arrowheads* mucinous regions (MUC). *Red arrow* denotes stroma bisecting the IBC and MUC regions of the  $MBC_{Mixed}$  tumor

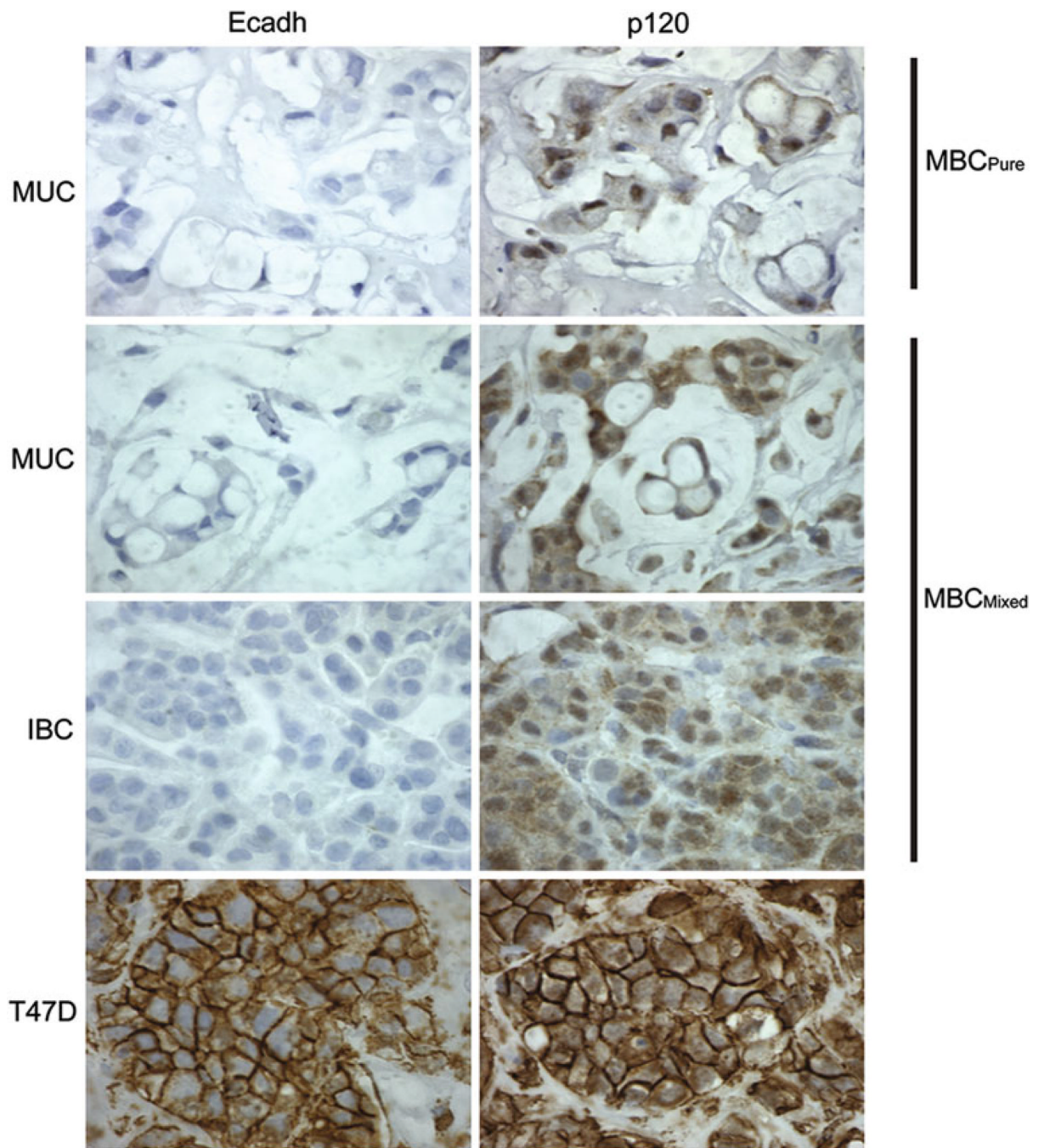


**Fig. 5.** Estrogen induces regions of mucinous and invasive breast carcinoma; bisecting region is composed of human tumor cells.  $\times 20$  images of **a** H&E stain and **b** mucicarmine stain of MBC<sub>Pure</sub> tumor from placebo supplemented mouse (*yellow and black arrows* designate intracellular and extracellular mucin, respectively); **c** H&E and **d** mucicarmine stains of MBC<sub>Mixed</sub> tumor from E2 supplemented mouse (*black arrow* mucinous region; *asterisk* invasive breast carcinoma (IBC) region; *plus* region bisecting mucinous and IBC; *red arrow* cell containing intracytoplasmic mucin); **e**  $\times 10$  ZsGreen stain of bisecting tumor region of E2 supplemented mouse and **f**  $\times 40$  ZsGreen image of bisecting tumor region



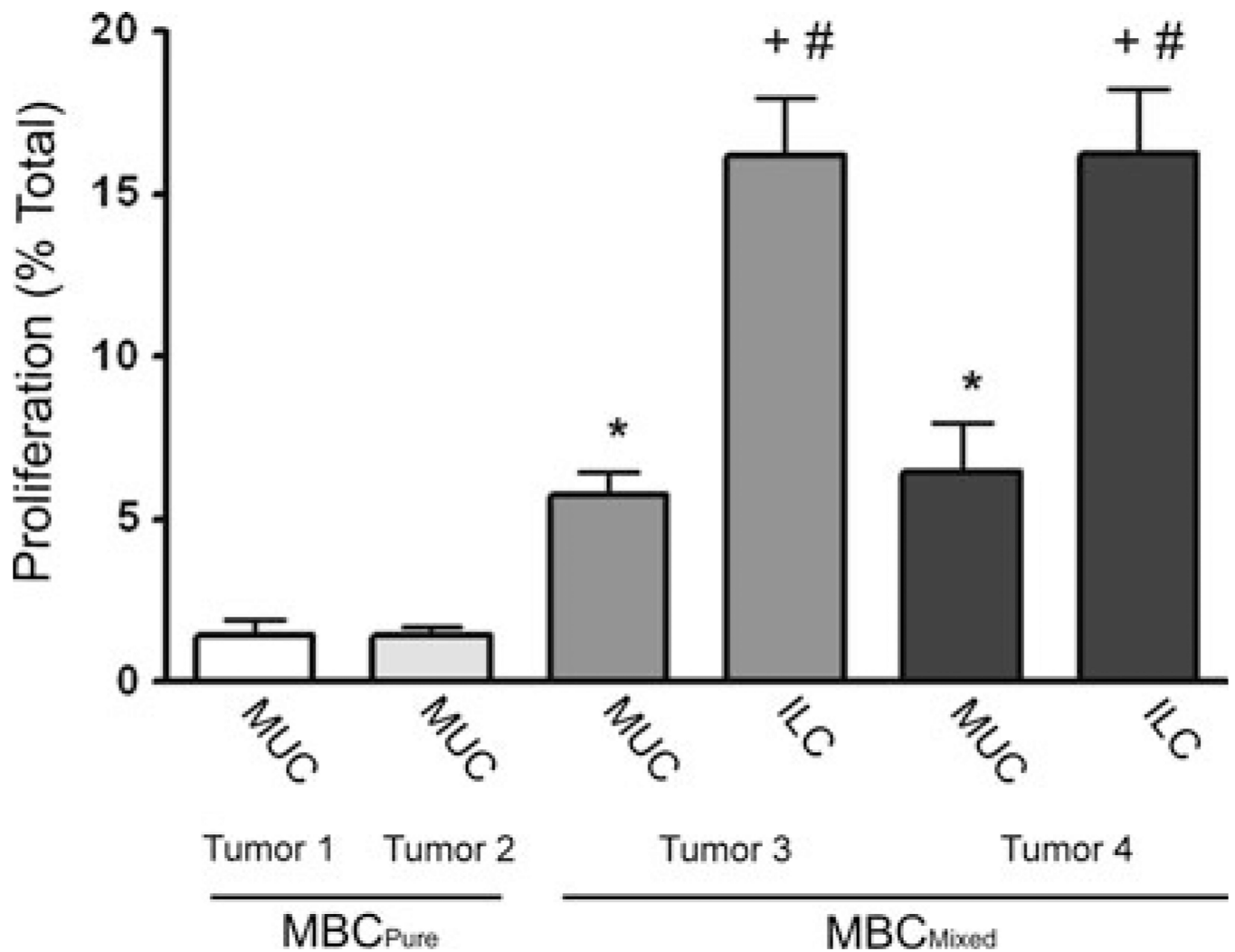


**Fig. 6.** Estrogen induces regions of invasive breast carcinoma with increased ER and PR and decreased Muc2 expression. Immunohistochemistry was performed using antibodies directed IHC against ER, PR, or Muc2 (DAB, *brown*) CK8/18, CK18 (AP, *Permanent red*). Stains of MBC<sub>Pure</sub> tumor and MBC<sub>Mixed</sub> tumor with mucinous (MUC) and invasive breast carcinoma (IBC) regions are shown

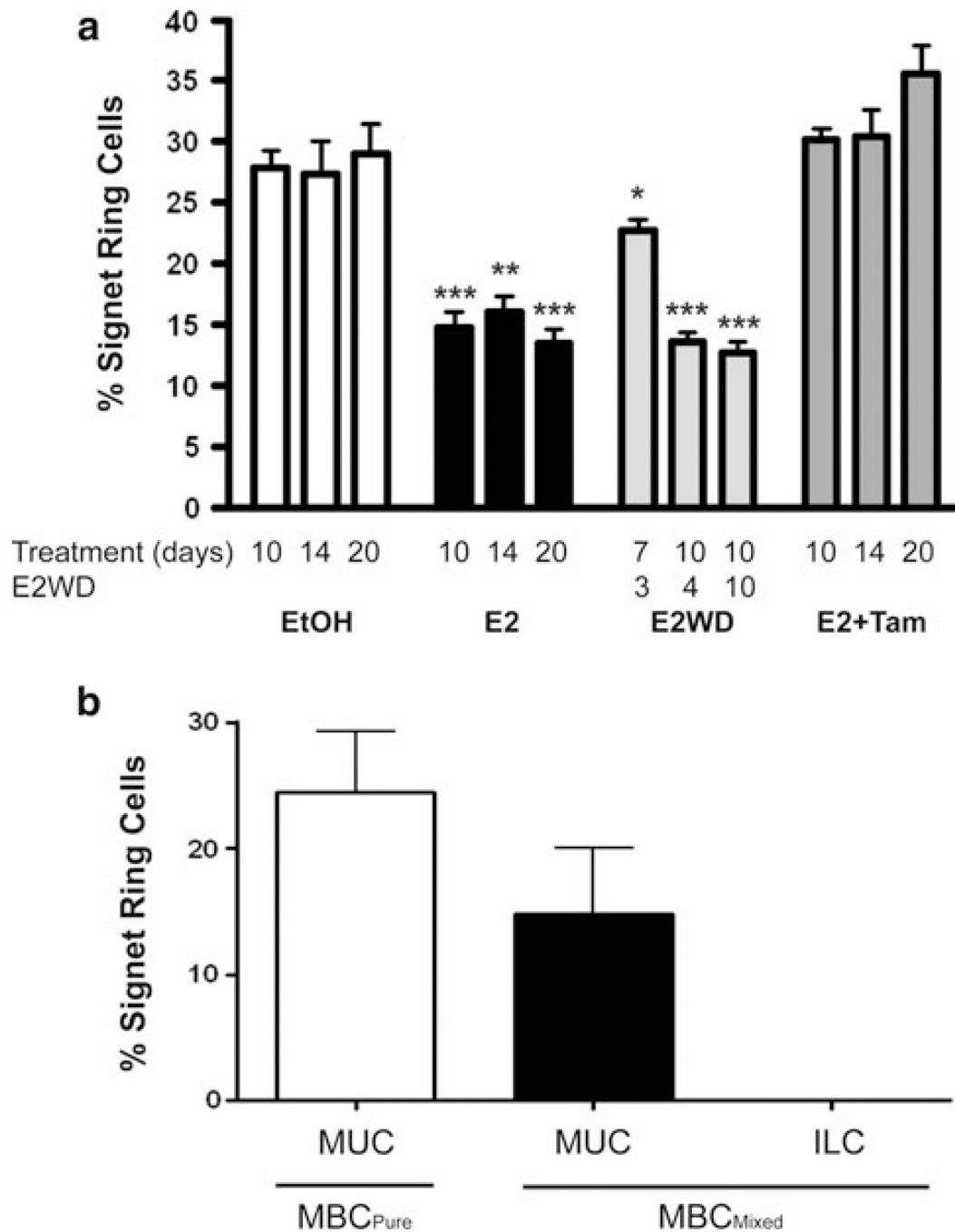


**Fig. 7.** BCK4 tumors display lobular features. BCK4 tumors were stained with E-cadherin (Ecadh) or p120 catenin (p120). Staining of pure mucinous tumor (MBC<sub>Pure</sub>) and mixed mucinous tumor (MBC<sub>Mixed</sub>) mucinous region (MUC) and invasive regions (IBC) are shown (×100). A T47D tumor is shown as a control for membranous staining of both proteins





**Fig. 8.** Estrogen preferentially stimulates growth of ILC in mixed tumors. BrdU incorporation of tumors from placebo and E2 supplemented mice. Pure mucinous tumor (MBC<sub>Pure</sub>) and mixed mucinous tumor (MBC<sub>Mixed</sub>) showing mucinous region (MUC) and invasive lobular carcinoma (ILC). Five fields were quantified for each tumor and statistical significance was determined by an unpaired two tailed *t* test. \**p* < 0.014 for tumor 1 or tumor 2 MBC<sub>Pure</sub> versus MBC<sub>Mixed</sub> MUC region of tumor 3 or tumor 4; +*p* < 0.001 for tumor 3 or tumor 4 ILC versus tumor 1 or tumor 2 MBC<sub>Pure</sub>; #*p* < 0.001 for tumor 3 or tumor 4 MUC region versus tumor 3 or tumor 4 ILC region



**Fig. 9.** Long-term E2 causes signet ring cell loss. **a** 3D Matrigel cultures of BCK4 cells were treated 7–20 days with vehicle, E2 alone, or E2 plus tamoxifen (Tam). In one set of 7 or 10 day E2 treated colonies, E2 was withdrawn (E2WD) for 3 to 10 days. Five fields were quantified for each sample. Signet ring cells were quantified as the percent of total cells. \*  $p < 0.05$ ; \*\*  $p < 0.01$ ; \*\*\*  $p < 0.001$ . **b** BCK4 tumor xenografts, MBC<sub>Pure</sub> and the MUC and ILC regions of MBC<sub>Mixed</sub>, were assayed for signet ring cells. Five fields were quantified per tumor

Immunohistochemical markers expressed in the patient explant, the BCK4 cell line, and the BCK4 tumors; pure mucinous (placebo supplemented mice; mucinous) and mixed mucinous (E2 supplemented mice; mucinous and invasive breast carcinoma (IBC)/invasive lobular carcinoma (ILC) regions)

**Table 1**

Antigen	Patient explant	BCK4 cells	BCK4 tumor	
			Pure mucinous	Mixed mucinous
			Mucinous	IBC (ILC)
ER	++	+	+L	+++
PR	+	+	-	+++
AR	++	++	+	++
GR	nd	++	nd	nd
Her2	1+	1+	1+	1+
EGFR	-	nd	L	-
E-cadherin	< 1 %	-	-	-
p120 catenin	cyto/nuc	cyto	cyto/nuc	cyto
CK8/18	++	+++	+	++
CD44	++	+	L	+
CK5	-	-	-	-
CK14	nd	-	-	-
Ckit	nd	+	++	+
CD10	nd	++	+	L
p63	nd	++	+	L
αSMA	nd	++	++	++
Vimentin	nd	L	-	-
MAM-A	nd	nd	+	+L
ChromograninA	nd	+	+	-
Synaptophysin	nd	++	+	-
Muc2	nd	nd	+	+L
N-cadherin	nd	nd	+	-

Cellular staining designations are as follows: -, negative staining; L, <5-10 % staining; +, >10-30 % staining; +L, >30-50 % staining; ++, >50-70 % staining; +++, >70-100 % staining; nd/not determined, cyto/nuc cytoplasmic/nuclear expression, I+ Her2 expression by FISH analysis